



FEDERAL ELECTION COMMISSION  
WASHINGTON, D.C. 20463

THIS IS THE BEGINNING OF MUR # 4276

DATE FILMED 2/27/96 CAMERA NO. 4

CAMERAMAN EES.

96043721610

## REPORTS ANALYSIS REFERRAL

TO

OFFICE OF GENERAL COUNSEL

DATE: March 2, 1995

ANALYST: Jan McBride

I. COMMITTEE: Inlandboatmen's Union Political Action  
Committee-(IBU-PAC) (C00074393)  
Terri Mast, Treasurer  
(4/25/94 to Present)  
Bob Armstrong, Treasurer  
(Pre-1/1/93 to 4/24/94)  
500 John Street  
Seattle, WA 98109

II. RELEVANT STATUTE: 2 U.S.C. §434(a)(4)(A)(i) and (iv)

## III. BACKGROUND:

Failure to Timely File a Report and Chronic Late Filing of Reports

The Inlandboatmen's Union Political Action Committee-(IBU-PAC) ("the Committee") failed to timely file three (3) Reports of Receipts and Disbursements for the 1993-1994 election cycle (see Late Filing History Chart). The reports were filed between sixty (60) and twenty-two (22) calendar days late.

Prior Notice was sent to the Committee on December 27, 1993 to remind it that the 1993 Year End Report was due January 31, 1994 (Attachment 2). A Non-Filer Notice was sent to the Committee on February 25, 1994 for failing to file the Year End Report (Attachment 3). On April 1, 1994, the Commission received the 1993 Year End Report (Attachment 5).

A Chronic Late Filing Notice was sent to the Committee on May 20, 1994 for failing to file the 1993 Year End and 1994 April Quarterly Reports in a timely manner (Attachment 7). The Notice advised the Committee that any additional late filing of reports could result in legal enforcement action.

Subsequent to the sending of the Chronic Late Filing Notice, the Committee failed to file the 1994 October Quarterly Report in a timely manner (Attachment 11).

96043721611

9 6 0 4 3 7 2 1 6 1 2

LATE FILING HISTORY

<u>REPORT TYPE</u>	<u>PRIOR NOTICE</u>	<u>DATE DUE</u>	<u>NON-FILER NOTICE</u>	<u>DATE FILED</u>
1993 Year End (7/1/93-12/31/93)	12/27/93 (Attachment 2)	1/31/94	2/25/94 (Attachment 3)	4/01/94 (Attachment 5)
1994 April Quarterly (1/1/94-3/31/94)	3/22/94 (Attachment 4)	4/15/94	5/10/94 (Attachment 6)	5/23/94 (Attachment 8)
1994 October Quarterly (7/1/94-9/30/94)	9/21/94 (Attachment 9)	10/15/94	11/04/94 (Attachment 10)	11/06/94 (Attachment 11)

FEDERAL ELECTION COMMISSION  
 1993-1994  
 COMMITTEE INDEX OF DISCLOSURE DOCUMENTS - (C)

ATTACHMENT 1  
 DATE 1/24/95

PAGE 1

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 COMMITTEE DOCUMENT RECEIPTS DISBURSEMENTS # OF MICROFILM  
 COVERAGE DATES PAGES LOCATION  
 TYPE OF FILER  
 -----

INLANDBOATMEN'S UNION POLITICAL ACTION COMMITTEE-(IBU-PAC)				ID #C00074393 NON-PARTY QUALIFIED	
CONNECTED ORGANIZATION: MARINE DIVISION I L W U					
1993	STATEMENT OF ORGANIZATION - AMENDMENT			22NOV93	2 93PEC/867/4598
	MID-YEAR REPORT	5,145	1,480	1JAN93 -30JUN93	4 93PEC/853/4454
	MID-YEAR REPORT - AMENDMENT	5,145	1,480	1JAN93 -30JUN93	4 93PEC/870/3949
	REQUEST FOR ADDITIONAL INFORMATION			1JAN93 -30JUN93	2 93PEC/866/4036
	REQUEST FOR ADDITIONAL INFORMATION 2ND			1JAN93 -30JUN93	3 93PEC/869/0183
	YEAR-END	10,701	17,430	1JUL93 -31DEC93	9 94PEC/889/1084
	YEAR-END - AMENDMENT	10,701	17,430	1JUL93 -31DEC93	10 94PEC/896/5031
	YEAR-END - AMENDMENT	10,701	17,430	1JUL93 -31DEC93	5 94PEC/900/1244
	NOTICE OF FAILURE TO FILE			1JUL93 -31DEC93	2 94PEC/885/5403
	REQUEST FOR ADDITIONAL INFORMATION			1JUL93 -31DEC93	2 94PEC/890/5701
	REQUEST FOR ADDITIONAL INFORMATION 2ND			1JUL93 -31DEC93	2 94PEC/897/4708
1994	MISCELLANEOUS NOTICE FROM FEC			20MAY94	2 94PEC/900/0115
	APRIL QUARTERLY	4,688	1,329	1JAN94 -31MAR94	5 94PEC/900/1249
	NOTICE OF FAILURE TO FILE			1JAN94 -31MAR94	2 94PEC/898/1194
	JULY QUARTERLY	4,081	3,230	31MAR94 -30JUN94	6 94PEC/910/3014
	JULY QUARTERLY - AMENDMENT	4,081	3,230	31MAR94 -30JUN94	6 94PEC/921/4055
	REQUEST FOR ADDITIONAL INFORMATION			31MAR94 -30JUN94	2 94PEC/920/0781
	OCTOBER QUARTERLY	5,764	11,225	30JUN94 -30SEP94	8 94PEC/943/0107
	NOTICE OF FAILURE TO FILE			1JUL94 -30SEP94	2 94PEC/943/0725
	1'ST LETTER INFORMATIONAL NOTICE			1JUL94 -30SEP94	2 94PEC/954/4401
	POST-GENERAL	1,502	10,380	30SEP94 -30NOV94	8 94PEC/951/5113
	YEAR-END	2,772	10,480	30SEP94 -31DEC94	9 95PEC/960/2961
	TOTAL	34,653	0 55,554	0	97 TOTAL PAGES

All reports have been reviewed except the 1994 30 Day Post-  
 General and Year End Reports.  
 Ending cash-on-hand as of 12/31/94: \$6053  
 Outstanding debts owed by/to the committee as of 12/31/94: \$0

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YEAR-END

(page 1 of 2)

# REPORT NOTICE

## FEDERAL ELECTION COMMISSION

PARTIES AND PACS

December 27, 1993

**WHO MUST FILE**

Party committees and PACs (nonconnected committees and separate segregated funds) must file a Year-End Report on January 31, 1994.

**REPORT CONTENT AND DATES****I. Semiannual Filers**

REPORT	REPORTING PERIOD*	REG./CERT. MAILING DATE**	FILING DATE
Year-End	07/01/93 - 12/31/93	01/31/94	01/31/94

Semiannual filers must disclose financial activity that occurred from July 1 through December 31, 1993, on their Year-End Report.

**II. Monthly Filers**

REPORT	REPORTING PERIOD*	REG./CERT. MAILING DATE**	FILING DATE
Year-End	12/01/93 - 12/31/93	01/31/94	01/31/94

Monthly filers must disclose financial activity from December 1 through December 31, 1993, on their Year-End Report.

