



FEDERAL ELECTION COMMISSION
Washington DC 20463

THIS IS THE BEGINNING OF ADMINISTRATIVE FINE CASE # 1521

DATE SCANNED 6/6/07

SCANNER NO. 1

SCAN OPERATOR SES

27092602263



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

RECEIVED
FEDERAL ELECTION
COMMISSION
SECRETARIAT

2006 SEP 25 P 4: 46
September 25, 2006

MEMORANDUM

SENSITIVE

TO: THE COMMISSION

THROUGH: PATRINA M. CLARK
STAFF DIRECTOR *PM*

FROM: JOHN D. GIBSON *JG*
ASSISTANT STAFF DIRECTOR
REPORTS ANALYSIS DIVISION

SUBJECT: ADMINISTRATIVE FINE PROGRAM
REASON TO BELIEVE RECOMMENDATION -
2006 JULY QUARTERLY REPORT

Attached is a list of political committees and their treasurers who either failed to file or failed to timely file, the 2006 July Quarterly Report in accordance with 2 U.S.C. 434(a). On June 21, 2006, Prior Notice was sent to all authorized and unauthorized committees and their treasurers, informing them that the July Quarterly Report was due on July 15, 2006. The July Quarterly Report is required to cover the time frame from April 1, 2006 through June 30, 2006.

The committees listed in the attached RTB Circulation Report either failed to file the report or filed the report more than five (5) days after the due date. In accordance with the schedule of civil money penalties located within 11 CFR §111.43, these committees should be assessed the civil money penalties highlighted on the attached report.

Recommendation

- (1) Find reason to believe that the political committees and their treasurers listed on the RTB Circulation Report violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalties would be the amounts indicated on the RTB Circulation Report.
- (2) Send the appropriate Reason to Believe letters to the committees.

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2006 JULY QUARTERLY Non Election Sensitive 07/15/2006 H_S_P_UNAUTH

9/25/2006 4:03 PM

Federal Election Commission
Reason to Believe Circulation Report
2006 JULY QUARTERLY Non Election Sensitive 07/15/2006

AF#	Committee ID	Committee Name	Candidate Name	Treasurer	Threshold	PV	Receipt Date	Days Late	LOA	Penalty
1495	C00422972	ANDERSON FOR CONGRESS	ANDERSON, WOODROW WILSON III	MELINDA MILLIGAN WALLACE	\$306,442.00	0	8/2/2006	18	\$306,442	\$5,100.00
1496	C00351031	ASIAN AMERICAN ACTION FUND (AAA FUND)		IRENE BUENO	\$130,383.00	0	7/25/2006	10	\$75,472	\$1,400.00
1498	C00417253	CITIZENS TO ELECT PHILLIP JACKSON	JACKSON, PHILLIP	KIRSTEN ROKKE	\$162,828.00	0		Not Filed	\$54,276 (est)	\$2,700.00
1500	C00418368	COMMITTEE TO ELECT MATT MILLER	MILLER, MATT	DON EISERLING	\$175,146.00	0	7/23/2006	8	\$54,428	\$900.00
1502	C00127811	FLORIDA CONGRESSIONAL COMMITTEE		FORREST B RAFAEL	\$174,115.00	0	8/14/2006	30	\$28,260	\$800.00
1503	C00409433	FRIENDS FOR DIX	DIX, WILLIAM CLARK	MATT GRONEWALD	\$1,566,591.00	0	9/21/2006	Not Filed	\$536,513	\$9,500.00
1504	C00415927	GILLILAND FOR CONGRESS	GILLILAND, BRIAN SCOTT	BRIAN GILLILAND	\$122,047.00	1		Not Filed	\$30,512 (est)	\$1,125.00
1505	C00136689	IBEW 349 ELECTRO - PAC		BRIAN RAPPAPORT	\$294,176.00	0	8/8/2006	24	\$48,494	\$680.00
1507	C00418954	KISER 4 CONGRESS	KISER, KRIS	CLYDE GLENN JONES	\$292,436.00	0		Not Filed	\$292,436 (est)	\$8,000.00
1508	C00406769	LIBERTY PA PAC		TROY M CASSEL	\$412,134.00	0	7/23/2006	8	\$67,160	\$900.00
1509	C00426643	MARK G FLANAGAN FOR CONGRESS	FLANAGAN, MARK GREGORY	RICHARD W WOOD	\$176,501.00	0	7/26/2006	11	\$176,501	\$2,450.00
1510	C00421685	MARK HUNT FOR CONGRESS	HUNT, MARK ALLEN	JOSEPH F SMITH	\$416,542.00	0	7/30/2006	15	\$135,592	\$2,475.00
1511	C00001180	MICHIGAN DOCTORS POLITICAL ACTION COMMITTEE - MICHIGAN STATE MEDICAL SOCIETY		SCOTT GOLDBERG	\$148,797.00	1		Not Filed	\$44,219 (est)	\$1,125.00

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2006 JULY QUARTERLY Non Election Sensitive 07/15/2006 H_S_P_UNAUTH

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AF#	Committee ID	Committee Name	Candidate Name	Treasurer	Threshold	PV	Receipt Date	Days Late	LOA	Penalty
1512	C00376384	MONROE COUNTY DEMOCRATIC COMMITTEE		LEIGH KEGERREIS	\$1,114,504.00	3	8/25/2006	Not Filed	\$168,235	\$9,625.00
1513	C00309468	MS CONSULTANTS INC POLITICAL ACTION COMMITTEE MSCPAC		RAYMOND J. BRIYA	\$133,933.00	0	7/25/2006	10	\$28,783	\$400.00
1514	C00410985	MURRAY ENERGY CORPORATION POLITICAL ACTION COMMITTEE		J. CHRISTOPHER NEWPORT	\$245,730.00	0	7/24/2006	9	\$51,482	\$975.00
1515	C00413229	NEREE FOR US CONGRESS	NEREE, DUFIRSTSON	ROUDY THOMAS	\$114,158.00	0	7/24/2006	9	\$27,330	\$380.00
1516	C00300939	OHIO ASSOCIATION OF MORTGAGE BROKERS PAC (OAMB PAC)		STEVE R. CLAY	\$122,363.00	0	8/29/2006	Not Filed	\$4,126	\$250.00
1517	C00241083	REPUBLICANS FOR CHOICE, PAC		STONE, ANNE E. W. MS.	\$868,730.00	2	9/8/2006	Not Filed	\$125,858	\$6,750.00
1518	C00415067	REYNOLDS CONSTRUCTION MANAGEMENT INC PAC		RICHARD T. REYNOLDS	\$212,713.00	0	9/14/2006	Not Filed	\$203,800	\$6,500.00
1519	C00386482	RIGHTMARCH.COM PAC INC		WILLIAM GREENE	\$124,313.00	0	8/23/2006	Not Filed	\$15,650	\$500.00
1520	C00396655	SAN ANTONIO POLICE OFFICERS ASSOCIATION POLITICAL ACTION COMMITTEE		ROBERT GREJADA	\$288,490.00	2		Not Filed	\$144,244 (est)	\$6,750.00
1521	C00423061	SCHLESINGER US SENATE	SCHLESINGER, ALAN	LAURA A. WABNO	\$151,582.00	0	7/25/2006	10	\$129,409	\$1,850.00
1522	C00040725	UNITED FRESH FRUIT AND VEGETABLE ASSOCIATION FRESH POLITICAL ACTION COMMITTEE (FRESH PAC)		GUENTHER, ROBERT	\$101,672.00	0	7/24/2006	9	\$20,943	\$145.00



FEDERAL ELECTION COMMISSION

Washington, DC 20463

SENSITIVE

DATE & TIME OF TRANSMITTAL: Tuesday, September 26, 2006 11:00

BALLOT DEADLINE: Friday, September 29, 2006 4:00

COMMISSIONER: LENHARD, MASON, TONER, von SPAKOVSKY, WALTHER, WEINTRAUB

SUBJECT: **Administrative Fine Program. Reason to Believe Recommendation – 2006 July Quarterly Report. Memorandum from the Assistant Staff Director Reports Analysis Division dated September 25, 2006.**

- () I approve the recommendation(s)
- () I object to the recommendation(s)
- () I approve the recommendations except those following to which I object (please insert AF#)

- () I approve the recommendations except those following in which I abstain (please insert AF#)

- () I approve the recommendations except those following in which I am recused (please insert AF#)

- () I am not voting on this tally.

A definite vote is required. All ballots must be signed and dated. Please return ONLY THE BALLOT to the Commission Secretary. Please return ballot no later than date and time shown above.

