



FEDERAL ELECTION COMMISSION

1325 K STREET N.W.
WASHINGTON, D.C. 20463

THIS IS THE END OF MUR # 631

DATE: 9/25/78

FILMED BY: MB

7 8 0 4 0 0 6 6 9 7





FEDERAL ELECTION COMMISSION

1325 K STREET N.W.
WASHINGTON, D.C. 20463

August 31, 1978

F. P. Futtner
Office Director
Connecticut Republican Federal Campaign
Committee
1 High St.
Hartford, Connecticut 06103

Re: MUR 631

Dear Mr. Futtner:

On August 30, 1978, the Commission voted to terminate its investigation in MUR 631. A copy of the Commission's determination and the final General Counsel's Report are enclosed for your information. Both of these documents will be placed in the public disclosure file concerning this matter.

Thank you for your cooperation.

Sincerely,

William C. Oldaker
General Counsel

Enclosures

78040063977

F. P. Futterer
Office Director
Connecticut Republican Federal Campaign
Committee
1 High St.
Hartford, Connecticut 06103

Re: MUR 631

Dear Mr. Futterer:

On August 1, 1978, the Commission voted to terminate its investigation in MUR 631. A copy of the Commission's Determination and the final General Counsel's Report are enclosed for your information. Both of these documents will be placed in the public disclosure file concerning this matter.

Thank you for your cooperation.

Sincerely,

William C. Oldaker
General Counsel

Enclosure

cl 8/1/78

78040063978



FEDERAL ELECTION COMMISSION

1325 K STREET N.W.
WASHINGTON, D.C. 20463

August 31, 1978

Mr. James Duffey
Connecticut State Employees Association
760 Capitol Avenue
Hartford, Connecticut 06106

Re: MUR 631

Dear Mr. Duffey:

On August 30, 1978 the Commission voted to terminate its investigation in MUR 631. A copy of the Commission's determination and the final General Counsel's Report are enclosed for your information. Both of these documents will be placed in the public disclosure file concerning this matter.

Thank you for your cooperation.

Sincerely,

A handwritten signature in cursive script, appearing to read "William C. Oldaker".

William C. Oldaker
General Counsel

Enclosure

78040063977

7

Mr. James Duffey:
Connecticut State Employees Association
760 Capitol Avenue
Hartford, Connecticut 06106

Re: MUR 631

Dear Mr. Duffey:

On August , 1978 the Commission voted to terminate its investigation in MUR 631. A copy of the Commission's determination and the final General Counsel's Report are enclosed for your information. Both of these documents will be placed in the public disclosure file concerning this matter.

Thank you for your cooperation.

Sincerely,

William C. Oldaker
General Counsel

Enclosure

8/22 CC

78040063980

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
)
 Connecticut Republican) MUR 631
 Federal Campaign Committee)
 Connecticut State)
 Employees Association)

CERTIFICATION

I, Marjorie W. Emmons, Secretary to the Federal Election Commission, do hereby certify that on August 30, 1978, the Commission determined by a vote of 4-0 to adopt the recommendations of the General Counsel to take the following actions in the above-captioned matter:

1. Take no further action and close the file.
2. Send letters attached to the General Counsel's Report dated August 18, 1978.

Voting for these determinations were Commissioners Harris, Aikens, Staebler and Thomson.

Attest:

for Margaret E. Chaney
 Marjorie W. Emmons
 Secretary to the Commission

Date: 8/30/78

| | |
|---|----------------|
| Report signed: | 8-25-78 |
| Received in Office of Commission Secretary: | 8-28-78, 10:26 |
| Circulated on 48 hour vote basis: | 8-28-78, 3:00 |

78040053981

August 28, 1979

MEMORANDUM TO: Harry Hancock
FROM: Alison T. Gann
SUBJECT: MUR 631

Please have the attached General Counsel's Report on MUR 631 distributed to the Commission on a 48 hour tally basis.

Thank you.

78040063982

BEFORE THE FEDERAL ELECTION COMMISSION

AUGUST 18, 1978

In the Matter of)
)
Connecticut Republican Federal) MUR 631
Campaign Committee)
Connecticut State Employees)
Association)

GENERAL COUNSEL'S REPORT

On July 25, 1978 the Commission found reason to believe that the Connecticut Republican Federal Campaign Committee violated 2 U.S.C. § 441b by accepting labor organization contributions from the Connecticut State Employees Association. The Commission also found reason to believe that the Connecticut State Employees Association violated 2 U.S.C. § 441b by making labor organization contributions to the Connecticut Republican Federal Campaign Committee.

The Office of General Counsel has received replies from both respondents verifying that the two labor organization contributions at issue here, \$250 and \$200 made in May, 1976 and March 1977 respectively, were refunded by the Connecticut Republican Federal Campaign Committee in April of this year.

Additionally the Connecticut Republican Federal Campaign Committee has assigned a member of its staff the responsibility of reviewing contributions received to ensure that only Federally permissible contributions are deposited into its account.

The Office of General Counsel recommends that, consistent with the policy adopted July 26, 1976 regarding corporate/labor organization contributions made in 1976 and 1977, no further action be taken in this matter because the contributions at issue have been refunded.

7 9 0 4 0 0 6 3 9 8 3

RECOMMENDATION

Take no further action and close the file.

Send attached letters.

8/25/78
Date

William C. Oldaker
General Counsel

Packet includes

1. General Counsel's Report
2. Certification
3. Ltrs to Futtner, Duffey

7 9 0 4 0 0 5 3 9 8 1



FEDERAL ELECTION COMMISSION

1325 K STREET N.W.
WASHINGTON, D.C. 20463

F. P. Futtner
Office Director
Connecticut Republican Federal Campaign
Committee
1 High St.
Hartford, Connecticut 06103

Re: MUR 631

Dear Mr. Futtner:

On August , 1978, the Commission voted to terminate its investigation in MUR 631. A copy of the Commission's determination and the final General Counsel's Report are enclosed for your information. Both of these documents will be placed in the public disclosure file concerning this matter.

Thank you for your cooperation.

Sincerely,

William C. Oldaker
General Counsel

Enclosure

7 8 0 4 0 0 6 3 9 8 5



FEDERAL ELECTION COMMISSION

1325 K STREET N.W.
WASHINGTON, D.C. 20463

Mr. James Duffey
Connecticut State Employees Association
760 Capitol Avenue
Hartford, Connecticut 06106

Re: MUR 631

Dear Mr. Duffey:

On August , 1978 the Commission voted to terminate its investigation in MUR 631. A copy of the Commission's determination and the final General Counsel's Report are enclosed for your information. Both of these documents will be placed in the public disclosure file concerning this matter.

Thank you for your cooperation.

Sincerely,

William C. Oldaker
General Counsel

Enclosure

7 8 0 4 0 0 6 3 9 8 6

CSEA

Division of Labor Services

600+ 4670
FEDERAL ELECTION COMMISSION
MUR 631

178 AUG 22 AM 9:54
August 22, 1978

005725

Corporation Counsel

Michael Succoll, Esq.
Arnold I. Menchel, Esq.

Research

Henry K. Brown, Chief
Morris J. Busca

Grievance Services

Louis D. Doerschuck
Judith A. Kemp

John W. Thompson
Executive Director

Ms. Claire Lindsay
Federal Elections Commission
1325 K Street N.W.
Washington, D. C. 20463

Dear Ms. Lindsay:

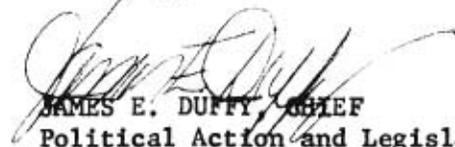
Pursuant to our telephone conversations earlier this month, I have secured copies of correspondence and bank statements dealing with the return of \$450 to the Connecticut State Employees Association from the Connecticut Republicans.

Enclosed is a copy of a letter from Republican State Chairman Frederick K. Biebel, dated April 4, 1978 and received by our office April 20, 1978, that outlines the procedures used by the Republican Party to return two contributions made by our organization on May 14, 1976 and March 17, 1977.

I have also enclosed deposit slips and organizational accounts that show the \$450 being put into the CSEA's account with the Connecticut Bank & Trust Company on April 21, 1978.

If you have any more questions pursuant to this situation, please feel free to call me.

