FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

THIS IS THE BEGINNING OF MUR # 4183

DATE FILMED 5-9-97 CAMERA NO. 41

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FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

SENSITIVE

MEMORANDUM

APR 2 8 1992

EXECUTIVE SESSION

SUBMITTED LATE

TO: The Commission

FROM: Vice Chairman Thomas
Commissioner Potter

DATE: April 23, 1992

RE: Possible Pre-Murs
(Corporate Contributions)

In accordance with the Commission's current Directive Six, we recommend that the Commission refer the attached accounts of recent and potentially illegal corporate activity to the Office of General Counsel. These accounts have already been circulated to the Commissioners by the Commission's Press Office as part of the news summary process.

We do not take this step lightly, and are doing so on a bipartisan basis to ensure impartiality. We have chosen not to wait until the Commission has considered revising the Directive Six procedures because we believe these matters are of sufficient potential importance that they should be dealt with on an expedited basis.

In our joint view, the use of corporate resources on behalf of federal candidates is a potentially serious problem at this time. Allegations of possible coercion make some matters even more disturbing. Whether any of the corporations identified in these materials in fact violated the law is a matter for investigation, and cannot now be determined. However, the potential for the improper use of corporate resources in the context of fundraising for Presidential campaigns is clearly raised by these materials, and the allegations have been the subject of widespread publication and public comment.

Our request that the Commission refer this matter to the Office of General Counsel does not mean that we will be proposing many similar referrals, nor that OGC should in the future devote resources only to corporate-type MURs. However, we believe that the Commission would be remiss if it did not at least investigate these particular corporate matters at this time.

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The Washington Post

4/16/92

Bush Dinner Raises Funds And Eyebrows

Listing of Companies Called a 'Mistake'

By Ann Devroy and Mark Stencel
Washington Post Staff Writers

The Michigan fund-raiser looked like any of the other fancy galas President Bush has been throwing to raise campaign money, but when his aides picked up their programs Tuesday night at the $1.1 million dinner, they spotted some political dynamite: Five corporations were listed as major donors, despite the law banning corporate contributions.

Scrambling yesterday to explain the listing of the five corporate "hosts," Bush campaign aides called it "an embarrassing, stupid mistake." Campaign spokeswoman Torie Clarke said, "We take the law and the spirit of the law very, very seriously. There was no violation. There was a mistake but all those involved had been reprimanded and all our finance people will be reminded of the law."

It was a "mistake," officials of the corporations say. The funds were lawful personal contributions from employees, not from the companies themselves. But behind it is a more complex election-year reality for both parties. Corporate executives continue to raise money and donate large amounts, sometimes through "bundling," a practice much decried by critics of the campaign finance system but still alive and well.

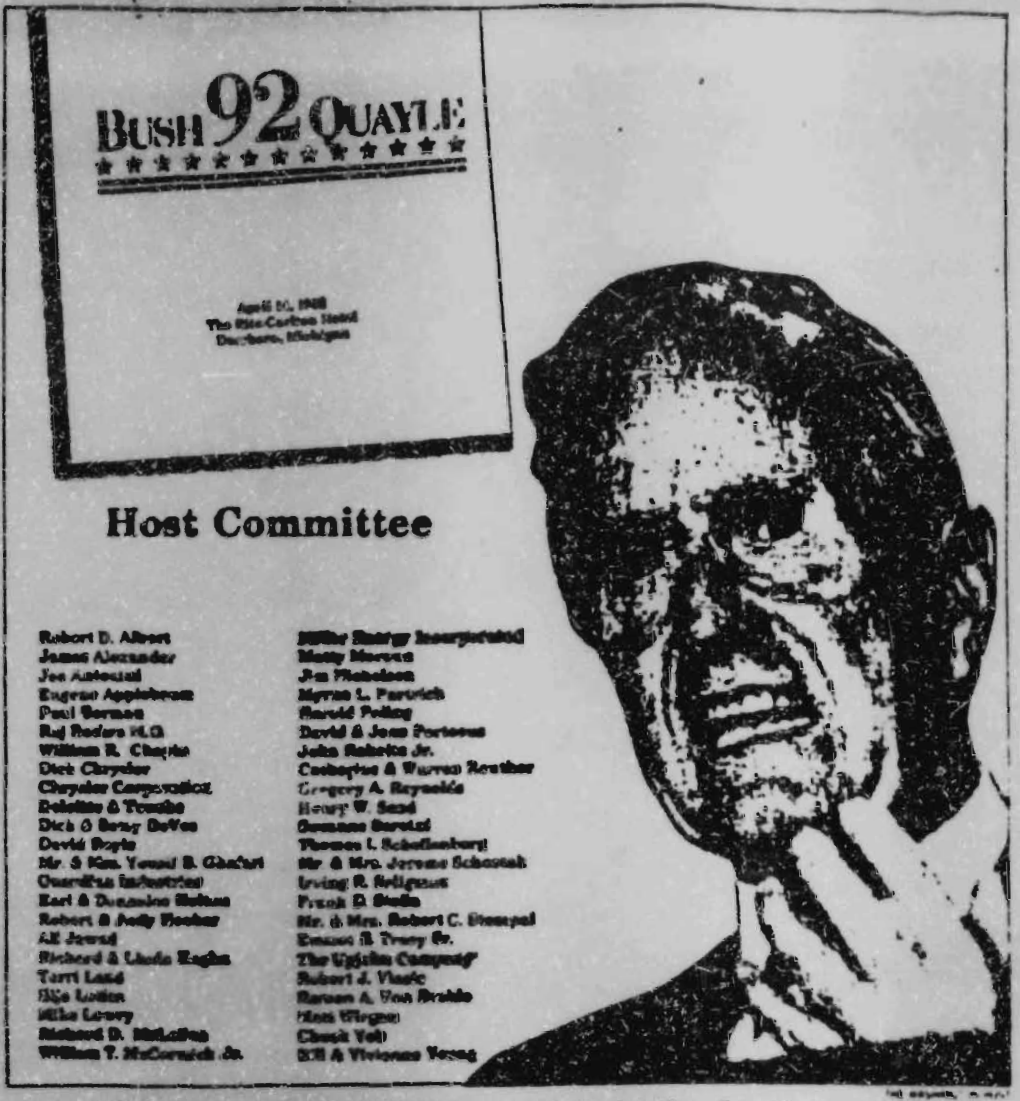
An employee of one of the five corporations listed as a sponsor of the Bush fund-raising event explained how it works. According to Peggy Pentacost, an administrative assistant at the accounting firm of Deloitte & Touche in suburban Detroit, she was in charge of collecting money for the dinner and "went around to the partners and collected checks from them and their wives and bundled it up and sent it off" to the Bush-Quayle organization.

Pentacost estimated that a third to half of the firm's 59 partners "participated" by buying $1,000 tickets, the maximum individual contribution allowed by federal law. Thus, at least $20,000 was raised.

Each of the checks was drawn on an individual's account, Pentacost said.

The firm has a corporate political action committee for political

See BUSH, A18, Col. 3



Host list for Bush's fund-raiser in Michigan included five companies in what aides called an "embarrassing" mistake.

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more . . .

4/16/5

List of Donors for Bush Dinner Raises Eyebrows

BUSH, From A1

donations, but "we didn't use that for this."

The law limits PAC contributions to $5,000, significantly less than Deloitte & Touche executives ended up giving. The president has said he opposes PAC contributions and ordered his campaign to refuse them, campaign officials said.

But Bush is also on record against "bundling," where an individual gathers money from members of his firm or other group and donates it to a candidate in one bundle, a technique that increases the impact of the donation.

Three years ago, in his first speech on campaign reform as president, Bush deplored the influence of money in politics and said, "I propose to end the practice that's known as bundling, where business and unions encourage or coerce contributions from employees or members and then give these contributions as one single donation."

The historical problem with bundling, according to Fred Wertheimer, president of Common Cause, is that "if corporate executives can gather a large sum and turn it over on behalf of the institution, you wind up with the same capacity for corruption and influence-selling as if the corporation made the contribution in the first place."

Wertheimer said bundling is one of the "major loopholes" for getting around contribution ceilings and the ban on corporate donations. It would be remedied, he said, by the broad election law revisions pending in Congress. He and leaders of other major campaign spending overhaul groups, including the League of Women Voters and Public Citizen, called on Bush yesterday not to follow through on his threat to veto the bill.

Pentacost was asked why her firm, Deloitte & Touche, was listed as a host—a distinction reserved for donations of at least $10,000. She responded that it was because she told the campaign that the ticket-selling was a "corporation effort," with no one individual responsible. The dinner, in Dearborn, included 1,100 donors who paid $1,000 each to attend.

Those who raised $10,000 or more were also entitled to a personal "photo opportunity" with the president, a momentary "grip-and-grin" in which the president grabs the donor's hand while his campaign photographer takes a quick picture. The donor later gets an autographed print.

Miller Energy Inc. of Kalamazoo was also listed as a sponsor. The company "did sponsor the event," according to John Miller, chief executive office of the oil and gas exploration business. That meant, Miller said, that he raised "a little under $15,000" from executives at his family-run firm, including members of his own family, and from executives at other energy firms in the state.

Miller, who said he performed similar chores for President Ronald Reagan, said normally he could "raise $35,000 or $40,000 for an event like this" from among his energy industry associates. But "due to the fact that this president has no energy policy . . . some of the usual contributors could not or would not contribute."

Asked if he had pressed Bush on this issue during the photo opportunity at a pre-dinner reception for even bigger donors, Miller said he sent his son to the event instead of going himself. "I've talked to everyone in this administration" from Vice President Quayle to Commerce Secretary Robert A. Mosbacher about getting more done about energy problems, Miller said, "but you get lots of pleasant treatment and kind attention but no action."

Executives of the other three listed firms, Dig John Co., Chrysler Corp. and Guardian Industries, all said the donations were individual ones, not corporate contributions, and were raised partially in the firm and partially outside.

Deloitte & Touche, which was formed in a 1989 merger between Deloitte Haskins and Sells and Touche Ross & Co., has been cited in numerous government lawsuits for lax accounting in past work for failed savings and loans. It was also among the accounting firms accused in class action lawsuits of helping former saving and loan chief Charles Keating Jr. in the bond sales scandal involving his California thrift and its Phoenix-based parent.

The Keating scandal is one Wertheimer cited as a "classic example" of why bundling should be banned, since the savings and loan operator raised large sums for the campaigns of the "Keating Five" senators from whom he was seeking help on his business problems.

Campaign sources said the fund-raising for the Bush dinner in Michigan was typical of the large dinner events he has hosted around the country this year. The campaign has raised about $23 million so far, much of it in dinners and luncheons rather than in small-contributor mail solicitations. One source noted, "This is how you raise money. You go to your friends and they go to their friends and business associates. It has always been done this way, and no coercion is involved."

(cont'd next)

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The Washington Post
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'BUNDLING' CAMPAIGN CONTRIBUTIONS

CASE STUDIES OF BUSH, CLINTON

BUSH 92 QUAYLE

IBM Last November, IBM Chairman and Chief Executive Officer John F. Akers was among the co-chairmen of a New York fund-raiser for President Bush's reelection campaign. Here is a list of the 19 IBM executives, including Akers, who contributed to the Bush campaign on Nov. 14 and 18, 1991:

[illegible]	
Chairman and CEO	
John F. Akers	$500
Pres. Jack D. Kuehler*	1,000
Senior Vice Presidents	
C. Michael Armstrong* **	1,000
Walton E. Burdick	500
George H. Conrades**	500
Donato A. Evangelista*	1,000
Terry R. Lautenbach	500
David E. McKinney	1,000
Frank A. Metz Jr.	500
Stephen G. Schwartz	500
Patrick A. Toole*	1,000
Earl F. Wheeler	1,000
Vice Presidents	
James A. Cannavino	500
Kenneth W. Dam	1,000
Robert J. LaBant	1,000
Ned C. Lautenbach	1,000
Paul R. Low	500
M. Bernard Puckett	1,000
Douglas Worth	500
TOTAL:	$14,500
AVERAGE CONTRIBUTION:	$763.16

*Did not provide place of work as required from contributors of $200 or more.
**Retired in February.

Bill Clinton FOR PRESIDENT

Goldman Sachs

Arkansas Gov. Bill Clinton has received campaign contributions from nearly a third of the 200 general and limited partners at Goldman, Sachs & Co., the investment banking firm where Clinton's chief fund-raiser in New York is a limited partner.

[illegible]	
Co-chair. Robert E. Rubin	$500
Vice Pres. Claire [illegible]	[illegible]
Vice Pres. Philip D. Murphy	750
General Partners	
Andrew M. Alper*	1,000
Thomas W. Berry	[illegible]
Frank P. Brosens	1,000
Robert A. Canel	1,000
David C. Clapp*	1,000
Jonathan L. Cohen	500
Jon S. Corzine	1,000
Marcus J. Dash*	500
Charles A. Davis	1,000
Michael C. Delaney	500
David F. Delucia	1,000
Joseph H. Ellis	1,000
Peter M. Fahey	1,000
J. Christopher Flowers	500
David B. Ford	1,000
Christopher P. Forester	250
Richard A. Friedman	1,000
David A. George	500
Charles T. Harris III	1,000
Richard M. Heyden*	2,000
Thomas J. Healy	1,000
William J. Healy*	1,000
Kevin W. Kennedy*	500
James N. Lane	1,000
Peter D. Kiernan III*	1,000
David M. Leuschen	1,000
Ernest S. Liu	300
Michael R. Lynch	1,000
Eff W. Martin	1,000
Thomas G. Mendell	1,000
Michael P. Mortara	1,000
Daniel N. Neidich	1,000
Robin Illgen Houstein*	1,000
Willard J. Overlock Jr.	1,000
Mark Schwartz	500
Eric P. Sheinberg*	1,000
David M. Sillen	1,000
Edward Spiegel*	500
Barry L. Zubrow*	500
Roy J. Zuckerberg	1,000
Limited Partners	
Arthur G. Altschul	1,500
Geoffrey T. Boisi	1,000
George T. Boyan*	250
Kenneth D. Brody*	2,000
Leon G. Cooperman	1,000
H. Corbin Day	1,000
Robert N. Downey*	1,000
Henry H. Fowler*	500
Robert A. Friedman*	250
James C. Knutz*	500
Joel [illegible]	250
Richard A. Menschel	1,000
Robert E. Mendelson	1,000
Eugene Mercy Jr.*	1,000
George M. [illegible]	1,000
Roy C. Smith*	1,000
Sidney J. Weinberg Jr.	1,000
Gary M. Wenglowski*	2,000
TOTAL:	$53,500
AVERAGE CONTRIBUTION:	$581.97

*Did not provide place of work as required from contributors of $200 or more.
SOURCE: Federal Election Commission
Compiled by Mark Stencel
—The Washington Post

...: Sunny to partly cloudy.
...73. Low 58. Wind 6-12 mph.
...: Partly sunny.
...ex. High 74. Wind 7-14 mph.
...ellar: Temp. range: 61-73.
...65. Details on Page C2.

The Washington Post

...y Time .... No. 140 THURSDAY, APRIL 23, 1992 s

...awsuit Alleges Coercion in President's Dinner Ticket Sales

By Ann Devroy
Washington Post Staff Writer

...ven R. Elliott, president of Cherry Pay-...t Systems, a midwestern marketing com-..., is one of two dozen co-chairmen from ...ad the country in charge of selling places ...ent week's President's Dinner, the major ...-raising event President Bush is hosting on ...ff of Republican congressional candidates.

...aterday, a former employee of his firm, ...em P. Neiss, alleged in a civil suit that ...ott a... two of his top executives coerced ... and ...ployees in 85 of the firm's offices ...ech ...ibute $1,500 to the dinner. When ...as ...d, he said, he was fired.

Elliott was convicted of federal bank fraud charges in 1980s and released from prison in 1987, according to former Illinois governor James R. Thompson (R), Elliott's lawyer.

Thompson said yesterday that Elliott had not seen the suit but that he denies coercing employees to contribute. Thompson described Elliott as a "young man who went bad and has reformed and is interested in the political process."

Neiss's suit includes letters and messages sent to Cherry Payment Systems employees that provide a window on national fund-raising operations this election year at a time Congress and the White House are at odds on overhauling the campaign financing system.

It also paints a picture of potential contributors being offered access to their choice of administration officials in exchange for large donations and offers one worker's allegations on how he was pulled into the fund-raising apparatus against his will.

Neiss alleged in his suit that Elliott, 39, and two of his top executives engaged in a "scheme to coerce, intimidate and extort employees of Cherry Systems to make significant monetary contributions" to the President's Dinner. In the suit, filed in Dane County, Wis., Circuit Court, Neiss, an employee in Cherry Systems' Milwaukee office, asks for damages resulting from his dismissal.

According to the suit and attached documents, Neiss received a letter from Elliott on Feb. 13. Elliott, chairman of the board and president of the Illinois-based Cherry Payment Systems, had sent the letter to Neiss and at least 85 other regional managers nationwide informing them that he had been named "co-chairman" of the dinner and asking that they contribute.

Attached to the letter was a list of prices, ranging from $1,500 for one ticket to $20,000 for a table. The letter, noting the prestigious audience expected to attend the event, expressed the "hope" that the employee "could buy, or fill, at least one table" so the

See FUND-RAISER, A20, Col. 1

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Lawsuit Alleges Coercion in Ticket Sales to President's Dinner Fund-Raiser

FUND-RAISING, From A1

employee could then also attend pre-dinner events.

According to the list, which dinner officials in Washington confirmed was part of their promotional material, a table-buyer would be entitled to the dinner, as individual diners are, and could attend a private reception hosted by the president and Barbara Bush or a reception hosted by the Cabinet, plus interact at the vice presidential [illegible] hosted by Vice President Quayle, as a breakfast hosted by Senate Majority Leader Robert J. Dole (R-Kan.) and House Minority Leader Robert H. Michel (R-Ill.).

A purchaser who sold one table also could request a specific House member or senator to be seated there, and for selling two, a specific member of the "Senior Administration Official." For selling $92,500 worth of tickets, the donor was offered an individual picture of the president and the president.

[illegible] Sweeney, an official of the National Republican Congressional Committee, a co-host of the dinner along with its Senate counterpart, said that the issue was [illegible] "We do not have to rely on hard-selling actions, if they occurred in the future. If employees were [illegible] we would like it."

[illegible] officials said the dinner in 1991 "far more than [illegible] as easily as "the most successful fund-raising event in the [illegible] history. . . ."

[illegible] does not raise funds for the President's reelection, although the guaranteed seating with a "Top Presidential Dinner" [illegible] has been suggested as a possible campaign asset. The dinner tickets go to the two Republican congressional campaign committees, which are allowed to accept up to $15,000 in individual contributions, compared to $1,000 for the presidential campaign, and be refunded amount in a separate fund.

Besides the Elliott letter, the suit includes a series of memorandums and messages sent by fax to Neiss and, he alleges, to other regional managers. Signed by Peter Moles, regional marketing director who also is named in the suit, the messages refer to Elliott's request. One, dated Feb. 15, notes, "I would like to know who is going to be part of the long-term growth of this operation and therefor who will be in attendance for this great opportunity to be able to rub elbows with the most powerful people in this country."

Another message notes, "If you're asking yourself, can I afford to make this trip, then ask yourself if you can afford not to" and "We will truely see what kind of cloth your cut from when I hear from those of you that plan to participate."

Neiss, in his suit, maintains the same messages were sent to 25 officers in 14 states where the firm operates its business marketing credit-card and check-approval systems to businesses and leasing related equipment to businesses. A final message orders Neiss to reply by Feb. 24 and notes those who agree "are going to display their commitment towards . . . this company." It adds, "Let your wallet be your guide. Your either here for the long term or your on vacation."

Neiss's suit alleges that after a series of additional messages and phone calls, he was ordered to answer by March 2 and called Moles and declined to buy tickets. The next day, Neiss said, he was fired.

Thompson said Neiss was a disgruntled employee who did not measure up as a salesman and that the firing was coincidental. He said if employees believed they were being coerced, it was "a misunderstanding" and added that Elliott "volunteered" to co-chair the event as a favor to him. Thompson, who is one of the deputy chairmen of the dinner, said he picked Elliott as one of the co-chairmen in his region.

Elliott and his firm also have been involved in separate fund-raising efforts for Bush's campaign. He was among nine contributors from Charry Payment Systems who gave $1,000 each to the reelection campaign on one day last November. He also has given $20,000 to the Republican National Committee and $5,000 to the National Republican Senatorial Committee, according to Federal Election Commission records.

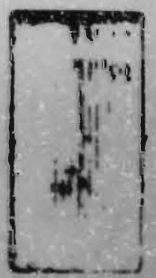
Elliott was indicted more than six years ago on charges of mail and wire fraud, racketeering and misapplication of bank funds as part of a federal investigation of a complex real estate scheme involving Manning Savings and Loan Association, an Illinois thrift closed by the Federal Home Loan Bank Board in 1933.

Elliott was accused of helping arrange a condominium deal that inflated Manning's net worth. Manning reportedly lost more than $3 million in the deal, which regulators said contributed to the thrift's failure.

Elliott was convicted in federal court in 1990 on charges of chasing two loans from programs out of more than $135,000 and was on probation at the time of the Manning indictments. He cooperated with authorities in the Manning case and agreed to plead guilty to one count of mail fraud.

Moles was among the Chicago-area salesmen for First Commodities of Boston, a defunct trading firm, who were indicted in federal court more than three years ago on charges of using high-pressure sales tactics to swindle investors, according to a report in the Chicago Tribune.

Staff researcher Mark Stencel contributed to this report.



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The Washington Post
4/20/92

'Bundling' Skirts Campaign Gift Curbs

Corporate Contributions Outlawed, but Executives Raise Large Amounts

By Mark Stencel
Washington Post Staff Writer

David F. DeLucia, a general partner at Goldman, Sachs & Co. in New York, at first said he didn't remember giving $1,000 to Bill Clinton's presidential campaign, and then he said he wasn't sure how it had happened.

"I may have" contributed, he said in a telephone interview last week, "through another partner or our firm. . . . Sometimes [partners] ask their friends to attend breakfasts or lunches" to raise money for politicians.

DeLucia and at least 60 other partners at Goldman, Sachs are listed as contributors in fund-raising reports filed by Clinton's campaign with the Federal Election Commission. Collectively, the partners from this investment banking firm have given the Arkansas governor nearly $64,600, almost 11 times the amount a company's political action committee (PAC) would be allowed to contribute to a candidate.

Kenneth D. Brody, Clinton's chief fund-raiser in New York, is the limited partner at Goldman, Sachs who sought contributions from DeLucia and other partners. "There is no central direction at all. . . . It is solely the fact that they're my friends, not that they happen to be partners," he said. "These are people giving out of their own pockets. They're acting as normal people."

Direct campaign contributions from companies such as Goldman, Sachs have been illegal since 1907, but executives like Brody continue to raise large amounts of cash for candidates by legally "bundling" individual contributions from their co-workers and employees. Individuals can contribute up to $1,000 to a candidate per election.

President Bush's reelection campaign was embarrassed last week when several corporations acknowledged that employees had bundled contributions for the president after the companies themselves were accidentally listed as sponsors on the program for a $1 million fund-raiser in Michigan.

The Washington Post found another instance in which nearly all of the senior executives at IBM Corp. bundled $14,500 for the Bush campaign last November.

See BUNDLING, A8, Col. 1

Common Cause, Public Citizen and other political watchdog groups have criticized this fund-raising practice. They suggest that bundled contributions from a large number of executives buy the same amount of political influence as the equally large corporate gifts that were outlawed 85 years ago. However, fund-raisers and some scholars who specialize in campaign finance say that executives enjoy the same constitutionally protected right to support a candidate monetarily as anyone else.

"We try to comply with the law as best we know how," Clinton spokesman Jeff Eller said. "There are people at Goldman, Sachs that didn't write checks."

It is impossible to say how much campaign cash is raised in bundles because many contributors do not list their place of work or other personal information that is required from anyone who gives a candidate $200 or more. But bundling is commonly practiced by fund-raisers for many candidates—Democrats and Republicans.

On Nov. 12, IBM Chairman and Chief Executive John F. Akers served as co-chairman at a New York fund-raiser for Bush. *FEC* reports show that 19 senior executives at IBM, including Akers, contributed nearly three times the $5,000 contribution limit for a corporate PAC. IBM does not have a PAC and Bush's campaign, like Clinton's, does not take PAC money.

Direct campaign contributions from companies have been illegal since 1907.

IBM spokesman Michael Dutton said none of the executives who contributed did not attend the fund-raiser. But all 19 contributions were dated either Nov. 14 or Nov. 18 in Bush fund-raising reports.

"Involvement was voluntary," Dutton said. "Apparently, it was addressed by phone or in a group. . . . It was presented as a voluntary situation."

Three years ago, Bush called for an end to bundling in a speech on campaign finance. But he says he will veto a Democratic bill before Congress that would restrict the practice and impose other changes, because it also would allow taxpayer financing for congressional campaigns.

Even if enacted, a bundling ban would be hard to enforce because of the difficulties in proving that contributions were solicited and bundled and were not merely personal expressions of support.

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The Washington Post 4/20/92

(continued)

'Bundling' Skirts Rules On Campaign Donations

BUNDLING, From A1

"I don't have much patience with the notion that bundling is terrible," said Herbert E. Alexander, director of the Citizens' Research Foundation at the University of Southern California and a campaign finance specialist. "There's an assault on all kinds of fund-raising. Some people aren't happy with any kind of private fund-raising."

Brody said, "The way our system works you have to raise money, so you call everyone you know and you try to get them exposed to Bill Clinton and you try to get them to call their friends."

But some of those Brody has raised money from do not share his enthusiasm for the governor. "I don't want to get into any of that quite frankly," DeLucia said when asked if his $1,000 contribution meant he supports Clinton. "I never think it's good to talk about politics."

The failure of many contributors to disclose their place of work, or even their address, would present additional enforcement difficulties under the proposed bundling ban.

For example, four IBM contributors, including President Jack D. Kuehler and three senior vice presidents, did not name their employer when each contributed $1,000 to Bush on Nov. 14.

Among the Clinton contributors who did not provide their place of work were at least 22 Goldman, Sachs partners who collectively gave the governor more than $20,000. In other words, more than a third of the Goldman, Sachs partners who have given money to Clinton cannot be easily identified from fund-raising documents filed with the FEC.

These contributors were identified by comparing FEC computer lists of contributions with lists of corporate officers and partners.

In addition, spouses and other family members frequently attend fund-raisers with corporate contributors. Bush received at least $6,000 in corresponding contributions from spouses or relatives of the IBM executives while Clinton received at least another $29,000 from more than 30 Goldman, Sachs families.

An FEC spokesman said the agency lost in court when it challenged Ronald Reagan's 1976 presidential campaign for failing to collect required personal information from contributors. It now enforces disclosure requirements by simply asking campaign organizations to demonstrate an effort to collect personal information from supporters.

Perry Pockros, the staff director for the House Task Force on Campaign Finance Reform, estimated that a third of all political contributors do not provide all the required personal information. "The problem on enforcement has always been disclosure," he said.

The congressional campaign finance bill would lower the amount of contributions requiring personal information from $200 to $50, but Pockros said neither chamber's version of the bill included stronger enforcement measures.

The legislation, which passed in the House earlier this month and will be taken up by the Senate after the current recess, tries to restrict bundling in other ways. Under the proposed law, a person bundling contributions for a corporation would not be allowed to solicit more than $1,000 total from co-workers and employees—an amount equal to a contributor's personal limit for a single candidate. Corporate PACs, which sometimes bundle individual gifts for candidates who don't take PAC money or to get around the PAC contribution limits, could only bundle up to the $5,000 PAC limit.

Alexander said this sort of restriction would stand in the way of "the freedom Americans ought to enjoy to do things jointly on behalf of a candidate."

"There is a thin line between the right of assembly . . . and bundling," he said. "This is a group of people who have gotten together to support a candidate."

But Pockros said Supreme Court rulings that uphold Congress's power to limit campaign contributions from individuals and PACs would cover the bundling provisions in the current bill.

Brody, the investment banker who is raising funds for Clinton, said he would like to see the current system for raising campaign money changed. "I can think of a number of systems that would be better than the one we have now," he said. "But you've got to live within the system you have until the system is changed."

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PRESS OFFICE

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CHECOTA FIRM WORKERS URGED TO AID RACE

by Craig Gilbert, Milwaukee Journal, 4/15/92

Many of businessman Joe Checota's more than 100 employes were asked recently to contribute a portion of their salaries to Checota's US Senate campaign, according to four people who work for his Milwaukee company, Universal Medical Buildings.

Workers in at least two departments at the firm were called in personally by their bosses on the last day of March and urged to make campaign contributions, said employes who spoke to The Journal.

Three employes said they and their co-workers were summoned into meetings with supervisors that day and asked to give 1% of their yearly salary to the campaign.

Others were solicited over the phone by company executives and asked to give whatever amount they wished, the employes said.

Employes were not ordered to donate, they said, but some were asked a second time after initially declining. Some decided to contribute, some didn't.

Of four employes who spoke to The Journal, three said they regarded the solicitations from supervisors, department heads and high- ranking executives as being highly inappropriate. A fourth wasn't disturbed by the request for a contribution, and said it was made in a non-threatening way.

Checota

Each spoke to The Journal on the condition that their names not be used. Those who complained about the solicitations said they feared losing their jobs if their identities were known.

"I would call it extortion," one professional employed at Checota's firm said of the requests for campaign donations.

In response, a Checota campaign spokesman said Tuesday that if any employes were put on the spot or pressured to contribute, it was not at Checota's direction.

"If it happened that way, it doesn't sound appropriate," said Checota's political consultant, Bill Christofferson. But, he said, "I have no way of knowing" if the allegations are true.

"I can tell you flat-out that Checota did not direct that to be done," Christofferson said. "Checota himself has not solicited anyone in the company. Checota himself has made it quite clear to the company's employes that they were not required to give."

According to a spokesman for the Federal Elections Commission, federal law does not directly address situations in which employes of candidates are asked to give to a candidate's campaign.

Asked if he would make Checota available to discuss the matter with a reporter, Christofferson said no. A message was left at Checota's home Tuesday night, but he did not return the call.

"He doesn't have anything to say about it. He would say what I have just said, except that I probably know more about it than he does," Christofferson said.

Checota, 52, is chairman and chief executive officer of Universal Medical Buildings, a company that develops medical buildings around the country. A self-made multimillionaire who has never held elected office, he is one of three Democrats seeking the job now filled by Republican Sen. Bob Kasten. He has said he plans to spend $5 million on the race, half of it his own money and half of it raised from campaign contributors.

Checota's latest campaign finance report indicates 15 mostly high-ranking company employes had given to the campaign as of March 31, the day contributions from employes were requested.

Official Hopes It Didn't Happen

Two Universal vice presidents reached by The Journal refused to comment on the solicitations or on their own contributions to the campaign. Company controller Edith Peters, who is also treasurer of the Checota campaign, said:

"I know of nothing inappropriate that has gone on. People overreact. There are some people around here who are very gung-ho about Joe, and I suppose there are some that don't like Joe."

As for department heads pressing employes for contributions, "I would certainly hope that it didn't happen," she said. "I can't say it didn't happen. I certainly hope it didn't.

"Joe made it perfectly clear a long time ago he didn't want anyone to feel that their jobs would be in jeopardy over this campaign."

Employes who spoke to The Journal said they believed most of their co-workers at the company were asked for campaign contributions on or about March 31, the date of an evening fund-raiser for Checota to which many employes had been invited.

A 'Revolving Door' of Employes

Two of the employes who spoke to The Journal said they and their co-workers were called in individually to see a supervisor that day.

"The way it was explained to me was we were being asked to cheerfully donate 1% of our salary to Joe Checota," said one employe. "They said it would be very nice if we gave today."

The employe described being stunned by the request.

"Basically, my direct supervisor was asking," the employe said. "This is something that is unacceptable behavior. Most people were kind of flabbergasted."

Another employe told a similar story, saying there was a "revolving door" of employes called in to see their supervisors March 31. The person asking for contributions was "the same [person] who doles out raises, bonuses, hires and fires," this employe said.

The employe said he was told by that supervisor: "We're asking all of our employes to donate one point of our annual income to the campaign."

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The supervisor suggested an exact dollar amount as a gift to the Checota campaign, the employe said, and was told to regard it as a "personal contribution, not a political one."

The employe said the supervisor "did say it was optional but also called back two people (the next day) and asked them where their checks were."

The employe called the situation an "absolute outrage."

"Because the company is really run with an iron fist, the unspoken part of it was if you value your position in the company you will consider making a contribution," the employe said.

A third employe who described being solicited by a company executive was also indignant about the incident.

"Nobody says no to Joe Checota. That's the atmosphere there. If you say no, you really don't know what to expect," said the employe. "He's the guy that signs our paychecks."

Not All Employes Objected

A fourth employe described being solicited by two higher-ups at the company in a meeting March 31.

"It was strongly suggested we give," said the employe, who was also asked to contribute 1% of salary, and like the others was asked to make a contribution that very day.

But this employe was not offended by the request or the way in which it was made. The employes who spoke to The Journal work in three different areas of the company. Several employes in other departments at the firm refused comment Tuesday night.

Employes at Universal fall into several different areas, such as marketing, sales and leasing, accounting, design and production, pricing and estimating and construction management.

As evidence that Checota had made it clear to employes they were not obligated to give to his campaign, Christofferson cited the invitation Checota sent employes to his fund-raiser on March 31. It noted, "no contribution is required; your presence is the important thing."

End of Story Reached

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Lerner

Pre-MUR 259

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
) Agenda Document #X92-33
Possible Pre-MURS)

CERTIFICATION

I, Marjorie W. Emmons, recording secretary for the Federal Election Commission executive session on April 28, 1992, do hereby certify that the Commission decided by a vote of 4-1 to refer each of those matters referenced in Agenda Document #X92-33 to the Office of General Counsel.

Commissioners McDonald, McGarry, Potter, and Thomas voted affirmatively for the decision; Commissioner Aikens dissented; Commissioner Elliott was not present at the time of the vote.

Attest:

4-29-92
Date

Marjorie W. Emmons
Marjorie W. Emmons
Secretary of the Commission

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FEDERAL ELECTION COMMISSION
999 E Street, N.W.
Washington, D.C. 20463

FIRST GENERAL COUNSEL'S REPORT

SENSITIVE

Pre-MUR #259

STAFF MEMBERS: Jonathan Bernstein
Richard Vuernick

SOURCE: INTERNALLY GENERATED

RESPONDENTS: Bush-Quayle '92 Primary Committee Inc., and J. Stanley Huckaby, as treasurer
Chrysler Corporation
Deloitte & Touche
Guardian Industries
Miller Energy Incorporated
John C. Miller
The Upjohn Company
Cherry Payment Systems
James R. Elliott
Peter Moles
Mike Coffey
National Republican Congressional Committee, and Donna Singleton, as treasurer
National Republican Senatorial Committee, and James L. Hagan, as treasurer
President's Dinner/aka 1992 Republican Senate-House Dinner Committee, and J. Stanley Huckaby, as treasurer
International Business Machines Corp.
John Akers
Clinton for President, and Robert A. Farmer, as treasurer
Goldman, Sachs & Co.
Kenneth D. Brody
Joe Checota
Joe Checota for Senate Campaign, and Edith Peters, as treasurer
Universal Medical Buildings

RELEVANT STATUTES: 2 U.S.C. § 431(9)(A)(11)
2 U.S.C. § 431(11)
2 U.S.C. § 434
2 U.S.C. § 441a(a)(1)(A)
2 U.S.C. § 441a(a)(8)
2 U.S.C. § 441a(f)
2 U.S.C. § 441b(a)
2 U.S.C. § 441c(a)(2)

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2 U.S.C. § 441b(b)(2)
11 C.F.R. § 104.13(a)(2)
11 C.F.R. § 106.5(g)(1)
11 C.F.R. § 110.6(b)(2)
11 C.F.R. § 114.3
11 C.F.R. § 114.9(a)
11 C.F.R. § 115.2
11 C.F.R. § 115.4(a)
11 C.F.R. § 115.4(b)

INTERNAL REPORTS CHECKED: Audit Division Matching Fund Records

FEDERAL AGENCY CHECKED: General Services Administration

STATE AGENCY CHECKED: District Attorney's Office, Madison, WI

I. GENERATION OF MATTER

On April 28, 1992, the Commission determined to refer this matter to the Office of the General Counsel for its analysis and recommendations. On May 18, 1992, this Office requested the Audit Division's assistance and on June 16, 1992, and June 30, 1992, Audit provided this Office with records of contributions. (See Attachments A, B).

The matter arises from several news clippings compiled by the Commission's Press Office in the ordinary course of its operations and involves a number of different transactions, events, and entities. All of the reports have a common denominator: the apparent use of business firm resources to raise substantial sums of money for federal election campaigns. Two of the fact patterns, involving Cherry Payment Systems and Universal Medical Buildings, raise the possibility of coercion of employees and subordinates. As this report will discuss, the articles along with other information compiled from public sources by this Office point to potential violations of law which may be substantial. Therefore, the Office of the General Counsel recommends reason to

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believe findings and initiation of a complete investigation to fully explore the activities and events.

Although some documentary evidence is already in the Commission's possession with respect to the activities, the primary source of the allegations is in the form of news articles. These articles describe the activities at issue as well as quote responses to reporters' questions by the actors involved. It is important to note that this Office does not view these articles as actual evidence of the facts reported or the statements quoted. Rather, as contemplated by Directive Six, these articles play a more limited role: as a predicate for initiation of an investigation.

As noted above, the Audit Division provided this Office with a partial listing of contributions. This Office has compiled a contributor chart from the contributor database and the matching fund records, which contain an incomplete listing of the contributions made by employees of entities discussed in this report (Attachment C).[1/] The contributor chart will be referred to throughout this report.

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1/ The sources used for the chart rely on contributors listing their occupation and employer and does not include contributions where contributors fail to do so. The Commission recently recognized this problem, with respect to the presidential campaigns, and sent a letter dated July 1, 1992, to the presidential candidates explaining the reporting laws and encouraging them to make "best efforts" to obtain the necessary employer information from all contributors.

II. LEGAL PRINCIPLES

A. Corporate Prohibition

Pursuant to 2 U.S.C. § 441b, it is unlawful for any corporation to make a contribution or expenditure in connection with a Federal election, or for a candidate or political committee knowingly to accept such a contribution. It is also unlawful for any corporate officer or director to consent to any such contribution. This broad prohibition extends to "anything of value" given to a federal candidate or campaign. 2 U.S.C. § 441b(b)(2).

Although corporations are prohibited from making any such contribution, the Act exempts a corporation's internal communications with its executive and administrative personnel. 2 U.S.C. § 441b(b)(2)(A).[2/] The Commission's regulations permit a corporation to make partisan communications to its restricted class, including endorsing candidates and urging their support. 11 C.F.R. § 114.3(a)(1),(c)(1); Advisory Opinions 1987-29, 1982-2. The Commission has repeatedly made clear, however, that corporations may not step beyond the line of "communication" to actually collecting contribution checks or otherwise facilitating the making of contributions to a Federal candidate. See Advisory Opinions 1987-29, 1986-4, 1982-2, and 1977 Explanation and Justification (describing permissible corporate communication).

Other Commission regulations provide that employees of a

2/ Elaboration of the class of corporate employees that fall within this exception is found at 2 U.S.C. § 441b(b)(7) and 11 C.F.R. § 114.1(c) of the Commission's regulations.

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corporation may make "occasional, isolated or incidental use of the facilities of a corporation for individual volunteer activity in connection with a Federal election...." 11 C.F.R. § 114.9(a)(1). Employees must reimburse the corporation only for any increased overhead or operating costs. Id. In MUR 1690, however, this Office advised the Commission that "the plain intent of [11 C.F.R. § 114.9(a)]... excludes from the scope of 'individual volunteer activity' collective enterprises where the top executives of firms direct their subordinates in fundraising projects..., use resources of the corporation such as lists of vendors and customers..., solicit whole classes of corporate executives and employees..., or attempt to ensure that the corporation is the beneficiary of the candidate's appreciation...." General Counsel's Report dated October 2, 1986. See also MUR 2668, General Counsel's Report dated November 17, 1988 at pp. 7-8 (corporate president's use of corporate television network to contact employees and systematic involvement of corporate vice-presidents is basis for analysis that activity is outside "individual volunteer activity").

In summary, where fundraising activities involve the use of corporate resources and facilities and such activities are beyond the safe harbor of the Act and regulation's exceptions, the corporation has contributed something "of value" to the beneficiary candidate, in violation of section 441b.

Additionally, the manner in which company fundraising from employees or vendors takes place may have consequences under other generally applicable provisions of the Act. Where contributions

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are "earmarked or otherwise directed through an intermediary or conduit to such candidate," the Act requires the "intermediary or conduit" to report such transmissions. 2 U.S.C. § 441a(a)(8). The Commission's regulations in this area, newly revised in 1989, define "conduit or intermediary" to include "any person who receives and forwards an earmarked contribution to a candidate," 11 C.F.R. § 110.6(b)(2), and explains that campaign employees, full-time volunteers, or individuals who have significant positions within the campaign who are expressly authorized to fundraise are not conduits for purpose of the regulations. 11 C.F.R. § 110.6(b)(2)(i)(A), (E).

Under these regulations, if a person collects contributions as a conduit, and exercises "direction or control" over the making of the contribution, the contribution is chargeable to the conduit's limit for the recipient candidate in addition to the limit of the actual donor.[3/] 11 C.F.R. § 110.6(d)(2). This regulation was based on the 1974 Conference Committee Report on the earmarking provision at section 441a(a)(8), stating that "if a person exercises any direct or indirect control over the making of a contribution, then such contribution shall count toward the limitation imposed with respect to such person" under the Act.

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3/ Furthermore, in AO 1980-46 the Commission stated that expenditures made by the conduit or intermediary for the purpose of solicitation are considered in-kind contributions to the candidate and are reportable as such. 2 U.S.C. § 434. Once the conduit collects and forwards the checks to the candidate, the candidate's acceptance of the checks constitutes acceptance of the costs incurred by the conduit in connection with the solicitation. See AO 1980-46.

H.R. Conf. Rep. No. 93-1438, 93rd Cong., 2d Sess. 51 (1974), reprinted in Legislative History of the Federal Election Campaign Act Amendments of 1974, at 995, 996 (1977). Corporations are explicitly forbidden from acting as conduits for contributions. 11 C.F.R. § 110.6(b)(2)(ii). Nonetheless, following Congress' broadly expressed intent, corporations that exercise any control over contributions should be responsible for these contributions, i.e. the contributions themselves are chargeable to the corporation.

The Commission articulated this position in Advisory Opinion 1986-4. There, the Commission concluded that where a company collects contributions to candidates from its executives, actively persuading its executives to participate, and deciding what candidate would be supported and in what amount, the corporation would "exercise direction and control over the making of such earmarked contributions as well as act as the conduit and intermediary for them."[4/] It follows that if a company through its officers employs coercive tactics in order to collect contributions, it plainly exercises control over the contributions. "[Where] a job or livelihood may be jeopardized by a refusal to comply, and the contact between solicitor and solicitee may be direct and personal . . . the potential clearly exists for earmarked contributions to have been 'directed or controlled' by means of coercion, harassment, or undue pressure."

4/ Section 441b's broad prohibition of "direct or indirect" gifts of anything of value, see 2 U.S.C. § 441b(b)(2), provides further support for this conclusion.

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Statement of Reasons, Commissioner Josefiak, MUR 2282, p. 14. Thus, in addition to contributing something "of value" by facilitating the making of contributions, under the settled principles discussed above, the resulting contributions would be chargeable to the company itself. Indeed, this conclusion should follow in any circumstances similar to that envisioned in AO 1986-4 where a company orchestrates a fundraising activity for the benefit of a specific candidate and asks a class of its employees to participate.

B. Federal Government Contractor Prohibition

Section 441c expressly prohibits any person who enters into a U.S. government contract directly or indirectly to make any contribution of money or other things of value, to any federal candidate. 2 U.S.C. § 441c(a)(1) and 11 C.F.R. § 115.2. Additionally, section 441c makes it illegal for any person "knowingly to solicit any such contribution . . ." 2 U.S.C. § 441c(a)(2).

Pursuant to section 431(11), a partnership is considered a "person." As such, the regulations state: "[t]he assets of a partnership which is a Federal contractor may not be used to make contributions or expenditures in connection with Federal elections." 11 C.F.R. § 115.4(a). However, individual partners and employees of the partnership are permitted to make contributions in their own names from their personal assets. 11 C.F.R. § 115.4(b), (c). See also AO 1991-1.

Additionally, a federal contractor is prohibited from acting as a conduit. The regulations provide: "[a]ny person who

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is prohibited from making contributions or expenditures in connection with an election for Federal office shall be prohibited from acting as a conduit for contributions earmarked to candidates or their authorized committees." 11 C.F.R. § 110.6(b)(2)(E)(ii).