DATE: _____

SIGNATURE: _____

FROM THE OFFICE OF THE SECRETARY OF THE COMMISSION

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BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
)
Administrative Fine Program Reason To)
Believe Recommendation - 2006 July)
Quarterly Report:)
ANDERSON FOR CONGRESS, and) AF# 1495
MELINDA MILLIGAN WALLACE as)
treasurer;)

SCHLESINGER US SENATE, and) AF# 1521
LAURA A WABNO as treasurer;)

MARK G FLANAGAN FOR CONGRESS,) AF# 1509
and RICHARD W WOOD as treasurer;)
NEREE FOR US CONGRESS, and) AF# 1515
ROUDY THOMAS as treasurer;)

COMMITTEE TO ELECT MATT) AF# 1500
MILLER, and DON EISERLING as)
treasurer;)
MARK HUNT FOR CONGRESS, and) AF# 1510
JOSEPH F SMITH as treasurer;)
FRIENDS FOR DIX, and Gronewald, Matt) AF# 1503
as treasurer;)
CITIZENS TO ELECT PHILLIP) AF# 1498
JACKSON, and KIRSTEN ROKKE as)
treasurer;)
GILLILAND FOR CONGRESS, and) AF# 1504
BRIAN GILLILAND as treasurer;)
KISER 4 CONGRESS, and CLYDE) AF# 1507
GLENN JONES as treasurer;)
LIBERTY PA PAC, and TROY M) AF# 1508
CASSEL as treasurer;)

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Federal Election Commission
Certification for Administrative Fines
September 29, 2006

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KISER 4 CONGRESS, and CLYDE) AF# 1507
GLENN JONES as treasurer;)
LIBERTY PA PAC, and TROY M) AF# 1508
CASSEL as treasurer;)
ASIAN AMERICAN ACTION FUND) AF# 1496
(AAA FUND), and IRENE BUENO as)
treasurer;)
FLORIDA CONGRESSIONAL) AF# 1502
COMMITTEE, and FORREST B RAFFEL)
as treasurer;)
IBEW 349 ELECTRO - PAC, and BRIAN) AF# 1505
RAPPAPORT as treasurer;)
MS CONSULTANTS INC POLITICAL) AF# 1513
ACTION COMMITTEE MSCPAC, and)
RAYMOND J BRIYA as treasurer;)
MURRAY ENERGY CORPORATION) AF# 1514
POLITICAL ACTION COMMITTEE, and)
Newport, Christopher as treasurer;)
UNITED FRESH FRUIT AND) AF# 1522
VEGETABLE ASSOCIATION FRESH)
POLITICAL ACTION COMMITTEE)
(FRESH PAC), and Guenther, Robert as)
treasurer;)
REYNOLDS CONSTRUCTION) AF# 1518
MANAGEMENT INC PAC, and)
RICHARD T REYNOLDS as treasurer;)

MICHIGAN DOCTORS POLITICAL) AF# 1511
ACTION COMMITTEE - MICHIGAN)
STATE MEDICAL SOCIETY, and Grant,)
James Dr. MD as treasurer;)
OHIO ASSOCIATION OF MORTGAGE) AF# 1516
BROKERS PAC (OAMB PAC), and)
STEVE R. CLAY as treasurer;)
REPUBLICANS FOR CHOICE PAC, and) AF# 1517
Stone, Ann E. W. Ms. as treasurer;)
RIGHTMARCH.COM PAC INC, and) AF# 1519
Greene William as treasurer;)
MONROE COUNTY DEMOCRATIC) AF# 1512
COMMITTEE, and KAY SISUNG as)
treasurer;)

CERTIFICATION

I, Mary W. Dove, Secretary of the Federal Election Commission, do hereby certify that on September 29, 2006 the Commission took the following actions on the Administrative Fine Program Reason To Believe Recommendation - 2006 July Quarterly Report as recommended in the Reports Analysis Division's Memorandum dated September 25, 2006, on the following committees:

AF#1495 Decided by a vote of 6-0 to: (1) find reason to believe that ANDERSON FOR CONGRESS, and MELINDA MILLIGAN WALLACE as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate mailgram. Commissioners Lenhard, Mason, Toner, von Spakovsky, Walther, and Weintraub voted affirmatively for the decision.

AF#1521 Decided by a vote of 6-0 to: (1) find reason to believe that SCHLESINGER US SENATE, and LAURA A WABNO as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate mailgram. Commissioners Lenhard, Mason, Toner, von Spakovsky, Walther, and Weintraub voted affirmatively for the decision.

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AF#1509 Decided by a vote of 6-0 to: (1) find reason to believe that MARK G FLANAGAN FOR CONGRESS, and RICHARD W WOOD as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate mailgram. Commissioners Lenhard, Mason, Toner, von Spakovsky, Walther, and Weintraub voted affirmatively for the decision.

AF#1515 Decided by a vote of 6-0 to: (1) find reason to believe that NEREE FOR US CONGRESS, and ROUDY THOMAS as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate mailgram. Commissioners Lenhard, Mason, Toner, von Spakovsky, Walther, and Weintraub voted affirmatively for the decision.

AF#1500 Decided by a vote of 6-0 to: (1) find reason to believe that COMMITTEE TO ELECT MATT MILLER, and DON EISERLING as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate mailgram. Commissioners Lenhard, Mason, Toner, von Spakovsky, Walther, and Weintraub voted affirmatively for the decision.

AF#1510 Decided by a vote of 6-0 to: (1) find reason to believe that MARK HUNT FOR CONGRESS, and JOSEPH F SMITH as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate mailgram. Commissioners Lenhard,

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Mason, Toner, von Spakovsky, Walther, and Weintraub voted affirmatively for the decision.

AF#1503 Decided by a vote of 6-0 to: (1) find reason to believe that FRIENDS FOR DIX, and Gronewald, Matt as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate mailgram. Commissioners Lenhard, Mason, Toner, von Spakovsky, Walther, and Weintraub voted affirmatively for the decision.

AF#1498 Decided by a vote of 6-0 to: (1) find reason to believe that CITIZENS TO ELECT PHILLIP JACKSON, and KIRSTEN ROKKE as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate mailgram. Commissioners Lenhard, Mason, Toner, von Spakovsky, Walther, and Weintraub voted affirmatively for the decision.

AF#1504 Decided by a vote of 6-0 to: (1) find reason to believe that GILLILAND FOR CONGRESS, and BRIAN GILLILAND as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate mailgram. Commissioners Lenhard, Mason, Toner, von Spakovsky, Walther, and Weintraub voted affirmatively for the decision.

AF#1507 Decided by a vote of 6-0 to: (1) find reason to believe that KISER 4 CONGRESS, and CLYDE GLENN JONES as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate mailgram. Commissioners Lenhard, Mason, Toner, von Spakovsky, Walther, and Weintraub voted affirmatively for the decision.

AF#1508 Decided by a vote of 6-0 to: (1) find reason to believe that LIBERTY PA PAC, and TROY M CASSEL as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate mailgram. Commissioners Lenhard, Mason, Toner, von Spakovsky, Walther, and Weintraub voted affirmatively for the decision.

AF#1496 Decided by a vote of 6-0 to: (1) find reason to believe that ASIAN AMERICAN ACTION FUND (AAA FUND), and IRENE BUENO as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate mailgram. Commissioners Lenhard, Mason, Toner, von Spakovsky, Walther, and Weintraub voted affirmatively for the decision.

AF#1502 Decided by a vote of 6-0 to: (1) find reason to believe that FLORIDA CONGRESSIONAL COMMITTEE, and FORREST B RAFFEL as treasurer violated 2

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U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate mailgram. Commissioners Lenhard, Mason, Toner, von Spakovsky, Walther, and Weintraub voted affirmatively for the decision.

AF#1505 Decided by a vote of 6-0 to: (1) find reason to believe that IBEW 349 ELECTRO - PAC, and BRIAN RAPPAPORT as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate mailgram. Commissioners Lenhard, Mason, Toner, von Spakovsky, Walther, and Weintraub voted affirmatively for the decision.

AF#1513 Decided by a vote of 6-0 to: (1) find reason to believe that MS CONSULTANTS INC POLITICAL ACTION COMMITTEE MSCPAC, and RAYMOND J BRIYA as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate mailgram. Commissioners Lenhard, Mason, Toner, von Spakovsky, Walther, and Weintraub voted affirmatively for the decision.

AF#1514 Decided by a vote of 6-0 to: (1) find reason to believe that MURRAY ENERGY CORPORATION POLITICAL ACTION COMMITTEE, and Newport, Christopher as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate mailgram. Commissioners Lenhard, Mason, Toner, von Spakovsky, Walther, and Weintraub voted affirmatively for the decision.

AF#1522 Decided by a vote of 6-0 to: (1) find reason to believe that UNITED FRESH FRUIT AND VEGETABLE ASSOCIATION FRESH POLITICAL ACTION COMMITTEE (FRESH PAC), and Guenther, Robert as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate mailgram. Commissioners Lenhard, Mason, Toner, von Spakovsky, Walther, and Weintraub voted affirmatively for the decision.

AF#1518 Decided by a vote of 6-0 to: (1) find reason to believe that REYNOLDS CONSTRUCTION MANAGEMENT INC PAC, and RICHARD T REYNOLDS as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate mailgram. Commissioners Lenhard, Mason, Toner, von Spakovsky, Walther, and Weintraub voted affirmatively for the decision.

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AF#1511 Decided by a vote of 6-0 to: (1) find reason to believe that MICHIGAN DOCTORS POLITICAL ACTION COMMITTEE - MICHIGAN STATE MEDICAL SOCIETY, and Grant, James Dr. MD as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate mailgram. Commissioners Lenhard, Mason, Toner, von Spakovsky, Walther, and Weintraub voted affirmatively for the decision.

AF#1516 Decided by a vote of 6-0 to: (1) find reason to believe that OHIO ASSOCIATION OF MORTGAGE BROKERS PAC (OAMB PAC), and STEVE R. CLAY as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate mailgram. Commissioners Lenhard, Mason, Toner, von Spakovsky, Walther, and Weintraub voted affirmatively for the decision.

AF#1517 Decided by a vote of 6-0 to: (1) find reason to believe that REPUBLICANS FOR CHOICE PAC, and Stone, Ann E. W. Ms. as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate mailgram. Commissioners Lenhard, Mason, Toner, von Spakovsky, Walther, and Weintraub voted affirmatively for the decision.