Sincerely,


JAMES E. DUFFY, CHIEF
Political Action and Legislation

JED:sp
Enclosures



79040053987



Connecticut Republicans

Frederick K. Biebel, state chairman

April 4, 1978

Mr. James Duffey
Connecticut State Employees Association
760 Capitol Avenue
Hartford, Connecticut 06106

Dear Jim:

I am enclosing a check for \$450 which represents a contribution refund. There is some question as to the technical propriety of our organization accepting and depositing your contributions of May 14, 1976 (\$250) and March 17, 1977 (\$200) into our federal account. The Federal Elections Commission has recommended that we return the full amount since such contributions may not be proper.

Since your political committee is not registered with the Federal Election Commission as a Political Action Committee, any contributions in the future should probably be made from your political committee to our state account.

Sincerely,

Frederick K. Biebel
Frederick K. Biebel

FKB:jas
Enc.

FKB

Yol.
MC
15683

11-10-1

7 9 0 4 0 0 6 3 9 8 8

79040063990

CSEA

Division of Labor Services
Connecticut State Employees Association

Handwritten: S. E. ...
C. ...

Handwritten: ...

UNITED STATES PUBLIC SERVICE

Handwritten: 7A 282 South Marshall Street

Hartford, Connecticut 06105

Ms. Claire Lindsay
Federal Elections Commission
1325 K Street N.W.
Washington, D. C. 20463

Case # 4630



RECEIVED
FEDERAL ELECTION
COMMISSION

'78 AUG 24 AM 8:58

Connecticut Republicans

Frederick K. Biebel, *State Chairman*
Mrs. Jeanne R. Nelson, *Vice Chairman*
Mrs. Lillian Ludlam, *Secretary*
William H. T. Bush, *Treasurer*
Donald J. Schmidt, *Deputy Chairman*
Member of National Committee
John Alsop
Mrs. Mary E. Boatwright

August 22, 1978

000047

Ms. Claire Lindsay
Federal Elections Commission
1325 "K" Street, N.W.
Washington, D.C. 20463

Dear Ms. Lindsay:

Enclosed please find a copy of our check made out to the Connecticut State Employees in the amount of \$450.00. A copy of the back of the check is also enclosed.

I hope this will be sufficient to bring this case to a successful conclusion. If you have any further questions, please don't hesitate to get in touch with me.

Sincerely,

Fenton P. Futtner

FPF/tl

7 3 0 4 0 7 6 3 9 9 1



CONNECTICUT REPUBLICAN
FEDERAL CAMPAIGN ACCOUNT - 178
1 HIGH STREET
HARTFORD, CONN. 06103

1006

April 3, 1978

61-44
119

PAY
TO THE
ORDER
OF

FOUR HUNDRED FIFTY and 0/100

DOLLARS \$ 450.00

Conn. State Employees Association

EC [Signature]
Donald [Signature]

⑆0119⑆0044⑆ 080 3623⑆

⑆0000045000⑆

HARTFORD NATIONAL BANK & TRUST CO.
WEST HARTFORD, CONN.

7 8 0 4 0 0 6 3 9 9 2

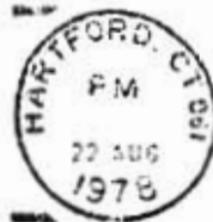
7 8 0 4 0 0 6 3 9 9 3

21-87

Pay to the order of
County Employees Assoc.
125-032-4
 COUNTY EMPLOYEES ASSOC.
 HARTFORD CT.
 PAY ANY BANK

29-19

78040063994



Ms. Claire Lindsay
Federal Elections Commission
1325 "K" Street, N.W.
Washington, D.C. 20463

Reference # MUR 631



Connecticut Republicans ONE HIGDEN STREET • HARTFORD, CONNECTICUT 06103

CSEA

RECEIVED
FEDERAL ELECTION
COMMISSION

CRD #
4398

'78 AUG 7 PM 1:41
August 2, 1978

Al Marotta
President
Helene H. Shay
First Vice President
William B. Wallett
Second Vice President
Michelle Trombley
Secretary
Gilbert F. Thetreat
Treasurer

Council Vice Presidents
Michael Ruffino
State Police

Richard A. Skehan
Maintenance

Patricia Paul
Clerical

Aurelio Interlandi
Corrections

Peter Buonome
Protective Services

Jean Thetreat
Paraprofessional Health Care

Patricia J. Checko
Professional Health Care

Joan Hogan
Social & Human Services

Gay F. Richard
Engineering & Scientific

John O'Connell
Administrative & Residual

Robert L. Gould
Vo-Tech Instructors

David R. Murphy
Education Administrators

Nils A. Erickson
Retirees' Council 400

Fernand Senechal
Education Professions

Cathy Buch
Council-at-Large

Thomas C. Lyon
Past President

John W. Thompson
Executive Director

Federal Election Commission
1325 K Street NW
Washington D.C. 20463

805141

Attention: Ms. Clare Lindsay

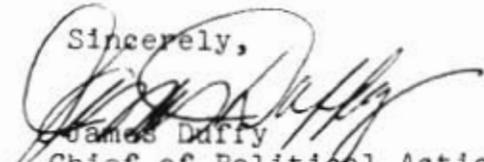
Dear Ms. Lindsay:

Pursuant to your letter dated July 26, 1978 and our subsequent telephone conversation, please advise the Commission that the Connecticut State Employees Association is searching its fiscal records for verification of the checks mentioned in your correspondence.

We will endeavor to comply with any requests made by the Commission and look forward to clearing up this matter.

I have contacted the Connecticut Republican State Central Committee and they have assured me they will do everything in their power to straighten this matter out.

Sincerely,


James Duffy
Chief of Political Action
and Legislation

JD/lp



m e m b e r
Assembly of
Governmental
Employees
A G E

- AN EQUAL OPPORTUNITY EMPLOYER -

78040063995

3 9 9 5
7 8 0 1 0 0 5
Connecticut State Employees Association
760 Capitol Avenue/Hartford, Connecticut 06106

FEDERAL ELECTION
COMMISSION

78 AUG 7 PM 1:41

CSEA

FEDERAL ELECTION COMMISSION
1325 K STREET NW
WASHINGTON DC 20463

CERTIFIED

No 204092

MAIL

805193

4430



FEDERAL ELECTION COMMISSION

'78 AUG 9 PM 12:23

Connecticut Republicans

MUR 631

Frederick K. Biebel, *State Chairman*
 Mrs. Jeanne R. Nelson, *Vice Chairman*
 Mrs. Lillian Ludlam, *Secretary*
 William H. T. Bush, *Treasurer*
 Donald J. Schmidt, *Deputy Chairman*
 Members of National Committee
 John Alsop
 Mrs. Mary E. Boatwright

August 4, 1978

William C. Oldaker, General Counsel
 Federal Elections Commission
 1325 K Street N.W.
 Washington, D.C. 20463

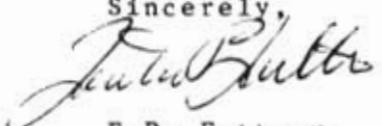
Dear Mr. Oldaker:

This letter is in reply to your letter of July 26, 1978, wherein you are requesting information on what efforts we are undertaking to ensure the screening of contributions made to the Connecticut Republican Federal Campaign Committee, especially those contributions that could be classified as prohibited.

As of receipt of the July 26th, 1978, letter, we have established a procedure to screen all contributions to the Connecticut Republican Federal Campaign Committee. We are assigning a staff member the responsibility of checking Federal Regulations and going over each contribution to see to it that the contributions meet with Federal Regulations. We will also have periodic meetings set up between this staff member and other members of our Finance Office in order to acquaint them with any changes in Federal Regulations.

Finally, it would be helpful if, in the future, members of our staff could meet with a representative from the Federal Elections Commission in order to facilitate our knowledge of Federal Regulations.

I believe that these efforts will be sufficient to screen contributions in the future. If you have any further comments and/or suggestions, please do not hesitate to contact me.

