

FEDERAL ELECTION COMMISSION

OFFICE OF INSPECTOR GENERAL



FINAL REPORT

2007 Performance Audit of Privacy and Data Protection

December 2007

ASSIGNMENT No. OIG-07-02

2007
PERFORMANCE AUDIT OF
PRIVACY AND DATA PROTECTION
FEDERAL ELECTION COMMISSION
AUDIT REPORT NUMBER OIG-07-02

Cotton & Company LLP
Auditors · Advisors
635 Slaters Lane, 4th Floor
Alexandria, Virginia 22314
703.836.6701
www.cottoncpa.com



Cotton & Company LLP
635 Slaters Lane
4th Floor
Alexandria, VA 22314

P: 703.836.6701
F: 703.836.0941
www.cottoncpa.com

December 7, 2007

Ms. Lynne A. McFarland
Inspector General
Federal Election Commission
999 E Street, NW
Washington, DC 20463

Subject: Report on the 2007 Performance Audit of the Federal Election Commission's
Compliance with Section 522 of the Consolidated Appropriations Act, 2005 (42 U.S.C.A.
§ 2000ee-2)

Dear Ms. McFarland:

In accordance with terms of the subject task order, Cotton & Company LLP conducted a performance audit of privacy and data protection policies and procedures used by the Federal Election Commission (FEC). The audit included assessing compliance with applicable federal security and privacy laws and regulations as well as a review of the FEC's policies and procedures related to identifying and securing privacy-related data.

We interviewed key personnel involved in identifying and protecting personally identifiable information and reviewed documentation supporting the FEC's efforts to comply with federal privacy and security laws and regulations. We identified specific control weaknesses and deficiencies and developed recommendations designed to improve FEC compliance with federal privacy and security laws and regulations.

We conducted the performance audit in accordance with *Government Auditing Standards*. We were not engaged to and did not perform a financial statement audit, the purpose of which would be to express an opinion on specified elements, accounts, or items. This report is intended to meet the objectives described above and should not be used for other purposes.

We appreciate the opportunity to have worked with the FEC. Please call me if you have questions.

Very truly yours,

COTTON & COMPANY LLP

Loren F. Schwartz, CPA, CISA, CIPP
Partner

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