AF#1519 Decided by a vote of 6-0 to: (1) find reason to believe that RIGHTMARCH.COM PAC INC, and Greene William as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate mailgram. Commissioners Lenhard, Mason, Toner, von Spakovsky, Walther, and Weintraub voted affirmatively for the decision.

AF#1512 Decided by a vote of 6-0 to: (1) find reason to believe that MONROE COUNTY DEMOCRATIC COMMITTEE, and KAY SISUNG as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate mailgram. Commissioners Lenhard, Mason, Toner, von Spakovsky, Walther, and Weintraub voted affirmatively for the decision.

Attest:

October 2, 2006
Date

Mary W. Dove
Mary W. Dove
Secretary of the Commission

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FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

October 4, 2006

Laura A. Wabno
Schlesinger US Senate
147 Ida Ave.
Derby, CT 06418

C00423061
AF#: 1521

Dear Laura A Wabno:

The Federal Election Campaign Act of 1971, as amended ("the Act"), requires that your committee file a July Quarterly Report of Receipts and Disbursements every calendar year. This report, covering the period through June 30th, shall be filed no later than July 15th. 2 U.S.C. 434(a). You were previously notified of the due date for this report. Records at the Federal Election Commission ("FEC") indicate that this report was filed on 7/25/2006, 10 days late.

The Act was further amended in 1999 to permit the FEC to impose civil money penalties for violations of the reporting requirements of 2 U.S.C. 434(a). 2 U.S.C. 437g(a)(4). On 9/29/2006, the FEC found that there is reason to believe ("RTB") that Schlesinger US Senate and you, as treasurer, violated 2 U.S.C. 434(a) by failing to file timely this report on or before July 15th. Based on the FEC's schedules of civil money penalties at 11 C.F.R. 111.43, the amount of your civil money penalty calculated at the RTB stage is \$1,850. It is due by 11/8/2006 and is based on these factors:

Election Sensitivity of Report: Not Election Sensitive
Level of Activity: \$ 129,409
Number of Days Late: 10
Number of Previous Civil Money Penalties Assessed: 0

To Pay the Calculated Civil Money Penalty

To pay the calculated civil money penalty, send the enclosed remittance and your payment to the FEC at the address on page 3. Upon receipt of your payment, the FEC will send you a final determination letter.

To Challenge the RTB Finding and/or Calculated Civil Money Penalty

To challenge the RTB finding and/or calculated civil money penalty, you must submit a written response, including the AF# found on page one, to the FEC's Office of Administrative Review, 999 E Street, NW, Washington, DC 20463. Your response must be received by 11/8/2006. Your written response must include the reason(s) why you are challenging the RTB finding and/or calculated civil money penalty. The FEC will only consider challenges that are based on a factual error, miscalculation of the calculated civil money penalty by the FEC, or the

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existence of extraordinary circumstances that persisted for more than 48 hours that were beyond your control and prevented you from filing the report in a timely manner. Your response must include the factual basis supporting the reason(s) and supporting documentation. The FEC strongly encourages that documents be submitted in the form of affidavits or declarations. Examples of circumstances that will not be considered extraordinary include, but are not limited to, negligence, problems with vendors or contractors, staff illness, computer failures and similar circumstances. 11 C.F.R. 111.35(b)(1)(iii) and (4).

Your failure to raise an argument in a timely fashion during the administrative process shall be deemed a waiver of your right to present such argument in a petition to the district court under 2 U.S.C. 437g. 11 C.F.R. 111.38.

If you intend to be represented by counsel, please advise the Office of Administrative Review. You should provide, in writing, the name, address and telephone number of your counsel and authorize counsel to receive notifications and communications relating to this challenge and imposition of the calculated civil money penalty.

If You Do Not Pay the Calculated Civil Money Penalty or Submit a Challenge

If you do not pay the calculated civil money penalty or submit a written response, the FEC will assume that the preceding factual allegations are true and make a final determination that Schlesinger US Senate and you, as treasurer, violated 2 U.S.C. 434(a) and assess a civil money penalty.

Unpaid civil money penalties assessed through the Administrative Fine regulations will be subject to the Debt Collection Act of 1982 ("DCA") as amended by the Debt Collection Improvement Act of 1996, 31 U.S.C. 3701 et seq. The FEC may take any and all appropriate action authorized and required by the DCA, as amended, including transfer to the U.S. Department of the Treasury for collection. 11 C.F.R. 111.45.

This matter was generated based on information ascertained by the FEC in the normal course of carrying out its supervisory responsibilities. 2 U.S.C. 437g(a)(2). It will remain confidential in accordance with 2 U.S.C. 437g(a)(4)(B) and 437g(a)(12)(A) until it is placed on the public record in accordance with 11 C.F.R. 111.42, unless you notify the FEC in writing that you wish the matter to be made public.

Additional information on the FEC's administrative fine program, including the final regulations, is located at the FEC's website at www.FEC.gov. If you have questions regarding the payment of the calculated civil money penalty, please contact Laura Sinram in the Reports Analysis Division at our toll free number (800) 424-9530 (at the prompt press 5) or (202) 694-1157. If you have questions regarding the submission of a challenge, please contact the Office of Administrative Review at our toll free number (800) 424-9530 (press 0, then ext. 1660) or (202) 694-1660.

Sincerely,



Michael E. Toner
Chairman

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ADMINISTRATIVE FINE REMITTANCE & PAYMENT INSTRUCTIONS

In accordance with the schedule of penalties at 11 C.F.R. 111.43, the amount of your civil money penalty calculated at RTB is \$1,850 for the 2006 July Quarterly Report.

Please mail this remittance with a check or money order made payable to the Federal Election Commission to the following address:

Federal Election Commission
P.O. Box 979058
St. Louis, MO 63197-9000

If you choose to send your remittance and payment by courier or overnight delivery, please use this address:

U.S. Bank - Government Lockbox
FEC #979058
1005 Convention Plaza
Attn: Government Lockbox, SL-MO-C2GL
St. Louis, MO 63101

The remittance and your payment are due by 11/8/2006. Upon receipt of your remittance and payment, the FEC will send you a final determination letter.

PAYMENTS BY PERSONAL CHECK

Personal checks will be converted into electronic funds transfers (EFTS). Your account will be electronically debited for the amount on your check, usually within 24 hours, and the debit will appear on your regular statement. We will destroy your original check and keep a copy of it. In case the EFT cannot be processed for technical reasons, you authorize us to process the copy in lieu of the original check. Should the EFT not be completed because of insufficient funds, we may try to make the transfer twice.

PLEASE DETACH AND RETURN THE PORTION BELOW WITH YOUR PAYMENT

FOR: Schlesinger US Senate

FEC ID#: C00423061

AF#: 1521

PAYMENT DUE DATE: 11/8/2006

PAYMENT AMOUNT DUE: \$ 1,850

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ALAN Schlesinger US SENATE

VIA UPS

www.schlesinger2006.com

November 2, 2006

Federal Elections Commission
Office of Administrative Review
999 E. Street, N.W.
Washington, DC 20463

RE: C00423061
AF#: 1521

Dear Office of Administrative Review:

This correspondence is in response to the civil penalty that you levied against our campaign committee based on a violation of 2U.S.C 434(a) (failure to file timely report before July 15, 2006). We wish to challenge the RTB finding that we were delinquent with said filing. My office was in contact with the FEC on the date prior to our submitting said report, and was told several times that as long as the filing was post-marked by July 15, 2006 we were in compliance with all filing requirements. As you can see, by the attached proof of postmark, we did file prior to July 15, 2006.

We are attempting to get the name of the individual who we spoke with on that particular day in mid-July who did not advise us of the certification requirement, even though we asked that specific question and we were told to "just keep a copy of the postmarked envelope." We believe this individual works with Robin Kelley and has the first name Maria.

If this penalty is not rescinded, we request a hearing before the appropriate forum with the FEC. We have limited resources, and this penalty is extremely onerous on a campaign that has raised only \$100,00.00 and has outstanding loans of \$100,00.00.

Very truly yours,


Alan R. Schlesinger

ARS/lat
Enclosure

P.O. Box 500 • Shelton, Connecticut 06484
203-982-3285

Paid for by Schlesinger U.S. Senate Committee, Laura Wabno, Treasurer

2006 NOV - 3 P 3:09

FEDERAL ELECTIONS
ADMINISTRATIVE REVIEW

27092602278

EMILY J REYNOLDS
SECRETARY

F-1
S
MAY 54
WASHINGTON
PHO

United States Senate

OFFICE OF THE SECRETARY

OFFICE OF PUBLIC RECORDS

THE PRECEDING DOCUMENT WAS:

HAND DELIVERED _____

Date of Receipt

USPS FIRST CLASS MAIL _____

07-14-06

Postmark

USPS REGISTERED/CERTIFIED _____

Postmark

USPS PRIORITY MAIL _____

Postmark

DELIVERY CONFIRMATION OR SIGNATURE CONFIRMATION LABEL

USPS EXPRESS MAIL _____

Postmark

OVERNIGHT DELIVERY SERVICE:

SHIPPING DATE

NEXT BUSINESS DAY DELIVERY

FEDERAL EXPRESS _____

UPS _____

DHL _____

AIRBORNE EXPRESS _____

RECEIVED FROM FEDERAL ELECTION COMMISSION _____

Date of Receipt

POSTMARK ILLEGIBLE

NO POSTMARK

FAX _____

Date of Receipt

OTHER _____

Date of Receipt or Postmark

RD

172

PREPARED

27092602279

20572076



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

Via First Class Mail

November 6, 2006

Laura A Wabno, as Treasurer
Schlesinger US Senate
147 Ida Avenue
Derby, Connecticut 06418

C00423061
AF# 1521

Dear Ms. Wabno:

On November 3, 2006, the Commission received the written response and supporting documentation ("challenge") from Alan R. Schlesinger which is being reviewed by the Office of Administrative Review. If you have any questions regarding the challenge, please contact this Office on our toll free number (800) 424-9530 (press 0, then ext. 1660) or (202) 694-1660.