Sincerely,

 F.P. Futtner
 Office Director

78040063997

78040063993

FEDERAL ELECTIONS
COMMISSION



10 AUG 9 AM 12:22

William C. Oldaker, General Counsel
Federal Elections Commission
1325 K Street N.W.
Washington, D.C. 20463



Connecticut Republicans ONE HILL STREET • HARTFORD, CONNECTICUT 06101



FEDERAL ELECTION COMMISSION

1325 K STREET N.W.
WASHINGTON, D.C. 20463

July 26, 1978

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Donald J. Schmidt, Treasurer
Connecticut Republican Federal
Campaign Committee
1 High Street
Hartford, CT 06103

Re: MUR 631

Dear Mr. Schmidt:

This letter is to notify you that on the basis of information ascertained in the normal course of carrying out its supervisory responsibilities, the Federal Election Commission has determined that it has reason to believe a violation of the Federal Election Campaign Act has occurred in that the Connecticut Republican Federal Campaign Committee accepted \$450 in contributions, (in violation of 2 U.S.C. § 441b), from the labor union, the Connecticut State Employees Association.

2 U.S.C. § 441b prohibits any political committee from accepting a contribution from any labor union made in connection with federal election.

Upon making a determination that there is reason to believe that a violation has occurred, the Commission is required to make an investigation and to afford you a reasonable opportunity to demonstrate that no action should be taken.

We have received evidence of your Committee's refund of the contributions in question, however we would also like to know what efforts, if any, are made by the Connecticut Republican Federal Campaign Committee to screen acceptance of prohibited contributions.



FEDERAL ELECTION COMMISSION

1325 K STREET N.W.
WASHINGTON, D.C. 20463

July 26, 1978

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Donald J. Schmidt, Treasurer
Connecticut Republican Federal
Campaign Committee
1 High Street
Hartford, CT 06103

Re: MUR 631

Dear Mr. Schmidt:

This letter is to notify you that on the basis of information ascertained in the normal course of carrying out its supervisory responsibilities, the Federal Election Commission has determined that it has reason to believe a violation of the Federal Election Campaign Act has occurred in that the Connecticut Republican Federal Campaign Committee accepted \$450 in contributions, (in violation of 2 U.S.C. § 441b), from the labor union, the Connecticut State Employees Association.

2 U.S.C. § 441b prohibits any political committee from accepting a contribution from any labor union made in connection with federal election.

Upon making a determination that there is reason to believe that a violation has occurred, the Commission is required to make an investigation and to afford you a reasonable opportunity to demonstrate that no action should be taken.

We have received evidence of your Committee's refund of the contributions in question, however we would also like to know what efforts, if any, are made by the Connecticut Republican Federal Campaign Committee to screen acceptance of prohibited contributions.

Please submit this and any other legal or factual material which you deem relevant to the Commission's investigation of this matter within 10 days of receipt of this letter. Failure to reply within this given time will necessitate further steps being taken based on the information at hand.

This letter of notification shall remain confidential in accordance with 2 U.S.C. § 437g(a)(3) unless you state to the Commission, in writing, that you wish the investigation to be made public. The staff member assigned to this matter is Clare Lindsay, (telephone number 202-523-4040 or toll free 800-424-9530.)

Sincerely,

William C. Oldaker
General Counsel

106 631

PS Form 3811, Apr. 1977

RETURN RECEIPT, REGISTERED, INSURED AND CERTIFIED MAIL

● SENDER: Complete items 1, 2, and 3. Add your address in the "RETURN TO" space on reverse.

1. The following service is requested (check one)

Show to whom and date delivered. _____¢

Show to whom, date, and address of delivery _____¢

RESTRICTED DELIVERY
Show to whom and date delivered. _____¢

RESTRICTED DELIVERY.
Show to whom, date, and address of delivery \$ _____

(CONSULT POSTMASTER FOR FEES)

2. ARTICLE ADDRESSED TO:
Donald J. Schmidt

3. ARTICLE DESCRIPTION:

| | | |
|----------------|---------------|-------------|
| REGISTERED NO. | CERTIFIED NO. | INSURED NO. |
| | 90838 | |

(Always obtain signature of addressee or agent)

I have received the article described above.

SIGNATURE Addressee Authorized agent

4. DATE OF DELIVERY: 7-31-78

5. ADDRESS (Complete only if requested)

6. UNABLE TO DELIVER BECAUSE:

CLERK'S INITIALS

POSTMARK: 8761 13 707

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Donald J. Schmidt, Treasurer
Connecticut Republican Federal
Campaign Committee
1 High Street
Hartford, CT 06103

Re: MUR #31

Dear Mr. Schmidt:

This letter is to notify you that on the basis of information ascertained in the normal course of carrying out its supervisory responsibilities, the Federal Election Commission has determined that it has reason to believe a violation of the Federal Election Campaign Act has occurred in that the Connecticut Republican Federal Campaign Committee accepted \$450 in contributions, (in violation of 2 U.S.C. § 441b) from the labor union, the Connecticut State Employees Association.

2 U.S.C. § 441b prohibits any political committee from accepting a contribution from any labor union made in connection with federal election.

Upon making a determination that there is reason to believe that a violation has occurred, the Commission is required to make an investigation and to afford you a reasonable opportunity to demonstrate that no action should be taken.

We have received evidence of your Committee's refund of the contributions in question, however we would also like to know what efforts, if any, are made by the Connecticut Republican Federal Campaign Committee to screen acceptance of prohibited contributions.

de
7/24

78040064002

Please submit this and any other legal or factual material which you deem relevant to the Commission's investigation of this matter within 10 days of receipt of this letter. Failure to reply within this given time will necessitate further steps being taken based on the information at hand.

This letter of notification shall remain confidential in accordance with 2 U.S.C. § 437g(a)(3) unless you state to the Commission, in writing, that you wish the investigation to be made public. The staff member assigned to this matter is Clare Lindsay, (telephone number 202-523-4040 or toll free 800-424-9530.)

Sincerely,

William C. Oldaker
General Counsel

78040064003



FEDERAL ELECTION COMMISSION

1325 K STREET N.W.
WASHINGTON, D.C. 20463

July 26, 1978

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Mr. James Duffey
Connecticut State Employees Association
760 Capitol Avenue
Hartford, CT 06106

Re: MUR 631

Dear Mr. Duffey:

This letter is to notify you that on the basis of information ascertained in the normal course of carrying out its supervisory responsibilities, the Federal Election Commission has determined that it has reason to believe that a violation of the Federal Election Campaign Act has occurred in that the Connecticut State Employees Association, which does not have a political action committee registered with the Commission, contributed \$450 in violation of 2 U.S.C. §441b to the Connecticut Republican Federal Campaign Committee.

Under 2 U.S.C. §441b it is unlawful for any labor organization to make a contribution or expenditure in connection with any election for federal office.

Upon making a determination that there is reason to believe that a violation has occurred the Commission is required to make an investigation and to afford you a reasonable opportunity to demonstrate that no action should be taken. As part of this process, please submit within 10 days of receipt of this letter any factual or legal materials which you deem relevant to the investigation of this matter. Failure to reply within this given time will necessitate further steps being taken based on the information at hand.

This letter of notification shall remain confidential in accordance with 2 U.S.C. § 437g(a)(3) unless you state to the Commission, in writing, that you wish the investigation to be made public. The staff member assigned to this matter is Clare Lindsay, (telephone number 202-523-4040 or toll free 800-424-9530.)

Sincerely yours,

William C. Qidaker
William C. Qidaker
General Counsel

75 Form 3811, Apr. 1977

W CL 631

● SENDER: Complete items 1, 2, and 3. Add your address in the "RETURN TO" space on reverse.

1. The following service is requested (check one).
 Show to whom and date delivered. _____ \$
 Show to whom, date, and address of delivery. _____ \$
 RESTRICTED DELIVERY
 Show to whom and date delivered. _____ \$
 RESTRICTED DELIVERY.
 Show to whom, date, and address of delivery. \$ _____
 (CONSULT POSTMASTER FOR FEES)

2. ARTICLE ADDRESSED TO:
Mr. James Duffey

3. ARTICLE DESCRIPTION:
 REGISTERED NO. CERTIFIED NO. INSURED NO.
 _____ *98837* _____
 (Always obtain signature of addressee or agent)

I have received the article described above.
 SIGNATURE Addressee Authorized agent

4. *Carex Quattromani*
 DATE OF DELIVERY POSTMARK
JUL 28 1978

5. ADDRESS (Complete only if requested)

6. UNABLE TO DELIVER BECAUSE: CLERK'S INITIALS

RETURN RECEIPT, REGISTERED, INSURED AND CERTIFIED MAIL

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Mr. James Duffey
Connecticut State Employees Association
760 Capitol Avenue
Hartford, CT 06106

Re: MUR 631

Dear Mr. Duffey:

This letter is to notify you that on the basis of information ascertained in the normal course of carrying out its supervisory responsibilities, the Federal Election Commission has determined that it has reason to believe a violation of the Federal Election Campaign Act in that the Connecticut State Employees Association, which does not have a political action committee registered with the Commission, contributed \$450 in violation of 2 U.S.C. § 441b to the Connecticut Republican Federal Campaign Committee.