In conclusion, neither corporations nor federal contractors are permitted to contribute anything of value to federal elections. Thus, the prohibitions which limit corporate activity similarly limit the activity of federal contractors.

C. Liability of Campaign Committees

Section 441b(a) makes it illegal for political committees to knowingly accept or receive contributions from prohibited entities such as corporations. 2 U.S.C. § 441b(a). An analogous prohibition exists in the statute governing federal contractors. 2 U.S.C. § 441c(a)(2) (unlawful for any person "knowingly to solicit" contributions from government contractors). In the case of fundraising by a corporation or a government contractor, if there is proof of the committee's knowledge of the circumstances under which the contributions were raised, there is a basis for finding that the committee knowingly accepted prohibited contributions.

Where corporate officers act on behalf of political committees and are given fundraising duties and roles, it suggests that these individuals may have been authorized to raise funds on behalf of the committee. See 11 C.F.R. § 102.8(b) (setting out obligations of "[e]very person who receives a contribution . . . for a political committee"); cf. 11 C.F.R. § 110.6(b)(2)(E) (describing persons who are not conduits); 11 C.F.R. § 109.1(b)(5)

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(definition of "agent" for purpose of making expenditures). In such a situation, under settled principles of agency law the committee is charged with the knowledge of its agents and may be liable for having knowingly accepted prohibited contributions.

D. Contribution Limitation

Pursuant to 2 U.S.C. § 441a(a)(1)(A), no person shall make contributions to any candidate and his or her authorized political committees with respect to any election to federal office which, in the aggregate, exceed $1,000. The Act defines "person" to include partnerships. 2 U.S.C. § 431(11). No candidate or political committee shall knowingly accept any contribution made in violation of section 441a. 2 U.S.C. § 441a(f).

III. FACTS AND ANALYSIS

A. Bush-Quayle '92 Michigan Fundraiser

On April 14, 1992, Bush-Quayle '92 held a fundraiser at the Ritz Carlton Hotel in Dearborn, Michigan. Substantial press attention focused on the program the Bush campaign had prepared for the fundraiser. This program, reproduced in the Washington Post article dated April 16, 1992, contains a list of the persons who raised $10,000 or more for the event, described as the campaign's "Host Committee." This list included five business firms (in order of appearance): Chrysler Corporation; Deloitte & Touche; Guardian Industries; Miller Energy Incorporated; and The Upjohn Company.

The article's information about Deloitte & Touche's involvement comes from the firm's administrative assistant who was charged with collecting the checks. According to the article,

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Peggy Pentacost collected contributions from 1/3 to 1/2 of the firm's 59 partners, all in the form of personal checks, and forwarded the checks as a package to Bush-Quayle.[5/] Ms. Pentacost reportedly makes clear that the firm's PAC, which could contribute only $5,000 to the Bush campaign, was not at all involved in the activity. The article goes on to state:

> Pentacost was asked why her firm, Deloitte & Touche, was listed as a host--a distinction reserved for donations of at least $10,000. She responded that it was because she told the campaign that the ticket-selling was a "corporation effort," with no one individual responsible.

Attachment D.

Another listed sponsor was Miller Energy Inc., a family-run oil and gas exploration company. The article quotes CEO John Miller as acknowledging that the firm "'did sponsor the event.'" Mr. Miller reportedly raised approximately $15,000 from executives of the firm and of other energy firms, although he explained that this was substantially less than he usually raised in this fashion for the campaigns of former President Reagan.

The article provides little specific information about the other three listed corporations, The Upjohn Co., Chrysler Corp., and Guardian Industries. According to the article, "all said the donations were individual ones not corporate contributions, and were raised partially in the firm and partially outside." Thus,

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5/ The contributor chart shows that in the two months prior to the Michigan fundraiser, the Bush-Quayle Committee received 49 contributions totaling $24,825 from Deloitte & Touche employees/partners. Of the 49 contributions, 17 were received on February 21, 1992, totaling $4,500 (Attachment C, 1, 2).

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no one on behalf of these firms has offered a public explanation of how the corporation itself came to be listed as responsible for at least $10,000 in contributions for the event.6/

As discussed above, the Act prohibits corporations from giving any support to federal candidates, including publicly funded presidential primary campaigns such as Bush-Quayle '92. Although little information is available about the involvement of several of the companies, the news article raises the question of possible corporate sponsorship of fundraising for the Bush campaign which may not fall within any regulatory exceptions. For this reason, the Office of the General Counsel recommends the Commission find reason to believe that Chrysler Corporation, Guardian Industries, Miller Energy Incorporated, and The Upjohn Company violated 2 U.S.C. § 441b(a). This Office also recommends a section 441b finding against John Miller, CEO of Miller Energy Incorporated, for consenting to the corporate contribution. This Office has no information about what high level officers of the other firms were involved in solicitation or collection of contributions and so recommends no other section 441b findings against persons associated with the firms at this time.

Deloitte & Touche appears to be a federal contractor to

6/ The contributor chart shows that 14 of the 16 reported contributions from Chrysler Corporation employees in the month of April were received on April 22, 1992, and totaled $12,500. Four contributions from individuals who listed their employer as either Guardian Industries, Miller Energy, or The Upjohn Company totaled $5,000 (Attachment C, 4, 5).

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which section 441c's prohibition applies.[7/] As is the case with corporations, federal contractors are prohibited from giving any direct or indirect support to federal candidates. If, as is suggested by the article, Deloitte & Touche contributed something of value to the Bush-Quayle campaign, section 441c's prohibition applies. Therefore, this Office recommends the Commission find reason to believe that Deloitte & Touche violated section 441c.

The newspaper reports also form the basis for reason to believe findings against Bush-Quayle '92. The Washington Post article stated that those who raised contributions of $10,000 or more were rewarded by having their name listed as a sponsor on the program for the event. According to the news report the campaign stated: "We take the law and the spirit of the law very, very seriously. There was no violation. There was a mistake but all those involved had been reprimanded and all our finance people will be reminded of the law." (Attachment D). While the Bush campaign appears to argue that the listing of the corporations on the campaign's own program was a mistake, this listing indicates at least some knowledge by the campaign of the firms' involvement in the raising of the contributions. Therefore, there is reason to believe that the Bush-Quayle '92 Primary Committee, Inc. and J. Stanley Huckaby, as treasurer, accepted the corporate donation of

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7/ Deloitte & Touche requested an advisory opinion in January 1991 (AO 1991-1) regarding authorization of periodic credit card contributions to its PAC from Deloitte & Touche employees. In its request, Deloitte & Touche stated that it was a federal government contractor and that none of its partners were individually incorporated (Attachment E, 3).

value, in violation of 2 U.S.C. § 441b(a).

B. Cherry Payment Systems

1. Analysis

According to the Washington Post article dated April 23, 1992, James Elliott is President and Chairman of the Board of Cherry Payment Systems (CPS) an Illinois-based corporation, whose operations in 14 states involves selling credit card and check-approval systems to business and leasing related equipment (Attachment F, 1). According to the article, Mr. Elliott along with a number of CPS executives had previously contributed $1,000 each to the Bush-Quayle '92 Primary Committee in November, 1991.[8/] According to a Washington Post news report, at the insistence of former Illinois Governor James Thompson, Mr. Elliott became a co-chairman of "The President's Dinner," an annual fundraising event held to benefit the National Republican Senatorial Committee (NRSC) and the National Republican Congressional Committee (NRCC). Apparently, Mr. Thompson was a deputy chairman of the Dinner, and picked Mr. Elliott as one of the co-chairmen in his region.[9/] The article describes Mr. Elliott's and his subordinates' efforts to raise contributions for this event from employees of his corporation around the country. As a consequence of these

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8/ Indeed, the contributor chart shows that 15 contributions totaling $15,000 were reported received by the Bush-Quayle Committee from Cherry Payment Systems employees. Eight of these contributions totaling $8,000 were received on November 25, 1991 (Attachment C, 1).

9/ Later reports indicate that after the substantial adverse publicity next described, James Elliott withdrew as a co-chairman of the event.

efforts, a former employee named William Neiss filed a wrongful termination lawsuit against Cherry Payment Systems in Wisconsin state court, and much of the article's description of the events is based upon the contents of this suit.

This Office contacted the United States Attorney's office in Madison, Wisconsin to procure copies of the public filings in the case and staff was then put in contact with the District Attorney in the same city. The District Attorney was quite helpful, and forwarded these pleadings as well as numerous other materials including investigative reports compiled by that office.[10] The materials include a letter dated February 14, 1992, which Mr. Elliott sent to the corporation's regional managers across the country. The letter solicited the employee's contributions to the President's Dinner. The letter stated in part: "I am eager to have you join us and am asking for your participation at the highest level at which you may commit."[11]

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10/ Separate from Mr. Neiss's civil suit, the District Attorney was investigating possible criminal misdemeanor violations of a Wisconsin statute which makes it illegal for a person to cause another to contribute to a candidate by means of the denial of employment, discharge, or demotion. That provision explicitly extends to contributions to "candidate[s] to national, state or local office." Wisconsin Statute § 12.07(4). Excerpts of the investigative materials provided by the District Attorney are appended as Attachment G, and the balance are on file in the Docket Room of the General Counsel's Office.

11/ The letter included a list of prices that was a part of the event's promotional material. This list indicated that the purchase price of a ticket was $1,500 and the cost of a table was $20,000. As added inducement, individuals purchasing, or selling tickets to, a full table were entitled to attend certain private events which preceded the dinner (Attachment G).

Additionally, the letter instructed employees as to how to donate their money: "You may make your contributions . . . . and forward your check to my secretary . . . . and she will help to co-ordinate the further details." The letter concluded: "My hope would be that you could buy, or fill, at least one table . . ." Another corporate official, regional marketing director Peter Moles, sent follow-up solicitations via facsimile, apparently to the same audience. The article describes at least three such messages, and the quoted passages reflect increasing urgency.12/ The third of Mr. Moles' messages urges the reader to join himself,

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12/ A message dated February 14th, just after Mr. Elliott's initial letter, noted:

> I would like to know who is going to be a part of the long term growth of this corporation and there for, [sic] who will be in attendance for this great opportunity, to be able to rub elbows with the most powerful people in this country.

The same message stated:

> If you're asking yourself, can I afford to make this trip, then ask yourself if you can afford not too! [sic]
>
> We will truely [sic] see what kind of cloth your [sic] cut from when I hear from those of you that plan to participate.

(Attachment I, 2).

A final message of February 21, 1992, ordered a reply and noted that those employees who contributed

> are going to display their commitment towards . . . this company . . . .
>
> Let your wallet be your guide. You're either here for the long term, or your [sic] on vacation.

(Attachment I, 4).

Mike Coffey (another regional marketing director), and the company chairman of the board and president at the Dinner. Mr. Neiss alleges that after additional messages and phone calls he called Mr. Moles to decline the invitation and that he was discharged the very next day.

Later news articles describe interviews with other regional sales managers (none of whom would be publicly identified) to the effect that they felt pressure to contribute, but not on pain of discharge. Washington Post, April 24, 1992 (Attachment J, 2). An additional article about Mr. Elliott, however, includes the name of another former employee, J.R. Vaughn, who alleges the same charge as Mr. Neiss, i.e., that he was fired one day after declining to buy a ticket to the President's Dinner. Washington Post, April 28, 1992 (Attachment K).[13/] The later articles also report a statement by Mr. Elliott in response to the suit, acknowledging that 30 employees contributed to the President's Dinner but contending that they had not been coerced, and asserting that Mr. Moles' messages were unauthorized. Washington Post, April 24, 1992 (Attachment J, 2). An Investigative Memo provided to this Office by the Wisconsin District Attorney's office cites CPS' contention that Mr. Neiss was fired for performance reasons (Attachment G, 12). The Memo also noted CPS' disclosure that four office managers of the 30 employees who

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13/ Mr. Vaughn reportedly was told it would be "easier to get a manager who was a team player."

contributed are no longer in their positions at the firm.[14/]

From the actual correspondence sent by Mr. Elliott and his subordinate, it appears that Cherry Payment Systems President and Chairman Elliott solicited federal campaign contributions from a large class of corporate employees and that corporate subordinates may have been involved in monitoring responses. It appears that this was a corporate undertaking, and thus there is no exception under 11 C.F.R. § 114.9 for individual volunteer activity to cover the activity in question. The possible monitoring of every recipient's response to the solicitation and apparent collection of the contribution checks by Mr. Elliott's secretary suggest that the corporation's involvement also may have gone beyond 2 U.S.C. § 441b's "communication" exception. Therefore, it appears that Cherry Payment Systems contributed something of value in connection with a federal election in violation of 2 U.S.C. § 441b(a).

For all of these reasons, this Office recommends the Commission find reason to believe that Cherry Payment Systems, Inc. and James R. Elliott violated 2 U.S.C. § 441b(a). Regarding

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14/ Following his investigation, Dane County Wisconsin District Attorney C. William Foust revealed that he would not file any criminal charges against James Elliott. This Office received a letter dated June 18, 1992, from DA Foust in which he concluded that Mr. Neiss' allegations were "unprovable." (Attachment L, 1). In a letter dated June 11, 1992, to Moles' defense counsel, DA Foust revealed that of the 3,200 Cherry Payment Systems employees throughout the country, only 36 bought tickets to the Dinner. Of the 130 CPS managers only 21 bought tickets (Attachment L, 2, 3). With respect to Mr. Neiss, witnesses in Madison, Wisconsin informed the DA that Neiss predicted his own removal from office for performance reasons.

CPS regional marketing directors Peter Moles and Michael Coffey, it is uncertain whether they are titled "officers" of CPS. However, their high posts within the corporation and their level of management responsibilities suggest that Messrs. Moles and Coffey are "officers" within the meaning of section 441b(a) who may have consented to the corporate contributions. See, e.g., MUR 2575. Therefore, this Office also recommends that the Commission find reason to believe that Peter Moles and Mike Coffey violated 2 U.S.C. § 441b(a). Pending investigation, this Office makes no recommendations regarding other executives of Cherry Payment Systems.

The April 23, 1992 news article quotes an NRCC official as disclaiming any knowledge of the issue raised by Mr. Neiss's lawsuit. Significantly, it is not knowledge of the corporate solicitation that is disclaimed, but rather only the alleged coercion involved: "If employees were coerced, we repudiate it" (Attachment F, 1). This same position is attributed to James Thompson, a deputy chairman of the Dinner who had recruited Mr. Elliott to participate and is reportedly Mr. Elliott's counsel: "He [Thompson] said if employees believed they were being coerced, it was 'a misunderstanding.'" As a co-chairman and a deputy chairman of the President's Dinner, Messrs. Elliott and Thompson may have been authorized to raise funds on behalf of the benefiting political committees. As discussed above, political committees are chargeable with the knowledge the individual fundraising agents have regarding the circumstances under which the contributions are raised. The "President's Dinner/aka 1992

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Republican Senate-House Dinner Committee" was the joint fundraising committee of the NRSC and NRCC for this event. Therefore, this Office recommends that the Commission find reason to believe that the National Republican Congressional Committee and Donna Singleton, as treasurer, the National Republican Senatorial Committee and James L. Nagan, as treasurer, and the President's Dinner/aka 1992 Republican Senate-House Dinner Committee, and J. Stanley Huckaby, as treasurer, violated 2 U.S.C. § 441b(a).

2. Additional Issues

Two potential issues are raised by the articles which discuss the President's Dinner. Although the amounts solicited as contributions substantially exceeded the $1,000 that individuals could permissibly give to the Bush campaign, the strong suggestion from the title of the event was that it was on behalf of George Bush. According to the April 23rd Post article, "Elliott and his team suggested it was a Bush campaign event." (Attachment F, 1). Indeed, later news articles describe Bush campaign materials at the Dinner itself:

> Bush campaign officials bent over backwards to distance the president from the event. A large "Bush-Quayle" sign in the hall disappeared shortly before the dinner. "It started falling, so, for safety's sake, we took it down," explained a dinner spokesman. "Bush-Quayle" headbands on Styrofoam party hats were also removed because "the way the words were" looked too much like the campaign logo.

Washington Post, April 29, 1992 (Attachment FF). Along the same lines, the Washington Times reported:

> [J]ust before the 4,000 high-rolling guests began to arrive for Tuesday Night's President's Dinner - which raised more

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> than $9 million -- organizers and volunteers frantically ripped off the "Bush/Quayle '92" stickers that had adorned the Styrofoam brims.
>
> It was all a question of avoiding any impropriety, dinner organizer Rich Galen said.
>
> "The design was too reminiscent of the campaign logo," he explained. "We didn't want there to be any confusion about the nature of the event."

Washington Times, May 1, 1992 (Attachment M). On the other hand, the solicitation material does appear to make clear that the NRCC and NRSC were the beneficiaries of the fundraiser (Attachment H, 6). This Office does not therefore make any recommendations on these facts.

A second additional issue is presented, however, in connection with the necessary allocation of expenses for the Dinner, because the Dinner also solicited "soft money" contributions prohibited under the Act (Attachment H, 5).[15/]

Another article published after the Dinner reported that a trade association and two companies contributed beef tenderloin, mineral water and brandy for the approximately 4,000 Dinner guests. New York Times, April 28, 1992, "President's Dinner Draws Donations, and Criticism" (Attachment O, 1). Under 11 C.F.R. § 104.13(a)(2), in-kind contributions are reported as expenditures. The Regulations also require that for events intended to raise a mix of federal and non-federal funds, each fundraising expenditure be allocated between the party committee's

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15/ Later news articles reported that the President's Dinner allegedly received more than $2 million dollars from corporations or from individuals in excess of federal limitations. Baltimore Sun, April 29, 1992 (Attachment N, 1).

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federal and non-federal accounts, 11 C.F.R. § 106.5(g)(1)(i), (ii) (contemplating mix of federal and non-federal funds be used to pay for each allocable expense). Since news reports indicate that the entire fundraising expenditure in the form of in-kind support came from corporate entities, possible section 441b(a) violations are raised by these transactions. This issue will be included in the inquiry into the committees' possible section 441b violations.[16/]

C. Goldman, Sachs & Co.

According to the Washington Post article dated April 20, 1992, at least 60 partners of this Wall Street brokerage firm contributed to Clinton for President. Kenneth Brody, reportedly the Clinton campaign's chief fundraiser in New York, is a limited partner of the firm and apparently was the person responsible for the contributions. According to the newspaper report, Mr. Brody stated, "[t]here is no central direction at all....It is solely the fact that they're my friends, not that they happen to be partners." The article, however, contrasts this statement with that of another partner, David DeLucia, who is listed as having contributed to the Clinton campaign but at first did not remember making the contribution: "'I may have' contributed, he said in a telephone interview last week, 'through another partner at our firm....Sometimes [partners] ask their friends to attend breakfasts or lunches to raise money for politicians.'"

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16/ In AOR 1992-33, the Commission is presently considering an advisory opinion request on the treatment of corporate in-kind donations for mixed federal and non-federal events and expenses by national party committees.

(Attachment P, 1).

The contributor chart shows that all of the 65 Goldman, Sachs contributions totaling $55,800 were received on one of nine dates. Of this total, 29 contributions totaling $38,300 were received on December 13 and December 18, 1991. Goldman, Sachs partners have contributed nearly $56,000 to the Clinton campaign to date. The number and pattern of contributions suggests an organized solicitation of high-level Goldman, Sachs employees (partners) which may not fairly be attributed to an effort independent of the brokerage firm or an effort independent of Mr. Brody's employment at the brokerage firm. As such, Goldman, Sachs may have contributed something of value to the Clinton campaign.

Although Goldman, Sachs is a partnership and not a corporation, the brokerage firm may be a federal contractor prohibited from making any contribution to the Clinton campaign. This prohibition is analogous to the corporate prohibition set forth in section 441b. In its request for an Advisory Opinion in 1985, Goldman, Sachs stated that it was under contract with the U.S. Department of Transportation (Attachment Q, 1).[17/] As previously set forth, section 441c prohibits federal contractors from contributing anything of value to federal candidates. If, as

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17/ A corporate subsidiary of Goldman, Sachs & Co. requested clarification as to its ability to establish a separate segregated fund since its stock is solely owned by Goldman, Sachs, a limited partnership which is a Federal contractor. Although this Office has been unable to determine whether Goldman, Sachs continues to be a federal contractor, in its request, Goldman, Sachs asked the Commission to assume it would remain one in the future.

is suggested by the article, Goldman, Sachs contributed something "of value" to the Clinton campaign, the partnership may be in violation of section 441c. Therefore, this Office recommends the Commission find reason to believe that Goldman, Sachs & Co. violated 2 U.S.C. § 441c.

According to a news report, the Clinton campaign acknowledged the Goldman, Sachs contributions. The report quoted a Clinton campaign spokesman: "We try to comply with the law as best we know how. There are people at Goldman, Sachs that did not write checks." Washington Post April 20, 1992. As a Goldman, Sachs partner, Kenneth Brody presumably possessed knowledge of Goldman, Sachs' status as a federal contractor. Additionally, news reports indicate that Brody may have been a fundraising agent for the Clinton campaign. If so, the Clinton campaign is chargeable with Brody's knowledge of Goldman, Sachs' status as a federal contractor and so this Office recommends that the Commission find reason to believe that the Clinton for President Committee and Robert A. Farmer, as treasurer, violated section 441c. From the news reports, it appears that Mr. Brody initiated the fundraising activity on behalf of the Committee. Therefore, this Office recommends that the Commission find reason to believe that Kenneth Brody solicited contributions from a federal contractor, in violation of 2 U.S.C. § 441c.

This Office recommends alternative findings if Goldman, Sachs is no longer a federal government contractor. First, an issue presented is whether Goldman, Sachs should have reported to the Clinton campaign and to the Commission as a conduit. See

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11 C.F.R. § 110.6(c)(1). In AO 1986-4, the Commission made clear that conduits receiving earmarked contributions must report their activity to the Commission in accordance with 2 U.S.C. § 441a(a)(8). As discussed above, it appears that the fundraising activity cannot be attributed to the individual volunteer effort of Kenneth Brody. Goldman, Sachs did not report as a conduit with respect to the contributions it may have collected on behalf of the Clinton campaign. Therefore, this Office recommends the Commission find reason to believe Goldman, Sachs violated 2 U.S.C. § 441a(a)(8) and 11 C.F.R. § 110.6(c)(1).

The news reports do not clearly indicate the extent of Goldman, Sachs' contribution to the Clinton campaign. However, in AO 1980-46, the Commission set forth the principle that a conduit's indirect costs associated with the making of a contribution (e.g., in this case, company time, resources, and facilities) constitute in-kind contributions to the benefiting campaign. Further, the value of the firm's facilitation of contributions is measured not only by the direct costs involved. Cf. MUR 1690 (value of corporate facilitation of contributions measured in part by resulting contributions). Indeed, there may be a question whether the contributions themselves are chargeable to the partnership in view of the firm's possible orchestration of the contributions, 11 C.F.R. § 110.6(d); cf. AO 1986-4. For all of these reasons, this Office alternatively recommends the Commission find reason to believe that Goldman, Sachs made an excessive contribution in violation of section 441a(a)(1)(A).

This Office also recommends parallel alternative findings

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against the Clinton campaign. As discussed above, news reports indicate that the Clinton campaign was aware of Goldman, Sachs' involvement and that Kenneth Brody may have been a fundraising agent for the campaign. The Clinton campaign did not, however, disclose the Goldman, Sachs contributions as received through a conduit. See 11 C.F.R. § 110.6(c)(2). Additionally, the campaign may have accepted excessive contributions from Goldman, Sachs. See 2 U.S.C. § 441a(f). Therefore, this Office recommends the Commission find reason to believe the Clinton for President Committee and Robert A. Farmer, as treasurer, violated 11 C.F.R. § 110.6(c)(2) and 2 U.S.C. § 441a(f).

D. IBM Corp.

According to the April 20 Post article, IBM Chairman and Chief Executive Officer John F. Akers served as co-chairman at a New York fundraiser for President Bush on November 12, 1991. The same Post article revealed that nineteen senior executives at IBM gave $14,500 to the Bush campaign, apparently in connection with the fundraiser.[18/] In addition to the executives, Bush also reportedly received at least $6,000 from spouses or relatives of IBM executives. According to the Post article, IBM spokesman Michael Dutton said that the decision to make contributions was voluntary and that not all executives who made contributions attended the fundraiser. The Post article quoted Dutton:

18/ The contributor chart shows that 24 contributions totaling $17,520 were received from IBM employees of which 18 contributions totaling $14,000 were received on November 14, 1991 (Attachment C, 4, 5).

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"Apparently, it was addressed by phone or in a group... It was presented as a voluntary situation." Washington Post, April 20, 1992 (Attachment P, 1). It is significant that the Washington Post article reported that IBM does not deny organized solicitation of corporate executives. Further, the statement suggests that IBM utilized corporate facilities in this endeavor.

As previously discussed, 11 C.F.R. § 114.9 allows corporate employees to make occasional, isolated or incidental use of the premises for "individual volunteer activity." IBM employees contributed over $17,000 to the Bush campaign. If, as is suggested by the Post article, John Akers solicited contributions from high-level IBM executives in an organized manner, it is questionable whether Mr. Akers could have been acting independent of his employment at IBM. Indeed, several employee contribution check copies provided to this Office by the Audit Division include notes on the memo line to this effect: "solicited by John Akers." Other checks contained the phrase "Akers/NY." (Attachment R). While it is unclear how contributions were forwarded, Dutton's reported statement that not all contributors attended the fundraiser suggests that the contributions may have been collected and forwarded by the corporation. Therefore, this Office recommends the Commission find reason to believe that IBM and John Akers violated 2 U.S.C. § 441b(a).

As discussed above, section 441c prohibits federal contractors from contributing anything of value to federal elections. This Office understands that IBM may be currently

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under contract for computer software with the federal government.[19] As Chairman and Chief Executive Officer of IBM, it can be assumed that John Akers had knowledge of this situation. Therefore this Office recommends the Commission find reason to believe that IBM and John Akers violated section 441c.

At this point, it is unclear whether the Bush campaign knew of the circumstances under which the IBM executives' contributions were made. However, John Akers is described as a co-chairman of the fundraiser for which the executives' contributions were collected. Because he may have been a fundraising agent of the Bush campaign, the Bush campaign may be chargeable with knowledge of the circumstances surrounding the IBM contributions as well as IBM's status as a federal government contractor. Therefore, this Office recommends the Commission find reason to believe that the Bush-Quayle '92 Primary Committee Inc. and J. Stanley Huckaby, as treasurer, violated 2 U.S.C. § 441b and 2 U.S.C. § 441c.

E. Universal Medical Buildings

Joe Checota is a U.S. Senate candidate and the Chairman and Chief Executive Officer of a Milwaukee corporation, Universal Medical Buildings. Many of Mr. Checota's more than 100 employees at University Medical Buildings were reportedly asked to contribute a portion of their salaries to his U.S. Senate Campaign. "Checota Firm Workers Urged to Aid Race," Milwaukee Journal, April 15, 1992 (Attachment 8, 1). According to three

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19/ This information was obtained from publicly available sources within the General Services Administration's Federal Supply Service Bureau.

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employees (none of whom would be publicly identified), they and their co-workers were called into meetings with their supervisors on March 31, 1992, the date of a Checota fundraiser, and allegedly asked to give 1% of their yearly salary to the campaign.[20/] Company executives reportedly solicited other employees over the phone and asked them to give whatever amount they wished. Although the article states that employees were not ordered to donate, some were asked a second time after initially declining.[21/]

Three of the four Checota employees the Milwaukee Journal interviewed reportedly regarded the solicitations as highly inappropriate. The Journal reported that one employee said that there was a "revolving door" of employees called in to see their supervisors on March 31, 1992. The same employee was reportedly told by a supervisor: "We're asking all of our employees to donate one point of our annual income to the campaign." Milwaukee Journal, April 15, 1992 (Attachment S, 2).

Mr. Checota's campaign reportedly denied his involvement with the requests. According to the Journal article, Bill Christofferson, Mr. Checota's political consultant, stated:

I can tell you flat-out that Checota did not direct

20/ The contributor chart shows that 18 contributions totaling $13,000 were made by Universal Medical Buildings employees, 15 of which totaling $10,900 were made on March 31, 1992, the reported date of the Checota fundraiser (Attachment C, 5).

21/ According to the Journal article, one employee who was upset over the solicitation revealed: "Nobody says no to Joe Checota. That's the atmosphere there. If you say no, you really don't know what to expect. He's the guy that signs our paychecks." Milwaukee Journal, April 15, 1992 (Attachment T, 3).

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> that to be done. Checota himself has not solicited anyone in the company. Checota himself has made it quite clear to the company's employees that they were not required to give.

The article states that Mr. Christofferson pointed out the invitation sent to employees for the March 31 fundraiser read: "no contribution is required; your presence is the important thing."

As previously set forth, section 441b prohibits corporations from contributing anything of value to federal candidates. A corporate effort to collect contributions from most of a company's employees would fall outside the exception for individual volunteer activity, 11 C.F.R. § 114.9(a)(1), as well as the exception for internal communications, 11 C.F.R. § 114.3(a)(1). Such corporate fundraising for federal candidates would result in a donation of something of value to the recipient campaign in violation of section 441b(a). Further, in light of this corporation's alleged direct appeal to subordinates, the alleged frequency of appeals, as well as the candidate to whom the contributions were to be made, Universal Medical Buildings may have exercised direction or control over the resulting contributions. See AO 1986-4. Therefore, this Office recommends the Commission find reason to believe that Universal Medical Buildings violated 2 U.S.C. § 441b(a).

As chairman of the company, Joe Checota reportedly knew of the organized solicitation done on his behalf -- his protests in the press dealt mainly with the idea that the employees were not coerced. Thus, Checota may have consented to the corporate

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contributions and so this Office recommends the Commission find reason to believe that Joe Checota violated 2 U.S.C. § 441b(a). If in fact Mr. Checota consented to the contributions, he was simultaneously the benefiting candidate. Indeed, Edith Peters, the treasurer of the Joe Checota for Senate Campaign, is also the controller of the company. Therefore, the Joe Checota for Senate Campaign and Mr. Checota as the candidate, may have accepted something of value from the corporation, in violation of section 441b. Therefore, this Office recommends the Commission find reason to believe that the Joe Checota for Senate Campaign and Edith Peters, as treasurer, and Joe Checota violated 2 U.S.C. § 441b(a).

IV. INVESTIGATION

With respect to the firms involved in the fundraising events, this Office will seek all letters, memos, and records of oral and written communications related to the events from: Cherry Payment Systems; Chrysler Corporation; Deloitte & Touche; Goldman, Sachs & Co.; Guardian Industries; IBM; Miller Energy Inc.; Universal Medical Buildings; and The Upjohn Company. Because of this Office's desire to proceed expeditiously in this matter, this Office recommends that the Commission issue subpoenas, and we have attached a sample subpoena for documents (Attachment V, page 1). Additionally, this Office recommends that the Commission approve deposition subpoenas to all of the above-listed firms to present persons knowledgeable of the circumstances under which contributions were raised (sample at Attachment U, page 1). Also, this Office recommends deposition

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subpoenas for the following individuals identified by the news reports as persons involved with the raising of contributions: Peggy Pentacost; John Miller; James Elliott; James Thompson; Peter Moles; Mike Coffey; William Neiss; Kenneth Brody; John Akers; Joe Checota; and Edith Peters.

To fully explore whether corporate facilitated contributions were made, this Office will also seek information from the recipient committees. This Office has prepared more limited document subpoenas to the committees to discover communications (both oral and written) relating to fundraising activities between the firms listed above and the following committees: Bush-Quayle '92 Primary Committee, Inc.; NRSC; NRCC; The President's Dinner/aka 1992 Republican Senate-House Dinner Committee; Clinton for President Committee; and Joe Checota for Senate Campaign (sample at Attachment V, page 5). Also, this Office has attached a sample deposition subpoena to these Committees to present all persons who had contacts with the above-listed firms and their representatives (Attachment U, page 2).

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V. RECOMMENDATIONS

1. Open a MUR.

2. Find reason to believe that Chrysler Corporation, Guardian Industries, Miller Energy Inc., and The Upjohn Company violated 2 U.S.C. § 441b(a).

3. Find reason to believe that John Miller violated 2 U.S.C. § 441b(a).

4. Find reason to believe that Deloitte & Touche violated 2 U.S.C. § 441c.

5. Find reason to believe that the Bush-Quayle '92 Primary

Committee and J. Stanley Huckaby, as treasurer, violated 2 U.S.C. § 441b(a) and 2 U.S.C. § 441c.

6. Find reason to believe that Cherry Payment Systems, James Elliott, Peter Moles, and Mike Coffey violated 2 U.S.C. § 441b(a).

7. Find reason to believe that the National Republican Congressional Committee and Donna Singleton, as treasurer, the National Republican Senatorial Committee and James L. Hagan, as treasurer, and The President's Dinner/aka 1992 Republican Senate-House Dinner Committee, and J. Stanley Huckaby, as treasurer, violated 2 U.S.C. § 441b(a).

8. Find reason to believe that Goldman, Sachs & Co. violated 2 U.S.C. § 441c, 2 U.S.C. § 441a(a)(8) and 11 C.F.R. § 110.6(c)(1), and 2 U.S.C. § 441a(a)(1)(A).

9. Find reason to believe that Kenneth Brody violated 2 U.S.C. § 441c.

10. Find reason to believe that the Clinton for President Committee and Robert A. Farmer, as treasurer, violated 2 U.S.C. § 441c, 11 C.F.R. § 110.6(c)(2), and 2 U.S.C. § 441a(f).

11. Find reason to believe that International Business Machines Corp. and John Akers violated 2 U.S.C. § 441b(a) and 2 U.S.C. § 441c.

12. Find reason to believe that Universal Medical Buildings, Joe Checota, and the Joe Checota for Senate Campaign, and Edith Peters, as treasurer, violated 2 U.S.C. § 441b(a).

13. Approve deposition and document subpoenas to:

a. Cherry Payment Systems, Chrysler Corporation, Deloitte & Touche, Goldman, Sachs & Co., Guardian Industries, International Business Machines Corp., Miller Energy Inc., Universal Medical Buildings, and The Upjohn Company.

b. Bush-Quayle '92 Primary Committee, Joe Checota for Senate Campaign, Clinton for President Committee, National Republican Congressional Committee, National Republican Senatorial Committee, and The President's Dinner/aka 1992 Republican Senate-House Dinner Committee.

c. John Akers, Kenneth Brody, Joe Checota, Mike Coffey, James Elliott, John Miller, Peter Moles, William Neiss, Peggy Pentacost, Edith Peters, and James

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Thompson.

14. Approve the attached Factual and Legal Analyses.

15. Approve the appropriate letters.

Date 9/29/92

Lawrence M. Noble
General Counsel

Attachments

A. Request to Audit Division from the Office of the General Counsel, May 18, 1992.
B. Audit Division's responses to request for information from the Office of the General Counsel, June 16, 1992, and June 30, 1992 (excerpts).
C. Contributor Chart of entities discussed in report
D. "Bush Dinner Raises Funds and Eyebrows," Washington Post, April 16, 1992.
E. Federal Election Commission, Advisory Opinion 1991-1.
F. "Lawsuit Alleges Coercion in President's Dinner Ticket Sales," Washington Post, April 23, 1992.
FF. "The Dinner That Left A Bad Taste," Washington Post, April 29, 1992.
G. Letter dated May 18, 1992 with attachments from Dane County District Attorney's Office (excerpts).
H. Letter from James R. Elliott, Cherry Payment Systems Chairman of the Board, February 14, 1992 (materials provided by Dane County District Attorney Foust).
I. Facsimiles from Peter Moles, Regional Managing Director of Cherry Payment Systems, February 14, 1992; February 21, 1992; February 28, 1992 (materials provided by Dane County District Attorney Foust).
J. "GOP Dinner Criticized As Symptom of System," Washington Post, April 24, 1992.
K. "Fund-Raiser Won't Be Coming to President's Dinner," Washington Post, April 28, 1992.
L. Letter from Dane County District Attorney C. William Foust, June 18, 1992.
M. "Cats In Hats Tip Same To the GOP," Washington Times, May 1, 1992, Page E2.
N. "Bush Fund-Raiser Brings Top Dollar: 9 Million Of Them," Baltimore Sun, April 29, 1992.
O. "President's Dinner Draws Donations, and Criticism," New York Times, April 28, 1992.
P. "'Bundling' Skirts Campaign Gift Curbs," Washington Post,

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April 20, 1992.

Q. Federal Election Commission, Advisory Opinion 1985-23.

R. Federal Election Commission, Audit Division Records (additional excerpts from Attachment B).

S. "Checota Firm Workers Urged To Aid Race," Milwaukee Journal, April 15, 1992.

T. Factual and Legal Analyses (17)

U. Subpoenas for Deposition (sample deposition subpoenas to corporations/fundraisers and committees)

V. Subpoenas for Production of Documents (sample subpoenas for production of documents to corporations/fundraisers and committees)

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FEDERAL ELECTION COMMISSION
WASHINGTON D.C. 20463

MEMORANDUM

TO: LAWRENCE M. NOBLE
GENERAL COUNSEL

FROM: MARJORIE W. EMMONS/BONNIE J. ROSS
COMMISSION SECRETARY

DATE: OCTOBER 2, 1992

SUBJECT: PRE-MUR 259 - FIRST GENERAL COUNSEL'S REPORT DATED SEPTEMBER 29, 1992.

The above-captioned document was circulated to the Commission on Tuesday, September 29, 1992 at 4:00.

Objection(s) have been received from the Commissioner(s) as indicated by the name(s) checked below:

Commissioner Aikens	XXX
Commissioner Elliott	XXX
Commissioner McDonald	XXX
Commissioner McGarry	
Commissioner Potter	
Commissioner Thomas	XXX

This matter will be placed on the meeting agenda for Tuesday, October 20, 1992.

Please notify us who will represent your Division before the Commission on this matter.

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BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of	)	
	)	Pre-MUR 259
Bush-Quayle '92 Primary Committee Inc., and J Stanley Huckaby, as treasurer;	)	
Chrysler Corporation;	)	
Deloitte & Touche;	)	
Guardian Industries;	)	
Miller Energy Incorporated;	)	
John C. Miller;	)	
The Upjohn Company;	)	
Cherry Payment Systems;	)	
James R. Elliott;	)	
Peter Moles;	)	
Mike Coffey;	)	
National Republican Congressional Committee, and Donna Singleton, as treasurer;	)	
National Republican Senatorial Committee, and James L. Hagan, as treasurer;	)	
President's Dinner/aka 1992 Republican Senate-House Dinner Committee, and J. Stanley Huckaby, as treasurer;	)	
International Business Machines Corp.;	)	
John Akers;	)	
Clinton for President, and Robert A. Farmer, as treasurer;	)	
Goldman, Sachs & Co.;	)	
Kenneth D. Brody;	)	
Joe Checota;	)	
Joe Checota for Senate Campaign, and Edith Peters, as treasurer;	)	
Universal Medical Buildings	)	

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AMENDED CERTIFICATION

I, Marjorie W. Emmons, recording secretary for the Federal Election Commission executive session on October 20, 1992, do hereby certify that the Commission took the following actions with respect to Pre-MUR 259:

(continued)

1. Decided by a vote of 6-0 to open a MUR.

   Commissioners Aikens, Elliott, McDonald, McGarry, Potter, and Thomas voted affirmatively for the decision.

2. Decided by a vote of 6-0 to find reason to believe that Chrysler Corporation, Guardian Industries, and Miller Energy Inc. violated 2 U.S.C. § 441b(a).

   Commissioners Aikens, Elliott, McDonald, McGarry, Potter, and Thomas voted affirmatively for the decision.

3. Decided by a vote of 5-0 to find reason to believe The Upjohn Company violated 2 U.S.C. § 441b(a).

   Commissioners Aikens, Elliott, McDonald, McGarry, and Potter voted affirmatively for the decision. Commissioner Thomas recused and did not vote on this finding.

4. Decided by a vote of 6-0 to find reason to believe John Miller violated 2 U.S.C. § 441b(a).

   Commissioners Aikens, Elliott, McDonald, McGarry, Potter, and Thomas voted affirmatively for the decision.

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5. Decided by a vote of 6-0 to find reason to believe Deloitte & Touche violated 2 U.S.C. § 441c.

   Commissioners Aikens, Elliott, McDonald, McGarry, Potter, and Thomas voted affirmatively for the decision.

6. Decided by a vote of 4-2 to find reason to believe that the Bush-Quayle '92 Primary Committee and J. Stanley Huckaby, as treasurer, violated 2 U.S.C. § 441b(a) and 2 U.S.C. § 441c.

   Commissioners McDonald, McGarry, Potter, and Thomas voted affirmatively for the decision; Commissioners Aikens and Elliott dissented. Commissioner Thomas noted for the record that his vote on this decision did not include those parts pertaining to International Business Machines and The Upjohn Company as he had recused himself with respect to these two respondents.

7. Decided by a vote of 6-0 to find reason to believe that Cherry Payment Systems and James Elliott violated 2 U.S.C. § 441b(a).

   Commissioners Aikens, Elliott, McDonald, McGarry, Potter, and Thomas voted affirmatively for the decision.

8. Decided by a vote of 5-1 to find reason to believe that Peter Moles and Mike Coffey violated 2 U.S.C. § 441b(a).

   Commissioners Aikens, McDonald, McGarry, Potter, and Thomas voted affirmatively for the decision; Commissioner Elliott dissented.

(continued)

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9. Decided by a vote of 4-2 to find reason to believe that the National Republican Congressional Committee and Donna Singleton, as treasurer, the National Republican Senatorial Committee and James L. Hagan, as treasurer, and The President's Dinner/aka 1992 Republican Senate-House Dinner Committee, and J. Stanley Huckaby, as treasurer, violated 2 U.S.C. § 441b(a).

Commissioners McDonald, McGarry, Potter, and Thomas voted affirmatively for the decision; Commissioners Aikens and Elliott dissented.

10. Decided by a vote of 6-0 to find reason to believe that Goldman, Sachs & Co. violated 2 U.S.C. § 441c, 2 U.S.C. § 441a(a)(8) and 11 C.F.R. § 110.6(c)(1), and 2 U.S.C. § 441a(a)(1)(A).

Commissioners Aikens, Elliott, McDonald, McGarry, Potter, and Thomas voted affirmatively for the decision.

11. Decided by a vote of 6-0 to find reason to believe Kenneth Brody violated 2 U.S.C. § 441c.

Commissioners Aikens, Elliott, McDonald, McGarry, Potter, and Thomas voted affirmatively for the decision.

(continued)

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12. Decided by a vote of 4-2 to find reason to believe that the Clinton for President Committee and Robert A. Farmer, as treasurer, violated 2 U.S.C. § 441c, 11 C.F.R. § 110.6(c)(2), and 2 U.S.C. § 441a(f).

Commissioners McDonald, McGarry, Potter, and Thomas voted affirmatively for the decision; Commissioners Aikens and Elliott dissented.

13. Decided by a vote of 5-0 to find reason to believe that International Business Machines Corp. and John Akers violated 2 U.S.C. § 441b(a) and 2 U.S.C. § 441c.

Commissioners Aikens, Elliott, McDonald, McGarry, and Potter voted affirmatively for the decision. Commissioner Thomas recused with respect to this issue and did not vote.

14. Decided by a vote of 6-0 to find reason to believe that Universal Medical Buildings, Joe Checota, and the Joe Checota for Senate Campaign, and Edith Peters as treasurer, violated 2 U.S.C. § 441b(a).

Commissioners Aikens, Elliott, McDonald, McGarry, Potter, and Thomas voted affirmatively for the decision.

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15. Decided by a vote of 6-0 to approve deposition and document subpoenas to Cherry Payment Systems, Chrysler Corporation, Deloitte & Touche, Goldman, Sachs & Co., Guardian Industries, Miller Energy Inc., and Universal Medical Buildings.

Commissioners Aikens, Elliott, McDonald, McGarry, Potter, and Thomas voted affirmatively for the decision.

16. Decided by a vote of 5-0 to approve deposition and document subpoenas to International Business Machines Corp. and The Upjohn Company.

Commissioners Aikens, Elliott, McDonald, McGarry, and Potter voted affirmatively for the decision. Commissioner Thomas recused with respect to this issue and did not vote.

17. Decided by a vote of 6-0 to approve deposition and document subpoenas to Bush-Quayle '92 Primary Committee, Clinton for President Committee, National Republican Congressional Committee, National Republican Senatorial Committee, and The President's Dinner/aka 1992 Republican Senate-House Dinner Committee.

Commissioners Aikens, Elliott, McDonald, McGarry, Potter, and Thomas voted affirmatively for the decision.

(continued)

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18. Decided by a vote of 6-0 to approve deposition and document subpoenas to Joe Checota for Senate Campaign.

Commissioners Aikens, Elliott, McDonald, McGarry, Potter, and Thomas voted affirmatively for the decision.