Sincerely,

A handwritten signature in cursive script that reads "Shawn Woodhead Werth".

Shawn Woodhead Werth
Reviewing Officer
Office of Administrative Review

27092602280

2006 NOV -

Date: November 8, 2006

**REFERRAL TO OFFICE OF ADMINISTRATIVE REVIEW
CHALLENGE RECEIVED**

AF#: 1521

Committee Name: Schlesinger US Senate

Committee ID#: C00423061

Committee Address (if different than in RTB letter): N/A

Treasurer Name (if different than in RTB finding): N/A

Attachments:

**Copy of RTB Circulation Report, dated September 25, 2006 and RTB
Certification, dated September 29, 2006: Previously Forwarded**

Attachment #: N/A

Certified Return Receipt (to be forwarded at later date if not yet received) - Y

Attachment #: 1

Original Correspondence Received by RAD in Response to

RTB Letter (Y/N): N

Attachment #: N/A

RAD Staff Declaration:

-July Quarterly Prior Report Notice, dated June 21, 2006.

-Non-Filer Letter, dated July 21, 2006.

-RTB Letter, dated October 4, 2006.

Attachment #: 3

2006 NOV - 8 PM 3:12

27092602281

SENDER: COMPLETE THIS SECTION


- Complete items 1, 2, and 3. Also complete item 4 if Restricted Delivery is desired.
- Print your name and address on the reverse so that we can return the card to you.
- Attach this card to the back of the mailpiece, or on the front if space permits.

1. Article Addressed to:
 Laura A. Wabno
 147 Ida Ave.
 Derby, CT 06418

2. Article Number
 (Transfer from service label)

7005 1820 0003 8239 5913 5913

COMPLETE THIS SECTION ON DELIVERY

A. Signature  Agent
 Addressee

B. Received by (Printed Name) C. Date of Delivery
 10/10/04

D. Is delivery address different from item 1? Yes
 If YES, enter delivery address below: No

3. Service Type
 Certified Mail Express Mail
 Registered Return Receipt for Merchandise
 Insured Mail C.O.D.

4. Restricted Delivery? (Extra Fee) Yes

27092602282

DECLARATION OF PATRICIA CARMONA

1. I am the Chief of the Compliance Branch for the Reports Analysis Division of the Federal Election Commission ("Commission"). In my capacity as Chief of the Compliance Branch, I oversee the research conducted with regard to the Administrative Fine Program. I make this declaration based on my personal knowledge and, if called upon as a witness, could and would testify competently to the following matters.
2. I hereby certify that documents identified herein are true and accurate copies of the following sent by Schlesinger US Senate:
 - Prior Notice, dated June 21, 2006, referencing the 2006 July Quarterly Report;
 - Non-Filer Letter, dated July 21, 2006, referencing the 2006 July Quarterly Report;
 - Reason-to-Believe Letter, dated October 4, 2006, referencing the 2006 July Quarterly Report.
3. I hereby certify that I have searched the Commission's public records and find that Schlesinger US Senate filed the 2006 July Quarterly Report on paper with the Secretary of Senate on July 25, 2006.
4. Pursuant to 28 U.S.C. 1746, I declare under penalty of perjury that the foregoing is true and correct and we have provided all relevant telecoms for the case. This declaration was executed at Washington, D.C. on the 8th day of November, 2006.



Patricia Carmona
Chief, Compliance Branch
Reports Analysis Division
Federal Election Commission

27092602285

QUARTERLY REPORT NOTICE

FEDERAL ELECTION COMMISSION

CONGRESSIONAL COMMITTEES

June 21, 2006

REPORTING DATES

REPORT	REPORTING PERIOD ¹	REG./CERT. & OVERNIGHT MAILING DATE	FILING DATE
July Quarterly	04/01/06 - 06/30/06	07/15/06	07/15/06 ²

WHO MUST FILE

Principal campaign committees of congressional candidates³ (including unopposed candidates and candidates whose names do not appear on the ballot) must file a July Quarterly Report by July 15, 2006.⁴

Campaigns that raise or spend more than \$5,000 for the 2006 election cycle (and thus trigger registration and reporting requirements) must file quarterly reports throughout 2006, even if the candidate plans to retire, withdraws from the race prior to the primary election, loses the primary or drops out of the race prior to the general election. Committees must continue to file reports until the Commission notifies them in writing that their termination report has been accepted.

METHOD OF FILING

Reports sent by registered or certified mail must be postmarked by the mailing date (please note that a certificate of mailing is not an acceptable method). Committees should keep the mailing receipt with its postmark as proof of filing.

If using overnight mail, the delivery service must receive the report by the mailing date. "Overnight mail" includes Priority or Express Mail having a delivery confirmation, or an overnight delivery service with an on-line tracking system and scheduled for next business day delivery. Reports filed by any other means must be received by the Commission (or Secretary of the Senate for Senate committees) by the filing date.

ELECTRONIC FILING

U.S. House committees that receive contributions or make expenditures in excess of \$50,000 in a calendar year, or that have reason to expect to do so, must submit their reports electronically. For additional information, review the enclosed handout entitled "Electronic Filing," call the FEC's Electronic Filing office at (800) 424-9530 or (202) 694-1642, and visit our web site at www.fec.gov/elecfil/electron.shtml.

¹These dates indicate the beginning and the end of the reporting period. A reporting period always begins the day after the closing date of the last report filed. If the committee is new and has not previously filed a report, the first report must cover all activity that occurred before the committee registered.

²Notice that this filing date falls on a weekend. Filing dates are not extended when they fall on nonworking days.

³An individual becomes a candidate for Federal office (and thus triggers registration and reporting obligations) when his or her campaign exceeds \$5,000 in either contributions received or expenditures made. If the campaign has not exceeded the \$5,000 threshold, it is not required to file reports.

⁴If the campaign has more than one authorized committee in addition to the principal campaign committee, the principal campaign committee must also file a consolidated report on Form 3Z.

(over)

27092602284

REPORTING SCHEDULE FOR REMAINDER OF 2006

REPORT	REPORTING PERIOD ¹	REG./CERT. & OVERNIGHT MAILING DATE	FILING DATE
October Quarterly	07/01/06 - 09/30/06	10/15/06	10/15/06 ²
Pre-General	10/01/06 - 10/18/06	10/23/06	10/26/06
48-Hour Notices	10/19/06 - 11/04/06	----see below----	
Post-General	10/19/06 - 11/27/06	12/07/06	12/07/06
Year-End	11/28/06 - 12/31/06	01/31/07	01/31/07

Note: A committee whose candidate participates in a 2006 state primary, nominating convention or runoff election – even if unopposed – must also file a pre-election report 12 days prior to the primary, nominating convention or runoff. The campaign committee of a candidate who participates in the general election must file pre- and post-general election reports. Separate notices will be sent to explain these additional reporting obligations. See the January 2006 Record, which can be found on the FEC web site at www.fec.gov/pages/record.shtml.

48 HOUR NOTICES ON CONTRIBUTIONS

Notices are required if the committee receives contributions **(including in-kind gifts or advances of goods or services; Loans from the candidate or other non-bank sources; and guarantees or endorsements of bank loans to the candidate or committee)** of \$1,000 or more, during the period less than 20 days but more than 48 hours before any election in which the candidate is running. The notices must reach the appropriate federal filing office within 48 hours of the committee’s receipt of the contribution(s). Senate candidates must transmit them to the Secretary of the Senate at (202) 224-1851. **Campaign committees that file electronically MUST submit their 48-hour notices electronically.** For more information, visit the FEC web site at www.fec.gov/electfil/online.shtml. U.S. House candidates faxing 48-hour notices should transmit them to the FEC at (202) 219-0174.

COMPLIANCE

- Treasurers of political committees are responsible for both the timeliness and the accuracy of all reports. They may be subject to monetary penalties if reports are inaccurate or are not filed on time.
- Under the Administrative Fine Program, political committees and their treasurers who fail to file their reports (including 48-hour notices) on time may be subject to civil money penalties up to \$16,000 (or more for repeat late- and non-filers).⁵ For additional information, see the enclosed handout entitled, “Administrative Fine Program,” and visit the FEC web site at www.fec.gov/af.shtml.
- In addition, political committees that file illegible reports or use non-FEC forms (except for FEC-approved, computer-generated forms) will be required to refile their reports.
- Electronic filers who instead file on paper or submit an electronic report (either by direct transmission or on 3.5” diskette) that does not pass the validation test will be considered non-filers and may be subject to enforcement actions (including administrative fines).

¹See footnote #1, on reverse side.

²See footnote #2, on reverse side.

⁵Penalties for late- or non-filing of 48-hour notices are based on the amount of contributions not timely disclosed. As a result, these penalties may exceed \$16,000, even for first-time violations.

FOR INFORMATION, CALL: (800) 424-9530 or (202) 694-1100

27092602285



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

July 21, 2006

RQ-7

Laura A Wabno, Treasurer
Schlesinger Us Senate
147 Ida Ave
Derby, CT 6418

IDENTIFICATION NUMBER: C00423061

Reference: July Quarterly Report 4/1/2006 - 6/30/2006

Dear Treasurer:

It has come to the attention of the Federal Election Commission that you may have failed to file the above referenced report of receipts and expenditures as required by the Federal Election Campaign Act, as amended. You were previously notified of the due date for this report.