Under 2 U.S.C. § 441b it is unlawful for any labor organization to make a contribution or expenditure in connection with any election for federal office.

Upon making a determination that there is reason to believe that a violation has occurred the Commission is required to make an investigation and to afford you a reasonable opportunity to demonstrate that no action should be taken. As part of this process, please submit within 10 days of receipt of this letter any factual or legal materials which you deem relevant to the investigation of this matter. Failure to reply within this given time will necessitate further steps being taken based on the information at hand.

78040064006

This letter of notification shall remain confidential in accordance with 2 U.S.C. § 437g(a)(3) unless you state to the Commission, in writing, that you wish the investigation to be made public. The staff member assigned to this matter is Clare Lindsay, (telephone number 202-523-4040 or toll free 800-424-9530.)

Sincerely yours,

William C. Olsaker
General Counsel

78040064007

cc 7/26

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
) MUR 631
Connecticut Republican Federal)
Campaign Committee)
Connecticut State Employees)
Association)

CERTIFICATION

I, Marjorie W. Emmons, Secretary to the Federal Election Commission, do hereby certify that on July 25, 1978, the Commission determined by a vote of 5-0 to adopt the recommendation of the General Counsel to take the following actions in the above-captioned matter:

1. Find reason to believe the Connecticut Republican Federal Campaign Committee violated 2 U.S.C. §441b by accepting labor union contributions from the Connecticut State Employees Association.
2. Find reason to believe the Connecticut State Employees Association violated 2 U.S.C. §441b by making labor union contributions to the Connecticut Republican Federal Campaign Committee.
3. Send the letters attached to the First General Counsel's Report dated July 21, 1978.

Commissioner Thomson was not present at the time of the vote.

Date:

7/25/78

Marjorie W. Emmons
Marjorie W. Emmons
Secretary to the Commission

Received in Office of Commission Secretary: 7-21-78, 11:24
Circulated on 48 hour vote basis: 7-21-78, 2:30

73040064008

July 21, 1978

MEMORANDUM TO: Marge Simpson
FROM: Elissa T. Garr
SUBJECT: MUR 631

Please have the attached 7 day report on MUR 631 distributed to the Commission on a 48 hour tally basis.

Thank you.

78040064009

FEDERAL ELECTION COMMISSION

FIRST GENERAL COUNSEL'S REPORT

DATE AND TIME OF TRANSMITTAL
BY OGC TO COMMISSION JUL 21 1978

MUR NO. 631
STAFF MEMBER(S) _____

Lindsay

SOURCE OF MUR: INTERNALLY GENERATED
RESPONDENT'S NAME: Connecticut Republican Federal Campaign Committee
Connecticut State Employees Association
RELEVANT STATUTE: U.S.C. §441b

INTERNAL REPORTS CHECKED: Reports Analysis Division's findings

FEDERAL AGENCIES CHECKED: None

GENERATION OF MATTER

This matter was referred to the Office of General Counsel from the Reports Analysis Division on the basis of information disclosed on the reports filed by the Connecticut Republican Federal Campaign Committee.

SUMMARY OF ALLEGATIONS

That the Connecticut Republican Federal Campaign Committee accepted \$450 in contributions from a labor union, the Connecticut State Employees Association, in violation of 2 U.S.C. §441b. That the Connecticut State Employees Association made 2 contributions to the Connecticut Republican Federal Campaign Committee in violation of 2 U.S.C. §441b.

PRELIMINARY LEGAL ANALYSIS

During the review of the 10 Day Pre-Convention 1976 and April 10 Quarterly 1977 Reports of Receipts and Expenditures of the Connecticut Republican Federal Campaign Committee, the Division of Reports Analysis discovered two contributions of \$250 and \$200 dated May 14, 1976 and March 17, 1977 respectively, from the Connecticut State Employees Association. Since this Association has no political action committee registered with the Commission, the Connecticut Republican Campaign Committee was contacted on March 27, 1978 and advised to refund these contributions.

73040061010

A response received April 7, 1978 indicated that the Committee had refunded these contributions and enclosed a copy of the letter to the Connecticut State Employees Union which explained the refund.

Since this situation is analogous to many of the audit generated MURS concerning § 44lb contributions and meets the policy thresholds established therewith, the Office of General Counsel recommends that this case be treated in a like manner and that reason to believe a 44lb violation has occurred be found.

Recommendation

1. Find reason to believe the Connecticut Republican Federal Campaign Committee violated 2 U.S.C. § 44lb by accepting labor union contributions from the Connecticut State Employees Association.
2. Find reason to believe the Connecticut State Employees Association violated 2 U.S.C. § 44lb by making labor union contributions to the Connecticut Republican Federal Campaign Committee.
3. Send attached letters.

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REPORTS ANALYSIS REFERRAL

Date June 30, 1978

Analyst Chadwick R. How
 Team Chief IRENE ALLEN
 Compliance Review P. Brown

TO: Office of General Counsel

THROUGH: Staff Director

FROM: Assistant Staff Director for Reports Analysis JH MUR631

DESCRIPTION:

Candidate/Committee Connecticut Republican Federal Campaign Committee C0002348
 Treasurer Donald J. Schmidt
 1 High Street
 Address Hartford, CT 06103

REPORTS: (For reports reviewed, amendments, RFAI's, etc, see indices, Attachment 1)

Period Covered from 4/1/76 to 3/31/77

Total Receipts \$ 665,371 Total Expenditures \$ 567,740

Cash on Hand \$ 67,708 (Year-End 1977) Debts \$ None

REASON(S) FOR REFERRAL:

| <u>Allegation(s)</u> | <u>Cite</u> | <u>Attachment</u> |
|--|----------------------|-------------------|
| <u>Contributions accepted from a Labor Union in excess of \$100.</u> | <u>2 U.S.C. 441b</u> | <u>2</u> |
| _____ | _____ | _____ |
| _____ | _____ | _____ |
| _____ | _____ | _____ |
| _____ | _____ | _____ |
| _____ | _____ | _____ |

HISTORY:

Possible Union contributions from the Connecticut State Employees Association were noted during review of the 10 Day Pre-Convention 1976 and April 10 Quarterly 1977 Reports of Receipts and Expenditures. Notice was sent to the committee on March 27, 1978 (attachment 2). An adequate response was received April 7, 1978 (attachment 3). It acknowledged receipt of Union funds and states the return of same.

There is no other appearance of the receipt of a Union contribution in the committee's records. In accordance with the Commission's 3/16/78 action, this matter is being referred to your office for possible action.