19. Decided by a vote of 6-0 to approve deposition and document subpoenas to Kenneth Brody, Joe Checota, Mike Coffey, James Elliott, John Miller, Peter Moles, William Neiss, Peggy Pentacost, Edith Peters, and James Thompson.

Commissioners Aikens, Elliott, McDonald, McGarry, Potter, and Thomas voted affirmatively for the decision.

20. Decided by a vote of 5-0 to approve deposition and document subpoenas to John Akers.

Commissioners Aikens, Elliott, McDonald, McGarry, and Potter voted affirmatively for the decision. Commissioner Thomas recused with respect to this issue and did not vote.

(continued)

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21. Decided by a vote of 6-0 to take the following actions with respect to Pre-MUR 259:

A. Approve the Factual and Legal Analyses attached to the General Counsel's report dated September 29, 1992.

B. Approve appropriate letters as recommended in the General Counsel's report dated September 29, 1992.

Commissioners Aikens, Elliott, McDonald, McGarry, Potter, and Thomas voted affirmatively for the decision. Commissioner Thomas stated for the record that his vote on this decision did not include those parts pertaining to International Business Machines and The Upjohn Company, as he had recused himself with respect to those two respondents.

Attest:

10-23-92
Date

Marjorie W. Emmons
Secretary of the Commission

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FEC

FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

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SENSITIVE

October 21, 1992

MEMORANDUM

TO: The Commission

FROM: Lawrence M. Noble
General Counsel

BY: Lois G. Lerner
Associate General Counsel

SUBJECT: Pre-MUR 259

On October 20, 1992, the Commission made numerous reason to believe findings in this matter and approved the Factual and Legal Analyses attached to the General Counsel's Report without revision. There was, however, an inconsistency in the reason to believe finding and Factual and Legal Analysis regarding the Bush-Quayle '92 Primary Committee. Specifically, in light of Commissioner Thomas' recusal regarding IBM and Upjohn Company, the Bush-Quayle '92 reason to believe finding (by a vote of 4-2) excluded these firms and the Factual and Legal Analysis should reflect this exclusion. This Office recommends that the Commission rescind approval of the Factual and Legal Analysis for Bush-Quayle '92 and approve the revised attached Factual and Legal Analysis. The revised analysis excludes all mention of the IBM and Upjohn Company contributions. It should be noted that the Commission's reason to believe findings against these two firms themselves are unaffected by this revision.

In addition, the Commission's reason to believe finding regarding Bush-Quayle '92 is altered by the recusal. Because the 2 U.S.C. § 441c (government contractor) finding was based on IBM Chief Executive Officer John Akers' apparent role as co-chairman of a Bush-Quayle '92 fundraiser (see General Counsel's Report pp. 26-28), this Office recommends that the Commission rescind the 441c finding against Bush-Quayle '92.

Finally, if there are any objections to these recommendations, this Office requests that this matter be placed on the agenda for Tuesday, October 27, 1992.

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RECOMMENDATIONS

1. Rescind approval of the Factual and Legal Analysis for the Bush-Quayle '92 Primary Committee Inc. and J. Stanley Huckaby, as treasurer.

2. Approve the revised attached Factual and Legal Analysis for for the Bush-Quayle '92 Primary Committee Inc. and J. Stanley Huckaby, as treasurer.

3. Rescind the 2 U.S.C. § 441c finding against the Bush-Quayle '92 Primary Committee Inc. and J. Stanley Huckaby, as treasurer.

Attachment

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BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of Bush-Quayle '92 Primary Committee Inc. and J. Stanley Huckaby, as treasurer.	)	Pre-MUR 259 (MUR 3672)

CERTIFICATION

I, Marjorie W. Emmons, Secretary of the Federal Election Commission, do hereby certify that on October 27, 1992, the Commission decided by a vote of 5-1 to take the following actions in Pre-MUR 259:

1. Rescind approval of the Factual and Legal Analysis for the Bush-Quayle '92 Primary Committee Inc. and J. Stanley Huckaby, as treasurer.

2. Approve the revised Factual and Legal Analysis for the Bush-Quayle '92 Primary Committee Inc. and J. Stanley Huckaby, as treasurer, as recommended in the General Counsel's Report dated October 21, 1992.

(continued)

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3. Rescind the 2 U.S.C. § 441c finding against the Bush-Quayle '92 Primary Committee Inc. and J. Stanley Huckaby, as treasurer.

Commissioners Elliott, McDonald, McGarry, Potter, and Thomas voted affirmatively for the decision; Commissioner Aikens dissented.

Attest:

10-24-92
Date

Delores R. Hardy
Marjorie W. Emmons
Secretary of the Commission

Received in the Secretariat: Wed., Oct. 21, 1992 5:13 p.m.
Circulated to the Commission: Thurs., Oct. 22, 1992 11:00 a.m.
Deadline for vote: Tues., Oct. 27, 1992 4:00 p.m.

bjr

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FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

November 4, 1992

Kenneth Brody
c/o Goldman, Sachs & Company
85 Broad St.
New York, NY 10004

RE: MUR 3672

Dear Mr. Brody:

On October 20, 1992, the Federal Election Commission found that there is reason to believe you violated 2 U.S.C. § 441c, a provision of the Federal Election Campaign Act of 1971, as amended ("the Act"). The Factual and Legal Analysis, which formed a basis for the Commission's finding, is attached for your information.

Under the Act, you have an opportunity to demonstrate that no action should be taken against you. You may submit any factual or legal materials that you believe are relevant to the Commission's consideration of this matter. Please submit such materials to the General Counsel's Office within 30 days of your receipt of this letter. Where appropriate, statements should be submitted under oath.

In the absence of any additional information demonstrating that no further action should be taken against you the Commission may find probable cause to believe that a violation has occurred and proceed with conciliation.

If you are interested in pursuing pre-probable cause conciliation, you should so request in writing. See 11 C.F.R. § 111.18(d). Upon receipt of the request, the Office of the General Counsel will make recommendations to the Commission either proposing an agreement in settlement of the matter or recommending declining that pre-probable cause conciliation be pursued. The Office of the General Counsel may recommend that pre-probable cause conciliation not be entered into at this time so that it may complete its investigation of the matter. Further, the Commission will not entertain requests for pre-probable cause conciliation after briefs on probable cause have been mailed to the respondent.

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Requests for extensions of time will not be routinely granted. Requests must be made in writing at least five days prior to the due date of the response and specific good cause must be demonstrated. In addition, the Office of the General Counsel ordinarily will not give extensions beyond 20 days.

If you intend to be represented by counsel in this matter, please advise the Commission by completing the enclosed form stating the name, address, and telephone number of such counsel, and authorizing such counsel to receive any notifications and other communications from the Commission.

This matter will remain confidential in accordance with 2 U.S.C. §§ 437g(a)(4)(B) and 437g(a)(12)(A), unless you notify the Commission in writing that you wish the investigation to be made public.

For your information, we have enclosed a brief description of the Commission's procedures for handling possible violations of the Act. If you have any questions, please contact Richard M. Zanfardino at (202) 219-3690.

Sincerely,

Joan D. Aikens

Joan D. Aikens
Chairman

Enclosures
Factual and Legal Analysis
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Designation of Counsel Form

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FEDERAL ELECTION COMMISSION

FACTUAL AND LEGAL ANALYSIS

RESPONDENT: Kenneth D. Brody MUR: 3672

A. Generation of Matter

In the ordinary course of carrying out its supervisory responsibilities, the Federal Election Commission has discovered that Kenneth Brody may have violated the Federal Election Campaign Act of 1971, as amended ("the Act").

B. Legal Principles

1. Corporate Contributions

Pursuant to 2 U.S.C. § 441b, it is unlawful for any corporation to make a contribution or expenditure in connection with a Federal election, or for a candidate or political committee knowingly to accept such a contribution. It is also unlawful for any corporate officer or director to consent to any such contribution. This broad prohibition extends to "anything of value" given to a federal candidate or campaign. 2 U.S.C. § 441b(b)(2).

Although corporations are prohibited from making any such contribution, the Act exempts a corporation's internal communications with its executive and administrative personnel. 2 U.S.C. § 441b(b)(2)(A).[1/] The Commission's

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1/ Elaboration of the class of corporate employees that fall within this exception is found at 2 U.S.C. § 441b(b)(7) and 11 C.F.R. § 114.1(c) of the Commission's regulations.

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regulations thus permit a corporation to make partisan communications to its restricted class, including endorsing candidates and urging their support. 11 C.F.R. § 114.3(a)(1),(c)(1); Advisory Opinions 1987-29, 1982-2. The Commission has repeatedly made clear, however, that corporations may not step beyond the line of "communication" to actually collecting contribution checks or otherwise facilitating the making of contributions to a Federal candidate. See Advisory Opinions 1987-29, 1986-4, 1982-2, and 1977 Explanation and Justification (describing permissible corporate communication).

Other Commission regulations provide that employees of a corporation may make "occasional, isolated or incidental use of the facilities of a corporation for individual volunteer activity in connection with a Federal election...." 11 C.F.R. § 114.9(a)(1). Employees must reimburse the corporation only for any increased overhead or operating costs. Id. In MUR 1690, however, the Office of the General Counsel advised the Commission that "the plain intent of [11 C.F.R. § 114.9(a)]... excludes from the scope of 'individual volunteer activity' collective enterprises where the top executives of firms direct their subordinates in fundraising projects..., use resources of the corporation such as lists of vendors and customers..., solicit whole classes of corporate executives and employees..., or attempt to ensure that the corporation is the beneficiary of the candidate's appreciation...." General Counsel's Report dated October 2,

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1986.

In summary, where fundraising activities involve the use of corporate resources and facilities and such activities are beyond the safe harbor of the Act and regulation's exceptions, the corporation has contributed something "of value" to the beneficiary candidate, in violation of 2 U.S.C. § 441b.

Additionally, the manner in which company fundraising from employees or vendors takes place may have consequences under other generally applicable provisions of the Act. Where contributions are "earmarked or otherwise directed through an intermediary or conduit to such candidate," the Act requires the "intermediary or conduit" to report such transmissions. 2 U.S.C. § 441a(a)(8). The Commission's regulations in this area, newly revised in 1989, define "conduit or intermediary" to include "any person who receives and forwards an earmarked contribution to a candidate," 11 C.F.R. § 110.6(b)(2), and explains that campaign employees, full-time volunteers, or individuals who have significant positions within the campaign who are expressly authorized to fundraise are not conduits for purpose of the regulations, 11 C.F.R. § 110.6(b)(2)(i)(A),(E).

Under these regulations, if a person collects contributions as a conduit, and exercises "direction or control" over the making of the contribution, the contribution is chargeable to the conduit's limit for the recipient candidate in addition to the limit of the actual

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donor.[2/] 11 C.F.R. § 110.6(d)(2). This regulation was based on the 1974 Conference Committee Report on the earmarking provision at section 441a(a)(8), stating that "if a person exercises any direct or indirect control over the making of a contribution, then such contribution shall count toward the limitation imposed with respect to such person" under the Act. H.R. Conf. Rep. No. 93-1438, 93rd Cong., 2d Sess. 51 (1974), reprinted in Legislative History of the Federal Election Campaign Act Amendments of 1974, at 995, 996 (1977). Corporations are explicitly forbidden from acting as conduits for contributions, 11 C.F.R. § 110.6(b)(2)(ii). Nonetheless, following Congress' broadly expressed intent, corporations that exercise any control over contributions should be responsible for these contributions, i.e. the contributions themselves are chargeable to the corporation.

The Commission articulated this position in Advisory Opinion 1986-4. There, the Commission concluded that where a company collects contributions to candidates from its executives, actively persuading its executives to participate, and deciding what candidate would be supported and in what amount, the corporation would "exercise direction

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2/ Furthermore, in AO 1980-46 the Commission stated that expenditures made by the conduit or intermediary for the purpose of solicitation are considered in-kind contributions to the candidate and are reportable as such. 2 U.S.C. § 434. Once the conduit collects and forwards the checks to the candidate, the candidate's acceptance of the checks constitutes acceptance of the costs incurred by the conduit in connection with the solicitation. See AO 1980-46.

and control over the making of such earmarked contributions as well as act as the conduit and intermediary for them."[3/] It follows that if a company through its officers employs coercive tactics in order to collect contributions, it plainly exercises control over the contributions. "[Where] a job or livelihood may be jeopardized by a refusal to comply, and the contact between solicitor and solicitee may be direct and personal . . . the potential clearly exists for earmarked contributions to have been 'directed or controlled' by means of coercion, harassment, or undue pressure." Statement of Reasons, Commissioner Josefiak, MUR 2282, p. 14. Thus, in addition to contributing something "of value" by facilitating the making of contributions, under the settled principles discussed above, the resulting contributions would be chargeable to the company itself. Indeed, this conclusion should follow in any circumstances similar to that envisioned in AO 1986-4 where a company orchestrates a fundraising activity for the benefit of a specific candidate and asks a class of its employees to participate.

2. Federal Government Contractor Prohibition

Section 441c expressly prohibits any person who enters into a U.S. government contract directly or indirectly to make any contribution of money or other things of value, to any federal candidate. 2 U.S.C. § 441c(a)(1) and 11 C.F.R.

3/ Section 441b's broad prohibition of "direct or indirect" gifts of anything of value, see 2 U.S.C. § 441b(b)(2), provides further support for this conclusion.

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§ 115.2. Additionally, section 441c makes it illegal for any person "knowingly to solicit any such contribution . . . " 2 U.S.C. § 441c(a)(2).

Pursuant to section 431(9)(A)(11), a partnership is considered a "person." As such, the regulations state: "[t]he assets of a partnership which is a Federal contractor may not be used to make contributions or expenditures in connection with Federal elections." 11 C.F.R. § 115.4(a). However, individual partners and employees of the partnership are permitted to make contributions in their own names from their personal assets. 11 C.F.R. § 115.4(b),(c). See also AO 1991-1.

Additionally, a federal contractor is prohibited from acting as a conduit. The regulations provide: "Any person who is prohibited from making contributions or expenditures in connection with an election for Federal office shall be prohibited from acting as a conduit for contributions earmarked to candidates or their authorized committees." 11 C.F.R. § 110.6(b)(2)(E)(ii).

In conclusion, neither corporations nor federal contractors are permitted to contribute anything of value to federal elections. Thus, the prohibitions which limit corporate activity similarly limit the activity of federal contractors.

C. Analysis

Kenneth Brody, reportedly the Clinton campaign's chief fundraiser in New York, is a limited partner of Goldman,

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Sachs & Co. According to a news report, at least 60 Goldman, Sachs partners contributed to Clinton for President. It appears that Mr. Brody was the person responsible for the contributions. According to the newspaper report, Brody stated, "[t]here is no central direction at all....It is solely the fact that they're my friends, not that they happen to be partners." Washington Post, April 20, 1992. The article, however, contrasts this statement with that of another partner, David DeLucia, who is listed as having contributed to the Clinton campaign but at first did not remember making the contribution: "'I may have' contributed, he said in a telephone interview last week, 'through another partner at our firm....Sometimes [partners] ask their friends to attend breakfasts or lunches to raise money for politicians.'" Washington Post, April 20, 1992.

The Commission's records show that all of the 65 Goldman, Sachs contributions totaling $55,800 were received on one of nine dates. Of this total, 29 contributions totaling $38,300 were received on December 13 and December 18, 1991. Goldman, Sachs partners have contributed nearly $56,000 to the Clinton campaign to date. The number and pattern of contributions suggests an organized solicitation of high-level Goldman, Sachs employees (partners) which may not fairly be attributed to an effort independent of the brokerage firm or an effort independent of Mr. Brody's employment at the brokerage firm. As such, Goldman-Sachs may have contributed something of value to the Clinton campaign.

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Although Goldman, Sachs is a partnership and not a corporation, the brokerage firm may be a federal contractor prohibited from making any contribution to the Clinton campaign. This prohibition is analogous to the corporate prohibition set forth in 2 U.S.C. § 441b. In its request for an Advisory Opinion in 1985, Goldman, Sachs stated that it was under contract with the U.S. Department of Transportation.[4/] As previously set forth, section 441c prohibits federal contractors from contributing anything of value to federal candidates.

As a Goldman, Sachs partner, Kenneth Brody presumably possessed knowledge of Goldman, Sachs' status as a federal contractor. Additionally, news reports indicate that Mr. Brody may have been a fundraising agent for the Clinton campaign. From the news reports, it appears that Mr. Brody initiated the fundraising activity on behalf of the Clinton campaign. Therefore, there is reason to believe that Kenneth Brody solicited contributions from a federal contractor, in violation of 2 U.S.C. § 441c.

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4/ A corporate subsidiary of Goldman, Sachs Co. requested clarification as to its ability to establish a separate segregated fund since its stock is solely owned by Goldman, Sachs, a limited partnership which is a federal contractor. In its request, Goldman, Sachs asked the Commission to assume it would remain a federal contractor in the future.

FEDERAL ELECTION COMMISSION
WASHINGTON D.C. 20463

November 4, 1992

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Goldman, Sachs & Company
John L. Weinberg, Senior Chairman
85 Broad St.
New York, NY 10004

RE: MUR 3672
Goldman, Sachs & Company

Dear Mr. Weinberg:

On October 20, 1992, the Federal Election Commission found that there is reason to believe Goldman, Sachs & Company violated 2 U.S.C. §§ 441a(a)(1)(A), 441a(a)(8), 441c and 11 C.F.R. § 110.6(c)(1), provisions of the Federal Election Campaign Act of 1971, as amended ("the Act") and the Commission's regulations. The Factual and Legal Analysis, which formed a basis for the Commission's finding, is attached for your information.

Under the Act, you have an opportunity to demonstrate that no action should be taken against Goldman, Sachs & Company. You may submit any factual or legal materials that you believe are relevant to the Commission's consideration of this matter. Statements should be submitted under oath. All responses to the enclosed Subpoena must be submitted within 30 days of your receipt of this subpoena. Any additional materials or statements you wish to submit should accompany the response to the subpoena.

You may consult with an attorney and have an attorney assist you in the preparation of your responses to this subpoena. If you intend to be represented by counsel, please advise the Commission by completing the enclosed form stating the name, address, and telephone number of such counsel, and authorizing such counsel to receive any notifications or other communications from the Commission.

In the absence of any additional information which demonstrates that no further action should be taken against you, the Commission may find probable cause to believe that a violation has occurred and proceed with conciliation.

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If you are interested in pursuing pre-probable cause conciliation, you should so request in writing. See 11 C.F.R. § 111.18(d). Upon receipt of the request, the Office of the General Counsel will make recommendations to the Commission either proposing an agreement in settlement of the matter or recommending declining that pre-probable cause conciliation be pursued. The Office of the General Counsel may recommend that pre-probable cause conciliation not be entered into at this time so that it may complete its investigation of the matter. Further, requests for pre-probable cause conciliation will not be entered after briefs on probable cause have been mailed to the respondent.

Requests for extensions of time will not be routinely granted. Requests must be made in writing at least five days prior to the due date of the response and specific good cause must be demonstrated. In addition, the Office of the General Counsel ordinarily will not give extensions beyond 20 days.

This matter will remain confidential in accordance with 2 U.S.C. §§ 437g(a)(4)(B) and 437g(a)(12)(A), unless you notify the Commission in writing that you wish the investigation to be made public.

For your information, we have attached a brief description of the Commission's procedures for handling possible violations of the Act. If you have any questions, please contact Richard M. Zanfardino at (202) 219-3690.

Sincerely,

Joan D. Aikens

Joan D. Aikens
Chairman

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Subpoena
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Procedures
Designation of Counsel Form

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BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of) MUR 3672

SUBPOENA FOR PRODUCTION OF DOCUMENTS

TO: Goldman, Sachs & Company
John L. Weinberg, Senior Chairman
85 Broad St.
New York, NY 10004

Pursuant to 2 U.S.C. § 437d(a)(3), and in furtherance of its investigation in the above-captioned matter, the Federal Election Commission hereby subpoenas you to produce the documents listed on the attachment to this subpoena. Legible copies which, where applicable, show both sides of the documents, may be substituted for originals. The documents must be submitted to the Office of the General Counsel, Federal Election Commission, 999 E Street, N.W., Washington, D.C. 20463, within 30 days of your receipt of this subpoena.

WHEREFORE, the Chairman of the Federal Election Commission has hereunto set her hand in Washington, D.C., on this 4th day of November 1992.

Joan D. Aikens
Joan D. Aikens, Chairman
Federal Election Commission

ATTEST:

Marjorie W. Emmons
Marjorie W. Emmons
Secretary to the Commission

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INSTRUCTIONS

In answering this request for production of documents, furnish all documents and other information, however obtained, including hearsay, that is in possession of, known by or otherwise available to you, including documents and information appearing in your records.

Should you claim a privilege with respect to any documents, communications, or other items about which information is requested by any of the following interrogatories and requests for production of documents, describe such items in sufficient detail to provide justification for the claim. Each claim of privilege must specify in detail all the grounds on which it rests.

Unless otherwise indicated, the discovery request shall refer to the time period from September 1, 1991 to the present.

The following request for production of documents is continuing in nature so as to require you to file supplementary responses or amendments during the course of this investigation if you obtain further or different information prior to or during the pendency of this matter. Include in any supplemental answers the date upon which and the manner in which such further or different information came to your attention.

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DEFINITIONS

For the purpose of these discovery requests, including the instructions thereto, the terms listed below are defined as follows:

"You" shall mean the named respondent in this action to whom these discovery requests are addressed, including all officers, employees, agents or attorneys thereof.

"Persons" shall be deemed to include both singular and plural, and shall mean any natural person, partnership, committee, association, corporation, or any other type of organization or entity.

"Document" shall mean the original and all non-identical copies, including drafts, of all papers and records of every type in your possession, custody, or control, or known by you to exist. The term document includes, but is not limited to books, letters, contracts, notes, diaries, log sheets, records of telephone communications, transcripts, vouchers, accounting statements, ledgers, checks, money orders or other commercial paper, telegrams, telexes, pamphlets, circulars, leaflets, reports, memoranda, correspondence, surveys, tabulations, audio and video recordings, drawings, photographs, graphs, charts, diagrams, lists, computer print-outs, and all other writings and other data compilations from which information can be obtained.

"Identify" with respect to a document shall mean state the nature or type of document (e.g., letter, memorandum), the date, if any, appearing thereon, the date on which the document was prepared, the title of the document, the general subject matter of the document, the location of the document, the number of pages comprising the document.

"Identify" with respect to a person shall mean state the full name, the most recent business and residence addresses and the telephone numbers, the present occupation or position of such person, the nature of the connection or association that person has to any party in this proceeding. If the person to be identified is not a natural person, provide the legal and trade names, the address and telephone number, and the full names of both the chief executive officer and the agent designated to receive service of process for such person.

"And" as well as "or" shall be construed disjunctively or conjunctively as necessary to bring within the scope of these interrogatories and requests for the production of documents any documents and materials which may otherwise be construed to be out of their scope.

DOCUMENT REQUESTS

Produce all letters, envelopes, memos, internal correspondence, notes of telephone conversations, records of oral and/or written communications, and all other documents relating to:

1. the solicitation of employees for contributions to the Clinton for President Committee;

2. any meetings held at Goldman, Sachs & Company to discuss the raising or making of contributions to the Clinton for President Committee;

3. the receipt of contributions by Goldman, Sachs & Company and the forwarding or transmittal of contributions by Goldman, Sachs & Company to the Clinton for President Committee;

4. any communications between officers, employees, or agents of Goldman, Sachs & Company and the Clinton for President Committee regarding solicitations and/or contributions.

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FEDERAL ELECTION COMMISSION

FACTUAL AND LEGAL ANALYSIS

RESPONDENT: Goldman, Sachs & Co. MUR: 3672

A. Generation of Matter

In the ordinary course of carrying out its supervisory responsibilities, the Federal Election Commission has discovered that Goldman, Sachs & Co. may have violated the Federal Election Campaign Act of 1971, as amended ("the Act").

B. Legal Principles

1. Corporate Prohibitions

Pursuant to 2 U.S.C. § 441b, it is unlawful for any corporation to make a contribution or expenditure in connection with a Federal election, or for a candidate or political committee knowingly to accept such a contribution. It is also unlawful for any corporate officer or director to consent to any such contribution. This broad prohibition extends to "anything of value" given to a federal candidate or campaign. 2 U.S.C. § 441b(b)(2).

Although corporations are prohibited from making any such contribution, the Act exempts a corporation's internal communications with its executive and administrative personnel. 2 U.S.C. § 441b(b)(2)(A).[1] The Commission's

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1. Elaboration of the class of corporate employees that fall within this exception is found at 2 U.S.C. § 441b(b)(7) and 11 C.F.R. § 114.1(c) of the Commission's regulations.

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regulations thus permit a corporation to make partisan communications to its restricted class, including endorsing candidates and urging their support. 11 C.F.R. § 114.3(a)(1),(c)(1); Advisory Opinions 1987-29, 1982-2. The Commission has repeatedly made clear, however, that corporations may not step beyond the line of "communication" to actually collecting contribution checks or otherwise facilitating the making of contributions to a Federal candidate. See Advisory Opinions 1987-29, 1986-4, 1982-2, and 1977 Explanation and Justification (describing permissible corporate communication).

Other Commission regulations provide that employees of a corporation may make "occasional, isolated or incidental use of the facilities of a corporation for individual volunteer activity in connection with a Federal election...." 11 C.F.R. § 114.9(a)(1). Employees must reimburse the corporation only for any increased overhead or operating costs. Id. In MUR 1690, however, the Office of the General Counsel advised the Commission that "the plain intent of [11 C.F.R. § 114.9(a)]... excludes from the scope of 'individual volunteer activity' collective enterprises where the top executives of firms direct their subordinates in fundraising projects..., use resources of the corporation such as lists of vendors and customers..., solicit whole classes of corporate executives and employees..., or attempt to ensure that the corporation is the beneficiary of the candidate's appreciation...." General Counsel's Report dated October 2,

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1986.

In summary, where fundraising activities involve the use of corporate resources and facilities and such activities are beyond the safe harbor of the Act and regulation's exceptions, the corporation has contributed something "of value" to the beneficiary candidate, in violation of section 441b.

Additionally, the manner in which company fundraising from employees or vendors takes place may have consequences under other generally applicable provisions of the Act. Where contributions are "earmarked or otherwise directed through an intermediary or conduit to such candidate," the Act requires the "intermediary or conduit" to report such transmissions. 2 U.S.C. § 441a(a)(8). The Commission's regulations in this area, newly revised in 1989, define "conduit or intermediary" to include "any person who receives and forwards an earmarked contribution to a candidate," 11 C.F.R. § 110.6(b)(2), and explains that campaign employees, full-time volunteers, or individuals who have significant positions within the campaign who are expressly authorized to fundraise are not conduits for purpose of the regulations, 11 C.F.R. § 110.6(b)(2)(i)(A),(E).

Under these regulations, if a person collects contributions as a conduit, and exercises "direction or control" over the making of the contribution, the contribution is chargeable to the conduit's limit for the recipient candidate in addition to the limit of the actual

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donor.[2] 11 C.F.R. § 110.6(d)(2). This regulation was based on the 1974 Conference Committee Report on the earmarking provision at section 441a(a)(8), stating that "if a person exercises any direct or indirect control over the making of a contribution, then such contribution shall count toward the limitation imposed with respect to such person" under the Act. H.R. Conf. Rep. No. 93-1438, 93rd Cong., 2d Sess. 51 (1974), reprinted in Legislative History of the Federal Election Campaign Act Amendments of 1974, at 995, 996 (1977). Corporations are explicitly forbidden from acting as conduits for contributions, 11 C.F.R. § 110.6(b)(2)(ii). Nonetheless, following Congress' broadly expressed intent, corporations that exercise any control over contributions should be responsible for these contributions, i.e. the contributions themselves are chargeable to the corporation.

The Commission articulated this position in Advisory Opinion 1986-4. There, the Commission concluded that where a company collects contributions to candidates from its executives, actively persuading its executives to participate, and deciding what candidate would be supported and in what amount, the corporation would "exercise direction and control over the making of such earmarked contributions

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2. Furthermore, in AO 1980-46 the Commission stated that expenditures made by the conduit or intermediary for the purpose of solicitation are considered in-kind contributions to the candidate and are reportable as such. 2 U.S.C. § 434. Once the conduit collects and forwards the checks to the candidate, the candidate's acceptance of the checks constitutes acceptance of the costs incurred by the conduit in connection with the solicitation. See AO 1980-46.

as well as act as the conduit and intermediary for them."[3] It follows that if a company through its officers employs coercive tactics in order to collect contributions, it plainly exercises control over the contributions. "[Where] a job or livelihood may be jeopardized by a refusal to comply, and the contact between solicitor and solicitee may be direct and personal . . . the potential clearly exists for earmarked contributions to have been 'directed or controlled' by means of coercion, harassment, or undue pressure." Statement of Reasons, Commissioner Josefiak, MUR 2282, p. 14. Thus, in addition to contributing something "of value" by facilitating the making of contributions, under the settled principles discussed above, the resulting contributions would be chargeable to the company itself. Indeed, this conclusion should follow in any circumstances similar to that envisioned in AO 1986-4 where a company orchestrates a fundraising activity for the benefit of a specific candidate and asks a class of its employees to participate.

2. Federal Government Contractor Prohibition

Section 441c expressly prohibits any person who enters into a U.S. government contract directly or indirectly to make any contribution of money or other things of value, to any federal candidate. 2 U.S.C. § 441c(a)(1) and 11 C.F.R § 115.2. Additionally, section 441c makes it illegal for any

3. Section 441b's broad prohibition of "direct or indirect" gifts of anything of value, see 2 U.S.C. § 441b(b)(2), provides further support for this conclusion.

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person "knowingly to solicit any such contribution . . . " 2 U.S.C. § 441c(a)(2).

Pursuant to section 431(9)(A)(11), a partnership is considered a "person." As such, the regulations state: "[t]he assets of a partnership which is a Federal contractor may not be used to make contributions or expenditures in connection with Federal elections." 11 C.F.R. § 115.4(a). However, individual partners and employees of the partnership are permitted to make contributions in their own names from their personal assets. 11 C.F.R. § 115.4(b),(c). See also AO 1991-1.

Additionally, a federal contractor is prohibited from acting as a conduit. The regulations provide: "Any person who is prohibited from making contributions or expenditures in connection with an election for Federal office shall be prohibited from acting as a conduit for contributions earmarked to candidates or their authorized committees." 11 C.F.R. § 110.6(b)(2)(E)(ii).

In conclusion, neither corporations nor federal contractors are permitted to contribute anything of value to federal elections. Thus, the prohibitions which limit corporate activity similarly limit the activity of federal contractors.

3. Contribution Limitation

Pursuant to 2 U.S.C. § 441a(a)(1)(A), no person shall make contributions to any candidate and his or her authorized political committees with respect to any election to federal

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office which, in the aggregate, exceed $1,000. The Act defines "person" to include partnerships. 2 U.S.C. § 431(11).

C. Analysis

According to a news report, at least 60 partners of Goldman, Sachs & Co. contributed to Clinton for President. Kenneth Brody, reportedly the Clinton campaign's chief fundraiser in New York, is a limited partner of the firm and apparently was the person responsible for the contributions. According to the newspaper report, Brody stated, "[t]here is no central direction at all....It is solely the fact that they're my friends, not that they happen to be partners." Washington Post, April 20, 1992. The article, however, contrasts this statement with that of another partner, David DeLucia, who is listed as having contributed to the Clinton campaign but at first did not remember making the contribution: "'I may have' contributed, he said in a telephone interview last week, 'through another partner at our firm....Sometimes [partners] ask their friends to attend breakfasts or lunches to raise money for politicians.'" Washington Post, April 20, 1992.

The Commission's records show that all of the 65 Goldman, Sachs contributions totaling $55,800 were received on one of nine dates. Of this total, 29 contributions totaling $38,300 were received on December 13 and December 18, 1991. Goldman, Sachs partners have contributed nearly $56,000 to the Clinton campaign to date. The number and

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pattern of contributions suggests an organized solicitation of high-level Goldman, Sachs employees (partners) which may not fairly be attributed to an effort independent of the brokerage firm or an effort independent of Mr. Brody's employment at the brokerage firm. As such, Goldman, Sachs may have contributed something of value to the Clinton campaign.

Although Goldman, Sachs is a partnership and not a corporation, the brokerage firm may be a federal contractor prohibited from making any contribution to the Clinton campaign. This prohibition is analogous to the corporate prohibition set forth in 2 U.S.C. § 441b. In its request for an Advisory Opinion in 1985, Goldman, Sachs stated that it was under contract with the U.S. Department of Transportation.[4] As previously set forth, 2 U.S.C. § 441c prohibits federal contractors from contributing anything of value to federal candidates. If, as is suggested by the article, Goldman, Sachs contributed something "of value" to the Clinton campaign, the partnership may be in violation of section 441c. Therefore, there is reason to believe that Goldman, Sachs violated 2 U.S.C. § 441c.

If Goldman, Sachs is not a government contractor, an

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4. A corporate subsidiary of Goldman, Sachs Co. requested clarification as to its ability to establish a separate segregated fund since its stock is solely owned by Goldman, Sachs, a limited partnership which is a federal contractor. In its request, Goldman, Sachs asked the Commission to assume it would remain a federal contractor in the future.

issue presented is whether Goldman, Sachs should have reported to the Clinton campaign and to the Commission as a conduit. See 11 C.F.R. § 110.6(c)(1). In AO 1986-4, the Commission made clear that conduits receiving earmarked contributions must report their activity to the Commission in accordance with 2 U.S.C. § 441a(a)(8). As discussed above, it appears that the fundraising activity cannot be attributed to the individual volunteer effort of Kenneth Brody. Goldman, Sachs did not report as a conduit with respect to the contributions it may have collected on behalf of the Clinton campaign. Therefore, there is reason to believe Goldman, Sachs violated 2 U.S.C. § 441a(a)(8) and 11 C.F.R. § 110.6(c)(1).

The news reports do not clearly indicate the extent of Goldman, Sachs' contribution to the Clinton campaign. However, in AO 1980-46, the Commission set forth the principle that a conduit's indirect costs associated with the making of a contribution (e.g. in this case, company time, resources, and facilities) constitute in-kind contributions to the benefiting campaign. Further, the value of the firm's facilitation of contributions is measured not only by the direct costs involved. Cf. MUR 1690 (value of corporate facilitation of contributions measured in part by resulting contributions). Indeed, there may be a question whether the contributions themselves are chargeable to the partnership in view of the firm's possible orchestration of the contributions, 11 C.F.R. § 110.6(d); cf. AO 1986-4. For all

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of these reasons, there is reason to believe that Goldman, Sachs made an excessive contribution in violation of 2 U.S.C. § 441a(a)(1)(A).

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FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

November 4, 1992

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Clinton for President Committee
Robert Farmer, treasurer
P.O. Box 615
Little Rock, AR 72203

RE: MUR 3672
Clinton for President Committee
and Robert Farmer, as treasurer

Dear Mr. Farmer:

On October 20, 1992, the Federal Election Commission found that there is reason to believe that the Clinton for President Committee ("Committee") and you, as treasurer, violated 2 U.S.C. §§ 441a(f), 441c, and 11 C.F.R. § 110.6(c)(2), provisions of the Federal Election Campaign Act of 1971, as amended ("the Act") and the Commission's regulations. The Factual and Legal Analysis, which formed a basis for the Commission's finding, is attached for your information.

Under the Act, you have an opportunity to demonstrate that no action should be taken against the Committee and you, as treasurer. You may submit any factual or legal materials that you believe are relevant to the Commission's consideration of this matter. Statements should be submitted under oath. All responses to the enclosed Subpoena to Produce Documents must be submitted within 30 days of your receipt of this subpoena. Any additional materials or statements you wish to submit should accompany the response to the subpoena.

You may consult with an attorney and have an attorney assist you in the preparation of your responses to this subpoena. If you intend to be represented by counsel, please advise the Commission by completing the enclosed form stating the name, address, and telephone number of such counsel, and authorizing such counsel to receive any notifications or other communications from the Commission.

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In the absence of any additional information which demonstrates that no further action should be taken against the Committee and you, as treasurer, the Commission may find probable cause to believe that a violation has occurred and proceed with conciliation.

If you are interested in pursuing pre-probable cause conciliation, you should so request in writing. See 11 C.F.R. § 111.18(d). Upon receipt of the request, the Office of the General Counsel will make recommendations to the Commission either proposing an agreement in settlement of the matter or recommending declining that pre-probable cause conciliation be pursued. The Office of the General Counsel may recommend that pre-probable cause conciliation not be entered into at this time so that it may complete its investigation of the matter. Further, requests for pre-probable cause conciliation will not be entertained after briefs on probable cause have been mailed to the respondent.

Requests for extensions of time will not be routinely granted. Requests must be made in writing at least five days prior to the due date of the response and specific good cause must be demonstrated. In addition, the Office of the General Counsel ordinarily will not give extensions beyond 20 days.

This matter will remain confidential in accordance with 2 U.S.C. §§ 437g(a)(4)(B) and 437g(a)(12)(A), unless you notify the Commission in writing that you wish the investigation to be made public.

For your information, we have attached a brief description of the Commission's procedures for handling possible violations of the Act. If you have any questions, please contact Richard M. Zanfardino at (202) 219-3690.

Sincerely,

Joan D. Aikens

Joan D. Aikens
Chairman

Enclosures
Subpoena
Factual and Legal Analysis
Procedures
Designation of Counsel Form

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BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
) MUR 3672
)

SUBPOENA FOR PRODUCTION OF DOCUMENTS

TO: Clinton for President Committee
Robert Farmer, treasurer
P.O. Box 615
Little Rock, AR 72203

Pursuant to 2 U.S.C. § 437d(a)(3), and in furtherance of its investigation in the above-captioned matter, the Federal Election Commission hereby subpoenas you to produce the documents listed on the attachment to this subpoena. Legible copies which, where applicable, show both sides of the documents, may be substituted for originals. The documents must be submitted to the Office of the General Counsel, Federal Election Commission, 999 E Street, N.W., Washington, D.C. 20463, within 30 days of receipt of this subpoena.

WHEREFORE, the Chairman of the Federal Election Commission has hereunto set her hand in Washington, D.C., on this 4th day of November, 1992.

Joan D. Aikens
Joan D. Aikens, Chairman
Federal Election Commission

ATTEST:

Marjorie W. Emmons
Marjorie W. Emmons
Secretary to the Commission

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INSTRUCTIONS

In answering this request for production of documents, furnish all documents and other information, however obtained, including hearsay, that is in possession of, known by or otherwise available to you, including documents and information appearing in your records.

Should you claim a privilege with respect to any documents, communications, or other items about which information is requested by any of the following interrogatories and requests for production of documents, describe such items in sufficient detail to provide justification for the claim. Each claim of privilege must specify in detail all the grounds on which it rests.

Unless otherwise indicated, the discovery request shall refer to the time period from September 1, 1991 to the present.

The following request for production of documents is continuing in nature so as to require you to file supplementary responses or amendments during the course of this investigation if you obtain further or different information prior to or during the pendency of this matter. Include in any supplemental answers the date upon which and the manner in which such further or different information came to your attention.

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DEFINITIONS

For the purpose of these discovery requests, including the instructions thereto, the terms listed below are defined as follows:

"You" shall mean the named respondent in this action to whom these discovery requests are addressed, including all officers, employees, agents or attorneys thereof.

"Persons" shall be deemed to include both singular and plural, and shall mean any natural person, partnership, committee, association, corporation, or any other type of organization or entity.

"Document" shall mean the original and all non-identical copies, including drafts, of all papers and records of every type in your possession, custody, or control, or known by you to exist. The term document includes, but is not limited to books, letters, contracts, notes, diaries, log sheets, records of telephone communications, transcripts, vouchers, accounting statements, ledgers, checks, money orders or other commercial paper, telegrams, telexes, pamphlets, circulars, leaflets, reports, memoranda, correspondence, surveys, tabulations, audio and video recordings, drawings, photographs, graphs, charts, diagrams, lists, computer print-outs, and all other writings and other data compilations from which information can be obtained.

"Identify" with respect to a document shall mean state the nature or type of document (e.g., letter, memorandum), the date, if any, appearing thereon, the date on which the document was prepared, the title of the document, the general subject matter of the document, the location of the document, the number of pages comprising the document.

"Identify" with respect to a person shall mean state the full name, the most recent business and residence addresses and the telephone numbers, the present occupation or position of such person, the nature of the connection or association that person has to any party in this proceeding. If the person to be identified is not a natural person, provide the legal and trade names, the address and telephone number, and the full names of both the chief executive officer and the agent designated to receive service of process for such person.

"And" as well as "or" shall be construed disjunctively or conjunctively as necessary to bring within the scope of these interrogatories and requests for the production of documents any documents and materials which may otherwise be construed to be out of their scope.

DOCUMENT REQUESTS

Produce all documents in your possession that refer, relate, or in any way pertain to

a. fundraising activities in any way involving Goldman, Sachs, & Company and/or this entity's subsidiaries or affiliates

b. solicitation activity involving directors, officers, employees, or agents of any of the above-listed entities,

including but not limited to letters, envelopes, memos, internal correspondence, notes of telephone conversations, and records of oral and/or written communications.

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FEDERAL ELECTION COMMISSION

FACTUAL AND LEGAL ANALYSIS

RESPONDENTS: Clinton for President and Robert A. Farmer as treasurer

MUR: 3672

A. Generation of Matter

In the ordinary course of carrying out its supervisory responsibilities, the Federal Election Commission has discovered that the Clinton for President Committee and Robert A. Farmer, as treasurer, may have violated the Federal Election Campaign Act of 1971, as amended ("the Act").

B. Legal Principles

1. Corporate Prohibitions

Pursuant to 2 U.S.C. § 441b, it is unlawful for any corporation to make a contribution or expenditure in connection with a Federal election, or for a candidate or political committee knowingly to accept such a contribution. It is also unlawful for any corporate officer or director to consent to any such contribution. This broad prohibition extends to "anything of value" given to a federal candidate or campaign. 2 U.S.C. § 441b(b)(2).

Although corporations are prohibited from making any such contribution, the Act exempts a corporation's internal communications with its executive and administrative

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personnel. 2 U.S.C. § 441b(b)(2)(A).[1] The Commission's regulations thus permit a corporation to make partisan communications to its restricted class, including endorsing candidates and urging their support. 11 C.F.R. § 114.3(a)(1),(c)(1); Advisory Opinions 1987-29, 1982-2. The Commission has repeatedly made clear, however, that corporations may not step beyond the line of "communication" to actually collecting contribution checks or otherwise facilitating the making of contributions to a Federal candidate. See Advisory Opinions 1987-29, 1986-4, 1982-2, and 1977 Explanation and Justification (describing permissible corporate communication).

Other Commission regulations provide that employees of a corporation may make "occasional, isolated or incidental use of the facilities of a corporation for individual volunteer activity in connection with a Federal election...." 11 C.F.R. § 114.9(a)(1). Employees must reimburse the corporation only for any increased overhead or operating costs. Id. In MUR 1690, however, the Office of the General Counsel advised the Commission that "the plain intent of [11 C.F.R. § 114.9(a)]... excludes from the scope of 'individual volunteer activity' collective enterprises where the top executives of firms direct their subordinates in fundraising projects..., use resources of the corporation such as lists

1. Elaboration of the class of corporate employees that fall within this exception is found at 2 U.S.C. § 441b(b)(7) and 11 C.F.R. § 114.1(c) of the Commission's regulations.

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of vendors and customers..., solicit whole classes of corporate executives and employees..., or attempt to ensure that the corporation is the beneficiary of the candidate's appreciation...." General Counsel's Report dated October 2, 1986.

In summary, where fundraising activities involve the use of corporate resources and facilities and such activities are beyond the safe harbor of the Act and regulation's exceptions, the corporation has contributed something "of value" to the beneficiary candidate, in violation of section 441b.

Additionally, the manner in which company fundraising from employees or vendors takes place may have consequences under other generally applicable provisions of the Act. Where contributions are "earmarked or otherwise directed through an intermediary or conduit to such candidate," the Act requires the "intermediary or conduit" to report such transmissions. 2 U.S.C. § 441a(a)(8). The Commission's regulations in this area, newly revised in 1989, define "conduit or intermediary" to include "any person who receives and forwards an earmarked contribution to a candidate," 11 C.F.R. § 110.6(b)(2), and explains that campaign employees, full-time volunteers, or individuals who have significant positions within the campaign who are expressly authorized to fundraise are not conduits for purpose of the regulations, 11 C.F.R. § 110.6(b)(2)(i)(A),(E).