You will be allowed four (4) business days from the date of this notice to file this report to avoid publication.

The report must be filed with the Federal Election Commission, 999 E Street, N.W., Washington, D.C. 20463 for House candidates, or the Secretary of the Senate, 232 Hart Senate office building, Washington, D.C. 20510, for Senate candidates. A copy of the report must also be filed with the Secretary of State or equivalent State officer unless the State is exempt from the federal requirement to receive and maintain paper copies. If you have filed the report timely by certified or registered mail, please notify us immediately, in writing, of the certified or registered number and the date that the report was sent.

In addition, the failure to timely file this report may result in civil money penalties, an audit or other legal enforcement action. The civil money penalty calculation for late reports does not include a grace period and begins on the day following the due date for the report.

If you have any questions regarding this matter, please contact Chris Ritchie in the Reports Analysis Division on our toll free number (800)424-9530. Our local number is (202)694-1130.

Sincerely,

A handwritten signature in cursive script, appearing to read "John D. Gibson".

John D. Gibson
Assistant Staff Director
Reports Analysis Division (RAD)

26028490318
91308183092



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

RECEIVED
FEDERAL ELECTION
COMMISSION
SECRETARIAT

2007 MAR 29 P 12:07

March 29, 2007

MEMORANDUM

SENSITIVE

TO: THE COMMISSION

THROUGH: PATRINA M. CLARK *PMC*
STAFF DIRECTOR

MARGARITA MAISONET *MM*
CHIEF COMPLIANCE OFFICER

FROM: SHAWN WOODHEAD WERTZ *SW*
REVIEWING OFFICER
OFFICE OF ADMINISTRATIVE REVIEW

SUBJECT: AF# 1521 - SCHLESINGER US SENATE AND LAURA A.
WABNO, AS TREASURER (C00423061)

The attached Reviewing Officer Recommendation is being circulated on an informational basis. A copy was also sent to respondents in accordance with 11 C.F.R. § 111.36(f). The respondents may file with the Commission Secretary a written response within 10 days of transmittal of the recommendation.

After the 10 day period, the Reviewing Officer Recommendation and the respondents' written response, if any, will be circulated to the Commission to make a final determination.

Attachment

27092602287



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

March 29, 2007

**REVIEWING OFFICER RECOMMENDATION
OFFICE OF ADMINISTRATIVE REVIEW ("OAR")**

AF# 1521 – Schlesinger US Senate and Laura A. Wabno, as Treasurer (C00423061)

On September 29, 2006, the Commission found reason to believe ("RTB") that the respondents violated 2 U.S.C. § 434(a) for failing to timely file the 2006 July Quarterly Report and made a preliminary determination that the civil money penalty was \$1,850 based on the schedule of penalties at 11 C.F.R. § 111.43. They were notified by the Reports Analysis Division ("RAD") on October 4, 2006 of the Commission's RTB finding and civil money penalty.

Respondents' Response

On November 3, 2006, the Commission received the written response ("challenge") from the Candidate for the respondents. Mr. Schlesinger states that his office staff contacted the Commission before the due date and was told numerous times that the report would be timely filed as long as it was postmarked by July 15, 2006. The challenge further states that while they are still attempting to identify with whom they spoke, they asked the person to explain the different filing methods and were simply told to keep a copy of the postmarked envelope. Submitted with the challenge was a copy of the Office of Public Records' postmark sheet with a July 14, 2006 postmark date for first class mail. Finally, the challenge requests a hearing at the Commission in the event the penalty is not waived.

Analysis

The 2006 July Quarterly Report was filed on July 26, 2006, 10 days late.

The Federal Election Campaign Act ("Act") requires that a report for the quarter ending June 30, 2006 be filed no later than July 15, 2006. 2 U.S.C. § 434(a)(2)(A)(iii) and 11 C.F.R. § 104.5(a)(1)(i). Quarterly reports sent by registered or certified mail, by Express or Priority Mail with delivery confirmation or by overnight delivery service with an online tracking system and postmarked or deposited with the service by the filing date are considered timely filed. Reports sent by first class mail must be received by the filing date to be filed on time. 2 U.S.C. § 434(a)(5) and 11 C.F.R. §§ 100.19(b) and 104.5(e).

The respondents state that they do not know the name of the Commission employee with whom they spoke, the Information Division does not keep records of telephone conversations, and RAD has only one record of a telephone conversation with the Committee before the due date. According to the RAD telecom (written record of a telephone conversation), the Committee called the RAD Analyst on July 10 to ask if they could electronically file the report. The Analyst explained that, because this is a Senate committee, he could prepare the report in an electronic format, print it out, and mail it to

27092602288

the Senate. There is no indication that questions were raised regarding other filing methods or that the Committee was advised to keep a copy of the postmarked envelope.

The Candidate called on October 12, 2006, after issuance of the RTB finding, and spoke to a different RAD Analyst. According to the telecom, the Candidate spoke to the Senate's Office of Public Records' staff who told him it was acceptable to send the report by mail. The RAD Analyst advised the Candidate that future reports should be filed by registered or certified mail or by overnight delivery and suggested that the Candidate contact the Office of Public Records to determine the best way to file the report.

Had the respondents sent the report on July 14, 2006, by either certified or registered mail or by Priority or Express mail with delivery confirmation, the U.S. postmark would have been the filing date and the report would have been timely filed. Since the report was sent by first class mail, the July 26 receipt date is also the filing date.

With respect to the Candidate's request for a hearing if the RTB civil money penalty is not waived, the administrative fine regulations do not provide for a challenge or hearing after final determination; instead, the regulations at 11 C.F.R. § 111.38 explain that the respondents may submit a written petition in U.S. District Court if they want to appeal the Commission's final determination.

The challenge fails to address any of the three valid excuses enumerated at 11 C.F.R. § 111.35(b). These are: (i) the existence of factual errors; and/or (ii) the improper calculation of the civil money penalty; and/or (iii) the existence of extraordinary circumstances that were beyond their control and that were for a duration of at least 48 hours and that prevented them from filing the report in a timely manner. The other issue raised in the challenge (that the penalty is too burdensome based on the size of the campaign and outstanding loans) also does not fall within this list of defenses. The Reviewing Officer, therefore, recommends that the Commission make a final determination that the respondents violated 2 U.S.C. § 434(a) and assess a civil money penalty of \$1,850. In addition, RAD notified OAR that the Committee is eligible to terminate.

OAR Recommendations

- (1) Make a final determination in AF#1521 that Schlesinger US Senate and Laura Wabno, as Treasurer, violated 2 U.S.C. § 434(a) and assess a civil money penalty of \$1,850; and
- (2) Send the appropriate letter.

Attachments

- Attachment 1 - Challenge Received from Respondents
- Attachment 2 - Declaration from RAD
- Attachment 3 - Declaration from OAR

27092602289

ALAN **Schlesinger** US SENATE

www.schlesinger2006.com

VIA UPS

November 2, 2006

Federal Elections Commission
Office of Administrative Review
999 E. Street, N.W.
Washington, DC 20463

RE: C00423061
AF#: 1521

Attachment # 1
2 Pages

Dear Office of Administrative Review:

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Very truly yours,


Alan R. Schlesinger

ARS/lat
Enclosure

P.O. Box 500 • Shelton, Connecticut 06484
203-982-3285

Paid for by Schlesinger U.S. Senate Committee, Laura Wabno, Treasurer

2006 NOV -3 P 3:09

Administrative

27092602290

EMILY J REYNOLDS
SECRETARY

F-2
S
HAT 5
WAB 1
PH 1

United States Senate

OFFICE OF THE SECRETARY

OFFICE OF PUBLIC RECORDS

THE PRECEDING DOCUMENT WAS:

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07-14-06

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FAX _____

Date of Receipt

OTHER _____

Date of Receipt or Postmark

RD

172

RECEIVED

27092602291

20572076

DECLARATION OF PATRICIA CARMONA

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Patricia Carmona
Chief, Compliance Branch
Reports Analysis Division
Federal Election Commission

27092602292

QUARTERLY REPORT NOTICE

FEDERAL ELECTION COMMISSION

CONGRESSIONAL COMMITTEES

June 21, 2006

REPORTING DATES

REPORT	REPORTING PERIOD ¹	REG./CERT. & OVERNIGHT MAILING DATE	FILING DATE
July Quarterly	04/01/06 - 06/30/06	07/15/06	07/15/06 ²

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²Notice that this filing date falls on a weekend. Filing dates are not extended when they fall on nonworking days.

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(over)

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REPORTING SCHEDULE FOR REMAINDER OF 2006

REPORT	REPORTING PERIOD ¹	REG./CERT. & OVERNIGHT MAILING DATE	FILING DATE
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48-Hour Notices	10/19/06 - 11/04/06	----see below----	
Post-General	10/19/06 - 11/27/06	12/07/06	12/07/06
Year-End	11/28/06 - 12/31/06	01/31/07	01/31/07

Note: A committee whose candidate participates in a 2006 state primary, nominating convention or runoff election – even if unopposed – must also file a pre-election report 12 days prior to the primary, nominating convention or runoff. The campaign committee of a candidate who participates in the general election must file pre- and post-general election reports. Separate notices will be sent to explain these additional reporting obligations. See the January 2006 Record, which can be found on the FEC web site at www.fec.gov/pages/record.shtml.