RAD File # SL-166

79040064012

7 3 0 4 0 0 6 4 0 1 4

DATE 5MAY70
PAGE 1

FEDERAL ELECTION COMMISSION
COMMITTEE INDEX OF DISCLOSURE DOCUMENTS - (C)

PARTY RELATED

| COMMITTEE | DOCUMENT | RECEIPTS | | EXPENDITURES | | COVERAGE DATES | # OF PAGES | MICROFILM LOCATION |
|---|------------------------------------|----------|---------|--------------|---------|-------------------|------------|---------------------------------|
| | | PRIMARY | GENERAL | PRIMARY | GENERAL | | | |
| CONNECTICUT REPUBLICAN FEDERAL CAMPAIGN COMMITTEE 1978 MISCELLANEOUS TRANSACTION FROM F.E.C. STATEMENT OF ORGANIZATION- AMENDMENT | 1977 APRIL 10 QUARTERLY | 64,131 | | 21,473 | | 27MAR78 3MAY78 | 3 | 104 C0002303G 78FEC/059/1051 |
| | REQUEST FOR ADDITIONAL INFORMATION | | | | | 1JAN77 -31MAR77 | 81 | 77FEC/051/2903 |
| | APRIL 10 QUARTERLY - AMENDMENT | 57,569 | | 14,848 | | 1JAN77 -31MAR77 | 3 | 77FEC/052/4059 |
| | MAY 10 QUARTERLY - AMENDMENT | 36,262 | | 31,694 | | 1JAN77 -31MAR77 | 13 | 77FEC/054/0037 |
| | JULY 10 QUARTERLY | 41,048 | | 12,721 | | 1JAN77 -31MAR77 | 21 | 77FEC/056/2041 |
| | OCTOBER 10 QUARTERLY | 9,457 | | 17,915 | | 1APR77 -30JUN77 | 15 | 77FEC/057/3053 |
| | YEAR END REPORT | | | | | 1JUL77 -30SEP77 | 9 | 78FEC/066/2500 |
| | REQUEST FOR ADDITIONAL INFORMATION | | | | | 1OCT77 -31DEC77 | 4 | 78FEC/073/0585 |
| | YEAR END REPORT - AMENDMENT | | | | | 1OCT77 -31DEC77 | 3 | 78FEC/073/0842 |
| | YEAR END REPORT | | | | | 1OCT77 -31DEC77 | 6 | 78FEC/073/3644 |
| | YEAR END REPORT - AMENDMENT | | | | | 1OCT77 -31DEC77 | 4 | 78FEC/073/3637 |
| | 1978 APRIL 10 QUARTERLY | | 4,814 | 6,500 | | 1JAN78 -31MAR78 | 9 | 78FEC/072/3403 |
| TOTAL | | 148,150 | 0 | 83,678 | | | 173 | TOTAL PAGES |



FEDERAL ELECTION COMMISSION

1125 K STREET N.W.
WASHINGTON, D.C. 20463

March 27, 1978

Donald J. Schmidt, Treasurer
Connecticut Republican Federal
Campaign Committee
1 High Street
Hartford, Connecticut 06103*

Dear Mr. Schmidt:

This letter is prompted by the Commission's interest in assisting candidates and committees who wish to comply with the Federal Election Campaign Act, as amended. During review of the 10 Day Pre-Convention, 1976, and April 10 Quarterly Reports of Receipts and Expenditures, we noticed an entry indicating that you may have accepted a contribution from a union. Union contributions are prohibited by the Act, unless the contribution is made by a separate segregated fund established by the union. A copy of that portion of your reports is attached for your review and clarification.

The Commission recommends that if you have accepted a prohibited contribution that you return the full amount to the donor. The return of the contribution should be reported immediately by letter and should be reflected as a contribution refund on your next report of receipts and expenditures. If the identification of the source of this contribution is incomplete or incorrect on the original report, please submit a statement which would clarify this particular matter for the public record. You may do so by amending your original report by letter.

Please notify the Commission within ten (10) days of receipt of this letter of the determination made on this matter. If you have any questions concerning this matter, please do not hesitate to contact Chadwick Gore (800)424-9530, our Reports Analyst assigned to you. Our local telephone number is 523-4048.

Sincerely,

Orlando B. Potter
Staff Director

Enclosure
Certified Mail:
Return Receipt Requested

78040064015
78030691861

7 3 0 4 0 0 6 4 0 1 6
7 6 0 3 0 1 6 2 7 7 7

CONNECTICUT REPUBLICANS FEDERAL CAMPAIGN COMMITTEE

ITEMIZED REPORT COVERING PERIOD FROM 04/01/76 THRU 06/30/76 OVER \$100.00

NAME AND ADDRESS OCCUPATION/PRINCIPAL PLACE-OF-BUSINESS DATE THIS PERIOD CALENDAR YR-TO-DTE

MRS. MARY B. EOWTRIGHT Housewife 04/22/76 \$110.00
16 DENISON AVENUE
STONINGTON CT 06378

MR. J. JAMES EODNAR TREASURER Continuing Political Committee 05/06/76 \$2,010.00
SAY. BK. COM. ON POP. AFFAIR
1 FINANCIAL PLAZA
HARTFORD CT 06103

MR. RICHARD C. BOZZUTO Insurance Bozzuto-Sayre, Inc. 05/13/76 \$225.00
430 SOUTHFIELD ROAD
WATERBURY CT 06795

MR. ALTEF ERESLAV JR. Stock Hoppin Watson, Inc. 05/17/76 \$125.00
WATSON ROAD
EASTICK CT 06612

MRS. BARBARA BRIGEM TREASURER Continuing Political Committee 05/13/76 \$135.00
CONN. FED. REP. WOMEN'S CLUB
GRADY LANE
HARTFORD CT 06790

MR. CARLTON R. BRISTOL Retired 05/03/76 \$125.00
P. O. BOX 206
MIDDLETOWN CT 06762

MR. ALLYN SMOEN Attorney Brown, Jacobson, Jewett & 05/17/76 \$250.00
R.F.D. 3
HARTFORD CT 06360

MR. BRUCE M. BROWN President Kerite Company 05/14/76 \$125.00
113 INDIAN HILL ROAD
MILTON CT 06497

MRS. RUCH FRYANT TREASURER State Conn. State Employees Assoc. 05/14/76 \$250.00
CONN. STATE EMPLOYEES ASSOC.
242 WEST FAZEL STREET
NEW HAVEN CT 06518

Schedule A

July 1976
Federal Election Commission
125 K Street, N.W.
Washington, D.C. 20463

**Itemized Receipts,
Contributions, Ticket Purchases, Loans,
Rebates, and Transfers for Line
Numbers 15, 16, 17 and/or 18 of FEC Form 3**
(see instructions on back)

Page 2 of 8 for

LINE NUMBER 18B

(Use separate schedules for each numbered line)

777 013 06 11 01 4 9

| Name of Candidate or Committee in full | | |
|---|-----------------------------------|--|
| CONNECTICUT REPUBLICAN FEDERAL CAMPAIGN COMMITTEE | | |
| Full Name, mailing address and ZIP code Connecticut Beer Wholesalers Mr. Benedict Vetrano, Treasurer 410 Asylum Street, Suite 525, Hartford, Ct. 06103 | Date (month, day, year) 3/7/77 | Amount of each receipt this period \$1,000.00 |
| Principal place of business Occupation Political Action Committee <input type="checkbox"/> Check if Contributor is self-employed Aggregate Year-to-date ▶ \$ 1,000.00 | | |
| Full Name, mailing address and ZIP code Connecticut State Employees Association Mr. Hugh Bryant, Treasurer 760 Capitol Avenue, Hartford, Ct. 06106 | Date (month, day, year) 3/7/77 | Amount of each receipt this period \$ 200.00 |
| Principal place of business Occupation State Employees Union <input type="checkbox"/> Check if Contributor is self-employed Aggregate Year-to-date ▶ \$ 200.00 | | |
| Full Name, mailing address and ZIP code Bethel Republican Town Committee Mr. Francis J. Novachek, Treasurer P.O. Box 203, Bethel, Ct. 06801 | Date (month, day, year) 3/7/77 | Amount of each receipt this period \$ 100.00 |
| Principal place of business Occupation Continuing Political Committee <input type="checkbox"/> Check if Contributor is self-employed Aggregate Year-to-date ▶ \$ 100.00 | | |
| Full Name, mailing address and ZIP code Republican Town Committee of Farmington Mr. Wellesley Wright, Treasurer 48 Garden Street, Farmington, Ct. 06032 | Date (month, day, year) 3/7/77 | Amount of each receipt this period \$ 100.00 |
| Principal place of business Occupation Continuing Political Committee <input type="checkbox"/> Check if Contributor is self-employed Aggregate Year-to-date ▶ \$ 100.00 | | |
| Full Name, mailing address and ZIP code Fourth Congressional District Republican Women's Association Mrs. Jane L. Heath, Treasurer 46 Coleytown Road, Westport, Ct. 06880 | Date (month, day, year) 3/7/77 | Amount of each receipt this period \$ 75.00 |
| Principal place of business Occupation Continuing Political Committee <input type="checkbox"/> Check if Contributor is self-employed Aggregate Year-to-date ▶ \$ 75.00 | | |
| Full Name, mailing address and ZIP code Podiatry PAC Mr. Earl Kaplan, D.P.M. 20 Chevy Chase Circle, Washington, D.C. 20015 | Date (month, day, year) 3/7/77 | Amount of each receipt this period \$ 200.00 |
| Principal place of business Occupation Political Action Committee <input type="checkbox"/> Check if Contributor is self-employed Aggregate Year-to-date ▶ \$ 200.00 | | |
| Subtotal of receipts this page (optional) | | ▶ \$ 1,675.00 |
| Total this period (last page this line number only) | | ▶ \$ |



Connecticut Republicans 8:46

Frederick K. Biebel, State Chairman

April 4, 1978

801585

Mr. Chadwick Gore
Reports Analyst
Federal Elections Commission
1325 K Street, N.W.
Washington, D.C. 20463

Dear Mr. Gore: Re: 3SV1/78-18

Thank you for bringing to our attention the problem with the reports showing contributions from the Connecticut State Employees Association.