**CHANGE IN FILING FREQUENCY**

Committees wishing to change their reporting schedule (for example, from semiannual to monthly) must notify the Commission in writing when they file their next report due under their current reporting schedule. Committees may change their filing frequencies no more than once per calendar year.

**LABEL**

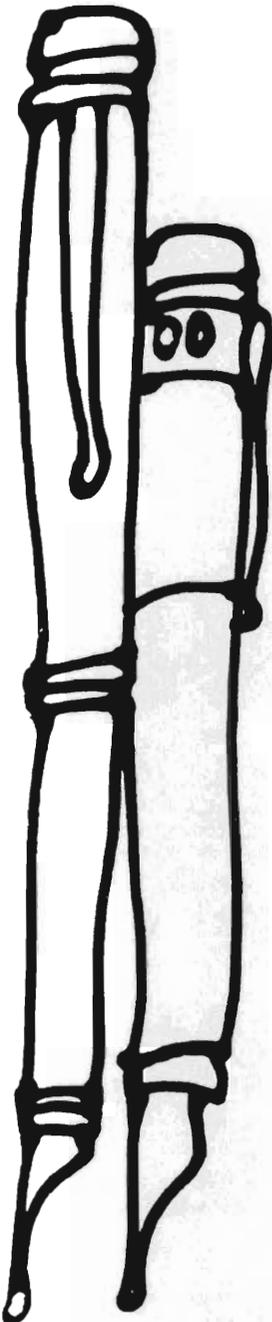
Committees should affix the peel-off label from the envelope to Line 1 of the report. Corrections should be made on the label.

\*The period begins with the close of the last report filed by the committee. If the committee has filed no previous reports, the period begins with the date of the committee's first activity.

\*\*Reports sent by registered or certified mail must be postmarked by the mailing date; otherwise, they must be received by the filing date.

(over)

9604321614



PARTIES AND PACS

YEAR-END

## 1994 FILING SCHEDULE

**I. Quarterly Filers**

REPORT	PERIOD COVERED*	REG./CERT.	
		MAILING DATE**	FILING DATE
April	01/01/94 - 03/31/94	04/15/94	04/15/94
July	04/01/94 - 06/30/94	07/15/94	07/15/94
October	07/01/94 - 09/30/94	10/15/94	10/15/94
Pre-General	10/01/94 - 10/19/94	10/24/94	10/27/94
Post-General	10/20/94 - 11/28/94	12/08/94	12/08/94
Year-End	11/29/94 - 12/31/94	01/31/95	01/31/95

**II. Monthly Filers**

REPORT	PERIOD COVERED*	REG./CERT.	
		MAILING DATE**	FILING DATE
February	01/01/94 - 01/31/94	02/20/94	02/20/94
March	02/01/94 - 02/28/94	03/20/94	03/20/94
April	03/01/94 - 03/31/94	04/20/94	04/20/94
May	04/01/94 - 04/30/94	05/20/94	05/20/94
June	05/01/94 - 05/31/94	06/20/94	06/20/94
July	06/01/94 - 06/30/94	07/20/94	07/20/94
August	07/01/94 - 07/31/94	08/20/94	08/20/94
September	08/01/94 - 08/31/94	09/20/94	09/20/94
October	09/01/94 - 09/30/94	10/20/94	10/20/94
Pre-General	10/01/94 - 10/19/94	10/24/94	10/27/94
Post-General	10/20/94 - 11/28/94	12/08/94	12/08/94
Year-End	11/29/94 - 12/31/94	01/31/95	01/31/95

**COMPLIANCE**

TREASURERS OF POLITICAL COMMITTEES ARE RESPONSIBLE FOR FILING ALL REPORTS ON TIME. FAILURE TO DO SO IS SUBJECT TO ENFORCEMENT ACTION. COMMITTEES FILING ILLEGIBLE REPORTS OR USING NON-FEC FORMS WILL BE REQUIRED TO REFILE.

\*The period begins with the close of the last report filed by the committee. If the committee has filed no previous reports, the period begins with the date of the committee's first activity.

\*\*Reports sent by registered or certified mail must be postmarked by the mailing date; otherwise, they must be received by the filing date.

FOR INFORMATION, Call: 800/424-9530 or 202/219-3420

96043721615

## FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20543

February 15, 1993

Bob Armstrong, Treasurer  
 International Union Political  
 Action Committee-(IBU-PAC)  
 500 John Street  
 Seattle, WA 98109

Identification Number: C00074893

Reference: Year End Report (7/1/92-12/31/92)

Dear Mr. Armstrong:

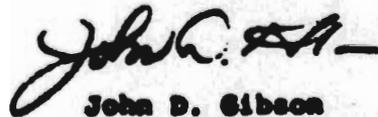
It has come to the attention of the Federal Election Commission ("the Commission") that your committee may be in violation of 2 U.S.C. 5434(a) for failing to file the above referenced Report of Receipts and Disbursements. You were previously notified of the due date for this report.

It is important that you file this report immediately with the Federal Election Commission, 999 E Street, NW, Washington, DC 20463 (or with the Clerk of the House or the Secretary of the Senate, as appropriate). A copy of the report or its relevant portions should also be filed with the Secretary of State or equivalent state officer (see 1) CFR §§100.3, 100.3, 100.4).

Although the Commission may initiate an audit or legal enforcement action concerning this matter, your prompt response and a letter of explanation will be taken into consideration.

If you have any questions, please contact Jan McBride on our toll-free number (800) 424-9530. Our local number is (202) 219-3500.

Sincerely,



John D. Gibson  
 Assistant Staff Director  
 Reports Analysis Division

940308355403

96043721616

# QUARTERLY REPORT NOTICE

## FEDERAL ELECTION COMMISSION

PARTIES AND PACS

March 22, 1994

REPORT	REPORTING PERIOD*	REG./CERT.	FILING DATE
		MAILING DATE**	
April Quarterly	01/01/94-03/31/94	04/15/94	04/15/94

**WHO MUST FILE**

Party committees and PACs (nonconnected committees and separate segregated funds) filing on a quarterly basis must file a Quarterly Report in April.

**PRE-ELECTION REPORTING**

Committees which make contributions or expenditures (including independent expenditures) in connection with an election, must also file a Pre-Election Report, if the activity was not previously reported. See January 1994 Record.

**LAST-MINUTE INDEPENDENT EXPENDITURES**

Any PAC that makes independent expenditures in connection with an election may have to file a 24 hour report. This reporting requirement will be triggered if the committee makes independent expenditures aggregating \$1,000 or more after the 20th day, but more than 24 hours, before the election day.

**CHANGE IN FILING FREQUENCY**

Committees wishing to change their reporting schedule (for example, from quarterly to monthly) must notify the Commission in writing when they file their next report due under their current reporting schedule. Committees may change their filing frequencies no more than once per calendar year.

**LABEL**

Committees should affix the peel-off label from the envelope to Line 1 of the report. Corrections should be made on the label.