48 HOUR NOTICES ON CONTRIBUTIONS

Notices are required if the committee receives contributions (**including in-kind gifts or advances of goods or services; Loans from the candidate or other non-bank sources; and guarantees or endorsements of bank loans to the candidate or committee**) of \$1,000 or more, during the period less than 20 days but more than 48 hours before any election in which the candidate is running. The notices must reach the appropriate federal filing office within 48 hours of the committee's receipt of the contribution(s). Senate candidates must transmit them to the Secretary of the Senate at (202) 224-1851. **Campaign committees that file electronically MUST submit their 48-hour notices electronically.** For more information, visit the FEC web site at www.fec.gov/electfil/online.shtml. U.S. House candidates faxing 48-hour notices should transmit them to the FEC at (202) 219-0174.

COMPLIANCE

- Treasurers of political committees are responsible for both the timeliness and the accuracy of all reports. They may be subject to monetary penalties if reports are inaccurate or are not filed on time.
- Under the Administrative Fine Program, political committees and their treasurers who fail to file their reports (including 48-hour notices) on time may be subject to civil money penalties up to \$16,000 (or more for repeat late- and non-filers).⁵ For additional information, see the enclosed handout entitled, "Administrative Fine Program," and visit the FEC web site at www.fec.gov/af.shtml.
- In addition, political committees that file illegible reports or use non-FEC forms (except for FEC-approved, computer-generated forms) will be required to refile their reports.
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¹See footnote #1, on reverse side.

²See footnote #2, on reverse side.

⁵Penalties for late- or non-filing of 48-hour notices are based on the amount of contributions not timely disclosed. As a result, these penalties may exceed \$16,000, even for first-time violations.

27092602294



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

July 21, 2006

RQ-7

Laura A Wabno, Treasurer
Schlesinger Us Senate
147 Ida Ave
Derby, CT 6418

IDENTIFICATION NUMBER: C00423061

Reference: July Quarterly Report 4/1/2006 - 6/30/2006

Dear Treasurer:

It has come to the attention of the Federal Election Commission that you may have failed to file the above referenced report of receipts and expenditures as required by the Federal Election Campaign Act, as amended. You were previously notified of the due date for this report.

You will be allowed four (4) business days from the date of this notice to file this report to avoid publication.

The report must be filed with the Federal Election Commission, 999 E Street, N.W., Washington, D.C. 20463 for House candidates, or the Secretary of the Senate, 232 Hart Senate office building, Washington, D.C. 20510, for Senate candidates. A copy of the report must also be filed with the Secretary of State or equivalent State officer unless the State is exempt from the federal requirement to receive and maintain paper copies. If you have filed the report timely by certified or registered mail, please notify us immediately, in writing, of the certified or registered number and the date that the report was sent.

In addition, the failure to timely file this report may result in civil money penalties, an audit or other legal enforcement action. The civil money penalty calculation for late reports does not include a grace period and begins on the day following the due date for the report.

If you have any questions regarding this matter, please contact Chris Ritchie in the Reports Analysis Division on our toll free number (800)424-9530. Our local number is (202)694-1130.

Sincerely,

A handwritten signature in cursive script, appearing to read "John D. Gibson".

John D. Gibson
Assistant Staff Director
Reports Analysis Division (RAD)

562016289 2006072102095



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

October 4, 2006

Laura A. Wabno
Schlesinger US Senate
147 Ida Ave.
Derby, CT 06418

C00423061
AF#: 1521

Dear Laura A Wabno:

The Federal Election Campaign Act of 1971, as amended ("the Act"), requires that your committee file a July Quarterly Report of Receipts and Disbursements every calendar year. This report, covering the period through June 30th, shall be filed no later than July 15th. 2 U.S.C. 434(a). You were previously notified of the due date for this report. Records at the Federal Election Commission ("FEC") indicate that this report was filed on 7/25/2006, 10 days late.

The Act was further amended in 1999 to permit the FEC to impose civil money penalties for violations of the reporting requirements of 2 U.S.C. 434(a). 2 U.S.C. 437g(a)(4). On 9/29/2006, the FEC found that there is reason to believe ("RTB") that Schlesinger US Senate and you, as treasurer, violated 2 U.S.C. 434(a) by failing to file timely this report on or before July 15th. Based on the FEC's schedules of civil money penalties at 11 C.F.R. 111.43, the amount of your civil money penalty calculated at the RTB stage is \$1,850. It is due by 11/8/2006 and is based on these factors:

Election Sensitivity of Report: Not Election Sensitive
Level of Activity: \$ 129,409
Number of Days Late: 10
Number of Previous Civil Money Penalties Assessed: 0

To Pay the Calculated Civil Money Penalty

To pay the calculated civil money penalty, send the enclosed remittance and your payment to the FEC at the address on page 3. Upon receipt of your payment, the FEC will send you a final determination letter.

To Challenge the RTB Finding and/or Calculated Civil Money Penalty

To challenge the RTB finding and/or calculated civil money penalty, you must submit a written response, including the AF# found on page one, to the FEC's Office of Administrative Review, 999 E Street, NW, Washington, DC 20463. Your response must be received by 11/8/2006. Your written response must include the reason(s) why you are challenging the RTB finding and/or calculated civil money penalty. The FEC will only consider challenges that are based on a factual error, miscalculation of the calculated civil money penalty by the FEC, or the

27092602296

existence of extraordinary circumstances that persisted for more than 48 hours that were beyond your control and prevented you from filing the report in a timely manner. Your response must include the factual basis supporting the reason(s) and supporting documentation. The FEC strongly encourages that documents be submitted in the form of affidavits or declarations. Examples of circumstances that will not be considered extraordinary include, but are not limited to, negligence, problems with vendors or contractors, staff illness, computer failures and similar circumstances. 11 C.F.R. 111.35(b)(1)(iii) and (4).

Your failure to raise an argument in a timely fashion during the administrative process shall be deemed a waiver of your right to present such argument in a petition to the district court under 2 U.S.C. 437g. 11 C.F.R. 111.38.

If you intend to be represented by counsel, please advise the Office of Administrative Review. You should provide, in writing, the name, address and telephone number of your counsel and authorize counsel to receive notifications and communications relating to this challenge and imposition of the calculated civil money penalty.

If You Do Not Pay the Calculated Civil Money Penalty or Submit a Challenge


If you do not pay the calculated civil money penalty or submit a written response, the FEC will assume that the preceding factual allegations are true and make a final determination that Schlesinger US Senate and you, as treasurer, violated 2 U.S.C. 434(a) and assess a civil money penalty.

Unpaid civil money penalties assessed through the Administrative Fine regulations will be subject to the Debt Collection Act of 1982 ("DCA") as amended by the Debt Collection Improvement Act of 1996, 31 U.S.C. 3701 et seq. The FEC may take any and all appropriate action authorized and required by the DCA, as amended, including transfer to the U.S. Department of the Treasury for collection. 11 C.F.R. 111.45.

This matter was generated based on information ascertained by the FEC in the normal course of carrying out its supervisory responsibilities. 2 U.S.C. 437g(a)(2). It will remain confidential in accordance with 2 U.S.C. 437g(a)(4)(B) and 437g(a)(12)(A) until it is placed on the public record in accordance with 11 C.F.R. 111.42, unless you notify the FEC in writing that you wish the matter to be made public.

Additional information on the FEC's administrative fine program, including the final regulations, is located at the FEC's website at www.FEC.gov. If you have questions regarding the payment of the calculated civil money penalty, please contact Laura Sinram in the Reports Analysis Division at our toll free number (800) 424-9530 (at the prompt press 5) or (202) 694-1157. If you have questions regarding the submission of a challenge, please contact the Office of Administrative Review at our toll free number (800) 424-9530 (press 0, then ext. 1660) or (202) 694-1660.

Sincerely,



Michael E. Toner
Chairman

27092602297

ADMINISTRATIVE FINE REMITTANCE & PAYMENT INSTRUCTIONS

In accordance with the schedule of penalties at 11 C.F.R. 111.43, the amount of your civil money penalty calculated at RTB is \$1,850 for the 2006 July Quarterly Report.

Please mail this remittance with a check or money order made payable to the Federal Election Commission to the following address:

Federal Election Commission
P.O. Box 979058
St. Louis, MO 63197-9000

If you choose to send your remittance and payment by courier or overnight delivery, please use this address:

U.S. Bank - Government Lockbox
FEC #979058
1005 Convention Plaza
Attn: Government Lockbox, SL-MO-C2GL
St. Louis, MO 63101

The remittance and your payment are due by 11/8/2006. Upon receipt of your remittance and payment, the FEC will send you a final determination letter.

PAYMENTS BY PERSONAL CHECK

Personal checks will be converted into electronic funds transfers (EFTS). Your account will be electronically debited for the amount on your check, usually within 24 hours, and the debit will appear on your regular statement. We will destroy your original check and keep a copy of it. In case the EFT cannot be processed for technical reasons, you authorize us to process the copy in lieu of the original check. Should the EFT not be completed because of insufficient funds, we may try to make the transfer twice.