Under these regulations, if a person collects

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contributions as a conduit, and exercises "direction or control" over the making of the contribution, the contribution is chargeable to the conduit's limit for the recipient candidate in addition to the limit of the actual donor.[2] 11 C.F.R. § 110.6(d)(2). This regulation was based on the 1974 Conference Committee Report on the earmarking provision at section 441a(a)(8), stating that "if a person exercises any direct or indirect control over the making of a contribution, then such contribution shall count toward the limitation imposed with respect to such person" under the Act. H.R. Conf. Rep. No. 93-1438, 93rd Cong., 2d Sess. 51 (1974), reprinted in Legislative History of the Federal Election Campaign Act Amendments of 1974, at 995, 996 (1977). Corporations are explicitly forbidden from acting as conduits for contributions, 11 C.F.R. § 110.6(b)(2)(ii). Nonetheless, following Congress' broadly expressed intent, corporations that exercise any control over contributions should be responsible for these contributions, i.e. the contributions themselves are chargeable to the corporation.

The Commission articulated this position in Advisory Opinion 1986-4. There, the Commission concluded that where a company collects contributions to candidates from its

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2. Furthermore, in AO 1980-46 the Commission stated that expenditures made by the conduit or intermediary for the purpose of solicitation are considered in-kind contributions to the candidate and are reportable as such. 2 U.S.C. § 434. Once the conduit collects and forwards the checks to the candidate, the candidate's acceptance of the checks constitutes acceptance of the costs incurred by the conduit in connection with the solicitation. See AO 1980-46.

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executives, actively persuading its executives to participate, and deciding what candidate would be supported and in what amount, the corporation would "exercise direction and control over the making of such earmarked contributions as well as act as the conduit and intermediary for them."[3] It follows that if a company through its officers employs coercive tactics in order to collect contributions, it plainly exercises control over the contributions. "[Where] a job or livelihood may be jeopardized by a refusal to comply, and the contact between solicitor and solicitee may be direct and personal . . . the potential clearly exists for earmarked contributions to have been 'directed or controlled' by means of coercion, harassment, or undue pressure." Statement of Reasons, Commissioner Josefiak, MUR 2282, p. 14. Thus, in addition to contributing something "of value" by facilitating the making of contributions, under the settled principles discussed above, the resulting contributions would be chargeable to the company itself. Indeed, this conclusion should follow in any circumstances similar to that envisioned in AO 1986-4 where a company orchestrates a fundraising activity for the benefit of a specific candidate and asks a class of its employees to participate.

2. Federal Government Contractor Prohibition

Section 441c expressly prohibits any person who enters

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3. Section 441b's broad prohibition of "direct or indirect" gifts of anything of value, see 2 U.S.C. § 441b(b)(2), provides further support for this conclusion.

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into a U.S. government contract directly or indirectly to make any contribution of money or other things of value, to any federal candidate. 2 U.S.C. § 441c(a)(1) and 11 C.F.R. § 115.2. Additionally, section 441c makes it illegal for any person "knowingly to solicit any such contribution . . . " 2 U.S.C. § 441c(a)(2).

Pursuant to section 431(9)(A)(11), a partnership is considered a "person." As such, the regulations state: "[t]he assets of a partnership which is a Federal contractor may not be used to make contributions or expenditures in connection with Federal elections." 11 C.F.R. § 115.4(a). However, individual partners and employees of the partnership are permitted to make contributions in their own names from their personal assets. 11 C.F.R. § 115.4(b),(c). See also AO 1991-1.

Additionally, a federal contractor is prohibited from acting as a conduit. The regulations provide: "Any person who is prohibited from making contributions or expenditures in connection with an election for Federal office shall be prohibited from acting as a conduit for contributions earmarked to candidates or their authorized committees." 11 C.F.R. § 110.6(b)(2)(E)(ii).

In conclusion, neither corporations nor federal contractors are permitted to contribute anything of value to federal elections. Thus, the prohibitions which limit corporate activity similarly limit the activity of federal contractors.

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3. Liability of Campaign Committees

Section 441b(a) makes it illegal for political committees to knowingly accept or receive contributions from prohibited entities such as corporations. 2 U.S.C. § 441b(a). An analogous prohibition exists in the statutes governing federal contractors. 2 U.S.C. § 441c(a)(2) (unlawful for any person "knowingly to solicit" contributions from government contractors). In the case of fundraising by a corporation or a government contractor, if there is proof of the committee's knowledge of the circumstances under which the contributions were raised, there is a basis for finding that the committee knowingly accepted prohibited contributions.

Where individuals act on behalf of political committees and are given fundraising duties and roles, it suggests that these individuals may have been authorized to raise funds on behalf of the committee. See 11 C.F.R. § 102.8(b) (setting out obligations of "[e]very person who receives a contribution . . . for a political committee"); cf., 11 C.F.R. § 110.6(b)(2)(E)(describing persons who are not conduits); 11 C.F.R. § 109.1(b)(5)(definition of "agent" for purpose of making expenditures). In such a situation, under settled principles of agency law the committee is charged with the knowledge of its agents and may be liable for having knowingly accepted prohibited contributions.

Finally, pursuant to 2 U.S.C. § 441a(a)(1)(A), no person shall make contributions to any candidate and his or her authorized political committees with respect to any election

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to federal office which, in the aggregate, exceed $1,000. The Act defines "person" to include partnerships. 2 U.S.C. § 431(11). No candidate or political committee shall knowingly accept any contribution made in violation of section 441a. 2 U.S.C. § 441a(f).

C. Analysis

According to a news report, at least 60 partners of Goldman, Sachs & Co. contributed to Clinton for President. Kenneth Brody, reportedly the Clinton campaign's chief fundraiser in New York, is a limited partner of the firm and apparently was the person responsible for the contributions. According to the newspaper report, Brody stated, "[t]here is no central direction at all....It is solely the fact that they're my friends, not that they happen to be partners." Washington Post, April 20, 1992. The article, however, contrasts this statement with that of another partner, David DeLucia, who is listed as having contributed to the Clinton campaign but at first did not remember making the contribution: "'I may have' contributed, he said in a telephone interview last week, 'through another partner at our firm....Sometimes [partners] ask their friends to attend breakfasts or lunches to raise money for politicians.'" Washington Post, April 20, 1992.

The Commission's records show that all of the 65 Goldman, Sachs contributions totaling $55,800 were received on one of nine dates. Of this total, 29 contributions totaling $38,300 were received on December 13 and December

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18, 1991. Goldman, Sachs partners have contributed nearly $56,000 to the Clinton campaign to date. The number and pattern of contributions suggests an organized solicitation of high-level Goldman, Sachs employees (partners) which may not fairly be attributed to an effort independent of the brokerage firm or an effort independent of Mr. Brody's employment at the brokerage firm. As such, Goldman, Sachs may have contributed something of value to the Clinton campaign.

According to a news report, the Clinton campaign acknowledged the Goldman, Sachs contributions. The report quoted a Clinton campaign spokesman: "We try to comply with the law as best we know how. There are people at Goldman, Sachs that did not write checks." Washington Post April 20, 1992.

As previously set forth, 2 U.S.C. § 441c prohibits federal contractors from contributing anything of value to federal candidates. In its request for an Advisory Opinion in 1985, Goldman, Sachs stated that it was under contract with the U.S. Department of Transportation.[4] As a Goldman, Sachs partner, Kenneth Brody presumably possessed knowledge of Goldman, Sachs' status as a federal contractor. From the

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4. A corporate subsidiary of Goldman, Sachs Co. requested clarification as to its ability to establish a separate segregated fund since its stock is solely owned by Goldman, Sachs, a limited partnership which is a federal contractor. In its request, Goldman, Sachs asked the Commission to assume it would remain a federal contractor in the future.

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news reports, it appears that Brody initiated the fundraising activity on behalf of the Committee. If so, the Clinton campaign is chargeable with Brody's knowledge of Goldman, Sachs' status as a federal contractor. Therefore, there is reason to believe that the Clinton for President Committee and Robert A. Farmer, as treasurer, violated 2 U.S.C. § 441c.

Additionally, news reports indicate that Kenneth Brody may have been a fundraising agent for the Clinton campaign. If Goldman, Sachs is not a government contractor, an issue presented is whether the Clinton campaign should have disclosed the Goldman, Sachs contributions as received through a conduit, which it did not do. See 11 C.F.R. § 110.6(c)(2).

Finally, the campaign may have accepted excessive contributions from Goldman, Sachs. See 2 U.S.C. § 441a(f). The news reports do not clearly indicate the extent of Goldman, Sachs' contribution to the Clinton campaign. However, in AO 1980-46, the Commission set forth the principle that a conduit's indirect costs associated with the making of a contribution (e.g. in this case, company time, resources, and facilities) constitute in-kind contributions to the benefiting campaign. Further, the value of the firm's facilitation of contributions is measured not only by the direct costs involved. Cf. MUR 1690 (value of corporate facilitation of contributions measured in part by resulting contributions). Indeed, there may be a question whether the contributions themselves are chargeable to the partnership in

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view of the firm's possible orchestration of the contributions, 11 C.F.R. § 110.6(d); cf. AO 1986-4. In light of the circumstances, there is reason to believe the Clinton for President Committee and Robert A. Farmer, as treasurer, violated 11 C.F.R. § 110.6(c)(2) and 2 U.S.C. § 441a(f).

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STATEMENT OF DESIGNATION OF COUNSEL

MUR 3672

NAME OF COUNSEL: John M. Quinn, Kathleen M.H. Wallman, Geoffrey F. Aronow, Jeffrey S. Bromme, Julie S. Schrager

ADDRESS: Arnold & Porter
1200 New Hampshire Avenue, N.W.
Washington, D.C. 20036

TELEPHONE: (202) 872-6700

RECEIVED FEDERAL ELECTION COMMISSION OFFICE OF GENERAL COUNSEL
92 NOV 17 AM 11:27

The above-named individual is hereby designated as my counsel and is authorized to receive any notifications and other communications from the Commission and to act on my behalf before the Commission.

11/16/92
Date

Judah C. Sommer
Signature

RESPONDENT'S NAME: Judah C. Sommer, Vice President & Manager

ADDRESS: Goldman, Sachs & Co.
1101 Pennsylvania Avenue, N.W.
Washington, D.C. 20004

TELEPHONE: HOME(301) 229-7272
BUSINESS(202) 637-3760

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MYRA M. MUNSON

RECEIVED
FEDERAL ELECTION COMMISSION
SECRETARIAT

November 18, 1992

HAND DELIVERED

Richard M. Zanfardino, Esq.
Office of the General Counsel
Federal Election Commission
999 E Street, N.W.
Room 657
Washington, D.C. 20463

Re: MUR 3672

Dear Mr. Zanfardino:

I am enclosing a Statement of Designation of Counsel on behalf of Kenneth D. Brody, a respondant in the above-captioned MUR.

Sincerely,

Donald J. Simon

DJS/cmt
Enclosure

9704380038

STATEMENT OF DESIGNATION OF COUNSEL

MUR 3672

NAME OF COUNSEL: Donald J. Simon

ADDRESS: Sonosky, Chambers, Sachse & Endreson
1250 Eye Street, N.W., Suite 1000
Washington, D. C. 20005

TELEPHONE: (202) 682-0240

The above-named individual is hereby designated as my counsel and is authorized to receive any notifications and other communications from the Commission and to act on my behalf before the Commission.

November 13, 1992
Date

Kenneth D. Brody
Signature

RESPONDENT'S NAME: Kenneth Brody

ADDRESS: c/o Petrus Partners, Ltd.
730 Fifth Avenue
New York, New York 10019

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November 23, 1992

MUR 3672

Mr. Richard Zanfardino
Office of the General Counsel
Federal Election Commission
Room 657
999 E Street, N.W.
Washington, D.C. 20463

Dear Richard:

Thank you for meeting with us on Tuesday. We found the discussion to be useful and demonstrated a mutual desire to be cooperative.

As we discussed, we will be contacting the partners of Goldman Sachs to determine whether there exist any documents that are responsive to the subpoena. We understand that this will be regarded as adequate compliance at this stage.

Please contact us if our understanding of our discussion does not match yours in any respect. Thanks again for seeing us.

Sincerely,

Geoffrey F. Aronow
Kathleen M.H. Wallman

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OGC 1743

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MYRA M. MUNSON

December 9, 1992

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FEDERAL ELECTION COMMISSION

Lawrence M. Noble, Esquire
General Counsel
Federal Election Commission
999 E Street, N.W.
Washington, D.C. 20463

Re: MUR 3672

Dear Mr. Noble:

This firm represents Kenneth D. Brody, a respondent in the above-captioned MUR. I am writing in response to the Commission's determination that there is reason to believe Mr. Brody violated 2 U.S.C. §441c by soliciting contributions from a federal contractor.

This determination is groundless. The Factual and Legal Analysis which forms the predicate for this determination is apparently based on no more than a single newspaper article discussing contributions made to the Clinton for President Committee by some partners at Goldman, Sachs & Co.

The gravamen of the Commission's analysis is that Mr. Brody knowingly solicited "contributions" to the Clinton campaign from Goldman, Sachs, a purported federal contractor.[1] The

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1/ Goldman, Sachs is a partnership. The Commission offers no basis for its assumption that Goldman, Sachs is currently a federal contractor, other than a representation, made seven years ago, that the firm had a single federal contract at that time. Even if the Commission's supposition about the firm's

(continued...)

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Commission's Factual and Legal Analysis is imprecise as to what was "contributed" by the firm.

If the speculation, assumption and hypothesis in the Analysis is set aside, the only fact discussed is that some 60 of the firm's more than 140 partners made individual contributions to the Clinton campaign which, in aggregate, totalled a little less than $56,000. The Analysis then juxtaposes Mr. Brody's statement in the press that there was no "central direction" to the solicitation of these contributions, with the unremarkable comment of one of his partners who said simply that he could not specifically remember making a contribution. From these thin facts, the Commission has concluded that there is reason to believe "an organized solicitation" occurred, an effort which the Analysis claims must have impermissibly involved institutional resources because -- without explaining why -- the solicitation "may not fairly" be attributed to an effort "independent of the firm."

The Commission's leap of logic is wrong. The facts relating to Mr. Brody are set forth in an accompanying Affidavit, and demonstrate the following:

First, Mr. Brody, an early supporter of Governor Clinton, served as chair of the New York fundraising effort for the Clinton campaign. Brody Aff. at ¶3. Prior to assuming this post in October, 1991, Mr. Brody announced his intention to change his status at Goldman, Sachs from that of general partner to limited partner, a change that is the equivalent of retiring from the firm. Id. at ¶2.

Second, Mr. Brody's volunteer efforts on behalf of the Clinton campaign were not instigated or directed by the firm, or officially endorsed by the firm. Mr. Brody's campaign work was entirely individual and voluntary. Id. at ¶4.

Third, the contributions to the Clinton campaign from partners at Goldman, Sachs were not a result of any organized, institutional solicitation, nor in any way centrally coordinated

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1/(...continued)
status is correct, there is no evidence that Mr. Brody was aware that Goldman, Sachs is a federal contractor. As he states in his Affidavit, Mr. Brody was aware of the one federal contract that the Commission cites in its Analysis, but he was also aware that the contract was no longer outstanding. Brody Aff. at ¶9.

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or managed by the firm. Mr. Brody solicited many, but not all, of the contributions made by partners at Goldman, Sachs. Id. at ¶7. He solicited substantially fewer than all of the partners at the firm, and by no means did all of the partners he solicited make contributions. Id. In no way did Mr. Brody explicitly or implicitly coerce his partners to contribute. Id. Even if one were to assume that somehow it is inherently coercive for one general partner to solicit another -- a proposition of law the Commission has never stated and a premise we strongly reject -- that would not be an issue here. Mr. Brody announced his intention to become a limited partner well before his volunteer work for the campaign began; his publicly known status as a limited partner removed whatever institutional leverage he might otherwise have wielded, even if he had been of a mind to attempt to exercise coercive influence on his partners. Id.

Mr. Brody solicited not only some of his partners at Goldman, Sachs, but also many partners at other investment banking firms, law firms and other institutions throughout New York. Id. at ¶8. That was his role as chief campaign fundraiser in New York. He did not rely on lists of Goldman, Sachs vendors or customers in making his solicitations; rather he solicited, by and large, his extensive network of personal contacts both inside and outside Goldman, Sachs based on years of experience as a prominent banker in New York financial circles. Id.

In short, Mr. Brody did not design or participate in any organized, institutional effort on behalf of Goldman, Sachs to raise money for the Clinton campaign. Rather, he acted as an individual volunteer for the campaign and conducted fundraising both inside and outside his firm. Nothing Mr. Brody did amounted to solicitation of a contribution from Goldman, Sachs, the only charge against him made in the reason to believe determination.

Accordingly, we request that the Commission determine to take no further action against Mr. Brody in this matter.

Respectfully,

Donald J. Simon
Attorney for Kenneth D. Brody

DJS/cmt

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BEFORE THE FEDERAL ELECTION COMMISSION

In re: MUR 3672

AFFIDAVIT OF KENNETH D. BRODY

Kenneth D. Brody, being duly sworn, hereby deposes and says:

1. Goldman, Sachs & Co. is a partnership that engages in investment banking. I began my employment with Goldman, Sachs in July, 1971 and became a general partner in November, 1978.

2. In July, 1991, I announced my decision to become a limited partner of the firm as of November 30, 1991. This announcement was widely known within Goldman, Sachs and was reported in the press. Assuming limited partner status is the equivalent of retiring from the firm. Although a limited partner maintains a financial interest in the firm, he or she no longer shares in the profits of the firm or actively participates in the business of the firm, and is under no obligation to conduct any business on behalf of the firm.

3. After the announcement of my intent to become a limited partner, I was named New York finance chairman for the Clinton for President campaign. I began serving in this role in October, 1991. I also served on the national finance committee of the Clinton campaign. In both capacities I served as a volunteer for the campaign.

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4. My volunteer efforts on behalf of the Clinton campaign were motivated solely by my individual support of Governor Clinton. At no time did anyone in Goldman, Sachs urge me to work for the Clinton campaign and no official in the firm directed or even encouraged my efforts. I did not seek, nor did I receive, approval from the firm before starting my volunteer work for the campaign.

5. The time I devoted to my volunteer efforts for the campaign did not detract from performing my responsibilities at the firm. As a general partner, I had substantial discretion over my time and activities. Once I became a limited partner, I had no responsibility to work on firm matters.

6. Most of my work as a volunteer fundraiser for the Clinton campaign was devoted to soliciting contributions to the campaign. For this, I relied on my network of friends, associates and business contacts that I had developed over the past 20 years as an active member of the New York financial community. Virtually all of my solicitations were made by telephone.

7. Among those I solicited were some, but not all, of my partners at Goldman, Sachs. There was no institutional pressure or encouragement from the firm for partners to contribute to the campaign. I had no ability to pressure my partners to contribute because, as a limited partner (or soon to

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become one), I had little or no remaining authority within the firm. Certainly, not all of the partners I solicited within the firm contributed to the campaign. Many said no.

8. Just as I solicited many of my contacts within Goldman, Sachs, so too I solicited contributions from many people outside the firm, including acquaintances of mine at investment banks, law firms and other institutions throughout New York.

9. At no time during my volunteer activities did I have any knowledge that Goldman, Sachs is a federal contractor. Although I was aware that the firm had been a federal contractor in a matter involving Conrail some years earlier, I knew that matter had terminated well before my volunteer activity for the campaign began.

Further affiant sayeth not.

Kenneth D. Brody

Kenneth D. Brody

Sworn to and subscribed before me this 7TH day of DECEMBER, 1992.

Notary public

My Commission Expires October 14, 1996

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OGC 7846

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011-813-3221-3590

December 9, 1992

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RECEIVED
FEDERAL ELECTION COMMISSION

Lawrence M. Noble, Esq.
General Counsel
Federal Election Commission
999 E Street, N.W.
Washington, D.C. 20463

Re: MUR 3672 Goldman, Sachs & Company

Dear Mr. Noble:

Enclosed are documents submitted in response to the November 4, 1992 subpoena that the Federal Election Commission ("FEC") issued in MUR 3672 to Goldman, Sachs & Co. ("Goldman Sachs"). Goldman Sachs received the subpoena on November 9, 1992. See November 23, 1992 letter from Geoffrey F. Aronow and Kathleen M.H. Wallman to Richard Zanfardino.

The "Factual and Legal Analysis" ("Analysis") that accompanied your November 4, 1992 letter asserts that Goldman Sachs "may have violated" certain provisions of the Federal Election Campaign Act of 1971 and its regulations (the "Act") by making a contribution or excessive contribution to the Clinton campaign or by acting as an unreported "conduit" in forwarding to the Clinton campaign contributions made by others. The thrust of the Analysis is that the fundraising activities of Mr. Kenneth Brody, a general partner of Goldman Sachs until November 29, 1991, when he became a limited partner, "may not fairly be attributed to an effort independent of the brokerage firm or an effort independent of Mr. Brody's employment at the brokerage firm." Pages 7-8.[1] This assertion appears to have

[1] The Analysis asserts that Goldman Sachs is a federal contractor. Although the discussion in this letter is not affected by this assertion, the activity on behalf of the Department of Transportation discussed in Goldman

[Footnote continued on next page]

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arisen entirely from an article in the April 20, 1992 issue of the Washington Post regarding contributions to the Clinton campaign by various persons, including some Goldman Sachs partners.

Goldman Sachs is not aware of any support for this assertion. As confirmed by Mr. Brody's affidavit filed with his response to the similar November 4, 1992 letter that he received from the FEC, the inference based upon the Post article is mistaken. The fundraising by Mr. Brody among his friends and acquaintances, including but by no means limited to the Goldman Sachs partners described in the April 20 Washington Post article, was the volunteer political activity of Mr. Brody, as stated in his affidavit. Brody Aff. ¶¶ 3,4. As such, it does not constitute a contribution by Goldman Sachs to the Clinton campaign. As the Analysis acknowledges, "[I]ndividual partners and employees of the partnership are permitted to make contributions in their own names from their personal assets." Page 6.

Mr. Brody was an early supporter of Governor Clinton and served as New York finance chair for the Clinton campaign. Brody Aff. ¶ 3. Until November 29, 1991, Mr. Brody was a general partner of Goldman Sachs. General partners have discretion to engage in volunteer activity, such as charitable or political volunteer work. On July 25, 1991, it had been announced that Mr. Brody would retire as a general partner and take limited partner status. His retirement as a general partner was effective at the close of business on November 29, 1991; since that date, he has been a limited partner. As a limited partner, under the partnership agreement, he is a passive investor in Goldman Sachs, without any obligation to devote any time or attention to, or any influence or involvement in, the day-to-day operations of Goldman Sachs or its subsidiaries and affiliates. Therefore, the time that Mr. Brody spent on his volunteer fundraising for the Clinton campaign, both as a general partner and subsequently as a limited partner, was at his own discretion and in his personal capacity and was not at the expense of the normal work that he

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[Footnote continued from previous page]
Sachs' request for an advisory opinion in 1985 and referenced in the Analysis ended no later than 1987.

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was expected to perform. Mr. Brody's efforts on behalf of the Clinton campaign were not instigated or coordinated by Goldman Sachs. Brody Aff. ¶ 4.

Moreover, the Goldman Sachs partners whom Mr. Brody solicited were simply part of a much larger group of persons, consisting primarily of persons having no affiliation with Goldman Sachs, from whom Mr. Brody solicited contributions on behalf of the Clinton campaign. Brody Aff. ¶¶ 6,7. Indeed, Mr. Brody did not solicit contributions from all Goldman Sachs partners, and not all the partners that he did solicit contributed to the Clinton campaign in response. Id. Mr. Brody did not construct or lead any de facto "official" Goldman Sachs fundraising effort for the Clinton campaign. Nor is Goldman Sachs aware of any other circumstances that would support a finding of such an effort.

Goldman Sachs has made a good faith effort to locate all nonprivileged, responsive documents. See Bates-stamped nos. 1 through 19. In that regard, the subpoena is ambiguous insofar as it lacks definitions of certain key terms used in the document requests. Goldman Sachs, therefore, has construed the subpoena in a reasonable and good faith manner in light of the often ambiguous statutes, regulations, advisory opinions and other sources of guidance that may impact its meaning. Nevertheless, in an effort to be as cooperative and forthcoming as possible, Goldman Sachs is voluntarily producing nonprivileged documents (Bates-stamped nos. 20 through 218), discovered in the course of the effort to respond to the subpoena, related to volunteer activities by certain partners.[2]

Included are communications to the Clinton for President Committee related to certain office expenses (and some telephone calls from his home) incidental to volunteer work by Mr. Robert Rubin. Payment for these expenses has been received (see Bates-stamped nos. 25, 26). Because no written communications with the Committee regarding certain expenses accumulated through Mr. Brody's office were found, Goldman Sachs is providing Mr. Brody's underlying travel and entertainment reports reflecting such expenses. Goldman

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[2] Document Bates-stamped no. 29, dated November 24, 1992, was sent on December 9, 1992.

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Sachs believes that payment has been received for these expenses as well.

The limited number of documents that Goldman Sachs found responsive to the subpoena reflects the fact that there was no concerted activity by Goldman Sachs to raise funds on behalf of the Clinton campaign.

Goldman Sachs is providing the enclosed documents, all of which are stamped "confidential," without waiver of any objection that it may have to the subpoena. Although Goldman Sachs believes that its file search is substantially complete, if additional nonprivileged, responsive documents are located, Goldman Sachs will of course forward them to you as quickly as possible.

Please do not hesitate to contact us if you require further information.

Sincerely,

Geoffrey F. Aronow
Kathleen M.H. Wallman

Enclosures

9704380305C

CONFIDENTIAL

December 20, 1991

<name>
<address>

Dear <salutation>:

Our dinner for Bill Clinton last week was a major success and you made a real difference. To put the $260,000.00 we raised in perspective, it doubled what he raised in Los Angeles and quadrupled what the next closest Democratic contender is said to have raised this year in a single event in New York. The national finance effort appears to be rapidly approaching its three million dollar December 31 goal.

On the political front, Bill is starting to separate himself from the field. The country seems to be ready for a compassionate centrist who has demonstrated an enormous capacity to be thoughtful about the country's problems and opportunities. Although the race will undoubtedly take many twists and turns in 1992, come what may, our support is meaningful to a truly worthy candidate.

Paul Carey joins me in thanking you very much for your hard work and results. And Paul reminds me, there is still plenty of time left to add to the Clinton campaign coffers.

Best regards.

Sincerely,

Kenneth D. Brody

9704380305 1

DAILY LOG SHEET

OPERATOR: Craig Marohn

Sunday, December 22
4pm - 1130pm
19th Floor

20000

AUTHOR	PROJECT	DOCUMENT NAME	DESCRIPTION	NUMBER OF PAGES	START/FINISHED
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		summary of kpl	tr	2	610/630
kd brody	rsorvel	committee thank you	tr	1	9/905
		committee merge list	tr		905/940

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CC = Copy & Change
CI = Client Invoice
CS = Contact Sheet
LD = Line Draw
P = Print Data
PRN
SI = Stat Input
SR = Stat Revisions
TI = Text Input
TR = Text Revisions

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9704380305 3

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<NAME>Mikael and Beth
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<ADDRESS>Mr. Claude Ballard
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<ADDRESS>Mr. and Mrs. Geoffrey Boisi
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<ADDRESS>Mr. Jonathan Cohen
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<NAME>Rich_
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<ADDRESS>Mr. Michael Mcrtara
<NAME>Mike
<>
<ADDRESS>Mr. Daniel Neidich
<NAME>Dan
<>
<ADDRESS>Mrs. Robin Ilgen Neustein
<NAME>Robin
<>
<ADDRESS>Mr. Willard Overlock
<NAME>Mike
<>
<ADDRESS>Mr. and Mrs. Steve Ross
<NAME>Steve and Nancy
<>
<ADDRESS>Mr. David Silfen
<NAME>David
<>
<ADDRESS>Mr. John Thain
<NAME>John
<>
<ADDRESS>Mr. Gary Wenglowski
<NAME>Gary
<>
<ADDRESS>Ms. Laurie Cohen
<NAME>Laurie
<>
<ADDRESS>Mr. Stuart Schlesinger
<NAME>Stu
<>
<ADDRESS>Mr. Roger Aaron
<NAME>Roger
<>
<ADDRESS>Mr. Chris Forrester
<NAME>Chris
<>
<ADDRESS>Mr. Frank Smeal
<NAME>Frank
<>
<ADDRESS>David Shaw
<NAME>David
<>

9704380300062

<ADDRESS>Mr. Claude Ballard
Goldman Sachs & Co.
85 Broad Street
New York, NY 10004
<NAME>Claude
<>
<ADDRESS>Mr. and Mrs. Geoffrey Bolai
Goldman Sachs & Co.
85 Broad Street
New York, NY 10004
<NAME>Geoff and Rene
<>
<ADDRESS>Mr. Jonathan Cohen
Goldman Sachs & Co.
85 Broad Street
New York, NY 10004
<NAME>Jon
<>
<ADDRESS>Mr. and Mrs. Leon Cooperman
Goldman Sachs & Co.
85 Broad Street
New York, NY 10004
<NAME>Leon and Toby
<>
<ADDRESS>Mr. Robert Cummings
Goldman Sachs & Co.
85 Broad Street
New York, NY 10004
<NAME>Bob
<>
<ADDRESS>Mr. David DeLucia
Goldman Sachs & Co.
85 Broad Street
New York, NY 10004
<NAME>David
<>
<ADDRESS>Mr. Peter Fahey
Goldman Sachs & Co.
85 Broad Street
New York, NY 10004
<NAME>Peter
<>
<ADDRESS>Mr. David Georgs
Goldman Sachs & Co.
85 Broad Street
New York, NY 10004
<NAME>David
<>
<ADDRESS>Mr. Robert Giordano
Goldman Sachs & Co.
85 Broad Street
New York, NY 10004
<NAME>Bob

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<>
<ADDRESS>Ms. Robin Illgen-Neustein
Goldman Sachs & Co.
85 Broad Street
New York, NY 10004
<NAME>Robin
<>
<ADDRESS>Mr. Paul Jacobsen
Goldman Sachs & Co.
85 Broad Street
New York, NY 10004
<NAME>Paul
<>
<ADDRESS>Mr. Robert Katz
Goldman Sachs & Co.
85 Broad Street
New York, NY 10004
<NAME>Bob
<>
<ADDRESS>Mr. Jim Kautz
Goldman Sachs & Co.
85 Broad Street
New York, NY 10004
<NAME>Jim
<>
<ADDRESS>Mr. Michael Lynch
Goldman Sachs & Co.
85 Broad Street
New York, NY 10004
<NAME>Mike
<>
<ADDRESS>Mr. and Mrs. Eff Martin
Goldman Sachs & Co.
85 Broad Street
New York, NY 10004
<NAME>Pat and Eff
<>
<ADDRESS>Mr. Robert Menschel
Goldman Sachs & Co.
85 Broad Street
New York, NY 10004
<NAME>Bob
<>
<ADDRESS>Mr. Gaetano Muzio
Goldman Sachs & Co.
85 Broad Street
New York, NY 10004
<NAME>Guy
<>
<ADDRESS>Mr. and Mrs. Robert Rubin
Goldman Sachs & Co.
85 Broad Street
New York, NY 10004

9704380306 4

<NAME>Bob and Judy
<>
<ADDRESS>Mr. and Mrs. Eric Sheinberg
Goldman Sachs & Co.
85 Broad Street
New York, NY 10004
<NAME>Eric
<>
<ADDRESS>Mr. Tom Tuft
Goldman Sachs & Co.
85 Broad Street
New York, NY 10004
<NAME>Tom
<>
<ADDRESS>Mr. Barry Zubrow
Goldman Sachs & Co.
85 Broad Street
New York, NY 10004
<NAME>Barry
<>
<ADDRESS>Mr. Roy Zuckerberg
Goldman Sachs & Co.
85 Broad Street
New York, NY 10004
<NAME>Roy
<>
<ADDRESS>Mr. A. Richard Moore
Goldman Sachs & Co.
85 Broad Street
New York, NY 10004
<NAME>Richard
<>
<ADDRESS>Mr. Edward Spiegel
Goldman Sachs & Co.
85 Broad Street
New York, NY 10004
<NAME>Ed
<>
<ADDRESS>Mr. Richard Friedman
Goldman Sachs & Co.
85 Broad Street
New York, NY 10004
<NAME>Rich
<>
<ADDRESS>Mr. Jon Corzine
Goldman Sachs & Co.
85 Broad Street
New York, NY 10004
<NAME>Jon
<>
<ADDRESS>Mr. Charles Davis
Goldman Sachs & Co.
85 Broad Street

9704380300065

New York, NY 10004
<NAME>Chuck
<>
<ADDRESS>Mr. Christopher Flowers
Goldman Sachs & Co.
85 Broad Street
New York, NY 10004
<NAME>Chris
<>
<ADDRESS>Mr. Richard Hayden
Goldman Sachs & Co.
85 Broad Street
New York, NY 10004
<NAME>Rich
<>
<ADDRESS>Mr. Thomas Healey
Goldman Sachs & Co.
85 Broad Street
New York, NY 10004
<NAME>Tom
<>
<ADDRESS>Mr. David Leuschen
Goldman Sachs & Co.
85 Broad Street
New York, NY 10004
<NAME>David
<>
<ADDRESS>Mr. John McNulty
Goldman Sachs & Co.
85 Broad Street
New York, NY 10004
<NAME>John
<>
<ADDRESS>Mr. Michael Montana
Goldman Sachs & Co.
85 Broad Street
New York, NY 10004
<NAME>Mike
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<ADDRESS>Mr. Daniel Neidich
Goldman Sachs & Co.
85 Broad Street
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<ADDRESS>Mrs. Robin Ilgen Neustein
Goldman Sachs & Co.
85 Broad Street
New York, NY 10004
<NAME>Robin
<>
<ADDRESS>Mr. Willard Overlock
Goldman Sachs & Co.

9704380300666

85 Broad Street
New York, NY 10004
<NAME>Mike
<>
<ADDRESS>Mr. David Silfen
Goldman Sachs & Co.
85 Broad Street
New York, NY 10004
<NAME>David
<>
<ADDRESS>Mr. John Thain
Goldman Sachs & Co.
85 Broad Street
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<NAME>John
<>
<ADDRESS>Mr. Gary Wenglowski
Goldman Sachs & Co.
85 Broad Street
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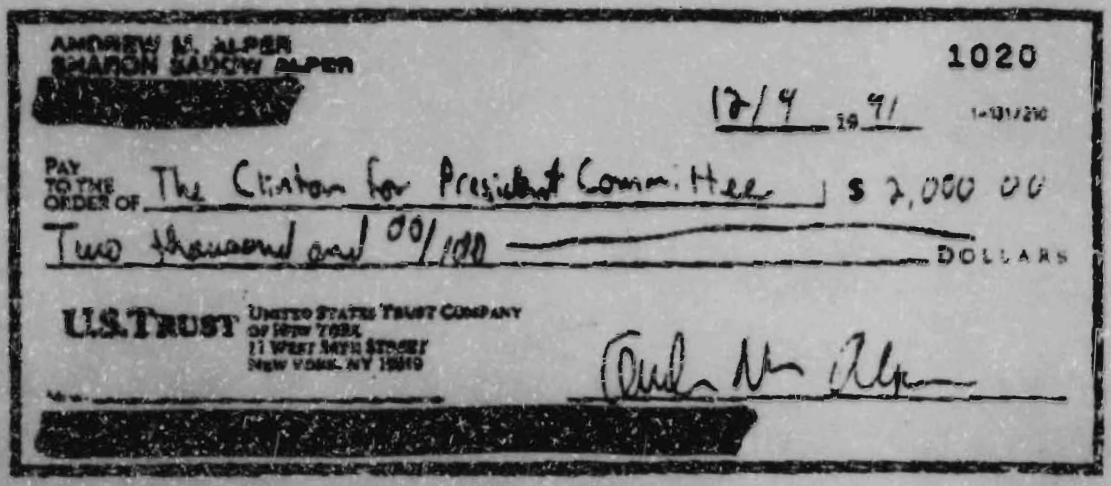
<NAME>Gary
<>
<ADDRESS>Mr. Stuart Schleinger
Goldman Sachs & Co.
85 Broad Street
New York, NY 10004
<NAME>Stu
<>
<ADDRESS>Mr. Chris Forrester
Goldman Sachs & Co.
85 Broad Street
New York, NY 10004
<NAME>Chris
<>
<ADDRESS>Mr. Frank Smeal
Goldman Sachs & Co.
85 Broad Street
New York, NY 10004
<NAME>Frank
<>

9704380030067

CONFIDENTIAL



ANDREW M. ALPER
SHARON SADOW ALPER
[redacted]

1020

12/4 19 91

PAY TO THE ORDER OF The Clinton for President Committee $ 2,000 00

Two thousand and 00/100 DOLLARS

U.S. TRUST UNITED STATES TRUST COMPANY OF NEW YORK 11 WEST 54TH STREET NEW YORK, NY 10019

[redacted]

9704380030068

00018

Politician: Bill Clinton D-DC
Committee: Clinton for President
Office: President

Contributor	Election Year	Fund	Memo Date	Requested Amount	Contribution Amount	Contribution Date	Disbursement Date
Andrew Alper	1992	7I4F	12/09/91	1,000.00	1,000.00	12/09/91	12/09/91
Sharon Alper	1992	7I4F	12/09/91	1,000.00	1,000.00	12/09/91	12/09/91
			Subtotals:	2,000.00	2,000.00		
			Totals:	2,000.00	2,000.00		

97043800309

CONFIDENTIAL

October 27, 1992

Memorandum to: Christina Varney

From: James B. McHugh

Re: Campaign Expenses

As we discussed, I am sending an analysis of certain expenses incurred by Bob Rubin for which he would like reimbursement from the campaign. As you suggested, I have divided the expenses into those pre- and post- the nominating convention.

As we compile additional expenses, I will notify you.

If you require any further information, please let me know. Bob would be grateful if you could expedite the reimbursement. The reimbursement check (in the name of Robert E. Rubin) should be sent to him at 85 Broad Street, New York, New York 10004.

J.B.M.

Attachment

cc: Robert E. Rubin

9704380307C

CONFIDENTIAL

ANALYSIS OF EXPENSES

Pre-Convention

Telephone	$ 76.32

Post-Convention

Telephone		$ 749.93
Facsimile		987.38
Courier		50.95
Photocopy		15.00
Travel		
Taxi to and from New Haven, CT	$ 229.50	
Air Fare (Boston/Chicago Chicago/New York)	807.50	
Taxis	67.00	
	$1,104.00	
Less $1,000 allowance for unreimbursed expenses	(1,000.00)	
	104.00	
TOTAL TRAVEL		104.00
TOTAL POST-CONVENTION		$1,907.26
TOTAL PRE-CONVENTION AND POST-CONVENTION		$1,983.58

9704380307 1

CONFIDENTIAL

October 30, 1992

Memorandum to: Christine Varney

From: James B. McHugh

Re: Campaign Expenses

I enclose an analysis of campaign expenses incurred by Bob Rubin in addition to those outlined in my October 27 memo. All expenses were incurred after the nominating convention. As we compile additional expenses, I will notify you. Payment instructions remain the same.

J.B.M.

cc: Robert E. Rubin
Robert J. Katz

enc.

9704380030072

ANALYSIS OF EXPENSES

Telephone	$ 7.66
Facsimile	936.00
Courier	$ 8.95
Total	$952.61

9704380300773

CONFIDENTIAL

CLINTON FOR PRESIDENT COMMITTEE

14082

Ref.	Inv. Number	Inv. Date	Inv. Amount	Amount Paid
26121	103092	11/12/92	52.61	52.61

TRX DESCRIPTION: Pris Exp

$52.61

CLINTON FOR PRESIDENT COMMITTEE
PHONE (501) 372-1992
P.O. BOX 915
LITTLE ROCK, AR 72203

WORTHEN NATIONAL BANK
LITTLE ROCK, AR 72209
31-7-[illegible]

14082

11/12/92 $**********52.61

**********52 DOLLARS AND 61 CENTS

DATE AMOUNT

AY
O THE
DER

Robert E. Rubin
65 Broad St

New York, NY 10004

TWO SIGNATURES REQUIRED IF OVER $2000.00

⑈014082⑈ ⑆082000073⑆ 008941-126-5⑈

9704380307 4

00026

CONFIDENTIAL

Deposited
Citibank
11/10/92

CLINTON FOR PRESIDENT COMMITTEE 14008

Ref.	Inv. Number	Inv. Date	Inv. Amount	Amount Paid
[illegible]	[illegible]	10/28/92	76.32	76.32

THE DESCRIPTION: Telephone Exp

$76.32

CLINTON FOR PRESIDENT COMMITTEE
PHONE (501) 372-1992
P.O. BOX 516
LITTLE ROCK, AR 72203

WORTHEN NATIONAL BANK
LITTLE ROCK, AR 72203
81-7-920

14008

10/28/92 **********76.32

DATE AMOUNT

**********76 DOLLARS AND 32 CENTS

AY
O THE
RDER
J

Robert E. Rubin
63 Broad Street
New York, NY 10004

TWO SIGNATURES REQUIRED IF OVER $2000.00

[signature]

⑈014008⑈ ⑆082000073⑆ 008941-326-5⑈

9704380307 5

09025

CONFIDENTIAL

1124

CLINTON CAMPAIGN GENERAL ELECTION
LEGAL & ACCOUNTING COMPLIANCE FUND
P.O. BOX 615
LITTLE ROCK, AR 72203

10-28 19 92

PAY TO THE ORDER OF Robert E. Rubin, 85 Broad St., NY, NY, 10004 $ 1,907.26

One thousand nine hundred seven dollars & 26/100 DOLLARS

WORTHEN
LITTLE ROCK, ARKANSAS 72203

FOR Reimburse post convention expenses

⑈001124⑈ ⑆082000073⑆ 008607-535-8⑈

9704380307 6

CONFIDENTIAL

ROBERT E. RUBIN 1553

Oct 21 19 92

PAY TO THE ORDER OF Goldman Sachs & Co. $ 1,875 92/100

One Thousand Eight Hundred Seventy Five and 92/100 DOLLARS

Citibank, N.A.
Citicorp Center
153 East 53rd Street
New York, N.Y. 10043

CITICORP CITIBANK

FOR Reimbursement GS

97043803077

00027

CONFIDENTIAL

9704380307 8

ROBERT E. RUBIN

1559

Nov. 2 19 92

PAY TO THE ORDER OF Goldman, Sachs & Co $ 49-18/100

Forty Nine and 18/100 DOLLARS

Citibank, N.A.
Citicorp Center
153 East 53rd Street
New York, N.Y. 10043

CITICORP CITIBANK
Private Banking Group

FOR Reimbursement Co Ds

[redacted]

00028

Goldman, Sachs & Co. | 85 Broad Street | New York, New York 10004
Tel: (212) 902-1000

Kent R. Bain
Vice President
General Services

November 24, 1992

Bill for a Clinton breakfast on October 24, 1991
organized by Kenneth Brody.

19 people @ $65.....$1,235.00

Kent Bain

New York | London | Tokyo | Hong Kong | Chicago | Dallas | Houston | Los Angeles
Memphis | Miami | Montreal | Paris | San Francisco | Singapore | Sydney | Toronto

TOTAL P.02

97043803079

00029

CONFIDENTIAL

Goldman Sachs

Date October 23, 1992

To Norma Ranieri

From LOLA BRAVERMAN X7693

Norma:

Re: Bob Rubin's Trip to Lansing, Michigan on Monday, October 19, 1992

Mark received a check in the amount of $1,413.00 (copy attached) from the Clinton/Gore Committee for the equivalent of two first-class tickets New York/Lansing/New York for Bob Rubin and Roger Altman's roundtrip to Lansing, Michigan on Monday, October 19, 1992.

Mark Winkelman said the rate agreed upon was twice the hourly fuel rate @ $325 = $650/hour. The trip took 3.1 hours and there were three landing fees. The total for the trip therefore is:

$650 x 3.1 = $2,015.00 (flight charge)
2 Landing Fees @ $50 each = $100.00
1 Landing Fee @ $150 = $150.00

	$2,015.00
	100.00
	+ 150.00
Total =	$ 2,265.00
Amount paid =	- 1,413.00
Balance due =	$ 852.00

(You said Bob Rubin wanted to personally reimburse Mark for the balance owed.)

Lola

9704380308C

00030

CONFIDENTIAL

CLINTON-GORE '92 COMMITTEE
PHONE (501) [illegible]
P.O. BOX [illegible]
LITTLE ROCK, AR [illegible]

[illegible]
LITTLE ROCK, AR [illegible]
[illegible]

7201

10/20/92 *******1,413.00

********1,413 DOLLARS AND 00 CENTS

DATE AMOUNT

PAY TO THE ORDER OF

Mark O. Winkelman
85 Broad Street 5th Fl

New York, NY 10004

TWO SIGNATURES REQUIRED IF OVER [illegible]

W. David Watkins

⑈007201⑈ ⑆082000073⑆ 008941-916-2⑈

00031

9704380308 1

CONFIDENTIAL

COPY FOR JIM MCHUGH

ROBERT E. RUBIN

1552

Oct. 28 1992

PAY TO THE ORDER OF Mark O. Winkelman $ 852 00/100

Eight Hundred Fifty Two and 00/100 DOLLARS

Citibank, N.A.
Citicorp Center
153 East 53rd Street
New York, N.Y. 10043

CITICORP CITIBANK

Private Banking Group

Balance due on use of

FOR Phone to Bangkok, Nil 10/18/92

00032

CONFIDENTIAL

TE7 1/8/92

KENNETH D BRODY ---- Taxi No: 25461 Statement Number: 130140
Advance Account: 06390223 As of November 15, 1991 PG. 2 OF 7

SUMMARY OF EXPENSES Employee #: 55100 Organization: 01-000-103 Dept: PRINCIPAL INVESTMENT AREA

KENNETH D BRODY ....