**COMPLIANCE**

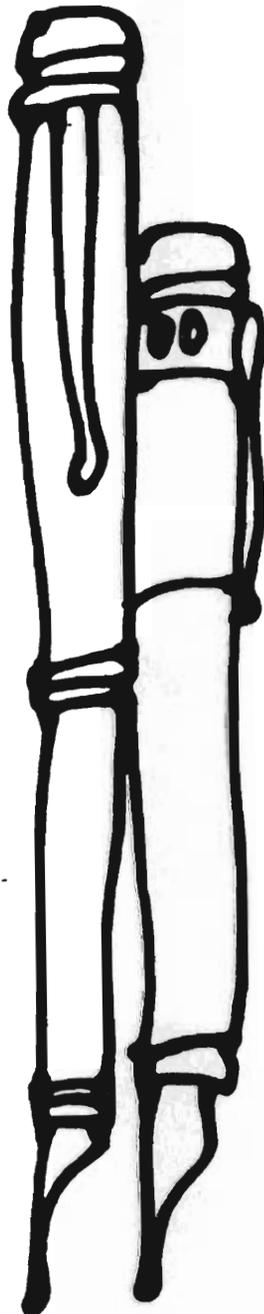
TREASURERS OF POLITICAL COMMITTEES ARE RESPONSIBLE FOR FILING ALL REPORTS ON TIME. FAILURE TO DO SO IS SUBJECT TO ENFORCEMENT ACTION. COMMITTEES FILING ILLEGIBLE REPORTS OR USING NON-FEC FORMS WILL BE REQUIRED TO REFILE.

\*The period begins with the close of the last report filed by the committee. If the committee has filed no previous reports, the period begins with the date of the committee's first activity.

\*\*Reports sent by registered or certified mail must be postmarked by the mailing date; otherwise, they must be received by the filing date.

FOR INFORMATION, Call: 800/424-9530 or 202/219-3420

96043721617



For Other Than An Election  
Summary Page

**1. NAME OF COMMITTEE (Print)**  
**Inland Boatmen's Union Political Action Committee**  
**ADDRESS (Number and Street)**  **Street Name (Not to be printed)**  
**900 John Street**  
**CITY, STATE and ZIP CODE**  
**Seattle, WA 98109-9013**

**2. FEC IDENTIFICATION NUMBER**  
**00074803**  
 **This committee has qualified as a non-federally  
 committee. (See FEC POFM 1A)**

**4. TYPE OF REPORT**

- (a)  April 15 Quarterly Report  
 July 15 Quarterly Report  
 October 15 Quarterly Report  
 January 31 Year End Report  
 July 31 Mid Year Report (Non-election Year Only)

**Monthly Report Due On**

- February 20  June 20  October 20  
 March 20  July 20  November 20  
 April 20  August 20  December 20  
 May 20  September 20  January 31

**Twelfth day report preceding** \_\_\_\_\_ **(Type of Election)**  
**election on** \_\_\_\_\_ **in the State of** \_\_\_\_\_

**Thirteenth day report following the General Election on**  
 \_\_\_\_\_ **in the State of** \_\_\_\_\_

(b) **Is this Report an Amendment?**  YES  NO

SUMMARY		COLUMN A	COLUMN B
8 Covering Period 7-1-93 through 12-31-93		This Period	Calendar Year-to-Date
6	(a) Cash on Hand January 1, 19_____		\$ 19,244.84
	(b) Cash on Hand at Beginning of Reporting Period	\$ 22,909.72	
	(c) Total Receipts (from Line 19)	\$ 10,701.46	\$ 15,846.64
	(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	\$ 33,611.18	\$ 35,091.48
7	Total Disbursements (from Line 20)	\$ 17,430.84	\$ 18,911.14
8	Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	\$ 16,180.34	\$ 16,180.34
9	Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule E)	\$	
10	Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule E)	\$	

For further information contact:  
 Federal Election Commission  
 900 E Street, NW  
 Washington, DC 20463  
 Toll Free 800-424-9630  
 Local 202-510-3400

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete

Type or Print Name of Treasurer  
**Terril Mast**  
 Signature of Treasurer  
*Terril Mast*  
 Date  
**3-28-94**

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g

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243334



FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20541

RQ-7

May 10, 1994

Bob Armstrong, Treasurer  
Inlandboatmen's Union Political  
Action Committee-(IBU-PAC)  
500 John Street  
Seattle, WA 98109

Identification Number: C00074393

Reference: April Quarterly Report (1/1/94-3/31/94)

Dear Mr. Armstrong:

It has come to the attention of the Federal Election Commission ("the Commission") that your committee may be in violation of 2 U.S.C. §434(a) for failing to file the above referenced Report of Receipts and Disbursements. You were previously notified of the due date for this report.

It is important that you file this report immediately with the Federal Election Commission, 999 E Street, NW, Washington, DC 20463 (or with the Clerk of the House or the Secretary of the Senate, as appropriate). A copy of the report or its relevant portions should also be filed with the Secretary of State or equivalent state officer (see 11 CFR §§108.2, 108.3, 108.4).

Although the Commission may initiate an audit or legal enforcement action concerning this matter, your prompt response and a letter of explanation will be taken into consideration.

If you have any questions, please contact Jan McBride on our toll-free number (800) 424-9530. Our local number is (202) 219-3580.

Sincerely,

John D. Gibson  
Assistant Staff Director  
Reports Analysis Division

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3:3333



## FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

MS-L

MAY 20 1994

Bob Armstrong, Treasurer  
 Inlandboatmen's Union Political  
 Action Committee (IBU-PAC)  
 500 John Street  
 Seattle, WA 98109

Identification Number: C00074393

Reference: 1993-1994 Election Cycle Reports

Dear Mr. Armstrong:

It has come to the attention of the Federal Election Commission ("the Commission") that your committee may have violated 2 U.S.C. §434(a), by failing to timely file Reports of Receipts and Disbursements. The following is a list of the reports in question.

<u>Report Type</u>	<u>Due Date</u>	<u>Date Filed</u>
Year End (7/1/93-12/31/93)	1/31/94	4/1/94
April Quarterly (1/1/94-3/31/94)	4/15/94	Not Filed

Timely filing is a specific requirement of the Federal Election Campaign Act ("the Act") and is essential to fulfilling the public disclosure concept embodied in that law. The Commission views failure to timely file reports as a serious violation of the Act. This communication is to advise you that, notwithstanding any matters which may be pending before the Commission, any additional report which is not submitted in a timely manner by your committee may result in the Commission initiating legal enforcement or audit action.

You may submit a letter of explanation in response to this notification. If, however, you have any questions, please feel free to contact Jan McBride on our toll-free number, (800) 424-9530. My local number is (202) 219-3580.