PLEASE DETACH AND RETURN THE PORTION BELOW WITH YOUR PAYMENT

FOR: Schlesinger US Senate

FEC ID#: C00423061

AF#: 1521

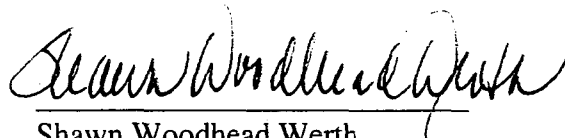
PAYMENT DUE DATE: 11/8/2006

PAYMENT AMOUNT DUE: \$ 1,850

27092602298

DECLARATION OF SHAWN WOODHEAD WERTH

1. I am the Reviewing Officer in the Office of Administrative Review for the Federal Election Commission ("Commission"). In my capacity as Reviewing Officer, I supervise research conducted with respect to all challenges submitted in accordance with the Administrative Fine program.
2. The 2006 July Quarterly Report, covering the period from April 1 through June 30, 2006, is due July 15, 2006. If sent by first class mail, it must be received by July 15 to be timely filed.
3. It is the practice of the Authorized Branch in the Report Analysis Division to document all calls to or from treasurers regarding a letter or mailgram they received or any questions relating to the administrative fine regulations including due dates of reports and filing requirements.
4. It is the practice of the Commission's Information Division not to keep formal records of telephone conversations so that callers free feel to ask questions.
5. It is the practice of the Secretary of the Senate's Office of Public Records to date stamp each report as it is received and to complete a postmark processing sheet to disclose the method used to file the report and the date of the postmark.
6. I hereby certify that I have searched the Commission's public records and that the documents identified herein are true and accurate copies of Page 1 of the Summary Page, Page 4 of the Detailed Summary Page, copy of the envelope, and postmark processing sheet for the 2006 July Quarterly Report filed by Schlesinger U.S. Senate and Laura A. Wabno, as Treasurer. According to Commission records, the report covers the period from May 1 through June 30, 2006, was sent July 14, 2006, and was received on July 26, 2006.
7. Pursuant to 28 U.S.C. § 1746, I declare under penalty of perjury that the foregoing is true and correct and that this declaration was executed at Washington on the 28th of March, 2007.



Shawn Woodhead Werth
Reviewing Officer
Office of Administrative Review
Federal Election Commission

27092602299

FEC FORM 3

REPORT OF RECEIPTS AND DISBURSEMENTS

For An Authorized Committee

SECRETARY OF THE SENATE

06 JUL 26 PM 2:35

1. NAME OF COMMITTEE (in full) USE FEC MAKING LABEL OR TYPE OR PRINT Example: If typing, type over the lines

SCHLESINGER U. S. SENATE

ADDRESS (number and street) 147 IDA AVE

Check if different than previously reported. (ACC) DERBY CT 06418

2. FEC IDENTIFICATION NUMBER CITY STATE ZIP CODE STATE DISTRICT

CDD423061

3. IS THIS REPORT [X] NEW (N) OR [] AMENDED (A)

CT

4. TYPE OF REPORT (Choose One)

(a) Quarterly Reports:

- April 15 Quarterly Report (Q1)
July 15 Quarterly Report (Q2) [X]
October 15 Quarterly Report (Q3)
January 31 Year-End Report (YE)

Termination Report (TER)

(b) 12-Day PRE-Election Report for the:

- Primary (12P) General (12G) Runoff (12R)
Convention (12C) Special (12S)

Election on [] [] [] in the State of []

(c) 30-Day POST-Election Report for the:

- General (30G) Runoff (30R) Special (30S)

Election on [] [] [] in the State of []

5. Covering Period 05 01 2006 through 06 30 2006

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Laura A. Wabno

Signature of Treasurer [Signature] Date 07 15 2006

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. 437g.

Office Use Only

FEC FORM 3 (Revised 02/2003)

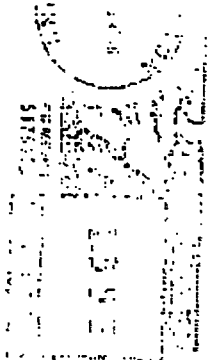
27092602300

26020572041

Secretary of the Senate
Office of Public Records
232 Hart Senate
Office Building
Washington, DC

20510-716

SECRETARY OF THE SENATE
06 JUL 26 PM 2:37



572075

EMILY J REYNOLDS
SECRETARY

FAMULA B. GAVIN
SUPERINTENDENT
HART SENATE OFFICE BUILDING
SUITE 725
WASHINGTON, DC 20510-7118
PHONE (202) 224-1022

United States Senate

OFFICE OF THE SECRETARY
OFFICE OF PUBLIC RECORDS

THE PRECEDING DOCUMENT WAS:

HAND DELIVERED _____

Date of Receipt

USPS FIRST CLASS MAIL _____

07-14-06

Postmark

USPS REGISTERED/CERTIFIED _____

Postmark

USPS PRIORITY MAIL _____

Postmark

DELIVERY CONFIRMATION OR SIGNATURE CONFIRMATION LABEL

USPS EXPRESS MAIL _____

Postmark

OVERNIGHT DELIVERY SERVICE:

SHIPPING DATE

NEXT BUSINESS DAY DELIVERY

FEDERAL EXPRESS _____

UPS _____

DHL _____

AIRBORNE EXPRESS _____

RECEIVED FROM FEDERAL ELECTION COMMISSION _____

Date of Receipt

POSTMARK ILLEGIBLE

NO POSTMARK

FAX _____

Date of Receipt

OTHER _____

Date of Receipt or Postmark

PREPARER

RD

DATE PREPARED

07-26-06

27092602302

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FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20467

VIA OVERNIGHT DELIVERY

April 2, 2007

Laura A. Wabno, as Treasurer
Schlesinger U.S. Senate
147 Ida Avenue
Derby, Connecticut 06418

C00423061
AF# 1521

Dear Ms. Wabno:

On September 29, 2006, the Federal Election Commission ("Commission") found reason to believe ("RTB") that Schlesinger U.S. Senate and you, as Treasurer ("respondents"), violated 2 U.S.C. § 434(a) for failing to timely file the 2006 July Quarterly Report. The Commission also made a preliminary determination that the civil money penalty was \$1,850 based on the schedule of penalties at 11 C.F.R. § 111.43.

After reviewing the written response and any supplemental information submitted by you and Commission staff, the Reviewing Officer has recommended that the Commission make a final determination in this matter. A copy of the Reviewing Officer's recommendation is attached.

You may file with the Commission Secretary a written response to the recommendation within 10 days of the date of this letter. Your written response should be sent to the Commission Secretary, 999 E Street, NW, Washington, DC 20463. As a result of the anthrax threat in the Washington, DC area, US Postal Service mail delivery to federal agencies, including the Commission, has been interrupted. Until regular mail delivery resumes, you may also file your written response with the Commission Secretary via facsimile (202-208-3333) or by courier at the same address (if you use an overnight delivery service, please use zip code 20004 instead of zip code 20463). Please include the AF # in your response. Your response may not raise any arguments not raised in your original written response or not directly responsive to the Reviewing Officer's recommendation. 11 C.F.R. § 111.36(f). The Commission will then make a final determination in this matter.

Please contact me at the toll free number 800-424-9530 (press 0, then press 1660) or 202-694-1660 if you have any questions.

Sincerely,

Shawn Woodhead Werth
Reviewing Officer

Office of Administrative Review

Attachment

27092602303

ALAN Schlesinger

US SENATE

Sent via facsimile: 202-208-3333

April 12, 2007

Ms. Mary Dove
Commission Secretary
Federal Election Commission
Washington, D.C. 20463

Re: C00423061
AF#1521
Written Response to the Reviewing Officer recommendation

Dear Commission Secretary:

It is with great angst that I write this correspondence. I feel that up to this point the Commission has been insensitive and obtuse. My campaign staff member Mary Porter spent numerous hours making sure that our filings were done correctly. She called the Commission many times to ensure that the filings were timely and proper. On one particular occasion, she spoke with a Marie or Maria on July 14, 2006 who insisted that we not send the July 15th filing by certified/return receipt mail. This employee of the FEC told Mary Porter to send the filing by 1st Class mail and keep a copy of the postmark. We did exactly that! Now we are fined \$1850 for following the instructions to the letter of a staff member of your Commission. Unfortunately, the reviewing officer sees no injustice in levying this extraordinary fine.

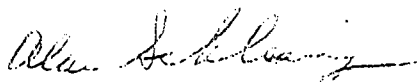
11C.F.R. Section 111.35(b) allows for our fine to be excused based on the existence of factual errors. My campaign staff member will state under oath that she was given these improper instructions, thus creating the factual error in the Commission staff's analysis.

I must say that my dealings with the FEC were always professional and cordial in the past. I just don't understand the obstinacy on this matter.

I do hope that the Commission will be fair and just and not levy a draconian penalty for an innocent mistake that was made due to a miscommunication.

I await your just decision.

Very truly yours,



Alan Schlesinger
Candidate for the U.S. Senate

P.O. Box 500 • Shelton, Connecticut 06484
203-982-3285

Paid for by Schlesinger U.S. Senate Committee, Laura Wabno, Treasurer

2007 APR 13 A 8 00

RECEIVED
FEDERAL ELECTION
COMMISSION
SECRETARIAT

27092602304



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

RECEIVED
FEDERAL ELECTION
COMMISSION
SECRETARIAT

2007 APR 25 A 9:34

April 24, 2007

MEMORANDUM

SENSITIVE

TO: THE COMMISSION

THROUGH: PATRINA M. CLARK *mc*
STAFF DIRECTOR

MARGARITA MAISONET *mm*
CHIEF COMPLIANCE OFFICER

FROM: SHAWN WOODHEAD WERTH *SW*
REVIEWING OFFICER
OFFICE OF ADMINISTRATIVE REVIEW

SUBJECT: FINAL DETERMINATION RECOMMENDATION IN AF# 1521 -
SCHLESINGER U.S. SENATE AND LAURA A. WABNO, AS
TREASURER (C00423061)

On September 29, 2006, the Commission found reason to believe ("RTB") that the respondents violated 2 U.S.C. § 434(a) for filing the 2006 July Quarterly Report 10 days late. They filed the original report July 14 by first class mail which was received July 26 and an amended report July 25 by an overnight delivery service with an on-line tracking system, scheduled for next day delivery. Therefore, the earlier July 25 deposit date with the overnight delivery service was used to make a preliminary determination that the civil money penalty was \$1,850 based on the schedule of penalties at 11 C.F.R. § 111.43.