Travel and Entertainment

Month-End Summary of Expenses

	AMOUNT	
1.) TAXI CHARGES	$2,307.00	THIS REPRESENTS THE TOTAL AMOUNT CHARGED TO YOUR TAXI ACCOUNT. SEE SECTION 1 FOR DETAILS.
2.) LESS PENDING CREDITS	—	THIS REPRESENTS BILLING ERRORS OR OTHER DISPUTED CHARGES AND MUST EQUAL CHARGES CODED P IN TAXI DETAIL.
3.) LESS OTHER NONREIMBURSABLE CK#s 1682/1346 - 2 [illegible]	2097.50	THIS REPRESENTS AMOUNTS REIMBURSABLE TO THE FIRM FOR PERSONAL OR OTHER NON-REIMBURSABLE USE AND MUST EQUAL CHARGES CODED R OR USAGE CODE OF R IN TAXI DETAIL. PLEASE ATTACH YOUR CHECK PAYABLE TO GOLDMAN, SACHS & CO. FOR THIS AMOUNT.
4.) TOTAL OF EXPENSES	209 50	SUBTRACT LINES 2 & 3 FROM LINE 1. THIS REPRESENTS THE AMOUNT OF EXPENSES TO BE PAID BY THE FIRM. IF AMOUNTS ARE TO BE CHARGED TO A SYNDICATE PLEASE COMPLETE SECTION II (PAYMENT DISTRIBUTION) ATTACHED.

APPROVALS: To the best of my knowledge, all statements on this report are true and factual and all expenses are in conformity with firm policy.

Kenneth D Brody 12/6/91
KENNETH D BRODY ---- DATE

[illegible] [illegible]
DEPARTMENT MANAGER/SUPERVISOR DATE

Ken - 1382 50

PC - 715.00

COMMENTS/SPECIAL INSTRUCTIONS

Goldman Sachs

RECEIVED
1992 JAN -6 P 4:01
SERVICES

☐ Check here if Address or Organization change is required. (Please note change in comments.)

CONFIDENTIAL

MONTHLY TAXI STATEMENT
Account No: 25461
Advance [redacted] 86350223

KENNETH D BRODY ****

(112891) Statement Number: 130140
As of November 13, 1991 PG. 3 OF 7

SECTION: I
DETAIL CHARGES

Employee #: 09100 Organization: 01-000-100 Department: PRINCIPAL INVESTMENT AREA

LINE NO.	MARKER NUMBER	EMPLOYEE NAME	EMPLOYEE NUMBER	PICK UP TIME	WAIT TIME MIN	DATE OF RIDE	# OF STOPS	FROM	TO	AMOUNT	CATEGORY	USAGE CO	CONSTRUCTION NUMBER	CONSTRUCTION NAME	PENDING CREDITS NON-RESPONSIBLE AMOUNT	COMMENTS
1	CR709700	Brody	00000	0955P	010	9/30/91	000	10 GRACI	76TH	$19.00	N	02			19.00	
2	CR830513	Brody	00000	0845P	035	9/30/91	000	10 GRACI	10 GRACI	$41.00	N	02			41.00	
3	CR830519	Brody	00000	0500P	000	9/30/91	000	E.66TH	GRACE SQ	$15.00	N	02			15.00	
4	CR691813	Brody	00000	1040P	010	10/01/91	000	GRACE SQ	95TH MAD	$18.00	N	02			18.00	
5	CR700164	Brody	00000	0538P	000	10/01/91	000	68TH	65TH	$16.00	N	02			16.00	
6	CR700484	Brody	00000	0140P	025	10/01/91	001	10 GRACE	10 GRACE	$28.00	N	02			28.00	
7	CR700493	Brody	00000	1210P	010	10/01/91	000	60E.92ND	10 GRACE	$18.00	N	02			18.00	
8	CR830516	Brody	00000	0500P	000	10/02/91	000	NE.66TH	830516	$15.00	N	02			15.00	
9	CR676626	Brody	00000	0900A	000	10/03/91	000	667 MAD	GRACE SQ	$19.00	N	02			19.00	
10	CR830517	Brody	00000	0840A	020	10/03/91	001	GRACE SQ	GRACE SQ	$35.00	N	02			35.00	
	TOTAL:					10/11/91				$224.00						
11	CR620867	Brody	00000	[illegible]	010	10/06/91	000	10GRACE	LIN. CNT	$20.00	N	02			20.00	
12	CR676644	Brody	00000	0515A	015	10/06/91	000	RECTRPL	10GRACSQ	$24.00	N	02			24.00	
13	CR714450	Brody	00000	0313P	000	10/06/91	000	GRACE SQ	RECTOR	$18.00	N	02			18.00	
14	CR709521	Brody	00000	0845A	015	10/07/91	001	GRACE SQ	GRACE SQ	$33.00	N	02			33.00	
15	CR197328	Brody	00000	0330P	000	10/08/91	000	85 BROAD	[illegible]	$16.00	F	01	Gen	Bus		
16	CR617382	Brody	00000	0145P	015	10/08/91	001	GRACE SQ	141ET2ND	$35.00	N	02			35.00	
17	CR660617	Brody	00000	0720P	020	10/08/91	000	10 GRACI	71ST	$23.00	N	02			23.00	
18	CR830518	Brody	00000	1145A	000	10/09/91	000	GRACE SQ	92ND PK.	$14.00	N	02			14.00	
19	CR691456	Brody	00000	0830A	000	10/10/91	000	WALL	84E.END	$18.00	N	02			[illegible]	
20	CR709650	Brody	00000	1045A	000	10/10/91	000	10 GRACE	95TH MAD	$14.00	N	02			[illegible]	
21	CR709665	Brody	00000	1000A	000	10/10/91	000	10 GRACE	49TH MAD	$16.00	N	02			16.00	

00034

CONFIDENTIAL

MONTHLY TAXI STATEMENT
Account [illegible]61
Advance Account: 86350221

(112891)
As of November 13, 1991

Statement Number: 130160
PG. 4 OF 7

KENNETH D BRODY ****

SECTION: I
DETAIL CHARGE.

Employee #: 09100 Organization: 01-000-106 Department: PRINCIPAL INVESTMENT AREA

LINE NO	VOUCHER NUMBER	EMPLOYEE NAME	EMPLOYEE NUMBER	PICK UP TIME	WAIT TIME MIN	DATE OF RIDE	# OF STOPS	FROM	TO	AMOUNT	CATEGORY	GRADE CODE	CONDUCTOR NUMBER	CONDUCTOR NAME	PENDING CREDITS NON-REIMBURSABLE AMOUNT	COMMENTS
22	CR830520	Brody	00000	0855A	015	10/10/91	001	10 GRACI	10 GRACI	$33.00	N	02			33.00	
23	CR691457	Brody	00000	0835A	010	10/11/91	001	10 GRACE	10 GRACE	$31.00	N	02			31.00	
	TOTAL:					10/17/91				$295.00						
24	CR578912	Brody	00000	0220P	000	10/09/91	000	GRACE SQ	97TH MAD	$15.00	N	02			15.00	
25	CR723562	Brody	00000	0915A	000	10/13/91	000	10GRACSQ	JFK	$39.50	N	02			31.50	
26	CR723563	Brody	00000	0817A	000	10/13/91	000	10GRACSQ	LOWERMAN	$18.00	N	02			18.00	
27	CR19[illegible]	[illegible]	00000	0927P	000	10/15/91	000	85 BROAD	BKLYN	$25.50	F	[illegible]	Gen	Bus		
28	CR197325	Brody	00000	0345P	000	10/15/91	000	85 BROAD	10GRACE	$18.00	N	02			18.00	
29	CR830519	Brody	00000	0700P	000	10/15/91	000	92NDPARK	10GRAC.S	$14.00	N	02			14.00	
30	CR197310		00000	0700P	000	10/16/91	000	85BROAD	NEWJERSY	$48.00	N	02				Paid
31	CR197310		00000	070[illegible]	***	10/16/91	***	*******	TEL	$34.00		02				Cancel
32	CR197322	[illegible]	00000	0900P	000	10/16/91	000	85 BROAD	BKLYN	$24.50	F	03	Gen	Bus		
33	CR197324	Lorval	00000	0930P	000	10/16/91	000	85BROAD	BKLYN	$24.50	F	03	Gen	Bus		
34	CR685467	Brody	00000	1001A	000	10/17/91	000	10GRACIE	230PARK	$16.00	N	02			16.00	
35	CR830524	Brody	00000	0840P	010	10/18/91	000	10GRACSQ	92NDPARK	$31.00	N	02			31.00	
	TOTAL:					10/25/91				$308.00						
36	CR830521	Brody	00000	0840A	010	10/15/91	001	10GRACE	92NDPK	$31.00	N	02			31.00	
37	CR830522	Brody	00000	0900P	000	10/17/91	001	GRACE SQ	GRACE SQ	$27.00	N	02			27.00	
38	CR197311	KORVAL PAT	11712	0900P	000	10/18/91	000	85BROAD	BKLYN	$24.50	F	3	Gen	Bus	[illegible]	
39	[illegible]17711	Brody	00000	1120A	075	10/20/91	001	10GRACE	10GRAC	$71.00	N	02			[illegible]	
40	CR686265	Brody	00000	0335P	009	10/20/91	001	GRACE SQ	GRACE SQ	$33.00	N	02			33.00	

000351

MONTHLY TAXI STATEMENT
Account No: 25461
Advance Acco[illegible]0223

(112691) Statement Number: 130140
As of November 13, 1991 PG. 5 OF 7

KENNETH B BRODY ****

SECTION: I
DETAIL CHARGES

Employee #: 09100 Organization: 01-800-103 Department: PRINCIPAL INVESTMENT AREA

LINE NO.	VOUCHER NUMBER	EMPLOYEE NAME	EMPLOYEE NUMBER	PICK UP TIME	WAIT TIME MIN	DATE OF RIDE	# OF STOPS	FROM	TO	AMOUNT	CATEGORY	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]
41	CR197321		00000	0150P	***	10/21/91	***	*******	TEL	$40.00	N	07				
42	CR197321		00000	0150P	000	10/21/91	000	85 BROAD	1271 AOA	$16.00		02				
43	CR686410	Brody	00000	0135P	000	10/21/91	000	GRACIE S	1175 PK	$14.00	N	02			14.00	
44	CR197320	KORVAL PAT	11712	0900P	000	10/22/91	000	85 BROAD	BKLYN	$24.50	F	01	Gen	Bus.		
45	CR661517	Brody	00000	0830A	015	10/23/91	001	10GRACE	57TH 6TH	$27.00	N	02			27.00	
46	CR197312		00000	0635A	045	10/24/91	001	515E72ND	85BROAD	$54.00		02				
47	CR197314		00000	1030P	***	10/24/91	***	*******	TEL	$36.00	N	02				
48	CR197314		00000	1030P	015	10/24/91	000	85 BROAD	15E84TH	$24.00		02				
49	CR1973..	Brody	00000	1290P	010	10/24/91	000	85 BROAD	540 PK.	$21.00	F	01	Gen	Bus.		
50	CR197317	[illegible]	00000	1130A	***	10/24/91	***	*******	TEL	$38.00	N	02				
51	CR197317	[illegible]	00000	1130A	000	10/24/91	000	200PARK	10GRACE	$376.00		02				
52	CR660360	Brody	00000	0132P	015	10/24/91	002	10GRACE	10GRACE	$39.00	N	02			39.00	
53	CR660360	Brody	00000	0132P	***	10/24/91	***	*******	TEL	$14.00	N	02			14.00	
54	CR684960	Brody	00000	0715A	000	10/24/91	000	61ST PK.	85 BROAD	$17.00	N	02			17.00	
55	CR700832	Brody	00000	1210P	010	10/24/91	000	92ND PK.	10GRACE	$18.00	N	02			18.00	
56	CR709056	Brody	00000	0900A	030	10/24/91	000	10GRACE	92ND PK.	$26.00	N	02			26.00	
57	CR709056	Brody	00000	0900A	***	10/24/91	***	*******	T	$6.00	N	02			6.00	
58	CR709475	Brody	00000	1145A	000	10/25/91	000	10 GRACI	92ND	$14.00	N	02			14.00	
59	CR639923	Brody	00000	0100P	000	10/27/91	000	QUEENS	MIDTOWN	$31.50	N	02			31.50	
	TOTAL:					11/01/91				$1,624.50						
60	CR691709	Brody	00000	0545P	000	10/24/91	001	GRACE SQ	GRACE SQ	$29.00	N	02			[illegible]	
61	CR197326		00000	0520P	***	10/25/91	***	*******	TEL	$26.00	N	02			[illegible]	

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KENNETH B BRODY ****

MONTHLY TAXI STATEMENT
Account No: 25461 (112091) Statement Number: 120100
Advance Account: 86350225 As of November 13, 1991 PG. 6 OF 7

SECTION: 1
DETAIL CHARGES Employee #: 09100 Organization: 01-000-103 Department: PRINCIPAL INVESTMENT AREA

LINE NO.	VOUCHER NUMBER	EMPLOYEE NAME	EMPLOYEE NUMBER	PICK UP TIME	WAIT TIME MIN	DATE OF RIDE	# OF STOPS	FROM	TO	AMOUNT	CATEGORY	PAID	SYNDICATE NUMBER	SYNDICATE NAME	PERSONAL AMOUNT	COMMENTS
62	CR197326		00000	0520P	000	10/25/91	001	85 BROAD	10 GRACI	$21.00	N	P/R				
63	CR690525	Brody	00000	0740A	020	10/25/91	001	10 GRACI	10 GRACI	$35.00	N	P/2			35.00	
64	CR197315	LORVAL	00000	0814P	000	10/29/91	000	85 BROAD	BKLYN	$24.30	F	P/3	Gen	Bus		
65	CR685676	Brody	00000	0950A	015	10/29/91	001	10 GRACE	10 GRACE	$33.00	N	P/2			33.00	
66	CR699900	Brody	00000	1200P	000	10/29/91	009	92ND PK.	84TH EE	$15.00	N	P/2			15.00	
67	CR708906	Brody	00000	0220P	070	10/29/91	003	GREACIE	68TH	$89.50	N	P/2			89 50	
68	CR714345	Brody	00000	1050A	000	10/29/91	000	10 GRACE	95 MAD	$14.00	N	P/2			14.00	
69	CR708845	Brody	00000	0230P	000	10/30/91	000	10 GRACI	97TH	$15.00	N	P/2			15 00	
70	CR709112	Brody	00000	0840A	000	10/30/91	000	10GRACSQ	92NDPARK	$14.00	N	P/2			14.00	
71	CR661569	Lorval	00000	0830P	000	10/31/91	000	85BROAD	BKLYN	$24.30	F	P/3	Gen	Bus		
72	CR691225	Brody	00000	1040A	000	10/31/91	005	10 GRACE	10 GRACE	$39.00	N	P/2			39.00	
73	CR691226	Brody	00000	1205P	000	10/31/91	000	92ND PK.	10GRACE	$15.00	N	P/2			15.00	
74	CR830525	Brody	00000	0840	000	10/31/91	000	TIME	CALL	$61.00	N	P/2			61 00	
	TOTAL:					11/00/91				$495.50						

STATEMENT RIDE TOTAL $2,111.00
STATEMENT TELEPHONE TOTAL $196.00
STATEMENT TOTAL $2,307.00

TRACK CODES
1=BUSINESS 3=HOME
2=PERSONAL 4=[illegible]

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MONTHLY TAXI STATEMENT
Account No: 25061
Advance Account: 00350223

(112891)
As of November 13, 1991

Statement Number: 130100
PG. 7 OF 7

KENNETH D BRODY ****

SECTION: II
PAYMENT DISTRIBUTION

Employee #: 09100 Organization: 01-000-103 Department: PRINCIPAL INVESTMENT AREA

CATEGORY	AMOUNT	SYNDICATE NAME & NUMBER/ TRANSFER ACCOUNT	CATEGORY	AMOUNT	SYNDICATE NAME & NUMBER/ TRANSFER ACCOUNT
F		GENERAL BUSINESS EXPENSES			
	16.00				
	24.50				
	24.50				
	24.50				
	24.50				
	24.50				
	21.00				
	24.50				
	24.50				
COLUMN 1 TOTAL	209.50				COLUMN 2 TOTAL
					COLUMN 1 TOTAL
				209.50	ADD LINE 1 AND LINE 2. TOTAL OF CHARGES MUST EQUAL LINE 6, PG 2 OF SUMMARY OF EXPENSES

PLEASE COMPLETE THIS SECTION ONLY IF YOU WISH TO CHARGE YOUR TAXI EXPENSES TO A SYNDICATE ACCOUNT OR A DEPARTMENT CODE OTHER THAN YOUR OWN.

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LGL001 01/08/92 --- ACCOUNTS PAYABLE - LIST VOUCHER REPORT --- 01:16:00 PAGE: 15

PROCESSOR:

CORP	VOUCHER	VENDOR	INVOICE #	REFERENCE	CLIENT	ORG.	ACCOUNT	AMOUNT
YE001	216360	BRG002	010892 05884	YX131401 112891				
		KENNETH D BRODY 83 BROAD STREET 19TH FLOOR						
						11712	BRODY,KENNE	24.00CR
						00000	BRODY,KENNE	10.00CR
						00000	BRODY,KENNE	6.00CR
						00000	BRODY,KENNE	37.00CR
						00000	BRODY,KENNE	9.00CR
						00000	BRODY,KENNE	33.00CR
						00000	BRODY,KENNE	10.00CR
						00000	BRODY,KENNE	10.00CR
						00000	BRODY,KENNE	23.00CR
						00000	BRODY,KENNE	12.00CR
						00000	BRODY,KENNE	24.00CR
						00000	BRODY,KENNE	20.00CR
						00000	BRODY,KENNE	15.00CR
						00000	BRODY,KENNE	20.00CR
						00000	BRODY,KENNE	17.00CR
						00000	BRODY,KENNE	47.00CR
						00000	BRODY,KENNE	29.00CR
						00000	BRODY,KENNE	20.00CR
						00000	BRODY,KENNE	29.00CR
							BRODY,KENNE	20.00CR
							BRODY,KENNE	53.00CR
						00000	BRODY,KENNE	15.00CR
						00000	BRODY,KENNE	62.00CR
						00000	BRODY,KENNE	17.00CR
						00000	BRODY,KENNE	24.00CR
						00000	BRODY,KENNE	10.00CR
						00000	BRODY,KENNE	20.00CR
						00000	BRODY,KENNE	60.00CR
						00000	BRODY,KENNE	[illegible]
						00000	BRODY,KENNE	[illegible]
						00000	BRODY,KENNE	[illegible]
						00000	BRODY,KENNE	[illegible]
						00000	BRODY,KENNE	[illegible]
						00000	BRODY,KENNE	[illegible]
						00000	BRODY,KENNE	[illegible]
						00000	BRODY,KENNE	[illegible]
						00000	BRODY,KENNE	[illegible]
						00000	BRODY,KENNE	[illegible]
						00000	BRODY,KENNE	[illegible]
						00000	BRODY,KENNE	[illegible]

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TE7 1/8/92

KENNETH D BRODY ---- Taxi No: 25461 Statement Number: 131081
Revenue Account: 06150223 As of November 25, 1991 Pg. 2 of 7

SUMMARY OF EXPENSES Employee #: 99100 Organization: 01-000-103 Dept: PRINCIPAL INVESTMENT AREA

	AMOUNT	
1.) TAXI CHARGES	$2,470.75	THIS REPRESENTS THE TOTAL AMOUNT CHARGED TO YOUR TAXI ACCOUNT. SEE SECTION 1 FOR DETAILS.
2.) LESS PENDING CREDITS	—	THIS REPRESENTS BILLING ERRORS OR OTHER DISPUTED CHARGES AND MUST EQUAL CHARGES CODED P IN TAXI DETAIL.
3.) LESS OTHER NONREIMBURSABLE CK#'s 1681/1347	2135.75	THIS REPRESENTS AMOUNTS REIMBURSABLE TO THE FIRM FOR PERSONAL OR OTHER NON-REIMBURSABLE USE AND MUST EQUAL CHARGES CODED N OR USAGE CODE OF 2 IN TAXI DETAIL. PLEASE ATTACH YOUR CHECK PAYABLE TO GOLDMAN, SACHS & CO. FOR THIS AMOUNT.
4.) TOTAL OF EXPENSES	335.00	SUBTRACT LINES 2 & 3 FROM LINE 1. THIS REPRESENTS THE AMOUNT OF EXPENSES TO BE PAID BY THE FIRM. IF AMOUNTS ARE TO BE CHARGED TO A SYNDICATE PLEASE COMPLETE SECTION II (PAYMENT DISTRIBUTION) ATTACHED.

APPROVALS: To the best of my knowledge, all statements on this report are true and factual and all expenses are in conformity with firm policy.

Kenneth D. Brody 12/6/91
KENNETH D BRODY ---- DATE

[illegible] 3/92 (SW)
DEPARTMENT MANAGER/SUPERVISOR DATE

☐ Check here if Address or Organization change is required. (Please note change in comments.)

The following statements are outstanding:

130140 11/13/91. 186102 10/08/91. 12[illegible]/91.

Ken 779.50

PC 1356.25

COMMENTS/SPECIAL INSTRUCTIONS

RECEIVED

KENNETH D BRODY ----

Travel and Entertainment

Month-End Summary of Expenses

Goldman Sachs

CONFIDENTIAL

MONTHLY TAXI STATEMENT

KENNETH D BRODY ---- Account No: 29061 (112091) Statement Number: 291091

Advance Acct [redacted]50225 As of November 20, 1991 PG. 3 OF 7

SECTION: 1
DETAIL CHARGES

Employee #: 09100 Organization: 01-800-103 Department: PRINCIPAL INVESTMENT AREA

LINE NO.	VOUCHER NUMBER	EMPLOYEE NAME	EMPLOYEE NUMBER	TIME	DAY	DATE OF RIDE	NO. OF STOPS	FROM	TO	AMOUNT	CATEGORY	GEN. LEDGER NUMBER	ACCOUNTS NUMBER	DEPARTMENT NAME	ITEMIZED CHARGES NON-REIMBURSABLE AMOUNT	REMARKS
1	AC034888	KORVAL PAT	11712	0600P	000	10/30/91	000	85 BROAD	BROOKLYN	$20.50	F	3	Gen	Bus		
2	CR834889		00000	0715P	000	10/30/91	000	85 BROAD	CARMINE	$10.00		0				PAUL CAREY
3	CR834885		00000	0715P	---	10/30/91	---	-------	TEL	$5.00	N	0				1
4	CR834890		00000	0600P	000	10/31/91	002	85 BROAD	GRACE SQ	$57.00		0				
5	CR834890		00000	0800P	---	10/31/91	---	-------	TEL	$4.00		0				
6	CR830528	Brody	00000	0840A	015	11/01/91	001	10 GRACE	10 GRACE	$33.00	N	#2			33.00	
7	CR735975	Brody	00000	1000A	000	11/02/91	000	WALL ST	10 GRACE	$18.00	N	#2			18.00	
8	CR736596	Brody	00000	0805A	000	11/03/91	000	10 GRACE	NRC TERM	$18.00	N	#2			18.00	
9	CR740305	Brody	00000	0730A	015	11/03/91	000	NRC	79TH	$23.00	N	#2			23.00	
10	CR834881	Korval	00000	0900P	---	11/04/91	---	-------	TEL	$12.00	F	#1	Gen	Pres		
11	CR830691	Korval	00000	0900P	000	11/04/91	000	85 BROAD	BKLYN	$24.50	F	#3	Gen	Bus		
12	CR834886		00000	0[illegible]	---	11/04/91	---	-------	TEL	$20.00		0				
13	CR834886		00000	0300P	000	11/04/91	000	349COL	85BROAD	$17.00	N	0				
14	CR834887		00000	0105P	---	11/04/91	---	-------	TEL	$24.00		0				
15	CR834888		00000	0105P	000	11/04/91	000	85 BROAD	115 CPW	$17.00		0				
16	CR729872	Brody	00000	0223P	015	11/06/91	001	GRACE SQ	[illegible] SQ	$47.00	N	#2			47.00	
17	CR830928	Brody	00000	0[illegible]5A	000	11/06/91	001	GRACE SQ	GRACE SQ	$29.00	N	#2			29.00	
18	CR830883	KORVAL	00000	0800P	000	11/06/91	000	85BROAD	BKLYN	$24.50	F	#3	Gen	Bus		
19	CR830527	Brody	00000	0520P	000	11/10/91	001	10 GRACE	10 GRACE	$29.00	N	#2			29.00	
	TOTAL:					11/15/91				$493.50						
20	AC834882	KORVAL		0800P	000	11/05/91	000	85 BROAD	BROOKLYN	$24.50	F	3	Gen	Pres	[redacted]	
21	AC834879	PACKAGE		0730P	000	11/12/91	000	85 BROAD	10/GRACE	$23.00	F	4	Gen	Bus		

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MONTHLY TAXI STATEMENT
Account No: 25961 (112891) Statement Number: 13901
KENNETH D BRODY **** Advance Account: 00250223 As of November 28, 1991 PG. 4 OF 7

SECTION: I
DETAIL CHARGES Employee #: 09180 Organization: 91-500-103 Department: PRINCIPAL INVESTMENT AREA

LINE NO.	VOUCHER NUMBER	EMPLOYEE NAME	EMPLOYEE NUMBER	PICK UP TIME	WAIT TIME MIN	DATE OF RIDE	# OF STOPS	FROM	TO	AMOUNT	CATEGORY	BOARD CODE	ADVANCE NUMBER	CHARGE CODE	POSTED CREDIT NON-REIMBURSABLE AMOUNT	COMMENT
22	CR749295	Brody	00000	0530P	000	10/14/91	000	GRACE SQ	77TH NAD	$15.00	N	#2			15.00	
23	CR705003		00000	1230P	030	11/07/91	001	TETERBOR	MIDTOWN	$82.00	N	0				
24	CR834580		00000	0835P	000	11/08/91	000	85 BROAD	69TH 1ST	$17.00		0				
25	CR834285	Konrad	00000	0930P	000	11/08/91	000	85BROAD	BKLYN	$24.50	F	#3	Gen	Bud		
26	CR834516	Brody	00000	0815A	000	11/10/91	000	10 GRACI	NRC	$18.00	N	#2			18.00	
27	CR783862	Brody	00000	0320P	000	11/10/91	001	GRACE SQ	63RD BWY	$20.00	N	#2			20.00	
28	CR760283	Brody	00000	0645A	030	11/10/91	001	63 BWAY	GRACE SQ	$42.00	N	#2			42.00	
29	CR760631	Brody	00000	1019A	000	11/10/91	000	85 BROAD	10 GRACI	$18.00	N	#2			18.00	
30	CR834872		00000	0930A	110	11/11/91	003	540 PK.	JERSEY	$96.00		0				
31	CR834884	Konrad	00000	0930P	000	11/11/91	000	85 BROAD	BKLYN	$24.50	F	#3	Gen	Bud		
32	CR839476	Brody	00000	1045A	000	11/12/91	000	10 GRACI	92ND	$18.00	N	#2			14.00	
33	CR686758	Brody	00000	081[illegible]	015	11/12/91	001	GRACE SQ	GRACE SQ	$33.00	N	#2			33.00	
34	CR709737	Brody	00000	1210P	010	11/12/91	000	92ND	10 GRACI	$18.00	N	#2			18.00	
35	CR755656	Brody	00000	0130P	100	11/12/91	001	10GRACE	10GRACE	$75.00	N	#2			75.00	
36	CR755870	Brody	00000	0650P	000	11/12/91	000	10 GRACI	68TH	$15.00	N	#2			15.00	
37	CR839057	Brody	00000	0300P	000	11/13/91	000	10 GRACI	6[illegible]	$15.00	N	#2			15.00	
38	CR695669	Brody	00000	0840P	020	11/13/91	001	BKLYN	BKLYN	$35.00	N	#2			35.00	
39	CR695251	Brody	00000	0700P	000	11/13/91	000	GRACE SQ	58TH 5TH	$22.00	N	#2			22.00	
40	CR686616		00000	1219P	015	11/13/91	000	JERSEY	618T PK.	$69.00		0				
41	CR[illegible]017	Brody	00000	0512P	015	11/13/91	001	66TH	10 GRACI	$26.00	N	#2			26.00	
42	CR834895	Brody	00000	0300P	000	11/13/91	000	85 BROAD	42ND	$16.00	F	#1	Gen	Bud	[illegible]	
43	CR691966	Brod[illegible]	00000	0859A	020	11/14/91	001	10GRACE	92NDPARK	$35.00	N	#2			[illegible]	
44	CR833671		00000	0720P	077	11/14/91	001	85 BROAD	515E72ND	$66.00		0				

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KENNETH D BRADY ----

MONTHLY TAXI STATEMENT
Account No: 25461
Advance Acc[illegible]0223

(112891)
As of November 30, 1991

Statement Number: 131401
PG. 5 OF 7

SECTION: I
RETAIL CHANGE

Employee #: 09100 Organization: 01-000-103 Department: PRINCIPAL INVESTMENT AREA

LINE NO.	WORKER NUMBER	EMPLOYEE NAME	EMPLOYEE NUMBER	PICK UP TIME	WAIT TIME MIN	DATE OF RIDE	# OF STOPS	FROM	TO	AMOUNT	CATEGORY	STAGE CODE	ACCOUNT NUMBER	OVERSEAS NAME	PERSONS OBSERVED NON-GOVERNMENTAL AMOUNT	COMMENTS
45	CR834875		00000	1205A	***	11/14/91	***	*******	TEL	$8.00		0				
46	CR834875		00000	1205A	000	11/14/91	001	540PARK	515E72ND	$18.00	N	0				
47	CR834876		00000	0720A	015	11/14/91	001	540 PARK	540 PARK	$37.00		0				
48	CR834877		00000	0930A	300	11/14/91	000	530W 57	TETERBOR	$207.00		0				
49	CR834895	Brady	00000	1210P	000	11/14/91	000	85 BROAD	27W44TH	$16.00	F	1	Gen	Bus		
50	CR724899	Brady	00000	0738A	000	11/17/91	000	10 GRACI	LGA	$29.50	N	2			29.50	
	TOTAL:					11/22/91				$1,153.00						
51	AC834892	KORVAL PAT	11712	1000P	000	11/15/91	000	85 BROAD	BROOKLYN	$24.50	F	3	Gen	Bus		
52	CR634897		00000	0940P	000	1/19/91	000	667 MAD	116TH	$17.00	N	0				
53	CR686762	Brady	00000	0305P	000	11/15/91	000	GRACE SQ	1619 3AV	$14.00	N	2			14.00	
54	CR692226	Brady	00000	020[illegible]	020	11/15/91	001	10 GRACI	53RD	$28.00	N	2			28.00	
55	CR701398	Brady	00000	1287P	007	11/15/91	000	E.92ND	GRACE SQ	$18.00	N	2			18.00	
56	CR701532	Brady	00000	0520P	000	11/15/91	000	91ST 3RD	GRACE SQ	$22.00	N	2			22.00	
57	CR834868		00000	0810A	000	11/18/91	001	72ND	44TH	$29.00	N	0				
58	CR834894	Korval	00000	1000P	000	11/18/91	000	85 BROAD	BK[illegible]	$24.50	F	1	Gen	Bus		
59	CR834893	Korval	00000	0830P	000	11/19/91	000	85 BROAD	BKLYN	$24.50	F	3	Gen	Bus		
60	CR834896		00000	0510P	***	11/19/91	***	*******	TEL	$86.00		0				
61	CR834896		00000	0510P	000	11/19/91	001	85 BROAD	61ST MAD	$40.00	N	0				
62	CR834898		00000	1100P	000	11/19/91	001	667 MAD	515 E72	$45.00		0				
63	CR690942	Brady	00000	0306P	015	11/20/91	000	GRACE SQ	4E66TH	$21.00	N	2			21.[illegible]	
64	[illegible]834869		00000	1045A	000	11/20/91	000	53RD	85 BROAD	$16.00	N	0			[illegible]	
65	CR834899		00000	0755A	000	11/20/91	000	515 E72	153 E53	$15.00	N	0				

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MONTHLY TAXI STATEMENT (112691) Settlement Number: 151901
Account No: 25461 As of November 20, 1991 PG. 6 OF 7
Advance Account: 0050223

REUBETH B PRODY ****

SECTION: 1 Employee #: 09182 Organization: 61-600-103 Department: PRINCIPAL INVESTMENT AREA
DETAIL CHARGES

LINE NO.	VOUCHER NUMBER	EMPLOYEE NAME	EMPLOYEE NUMBER	PICK UP TIME	TAXI TIME MIN	DATE OF RIDE	# OF STOPS	FROM	TO	AMOUNT	CATEGORY	MODE	CC#	SPONSOR NAME	PENDING CREDITS CO-REPRESENTED AMOUNT	COMMENTS
66	CR834901		00000	1015P	000	11/20/91	002	51STH/7TH	51ST&7TH	$76.00		2				
67	CR834901		00000	1015P	***	11/20/91	***	*******	TEL	$2.00		0				
68	CR701294		00000	0057A	000	11/21/91	000	7ZND	95 BROAD	$17.00		0				
69	CR834902		00000	0550P	***	11/21/91	***	*******	TEL	$40.00		0				
70	CR834902		00000	0550P	015	11/21/91	001	95 BROAD	667 MAD	$27.00		0				
71	CR834908	Karval Jackson Brady	00000	1000P	000	11/21/91	000	85 BROAD	BKLYN	$25.50		3	Gen	Bud		
72	CR834915		00000	0935P	000	11/21/91	000	85BROAD	100RACSQ	$23.00		0	Gen	Bud		
73	CR836[illegible]		00000	0840A	000	11/22/91	001	100RACSQ	250HERCR	$49.50		1/2			41.00	
74	CR834903		00000	0400A	045	11/22/91	001	677 MAD	72ND	$51.00		0				
75	CR834870		00000	0906A	***	11/25/91	***	*******	TEL	$16.00		0				
76	CR834870		00000	0906A	046	11/25/91	008	51ST&7ND	85BROAD	$121.25		0				
	TOTAL:					11/29/91				$874.25						

STATEMENT RIDE TOTAL $2,942.75
STATEMENT TELEPHONE TOTAL $228.00
STATEMENT TOTAL $2,970.75

MODE CODES 1=BUSINESS 2=PERSONAL 3=HOME 4=PACKAGE

00044

CONFIDENTIAL

MONTHLY TAXI STATEMENT
Account No: 25461
Advance Account: [illegible]

(112891) Statement Number: 131901
As of November 28, 1991 PG. 7 OF 7

KENNETH D BRODY ****

SECTION: II
PAYMENT DISTRIBUTION

Employee #: 09100 Organization: 01-[illegible]-103 Department: PRINCIPAL INVESTMENT AREA

CATEGORY	AMOUNT	SYNDICATE NAME & NUMBER/ TRANSFER ACCOUNT	CATEGORY	AMOUNT	SYNDICATE NAME & NUMBER/ TRANSFER ACCOUNT
	24.50	GENERAL BUSINESS EXPENSES dept # 103			
	12.00				
	24.50				
	24.50				
	24.50				
	23.00				
	24.50				
	24.50				
	16.00				
	16.00				
	24.50				
	24.50				
	24.50				
	24.50				
	23.00				
COLUMN 1 TOTAL	335			335.00	

COLUMN 2 TOTAL

COLUMN 1 TOTAL

ADD LINE 1 AND LINE 2. TOTAL OF CHARGES MUST EQUAL LINE 6, PG 2 OF SUMMARY OF EXPENSES

PLEASE COMPLETE THIS SECTION ONLY IF YOU WISH TO CHARGE YOUR TAXI EXPENSES TO A SYNDICATE ACCOUNT OR A DEPARTMENT CODE OTHER THAN YOUR OWN.

00045

CONFIDENTIAL

LUL001 01/07/92 --- ACCOUNTS PAYABLE - LIST VOUCHER REPORT --- 03:33:03 PAGE: 966

PROCESSOR: TED

CORP	VOUCHER	VENDOR	INVOICE #	REFERENCE	CLIENT	ORG.	ACCOUNT	AMOUNT
TEC01	[illegible]	[illegible]	010792 [illegible]	VA010944 010792				
		KENNETH D [illegible] [illegible] [illegible] [illegible]						
			PERSONAL PER EMP CHARGE P 01/[illegible]/92 KENNETH D [illegible]			03106 0001-[illegible]	[illegible] [illegible]	26.12CR [illegible]

00046

9704380309 6

CONFIDENTIAL

TE3 1/7/92 [illegible]

Goldman, Sachs & Co. **T & E Expense Report**

DATE SUBMITTED 1/6	SUBMITTED BY K Brody	OFFICE & DEPARTMENT Ltd Partner #693	[illegible] 1/6/92
State Reason If First Class Travel Was Used:			
CHARGE TO ☐ GENERAL BUSINESS ☐ SYNDICATE ETC	ACCOUNT NAME		BUS PURPOSE OF TRAVEL EXPENSES

SECTION 2 – SUMMARY OF CASH EXPENSES – DO NOT INCLUDE ITEMS CHARGED TO GS & CO ON CREDIT CARDS OR OTHERWISE

DATE	[illegible]	AMOUNT	LODGING	MEALS	ENTERTAINMENT	TELEPHONE TELEGRAPH	AUTO EXPENSES	TAXIS & LOCAL FARES	OTHER EXPENSES	TOTALS
	personal check attached for Fed Exp bills.									

TOTAL CASH EXPENSES	
LESS ADVANCE	26.12
BALANCE DUE GS & CO	26.12
BALANCE DUE TRAVELER	

CHECK REQUESTED ☐ CASH REQUESTED ☐

* INSTRUCTIONS

1. COMPLETE SECTION 1 FOR EACH TRIP OR OCCASION OF ENTERTAINMENT
2. IN SECTION 2, REPORT CASH EXPENDITURES ONLY. IN SECTION 3, REPORT NON-CASH EXPENDITURES, I.E., ITEMS CHARGED TO GS & CO ON CREDIT CARDS OR OTHERWISE
3. SECTION 4 IS TO BE USED TO DESCRIBE ENTERTAINMENT EXPENDITURES, INCLUDE CASH AND CHARGE ITEMS
4. ATTACH RECEIPTED BILLS FOR LODGING & TRANSPORTATION, AND ALL EXPENDITURES OF $25 OR MORE
5. FOR EXPENSE CHARGED TO GENERAL BUSINESS FILL IN COMPANY AND LOCATION ON REVERSE SIDE

Ck# 1720

SECTION 3 – DESCRIPTION OF ITEMS CHARGED TO GS & CO ON CREDIT CARDS OR OTHERWISE

DATE	ITEM (American Airlines, Hotel, etc.)	AMOUNT
	TOTAL	

SECTION 4 – DESCRIPTION OF ENTERTAINMENT EXPENSES

DATE	ITEM (Lunch, Theater, etc.)	PLACE OF ENTERTAINMENT NAMES & BUSINESS RELATIONSHIP OR PERSONS ENTERTAINED BUSINESS PURPOSE OF ENTERTAINMENT	AMOUNT
		TOTAL	

CA-1

CONFIDENTIAL

MEMORANDUM

To: Ken Brody.

From: Dallah Agustini - 85/24

Date: 2-16-91

Re: Personal Federal Express

Please attach a check made payable to Goldman Sachs in the amount of $ 26.12 for the enclosed personal Federal Express charges and forward to me by 12/19.

Thank you.

00048

CONFIDENTIAL

DATE 11/25/91

GOLDMAN SACHS & COMPANY
FEDERAL EXPRESS - AIRBILL REPORT

PAGE 176

ACCOUNT NUMBER 1265-75521 INVOICE NUMBER 4432-C2501 INVOICE DATE 11/18/91

DOCUMENT NO / REFERENCE	SENDERS NAME AND ADDRESS	RECIPIENT NAME AND ADDRESS / PROOF OF DELIVERY	PACKAGES / WEIGHT / EX-CODE	SERVICES	CHARGES / NET
002999745208	[illegible] TAYLOR	MR DAVID ALBRIGHT	1	PRIORITY ONE	031.[illegible]
	GOLDMAN SACHS & CO 0001006541	NORTHWESTERN MUTUAL LIFE INS C	5	REBATE AMT	00.00
61.920	85 BROAD ST 19TH FL	720 E WISCONSIN AVE		DISCOUNT	014.[illegible]
	NEW YORK NY 10004-2434	MILWAUKEE WI 53202-0000			
		DELIVERED 11/07/91 08:39AM AA			
	DROP OFF 11/06/91 1265-75521	SIGNED K.KELCH			
				NET CHARGES	[illegible]
002999745223	DOB LUTZ	MR CHRISTOPHER BOWER	1	PRIORITY ONE	031.[illegible]
	GOLDMAN SACHS & CO 0001006541	PACIFIC CORPORATE GROUP INC	5	REBATE AMT	00.00
PEAT	85 BROAD ST 19TH FL	1200 PROS[illegible] ST		DISCOUNT	014.[illegible]
	NEW YORK NY 10004-2434	LA JOLLA CA 92037-0000			
C56474		DELIVERED 11/07/91 12:39AM AA			
	SHIPPED 11/06/91 1265-75521	SIGNED P.POSNER			
				NET CHARGES	[illegible]
035736763443	JENNIFER KELL	NICK GRASSBERGER		PRIORITY ONE	025.[illegible]
	GOLDMAN SACHS & CO 0001006541	H J HEINZ	3	REBATE AMT	00.00
68/541	85 BROAD ST 19TH FL	630 GRANT ST 65TH FL		DISCOUNT	014.[illegible]
	NEW YORK NY 10004-2434	PITTSBURGH PA 15219-0000			
		DELIVERED 11/07/91 08:34AM AA			
	SHIPPED 11/06/91 1265-75521	SIGNED R.HUNTER SWEENY			
				NET CHARGES	[illegible]
007794708434	STEWART M BRENNER (7241) C/B	BARBARA POOKE	2	PRIORITY ONE	019.[illegible]
	GOLDMAN SACHS & CO 0001006541	SEARS ROEBUCK & CO	0	REBATE AMT	00.00
SEARS	85 BROAD ST 19TH FL	SEARS TWR		DISCOUNT	[illegible]
006518	NEW YORK NY 10004-2434	CHICAGO IL 60604-0000			
		DELIVERED 11/07/91 10:42AM AA			
	DROP OFF 11/06/91 1265-75521	SIGNED K.WISE			
				NET CHARGES	[illegible]
008735327982	GRUDY (6006) C/B	GIANA DE PAUL	1	PRIORITY ONE	[illegible]
	GOLDMAN SACHS & CO 0001006541	JOHN HAYNSWORTH PHOTOGRAPHY	12	REBATE AMT	00.00
	85 BROAD ST 19TH FL	86 1/2 HIGHLAND PARK VILLAGE		DISCOUNT	023.[illegible]
	NEW YORK NY 10004-2434	DALLAS TX 75205-0000			
		DELIVERED 11/07/91 10:14AM AA			
	SHIPPED 11/06/91 1265-75521	SIGNED D.JOHNSON			
				NET CHARGES	[illegible]

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CONFIDENTIAL

GOLDMAN SACHS & COMPANY
FEDERAL EXPRESS - AIRBILL REPORT

DATE 11/25/91 PAGE [illegible]

ACCOUNT NUMBER 1265-75521 INVOICE NUMBER [illegible]-31531 INVOICE DATE 11/18/91

DOCUMENT NO / REFERENCE	SENDERS NAME AND ADDRESS	RECIPIENT NAME AND ADDRESS / PROOF OF DELIVERY	PACKAGES / WEIGHT / EX-CODE	SERVICES	CHARGES / NET
[illegible]	[illegible] TAYLOR	MR DAVID ALBRIGHT	1	PRIORITY ONE	$31.[illegible]
66.520	GOLDMAN SACHS & CO 0001006541	NORTHWESTERN MUTUAL LIFE INS C	5	REBATE AMT	$0.[illegible]
	85 BROAD ST 19TH FL	720 E WISCONSIN AVE		DISCOUNT	$14.90-
	NEW YORK NY 10004-2434	MILWAUKEE WI 53202-0000			
		DELIVERED 11/07/91 08:39AM AA			
	DROP OFF 11/06/91 1265-75521	SIGNED K.KELCH			
				NET CHARGES	$[illegible]
[illegible]	ROB LUTE	MR CHRISTOPHER BOWER	1	PRIORITY ONE	$31.[illegible]
PEAT	GOLDMAN SACHS & CO 0001006541	PACIFIC CORPORATE GROUP INC	5	REBATE AMT	$0.[illegible]
	85 BROAD ST 19TH FL	1200 PROSPECT ST		DISCOUNT	$14.90-
056474	NEW YORK NY 10004-2434	LA JOLLA CA 92037-0000			
		DELIVERED 11/07/91 12:33AM AA			
	SHIPPED 11/06/91 1265-75521	SIGNED P.POSNER			
				NET CHARGES	$[illegible]
[illegible]	JENNIFER KELL	NICK GRASSBERGER	1	PRIORITY ONE	$25.[illegible]
60/541	GOLDMAN SACHS & CO 0001006541	M J HEINZ	3	REBATE AMT	$0.[illegible]
	85 BROAD ST 19TH FL	600 GRANT ST 63TH FL		DISCOUNT	$14.[illegible]-
	NEW YORK NY 10004-2434	PITTSBURGH PA 15219-0000			
		DELIVERED 11/07/91 08:34AM AA			
	SHIPPED 11/06/91 1265-75521	SIGNED B.HUNTER SWEENY			
				NET CHARGES	$[illegible]
[illegible]	STEWART W [illegible] (7251) C/B	BARBARA [illegible]	1	PRIORITY ONE	$15.[illegible]
SEARS	GOLDMAN SACHS & CO 0001006541	SEARS ROEBUCK & CO	0	REBATE AMT	$0.[illegible]
	85 BROAD ST 19TH FL	SEARS TWR		DISCOUNT	$7.[illegible]-
006518	NEW YORK NY 10004-2434	CHICAGO IL 60604-0000			
		DELIVERED 11/07/91 10:42AM AA			
	DROP OFF 11/06/91 1265-75521	SIGNED R.WISE			
				NET CHARGES	$[illegible]
[illegible]	[illegible] (6106) C/B	GIANA DE PAUL	1	PRIORITY ONE	$[illegible]
Personal	GOLDMAN SACHS & CO 0001006541	JOHN [illegible] PHOTOGRAPHY	12	REBATE AMT	$0.[illegible]
	85 BROAD ST 19TH FL	86 1/2 HIGHLAND PARK VILLAGE		DISCOUNT	$21.[illegible]-
	NEW YORK NY 10004-2434	DALLAS TX 75205-0000			
		DELIVERED 11/07/91 10:16AM AA			
	SHIPPED 11/06/91 1265-75521	SIGNED [illegible]			
				NET CHARGES	$[illegible]

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LGL001 01/06/92 --- ACCOUNTS PAYABLE - LIST VOUCHER REPORT --- 01:16:31 PAGE: 1,3

PROCESSOR: TE3

CORP	VOUCHER	VENDOR	INVOICE #		REFERENCE	CLIENT	NRG.	ACCOUNT	AMOUNT
TE001	TE0709	BR0002	010692	05496	VA010793 010592				
		KENNETH D BRODY 85 BROAD STREET 19TH FLOOR							
			FERT & CO AMTRAK		ACN WASHING		05100 05100	BRODY,KENNE BRODY,KENNE	[illegible] [illegible]

CONFIDENTIAL

TE3 1/5/92 VA018793

[redacted]

00052

PROCESSOR: TES

SHIP	VOUCHER	VENDOR	INVOICE #	REFERENCE	CLIENT	ORG.	ACCOUNT	AMOUNT
[illegible]	010375	[illegible]	010692 93502	VA010875 010692				11.65CR

KENNETH D [illegible]
65 [illegible] STREET
[illegible] FLOOR
[illegible]

10/[illegible] KENNETH D [illegible] 0001-000693 600536666 11.65CR

CONFIDENTIAL

00053

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KENNETH D BRODY

Travel and Entertainment

Month-End Summary of Expenses

KENNETH D BRODY

SUMMARY OF EXPENSES Employee [illegible]

** CHARGE SUMMARY **		AMOUNT	
(Section I) Items charged to the Firm	1	$271.65	AMEX charges plus other items paid for by Firm
Minus: Personal items	2	211.65	Items coded (X) on-reimbursable in Section I
Minus: Adjustments	3	—	Items not currently being accounted for - coded either (P)ending accounting or (U)nused ticket
Charged items being expensed	4		Line 1 minus lines 2 and 3
** CASH SUMMARY **		AMOUNT	
(Section II) Cash and other expenses	5	—	Complete Section II for out-of-pocket and personal charges to be reimbursed.
(Section III) Minus: Cash advances $.00	6	—	Advances not currently being accounted for should be coded (P)ending accounting.
Minus: Personal items	7	211.65	From line 2 above.
Balance due (X) Firm or ( ) Employee please check appropriate box.	8	[illegible]	If line 5 is greater than the sum of lines 6 and 7, then balance is due employee; otherwise, balance is due Firm. (Please attach a check payable to Goldman, Sachs & Co. if due Firm.)