We have traced these contributions and find that there is some question as to whether all the requirements of the F.E.C.A. were met with regard to these contributions. To avoid any doubt, we are following your recommendation of returning the contributions in full to the Connecticut State Employees Association.

The return of this contribution will be reflected in our next report of receipts and expenditures (July 10, 1978).

If you should have any questions on this matter, please do not hesitate to contact us.

Sincerely,

Frederick K. Biebel
Frederick K. Biebel

FKB:jas
Enc.

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7 8 0 3 0 7 0 2 1 4 1



7 8 0 7 0 2 1 3



Connecticut Republicans • HIGH STREET • HARTFORD, CONNECTICUT 06103

10 APR 7 11 3

Mr. Chadwick Gore
Reports Analyst
Federal Elections Commission
1325 K St., N.W.
Washington, D.C. 20463



0 2 0 4 9 0 0 4 0

DCC: Mr. Gore /



Connecticut Republicans

16 APR 7 AM 8:46

Frederick K. Biebel, State Chairman

April 4, 1978

Mr. James Duffey
Connecticut State Employees Association
760 Capitol Avenue
Hartford, Connecticut 06106

Dear Jim:

I am enclosing a check for \$450 which represents a contribution refund. There is some question as to the technical propriety of our organization accepting and depositing your contributions of May 14, 1976 (\$250) and March 17, 1977 (\$200) into our federal account. The Federal Elections Commission has recommended that we return the full amount since such contributions may not be proper.

Since your political committee is not registered with the Federal Election Commission as a Political Action Committee, any contributions in the future should probably be made from your political committee to our state account.

Sincerely,

Frederick K. Biebel
Frederick K. Biebel

FKB:jas
Enc.

73040064021
78030702142





FEDERAL ELECTION COMMISSION

1325 K STREET N.W.
WASHINGTON, D.C. 20463

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Donald J. Schmidt, Treasurer
Connecticut Republican Federal
Campaign Committee
1 High Street
Hartford, CT 06103

Re: MUR 631

Dear Mr. Schmidt:

This letter is to notify you that on the basis of information ascertained in the normal course of carrying out its supervisory responsibilities, the Federal Election Commission has determined that it has reason to believe a violation of the Federal Election Campaign Act has occurred in that the Connecticut Republican Federal Campaign Committee accepted \$450 in contributions, (in violation of 2 U.S.C. § 441b), from the labor union, the Connecticut State Employees Association.

2 U.S.C. § 441b prohibits any political committee from accepting a contribution from any labor union made in connection with federal election.

Upon making a determination that there is reason to believe that a violation has occurred, the Commission is required to make an investigation and to afford you a reasonable opportunity to demonstrate that no action should be taken.

We have received evidence of your Committee's refund of the contributions in question, however we would also like to know what efforts, if any, are made by the Connecticut Republican Federal Campaign Committee to screen acceptance of prohibited contributions.

78040064022

Please submit this and any other legal or factual material which you deem relevant to the Commission's investigation of this matter within 10 days of receipt of this letter. Failure to reply within this given time will necessitate further steps being taken based on the information at hand.

This letter of notification shall remain confidential in accordance with 2 U.S.C. § 437g(a)(3) unless you state to the Commission, in writing, that you wish the investigation to be made public. The staff member assigned to this matter is Clare Lindsay, (telephone number 202-523-4040 or toll free 800-424-9530.)

Sincerely,

William C. Oldaker
General Counsel

7 9 0 4 0 0 6 4 0 2



FEDERAL ELECTION COMMISSION

1325 K STREET N.W.
WASHINGTON, D.C. 20463

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Mr. James Duffey
Connecticut State Employees Association
760 Capitol Avenue
Hartford, CT 06106

Re: MUR 631

Dear Mr. Duffey:

This letter is to notify you that on the basis of information ascertained in the normal course of carrying out its supervisory responsibilities, the Federal Election Commission has determined that it has reason to believe that a violation of the Federal Election Campaign Act has occurred in that the Connecticut State Employees Association, which does not have a political action committee registered with the Commission, contributed \$450 in violation of 2 U.S.C. §441b to the Connecticut Republican Campaign Committee.

Under 2 U.S.C. §441b it is unlawful for any labor organization to make a contribution or expenditure in connection with any election for federal office.

Upon making a determination that there is reason to believe that a violation has occurred the Commission is required to make an investigation and to afford you a reasonable opportunity to demonstrate that no action should be taken. As part of this process, please submit within 10 days of receipt of this letter any factual or legal materials which you deem relevant to the investigation of this matter. Failure to reply within this given time will necessitate further steps being taken based on the information at hand.

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-2-

This letter of notification shall remain confidential in accordance with 2 U.S.C. § 437g(a)(3) unless you state to the Commission, in writing, that you wish the investigation to be made public. The staff member assigned to this matter is Clare Lindsay, (telephone number 202-523-4040 or toll free 800-424-9530.)

Sincerely yours,

William C. Oldaker
General Counsel

7 8 9 1 0 0 6 1 0 2 5

REPORTS ANALYSIS REFERRAL SHEET

D # 142

Date

June 30, 1978

Analyst

Charles R. Hou

Team Chief

IRFNE ALLEN

TO: Office of General Counsel

Compliance Review

C. Brown

THROUGH: Staff Director

FROM: Assistant Staff Director for Reports Analysis

JH

MUR 631

DESCRIPTION:

Candidate/Committee Connecticut Republican Federal Campaign Committee C00023838

Treasurer Donald J. Schmidt

1 High Street

Address Hartford, CT 06103

REPORTS: (For reports reviewed, amendments, RFAI's, etc, see indices, Attachment 1)

Period Covered from 4/1/76

to 3/31/77

Total Receipts \$ 665,371

Total Expenditures \$ 563,240

Cash on Hand \$ 67,708 (Year-End 1977)

Debts \$ None

REASON(S) FOR REFERRAL:

Allegation(s)

Cite

Attachment

Contributions accepted from a Labor Union in excess of \$100.

2 U.S.C. 441b

2

13040104026

HISTORY:

Possible Union contributions from the Connecticut State Employees Association were noted during review of the 10 Day Pre-Convention 1976 and April 10 Quarterly 1977 Reports of Receipts and Expenditures. Notice was sent to the committee on March 27, 1978 (attachment 2). An adequate response was received April 7, 1978 (attachment 3). It acknowledged receipt of Union funds and states the return of same.

There is no other appearance of the receipt of a Union contribution in the committee's records. In accordance with the Commission's 3/16/78 action, this matter is being referred to your office for possible action.

RAD File # 8K-666

78040954027

7 3 0 4 0 7 5 4 0 2 3

FEDERAL ELECTION COMMISSION
COMMITTEE INDEX OF DISCLOSURE DOCUMENTS - (C) (7)

DATE 5/27/76
PAGE

PARTY RELATED

COMMITTEE DOCUMENT

RECEIPTS EXPENDITURES
PRIMARY GENERAL PRIMARY GENERAL

OF PAGES

MICROFILM LOCATION

CONTRACTS REPUBLICAN GENERAL CAMPAIGN COMMITTEE

1976 AMENDMENT OF ORGANIZATION

AMERICANBUS ORGANIZATION FROM F.E.C.

