



FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

**THRESHOLD CONTRIBUTOR SUBMISSION LIST
SUBMITTED BY JOE LIEBERMAN FOR PRESIDENT, INC.**

Joe Lieberman for President, Inc. submitted the following list of contributors on October 22, 2003, as part of the Threshold Submission, which also contains copies of contributor checks and other supporting documentation.

On September 6, 1979, the Federal Election Commission determined that the portion of Matching Fund Submissions containing the listing of contributors could be placed on the public record as they were filed. It was further determined that Privacy Act considerations would prohibit placing the entire submission (i.e., copies of contributors' checks) on the public record.

As of this date, these documents have not been reviewed by the Commission for their compliance with 26 U. S. C. §9033 and 11CFR §9036.1.



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

ACKNOWLEDGEMENT OF RECEIPT
REQUEST FOR
PRESIDENTIAL PRIMARY MATCHING FUNDS

DATE RECEIVED: 10/22/03 REQUEST TYPE & NO.: Threshold

COMMITTEE NAME: Joe Lieberman For President, Inc.

COMMITTEE REPRESENTATIVE: Cassandra Lentchner

NO. CONTRIBUTORS: 923 AMOUNT REQUESTED: \$ 227,045

COVERAGE DATES OF DEPOSITS
NOT PREVIOUSLY SUBMITTED: 1/13/03-8/2103

SUBMITTED DOCUMENTATION

- | | |
|---|---|
| <input checked="" type="checkbox"/> CD ROM | <input checked="" type="checkbox"/> BANK DOCUMENTATION |
| <input type="checkbox"/> WRITTEN INSTRUMENTS | <input checked="" type="checkbox"/> MAGNETIC MEDIA |
| <input type="checkbox"/> PHOTOCOPY | <input checked="" type="checkbox"/> NSF LIST WITH DEBIT MEMOS |
| <input type="checkbox"/> BATCH ORDER | <input checked="" type="checkbox"/> BANK STATEMENTS |
| <input checked="" type="checkbox"/> ALL | <input type="checkbox"/> JOINT FUNDRAISING DOCUMENTATION |
| <input type="checkbox"/> SELECTED | <input checked="" type="checkbox"/> TREASURER'S CERTIFICATION |
| <input type="checkbox"/> ALPHABETICAL | <input type="checkbox"/> NOCO STATEMENT (after date of ineligibility) |
| <input type="checkbox"/> ENTERTAINMENT EVENT
DOCUMENTATION | <input checked="" type="checkbox"/> CONTRIBUTIONS REFUND LIST
WITH DOCUMENTATION |

Absent any communication to the contrary, this Matching Fund Request was determined to be in conformance with the FEC Guideline for Presentation in Good Order with respect to format and submitted accompanying documentation identified above. In the case of regular matching fund requests received prior to December, 2003, and post date of ineligibility, where the initial review estimates that less than 85% of the submitted contributions are matchable, the matching fund submission, either in its entirety or in part, will be returned for corrective action as provided by the Commission's regulations at 11 CFR §9036.2 (c).

Received by: Raymond J. Gisi
for the
Federal Election Commission



P.O. Box 967
Arlington VA 22216
Phone: 703-894-2004
Fax: 703-894-0770

October 22, 2003

111 Founders Plaza,
10th Floor
East Hartford CT 06108
Phone: 860-282-8683
Fax: 860-289-4563

Ellen Weintraub, Chairperson
Federal Election Commission
999 E Street, N.W.
Washington, D.C. 20463

Dear Chair Weintraub:

As a candidate seeking to become eligible to receive Presidential primary matching funds, I certify and agree to the following provisions as prescribed at 11 CFR §9033.1 and 11 CFR §9033.2.

- I. In accordance with 11 CFR §9033.2(b)(1) and 11 CFR §9033.2(b)(3), I certify that I am seeking the nomination of the Democratic Party for election to the Office of President in more than one State. I and/or my authorized committee(s) have received matchable contributions, which in the aggregate exceed \$5,000 from residents of each of at least twenty States, which with respect to any one person do not exceed \$250.00.
- II. Pursuant to 11 CFR §9033.2(b)(2), I and/or my authorized committee(s) have not incurred and will not incur qualified campaign expenses in excess of the expenditure limitations prescribed by 26 U.S.C. §9035 and 11 CFR §9035.
- III. In accordance with 11 CFR §9033.1(b)(1), I acknowledge that I have the burden of proving that disbursements made by me, and any of my authorized committee(s) or agents are qualified campaign expenses as defined at 11 CFR §9032.9.
- IV. Pursuant to 11 CFR §9033.1(b)(2), I and my authorized committee(s) will comply with the documentation requirements set forth in 11 CFR §9033.11.
- V. Upon the request of the Commission, I and my authorized committee(s) will supply an explanation of the connection between any disbursement made by me or my authorized committee(s) and the campaign as prescribed by 11 CFR §9033.1(b)(3).
- VI. In accordance with 11 CFR §9033.1(b)(4), I and my authorized committee(s) agree to keep and furnish to the Commission all documentation for matching fund submissions, any books, records (including bank records for all accounts) and supporting documentation and other information that the Commission may request.
- VII. As provided at 11 CFR §9033.1(b)(5), I and my authorized committee(s) agree to keep and furnish to the Commission all documentation relating to

Paid for by Joe Lieberman For President, Inc.