On November 3, 2006, the Commission received the respondents' written response ("challenge"). After reviewing the challenge, the Reviewing Officer's recommendation dated March 29, 2007 was forwarded to the Commission. A copy was simultaneously forwarded to the respondents. The Reviewing Officer recommended that the Commission make a final determination that they violated 2 U.S.C. § 434(a) and assess a civil money penalty of \$1,850 because they submitted no evidence that a factual error was made, that the civil money penalty was miscalculated at RTB or that extraordinary circumstances existed which prevented them from filing the report on time.

Within 10 days of transmittal of the recommendation, the respondents may file a written response with the Commission Secretary which may not raise any arguments not raised in the respondents' challenge or directly responsive to the Reviewing Officer's

27092602305

recommendation. 11 C.F.R. § 111.36(f). The Commission received their written response on April 12, 2007 which is attached for your review.

OAR Recommendations

- (1) Make a final determination in AF# 1521 that Schlesinger U.S. Senate and Laura A. Wabno, as Treasurer, violated 2 U.S.C. § 434(a) and assess a civil money penalty of \$1,850; and
- (2) Send the appropriate letter.

Attachment 1 – Amended 2006 July Quarterly Report

Attachment 2 – Respondents' Response

Attachment

27092602306



FEDERAL ELECTION COMMISSION

Washington, DC 20463

SENSITIVE

DATE & TIME OF TRANSMITTAL Wednesday, April 25, 2007 11:00

BALLOT DEADLINE: Monday, April 30, 2007 4:00

COMMISSIONER: LENHARD, MASON, von SPAKOVSKY, WALTHER, WEINTRAUB

SUBJECT: **Final Determination Recommendation in AF #1521 –
Schlesinger US Senate and Laura A. Wabno, as Treasurer
(C00423061) – Memorandum from the Reviewing Officer dated
April 24, 2007**

- I approve the recommendation(s)
- I object to the recommendation(s)
- I am recused from voting.
- No vote by ballot.

COMMENTS: _____

DATE: _____

SIGNATURE: _____

A definite vote is required. All ballots must be signed and dated. Please return ONLY THE BALLOT to the Commission Secretary. Please return ballot no later than date and time shown above.

FROM THE OFFICE OF THE SECRETARY OF THE COMMISSION

27092602307

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
)
Final Determination Recommendation -) AF 1521
Schlesinger U.S. Senate and Laura A.)
Wabno, as Treasurer (C00423061))

CERTIFICATION

I, Mary W. Dove, Secretary of the Federal Election Commission, do hereby certify that on April 30, 2007, the Commission decided by a vote of 5-0 to take the following actions in AF 1521:

1. Make a final determination in AF #1521 that Schlesinger U.S. Senate and Laura A. Wabno, as Treasurer, violated 2 U.S.C. § 434(a) and assess a civil money penalty of \$1,850.
2. Send the appropriate letter.

Commissioners Lenhard, Mason, von Spakovsky, Walter, and Weintraub voted affirmatively for the decision.

Attest:

April 30, 2007
Date

Mary W. Dove
Mary W. Dove
Secretary of the Commission

27092602308



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

May 2, 2007

VIA CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Laura A. Wabno, as Treasurer
Schlesinger US Senate
147 Ida Avenue
Derby, Connecticut 06418

ID# C00423061
AF# 1521

Dear Ms. Wabno:

On September 29, 2006, the Federal Election Commission ("the Commission") found reason to believe ("RTB") that Schlesinger U.S. Senate and you, as Treasurer, violated 2 U.S.C. § 434(a) for failing to timely file the 2006 July Quarterly Report. By letter dated October 4, 2006, the Commission sent you notification of the RTB finding that included a civil money penalty calculated at RTB of \$1,850 in accordance with the schedule of penalties at 11 C.F.R. § 111.43. On November 3, 2006, the Office of Administrative Review received the written response from Mr. Schlesinger challenging the RTB finding.

The Reviewing Officer reviewed the Commission's RTB finding with its supporting documentation and your written response. Based on this review, the Reviewing Officer recommended that the Commission make a final determination that Schlesinger U.S. Senate and you, as Treasurer, violated 2 U.S.C. § 434(a) and assess a civil money penalty in the amount of \$1,850 in accordance with 11 C.F.R. § 111.43. The basis for the Reviewing Officer's recommendation was included in the Final Determination Report, a copy of which was sent to you on April 2, 2007.

On April 13, 2007, the Commission Secretary received a written response from Mr. Schlesinger and a copy was forwarded to the Commission. On April 30, 2007, the Commission adopted the Reviewing Officer's recommendation and made a final determination that Schlesinger U.S. Senate and you, as Treasurer, violated 2 U.S.C. § 434(a) and assessed a civil money penalty of \$1,850. It is based on the same factors used to calculate the civil money penalty at RTB.

To Pay the Civil Money Penalty

To pay the civil money penalty, send the enclosed form and payment to the address on page 3 within 30 days of receipt of this letter.

27092602309

If You Do Not Pay the Civil Money Penalty

Unpaid civil money penalties assessed through the Administrative Fine regulations will be subject to the Debt Collection Act of 1982 ("DCA") as amended by the Debt Collection Improvement Act of 1996 ("DCIA"), 31 U.S.C. § 3701 et seq. If you do not pay this debt within 30 days (or file a written petition to a federal district court - see below), the Commission will transfer the debt to the U.S. Department of the Treasury ("Treasury") for collection. Within 5 days of the transfer to Treasury, Treasury will contact the debtor and request payment. Treasury currently charges a fee of 28% of the civil money penalty amount for its collection services. The fee will be added to the amount of the civil money penalty that you owe. Should Treasury's attempts fail, Treasury will refer the debt to a private collection agency ("PCA"). If the debt remains unpaid, Treasury may recommend that the Commission refer the matter to the Department of Justice for litigation.

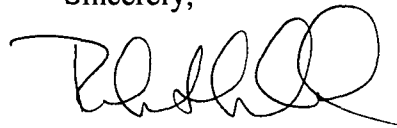
If You Choose to Appeal the Final Determination and/or Civil Money Penalty

If you choose to appeal the final determination, you should submit a written petition, within 30 days of receipt of this letter, to the district court of the United States for the district in which the committee or treasurer reside, or transact business, requesting that the final determination be modified or set aside. See 2 U.S.C. § 437g(a)(4)(C)(iii). Your failure to raise an argument in a timely fashion during the administrative process shall be deemed a waiver of the respondents' right to present such argument in a petition to the district court under 2 U.S.C. § 437g. 11 C.F.R. § 111.38.

The confidentiality provisions at 2 U.S.C. § 437g(a)(12) no longer apply and this matter is now public. The file will be made a part of the public record pursuant to 11 C.F.R. § 111.42(b). Although the file must be placed on the public record within 30 days from the date of the Commission's notification, this could occur at any time following certification of the Commission's vote.

If you have any questions regarding the payment of the civil money penalty, please contact Shawn Woodhead Werth on our toll free number (800)424-9530 (press 0, then ext. 1660) or (202)694-1660.

Sincerely,



Robert D. Lenhard
Chairman

27092602510

ADMINISTRATIVE FINE PAYMENT INSTRUCTIONS

In accordance with the schedule of penalties at 11 C.F.R. § 111.43, the civil money penalty is \$1,850 for the 2006 July Quarterly Report.

This penalty should be paid by check or money order, made payable to the Federal Election Commission. It should be sent by mail to:

Federal Election Commission
PO Box 979058
St. Louis, MO 63197-9000

If you choose to send your payment by courier or overnight delivery, please use this address:

U.S. Bank - Government Lockbox
FEC # 979058
1005 Convention Plaza
Attn: Government Lockbox, SL-MO-C2GL
St. Louis, MO 63101

The form and payment are due within 30 days of receipt of this letter.

PAYMENTS BY PERSONAL CHECK

Personal checks will be converted into electronic funds transfers (EFTs). Your account will be electronically debited for the amount on the check, usually within 24 hours, and the debit will appear on your regular statement. We will destroy your original check and keep a copy of it. In case the EFT cannot be processed for technical reasons, you authorize us to process the copy in lieu of the original check. Should the EFT not be completed because of insufficient funds, we may try to make the transfer twice.

PLEASE DETACH AND RETURN THE PORTION BELOW WITH YOUR PAYMENT

FOR: Schlesinger U.S. Senate

FEC ID#: C00423061

AF#: 1521

PAYMENT AMOUNT DUE: \$1,850

27092602511

FOR: Schlesinger U.S. Senate

FEC ID#: C00423061

AF#: 1521

PAYMENT AMOUNT DUE: \$1,850

5-8

3

QUALITY IS OUR PRIORITY FOR LOCKBOX 9058
SEQ# 002 \$ 0000185000 BA# 1 05-09-07 20 9



27092602312



Alan R. Schlesinger

51-7219-2211 2356

5/1/ 2007

PAY TO THE ORDER OF Federal Election Commission \$ 1850.00

One Thousand Eight Hundred Fifty ⁰⁰/₁₀₀ DOLLARS

PEOPLE'S BANK (ECLID# C00423061)
PEOPLES.COM

FOR AF #1521 Alan Schlesinger



FEDERAL ELECTION COMMISSION
Washington DC 20463

THIS IS THE END OF ADMINISTRATIVE FINE CASE # 1521

DATE SCANNED 6/6/07

SCANNER NO. 1

SCAN OPERATOR EES

27092602313