State reasons for first class flights:

APPROVALS: To the best of my knowledge, all statements of this report are true and factual and all expenses are in conformity with Firm policy.

Kenneth D. Brody 12/3/91

KENNETH D. BRODY Date

Authorized Signature Date

☐ Check here if Address or Organization change is required.

Comments/Special Instructions

00054

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1	9/04/91	THE CUSTOMS HOUSE NEW YORK NY	26.75	F/T	D	1	G-B	6.75	Meal-dinner
2	9/10/91	THE CUSTOMS HOUSE NEW YORK NY		F/T	D	1	G-B	2.45	Meal-lunch
3	9/12/91	THE CUSTOMS HOUSE NEW YORK NY	22.85	F/T	D	1	G-B	2.45	Meal-lunch
		RESTAURANTS	$71.05						
4	8/28/91	VILLAGE COACH LINE EAST RUTHERFORD NJ	200.00	V					Personal
		OTHER EXPENSES	$200.00						
		TAXI/LIMO EXPRESS CHARGES	$271.65						
		TOTAL CHARGES (SECTION I)	$271.65						

00055

LSL001 01/17/92 --- ACCOUNTS PAYABLE - LIST VOUCHER REPORT --- 02:06:46 PAGE: 1.301

PROCESSOR: TES

CODE	VOUCHER	VENDOR	INVOICE #	REFERENCE	CLIENT	ORG.	ACCOUNT	AMOUNT
TE001	019908	[illegible]	011792 07562	VA019908 011792				
		KENNETH D BRODY [illegible] BROAD STREET [illegible] FLOOR [illegible]						
						000. 96103	600656008	17.70
						0001-006102	600757028	17.700R

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00056

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Area Number: 1787-106225-62004 (110291) Statement Number: AE127920
Account No: [illegible] As of November [illegible]

[illegible]-POCKET EXPENSES Employee #: 05100 Organization: 01-880-103 Department: PRINCIPAL INVESTMENT AREA

LINE NO.	PROCESS DATE	TRANSACTION	AMOUNT	CAT	CODE	[illegible]	SYMBOLIZATE PROJECT TRANSFER #	NON REIMBURSABLE AMOUNT	[illegible]
1	[illegible]	Urban Land Institute Registration fees	1205.00	F	0	1	GB	[illegible]	KDB[illegible] - fall meeting, [illegible] 3765
2	11/18-22	Taxis - " " " Wash. D.C.	175.00	F	[illegible]	1	GB	[illegible]	" "
3	[illegible]	[illegible]	100.00	F	[illegible]	[illegible]	[illegible]	[illegible]	" "
4									" "
5									
6	9/13	Stationery by UAZ	17.70	F	0	1	GB	[illegible]	Office Supplies 75702
7									
8									
9									
10									
11									
12									
13									
14									
15									
16									
17									
18									
19									
20									
21									
22									
23									
24									
25									

00057

KENNETH D BROBY ****

Amex Number: [redacted] Statement Number: AE127320
Account: [illegible] As of November 2, 1991 PG. 2 OF 3

SUMMARY OF EXPENSES Employee #: 09100 Organization: 31 700-103 Dept: PRINCIPAL INVESTMENT AREA

** CHARGE SUMMARY **		AMOUNT	
(Section I) Items charged to the Firm	1	$638.60	AMEX charges plus other items paid for by Firm
Minus: Personal items	2	[illegible]	Items coded [illegible] in Section I
Minus: Adjustments	3		Items not currently being accounted for [illegible]
Charged items being expensed	4	[illegible]	Line 1 minus lines 2 and 3
** CASH SUMMARY **		AMOUNT	
(Section II) Cash and other expenses	5	[illegible]	Complete Section [illegible] for out-of-pocket and personal charges to be reimbursed.
(Section III) Minus: Cash advances 0$.00	6	—	Advances not currently being accounted for should be coded (P)ending accounting.
Minus: Personal items	7	10.60	From line 2 above.
Balance due Firm or (1) Employee please check appropriate box.	8	1,487.10	If line 5 is greater than the sum of lines 6 and 7, then balance is due employee; otherwise, balance is due Firm. (Please attach a check payable to Goldman, Sachs & Co., if due Firm.)

State reasons for first class flights:

Deduct $11.65 from AE124585 per Pat Kerrel

The following statements are outstanding:

AE124585 10/05/91.

Revised #2

1475.45

APPROVALS: To the best of my knowledge, all statements on this report are true and factual and all expenses are in conformity with Firm policy.

Kenneth D. Brody 12/3/91

KENNETH D BROBY **** Date

Authorized Signature Date

☐ Check here if Address or Organization change is required.
*Please note change in summary

KENNETH D BROBY ****

Travel and Entertainment

Month-End Summary of Expenses

CONFIDENTIAL

Goldman Sachs

00056

PROCESSOR: TIB

DEPT	VOUCHER	VENDOR	INVOICE #	REFERENCE	CLIENT	ORG.	ACCOUNT	AMOUNT
TZ001	019997	[illegible]	011792 07561	VA019997 011792				
		KENNETH B BRODY 85 BROAD STREET 10TH FLOOR [illegible]						
						0001-006693	600536000	11.65
						0001-006103	600536000	11.65CR

CONFIDENTIAL

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00059

CONFIDENTIAL CONFIDENTIAL

TE3 1/17/92 VA019907

ACCOUNTING SERVICES

QUALITY CONTROL CORRECTION FORM

DATE: 1/9

PROCESSOR: TE3

TYPE OF ERROR:

_____ INVOICE NUMBER

_____ WRONG VENDOR

_____ WRONG DOLLAR AMOUNT

_____ MISSING PROPER APPROVAL

✓ CODING

_____ OTHER - _____

COMMENTS: Cr s/B Dept 103 . of 693
Pr 006693 600 53600 $11.65
Pr 006103 600 53600 $4.65

PLEASE REPROCESS ATTACHED DOCUMENTATION *

QUALITY CONTROL INITIALS: ✓

00060

LGL801 01/24/92 --- ACCOUNTS PAYABLE - LIST VOUCHER REPORT --- 09:31:21 PAGE: 1,0[illegible]

[redacted]

PROCESSOR: TE4

CORP	VOUCHER	VENDOR	INVOICE #	REFERENCE	CLIENT	ORG.	ACCOUNT	BUDGET
TE001	020362	BR0002	012492 00678	VA020362 012492				

KENNETH D BRODY
65 BROAD STREET
19TH FLOOR
[illegible]

BRODY/R CONT 010392 PART1	69100	BRODY,KENNE	[illegible].00CR
BRODY/R CONT 010392 PART1	85440	BRODY,KENNE	[illegible].00

CONFIDENTIAL

CONFIDENTIAL

00061

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Page No. 1
01/10/92

[illegible] TRAVEL SERVICES/[illegible] COOK TRAVEL
TICKET REFUNDS
JANUARY 22, 1992

PASSENGER NAME		TICKET NO	REFUND AMOUNT	ISSUE DATE	FULL/ PARTIAL	EMPLOYEE NUMBER	DEPT
** DEPTPE 265007							
Brezeski [illegible]	Jan [illegible]	012 1570195088	902.00	01/11/92	Full Refund	01976	541
Brody [illegible]	Kenneth [illegible]	005 1568011107	302.00	01/05/92	Partial Refund	09100	101
Carlberg [illegible]	Atsuko [illegible]	[illegible] 1566246779	3276.70	12/06/91	Full Refund	11499	758
Carlberg [illegible]	James [illegible]	016 1566246780	3276.70	12/06/91	Full Refund	11499	140
** Subtotal **			7833.40				

3

RECEIVED
[illegible] 23 [illegible]
ACCOUNTING SERVICES

00062

CONFIDENTIAL

CONFIDENTIAL

LCL801 01/07/92 --- ACCOUNTS PAYABLE - LIST VOUCHER REPORT --- 03:35:03 PAGE: 126

PROCESSOR:

GROUP	VOUCHER	VENDOR	INVOICE #	REFERENCE	CLIENT	ORG.	ACCOUNT	AMOUNT
TE801	216000	[illegible]	[illegible] 05709	TX120795 001091				
		KENNETH D [illegible] 85 [illegible] STREET 19TH FLOOR [illegible]						
						11712	BRODY,KENNE	24.50CR
						00000	BRODY,KENNE	23.00CR
						11712	BRODY,KENNE	24.50CR
						00000	BRODY,KENNE	39.00CR
						11712	BRODY,KENNE	24.50CR
						11712	BRODY,KENNE	2.00CR
						11712	BRODY,KENNE	24.50CR
						0001-[illegible]	[illegible]	2.00
						0001-[illegible]	[illegible]	160.00

00063

CONFIDENTIAL

LGL001 03/05/92 --- ACCOUNTS PAYABLE - LIST VOUCHER REPORT --- 05:15:08 PAGE: 1,[illegible]

PROCESSOR: TES

INV. TYPE	CORP	VOUCHER	VENDOR	INVOICE #		REFERENCE	CLIENT	ORG.	ACCOUNT	AMOUNT
1	TES01	[illegible]	[illegible]	030592	15210	VA227926 030592				
		[illegible] D BRODY [illegible] STREET [illegible] FLOOR **********								
	THE LITTLE HELL ASPEN CO 03/04/92 [illegible] D BRODY THE LITTLE HELL ASPEN CO					AMX0000750482 AMX0000730618	09100 S E	09100 0001-006693 09100	120[illegible] [illegible] 120[illegible]	733.12 .60 733.7[illegible]

CONFIDENTIAL

00064

9704380311 4

CONFIDENTIAL

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TECHBAL 03/04/92 LIST OF OUTSTANDING ADVANCES WITH CREDIT BALANCES 06:36:25 PAGE 465

VENDOR NO: BRC002
EMPLOYEE NAME: KENNETH D BRODY

REFERENCE NO.	PROCESSOR	DESCRIPTION	ORGANIZATION	VOUCHER	DATE	AMOUNT
AMX 0000758482		THE LITTLE HELL ASPEN CO	0001-006693	AE0214	01/15/92	733.12-
VD 730618			REF. NO. 0000758482		TOTAL	733.12-
			REF. CODE AMX		TOTAL	733.12-
CAS 0000920106	TE3	PERSONAL FED EXP CHARGE PAID	0001-006693	010044	01/07/92	26.12-
			REF. NO. 0000920106		TOTAL	26.12-
			REF. CODE CAS		TOTAL	26.12-
TCT 1368031107		CONTINENTAL AIR/GR NOM	0001-006693	TC2775	01/03/92	399.00
TCT 1368031107		CONTINENTAL AIR/GR NOM	0001-006693	TC2910	01/30/92	302.00-
TCT 1368031107	TE4	BRODY/K CONT 010392 PARTIAL	0001-006693	020365	01/24/92	302.00-
			REF. NO. 1368031107		TOTAL	6.00-
			REF. CODE TCT		TOTAL	6.00-
			ADVANCE 200002		TOTAL	765.24-

TE3 3/1/92
VA227926

00065

9704380311 5

CONFIDENTIAL

CONFIDENTIAL

LOL201 03/05/92 --- ACCOUNTS PAYABLE - LIST VOUCHER REPORT --- 05:15:08 PAGE: 1,0[illegible]

PROCESSOR: TE5

INV. TYPE	CORP	VOUCHER	VENDOR	INVOICE #		REFERENCE	CLIENT	ORG.	ACCOUNT	AMOUNT
1	TE001	227933	BR6082	030592	15313	VA227933 030592				
		KENNETH D SROBY 85 BROAD STREET 19TH FLOOR **********								
	CONTINENTAL					TCT1368031107		06460	120459060	302.0000
	CONTINENTAL AIR/CR MGM					TCT1368031107		09100	120459060	302.00

99000

9704380311 6

PROCESSOR: [redacted]

CORP	VOUCHER	VENDOR	INVOICE #	REFERENCE	CLIENT	PRO.	ACCOUNT	AMOUNT
TC001	218977	BR0002	020692 10990	TX180000 011192				

KENNETH B [redacted]
85 BROAD STREET
19TH FLOOR
[redacted]

0830P	85 BROAD	BROOKLYN	11717	[redacted]	[redacted]	25.00CR
0920A	515 E72	85 BROAD	00394	[redacted]	[redacted]	75.00CR
0920A	515 E72	85 BROAD	00003	[redacted]	[redacted]	25.00CR
1130P	667 MAD	667 MAD	00090	[redacted]	[redacted]	250.50CR
1130P	667 MAD	667 MAD	00000	[redacted]	[redacted]	42.00CR
0540P	85 BROAD	419 E57	00000	[redacted]	[redacted]	128.50CR
0540P	85 BROAD	419 E57	00000	[redacted]	[redacted]	300.00CR
1105A	667 MAD	667 MAD	00000	[redacted]	[redacted]	100.25CR
1105A	667 MAD	667 MAD	00000	[redacted]	[redacted]	31.00CR
1030A	BROAD	10GRACE	00006	[redacted]	[redacted]	17.00CR
0240P	10 GRACE	47TH 5TH	00000	[redacted]	[redacted]	29.00CR
0815A	10 GRACE	NRC TEAM	00000	[redacted]	[redacted]	10.00CR
0600P	85 BROAD	47TH	00000	[redacted]	[redacted]	33.00CR
0600P	85 BROAD	47TH	00000	[redacted]	[redacted]	70.00CR
0813P	85 BROAD	BKLYN	00000	[redacted]	[redacted]	24.50CR
1000P	85 BROAD	BKLYN	00000	[redacted]	[redacted]	24.50CR
0800P	85 BROAD	515 E72	00000	[redacted]	[redacted]	25.00CR
0800P	85 BROAD	515 E72	00000	[redacted]	[redacted]	6.00CR
0840A	10 GRACE	10 GRACE	00000	[redacted]	[redacted]	17.00CR
0800P	85 BROAD	BKLYN	00000	[redacted]	[redacted]	24.50CR
0752A	515 E72	515 E72	00000	[redacted]	[redacted]	77.00CR
1230P	515E72ND	85BROAD	00000	[redacted]	[redacted]	110.00CR
1210A	66 BDWY	515E72ND	00000	[redacted]	[redacted]	16.00CR
1210A	66 BDWY	515E72ND	00000	[redacted]	[redacted]	6.50CR
0800P	85 BROAD	16/W77		[redacted]	[redacted]	21.00CR
0800P	85 BROAD	16/W77		[redacted]	[redacted]	54.00CR
0830P	85 BROAD	515/E72		[redacted]	[redacted]	17.00CR
1055A	515 E72	85 BROAD	00050	[redacted]	[redacted]	27.00CR
1055A	515 E72	85 BROAD	00000	[redacted]	[redacted]	18.00CR
1000P	GRACE BG	29 E10TH	00000	[redacted]	[redacted]	16.00CR
0825A	515 E72	63 CPW	00001	[redacted]	[redacted]	24.00CR
0845A	GRACE BG	GRACE BG	00000	[redacted]	[redacted]	31.50CR
0900P	85 BROAD	BKLYN	00000	[redacted]	[redacted]	24.50CR
0840A	10 GRACE	10 GRACE	00000	[redacted]	[redacted]	33.00CR
0105P	85 BROAD	85 BROAD	00000	[redacted]	[redacted]	137.50CR
0105P	85 BROAD	85 BROAD	00000	[redacted]	[redacted]	135.00CR
1205P	85 BROAD	101E69TH	00000	[redacted]	[redacted]	17.00CR
0920A	10 GRACE	92ND PK.	00000	[redacted]	[redacted]	10.00CR
0515P	6E46TH	10GRACE	00000	[redacted]	[redacted]	21.00CR

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KENNETH D BRODY — TAXI NUMBER: 25461 — ACCOUNT NO: 080062 — AS OF 01/11/92 — STATEMENT NUMBER: TX132602 — PAGE 002 OF 002

SUMMARY OF EXPENSES EMPLOYEE #: 09196 ORGANIZATION: 5051 005593 DEPT: LIMITED PARTNERS

KENNETH D BRODY

Travel and Entertainment

Month-End Summary of Expenses

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	AMOUNT	
1.) TAXI CHARGES	5,142.60	THIS REPRESENTS THE TOTAL AMOUNT CHARGED TO YOUR TAXI ACCOUNT. SEE SECTION 1 FOR DETAILS.
2.) LESS PENDING CREDITS	25.50	THIS REPRESENTS BILLING ERRORS OR OTHER DISPUTED CHARGES AND MUST EQUAL CHARGES CODED P IN TAXI DETAIL.
3.) LESS OTHER NONREIMBURSABLE CK #'s 1836 / 5003	4606.10	THIS REPRESENTS AMOUNTS REIMBURSABLE TO THE FIRM FOR PERSONAL OR OTHER NON-REIMBURSABLE USE AND MUST EQUAL CHARGES CODED N OR USAGE CODE OF 2 IN TAXI DETAIL. PLEASE ATTACH YOUR CHECK PAYABLE TO GOLDMAN, SACHS & CO. FOR THIS AMOUNT.
4.) TOTAL OF EXPENSES	501.00	SUBTRACT LINES 2 & 3 FROM LINE 1. THIS REPRESENTS THE AMOUNT OF EXPENSES TO BE PAID BY THE FIRM. IF AMOUNTS ARE TO BE CHARGED TO A SYNDICATE PLEASE COMPLETE SECTION II (PAYMENT DISTRIBUTION) ATTACHED.

APPROVALS: To the best of my knowledge, all statements on this report are true and factual and all expenses are in conformity with firm policy.

[signature] 1/23/92

KENNETH D BRODY — DATE

[signature] 1/31/92 (See)

AUTHORIZED SIGNATURE — DATE

☐ Check here if Address or Organization change is required. (Please note change in comments.)

THE FOLLOWING STATEMENTS ARE OUTSTANDING:

TX126102 16/08/91

KDB 1016.50
G.S. 501.00
Clinton 3589.60
Pending 24.00
Overcharge 11.50

COMMENTS/SPECIAL INSTRUCTIONS

RECEIVED
FEB - 3 PM 3:

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[redacted]

TAXI NUMBER: 25461 (011192) STATEMENT NUMBER: TX152602
ACCOUNT NO: BRO002 AS OF 01/11/92 PAGE 003 OF 009

KENNETH G BROWY
SECTION: I
DETAIL CHARGES EMPLOYEE #: 09100 ORGANIZATION: 0001 006693 DEPT: LIMITED PARTNERS

LINE NO.	WAGNER NUMBER	EMPLOYEE NAME	EMPLOYEE NUMBER	PICK UP TIME	WAIT TIME NOW	DATE OF RIDE	# OF STOPS	FROM	TO	AMOUNT	OAT	USE CODE	SYNDICATE/ PROJECT/ NUMBER	PERSONS OR NONPERSONS AMOUNT	CLIENT NUMBER	COMMENTS/ SYNDICATE NAME
001	AC834907	KORVAL PAT	11712	0830P	000	11/20/91	00	85 BROAD	BROOKLYN	24.50	F	3		Ken Bow		PLK
002	CR737375		00000	0920A	020	10/26/91	04	515 E72	85 BROAD	79.00	N	[illegible]		79.00		PRC
003	CR737375		00000	0920A	***	10/26/91	**	********	TEL	26.00	N	[illegible]		26.00		PRC
004	CR739767		00000	1130P	445	11/22/91	00	667 MAD	667 MAD	228.50	N	[illegible]		228.50		PRC
005	CR739767		00000	1130P	***	11/22/91	**	********	TEL	42.00	N	[illegible]		42.00		PRC
006	CR834905		00000	0540P	255	11/22/91	00	85 BROAD	419 E57	128.50	N	[illegible]		128.50		PRC
007	CR834905		00000	0540P	***	11/22/91	**	********	TEL	208.00	N	[illegible]		208.00		PRC
008	CR723667		00000	1105A	210	11/23/91	00	667 MAD	667 MAD	106.00	N	[illegible]		106.00		PRC
009	CR723667		00000	1105A	***	11/23/91	**	********	TEL	32.00	N	[illegible]		32.00		PRC
010	CR724920		00000	1030A	000	11/23/91	00	BROAD	10GRACE	17.00	N	[illegible]		17.00		KDP
011	CR606236		00000	0240P	010	11/24/91	00	10 GRACE	47TH 5TH	20.00	N	[illegible]		20.00		KDB
012	CR736138		00000	0815A	000	11/24/91	00	10 GRACI	NRC TENN	18.00	N	[illegible]		18.00		KDP
013	CR834900		00000	1600P	030	11/25/91	01	85 BROAD	67TH	33.00	N	[illegible]		33.00		PRC
014	CR834900		00000	0600P	***	11/25/91	**	********	TEL	70.00	N	[illegible]		70.00		PRC
015	CR834914		00000	0813P	000	11/25/91	00	85 BROAD	BKLYN	24.50	F	3		Ken Bow		PLK
016	CR834913		00000	1000P	000	11/26/91	00	85 BROAD	BKLYN	24.50	F	3		Ken Bow		PLK
017	CR834916		00000	0800P	000	11/26/91	02	85 BROAD	515 E72	25.00	N	[illegible]		25.00		PRC
018	CR834916		00000	0800P	***	11/26/91	**	********	TEL	8.00	N	[illegible]		8.00		PRC
019	CR830536		00000	0840A	000	11/27/91	00	10 GRACI	10 GRACI	27.00	N	[illegible]		27.00		CSB
020	CR834912		00000	0800P	000	11/27/91	00	85 BROAD	BKLYN	24.50	F	3		Ken Bow		PLK
021	CR834917		00000	0752A	120	11/27/91	01	515 E72	515 E72	77.00	N	[illegible]		77.00		PRC
022	CR834918		00000	1230P	000	11/27/91	01	515E72ND	85BROAD	110.00	N	[illegible]		110.00		PRC
023	CR834919		00000	1210A	000	11/29/91	00	66 BOWY	515E72ND	16.00	N	2		16.00		PRC
024	CR834919		00000	1210A	***	11/29/91	**	********	TEL	8.00	N	2		8.00		PRC

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TAXI NUMBER: 29461 (011192) STATEMENT NUMBER: TX132682
BENNETO D BRADY ACCOUNT NO: BR0002 AS OF 01/11/92 PAGE 004 OF 009
SECTION: I
DETAIL CHARGES EMPLOYEE #: 09106 ORGANIZATION: 0001 006693 DEPT: LIMITED PARTNERS

LINE NO.	VOUCHER NUMBER	EMPLOYEE NAME	EMPLOYEE NUMBER	PICK UP TIME	WAIT TIME MIN	DATE OF RIDE	# OF STOPS	FROM	TO	AMOUNT	CAT	USE CODE	SYNDICATE/ PROJECT/ NUMBER	PENDING OR MANAGEMENT AMOUNT	CLIENT NUMBER	COMMENTS/ SYNDICATE NAME
	TOTAL:					12/06/91				1,377.00						
025	AC834924	CAREY		0800P	000	11/27/91	01	85 BROAD	16/W77	21.00	N	3		21.00		PRC
026	AC834924	CAREY		0800P	***	11/27/91	**	********	TEL	34.00	N	3		34.00		PRC
027	AC834925	CAREY		0830P	000	12/02/91	00	85 BROAD	515/E72	17.00	N	[illegible]		17.00		PRC
028	CR834906		00000	1055A	025	11/22/91	00	515 E72	85 BROAD	27.00	N	[illegible]		27.00		PRC
029	CR834906		00000	1055A	***	11/22/91	**	********	TEL	18.00	N	[illegible]		18.00		PRC
030	CR741698		00000	1000P	000	11/27/91	00	GRACE SQ	23 E10TH	18.00	N	[illegible]		18.00		ARB
031	CR834923		00000	0925A	020	11/28/91	00	515 E72	63 CPW	24.00	N	[illegible]		24.00		PRC
032	CR716707		00000	0845A	010	12/02/91	01	GRACE SQ	GRACE SQ	31.00	N	[illegible]		31.00		CSB
033	CR834911		00000	0900P	000	12/02/91	00	85 BROAD	BKLYN	24.50	F	3	[illegible]	[illegible]		PLK
034	CR646979		00000	0840A	012	12/03/91	01	10 GRACE	10 GRACE	33.00	N	[illegible]		33.00		CSB
035	CR834922		00000	0105P	270	12/03/91	00	85 BROAD	85 BROAD	137.60	N	[illegible]		137.60		PRC
036	CR834922		00000	0105P	***	12/03/91	**	********	TEL	132.00	N	[illegible]		132.00		PRC
037	CR834936		00000	1205P	020	12/03/91	00	85 BROAD	101E69TH	17.00	N	[illegible]		17.00		KDP
038	CR746701		00000	0920A	000	12/04/91	00	10 GRACE	92ND PK.	14.00	N	[illegible]		14.00		CSB
039	CR830534		00000	0515P	015	12/04/91	00	9E66TH	10GRACE	21.00	N	[illegible]		21.00		CSB
040	CR834920		00000	0900A	000	12/04/91	00	515 E72	85 BROAD	17.00	N	[illegible]		17.00		PRC
041	CR646936		00000	0300P	000	12/05/91	00	TIME	CALL	61.00	[illegible]	[illegible]		61.00		CSB
042	CR830530		00000	0710P	000	12/05/91	00	10GRACE	QUEENS	25.00	N	[illegible]		25.00		CSB/LC
043	CR834909		00000	0800P	000	12/05/91	00	85 BROAD	BKLYN	24.50	F	3	[illegible]	[illegible]		PLK
044	CR834909		00000	0800P	***	12/05/91	**	********	TEL	6.00	F	3	[illegible]	[illegible]		PLK
045	CR723911		00000	0135P	000	12/06/91	00	10 GRACE	200 W45	17.00	N	[illegible]		17.00		CSB
	TOTAL:					12/13/91				719.60						

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TAXI NUMBER: 25461 (011192) STATEMENT NUMBER: TK132682
ACCOUNT NO: BR0002 AS OF 01/11/92 PAGE 005 OF 007

KENNETH D BRODY
SECTION: I
DETAIL CHARGES EMPLOYEE #: 09100 ORGANIZATION: 0001 006693 DEPT: LIMITED PARTNERS

LINE NO.	VOUCHER NUMBER	EMPLOYEE NAME	EMPLOYEE NUMBER	PICK UP TIME	WAIT TIME MIN	DATE OF RIDE	# OF STOPS	FROM	TO	AMOUNT	CAT	[illegible]	SYNDICATE/ PROJECT NUMBER	PENDING TO [illegible] AMOUNT	CLIENT NUMBER	COMMENTS/ SYNDICATE NAME
046	AC835066	PACKAGE		0510P	000	12/05/91	00	85 BROAD	84ST/EEA	23.00	F	4	[illegible]	[illegible]	[illegible]	KDB [illegible]
047	CR830533		00000	0600P	000	12/03/91	00	10GRACE	ELMHURST	25.00	[illegible]	[illegible]		25.00		CSP
048	CR686675		00000	0815A	000	12/04/91	00	515 E72	LAG	29.50	N	[illegible]		29.50		PRC
049	CR764666		00000	0945P	000	12/04/91	00	101 CPW	STAT ISL	12.00	P	0	DOB	KIN	NOT	OVRS
050	CR830532		00000	0300P	010	12/04/91	00	10GRACE	4E66TH	19.00	N	[illegible]		[illegible]		CSP
051	CR676972		00000	1140A	033	12/05/91	01	10 GRACE	10 GRACE	45.00	[illegible]	[illegible]		[illegible]		CSP
052	CR691408		00000	0510P	010	12/05/91	00	10 GRACE	60TH	19.00	N	[illegible]		19.00		KDB
053	CR716712		00000	0840A	000	12/06/91	00	GRACIESQ	92 PARK	14.00	N	[illegible]		14.00		CSP
054	CR764714		00000	1200P	000	12/06/91	00	62 E92	GRACE SQ	12.00	P	0	WHO	IS	THIS?	
055	CR834921		00000	0530P	000	12/06/91	00	85 BROAD	1645 5TH	18.00	N	[illegible]		18.00		PRC
056	CR834921		00000	0530P	***	12/06/91	**	********	TEL	38.00	N	[illegible]		38.00		PRC
057	CR690929		00000	0740P	010	12/07/91	00	10GRACE	PLAZA	19.00	N	[illegible]		[illegible]		CSP
058	CR834926		00000	1000P	000	12/07/91	00	E 72	TIMECALL	78.50	N	[illegible]		78.50		PRC
059	CR834926		00000	1000P	***	12/07/91	**	********	TEL	22.00	N	[illegible]		22.00		PRC
060	CR623122		00000	0500P	015	12/09/91	00	4E66TH	10GRACE	21.00	[illegible]	[illegible]		21.00		CSP
061	CR740713		00000	0340P	020	12/09/91	00	GRACE SQ	4 E66TH	23.00	[illegible]	[illegible]		[illegible]		CSP
062	CR740714		00000	0900A	000	12/09/91	00	515 E72	85 BROAD	17.00	N	[illegible]		17.00		PRC
063	CR740714		00000	0900A	***	12/09/91	**	********	TEL	8.00	N	[illegible]		8.00		PRC
064	CR834927		00000	0630P	090	12/09/91	01	85 BROAD	85TH	67.00	N	[illegible]		67.00		PRC
065	CR834927		00000	0630P	***	12/09/91	**	********	TEL	60.00	N	[illegible]		60.00		PRC
066	CR835063		00000	0900P	000	12/09/91	00	85 BROAD	BKLYN	24.50	F	3	[illegible]	[illegible]		PLK
067	CR835064		00000	0120A	000	12/09/91	01	85BROAD	515E72ND	24.00	N	[illegible]		24.00		PRC
068	CR197353		00000	0845A	000	12/10/91	00	BKLYN	85 BROAD	24.50	N	2		24.50		PRC
069	CR724386		00000	0415P	000	12/10/91	00	TETERBOR	MAN	296.00	N	[illegible]		296.00		PRC

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TAXI NUMBER: 25461 (011192) STATEMENT NUMBER: TX152689
ACCOUNT NO: BK0002 AS OF 01/13/92 PAGE 006 OF 008

KENNETH D BROBY
SECTION: 1
DETAIL CHARGES EMPLOYEE #: 09100 ORGANIZATION: 0001 006693 DEPT: LIMITED PARTNERS

LINE NO.	VOUCHER NUMBER	EMPLOYEE NAME	EMPLOYEE NUMBER	PICK UP TIME	WAIT TIME MIN	DATE OF RIDE	# OF STOPS	FROM	TO	AMOUNT	CAT	USE CODE	SYNDICATE/ PROJECT/ NUMBER	PERSONAL TO REPRESENTATIVE AMOUNT	CLIENT NUMBER	COMMENTS/ SYNDICATE NAME
070	CR735947		00000	0330P	***	12/10/91	**	********	TEL	26.00	N	[illegible]		26.00	/	PRC
071	CR735947		00000	0330P	000	12/10/91	00	TIME	CALL	357.00	N	[illegible]		357.00	/	PRC
072	CR835060		00000	0800P	000	12/10/91	00	85 BROAD	BKLYN	24.50	F	3	/ [illegible]	[illegible]		PLK
073	CR835061		00000	0640P	000	12/10/91	00	85 BROAD	301 FK.	16.00	N	[illegible]		16.00	/	PRC
074	CR835061		00000	0640P	***	12/10/91	**	********	TEL	16.00	N	[illegible]		16.00	/	PRC
075	CR669434		00000	0745P	000	12/11/91	00	10GRACE	77THMAD	15.00	N	[illegible]	/	15.00	/	CSB
076	CR690780		00000	1040A	000	12/11/91	00	GRACIESQ	50 PARK	20.00	N	[illegible]	/	20.00	/	CSB
077	CR716679		00000	0633P	000	12/11/91	02	MIDTOWN	10 GRACE	25.00	N	[illegible]	/	25.00	/	CSB
078	CR639465	[illegible] BE	25461	0850A	000	12/12/91	01	GRACIESQ	GRACIESQ	27.00	N	[illegible]	/	27.00	/	CSB
079	CR716774		00000	0130P	000	12/12/91	00	10GRACE	74THMAD	15.00	N	[illegible]	/	15.00	/	CSB
080	CR834951		00000	1130P	000	12/12/91	01	85 BROAD	245E83RD	22.00	N	[illegible]		22.00	/	PRC
081	CR835055		00000	1130P	000	12/12/91	00	85 BROAD	BKLYN	24.50	F	3	/ [illegible]	[illegible]		PLK
082	CR835056		00000	0604P	000	12/12/91	00	85 BROAD	10 GRACE	18.00	N	[illegible]	/	18.00	/	KDC
083	CR835065	HYLAND BER	100	0900P	000	12/12/91	00	85 BROAD	82ND	16.00	F	[illegible]	[illegible]	[illegible]		[illegible]
084	CR685762		00000	0850A	000	12/13/91	01	10 GRACE	10 GRACE	27.00	N	[illegible]	/	[illegible]	/	CSB
085	CR834932		00000	0914A	000	12/13/91	00	72ND	85 BROAD	17.00	N	[illegible]		17.00	/	PRC
086	CR834932		00000	0914A	***	12/13/91	**	********	TEL	22.00	N	[illegible]		22.00	/	PRC
087	CR834937		00000	0245P	150	12/13/91	03	85BRO.	515E72ND	121.00	N	[illegible]		121.00	/	PRC
088	CR834937		00000	0245P	***	12/13/91	**	********	TEL	110.00	N	[illegible]		110.00	/	PRC
089	CR717734		00000	0345P	000	12/14/91	01	JFK	34TH	64.00	E	[illegible]	[illegible]	[illegible]		[illegible]
090	CR835013		00000	0351A	000	12/15/91	00	85 BROAD	BKLYN	24.50	F	3	[illegible]	[illegible]		PLK
	TOTAL:					12/20/91				1,948.50						
091	AC835950	PACKAGE		0800P	000	12/11/91	00	85 BROAD	10/GRACI	23.00	F	5	[illegible]	[illegible]		[illegible]

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KENNETH D BROSZ — CARD NUMBER: 25461 (011192) — STATEMENT NUMBER: 7X152682
SECTION: 1 — ACCOUNT NO: 880282 — AS OF 01/11/92 — PAGE 001 OF 008
DETAIL CHARGES — EMPLOYEE #: 09100 — ORGANIZATION: 0001 006693 — DEPT: LIMITED PARTNERS

LINE NO	VOUCHER NUMBER	EMPLOYEE NAME	EMPLOYEE NUMBER	TIME OF TIME	WAIT TIME MIN	DATE OF RIDE	NO OF STOPS	FROM	TO	AMOUNT	CAT	SYNDICATE/ PROJECT/ NUMBER	PERSONAL OR BUSINESS AMOUNT	CLIENT NUMBER	COMMENTS/ SYNDICATE NAME
092	AC835054	[illegible] KENN	09100	0800P	000	12/11/91	00	85 BROAD	BROOKLYN	24.50	F	S			PRC
093	AC835063	CAREY		0600P	060	12/11/91	01	85 BROAD	515/E72	45.00	N		45.00		PRC
094	AC895062	CAREY		0600P	***	12/11/91	**	********	TEL	44.00	N		44.00		PRC
095	CR737356		00800	0822A	015	12/11/91	00	GRACE.SQ	RADIO MC	23.00	N				C S P
096	CR835612		00000	0510P	000	12/11/91	00	85 BROAD	BKLYN	24.50	N		24.50		PRC
097	CR830534		00000	0700P	000	12/12/91	00	10 GRACI	QUEEN	31.50	F				C P [illegible]
098	CR717698		00000	0500P	015	12/13/91	01	GRACE SQ	GRACE SQ	33.00	U		[illegible]		C S P
099	CR834938		00000	0240P	060	12/13/91	01	85 BROAD	1560CHWAY	52.00	N		52.00		
100	CR698991	Con207	00000	0330P	015	12/16/91	00	BKLYN	6 SBROAD	31.50	F				[illegible]
101	CR834936		00000	0515P	050	12/16/91	02	515 E72	25 CPW	59.00	N		54.00		PRC
102	CR835125		00000	0600P	000	12/15/91	00	GRACIE S	86TH	17.00	F		[illegible]		C S P
103	CR716487		00000	0100P	015	12/15/91	01	10 GRACI	86TH	26.00	[illegible]		[illegible]		[illegible]
104	CR835014	NORVAL PAT	11712	0815P	000	12/15/91	00	BKLYN	27 W68TH	28.50	N		24.50		K D B
105	CR835015		00000	0915P	000	12/15/91	00	86TH	76TH	28.50	F		[illegible]		K D B
106	CR835015		00000	0915P	***	12/15/91	**	********	TEL	8.00	N		[illegible]		K D B
107	CR623119		00000	1145A	000	12/16/91	01	10 GRACI	60TH	20.00	F		[illegible]		[illegible]
108	CR623119		00000	1145A	***	12/16/91	**	********	TEL	8.00	F		[illegible]		C S P
109	CR692168		00000	0910A	030	12/16/91	01	GRACE SQ	GRACE SQ	49.00	[illegible]		[illegible]		C S P
110	CR769141		00000	0125P	160	12/17/91	02	46 BWAY	E 72	100.00	N		100.00		PRC
111	CR717585		00000	0656P	010	12/17/91	01	72ND	60TH	35.00	U		35.00		PRC
112	CR717585		00000	0656P	***	12/17/91	**	********	TEL	4.00	N		4.00		PRC
113	CR781839		00000	1200A	000	12/17/91	00	LAFAYETT	10 GRACI	18.00	F		[illegible]		[illegible]
114	CR834933		00000	0610P	040	12/16/91	00	1560 BWY	85 BROAD	73.50	N		73.50		PRC
115	CR738155		00000	0610A	000	12/19/91	00	10 GRACI	LGA	29.50	N		[illegible]		C P

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TAXI NUMBER: 25461 (011192) STATEMENT NUMBER: TA132602
MEMBER: D BRODY ACCOUNT NO: BR0002 AS OF 01/11/92 PAGE 008 OF 009
SECTION: I
DETAIL CHARGES EMPLOYEE #: 09100 ORGANIZATION: 0001 006693 DEPT: LIMITED PARTNERS

LINE NO.	VOUCHER NUMBER	EMPLOYEE NAME	EMPLOYEE NUMBER	PICK UP TIME	WAIT TIME MIN	DATE OF RIDE	# OF STOPS	FROM	TO	AMOUNT	CAT	USE CODE	SYNDICATE/PROJECT NUMBER	PENDING IN MONTH/YEAR AMOUNT	CLIENT NUMBER	COMMENTS/SYNDICATE NAME
116	CR834939		00000	1205A	000	12/19/91	01	85 BROAD	03RD	22.00	N	[illegible]		22.00	/	PRC
117	CR835054		00000	0445P	000	12/19/91	00	85 BROAD	M 61	17.00	N	[illegible]		[illegible]	/	KTB
118	CR835054		00000	0445P	***	12/19/91	**	********	TEL	4.00	N	[illegible]	/	4.00	/	KTB
	TOTAL:					12/27/91				878.00						
119	CR834928		00000	0425P	010	12/10/91	01	515 E72	85 BROAD	25.00	N	[illegible]		25.00	/	PRC
120	CR834934		00000	1145P	020	12/22/91	00	LGA	E 72	37.50	N	[illegible]		37.50	/	PRC
121	CR834934		00000	1145P	***	12/22/91	**	********	TEL	38.00	N	[illegible]		38.00	/	PRC
122	CR835021		00000	0200A	000	12/23/91	00	85 BROAD	BKLYN	24.50 ~~30.00~~	F	3	/ [illegible]	[illegible]		[illegible]
123	CR835051	[illegible]	00000	0045P	000	12/23/91	00	E 51	BKLYN	32.50	F	3	/ [illegible]	[illegible]		
124	CR835052		00000	0705P	000	12/23/91	00	85 BROAD	420 E51	16.00	F	4	/ [illegible]	[illegible]		
125	CR835050		000[illegible]	0900A	0[illegible]	12/24/91	00	76TH	85 BROAD	24.50	N	[illegible]		24.50	/	KTB
126	CR724684		00000	0910A	000	12/26/91	00	E 72	1560BWAY	16.00	N	[illegible]		16.00	/	PRC
	TOTAL:					01/03/92				219.50						

STATEMENT RIDE TOTAL [illegible]
STATEMENT TELEPHONE TOTAL 952.00
STATEMENT TOTAL 5,1[illegible].50

USAGE CODES
1=BUSINESS 3=HOME
2=PERSONAL 4=PACKAGE

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KENNETH D BRODY

TAX1 NUMBER: 25861
ACCOUNT NO: BRO002

AS OF 01/11/92

STATEMENT NUMBER: TA1327607
PAGE 009 OF 009

SECTION: 11
PAYMENT DISTRIBUTION

EMPLOYEE #: 09188

ORGANIZATION: 0001 006693

DEPT: LIMITED PARTNERS

CAT	AMOUNT	SYNDICATE NUMBER	SYNDICATE NAME / TRANSFER ACCOUNT	CLIENT NUMBER	CAT	AMOUNT	SYNDICATE NUMBER	SYNDICATE NAME / TRANSFER ACCOUNT	CLIENT NUMBER
F	[illegible]		GENERAL BUSINESS EXPENSES						
	[illegible]								
	[illegible]								
	[illegible]								
	[illegible]								
	[illegible]								
	6.00								
	23.00								
	[illegible].50								
	24.50								
	[illegible].00								
	[illegible].00								
	64.00								
	29.50								
	[illegible].00								
	24.50								
	[illegible].00								
	24.50								
	[illegible].00								
	[illegible].00								

COLUMN 1 TOTAL [illegible] → [illegible].00

COLUMN 2 TOTAL

COLUMN 1 TOTAL

ADD LINE 1 AND LINE 2. TOTAL OF CHARGES MUST EQUAL LINE A, PG 2 OF SUMMARY OF EXPENSES

PLEASE COMPLETE THIS SECTION ONLY IF YOU WISH TO CHARGE YOUR TAX1 EXPENSES TO A SYNDICATE ACCOUNT OR A DEPARTMENT CODE OTHER THAN YOUR OWN.