Sincerely,

Lisa J. Stolaruk  
 Chief, Party/Non-Party Branch  
 Reports Analysis Division

79 46 00 34 93 07 02 JI 16 1 2 50

RECEIVED  
 FEDERAL ELECTION  
 COMMISSION  
 ADMINISTRATIVE DIVISION

MAY 21 9 22 AM '94

TERRI MAEST  
 ISLANDBOATHMEN'S UNION POLITICAL  
 ACTION COMMITTEE - (TIB-BACT)  
 305 JOHN STREET  
 BERTLE MA 02108

FEDERAL ELECTION COMMISSION  
 CHECK NO. 1  
 This candidate has qualified as a self-financing candidate. (See FEC FORM 100)

4. TYPE OF REPORT

- April 15 Quarterly Report
- July 15 Quarterly Report
- October 15 Quarterly Report
- January 31 Year End Report
- July 31 Mid Year Report (Non-election Year Only)
- Termination Report
- Monthly Report Due On:
- February 20  June 20  October 20
- March 20  July 20  November 20
- April 20  August 20  December 20
- May 20  September 20  January 31
- Twelfth day report preceding \_\_\_\_\_ (Type of Election)  
 election on \_\_\_\_\_ in the State of \_\_\_\_\_
- Thirtieth day report following the General Election on \_\_\_\_\_  
 in the State of \_\_\_\_\_

(a) Is this Report an Amendment?  YES  NO

SUMMARY	COLUMN A This Period	COLUMN B Calendar Year-to-Date
5. Covering Period 1-1-94 through 3-31-94		
6. (a) Cash on Hand January 1, 1994		\$ 16,180.34
(b) Cash on Hand at Beginning of Reporting Period	\$ 16,180.34	
(c) Total Receipts (from Line 10)	\$ 4,689.21	\$ 4,689.21
(d) Subtotal (add Lines 6(a) and 6(c) for Column A and Lines 6(b) and 6(c) for Column B)	\$ 20,869.55	\$ 20,869.55
7. Total Disbursements (from Line 20)	\$ 1,329.28	\$ 1,329.28
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	\$ 19,539.27	\$ 19,539.27
9. Debts and Obligations Owed TO the Committee (Report all on Schedule C and/or Schedule D)	\$	For further information contact Federal Election Commission 200 E Street, NW Washington, DC 20543 Tel: (202) 456-4500 Local: (202) 456-4500
10. Debts and Obligations Owed BY the Committee (Report all on Schedule C and/or Schedule D)	\$	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Name or Print Name of Treasurer: **Terri Maest**

Signature of Treasurer: *Terri Maest*

Date: **5-19-94**

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. 1970g.

94039001249

# QUARTERLY REPORT NOTICE

## FEDERAL ELECTION COMMISSION

PARTIES AND PACS

September 21, 1994

REPORT	REPORTING PERIOD*	REG./CERT. MAILING DATE**	FILING DATE
October Quarterly	07 01 94 - 09 30/94	10/15/94	10/15/94

**WHO MUST FILE**

Party committees and PACs (nonconnected committees and separate segregated funds) filing on a quarterly basis must file a Quarterly Report in October.

**PRE-ELECTION REPORTING**

Committees which make contributions or expenditures (including independent expenditures) in connection with an election must also file a Pre-Election Report, if the activity was not previously reported. See the January 1994 Record.

**LAST-MINUTE INDEPENDENT EXPENDITURES**

Any PAC that makes independent expenditures in connection with an election may have to file a 24 hour report. This reporting requirement will be triggered if the committee makes independent expenditures aggregating \$1,000 or more after the 20th day, but more than 24 hours, before the election day.

**CHANGE IN FILING FREQUENCY**

Committees wishing to change their reporting schedule (for example, from quarterly to monthly) must notify the Commission in writing when they file their next report due under their current reporting schedule. Committees may change their filing frequencies no more than once per calendar year.

**LABEL**

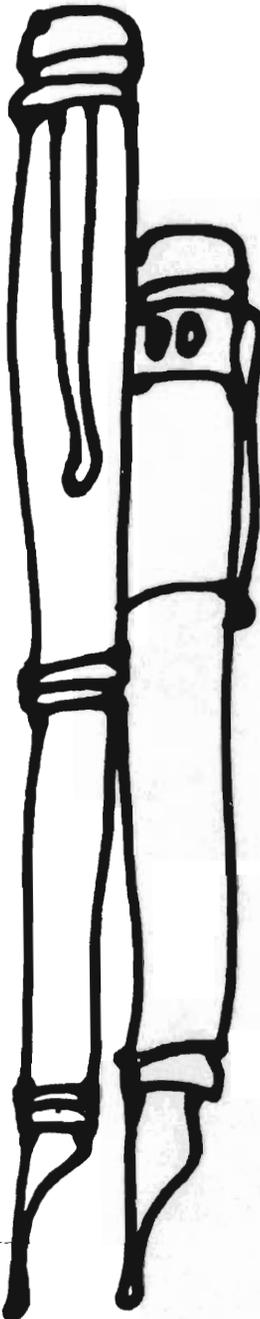
Committees should affix the peel-off label from the envelope to Line 1 of the report. Corrections should be made on the label.

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over

96043721622





## FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

RQ-7

November 4, 1994

Terri Mast, Treasurer  
 Inlandboatmen's Union Political  
 Action Committee-(IBU-PAC)  
 500 John Street  
 Seattle, WA 98109

Identification Number: C00074393

Reference: October Quarterly Report (7/1/94-9/30/94)

Dear Ms. Mast:

It has come to the attention of the Federal Election Commission ("the Commission") that your committee may be in violation of 2 U.S.C. §434(a) for failing to file the above referenced Report of Receipts and Disbursements. You were previously notified of the due date for this report.

It is important that you file this report immediately with the Federal Election Commission, 999 E Street, NW, Washington, DC 20463 (or with the Clerk of the House or the Secretary of the Senate, as appropriate). A copy of the report or its relevant portions should also be filed with the Secretary of State or equivalent state officer (see 11 CFR §§108.2, 108.3, 108.4).

Although the Commission may initiate an audit or legal enforcement action concerning this matter, your prompt response and a letter of explanation will be taken into consideration.

If you have any questions, please contact Jan McBride on our toll-free number (800) 424-9530. Our local number is (202) 219-3580.

Sincerely,

John D. Gibson  
 Assistant Staff Director  
 Reports Analysis Division

96043721623

**Intentional's 1994 Political Action Committee**

Attachment # 11

300 1st St

City, State and ZIP Code

Seattle, WA 98109

**II. TYPE OF REPORT**

- April 15 Quarterly Report
- July 15 Quarterly Report
- October 15 Quarterly Report
- January 31 Year End Report
- July 31 1/2 Year Report (Plan-election Year Only)
- Termination Report

**Monthly Report Last On:**

- February 28
- March 31
- April 30
- May 31
- JUNE 30
- July 31
- August 31
- September 30
- October 31
- November 30
- December 31
- January 31

- Twelve day report preceding \_\_\_\_\_ (Type of Election) election on \_\_\_\_\_ in the State of \_\_\_\_\_
- Twelve day report following the General Election on \_\_\_\_\_ in the State of \_\_\_\_\_

10 Is this Report an Amendment?  YES  NO

960337433074

SUMMARY		COLUMN A	COLUMN B
Covering Period <u>6/30/94</u> through <u>9/30/94</u>		This Period	Calendar Year-to-Date
1	Cash on Hand January 1, 1994		\$ 16,100.34
2	Cash on Hand at Beginning of Reporting Period	\$ 20,391.00	
3	Total Receipts (from Line 10)	\$ 3,764.47	\$ 14,535.21
4	Subtotal (add Lines 2) and (3) for Column A and Lines 2) and (3) for Column B)	\$ 26,156.27	\$ 30,715.55
7	Total Disbursements (from Line 2)	\$ 11,225.00	\$ 15,784.28
8	Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 4)	\$ 14,931.27	\$ 14,931.27
9	Debits and Obligations Owed TO the Committee (Reported on Schedule C and/or Schedule D)	\$	
10	Debits and Obligations Owed BY the Committee (Reported on Schedule C and/or Schedule D)	\$	

For further information contact Federal Election Commission 690 E Street, NW Washington, DC 20543 Tel Fax 202-451-0700 Local 202-576-9100

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Terri Mast  
 Signature of Treasurer [Handwritten Signature] Date 10-31-94

NOTE: Submission of false, overstated, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. 6437g.