STATEMENT OF ORGANIZATION- AMENDMENT

STATEMENT OF ORGANIZATION- AMENDMENT

APRIL TO QUARTERLY 3A POSTCARD

APRIL TO QUARTERLY AMENDMENT

JULY TO QUARTERLY AMENDMENT

JULY TO QUARTERLY AMENDMENT

JULY TO QUARTERLY AMENDMENT

OCTOBER TO QUARTERLY AMENDMENT

OCTOBER TO QUARTERLY AMENDMENT

OCTOBER TO QUARTERLY AMENDMENT

OCTOBER TO QUARTERLY AMENDMENT

15 DAY PRE ELECTION

REPORT FOR ADDITIONAL INFORMATION

REPORT FOR ADDITIONAL INFORMATION

15 DAY PRE ELECTION

15 DAY PRE ELECTION

15 DAY PRE ELECTION

30 DAY POST ELECTION

30 DAY POST ELECTION

YEAR END REPORT

TOTAL

| COMMITTEE DOCUMENT | RECEIPTS PRIMARY | GENERAL | EXPENDITURES PRIMARY | GENERAL | COVERAGE DATES | # OF PAGES | MICROFILM LOCATION |
|--------------------|---------------------|---------|-------------------------|---------|-----------------------|------------|--------------------|
| | 115,626 | | 98,503 | | 1 APR 76 - 30 JUN 76 | 3 | 76FEC/014/0376 |
| | 115,676 | | 98,464 | | 1 APR 76 - 30 JUN 76 | 2 | 76FEC/014/0376 |
| | | 73,630 | | 58,893 | 7 AUG 76 - 30 SEP 76 | 4 | 76FEC/018/1673 |
| | | 73,650 | | 58,950 | 7 AUG 76 - 30 SEP 76 | 2 | 76FEC/021/2930 |
| | | 73,630 | | 58,950 | 7 AUG 76 - 30 SEP 76 | 1 | 76FEC/014/0401 |
| | 115,676 | | 98,464 | | 1 APR 76 - 30 JUN 76 | 8 | 76FEC/020/3021 |
| | | | | | 1 APR 76 - 30 JUN 76 | 10 | 76FEC/044/3585 |
| | | | | | 1 APR 76 - 30 JUN 76 | 22 | 76FEC/024/0577 |
| | | | | | 1 APR 76 - 30 JUN 76 | 1 | 76FEC/079/5500 |
| | | | | | 7 AUG 76 - 30 SEP 76 | 64 | 76FEC/034/0603 |
| | | | | | 7 AUG 76 - 30 SEP 76 | 11 | 76FEC/030/2433 |
| | | | | | 7 AUG 76 - 30 SEP 76 | 1 | 77FEC/045/0925 |
| | | | | | 7 AUG 76 - 30 SEP 76 | 9 | 76FEC/043/2159 |
| | | | | | 1 APR 76 - 30 JUN 76 | 52 | 76FEC/010/2760 |
| | | | | | 1 APR 76 - 30 JUN 76 | 2 | 76FEC/001/3561 |
| | | | | | 1 APR 76 - 30 JUN 76 | 3 | 76FEC/043/3593 |
| | | | | | 1 APR 76 - 30 JUN 76 | 50 | 76FEC/038/2444 |
| | | | | | 1 OCT 76 - 18 OCT 76 | 1 | 77FEC/045/0923 |
| | | | | | 1 OCT 76 - 18 OCT 76 | 6 | 76FEC/043/2160 |
| | 6,805 | | 3,421 | | 6 JUL 76 - 6 AUG 76 | 17 | 76FEC/025/4546 |
| | | | | | 19 OCT 76 - 22 NOV 76 | 21 | 76FEC/043/2174 |
| | 353,793 | 168,252 | 298,052 | 10,630 | 23 NOV 76 - 31 DEC 76 | 9 | 77FEC/047/3362 |
| TOTAL | | | | 187,210 | | 299 | TOTAL PAGES |

THE REPORT AND STATEMENTS LISTED ABOVE ARE AVAILABLE FOR PUBLIC INSPECTION AND COPYING AT THE FEDERAL ELECTION COMMISSION. ANY INFORMATION COPIED FROM SUCH REPORTS AND STATEMENTS IS NOT TO BE REPRODUCED OR TRANSMITTED IN ANY FORM OR BY ANY MEANS, ELECTRONIC OR MECHANICAL, INCLUDING PHOTOCOPYING, RECORDING, OR BY ANY INFORMATION STORAGE AND RETRIEVAL SYSTEM, WITHOUT PERMISSION IN WRITING FROM THE FEDERAL ELECTION COMMISSION. THE INFORMATION LISTED ABOVE IS AS REPORTED BY CANDIDATES AND COMMITTEES.

73040064029

FEDERAL ELECTION COMMISSION
COMMITTED INDEX OF DISCLOSURE DOCUMENTS - (C)

DATE 5MAY78
PAGE 1

PARTY RELATED

| COMMITTED DOCUMENT | RECEIPTS | | EXPENDITURES | | # OF PAGES | MICROFILM LOCATION |
|---|----------|---------|--------------|---------|------------|--------------------|
| | PRIMARY | GENERAL | PRIMARY | GENERAL | | |
| CONSTITUTION ADMINISTRATION FEDERAL ELECTION COMMISSION | | | | | | 104 C00023036 |
| 1978 DISCLOSURE STATEMENT FROM F.E.C. | | | | | 3 | 78FEC/069/1081 |
| STATEMENT OF ORGANIZATION- AMENDMENT | | | | | 1 | 78FEC/073/3043 |
| ADDENDUM TO DISCLOSURE STATEMENT | 64,131 | | 21,473 | | 81 | 77FEC/051/2793 |
| ADDENDUM TO DISCLOSURE STATEMENT - AMENDMENT | 57,569 | | 14,848 | | 13 | 77FEC/052/4059 |
| ADDENDUM TO DISCLOSURE STATEMENT - AMENDMENT | 36,262 | | 31,694 | | 15 | 77FEC/054/0037 |
| ADDENDUM TO DISCLOSURE STATEMENT - AMENDMENT | 41,048 | | 12,721 | | 3 | 78FEC/070/2141 |
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| TOTAL | 148,150 | 0 | 83,678 | 0 | 8 | 78FEC/066/2500 |
| | | | | | 4 | 78FEC/073/0395 |
| | | | | | 3 | 78FEC/073/0842 |
| | | | | | 6 | 78FEC/073/3644 |
| | | | | | 4 | 78FEC/073/3639 |
| | | | | | 8 | 78FEC/072/3403 |
| | | | | | 173 | TOTAL PAGES |

NOTE: THE REPORTS AND STATEMENTS LISTED ABOVE ARE AVAILABLE FOR PUBLIC INSPECTION AND COPYING AT THE FEDERAL ELECTION COMMISSION. ANY INFORMATION COPIED FROM SUCH REPORTS AND STATEMENTS SHALL NOT BE SOLD OR UTILIZED BY ANY PERSON FOR THE PURPOSE OF SOLICITING CONTRIBUTIONS OR ANY COMMERCIAL PURPOSE. THE INFORMATION LISTED ABOVE IS AS REPORTED BY CANDIDATES AND COMMITTEES.



FEDERAL ELECTION COMMISSION

1125 K STREET N.W.
WASHINGTON, D.C. 20463

March 27, 1978

Donald J. Schmidt, Treasurer
Connecticut Republican Federal
Campaign Committee
1 High Street
Hartford, Connecticut 06103

Dear Mr. Schmidt:

This letter is prompted by the Commission's interest in assisting candidates and committees who wish to comply with the Federal Election Campaign Act, as amended. During review of the 10 Day Pre-Convention, 1976, and April 10 Quarterly Reports of Receipts and Expenditures, we noticed an entry indicating that you may have accepted a contribution from a union. Union contributions are prohibited by the Act, unless the contribution is made by a separate segregated fund established by the union. A copy of that portion of your reports is attached for your review and clarification.

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Please notify the Commission within ten (10) days of receipt of this letter of the determination made on this matter. If you have any questions concerning this matter, please do not hesitate to contact Chadwick Gore (800)424-9530, our Reports Analyst assigned to you. Our local telephone number is 523-4048.