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CESSOR: TE2

V. TYPE	CORP	VOUCHER	VENDOR	INVOICE #	REFERENCE	CLIENT	OPG.	ACCOUNT	AMOUNT
1	TE001	226826	BR0002	050692 27478	TX133974 021392				

KENNETH D BRODY
85 BROAD STREET
19TH FLOOR
****

0530P	85 BROAD	515/E72			TAX0000748231			120455060	17.00CR
0350P	10 GRACE	6NTH YK			TAX0000741572		00000	120455060	15.00CR
0000P	85 BROAD	BKLYN			TAX0000835017		00000	120455060	24.50CR
0200P	BKLYN	59NORTO			TAX0000835016		00000	120455060	24.50CR
0025A	E 72	85 BROAD			TAX0000626708		00000	120455060	21.00CR
1215P	MAD.SQ.G	GRACE SQ			TAX0000717582		00000	120455060	18.00CR
1005A	10 GRACE	MAD SQ			TAX0000741649		00000	120455060	24.00CR
1005A	10 GRACE	MAD SQ			TAX0000741649		00000	120455060	6.00CR
0730P	E 72	W 55			TAX0000748234		00000	120455060	32.00CR
0730P	E 72	W 55			TAX0000748294		00000	120455060	40.00CR
0400P	85 BROAD	BKLYN			TAX0000835045		00000	120455060	24.50CR
0345P	85 BROAD	GRACE SQ			TAX0000835046		00000	120455060	43.00CR
0500P	1560 BWY	21 E90			TAX0000748292		00000	120455060	44.00CR
0530P	1560 BWY	BLEEKER			TAX0000748295		00000	120455060	61.00CR
0545P	10 GRACE	74TH ST			TAX0000741373		00000	120455060	15.00CR
0832A	10 GRACE	PENN STA			TAX0000741652		00000	120455060	24.00CR
0300P	PENN STA	10 GRACE			TAX0000741656		00000	120455060	18.00CR
0610P	1560BWAY	1601 SAV			TAX0000748296		00000	120455060	46.00CR
1010A	72ND	1560 BWY			TAX0000748297		00000	120455060	34.00CR
1250P	1560 BWY	72ND			TAX0000748298		00000	120455060	47.00CR
0445P	85 BROAD	217 PK.			TAX0000835044		00000	120455060	23.50CR
1150A	515 E72	1560 BWY			TAX0000748293		00000	120455060	29.00CR
0130P	85 BROAD	GRACIE			TAX0000835042		00000	120455060	[illegible]
1055A	GRACIE	59 PARK			TAX0000701061		00000	120455060	20.00CR
0721A	10 GRACE	85 BROAD			TAX0000717530		00000	120455060	29.00CR
0825A	72ND	1560 BWY			TAX0000748304		00000	120455060	16.00CR
0530P	GRACIESQ	GRACIESQ			TAX0000748300		00000	120455060	85.00CR
0341P	E 72	VESEY ST			TAX0000748300		00000	120455060	40.00CR
0510P	1560 BWY	400 E76			TAX0000748303		00000	120455060	20.00CR
0510P	1560 BWY	400 E76			TAX0000748303		00000	120455060	20.00CR
0830P	85 BROAD	515/E72			TAX0000835043			120455060	31.00CR
0600P	85 BROAD	BROOKLYN			TAX0000835047		09100	120455060	24.50CR
1010A	101 CPW	85BROAD			TAX0000660902	00000 S P	0001-000000	210150110	22.00
1010A	101 CPW	85BROAD			TAX0000660902		00000	120455060	22.00CR
0535P	85BROAD	YONKERS			TAX0000334904	00000 S P	0001-000000	210150110	358.00
0535P	85BROAD	YONKERS			TAX0000334904		00000	120455060	358.00CR
0535P	85BROAD	YONKERS			TAX0000334904	00000 S P	0001-000000	210150110	312.00
0535P	85BROAD	YONKERS			TAX0000334904		00000	120455060	312.00CR
0130P	10 GRACE	LINC CTR			TAX0000686916		00000	120455060	16.00CR

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KENNETH D BRODY

TAXI NUMBER: 25461
ACCOUNT NO: BR0002

STATEMENT NUMBER: TX1[illegible]
AS OF 02/13/92
PAGE 002 OF 015

SUMMARY OF EXPENSES EMPLOYEE #: 09100 ORGANIZATION: 0001 006693 DEPT: LIMITED PARTNERS

	AMOUNT	
1.) TAXI CHARGES	7,460.90	THIS REPRESENTS THE TOTAL AMOUNT CHARGED TO YOUR TAXI ACCOUNT. SEE SECTION 1 FOR DETAILS.
2.) LESS PENDING CREDITS		THIS REPRESENTS BILLING ERRORS OR OTHER DISPUTED CHARGES AND MUST EQUAL CHARGES CODED P IN TAXI DETAIL.
3.) LESS OTHER NONREIMBURSABLE	6587.90	THIS REPRESENTS AMOUNTS REIMBURSABLE TO THE FIRM FOR PERSO[illegible] OR OTHER NON-REIMBURSABLE USE AND MUST EQUAL CHARGES CODE[illegible] OR USAGE CODE OF 2 IN TAXI DETAIL. PLEASE ATTACH YOUR CHECK PAYABLE TO GOLDMAN, SA[illegible] & CO. FOR THIS AMOUNT.
4.) TOTAL OF EXPENSES	516.00	SUBTRACT LINES 2 & 3 FROM LINE 1. THIS REPRESENTS THE AMOUNT OF EXPENSES TO BE PAID BY THE [illegible] IF AMOUNTS ARE TO BE CHARGED TO A SYNDICATE PLEASE COMPLE[illegible] SECTION II (PAYMENT DISTRIBUTION) ATTACHED.

Travel and Entertainment

Monthly Summary of Expenses

RECEIVED
FEB -5 A 11:31
ACCOUNTING SERVICES
HELP DESK

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Goldman Sachs

APPROVALS: To the best of my knowledge, all statements on this report are true and factual and all expenses are in conformity with firm policy.

X K D Brody 5/2/92
KENNETH D BRODY DATE

Helen Northern 5/5/92
AUTHORIZED SIGNATURE DATE

☐ Check here if Address or Organization change is required. (Please note change in comments.)

THE FOLLOWING STATEMENTS ARE OUTSTANDING:

TX126102 10/08/91

[illegible] 8516.00
[illegible]
[illegible]
[illegible]

COMMENTS/SPECIAL INSTRUCTIONS

[illegible]
[illegible] Statement

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KENNETH B. ZOOBY
SECTION: 1
DETAIL CHARGES

EMPLOYEE #: 09100

TAXI NUMBER: 25661
ACCOUNT NO: RR0002
ORGANIZATION: 0001 000673

(021192)
AS OF 02/13/92
DEPT: LIMITED PARTNERS

STATEMENT NUMBER: TX111970
PAGE 003 OF 313

LINE NO	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]
001	AC748291	CAREY		0530P	000	12/27/92	00	85 BROAD	515/E72	17.00	N	2		17.00		PRC
002	CR741572		00000	0350P	000	12/16/91	00	10 GRACE	68TH YK	15.00	N	2		15.00		CSB
003	CR835017		00000	0800P	000	12/20/91	00	85 BROAD	BKLYN	24.50	F	3	Gen Pr.			PLK
004	CR835016		00000	0200P	000	12/22/91	00	BKLYN	59HORTO	24.50	N	2		24.50		[illegible]
005	CR626700	Carey	00000	0925A	010	12/27/91	00	E 72	85 BROAD	21.00	N	2		21.00		PRC
006	CR717582		00000	1215P	000	12/27/91	00	MAD.SQ G	GRACE SQ	18.00	N	2		18.00		CSB
007	CR741649		00000	1005A	015	12/27/91	00	10 GRACI	MAD SQ	24.00	N	2		24.00		CSB
008	CR741649		00000	1005A	---	12/27/91	--	--------	TEL	8.00	N	2		8.00		CSB
009	CR748294	Carey	00000	0730P	030	12/27/91	01	E 72	W 55	32.00	N	2		32.00		PRC
010	CR748294	Carey	00000	0730P	---	12/27/91	--	--------	TEL	40.00	N	2		40.00		PRC
011	CR835045	Carey	00000	0400P	000	12/27/91	00	85 BROAD	BKLYN	24.50	N	2		24.50		PRC
012	CR835046		00000	0345P	015	12/27/91	01	85 BROAD	GRACE SQ	43.00	F	1	Gen Pr.			KDB
013	CR748292	Carey	00000	0500P	040	12/28/91	01	1560 BWY	21 E90	44.00	N	2		44.00		PRC
014	CR748295	Carey	00000	0530P	000	12/28/91	02	1560 BWY	BLEEKER	61.00	N	2		61.00		PRC
015	CR741373		00000	0545P	000	12/29/91	00	10 GRACE	74TH ST	15.00	N	2		15.00		KDB
016	CR741652		00000	0832A	012	12/29/91	00	10 GRACI	PENN STA	24.00	N	2		24.00		KDB
017	CR741656		00000	0300P	000	12/29/91	00	PENN STA	10 GRACI	18.00	N	2		18.00		KDB
018	CR748296	Carey	00000	0630P	000	12/29/91	03	1560BWAY	1691 SAV	46.00	N	2		46.00		PRC
019	CR748297	Carey	00000	1010A	035	12/30/91	01	72ND	1560 BWY	34.00	N	2		34.00		PRC
020	CR748298	Carey	00000	1250P	025	12/30/91	01	1560 BWY	72ND	47.00	N	2		47.00		PRC
021	CR835044		00000	0445P	000	12/30/91	01	85 BROAD	277 PK.	25.50	F	1	Gen Pr.			KDB
022	CR748299	Carey	00000	1150A	000	12/31/91	02	515 E72	1560 BWY	29.00	N	2		29.00		PRC
023	CR835042		00000	0130P	025	12/31/91	03	85 BROAD	GRACIE	65.00	N	2		65.00		KDB
024	CR701061		00000	1055A	010	01/02/92	00	GRACIE	59 PARK	20.00	N	2		20.00		CSB

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KENNETH P. BROBY
SECTION: 1
DETAIL CHARGES

TAXI NUMBER: 25961
ACCOUNT NO: BRO002

(071192)
AS OF 07/13/92

STATEMENT NUMBER: 1819576
PAGE 004 OF 013

EMPLOYEE #: 09100

ORGANIZATION: 0001 (0661)

DEPT: LIMITED PARTNERS

LINE #	REFERENCE	EMPLOYEE NAME	TRANSACTION #	TIME	MTHD	DATE	STATUS	FROM	TO	AMOUNT					
025	CR717530		00000	0721A	011	01/02/92	00	10 GRACE	85 BROAD	29.00	A				
026	CR748304	Carey	00000	0825A	008	01/02/92	00	72ND	1560 BWY	16.00	N			16 00	PRC
027	CR748380		00000	0530P	005	01/04/92	00	GRACIESQ	GRACIESQ	45.00	N			4 . 00	C A B
028	CR748380	Carey	00000	0541P	016	01/04/92	02	E 72	VESEY ST	40.00	N			40 00	PRC
029	CR748383	Carey	00000	0510P	010	01/04/92	01	1560 BWY	400 E76	28.00	N			28 00	PRC
030	CR748383	Carey	00000	0510P	---	01/04/92	--	--------	YEL	20.00	N			20 00	PRC
	TOTAL:					01/10/92				896.00					
031	AC835043	PACKAGES Brody		0830P	000	12/30/92	01	85 BROAD	513/E72	33.00	N			33 00	PRC
032	AC835047	BRODY KENN	09100	0600P	000	12/31/92	00	85 BROAD	BROOKLYN	26.50	F		Ken Rt?		PRC
033	CR662982		00000	1010A	009	09/11/91	00	101 CPW	85BROAD	22.00	P		*	22 00	NOT OVER
034	CR836904		00000	0535P	151	12/24/91	04	85BROAD	YONKERS	350.00	P				PSHW NOT AM
035	CR836905		00000	0535P	---	12/24/91	--	--------	YEL	312.00	P				
036	CR686916		00000	0130P	000	12/31/91	00	10 GRACI	LINC CTR	18.00	--			18 00	C A B
037	CR835		00000	0535P	000	01/02/92	00	85BROAD	10GRACE	18.00	A			18 00	K DB
038	CR716376		00000	0300P	000	01/03/92	00	10 GRACI	92ND	14.00	A			14 00	C A B
039	CR717533		00000	1031A	000	01/03/92	00	10 GRACI	85 BROAD	18.00	A			18 00	K DB
040	CR835038		00000	0800P	000	01/03/92	01	85 BROAD	GRACIE	22.00	A			22 00	K DB
041	CR835040		00000	0800P	000	01/03/92	00	85 BROAD	BKLYN	26.50	F	3	Com Rm		PRC
042	CR835045		00000	00000	---	01/03/92	--	--------	YEL	0.00	F	3	Com Rm		PRC
043	CR716007		00000	1000A	000	01/04/92	00	GRACIE	TIMECALL	91.00	N			91 00	K DH
044	CR748295	Carey	00000	0553P	000	01/04/92	00	QUEENS	E 72	38.50	N			38.50	PRC
045	CR748383		00000	0815A	000	01/04/92	01	20VESEY	513 E72	32.00	N			32 00	PRC
046	CR737000		00000	0202P	000	01/03/92	00	10 GRACI	50TH	16.00	A			16 00	K DB

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KENNETH D BRODY
SECTION: 1
DETAIL CHARGES

TAXI NUMBER: 25461 (0233372)
ACCOUNT NO: BR0002 AS OF 02/13/92

STATEMENT NUMBER: 7[illegible]
PAGE 005 OF 013

EMPLOYEE #: 09108 ORGANIZATION: 0003 006603 DEPT: LIMITED PARTNERS

LINE NO.	NUMBER NUMBER	EMPLOYEE NAME	EMPLOYEE NUMBER	PICK UP TIME	WAIT TIME MIN	DATE OF RIDE	[illegible]	FROM	TO	AMOUNT	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]
047	CR716809		00000	0845A	000	01/06/92	00	GRACIE	85 BROAD	18.00	N	[illegible]		18.00		KDB
048	CR717522		00000	0220P	050	01/06/92	03	10 GRACI	10 GRACI	59.00	N	[illegible]		[illegible]		CSB
049	CR748301	Carey	00000	0848A	000	01/06/92	00	E 72	85 BROAD	17.00	N	[illegible]		17.00		PRC
050	CR748372	Carey	00000	0100P	090	01/06/92	00	85 BROAD	LAG	65.50	N	[illegible]		65.50		PRC
051	CR748372	Carey	00000	0100P	***	01/06/92	**	********	TEL	20.00	N	[illegible]		20.00		PRC
052	CR835030		00000	0800P	000	01/06/92	00	85 BROAD	[illegible]	24.50	F	3	Gen Pkg			PLK
053	CR835037		00000	0335P	000	01/06/92	00	85 BROAD	1775 BWY	16.00	N	[illegible]		16.00		KDB
054	CR717537		00000	1035A	000	01/07/92	00	10 GRACI	62ND	15.00	N	[illegible]		15.00		CSB
055	CR736922		00000	0325P	000	01/07/92	00	GRACIESQ	1361MAD	14.00	N	[illegible]		14.00		CSB
056	CR752326		00000	0845A	035	01/07/92	01	10GRACSQ	92NDPARK	41.00	N	[illegible]		41.00		[illegible]
057	CR094610		00000	0815A	000	01/08/92	00	10 GRACE	65 PK.	15.00	N	[illegible]		15.00		CSB
058	CR709574		00000	0635A	000	01/08/92	00	GRACIE	LGA	29.50	F	[illegible]	Gen Pkg			KDB
059	CR716377		00000	0225P	000	01/08/92	00	10 GRACI	97TH	15.00	N	[illegible]		15.00		CSB
060	CR717491		00000	1202P	000	01/08/92	00	92ND	10 GRACI	14.00	N	[illegible]		14.00		[illegible]
061	CR748305	Carey	00000	0225A	000	01/08/92	00	1560 BWY	E 72	16.00	N	[illegible]		16.00		PRC
062	CR748371	Carey	00000	0345P	010	01/08/92	00	1560BWAY	E 73	20.00	N	[illegible]		20.00		PRC
063	CR748371	Carey	00000	0345P	***	01/08/92	**	********	TEL	26.00	N	[illegible]		26.00		PRC
064	CR748377	Richard	00000	0800P	000	01/08/92	00	85 BROAD	GRACIE	23.00	F	4	Gen Pkg			KDB
065	CR835032		00000	0800P	000	01/08/92	00	85 BROAD	1560 BWY	16.00	N	[illegible]		16.00		KDB
066	CR835032		00000	0800P	***	01/08/92	**	********	TEL	4.00	N	[illegible]		4.00		KDB
067	CR835033	Carey	00000	1200P	000	01/08/92	00	1560 BWY	BKLYN	28.50	N	[illegible]		28.50		PRC
068	CR835033	Carey	00000	1200P	***	01/08/92	**	********	TEL	30.00	N	[illegible]		30.00		PRC
069	CR094613		00000	0900P	025	01/09/92	01	241 E78	241 E78	43.00	N	[illegible]		43.00		CSB
070	CR094613		00000	0900P	***	01/09/92	**	********	TEL	4.00	N	[illegible]		4.00		CSB

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KENNETH D BRODY
SECTION: I
DETAIL CHARGES

TAXI NUMBER: 25463
ACCOUNT NO: BRO002

(021192)
OF 02/13/92

STATEMENT NUMBER [illegible]
PAGE 006 OF 013

EMPLOYEE #: 09100 ORGANIZATION: 0001 006693 DEPT: LIMITED PARTNERS

LINE NO.	ACCOUNT NUMBER	EMPLOYEE NAME	EMPLOYEE NUMBER	PICK UP TIME	WAIT TIME MIN	DATE OF RIDE	[illegible]	FROM	TO	AMOUNT	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]
071	CR717496		00000	0903P	000	01/09/92	00	10 GRACI	85 BROAD	18.00	N	0		18.00		KDB
072	CR723854		00000	0305P	000	01/09/92	00	WALL ST	GRACIE	18.00	N	0		[illegible]		CSB
073	CR737597		00000	0120P	000	01/09/92	00	10 GRACI	WALL ST	18.00	N	0		18.00		CSB
074	CR748378	Carey	00000	0652P	000	01/09/92	00	E 72	345 PARK	15.00	N	0		15.00		PRC
075	CR856635		00000	0515P	000	01/09/92	00	GRACIE	E 75	15.00	N	2		15.00		CSB
076	CR717234		00000	0900A	010	01/10/92	01	10 GRACE	85 BROAD	38.00	N	0		38.00		KDB
077	CR717234		00000	0900A	***	01/10/92	**	********	TEL	24.00	N	0		24.00		KDB
078	CR762674		00000	1210P	020	01/10/92	00	GRACE SQ	57TH 5TH	24.00	N	0		24.00		CSB
	TOTAL:					01/17/92				1,791.50						
079	AC748375	BRODY KENN	09100	0900P	000	01/07/92	00	85 BROAD	10/GRACI	18.00	F	3	Gen Bus			KDB
080	AC835035	~~BRODY KENN~~	09100	0900P	000	01/10/92	00	85 BROAD	BROOKLYN	24.50	F	3	Gen Bus			PLK
081	CR753463		00000	0250P	000	01/15/91	00	GRACE SQ	66TH PK.	19.00	N	0		19.00		CSB
082	CR737346		00000	1000A	000	12/01/91	00	BROAD	10 GRACE	18.00	N	0		18.00		KDB
083	CR835040		00000	0840P	000	12/30/91	00	85 BROAD	BKLYN	24.50	F	3	Gen Bus			PLK
084	CR768715		00000	0725A	020	01/07/92	01	10 GRACE	MIDTOWN	37.00	N	0		37.00		KDB
085	CR835031		00000	0800P	000	01/07/92	00	85 BROAD	BKLYN	24.50	F	0	Gen Bus			PLK
086	CR701101		00000	0845A	010	01/08/92	01	GRACE SQ	GRACE SQ	35.00	N	0		35.00		CSB
087	CR748307	Carey	00000	1055A	***	01/09/92	**	********	TEL	34.00	N	0		34.00		PRC
088	CR748307	Carey	00000	1055A	000	01/09/92	00	1560BDWY	85BROAD	16.00	N	0		16.00		PRC
089	CR748378	Carey	00000	1250P	000	01/09/92	00	85BROAD	515E72ND	17.00	N	0		17.00		PRC
090	CR748378	Carey	00000	1250P	***	01/09/92	**	********	TEL	6.00	N	0		6.00		PRC
091	CR768254		00000	095[illegible]	010	01/10/92	00	GRACE SQ	92ND PK.	18.00	N	0		18.00		CSB
092	CR768253		00000	1120A	000	01/11/92	01	GRACE SQ	GRACE SQ	37.00	N	0		37.00		CSB

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KENNETH D GROSBY
SECTION: 1
DETAIL CHARGES

TAXI NUMBER: 25461
ACCOUNT NO: 800002
(021392)
AS OF 02/13/92

STATEMENT NUMBER: [illegible]
PAGE 001 OF 013

EMPLOYEE #: 09100
ORGANIZATION: 0001 006693
DEPT: LIMITED PARTNERS

Line No.	Voucher Number	Employee Name	Employee Number	Pick Up Time	Last Time Min	Date of Ride	[illegible]	From	To	Amount	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]
093	CR717730		00000	0430P	100	01/12/92	01	10 GRACI	10 GRACI	17.00	[illegible]	[illegible]		[illegible]		[illegible]
094	CR724596		00000	0110P	000	01/13/92	00	GRACIE	445 PARK	16.00	[illegible]	[illegible]		[illegible]		[illegible]
095	CR748318	Carey	00000	1230P	010	01/13/92	01	1560 BWY	445 PK.	30.00	N	[illegible]		30.00		PRC
096	CR748318	Carey	00000	1230P	***	01/13/92	**	********	TEL	4.00	N	[illegible]		4.00		[illegible]
097	CR748382		00000	0800P	000	01/13/92	00	85 BROAD	BKLYN	24.50	F	3	(Ven Bu)			[illegible]
098	CR724693		00000	0559P	034	01/14/92	00	GRACE SQ	280 PK.	30.00		[illegible]		[illegible]		[illegible]
099	CR748308	Carey	00000	0907A	000	01/14/92	00	515E 72	1560 BWY	14.00	[illegible]	[illegible]		16.00		PRC
100	CR748308	Carey	00000	0907A	***	01/14/92	**	********	TEL	30.00	[illegible]	[illegible]		30.00		PRC
101	CR748374	Carey	00000	0355P	000	01/14/92	00	1560 BWY	11 E76TH	16.00	N	[illegible]		16.00		PRC
102	CR748374	Carey	00000	0355P	***	01/14/92	**	********	TEL	12.00	N	[illegible]		12.00		PRC
103	CR835034		00000	0828P	000	01/14/92	00	85BROAD	BKLYN	24.50	F	3	(Ven Bu)			[illegible]
104	CR665262		00000	0840A	000	01/15/92	00	10 GRACE	667 MAD	15.00	[illegible]	[illegible]		[illegible]		[illegible]
105	CR695589		00000	0510P	010	01/15/92	00	66TH5TH	10GRACE	19.00	[illegible]	[illegible]		[illegible]		[illegible]
106	CR741297		00000	1140A	010	01/15/92	01	10GRACIE	92NDPARK	31.00	[illegible]	[illegible]		[illegible]		[illegible]
107	CR748316	Carey	00000	1010A	120	01/15/92	00	1560 BWY	TIME JOB	61.00	N	[illegible]		61.00		PRC
108	CR748316	Carey	00000	1010A	***	01/15/92	**	********	TEL	14.00	N	[illegible]		14.00		PRC
109	CR748373	Carey	00000	0742A	012	01/15/92	01	515 E72	1560 BWY	35.00	N	[illegible]		35.00		PRC
110	CR748384		00000	0800P	000	01/15/92	00	85 BROD	BKLYN	24.50	F	3	(Ven Bu)			[illegible]
111	CR686497		00000	0855A	012	01/16/92	00	GRACIE	92 PARK	20.00	N	[illegible]		[illegible]		[illegible]
112	CR716610		00000	0125P	000	01/16/92	00	10 GRACE	WALL ST	18.00	N	[illegible]		[illegible]		[illegible]
113	CR742679		00000	1145P	010	01/16/92	01	GRACE SQ	GRACE SQ	31.00	N	[illegible]		[illegible]		[illegible]
114	CR748367	Carey	00000	1045A	010	01/17/92	01	515 E72	445 PK.	23.00	N	[illegible]		23.00		PRC
115	CR781620		00000	0645P	000	01/19/92	00	85 BROAD	345 PK.	16.00	[illegible]	2		16.00		[illegible]
116	CR748368	Carey	00000	0715A	025	01/20/92	00	E 72	61 PARK	24.00	N	[illegible]		24.00		PRC

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NEWMETH & BRODY
SECTION: I
DETAIL CHARGES

TAXI NUMBER: 25461 (021392)
ACCOUNT NO: BR0002 AS OF 02/13/92

STATEMENT NUMBER: [illegible]
PAGE 008 OF 013

EMPLOYEE #: 09100 ORGANIZATION: 0087 006693 DEPT: LIMITED PARTNERS

LINE NO	[illegible]	EMPLOYEE NAME	EMPLOYEE NUMBER	PICK UP TIME	[illegible]	DATE OF RIDE	[illegible]	FROM	TO	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]
	TOTAL:					01/24/92				910.00						
117	AC748305	BRODY KENN	09100	0900P	000	01/20/92	00	85 BROAD	BROOKLYN	24.50	F	3	Gen Pur			PLE
118	CR748311	Carey	00000	0550P	300	01/13/92	00	1560 BWY	NEW ROCH	210.00	N	[illegible]		210 00		PRC
119	CR748311	Carey	00000	0550P	***	01/13/92	**	********	TEL	22.00	N	[illegible]		22 00		PRC
120	CR209209	SHAUGHNESS	01470	0130P	000	01/17/92	00	85 BROAD	WESCHEST	65.00	F	1				NOT OTHS
121	CR716822		00000	0840A	000	01/17/92	01	10 GRACI	[illegible] GRACI	27.00	N	[illegible]		27 00		CSB
122	CR748309	Carey	00000	0940P	000	01/17/92	02	1560 BWY	157 BWY	32.00	N	[illegible]		32 00		PRC
123	CR748317	Carey	00000	0400P	000	01/17/92	00	1560 BWY	BKLYN	23.50	N	[illegible]		23 50		BC
124	CR752103		00000	0140P	000	01/19/92	00	GRACIE	44 5AVE	16.00	N	[illegible]		16 00		[illegible]
125	CR769126		00000	0400P	000	01/19/92	00	33 7AVE	GRACIE	18.00	N	[illegible]		18 00		CSB
126	CR769764		00000	0100P	000	01/19/92	00	GRACIE	33 7AVE	18.00	N	[illegible]		[illegible]		[illegible]
127	CR684779		00000	0454P	010	01/20/92	00	4 E66TH	GRACE SQ	19.00	N	[illegible]		19 00		CSB
128	CR737422		00000	0905P	030	01/20/92	01	GRACIE	53 7AVE	33.00	N	[illegible]		33 00		CSB
129	CR717057		00000	1145A	010	01/21/92	01	GRACIE	GRACIE	33.00	N	[illegible]		33 00		CSB
130	CR738345	Carey	00000	0730P	000	01/21/92	00	QUEENS	515 72	43.50	N	[illegible]		43 50		McGann Carey
131	CR748312	Carey	00000	0626P	049	01/21/92	01	1560 BWY	LEX	50.00	N	[illegible]		50 00		PRC
132	CR748312	Carey	00000	0626P	***	01/21/92	**	********	TEL	44.00	N	[illegible]		44 00		PRC
133	CR748369	Carey	00000	0915A	000	01/21/92	00	515E72ND	1560BDWY	16.00	N	[illegible]		16 00		PRC
134	CR748369	Carey	00000	0915A	***	01/21/92	**	********	TEL	8.00	N	[illegible]		8.00		PRC
135	CR746393	[illegible]	00000	0505P	000	01/21/92	00	85 BROAD	GRACIE	23.00	F	4	Gen Pur			
136	CR748398	NORVAL PAT	11712	0830P	000	01/21/92	00	85 BROAD	BKLYN	24.50	F	3	Gen Pur.			PLE
137	CR752087		00000	0315P	000	01/21/92	01	10 GRACI	78TH	29.00	N	[illegible]		29 00		CSB
138	CR752495		00000	0840A	030	01/21/92	00	10 GRACE	92ND PK.	14.00	N	[illegible]		14 00		CSB
139	CR717309	Carey	00000	0800A	000	01/22/92	00	E 72	1560 BWY	20.00	N	[illegible]		20 00		PRC

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SENSEIN R BRADY
SECTION: 1
DETAIL CHARGES

TAXI NUMBER: 25461
ACCOUNT NO: BR0007
EMPLOYEE #: 09700

(C21392)
AS OF 02/13/92

STATEMENT NUMBER TX[illegible]
PAGE 009 OF 013

ORGANIZATION: 0001 006693 DEPT: LIMITED PARTNERS

LINE NO.	[illegible] NUMBER	EMPLOYEE NAME	EMPLOYEE NUMBER	PICK UP TIME	WAIT TIME MIN	DATE OF RIDE	[illegible]	FROM	TO	AMOUNT	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]
140	CR735927		00000	1145A	025	01/22/92	01	GRACE SQ	92ND PK.	41.00	N	[illegible]		[illegible]		[illegible]
141	CR748381	Carey	00000	0145P	000	01/22/92	00	1560 BWY	BRONX	33.00	N	[illegible]		33.00		PRC
142	CR748322	Carey	00000	0620P	110	01/22/92	01	1560 BWY	1560 BWY	77.00	N	[illegible]		77.00		PRC
143	CR748322	Carey	00000	0620P	***	01/22/92	**	********	TEL	40.00	N	[illegible]		40.00		PRC
144	CR748324	Carey	00000	0315P	145	01/22/92	01	811 7TH	811 7TH	93.00	N	[illegible]		93.00		Ali
145	CR748324	Carey	00000	0315P	***	01/22/92	**	********	TEL	74.00	N	[illegible]		74.00		Ali
146	CR748327	Carey	00000	1125P	020	01/22/92	01	1560 BWY	QUEENS	61.50	N	[illegible]		61.50		PRC/Ali
147	CR748306	*	00000	0646A	016	01/22/92	00	BKLYN	JFK	43.00	N	2		[illegible]		PLK- *
148	CR764800		00000	0245P	000	01/22/92	01	GRACIE	E 66	19.00	N	[illegible]		[illegible]		[illegible]
149	CR769129		00000	1100A	000	01/22/92	00	GRACIE	E 66	15.00	N	[illegible]		15.00		CSB
150	CR694371	Carey	00000	1100P	000	01/23/92	01	WCHESTER	515 E72	83.50	N	[illegible]		83.50		PRC
151	CR738312		00000	0250P	000	01/23/92	00	GRACE SQ	60TH 3RD	15.00	N	[illegible]		[illegible]		[illegible]
152	CR766876		00000	0850A	000	01/23/92	00	10 GRACI	44 5TH	18.00	N	[illegible]		[illegible]		[illegible]
153	CR766876		00000	0850A	***	01/23/92	**	********	TEL	2.00	N	[illegible]		[illegible]		[illegible]
154	CR768763	Carey	00000	0605P	040	01/23/92	02	1560 BWY	63 PARK	40.00	N	[illegible]		40.00		PRC
155	CR768763	Carey	00000	0605P	***	01/23/92	**	********	TEL	50.00	N	[illegible]		50.00		PRC
156	CR769059		00000	0840A	015	01/23/92	01	UPP MAN.	UPP MAN.	33.00	N	[illegible]		33.00		CSB
157	CR748323	Carey	00000	0802A	012	01/24/92	01	515E 72	1740 BWY	26.00	N	[illegible]		26.00		PRC
158	CR748391	Carey	00000	0550P	000	01/24/92	00	TIME	CALL	71.00	N	[illegible]		71.00		Ali
159	CR748391	Carey	00000	0550P	***	01/24/92	**	********	TEL	28.00	N	[illegible]		28.00		Ali
160	CR762135		00000	0845A	010	01/24/92	00	10 GRACE	92ND PK.	18.00	N	[illegible]		18.00		CSB
161	CR748396	Carey	00000	0135P	000	01/25/92	00	TIME	CALL	68.00	N	[illegible]		68.00		Seth/Ali
162	CR748401	Carey	00000	1145P	020	01/25/92	02	811 7TH	BKLYN	54.00	N	[illegible]		54.00		C. Damian
163	CR748394	Carey	00000	0115P	000	01/26/92	00	811 7TH	811 7TH	61.00	N	[illegible]		61.00		Ali

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KENNETH R DRAGSY — TAXI NUMBER: 25461 — (021392) — STATEMENT NUMBER: TR133614
SEC 208: N — ACCOUNT NO: DRD002 — AS OF 02/13/92 — PAGE 018 OF 018
DETAIL CHARGES — EMPLOYEE #: 05100 — ORGANIZATION: 0001 000693 — DEPT: LIMITED PARTNERS

LINE NO.	VOUCHER NUMBER	EMPLOYEE NAME	EMPLOYEE NUMBER	PETTY CASH FUND	PETTY CASH ITEM	DATE OF TRANSACTION	TAX CODE	FROM	TO	AMOUNT	TAX	TAX AMOUNT	ACCOUNTING AMOUNT	ACCOUNT CODE	COMMENTS
	TOTAL:					01/31/92				1,877.00					
164	CR732128		00000	06100	020	01/20/92	09	10 GRACI	76TH	23.00	C		23 00		C.C.P.
165	CR748398	[illegible]	10079	0830P	000	01/24/92	00	53RD 7TH	43RD 6TH	24.00	N		24 00		CARE W/BH
166	CR748352	Carey [illegible]	10079	0830P	***	01/24/92	**	********	TEL	8.00	N		8.00		CARE W/BH
167	CR748402	Carey	00000	1100A	005	01/24/92	01	1560 BWY	LGA	231.00	N		231 00		PRC
168	CR748402	Carey	00000	1100A	***	01/24/92	**	********	TEL	138.00	N		138 00		PRC
169	CR748404	Carey	00000	0600P	000	01/24/92	00	85 BROAD	BKLYN	24.50		2	24 50		PLK
170	CR748239		00000	1115A	000	01/24/92	00	10 GRACE	93 MAD	14.00			14 00		C.C.P.
171	CR856868		00000	0700A	000	01/24/92	00	GRACIE	LGA	29.50	F			Car Rent	I DO
172	CR537527	Carey	00000	0920P	050	01/25/92	00	32 SAVE	GRACIE	17.00	N		17 00		Bernadette
173	CR748393	Carey	00000	0640P	005	01/25/92	01	SHERATON	32ND	34.00	N		34 00		Bernadette
174	CR748398	Carey	00000	0950P	000	01/25/92	00	515E 72	7TH AVE	16.00	N		16 00		PRC
175	CR748399	Carey	00000	1145P	020	01/25/92	01	53RD	515E 72	20.00	N		20 00		PRC
176	CR748400	Carey	00000	1145P	***	01/25/92	**	********	TEL	6.00	N		6 00		PRC
177	CR753632	Carey	00000	0830A	000	01/25/92	00	790 RSD	53RD	24.00	N		24 00		Sawyer
178	CR753632	Carey	00000	0830A	***	01/25/92	**	********	TEL	6.00	N		6 00		Sawyer
179	CR717504	Carey	00000	1115P	000	01/26/92	00	23 CPW	515 E72	16.00	N		16 00		PRC
180	CR737000		00000	0510P	000	01/27/92	00	9E 66TH	10 GRACI	15.00			15 00		[illegible]
181	CR791637		00000	0900A	025	01/27/92	01	10 GRACI	10 GRACI	37.00	N		37 00		C.C.P.
182	CR748386	Carey	00000	0920A	120	01/27/92	00	515E 72	TIME JOB	61.00	N		61 00		PRC
183	CR748304	Carey	00000	0920A	***	01/27/92	**	********	TEL	62.00	N		62 00		PRC
184	CR748220	Carey	00000	0700P	000	01/27/92	00	85 BROAD	TIMECALL	91.00	N		91 00		Renee Fraser
185	CR748339	Carey	00000	0505P	000	01/27/92	00	SHERATON	TIMECALL	61.00	N		61 00		michael Anderson
186	CR748332	Carey	00000	0520P	000	01/27/92	01	53RD 7TH	58TH LEX	19.00	N		19 00		ATT

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BENGEIS & MOORY — TAXI NUMBER: 2[illegible] — (0213121) — STATEMENT NUMBER: [illegible]
SECTION: 1 — ACCOUNT NO. BP0002 — AS OF 02/13/92 — PAGE [illegible]
DETAIL CHARGES — EMPLOYEE #: 09100 — ORGANIZATION: 0001 006693 DEPT LIMITED PARTNERS

LINE NO.	VOUCHER NUMBER	EMPLOYEE NAME	EMPLOYEE NUMBER	TIME IN TIME	WAIT TIME MIN	DATE OF RIDE	# OF STOPS	FROM	TO	AMOUNT				
187	CR748336	Carey	00000	0740P	000	01/27/92	00	1560 BWY	515E 72	16.00	C	04		PPC
188	CR749336	Carey	00000	0740P	---	01/27/92	--	--------	TEL	30.00	C	04		PPC
189	CR626715		00000	0855P	025	01/28/92	02	GRACIE	92 PARK	37.00	C	04		PPC
190	CR739933		00000	0215P	010	01/28/92	01	GRACE SQ	GRACE SQ	22.00	C	04		PPC
191	CR738636	Carey	00000	0720P	000	01/28/92	01	515E 72	39TH	19.00	C	04		PPC
192	CR741481	Carey	00000	0655P	095	01/28/92	03	53RD	W.ORANGE	153.90	C	04		PPC
193	CR741401	Carey	00000	0635P	---	01/28/92	--	--------	TEL	38.00	C	04		PPC
194	CR748326	Carey	00000	1220P	000	01/28/92	01	1560 BWY	BKLYN	43.00	C	04		PPC
195	CR748330	Carey	00000	0225P	000	01/28/92	00	TIME	CALL	106.00	C	04		PPC
196	CR748330	Carey	00000	0224P	---	01/28/92	--	--------	TEL	24.00	C	04		PPC
197	CR748331	Carey	00000	0225P	155	01/28/92	00	85 BROAD	TIME JOB	68.50	C	04		PPC
198	CR748387	KORVAL PAT	11712	0835P	000	01/28/92	00	85 BROAD	375 76TH	24.50	C	3	(no fee)	PPC
199	CR738697	Carey	00000	0745A	000	01/29/92	01	515E 72	1560 BWY	29.00	C	04		PPC
200	CR748697		00000	0510P	010	01/29/92	01	NE 66TH	10 GRACI	23.00	C	04		PPC
201	CR748337	Carey	00000	0900P	000	01/29/92	00	1560 BWY	E 72	16.00	C	04		PPC
202	CR717128	Carey	00000	0800A	000	01/30/92	01	515E 72	1560 BWY	35.00	C	04		PPC
203	CR748338	Carey	00000	1215P	000	01/30/92	00	TIME	CALL	91.00	C	0		Roger Stone
204	CR748338	Carey	00000	1215P	---	01/30/92	--	--------	TEL	20.00	C	0		Roger Stone
205	CR748339	Carey	00000	0605P	025	01/30/92	01	1560 BWY	QUEENS	62.50	C	04		Thurm
206	CR748340	Carey	00000	0510P	000	01/30/92	03	1560 BWY	300E 57	47.00	C	04		Thurm
207	CR748341	Carey	00000	0830P	015	01/30/92	00	QUEENS	310E 70	42.00	C	04		Thurm
208	CR748342	Carey	00000	0610P	000	01/30/92	00	W 46	RUTHERFO	16.00	C	04		All
209	CR748343	Carey	00000	0710P	---	01/30/92	--	--------	TEL	28.00	C	04		All
210	CR748345	Carey	00000	0945P	015	01/30/92	00	28 W45	601 W112	30.50	C	04		PPC

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KENNETH D BROOK
SECTION: I
DETAIL CHARGES

TAXI NUMBER: 25461 (021392)
ACCOUNT NO: BR0002 AS OF 02/13/92

STATEMENT NUMBER: TX133074
PAGE 012 OF 013

EMPLOYEE #: 09100 ORGANIZATION: 0001 006693 DEPT: LIMITED PARTNERS

LINE NO.	VOUCHER NUMBER	EMPLOYEE NAME	EMPLOYER NUMBER	PICK UP TIME	WAIT TIME MIN	DATE OF RIDE	# OF STOPS	FROM	TO	AMOUNT	PAY	CODE	SYNDICATE/PROJECT NUMBER	PENDING OR SUBMITTED AMOUNT	CLIENT NUMBER	COMMENTS/SYNDICATE NAME
211	CR769635		00000	0745A	000	01/30/92	00	GRACIE	567 MAD.	15.00	N	0		15 00		CSB
212	CR748347	Carey	00000	0833P	073	01/31/92	02	1560 BWY	E 72	60.00	N	0		60 00		PEC
213	CR748366	Carey	00000	0830P	000	01/31/92	01	MIDTOWN	QUEENS	44.50	N	0		44 50		AT.
214	CR748366	Carey	00000	0830P	***	01/31/92	**	********	TEL	20.00	N	0		20 00		A1.
215	CR769243		00000	0450P	000	02/01/92	01	GRACIE	64 BWAY	20.00	N	0		20 00		CSB
	TOTAL:					02/07/92				2,166.40						

STATEMENT RIDE TOTAL 6,416.90
STATEMENT TELEPHONE TOTAL 1,244.00
STATEMENT TOTAL 7,660.90

USAGE CODES
1-BUSINESS 3-HOME
2-PERSONAL 4-PACKAGE

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KENNETH D BRODY

TAXI NUMBER: [illegible]
ACCOUNT NO: BR0002

AS OF 02/13/92

STATEMENT NUMBER: [illegible]
PAGE 013 OF 011

SECTION: II
PAYMENT DISTRIBUTION

EMPLOYEE #: 59100

ORGANIZATION: 0001 006693

DEPT: LIMITED PARTNERS

CAT	AMOUNT	SYNDICATE NUMBER	SYNDICATE NAME/ TRANSFER ACCOUNT	CLIENT NUMBER	CAT	AMOUNT	SYNDICATE NUMBER	SYNDICATE NAME/ TRANSFER ACCOUNT	CLIENT NUMBER
F			GENERAL BUSINESS EXPENSES						
F	24 50		G B						
F	43 00		G B						
F	23 50		G B						
F	24 50		G B						
F	24 50		G B						
F	8 00		G B						
F	24 50		G B						
F	29 50		G B						
F	23 00		G B						
F	18 00		G B						
F	24 50		G B						
F	24 50		G B						
F	24 50		G B						
F	24 50		G B						
F	24 50		G B						
F	24 50		G B						
F	24 50		G B						
F	23 00		G B						
F	24 50		G B						
F	29 50		G B						
F	24 50		G B						

COLUMNS TOTAL [illegible]

COLUMN 2 TOTAL

COLUMN 1 TOTAL

ADD LINE 1 AND LINE 2. TOTAL OF CHARGES MUST EQUAL LINE 8, PG 2 OF SUMMARY OF EXPENSES

PLEASE COMPLETE THIS SECTION ONLY IF YOU WISH TO CHARGE YOUR TAXI EXPENSES TO A SYNDICATE ACCOUNT OR A DEPARTMENT CODE OTHER THAN YOUR OWN.