FEC FORM 28

RECEIVED  
FEDERAL ELECTION  
COMMISSION  
SECRETARIAT

Oct 24 9 26 AM '95

FEDERAL ELECTION COMMISSION  
999 E Street, N.W.  
Washington, D.C. 20463

**SENSITIVE**

**FIRST GENERAL COUNSEL'S REPORT**

RAD Referral: 95NF-3  
Date Activated: August 28, 1995  
Staff Member: Tamara Kapper

SOURCE: I N T E R N A L L Y G E N E R A T E D

RESPONDENTS: Inlandboatmen's Union Political Action Committee  
and Terri Mast, as treasurer

RELEVANT STATUTE: 2 U.S.C. § 434(a)(4)(A)(i) and (iv)

INTERNAL REPORTS CHECKED: Disclosure Reports  
Referral Material

FEDERAL AGENCIES CHECKED: None

**I. GENERATION OF MATTER**

The Office of the General Counsel received a referral from the Reports Analysis Division ("RAD") on March 2, 1995. Attachment 1. The basis of the attached referral is the failure of the Inlandboatmen's Union Political Action Committee and Terri Mast, as treasurer, ("IBUPAC") to timely file three (3) disclosure reports during the 1993-1994 election cycle. Specifically, IBUPAC failed to timely file its 1993 Year End, and its 1994 April and October Quarterly Reports.

**II. FACTUAL AND LEGAL ANALYSIS**

Based on the Factual and Legal Analysis, see Attachment 2, this Office recommends the Commission find reason to believe the Respondents violated 2 U.S.C. § 434(a)(4)(A)(i) and (iv).

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III. DISCUSSION OF CONCILIATION AND CIVIL PENALTY

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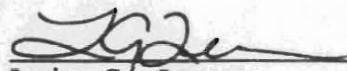
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**IV. RECOMMENDATIONS**

1. Open a MUR.
2. Find reason to believe the Inlandboatmen's Union Political Action Committee and Terri Mast, as treasurer, violated 2 U.S.C. § 434(a)(4)(A)(i) and (iv), and enter into conciliation prior to a finding of probable cause to believe.
3. Approve the attached proposed Factual and Legal Analysis, proposed conciliation agreement, and the appropriate letter.

Lawrence M. Noble  
General Counsel

Date 10/23/95

BY:   
Lois G. Lerner  
Associate General Counsel

**Attachments**

1. Referral Materials
2. Proposed Factual and Legal Analysis
3. Proposed Conciliation Agreement

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of )  
 )  
Inlandboatmen's Union Political Action ) RAD Referral  
Committee and Terri Mast, as treasurer. ) #95NF-3 MUR 4276

CERTIFICATION

I, Marjorie W. Emmons, Secretary of the Federal Election Commission, do hereby certify that on October 27, 1995, the Commission decided by a vote of 5-0 to take the following actions in RAD Referral #95NF-3:

1. Open a MUR.
2. Find reason to believe the Inlandboatmen's Union Political Action Committee and Terri Mast, as treasurer, violated 2 U.S.C. § 434(a)(4)(A)(i) and (iv), and enter into conciliation prior to a finding of probable cause to believe.
3. Approve the proposed Factual and Legal Analysis, proposed conciliation agreement, and the appropriate letter, as recommended in the General Counsel's Report dated October 23, 1995.

Commissioners Aikens, Elliott, McDonald, McGarry, and Thomas voted affirmatively for the decision.

Attest:

10-27-95  
Date

*for Debra Hardy*  
Marjorie W. Emmons  
Secretary of the Commission

Received in the Secretariat: Tues., Oct. 24, 1995 9:26 a.m.  
Circulated to the Commission: Tues., Oct. 24, 1995 4:00 p.m.  
Deadline for vote: Fri., Oct. 27, 1995 4:00 p.m.

lrd

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FEDERAL ELECTION COMMISSION  
WASHINGTON, D.C. 20463

November 2, 1995

Terri Mast, Treasurer  
Inlandboatmen's Union  
Political Action  
Committee  
500 John Street  
Seattle, WA 98109

RE: MUR 4276  
Inlandboatmen's Union  
Political Action Committee  
and Terri Mast, as treasurer

Dear Ms. Mast:

On October 27, 1995, the Federal Election Commission found that there is reason to believe the Inlandboatmen's Union Political Action Committee ("Committee") and you, as treasurer, violated 2 U.S.C. § 434(a)(4)(A)(i) and (iv), a provision of the Federal Election Campaign Act of 1971, as amended ("the Act"). The Factual and Legal Analysis, which formed a basis for the Commission's finding, is attached for your information.

You may submit any factual or legal materials that you believe are relevant to the Commission's consideration of this matter. Please submit such materials to the General Counsel's Office within 15 days of your receipt of this letter. Where appropriate, statements should be submitted under oath. In the absence of additional information, the Commission may find probable cause to believe that a violation has occurred and proceed with conciliation.

In order to expedite the resolution of this matter, the Commission has also decided to offer to enter into negotiations directed towards reaching a conciliation agreement in settlement of this matter prior to a finding of probable cause to believe. Enclosed is a conciliation agreement that the Commission has approved.

*Celebrating the Commission's 20th Anniversary*

YESTERDAY, TODAY AND TOMORROW  
DEDICATED TO KEEPING THE PUBLIC INFORMED

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Ms. Mast  
Page 2

If you are interested in expediting the resolution of this matter by pursuing preprobable cause conciliation and if you agree with the provisions of the enclosed agreement, please sign and return the agreement, along with the civil penalty, to the Commission. In light of the fact that conciliation negotiations, prior to a finding of probable cause to believe, are limited to a maximum of 30 days, you should respond to this notification as soon as possible.

Requests for extensions of time will not be routinely granted. Requests must be made in writing at least five days prior to the due date of the response and specific good cause must be demonstrated. In addition, the Office of the General Counsel ordinarily will not give extensions beyond 20 days.

If you intend to be represented by counsel in this matter, please advise the Commission by completing the enclosed form stating the name, address, and telephone number of such counsel, and authorizing such counsel to receive any notifications and other communications from the Commission.

This matter will remain confidential in accordance with 2 U.S.C. §§ 437g(a)(4)(B) and 437g(a)(12)(A), unless you notify the Commission in writing that you wish the investigation to be made public.

For your information, we have attached a brief description of the Commission's procedures for handling possible violations of the Act. If you have any questions, please contact Tamara Kapper, the staff member assigned to this matter, at the local number (202) 219-3690 or the toll free number (800) 424-9530.

Sincerely,

  
Lee Ann Elliott  
Vice Chairman

Enclosures  
Factual and Legal Analysis  
Procedures  
Designation of Counsel Form  
Conciliation Agreement

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FEDERAL ELECTION COMMISSION

FACTUAL AND LEGAL ANALYSIS

RESPONDENTS: Inlandboatmen's Union Political  
Action Committee and Terri Mast,  
as treasurer

MUR: 4276

This matter was generated based on information ascertained by the Federal Election Commission ("the Commission") in the normal course of carrying out its supervisory responsibilities. See 2 U.S.C. § 437g(a)(2).