Contributions to Joe Lieberman for President, Inc. are not tax deductible.

disbursements and receipts including any books, records (including bank records for all accounts), all documentation required by this section (including those required to be maintained under 11 CFR §9033.11), and other information that the Commission may request. If I or my authorized committee(s) maintains or uses computerized information containing any of the categories of data listed in 11 CFR §9033.12(a), the committee will provide computerized magnetic media, such as magnetic tapes or magnetic diskettes, containing the computerized information at the times specified in 11 CFR §9038.1(b)(1) that meet the requirements of 11 CFR §9033.12(b). Upon request, documentation explaining the computer system's software capabilities shall be provided and such personnel as are necessary to explain the operation of the computer system's software and the computerized information prepared or maintained by the committee(s) shall be made available.

- VIII. As prescribed at 11 CFR §9033.1(b)(6), I and my authorized committee(s) will obtain and furnish to the Commission upon request all documentation relating to funds received and disbursements made on my behalf by other political committees and organizations associated with me.
- IX. In accordance with 26 U.S.C. §9038 and 11 CFR §9033.1(b)(7), I and my authorized committee(s) shall permit an audit and examination pursuant to 11 CFR §9038 of all receipts and disbursements, including those made by me, all authorized committee(s) and any agent or person authorized to make expenditures on my behalf or on behalf of my authorized committee(s). I and my authorized committee(s) shall also provide any material required in connection with an audit, investigation, or examination conducted pursuant to 11 CFR §9039. I and my authorized committee(s) shall facilitate the audit by making available in one central location, office space, records and such personnel as are necessary to conduct the audit and examination, and shall pay any amounts required to be repaid under 11 CFR §9038 and 11 CFR §9039.
- X. Pursuant to 11 CFR §9033.1(b)(8), the person listed below is entitled to receive matching fund payments on my behalf, which will be deposited into the listed depository, which I have designated as ~~the campaign~~ depository. Any change in the information required by this paragraph shall not be effective until submitted to the Commission in a letter signed by me or the Treasurer of my authorized principal campaign committee.

Name of Person: Francisco Borges

Mailing Address: P.O. Box 993
Arlington, VA 22216

Designated
Depository: Bank of America

Address: 730 15th St. NW
Washington, DC 20005

- XI. Pursuant to 11 CFR §9033.1(b)(9), 11 CFR §9033.1(b)(10), and 11 CFR §9033.1(b)(11), I and my authorized committee(s) will: (A) prepare matching fund submissions in accordance with the Federal Election Commission's Guideline for Presentation in Good Order, including the provision of any magnetic media pertaining to the matching fund submissions and which conforms to the requirements specified at 11 CFR §9033.12; (B) comply with the applicable requirements of 2 U.S.C. §431 et seq. 26 U.S.C. §9031 et seq. and the Commission's regulations at 11 CFR Parts 100-116, 300, and 9031-9039; (C) pay any civil penalties included in a conciliation agreement or otherwise imposed under 2 U.S.C. §437g against myself, any of my authorized committee(s) or any agent thereof.
- XII. Pursuant to 11 CFR §9033.1(b)(12), any television commercial prepared or distributed by me or my authorized committee(s) will be prepared in a manner which ensures that the commercial contains or is accompanied by closed captioning of the oral content of the commercial to be broadcast in line 21 of the vertical blanking interval, or is capable of being viewed by deaf and hearing impaired individuals via any comparable successor technology to line 21 of the vertical blanking interval.

Signed: _____

Joseph Lieberman

State_List

State Alpha List Summary for Threshold Submission
Joe Lieberman for President

	Amount	Number
AZ	\$9,150	38
CA	\$10,000	40
CO	\$7,000	28
CT	\$10,250	41
DC	\$11,530	48
FL	\$10,500	42
GA	\$5,855	25
IL	\$11,080	46
MA	\$11,550	47
MD	\$11,250	45
MI	\$8,000	32
MN	\$7,650	31
NJ	\$12,400	51
NY	\$12,325	50
OH	\$13,350	54
OK	\$12,200	49
PA	\$13,430	54
RI	\$9,150	37
TN	\$5,200	22
TX	\$6,300	26
VA	\$14,025	57
WA	\$6,850	28
WI	\$8,000	32
	\$227,045	923

Period covered by Submission: 01/13/2003 to 08/21/2003