Sincerely,

Orlando B. Potter
Staff Director

Enclosure
Certified Mail:
Return Receipt Requested

78040064030
78030691961

7 3 8 0 3 0 9 6 2 4 7 7

CONNECTICUT REPUBLICANS FEDERAL CAMPAIGN COMMITTEE

ITEMIZED REPORT COVERING PERIOD FROM 04/01/76 THRU 06/30/76 OVER \$100.00

NAME AND ADDRESS OCCUPATION/PRINCIPAL PLACE-OF-BUSINESS DATE THIS PERIOD CALENDAR PERIOD YR-TO-DTE

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16 DENISON AVENUE
STONINGTON CT 06378

MR. J. JAMES EODNAR TREASURER Continuing Political Committee 05/06/76 \$2,010.00 \$2,010.00
SAY. BK. COM. ON PUB. AFFAIR
1 FINANCIAL PLAZA
HARTFORD CT 06103

MR. RICHARD C. BOZZUTO Insurance Bozzuto-Sayre, Inc. 05/13/76 \$225.00 \$225.00
430 SOUTHWFIELD ROAD
WACSETOWN CT 06795
Sales 29 Central Avenue
Waterbury, Ct. 06702

MR. MARTIN EPISLAV JR. Stock Hoppin Watson, Inc. 05/17/76 \$125.00 \$125.00
ROSTON ROAD
EASTON CT 06612
Broker 876 Main Street
Bridgeport, Ct. 06604

MRS. BARBARA BRIGMAN TREASURER Continuing Political Committee 05/13/76 \$135.00 \$135.00
CONN. FED. REP. WOMEN'S CLUB
GARDEN LAKE
HARTFORD CT 06790

MR. CARLTON W. EPISTOL Retired 05/03/76 \$125.00 \$125.00
P. O. BOX 206
MIDDLETOWN CT 06762

MR. ALLYN SMON Attorney Brown, Jacobson, Jewett & 05/17/76 \$250.00 \$250.00
P.O. BOX 3
PRESTON CT 06360
22 Shetucket St. Laudone
Norwich, Ct. 06360

MR. BRUCE M. BROWN President Kerite Company 05/14/76 \$125.00 \$125.00
113 INDIAN HILL ROAD
MILTON CT 06497
Seymour, Ct. 06483

MR. RUGH ERYANT TREASURER State Conn. State Employees Assoc. 05/14/76 \$250.00 \$250.00
CONN. STATE EMPLOYEES ASSOC.
242 WEST HAZEL STREET
NEW HAVEN CT 06518
Employees 760 Capitol Avenue
Union Hartford, Ct. 06106

Schedule A
 July 1976
 Federal Election Commission
 125 K Street, N.W.
 Washington, D.C. 20461

**Itemized Receipts,
 Contributions, Ticket Purchases, Loans,
 Rebates, and Transfers for Line
 Numbers 15, 16, 17 and/or 18 of FEC Form 3**
 (see instructions on back)

Page 2 of 8 for
 LINE NUMBER 18B
 (Use separate schedule(s) for each
 numbered line)

7 5 7 9 1 0 7 3 1 4 3 4 9

| Name of Candidate or Committee in full | | |
|---|-----------------------------------|--|
| CONNECTICUT REPUBLICAN FEDERAL CAMPAIGN COMMITTEE | | |
| Full Name, mailing address and ZIP code Connecticut Beer Wholesalers Mr. Benedict Vetrano, Treasurer 410 Asylum Street, Suite 525, Hartford, Ct. 06103 | Date (month, day, year) 3/7/77 | Amount of each receipt this period \$1,000.00 |
| Principal place of business Occupation Political Action Committee <input type="checkbox"/> Check if Contributor is self-employed Aggregate Year-to-date ▶ \$ 1,000.00 | | |
| Full Name, mailing address and ZIP code Connecticut State Employees Association Mr. Hugh Bryant, Treasurer 760 Capitol Avenue, Hartford, Ct. 06106 | Date (month, day, year) 3/7/77 | Amount of each receipt this period \$ 200.00 |
| Principal place of business Occupation State Employees Union <input type="checkbox"/> Check if Contributor is self-employed Aggregate Year-to-date ▶ \$ 200.00 | | |
| Full Name, mailing address and ZIP code Bethel Republican Town Committee Mr. Francis J. Novachek, Treasurer P.O. Box 203, Bethel, Ct. 06801 | Date (month, day, year) 3/7/77 | Amount of each receipt this period \$ 100.00 |
| Principal place of business Occupation Continuing Political Committee <input type="checkbox"/> Check if Contributor is self-employed Aggregate Year-to-date ▶ \$ 100.00 | | |
| Full Name, mailing address and ZIP code Republican Town Committee of Farmington Mr. Wellesley Wright, Treasurer 48 Garden Street, Farmington, Ct. 06032 | Date (month, day, year) 3/7/77 | Amount of each receipt this period \$ 100.00 |
| Principal place of business Occupation Continuing Political Committee <input type="checkbox"/> Check if Contributor is self-employed Aggregate Year-to-date ▶ \$ 100.00 | | |
| Full Name, mailing address and ZIP code Fourth Congressional District Republican Women's Association Mrs. Jane L. Heath, Treasurer 46 Coleytown Road, Westport, Ct. 06880 | Date (month, day, year) 3/7/77 | Amount of each receipt this period \$ 75.00 |
| Principal place of business Occupation Continuing Political Committee <input type="checkbox"/> Check if Contributor is self-employed Aggregate Year-to-date ▶ \$ 75.00 | | |
| Full Name, mailing address and ZIP code Podiatry PAC Mr. Earl Kaplan, D.P.M. 20 Chevy Chase Circle, Washington, D.C. 20015 | Date (month, day, year) 3/7/77 | Amount of each receipt this period \$ 200.00 |
| Principal place of business Occupation Political Action Committee <input type="checkbox"/> Check if Contributor is self-employed Aggregate Year-to-date ▶ \$ 200.00 | | |
| Subtotal of receipts this page (or group) | | ▶ \$ 1,675.00 ✓ |
| Total this period (last page this line number only) | | ▶ \$ |

FEDERAL ELECTION COMMISSION
CORRESPONDENCE CONTROL SLIP
Do Not Separate From Document

For Division Use
780407095508

Suspense No.
871585

Name and Address of Sender
FREDRICK H BIEBEL
1 High ST
HARTFORD, CONN

Subject of Correspondence
REPORT

Date Received
04-07-78

Suspense Date
04-28-78

Referred to
1. CMAE 4-7

2.

3.

4.

5.

Action (Optional)

Answer Date
4/10/78

File Designation
7030

Special Instructions:



Connecticut Republicans APR 7 AM 8:46

Frederick K. Biebel, State Chairman

April 4, 1978

801585

Mr. Chadwick Gore
Reports Analyst
Federal Elections Commission
1325 K Street, N.W.
Washington, D.C. 20463

Dear Mr. Gore:

Re: 3SV1/78-18

Thank you for bringing to our attention the problem with the reports showing contributions from the Connecticut State Employees Association.

We have traced these contributions and find that there is some question as to whether all the requirements of the F.E.C.A. were met with regard to these contributions. To avoid any doubt, we are following your recommendation of returning the contributions in full to the Connecticut State Employees Association.

The return of this contribution will be reflected in our next report of receipts and expenditures (July 10, 1978).

If you should have any questions on this matter, please do not hesitate to contact us.

Sincerely,

Frederick K. Biebel
Frederick K. Biebel

FKB:jas
Enc.

730780702141
4034



BCC: Mr. Gore /



Connecticut Republicans

18 APR 7 AM 8:46

Frederick K. Biebel, State Chairman

April 4, 1978

Mr. James Duffey
Connecticut State Employees Association
760 Capitol Avenue
Hartford, Connecticut 06106

Dear Jim:

I am enclosing a check for \$450 which represents a contribution refund. There is some question as to the technical propriety of our organization accepting and depositing your contributions of May 14, 1976 (\$250) and March 17, 1977 (\$200) into our federal account. The Federal Elections Commission has recommended that we return the full amount since such contributions may not be proper.

Since your political committee is not registered with the Federal Election Commission as a Political Action Committee, any contributions in the future should probably be made from your political committee to our state account.

Sincerely,

Frederick K. Biebel
Frederick K. Biebel

FKB:jas
Enc.

73040064035
78030702142



80400640
3070243



Mr. Chadwick Gore
Reports Analyst
Federal Elections Commission
1325 K St., N.W.
Washington, D.C. 20463



Connecticut Republicans 110 H STREET • HARTFORD CONN 06103



FEDERAL ELECTION COMMISSION

1325 K STREET N.W.
WASHINGTON, D.C. 20463

THIS IS THE BEGINNING OF MUR # 631