The Federal Election Campaign Act of 1971, as amended, ("the Act") requires treasurers of political committees, other than authorized committees of a candidate, to file periodic reports of receipts and disbursements. 2 U.S.C. § 434(a)(4)(A). Such committees may choose to file reports either on a monthly or a quarterly basis. Id.

In any calendar year in which a regularly scheduled general election is held, committees that choose to file on a quarterly basis shall file quarterly reports no later than the 15th day after the last day of each calendar quarter. 2 U.S.C. § 434(a)(4)(A)(i). Such committees shall also file a report covering the period beginning July 1 and ending December 31, to be filed no later than January 31 of the following calendar year. 2 U.S.C. § 434(a)(4)(A)(iv).

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The Inlandboatmen's Union Political Action Committee and Terri Mast, as treasurer, ("IBUPAC") is a political committee not authorized by any candidate, and has elected to file disclosure reports on a quarterly basis.

Pursuant to 2 U.S.C. § 434(a)(4)(A)(iv), the due date for IBUPAC's 1993 Year End Report was January 31, 1994. IBUPAC was notified on December 27, 1993, that its 1993 Year End Report was due on January 31, 1994. On April 1, 1994, IBUPAC filed its 1993 Year End Report, 60 days late, disclosing receipts totaling \$10,701 and disbursements totaling \$17,430.

Pursuant to 2 U.S.C. § 434(a)(4)(A)(i), the due date for IBUPAC's 1994 April Quarterly Report was April 15, 1994. IBUPAC was notified on March 22, 1994, that its 1994 April Quarterly Report was due on April 15, 1994. On May 23, 1994, IBUPAC filed its 1994 April Quarterly Report, 38 days late, disclosing receipts totaling \$4,688 and disbursements totaling \$1,329.

On May 20, 1994, the Commission sent IBUPAC a Chronic Late Filer Notice, notifying the IBUPAC that its 1993 Year End Report and its 1994 April Quarterly Report had not been filed in a timely manner. The Notice advised IBUPAC that any additional late filing of reports could result in legal enforcement action.

Pursuant to 2 U.S.C. § 434(a)(4)(A)(i), the due date for IBUPAC's 1994 October Quarterly Report was October 15, 1994. IBUPAC was notified on September 21, 1994, that its 1994 October Quarterly Report was due on October 15, 1994. On November 6,

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1994, IBUPAC filed its 1994 October Quarterly Report, 22 days late, disclosing receipts totaling \$5,764 and disbursements totaling \$11,225.

Based on the foregoing, there is reason to believe that the Inlandboatmen's Union Political Action Committee and Terri Mast, as treasurer, violated 2 U.S.C. § 434(a)(4)(A)(i) and (iv).

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Nov 20 4 34 PM '95

November 16, 1995

VIA EXPRESS MAIL

Ms. Lee Ann Elliott  
Vice Chairman  
Ms. Tamara Kapper  
Federal Elections Commission  
999 E Street N.W.  
Washington, D.C. 20463

Re: MUR 4276  
Inlandboatmen's Union's Political Action Committee  
and Terri Mast as Treasurer  
Our File No. 3026-3068

Dear Ms. Elliott and Ms. Kapper:

I am an attorney with the firm of Schwerin, Burns, Campbell & French, and we represent the Inlandboatmen's Union of the Pacific Political Action Committee, and Terri Mast as Secretary/Treasurer of that Committee. Enclosed you will find a designation form indicating that we will be appearing in this matter on behalf of the Inlandboatmen's Union of the Pacific Political Action Committee and Ms. Mast. Unfortunately, Ms. Mast is out of town and I did not make arrangements for her to sign it before she left. As soon as she returns we will send you a new designation form with her original signature on it.

I have reviewed the findings of the Commission with Ms. Mast, and reviewed the IBU PAC's records concerning the filings of their annual and quarterly reports in 1994.

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Ms. Lee Ann Elliott  
Ms. Tamara Kapper  
November 16, 1995  
Page Two

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Ms. Lee Ann Elliott  
Ms. Tamara Kapper  
November 16, 1995  
Page Three

Here, the Inlandboatmen's Union PAC admittedly filed some of its reports in 1994 late. However, that was not done intentionally or with a motive of hiding or delaying the disclosure of contributions of the PAC. As Ms. Mast explains in her enclosed affidavit, the first late report was filed shortly after she took office. At the time she took office she assumed a wide array of duties without training. That report was filed as soon as she was able to collect the necessary information and properly fill out the form. At that time, a letter was sent with the report explaining that situation.

She then delegated the preparation for the April, 1994, report to the office manager and bookkeeper who had helped her with the January year-end report. Unfortunately, this person did not understand how important it was that these reports be filled out on a timely basis, and that report was also filed late.

At that point Ms. Mast did take over responsibility for making sure that the forms were filled out, realizing that she had to do that herself. She did file the June, 1994, report on the correct date. However, she made an error in October, 1994, mistakenly believing the form was due at the end of October rather than October 15th. She mailed that form on October 31, 1994, but by that time it was also late.

Since then the problem has been corrected. All reports have been filed on time since October 1994. The IBU PAC (and Ms. Mast in particular) have realized that there was a problem, and have taken effective steps to correct the problem. The contributions made by the IBU PAC are relatively small, and there has been no intention by the IBU PAC to file these reports late in order to delay disclosure of their contributions. Any contributions made to political candidates would also have been reported on the political candidates' report forms, and there is no indication that the IBU PAC's reports were filed late with an intent to delay disclosure to the public of the recipients of the IBU PAC's contributions. This was simply an administrative failure by the IBU PAC, that has now been corrected.

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Ms. Lee Ann Elliott  
Ms. Tamara Kapper  
November 16, 1995  
Page Four

Thus, the violation by the IBU PAC was not done in bad faith. There should have been no injury to the public due to the availability of that information on candidates' reports.

I understand that the Federal Election Commission is currently closed due to the budget problems. I would appreciate it if you would give me a call as soon as possible. It appears from your letter that you have a fairly short time within which a pre-probable cause conciliation agreement can be reached.

Thank you for your attention to this matter.

Sincerely,



Cheryl A. French

CAF: law  
OPEIU #8, AFL-CIO  
Enclosures  
cc: Dave Freiboth  
Terri Mast

DECLARATION OF TERRI MAST

I, Terri Mast, declare the following under penalty of perjury:

1. I am the Secretary-Treasurer for the Inlandboatmen's Union of the Pacific. I have held that office since December 15, 1993. As a part of my duties as Secretary-Treasurer, I now file the annual and quarterly reports to the Federal Election Commission for the Inlandboatmen's Union Political Action Committee.

2. I was first elected as Secretary-Treasurer during a 60 day mail-in ballot during the Fall of 1993. I actually took office on December 15, 1993. This was a contested election and the person I replaced did not train me before leaving. I had to review the materials in the union's files to determine where records were kept, what deadlines had to be met, which correspondence required a response and all other duties in my new office. In addition to filing the IBUPAC report, I have responsibility for most of the financial business of the union and I was very busy at this time.

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3. When I realized that the IBUPAC needed to file a FEC report on January 31, 1994, we did so as soon as we were able to collect the necessary information and properly fill out the form. I spoke with Jan McBride, with the FEC staff, during this time about how to complete the forms. I explained I was concerned about not meeting the deadline. She told me I should include with the completed form an explanation for its lateness and get it to the FEC as soon as possible. We did that.

4. I had worked with our office manager and bookkeeper to complete the January report and delegated to her responsibility for completing the quarterly forms. Unfortunately, she did not understand how important it was for the reports to be filed on a timely basis. As a consequence, the April, 1994 quarterly report was filed late.

5. At that point, I realized that I had to take over filing the forms. I did so and the June 1994 form was filed on time.

6. Unfortunately, when I prepared the October 1994 form, I had the mistaken belief the form was due at the end of October, rather than on October 15, 1994. I mailed it on October 31, 1994, but by that time it was also late. (It was my understanding that the forms were considered filed on

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the day they are mailed. October 31, 1994 was the day this report was mailed.)

7. Since that time, all of our reports have been filed on time. We realize we had a problem filing the reports on time at the beginning of my tenure as Secretary-Treasurer, but we have taken steps to correct the problem. When our office manager did not fill out the reports on time, I took over direct responsibility for their filing. I have computerized our system for recording receipts and expenditures so it is easier to collect the information for the reports. Although I erred by sending out the October, 1994 report late, all reports since then have been on time. At no time was our late filing willful or an attempt to hide any information from the Commission. I take my responsibility for filing these reports seriously, and do my best to file complete and accurate reports on a timely basis.

*Terri Mast* 11-9 95  
Terri Mast Date

96043721641

**ADDRESS:**

Cheryl French, Schwerin, Burns, Campbell

2505 Third Avenue, Ste. 309

Seattle, Washington 98121-1452

**TELEPHONE:**

(206) 728-7280

RECEIVED  
FEDERAL ELECTION  
COMMISSION  
SECRETARIAT

Nov 20 4 34 PM '95

The above-named individual is hereby designated as my  
counsel and is authorized to receive any notifications and other  
communications from the Commission and to act on my behalf before  
the Commission.

November 16, 1995

Date

Cheryl A. French  
Signature

**RESPONDENT'S NAME:**

Inlandboatmen's Union of the Pacific, Terri Mast, Treasurer  
Political Action Committee

**ADDRESS:**

500 John Street

Seattle, Washington 98109-3013

**HOME PHONE:**

**BUSINESS PHONE:**

(206) 441-5111

96043721642

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of

)

**SCHWERIN, BURNS, CAMPBELL & FRENCH**

2505 THIRD AVENUE, SUITE 309 • SEATTLE, WASHINGTON 98121-1452  
(206) 728-7280 • FAX (206) 728-9149 • WASHINGTON TOLL FREE 1-800-238-4231 (ADVISE1)

December 14, 1995

RECEIVED  
FEDERAL ELECTION  
COMMISSION  
OFFICE OF GENERAL  
COUNSEL  
DEC 18 12 15 PM '95

Ms. Tamara Kapper  
Federal Elections Commission  
999 E Street N.W.  
Washington, D.C. 20463

Re: MUR 4276  
Inlandboatmen's Union's Political Action Committee  
and Terri Mast as Treasurer  
Our File No. 3026-3068

Dear Ms. Kapper:

Enclosed is the original copy with original signatures of the Conciliation Agreement in the above-referenced matter. Also enclosed is a check from the Inlandboatmen's Union of the Pacific for \$1,375.00 in full payment of the fine agreed to in the Conciliation Agreement. As we discussed, the payment of the fine would not be a contribution in a federal election, but would be an administrative expense, and as such can be paid directly by the Inlandboatmen's Union of the Pacific rather than the PAC itself.

I understand that this Conciliation Agreement must be approved by the Commission as a whole. Please let me know when and if that is done. I am assuming that if it is approved, given that the check has been enclosed, that should bring this matter to a close.

Please let me know if you need any additional information, or if the IBU PAC needs to take any further steps in this matter.

Thank you for your attention to this matter.

Sincerely,



Cheryl A. French

CAF: law  
OPEIU #8, AFL-CIO  
Enclosures  
cc: Terri Mast (w/encls.)

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COMMISSION  
ACCOUNTING OFFICE  
DEC 18 2 09 PM '95

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Dec 19 10 02 AM '95



FEDERAL ELECTION COMMISSION  
WASHINGTON, DC 20463

12/18/95

TWO WAY MEMORANDUM

TO: OGC, Docket

FROM: Rosa E. Swinton  
Accounting Technician

SUBJECT: Account Determination for Funds Received

We recently received a check from Inland Boatmen's Union of the Pacific, check number 41701, dated 12/13/95, and in the amount of \$1,375.00. Attached is a copy of the check and any correspondence that was forwarded. Please indicate below the account into which it should be deposited, and the MUR number and name.

-----

TO: Rosa E. Swinton  
Accounting Technician

FROM: OGC, Docket By aa

In reference to the above check in the amount of \$1,375.00, the MUR number is 4276 and in the name of Inland Boatmen's Union PAC. The account into which it should be deposited is indicated below:

- Budget Clearing Account (OGC), 95F3875.16
- Civil Penalties Account, 95-1099.160
- Other: \_\_\_\_\_

Anita Alexander  
Signature

12-19-95  
Date

95043 / 2164

**INLANDBOATMEN'S UNION  
OF THE PACIFIC**

500 JOHN ST. 206-448-9736  
SEATTLE, WA 98109-5013

THE BANK OF CALIFORNIA  
SEATTLE OFFICE  
910 FOURTH AVE  
SEATTLE, WASHINGTON 98164

18-11  
1250

41701  
41701

CHECK NO.

\*\*\*\* ONE THOUSAND THREE HUNDRED SEVENTY FIVE & NO/100 DOLLARS

DATE AMOUNT  
12-13-95 \*\*\*\*\*1,375.00

PAY  
TO THE  
ORDER  
OF

FEDERAL ELECTION COMMISSION  
WASHINGTON DC 20463

INLANDBOATMEN'S UNION OF THE PACIFIC

*John Must*  
*[Signature]*  
AUTHORIZED SIGNATURE

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**INLANDBOATMEN'S UNION OF THE PACIFIC**

41701

Vendor ID FEC  
Vendor Name FEDERAL ELECTION COMMISSION

41701  
12-13-95

Invoice	Description	Discount Amount	Amount Paid
12FEC	Late file	0.00	1,375.00
	Total	0.00	1,375.00



II. **RECOMMENDATIONS**

- 1. Accept the attached counterproposed conciliation agreement with the Inlandboatmen's Union Political Action Committee and Terri Mast, as treasurer.
- 2. Close the file.
- 3. Approve the appropriate letter.

Lawrence M. Noble  
General Counsel

1/29/96  
Date

BY:   
Lois G. Lerner  
Associate General Counsel

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BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of )  
 )  
Inlandboatmen's Union Political ) MUR 4276  
Action Committee and Terri Mast, )  
as treasurer. )

CERTIFICATION

I, Marjorie W. Emmons, Secretary of the Federal Election Commission, do hereby certify that on February 5, 1996, the Commission decided by a vote of 5-0 to take the following actions in MUR 4276:

1. Accept the counterproposed conciliation agreement with the Inlandboatmen's Union Political Action Committee and Terri Mast, as treasurer, as recommended in the General Counsel's Report dated January 29, 1996.
2. Close the file.
3. Approve the appropriate letter, as recommended in the General Counsel's Report dated January 29, 1996.

Commissioners Aikens, Elliott, McDonald, McGarry, and Thomas voted affirmatively for the decision.

Attest:

2-5-96  
Date

Delores Hardy  
for Marjorie W. Emmons  
Secretary of the Commission

Received in the Secretariat: Wed., Jan. 31, 1996 9:08 a.m.  
Circulated to the Commission: Wed., Jan. 31, 1996 11:00 a.m.  
Deadline for vote: Mon., Feb. 05, 1996 4:00 p.m.

lrd

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FEDERAL ELECTION COMMISSION  
WASHINGTON, D.C. 20463

February 8, 1996

Cheryl A. French, Esquire  
Schwerin, Burns, Campbell & French  
2505 Third Avenue, Suite 309  
Seattle, Washington 98121-1452

RE: MUR 4276  
Inlandboatmen's Union Political  
Action Committee and Terri Mast, as  
treasurer

Dear Ms. French:

On February 5, 1996, the Federal Election Commission accepted the signed conciliation agreement and civil penalty that you submitted on behalf of your clients, the Inlandboatmen's Union Political Action Committee and Terri Mast, as treasurer, in settlement of a violation of 2 U.S.C. §§ 434(a)(4)(A)(i) and (iv), provisions of the Federal Election Campaign Act of 1971, as amended ("the Act"). Accordingly, the file has been closed in this matter.

The confidentiality provisions at 2 U.S.C. § 437g(a)(12) no longer apply and this matter is now public. In addition, although the complete file must be placed on the public record within 30 days, this could occur at any time following certification of the Commission's vote. If you wish to submit any factual or legal materials to appear on the public record, please do so as soon as possible. While the file may be placed on the public record before receiving your additional materials, any permissible submissions will be added to the public record upon receipt.

Information derived in connection with any conciliation attempt will not become public without the written consent of the respondent and the Commission. See 2 U.S.C. § 437g(a)(4)(B). The enclosed conciliation agreement, however, will become a part of the public record.

*Celebrating the Commission's 20th Anniversary*

YESTERDAY, TODAY AND TOMORROW  
DEDICATED TO KEEPING THE PUBLIC INFORMED

96043721619

Ms. French, Esq.  
Page 2

Enclosed you will find a copy of the fully executed conciliation agreement for your files.  
If you have any questions, please contact me at (202) 219-3690.

Sincerely,



Tamara Kapper  
Paralegal

Enclosure  
Conciliation Agreement

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BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of )  
 ) MUR 4276  
Inlandboatmen's Union Political )  
Action Committee and Terri Mast, )  
as treasurer )

CONCILIATION AGREEMENT

This matter was initiated by the Federal Election Commission ("Commission"), pursuant to information ascertained in the normal course of carrying out its supervisory responsibilities. The Commission found reason to believe that the Inlandboatmen's Union Political Action Committee and Terri Mast, as treasurer, ("Respondents") violated 2 U.S.C. § 434(a)(4)(A)(i) and (iv).

NOW, THEREFORE, the Commission and the Respondents, having participated in informal methods of conciliation, prior to a finding of probable cause to believe, do hereby agree as follows:

I. The Commission has jurisdiction over the Respondents and the subject matter of this proceeding, and this agreement has the effect of an agreement entered pursuant to 2 U.S.C.

§ 437g(a)(4)(A)(i).

II. Respondents have had a reasonable opportunity to demonstrate that no action should be taken in this matter.

III. Respondents enter voluntarily into this agreement with the Commission.

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IV. The pertinent facts in this matter are as follows:

1. Inlandboatmen's Union Political Action Committee is a political committee within the meaning of 2 U.S.C. § 431(4).

2. Terri Mast is the treasurer of the Inlandboatmen's Union Political Action Committee.

3. In a calendar year in which a regularly scheduled general election is held, political committees that elect to file quarterly reports shall file their reports no later than the 15th day after the last day of each calendar quarter. 2 U.S.C. § 434(a)(4)(A)(i).

4. In a calendar year without a regularly scheduled general election, political committees electing to file on a quarterly basis shall file a report covering the period beginning July 1 and ending December 31, which is to be filed no later than January 31 of the following calendar year. 2 U.S.C. § 434(a)(4)(A)(iv).

5. Respondents have elected to file quarterly reports with the Commission.

6. Pursuant to 2 U.S.C. § 434(a)(4)(A)(iv), Respondents' 1993 Year End Report was due on January 31, 1994. Respondents filed the 1993 Year End Report on April 1, 1994, 60 days late, disclosing receipts totaling \$10,701 and disbursements totaling \$17,430.

7. Pursuant to 2 U.S.C. § 434(a)(4)(A)(i), Respondents' 1994 April Quarterly Report was due on April 15, 1994. Respondents filed the 1994 April Quarterly Report on May 23, 1994, 38 days late, disclosing receipts totaling \$4,688 and disbursements totaling \$1,329.

96043721652

8. Pursuant to 2 U.S.C. § 434(a)(4)(A)(i), Respondents' 1994 October Quarterly Report was due on October 15, 1994. Respondents filed the 1994 October Quarterly Report on November 6, 1994, 22 days late, disclosing receipts totaling \$5,764 and disbursements totaling \$11,225.

V. Respondents failed to file timely their 1993 Year End Report in violation of 2 U.S.C. § 434(a)(4)(A)(iv); and their 1994 April and October Quarterly Reports in violation of 2 U.S.C. § 434(a)(4)(A)(i).

VI. Respondents will pay a civil penalty to the Federal Election Commission in the amount of one thousand three hundred seventy-five dollars (\$1,375), pursuant to 2 U.S.C. § 437g(a)(5)(A).

VII. The Commission, on request of anyone filing a complaint under 2 U.S.C. § 437g(a)(1) concerning the matters at issue herein or on its own motion, may review compliance with this agreement. If the Commission believes that this agreement or any requirement thereof has been violated, it may institute a civil action for relief in the United States District Court for the District of Columbia.

VIII. This agreement shall become effective as of the date that all parties hereto have executed same and the Commission has approved the entire agreement.

IX. Respondents shall have no more than 30 days from the date this agreement becomes effective to comply with and implement the requirement contained in this agreement and to so notify the Commission.

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X. This Conciliation Agreement constitutes the entire agreement between the parties on the matters raised herein, and no other statement, promise, or agreement, either written or oral, made by either party or by agents of either party, that is not contained in this written agreement shall be enforceable.

FOR THE COMMISSION:

Lawrence M. Noble  
General Counsel

BY: *L G Lerner*  
Lois G. Lerner  
Associate General Counsel

2-8-96  
Date

FOR THE RESPONDENTS:

*John M. Fraser*  
(Name) *Fraser*  
(Position)

12-12-95  
Date

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FEDERAL ELECTION COMMISSION  
WASHINGTON, D.C. 20463

THIS IS THE END OF MUR # 4276

DATE FILMED 2/27/96 CAMERA NO. 4

CAMERAMAN E.S.S.

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