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LGL001 05/06/92 --- ACCOUNTS PAYABLE - LIST VOUCHER REPORT --- 01:42:11 PAGE: 7

PROCESSOR: TE2

INV. TYPE	CORP	VOUCHER	VENDOR	INVOICE #	REFERENCE	CLIENT	ORG.	ACCOUNT	AMOUNT
1	TE001	226028	BR0002	050692 27484	TX137725 042192				

KENNETH D BRODY
85 BROAD STREET
19TH FLOOR

0800P	85 BROAD	BROOKLYN			TAX0000748287		11712	120455060	26.50CR
0532P	85 BROAD	650/5AV			TAX0000748286			120455060	49.00CR
1115P	1560 BWY	QUEENS			TAX0000748335		00000	120455060	53.50CR
1140A	GRACE SQ	BPC			TAX0000767098		00000	120455060	16.00CR
0315P	TIME	CALL			TAX0000747984		00000	120455060	121.00CR
0315P	TIME	CALL			TAX0000747984		00000	120455060	20.00CR
0735P	165 W46	515 E72			TAX0000747994		00000	120455060	28.00CR
0735P	165 W46	515 E72			TAX0000747994		00000	120455060	12.00CR
0630A	115 CPW	LGA			TAX0000748219		00000	120455060	29.50CR
0735P	1560 BWY	200 VESE			TAX0000609654		00000	120455060	36.00CR
0735P	1560 BWY	200 VESE			TAX0000609654		00000	120455060	4.00CR
1139P	1560 BWY	BKLYN			TAX0000747974		00000	120455060	22.00CR
0300P	1560 BWY	1560 BWY			TAX0000747967		00000	120455060	66.50CR
0300P	1560 BWY	1560 BWY			TAX0000747967		00000	120455060	84.00CR
0100P	1560 BWY	1560 BWY			TAX0000747969		00000	120455060	41.00CR
1145A	GRACE SQ	GRACE SQ			TAX0000761846		00000	120455060	31.00CR
0746A	1515 E72	1560 BWY			TAX0000768971		00000	120455060	24.00CR
0635P	185 E85	165 W46			TAX0000747929		00000	120455060	17.00CR
0955P	1560 BWY	7051 2AV			TAX0000747935		00000	120455060	31.00CR
0100A	81 ANST	185 E85			TAX0000747936		00000	120455060	19.00CR
0900P	165 W45	185 E85			TAX0000747970		00000	120455060	66.00CR
0900P	165 W45	185 E85			TAX0000747970		00000	120455060	15.00CR
1000A	GRACE SQ	55 E59			TAX0000768036		00000	120455060	16.00CR
0200A	1560 BWY	BKLYN			TAX0000747971		00000	120455060	23.00CR
0315P	185 E86	1560 BWY			TAX0000765622		00000	120455060	17.00CR
1035P	165 W46	85TH BWY			TAX0000747934		00000	120455060	35.50CR
0530A	665 W46	165 W46			TAX0000747937		00000	120455060	101.00CR
0530A	665 W46	165 W46			TAX0000747937		00000	120455060	36.00CR
1125A	W 96	LGA			TAX0000736398		00000	120455060	22.00CR
1125A	W 96	LGA			TAX0000736396		00000	120455060	146.50CR
1215A	1560 BWY	1560 BWY			TAX0000747935		00000	120455060	211.00CR
1150P	W 43	157 RSD			TAX0000747939		00000	120455060	32.00CR
0912A	E 72	1560 BWY			TAX0000747980		00000	120455060	26.00CR
0912A	E 72	1560 BWY			TAX0000747965		00000	120455060	10.00CR
0740A	790 RSD	46 BWAY			TAX0000747931		00000	120455060	34.50CR
0930P	1560 BWY	790 RSD			TAX0000769593		00000	120455060	37.50CR
0930P	165 W46	185 E85			TAX0000747941		00000	120455060	21.00CR
1205A	1560 BWY	790 RSD			TAX0000747932		00000	120455060	24.00CR
0550P	85 BROAD	GRACE SQ			TAX0000748285		00000	120455060	23.00CR

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KENNETH D BRODY

Travel and Entertainment

Month-End Summary of Expenses

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Goldman Sachs

KENNETH D BRODY — TAXI NUMBER: 25691 — ACCOUNT NO: 2R0092 — STATEMENT NUMBER: T2133225 — AS OF 04/21/92 — PAGE 002 OF 003

SUMMARY OF EXPENSES EMPLOYEE #: 09100 ORGANIZATION: 0001 006671 DEPT: LIMITED PARTNERS

	AMOUNT	
1.) TAXI CHARGES	4,382.00	THIS REPRESENTS THE TOTAL AMOUNT CHARGED TO YOUR TAXI ACCOUNT. SEE SECTION 1 FOR DETAILS.
2.) LESS PENDING CREDITS	72.00	THIS REPRESENTS BILLING ERRORS OR OTHER DISPUTED CHARGES AND MUST EQUAL CHARGES CODED P IN TAXI DETAIL.
3.) LESS OTHER NONREIMBURSABLE	4268.00	THIS REPRESENTS AMOUNTS REIMBURSABLE TO THE FIRM FOR PERSONAL OR OTHER NON-REIMBURSABLE USE AND MUST EQUAL CHARGES CODED N OR USAGE CODE OF 2 IN TAXI DETA... PLEASE ATTACH YOUR CHECK PAYABLE TO GOLDMAN, SACHS & CO. FOR THIS AMOUNT.
4.) TOTAL OF EXPENSES	242.00	SUBTRACT LINES 2 & 3 FROM LINE 1. THIS REPRESENTS THE AMOUNT OF EXPENSES TO BE PAID BY THE FIRM IF AMOUNTS ARE TO BE CHARGED TO A SYNDICATE PLEASE COMPLETE SECTION II (PAYMENT DISTRIBUTION) ATTACHED.

APPROVALS: To the best of my knowledge, all statements on this report are true and factual and all expenses are in conformity with firm policy.

X Kenneth D Brody 4/27/92
KENNETH D BRODY DATE

J. Allen Matthews 5/4/92
AUTHORIZED SIGNATURE DATE

THE FOLLOWING STATEMENTS ARE OUTSTANDING:

TX135229 03/18/92 TX133974 02/13/92 TX126102 10/08/91

KDB — 1002.00
Clinton — 3266.00
GST 00 - 242.00
Pending - 72.00

COMMENTS/SPECIAL INSTRUCTIONS

RECEIVED
MAY 5 11 AM '92
INTERNAL AUDIT SERVICES

☐ Check here if Address or Organization change is required. (Please note change in comments.)

SDNY 0085 0178

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TAX: NUMBER: 25861 (042192) STATEMENT NUMBER: 7A111749
ACCOUNT NO: BR0002 AS OF: 04/21/92 PAGE 003 OF 03

SECTION: 1
DETAIL CHARGES EMPLOYER #: 09100 ORGANIZATION: 0001 006693 DEPT: LIMITED PARTNERS

LINE NO.	VOUCHER NUMBER	EMPLOYEE NAME	EMPLOYEE NUMBER	TIME	TYPE	DATE OF ENTRY	STATUS	FROM	TO	AMOUNT	CAT	QTY	CODES	MILEAGE	CODES	STATEMENT
001	AC748287	ROSVAL PAT	11712	0800P	000	03/06/92	00	85 BROAD	BROOKLYN	24.50	F	3	GB			
002	AC748286	PACKAGE		0530P	040	03/10/92	00	85 BROAD	650/5AV	49.00	N	2		49		
003	CR748335		00000	1119P	000	01/28/92	01	1560 BWY	QUEENS	55.50				345		TRC
004	CR767098		00000	1140A	000	03/01/92	00	GRACE SQ	BPC	18.00				18		
005	CR747986		00000	0315P	000	03/02/92	00	TIME	CALL	121.00				121		PPC
006	CR747984		00000	0313P	***	03/02/92	**	********	TEL	20.00				20		
007	CR747994		00000	0735P	020	03/02/92	01	165 W46	[illegible] C72	28.00				28		
008	CR747994		00000	0735P	***	03/02/92	**	********	TEL	12.00				12		
009	CR748319		00000	0630A	000	03/03/92	00	115 CPW	LGA	29.50				29.50		
010	CR609654		00000	0735P	010	03/04/92	01	1560 BWY	200 VESE	36.00				36		
011	CR609654		00000	0735P	***	03/04/92	**	********	TEL	4.00				4		
012	CR747974		00000	1159P	000	03/05/92	00	1560 BWY	BKLYN	22.00				22		
013	CR747967		00000	0340P	070	03/06/92	02	1560 BWY	1560 BWY	66.50				66.50		
014	CR747967		00000	0340P	***	03/06/92	**	********	TEL	54.00				54		
015	CR747969		00000	0100P	020	03/06/92	03	1560 BWY	1560 BWY	41.00				41		
016	CR761046		00000	1145A	013	03/06/92	01	GRACE SQ	GRACE SQ	33.00				33		
017	CR760971		00000	0740A	010	03/06/92	01	1515 E72	1560 BWY	24.00				24		PPC
018	CR747929		00000	0635P	000	03/07/92	00	185 E85	164 W46	17.00				17		
019	CR747935		00000	0955P	000	03/07/92	01	1560 BWY	TOST 2AV	31.00				31		
020	CR747936		00000	0140A	000	03/07/92	01	81 AMST	185 E85	19.00				19		
021	CR747978		00000	0900P	000	03/07/92	00	165 W45	185 E85	66.00				66		
022	CR747976		00000	0900P	***	03/07/92	**	********	TEL	18.00				18		
023	CR760036		00000	1000A	000	03/07/92	00	GRACE SQ	55 E59	16.00				16		
024	CR747979		00000	0200A	040	03/08/92	00	1560 BWY	BKLYN	23.00				23		TRC

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KENNETH B BRODY
SECTION: 1
DETAIL CHARGES

TAXI NUMBER: 25461 (042192)
ACCOUNT NO: BRU002 AS OF 04/21/92

STATEMENT NUMBER: TX137725
PAGE 004 OF 009

EMPLOYEE #: 09100 ORGANIZATION: 0001 006693 DEPT: LIMITED PARTNERS

LINE NO.	VOUCHER NUMBER	EMPLOYEE NAME	EMPLOYEE NUMBER	PICK UP TIME	WAIT TIME MIN	DATE OF RIDE	# OF STOPS	FROM	TO	AMOUNT	CAT	CODE	SUBSIDIARY NUMBER	SUBSIDIARY AMOUNT	CLIENT NUMBER	COMMITTEE SUBSIDIARY NAME
025	CR765622		00000	0315P	000	03/08/92	00	185 E86	1560 BWY	17.00	N	0		17		PRC
026	CR747934		00000	1035P	020	03/09/92	01	165 W46	86TH BWY	35.50		0		35		
027	CR747937		00000	0630A	125	03/09/92	03	605 W46	165 W46	101.00		0		101		
028	CR747937		00000	0630A	***	03/09/92	**	********	TEL	34.00		0		34		
029	CR736398		00000	1125A	***	03/10/92	**	********	TEL	72.00		0		72		
030	CR736398		00000	1125A	199	03/10/92	04	W 96	LGA	146.50		0		146		
031	CR747933		00000	1215A	422	03/10/92	00	1560 BWY	1560 BWY	211.00		0		211		
032	CR747939		00000	1150P	000	03/10/92	04	W 45	157 RSD	32.00		0		32		
033	CR747980		00000	0912A	012	03/10/92	01	E 72	1560 BWY	26.00		0		26		
034	CR747980		00000	0912A	***	03/10/92	**	********	TEL	18.00		0		18		
035	CR747931		00000	0740A	000	03/11/92	01	790 RSD	46 BWAY	34.50		0		34		
036	CR769593		00000	0930P	000	03/11/92	04	1560 BWY	790 RSD	37.50		0		37		
037	CR747941		00000	0932P	000	03/12/92	01	165 W46	185 E85	21.00		0		21		
038	CR747932		00000	1245A	000	03/13/92	00	1560 BWY	790 RSD	24.00		0		24		
039	CR748285		00000	0550P	000	03/13/92	00	85 BROAD	GRACE SQ	23.00	F	4	GB			
040	CR748044	[illegible]	01171	1125A	000	03/15/92	00	85 BROAD	BKLYN	24.50	F	2	GB			
	TOTAL:					03/20/92				1,713.50						
041	AC748300	KORVAL PAT	11712	0000P	000	06/11/92	00	85 BROAD	BROOKLYN	26.50	F	3	GB			
042	CR747930		00000	0611A	015	03/06/92	00	1560 BWY	185E 85	23.00	N	2		23		PRC
043	CR752835		00000	1040A	010	03/10/92	00	GRACE SQ	95TH MAD	18.00		0		18		
044	CR752836		00000	1200P	000	03/10/92	00	95TH MAD	GRACE SQ	14.00		0		14		
045	CR767077		00000	0920P	000	03/13/92	00	150 BWY	185 E85	17.00		0		17		PRC
046	CR767077		00000	0920P	***	03/13/92	**	********	TEL	8.00		0		8		PRC

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KENNETH D [illegible]
SECTION: 1
DETAIL CHARGES

TAXI NUMBER: 25461 (042[illegible]) STATEMENT NUMBER: TX1[illegible]
ACCOUNT NO: [illegible] AS OF 04/21/92 PAGE 005 OF 009

EMPLOYEE #: 09100 ORGANIZATION: 0001 006693 DEPT: LIMITED PARTNERS

LINE NO.	VOUCHER NUMBER	EMPLOYEE NAME	EMPLOYEE NUMBER	PICK UP TIME	WAIT TIME MIN	DATE OF RIDE	# OF STOPS	FROM	TO	AMOUNT	CAT	USE	SPECIFIC PROJECT NUMBER	PENDING IN NONSENSOR AMOUNT	CLIENT NUMBER	COMMENTS SINCLAIR HAND
047	CR747942		00000	0853P	036	03/10/92	02	E 85	W 46	50.00	N	20		50		PRC
048	CR748043		00000	0443P	000	03/15/92	00	BKLYN	85 BROAD	24.50	F	0	GB	[illegible]		
049	CR768778		00000	0435P	025	03/15/92	01	LAG	1560 BWY	59.50	N	20		59.50		PRC
050	CR743000		00000	0250P	000	03/16/92	02	165 W46	165 W46	38.50		0		38.50		
051	CR738300		00000	0315P	***	03/17/92	**	********	TEL	106.00		0		106		
052	CR738300		00000	0315P	070	03/17/92	02	W 46	W 46	63.00		0		63		
053	CR747944		00000	1240A	075	03/17/92	01	1560 BWY	515F 72	61.00		0		61		
054	CR761470		00000	1210A	015	03/17/92	01	515 E72	1560 BWY	31.00		0		31		
055	CR782903		00000	0720A	***	03/17/92	**	********	TEL	30.00		0		30		
056	CR782903		00000	0720A	070	03/17/92	03	E 72	W 46	59.00		0		59		
057	CR747943		00000	0700A	000	03/18/92	00	1560 BWY	WHITE PL	70.00		0		70		
058	CR747945		00000	0900A	000	03/18/92	00	1560 BWY	200 PARK	20.00		0		20		
059	CR747946		00000	0110P	150	03/18/92	01	1560 BWY	BRONX	111.00		0		111		
060	CR747948		00000	1205A	000	03/18/92	01	1560 BWY	BKLYN	33.00		0		33		
061	CR752429		00000	0812A	000	03/18/92	00	515 E72	46TH 7TH	16.00		0		16		
062	CR780960		00000	0315P	000	03/18/92	00	25 E10	84TH 2ND	18.00		0		18		
063	CR795714		00000	0107P	000	03/18/92	00	GRACIE	58 SAVE	16.00		0		16		
064	CR896681	KORVAL PAT	11712	0850P	000	03/18/92	00	85 BROAD	BKLYN	24.50	F	3½	GB			
065	CR664264		00000	0245P	000	03/19/92	01	GRACE SQ	GRACE SQ	29.00	N	20		29		
066	CR719370		00000	0930A	000	03/19/92	00	GRACE SQ	57TH 6TH	17.00		0		17		
067	CR719370		00000	0930A	***	03/19/92	**	********	TEL	2.00		0		2		
068	CR753505		00000	0930A	000	03/19/92	00	E 85TH	165 W46	17.00		0		17		PRC
069	CR793390		00000	0950A	000	03/19/92	03	515 E72	165W46	28.00		0		28		PRC
070	CR856692		00000	0510P	000	03/19/92	00	GRACIE	PENN STA	18.00		0		18		

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KENNETH D BROBY — TAXI NUMBER: 29661 (C921971) — STATEMENT NUMBER: 1111111
SECTION: 1 — ACCOUNT NO: 850002 — AS OF 04/21/92 — PAGE 006 00.29
DETAIL CHARGES — EMPLOYEE #: 09190 — ORGANIZATION: 0001 09669 DEPT: LIMITED PARTNERS

LINE NO.	VOUCHER NUMBER	EMPLOYEE NAME	EMPLOYEE NUMBER	PICK UP TIME	WAIT TIME MIN	DATE OF RIDE	# OF STOPS	FROM	TO	AMOUNT	CA	CC	NO SHOW + FEE	CONTRACT NO.	AGENCY CODE	CHARGE CODE
071	CR747951		00000	0220A	025	03/20/92	01	165W 46	185E 85	31.00						PEC
072	CR796320		00000	0749A	020	03/20/92	01	151 E72	1780 BWY	61.00						PEC
073	CR796333		00000	0355P	015	03/20/92	00	10 GRACE	46TH BWY	23.00						
074	CR765899		00000	1215P	000	03/21/92	00	85 BROAD	E60TH	17.00						
075	CR762502		00000	0735P	010	03/22/92	00	515 E72	165 W86	29.00						PEC
	TOTAL:					03/27/92				1,178.50						
076	CR769696		00000	1120P	035	03/14/92	01	ILLINOMPL	ILLINOMPL	72.00	P	0	Not KDB's - Eric Dabbing wife			
077	CR739325		00000	1120P	000	03/16/92	01	1560 BWY	185E 85	21.00						PEC
078	CR747949		00000	1245A	030	03/19/92	00	165W 86	790 RSD	82.00						PEC
079	CR742780		00000	0125P	010	03/20/92	00	10GRACIE	90THLEX	18.00						
080	CR747932		00000	0510P	000	03/20/92	02	1560 BWY	38 AVE	59.00						PEC
081	CR747952		00000	0510P	***	03/20/92	**	********	TEL	0.00						
082	CR747966		00000	0620P	050	03/20/92	01	1560 BWY	1560 BWY	74.00						
083	CR747966		00000	0620P	***	03/20/92	**	********	TEL	20.00						
084	CR753692		00000	0722P	***	03/20/92	**	********	TEL	86.00						
085	CR753692		00000	0722P	050	03/20/92	01	W 46	W 46	75.00						
086	CR782002		00000	0820A	000	03/20/92	00	10GRAC.E	WALL ST	18.00						
087	CR782002		00000	0820A	***	03/20/92	**	********	TEL	2.00						
088	CR781274		00000	1015A	015	03/20/92	00	WALL ST	10GRACE	24.00						
089	CR741964		00000	1254A	010	03/21/92	04	165W 46	185E 85	63.00						PEC
090	CR748281	KORVAL PAT	11712	0735P	000	03/21/92	00	66TH	33RD	17.00						
091	CR781812		00000	0820A	000	03/21/92	00	515E72ND	34THGTH	16.00						PEC
092	CR747962		00000	0625P	000	03/22/92	01	1560 BWY	BKLYN	40.50						PEC

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KENNETH B BRODY
SECTION: 1
DETAIL CHARGES

TAXI NUMBER: 25461 (042192) STATEMENT NUMBER: TX137725
ACCOUNT NO: BR0002 AS OF 04/21/92 PAGE 002 OF 093
EMPLOYEE #: 09100 ORGANIZATION: 0001 006693 DEPT: LIMITED PARTNERS

LINE NO.	VOUCHER NUMBER	EMPLOYEE NAME	EMPLOYEE NUMBER	PICK UP TIME	WAIT TIME MIN	DATE OF RIDE	# OF STOPS	FROM	TO	AMOUNT	CAT	CODE	INDICATE PROJECT NUMBER	PERCENT OF MARGINAL AMOUNT	CLIENT NUMBER	COMMENTS INDICATE NAME
093	CR783216		00000	0700P	050	03/22/92	01	165W46TH	515E72ND	51.00	N	2		51		PRC
094	CR783216		00000	0700P	***	03/22/92	**	********	TEL	16.00	N	2		11		PRC
095	CR856651		00000	1230P	000	03/22/92	00	GRACIE	LGA	29.50	N	2		29.50		
096	CR748282	KORVAL PAT	11712	0800P	000	03/25/92	00	85 BROAD	BKLYN	24.50	F	3	GB			
097	CR748284		00000	0545P	000	03/26/92	00	85 BROAD	W 43	16.00	N	2		16		
098	CR769412		00000	0745P	015	03/26/92	00	10GRACIE	84 [illegible]MAD	20.00		2		20		
099	CR769952		00000	1000A	000	03/29/92	00	NRC	10 GRACI	18.00		2		18		
	TOTAL:					04/03/92				860.50						
100	CR780964		00000	1120P	030	03/20/92	01	165W46TH	515E72ND	39.00		2		39		PRC
101	CR747963		00000	1225P	020	03/25/92	01	156BBDWY	BKLYN	64.00		2		64		PRC
102	CR762025		00000	0515P	030	03/26/92	00	693PARK	10GRACE	23.00		2		23		
103	CR737737		00000	0417P	033	03/27/92	01	MIDTOWN	BRONX	53.50		2		53.50		PRC.
104	CR747518		00000	0140P	075	03/27/92	01	10GRACE	10GRACE	57.00		2		57		
105	CR752841		00000	0900P	015	03/27/92	00	10GRACIE	200E70TH	21.00		2		21		
106	CR748228		00000	1000P	000	03/28/92	01	10GRACE	SHERATON	21.00		2		21		
107	CR784104		00000	0145P	000	03/28/92	00	10GRACE	50 5TH	16.00		2		16		
108	CR784108		00000	0817A	000	03/29/92	00	10GRACE	LOWERMAN	18.00		2		18		
109	CR748276	KORVAL PAT	11712	0800P	000	03/31/92	00	85 BROAD	BKLYN	24.50	F	3	GB			
110	CR796616		00000	0845A	015	03/31/92	01	10GRACE	10GRACE	33.00	N	2		33		
111	CR748277		00000	0930P	000	04/01/92	00	85 BROAD	730 5TH	16.00		2		16		
112	CR748278		00000	0100P	000	04/01/92	00	11E57TH	85BROAD	16.00		2		16		
113	CR781005		00000	0850A	030	04/01/92	00	10GRACE	92NDPARK	14.00		2		14		
114	CR781389		00000	0115P	000	04/01/92	01	10GRACE	1150PK	27.00		2		27		

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KENNETH D BRODY — TAXI NUMBER: 25461 (062192) — STATEMENT NUMBER: 1-1537279
SECTION: 1 — ACCOUNT NO.: BROD02 — AS OF 06/21/92 — PAGE 008 OF 009.
DETAIL CHARGES — EMPLOYEE #: 09100 — ORGANIZATION: 0001 006699 — DEPT: LIMITED PARTNERS

LINE NO.	VOUCHER NUMBER	EMPLOYEE NAME	EMPLOYEE NUMBER	PLAN TYPE	UNIT NUM	DATE UP DATE	BOX NUMBER	FROM	TO	AMOUNT	TAX CODE	ACCT CODE	ACCT CODE NUMBER	CLIENT	ACCT CODE NAME
115	CR197915	KORVAL PAT	11712	1223A	000	06/02/92	00	85 BROAD	BELVE	29.50	F	0	GB		
116	CR769961		00000	0847A	000	06/02/92	01	10GRACE	92NDPARK	31.00	N	0			
	TOTAL:					06/10/92				498.50					
117	AC748275	KORVAL PAT	11712	0806P	000	06/07/92	01	85 BROAD	515/ETZ	29.00		4	29.50		BRC
118	CR716152		00000	0421P	010	06/27/92	00	10 GRACI	[illegible]	20.00		2	10"		
119	CR716152		00000	0421P	010	06/27/92	00	[illegible]	TEL	4.00		2	4"		
120	CR197527		00000	0520P	000	06/03/92	00	85 BROAD	GRACIE	29.00	P	4	GB		
121	CR856649		00000	1125P	000	06/03/92	50	10 GRACI	QUEENS	27.50	R	2	27.50		
122	CR626803		00000	0255P	000	06/06/92	00	10 GRACI	470 MEA	15.00		2	15"		
123	CR752779		00000	0729P	000	06/06/92	00	QUEENS	51STTRN	31.50		2	31.50		
124	CR782535		00000	0455P	915	06/06/92	00	470 MEA	GRACIE	31.00		2	31		
125	CR789807		00000	0850P	000	06/06/92	01	10 GRACI	10 GRACI	27.00		2	27		
126	CR856648		00000	0520P	000	06/06/92	00	10 GRACI	LGA	29.50		2	29.50		
127	CR792615		00000	0750P	010	06/07/92	01	10 GRACI	254W 54	25.00		2	25		
128	CR762469		00000	0800A	000	06/08/92	01	10 GRACI	92ND	27.00		2	27		
129	CR753477		00000	0900A	010	06/09/92	01	GRACIE	92 PARK	33.00		2	33		
130	CR796733		00000	0845A	010	06/10/92	00	10 GRACI	92ND	18.00		2	18		
	TOTAL:					06/17/92				331.00					

STATEMENT RIDE TOTAL	4,042.00	USAGE CODES
STATEMENT TELEPHONE TOTAL	540.00	1=BUSINESS 3=HOME
STATEMENT TOTAL	4,582.00	2=PERSONAL 4=PACKAGE

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KENNETH D [illegible]

TAXI NUMBER: 29461
ACCOUNT NO: 880002

AS OF 04/21/92

STATEMENT NUMBER: TA193729
PAGE 009 OF 009

SECTION: II
PAYMENT DISTRIBUTION

EMPLOYEE #: 09100

ORGANIZATION: 0001 006693

DEPT: LIMITED PARTNERS

CAT	AMOUNT	SYNDICATE NUMBER	SYNDICATE NAME/ TRANSFER ACCOUNT	CLIENT NUMBER	CAT	AMOUNT	SYNDICATE NUMBER	SYNDICATE NAME/ TRANSFER ACCOUNT	CLIENT NUMBER
F	24.50		GENERAL BUSINESS EXPENSES						
	23.00								
	24.50								
	24.50								
	24.50								
	24.50								
	24.50								
	24.50								
	24.50								
	23.00								

COLUMN 1 TOTAL 242.00 → 242.00

COLUMN 2 TOTAL

COLUMN 1 TOTAL

ADD LINE 1 AND LINE 2. TOTAL OF CHARGES MUST EQUAL LINE 6, PG 2 OF SUMMARY OF EXPENSES

PLEASE COMPLETE THIS SECTION ONLY IF YOU WISH TO CHARGE YOUR TAXI EXPENSES TO A SYNDICATE ACCOUNT OR A DEPARTMENT CODE OTHER THAN YOUR OWN.

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PROCESSOR: TE2

INV. TYPE	CORP	VOUCHER	VENDOR	INVOICE #		REFERENCE	CLIENT	ORG.	ACCOUNT	AMOUNT
1	1E001	226827	BR0002	050692	27483	TX135225 031872				

KENNETH D BRODY
85 BROAD STREET
19TH FLOOR

0800P	85 BROAD	BROOKLYN				TAX0000748405		11712	120455060	24.50CR
1100P	85 BROAD	QUEENS				TAX0000748359		00000	120455060	41.50CR
0120P	85 BROAD	JERSEY				TAX0000748380		00000	120455060	61.00CR
1020P	811 TAVE	TIMECALL				TAX0000748395		00000	120455060	91.00CR
1020P	811 TAVE	TIMECALL				TAX0000748395		00000	120455060	20.00CR
1130P	1560 BWY	TIME JOB				TAX0000752171		00000	120455060	42.00CR
1130P	1560 BWY	TIME JOB				TAX0000752171		00000	120455060	91.00CR
0910P	1560 BWY	QUEENS				TAX0000691668		00000	120455060	53.50CR
0910P	1560 BWY	QUEENS				TAX0000691668		00000	120455060	6.00CR
1225P	1560 BWY	155 WB4				TAX0000741623		00000	120455060	37.00CR
1225P	1560 BWY	155 WB4				TAX0000741623		00000	120455060	32.00CR
0330P	1560 BWY	1560 BWY				TAX0000748346		00000	120455060	25.00CR
1100P	15TH	QUEENS				TAX0000748365		00000	120455060	45.00CR
0600P	85 BROAD	10 GRACI				TAX0000748406		00000	120455060	21.00CR
0840P	GRACE SQ	92ND PK.				TAX0000753534		00000	120455060	31.00CR
1000P	67TH	HUDSON				TAX0000738610		00000	120455060	25.00CR
1026A	515E 72	1560 BWY				TAX0000739380		00000	120455060	20.00CR
0710P	1560 BWY	157 W				TAX0000748399		00000	120455060	31.50CR
0530P	GRACE SQ	53RD 7TH				TAX0000716167		00000	120455060	17.00CR
0927P	1560 BWY	QUEENS				TAX0000748349		00000	120455060	10.00CR
0927P	1560 BWY	QUEENS				TAX0000748349		00000	120455060	66.50CR
0845P	GRACIE	GRACIE				TAX0000761518		00000	120455060	27.00CR
0840A	10 GRACE	92ND PK.				TAX0000741635		00000	120455060	14.00CR
0330P	1560BDWY	1560BDWY				TAX0000748351		00000	120455060	71.00CR
0720P	1560 BWY	WCHESTER				TAX0000748353		00000	120455060	50.50CR
1245P	85 BROAD	29E 65TH				TAX0000748407		00000	120455060	17.00CR
1030P	WCHESTER	E72ND				TAX0000761668		00000	120455060	14.00CR
1030P	WCHESTER	E72ND				TAX0000761668		00000	120455060	132.00CR
0840P	1560 BWY	QUEENS				TAX0000748355		00000	120455060	45.50CR
0240P	1560 BWY	QUEENS				TAX0000748355		00000	120455060	10.00CR
0745P	44TH 5TH	34TH				TAX0000748356		00000	120455060	24.00CR
0830A	515 ET2	156 BWY				TAX0000752519		00000	120455060	16.00CR
0845A	10 GRACE	92ND PK				TAX0000769259		00000	120455060	19.00CR
1018P	1560 BWY	QUEENS				TAX0000738606		00000	120455060	84.50CR
0700P	TIME	CALL				TAX0000748363		00000	120455060	61.00CR
0200P	TIME	CALL				TAX0000748363		00000	120455060	42.00CR
0110P	46TH	46TH				TAX0000748364		00000	120455060	38.00CR
0110P	46TH	46TH				TAX0000748364		00000	120455060	34.00CR
0845A	10 GRACI	10 GRACI				TAX0000767992		00000	120455060	27.00CR

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KENNETH D BRODY

Travel and Entertainment

Month-End Summary of Expenses

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Goldman Sachs

KENNETH D BRODY | TAXI NUMBER: 25561 | ACCOUNT NO: DR0002 | AS OF 03/18/92 | STATEMENT NUMBER: TX155225 | PAGE 002 OF 013

SUMMARY OF EXPENSES EMPLOYEE #: 09100 ORGANIZATION: 0001 006693 DEPT: LIMITED PARTNERS

	AMOUNT	
1.) TAXI CHARGES	~~8,260.50~~ 8252.50	THIS REPRESENTS THE TOTAL AMOUNT CHARGED TO YOUR TAXI ACCOUNT. SEE SECTION 1 FOR DETAILS.
2.) LESS PENDING CREDITS		THIS REPRESENTS BILLING ERRORS OR OTHER DISPUTED CHARGES AND MUST EQUAL CHARGES CODED P IN TAXI DETAIL.
3.) LESS OTHER NONREIMBURSABLE	8027.00	THIS REPRESENTS AMOUNTS REIMBURSABLE TO THE FIRM FOR PERSONAL OR OTHER NON-REIMBURSABLE USE AND MUST EQUAL CHARGES CODED N OR USAGE CODE OF 2 IN TAXI DETAIL. PLEASE ATTACH YOUR CHECK PAYABLE TO GOLDMAN, SACHS & CO. FOR THIS AMOUNT.
4.) TOTAL OF EXPENSES	230.50	SUBTRACT LINES 2 & 3 FROM LINE 1. THIS REPRESENTS THE AMOUNT OF EXPENSES TO BE PAID BY THE FIRM. IF AMOUNTS ARE TO BE CHARGED TO A SYNDICATE PLEASE COMPLETE SECTION II (PAYMENT DISTRIBUTION) ATTACHED.

APPROVALS: To the best of my knowledge, all statements on this report are true and factual and all expenses are in conformity with firm policy.

X [signature] 4/24/92

KENNETH D BRODY DATE

[signature] 5/4/92

AUTHORIZED SIGNATURE DATE

☐ Check here if Address or Organization change is required. (Please note change in comments.)

THE FOLLOWING STATEMENTS ARE OUTSTANDING:

TX133974 02/13/92 TX126102 10/08/91

KDB - 1207 50

Clinton - 6822 50 - 360 overcharge = 6811 50

GS - 230.50

COMMENTS/SPECIAL INSTRUCTIONS

RECEIVED
MAY 5 A 11:

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KENNETH P BRODY
SECTION: I
DETAIL CHARGES

TAXI NUMBER: 25461 (031692)
ACCOUNT NO: BR0002 AS OF 03/18/92

STATEMENT NUMBER: 18135225
PAGE 003 OF 013

EMPLOYEE #: 09100 ORGANIZATION: 0001 006692 DEPT: LIMITED PARTNERS

LINE NO.	VOUCHER NUMBER	EMPLOYEE NAME	EMPLOYEE NUMBER	PICK UP TIME	WAIT TIME MIN	DATE OF RIDE	# OF STOPS	FROM	TO	AMOUNT	PAY	CODE	[illegible]	[illegible]	[illegible]	[illegible]
001	AC748405	KORVAL PAT	11712	0800P	000	01/27/92	00	85 BROAD	BROOKLYN	24.50	F	3	GB			PK
002	CR748350	Carey	00000	1100P	000	02/04/90	00	85 BROAD	QUEENS	41.50	N	[illegible]		[illegible]		
003	CR748380	Carey	00000	0128P	000	01/10/92	00	85 BROAD	JERSEY	61.00	N	[illegible]		61.00		
004	CR748395	Carey	00000	1020P	000	01/28/92	00	811 TAVE	TIMECALL	91.00	N	[illegible]		[illegible]		
005	CR748395	Carey	00000	1020P	***	01/28/92	**	********	TEL	20.00	N	[illegible]		26.00		
006	CR752171	Carey	00000	1130P	***	01/28/92	**	********	-	42.00	N	[illegible]		42.00		
007	CR752171	Carey	00000	1130P	180	01/28/92	00	1560 BWY	TIME JOB	91.00	N	[illegible]		91.00		
008	CR691668	Carey	00000	0910P	000	01/29/92	01	1560 BWY	QUEENS	53.50	N	[illegible]		[illegible]		
009	CR691668	Carey	00000	0910P	***	01/29/92	**	********	TEL	6.00	N	[illegible]		6.00		
010	CR741621	Carey	00000	1225P	025	01/29/92	00	1560 BWY	155 WB4	27.00	N	[illegible]		[illegible]		
011	CR741621	Carey	00000	1225P	***	01/29/92	**	********	TEL	32.00	N	[illegible]		[illegible]		
012	CR748346	Carey	00000	0350P	020	01/31/92	01	1560 BWY	1560 BWY	35.00	N	[illegible]		[illegible]		
013	CR748365	Carey	00000	1100P	015	01/31/92	00	15TH	QUEENS	45.50	N	[illegible]		[illegible]		
014	CR748403		00000	0600P	000	01/31/92	06	85 BROAD	10 GRACI	23.00	F	[illegible]	GB			
015	CR753534		00000	0840P	010	01/31/92	01	GRACE SQ	92ND PK.	31.00	N	[illegible]		[illegible]		CSB
016	CR738610	Carey	00000	1000P	020	02/01/92	00	67TH	HUDSON	25.00	N	[illegible]		[illegible]		
017	CR739390	Carey	00000	1026A	000	02/02/92	01	515E 72	1560 BWY	20.00	N	[illegible]		20.00		
018	CR748399	Carey	00000	0710P	000	02/02/92	01	1560 BWY	157 W	31.50	N	[illegible]		[illegible]		
019	CR716167		00000	0530P	000	02/03/92	00	GRACE SQ	53RD 7TH	17.00	N	[illegible]		17.00		CSB
020	CR748349	Carey	00000	0927P	***	02/03/92	**	********	TEL	10.00	N	[illegible]		[illegible]		
021	CR748349	Carey	00000	0927P	027	02/03/92	03	1560 BWY	QUEENS	86.50	N	[illegible]		86.50		
022	CR761918		00000	0845P	000	02/03/92	02	GRACIE	GRACIE	27.00	N	[illegible]		[illegible]		CSB
023	CR741635		00000	0840A	000	02/04/92	00	10 GRACE	92ND PK.	14.00	N	[illegible]		14.00		CSB
024	CR748351	Carey	00000	0330P	000	02/04/92	00	1560BDWY	1560BDWY	71.00	N	[illegible]		71.00		

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KENNETH D BRODY
SECTION: I
DETAIL CHARGES

TAXI NUMBER: 2546' (031892)
ACCOUNT NO: BRODO' AS OF 03/18/92

STATEMENT NUMBER: 1411[illegible]
PAGE 004 OF 013

EMPLOYEE #: 07100 ORGANIZATION: 0001 006693 DEPT: LIMITED PARTNERS

LINE NO.	VOUCHER NUMBER	EMPLOYEE NAME	[illegible]	[illegible]	[illegible]	DATE OF RIDE	[illegible]	FROM	TO	AMOUNT	TAX	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]
025	CR748353	Carey	00000	0120P	000	02/04/92	00	1560 BWY	WCHESTER	58.50	N	[illegible]		58.50		
026	CR748407		00000	1245P	000	02/04/92	00	85 BROAD	29E 65TH	17.00	N	[illegible]		17.00		LCP
027	CR761660	Carey	00000	1030P	***	02/04/92	**	********	TEL	14.00	N	[illegible]		14.00		
028	CR761668	Carey	00000	1030P	075	02/04/92	03	WCHESTER	E72ND	132.00	N	[illegible]		132.00		
029	CR748355	Carey	00000	0840P	000	02/05/92	01	1560 BWY	QUEENS	45.50	N	[illegible]		45.50		
030	CR748355	Carey	00000	0840P	***	02/05/92	**	********	TEL	10.00	N	[illegible]		10.00		
031	CR748356	Carey	00000	0745P	000	02/05/92	01	44TH 5TH	34TH	24.00	N	[illegible]		24.00		
032	CR752519	Carey	00000	0830A	000	02/05/92	00	515 E72	156 BWY	16.00	N	[illegible]		16.00		
033	CR769250		00000	0845A	000	02/05/92	00	10 GRACE	92ND PX	14.00	N	[illegible]		14.00		CSB
034	CR738606	Carey	00000	1018P	000	02/06/92	02	1560 BWY	QUEENS	88.50	N	[illegible]		88.50		
035	CR748363	Carey	00000	0200P	000	02/06/92	00	TIME	CALL	61.00	N	[illegible]		61.00		
036	CR748363	Carey	00000	0200P	***	02/06/92	**	********	TEL	42.00	N	[illegible]		42.00		
037	CR748364	Carey	00000	0110P	000	02/06/92	02	46TH	46TH	38.00	N	[illegible]		38.00		
038	CR748364	Carey	00000	0110P	***	02/06/92	**	********	TEL	36.00	N	[illegible]		36.00		
039	CR767992		00000	0845A	000	02/06/92	01	10 GRACI	10 GRACI	27.00	N	[illegible]		27.00		CSB
040	CR748348	Carey	00000	0800A	015	02/07/92	00	515E 72	59 MAIDE	23.00	N	[illegible]		23.00		
041	CR748357	Carey	00000	0100P	000	02/07/92	01	1560 BWY	1560 BWY	47.00	N	[illegible]		47.00		
042	CR748359	Carey	00000	0245P	000	02/07/92	00	1560 46	85 BROAD	16.00	N	[illegible]		16.00		
043	CR762046	Carey	00000	0730A	010	02/07/92	01	515 E72	53RD LEX	23.00	N	[illegible]		23.00		
044	CR768517		00000	0754A	000	02/07/92	02	GRACIE	GRACIE	27.00	N	[illegible]		27.00		CSB
045	CR768691	Carey	00000	1010P	015	02/07/92	01	1560 BWY	157TH ST	35.50	N	[illegible]		35.50		
046	CR768691	Carey	00000	1010P	***	02/07/92	**	********	TEL	4.00	N	[illegible]		4.00		
047	CR769314	Carey	00000	[illegible]	000	02/07/92	00	TIME	CALL	61.00	N	[illegible]		61.00		
048	CR748468	Carey	00000	0830P	032	02/08/92	02	1560 BWY	QUEENS	69.50	N	[illegible]		69.50		

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KENNETH D BRODY
SECTION: I
DETAIL CHARGES

TAXI NUMBER: 25461 (031992) STATEMENT NUMBER: TX135225
ACCOUNT NO. BL0002 AS OF 03/18/92 PAGE 005 OF 013

EMPLOYEE #: 09100 ORGANIZATION: 0001 006693 DEPT: LIMITED PARTNERS

LINE NO	MEMBER NUMBER	EMPLOYEE NAME	[illegible]	PICK UP TIME	WAIT TIME MIN	DATE OF RIDE	# OF STOPS	FROM	TO	AMOUNT	TAX	[illegible]	SYNDICATE/ EXC. EXT. NUMBER	PENDING CO. [illegible]	CLIENT NUMBER	COMMENTS/ SYNDICATE NAME
049	CR740556	Carey	00000	0840P	000	02/08/92	00	TIME	CALL	61.00	N	A		61.00		
	TOTAL:					02/14/92				1,916.50						
050	AC740035	KORVAL PAT	11712	0800P	000	02/11/92	00	85 BROAD	BROOKLYN	20.50	F	3	GB			PK
051	CR748343	Carey	00000	0700P	000	02/01/92	01	1560BDWY	QUEENS	52.50	N	0		52.50		
052	CR748343	Carey	00000	0700P	***	02/01/92	**	********	TEL	4.00	N	0		4.00		
053	CR724977		00000	1010A	000	02/07/92	00	10 GRACE	57TH	16.00	N	0		16.00		CSB
054	CR737921	Carey	00000	0845P	000	02/07/92	00	1560 BWY	85TH 3RD	36.00	N	0		36.00		
055	CR747107	Carey	00000	0650P	015	02/07/92	00	75 VARIC	46 BWY	22.00	N	0		22.00		
056	CR747107	Carey	00000	0650P	***	02/07/92	**	********	TEL	6.00	N	0		6.00		
057	CR716859	Carey	00000	0825A	020	02/08/92	00	515E72ND	BRONXVLE	61.00	N	0		61.00		
058	CR748360	Carey	00000	0210A	000	02/08/92	02	1616 2ND	QUEENS	69.50	N	0		69.50		
059	CR769998	Carey	00000	0909P	030	02/08/92	00	1560 BWY	GRACE SQ	28.00	N	0		28.00		
060	CR769998	Carey	00000	0909P	***	02/08/92	**	********	TEL	4.00	N	0		4.00		
061	CR661557	Carey	00000	0630P	086	02/09/92	00	1560BDWY	1560BDWY	72.00	N	0		72.00		
062	CR661557	Carey	00000	0630P	***	02/09/92	**	********	TEL	12.00	N	0		12.00		
063	CR701168	Carey	00000	1115P	000	02/09/92	00	1560BDWY	BAYSIDE	39.50	N	0		39.50		
064	CR701168	Carey	00000	1115P	***	02/09/92	**	********	TEL	14.00	N	0		14.00		
065	CR748361	Carey	00000	1110A	010	02/09/92	01	1560 BWY	135TH	45.00	N	0		45.00		
066	CR761392	Carey	00000	1000A	000	02/09/92	01	515E 72	1560 BWY	20.00	N	0		20.00		
067	CR699736	Carey	00000	0700P	000	02/10/92	00	811 7AVE	QUEENS	41.50	N	0		41.50		
068	CR716329	Carey	00000	0205P	000	02/10/92	01	1560BDWY	1560BDWY	29.00	N	0		29.00		
069	CR738736		00000	0435P	000	02/10/92	00	4E 66TH	10 GRACE	15.00	N	0		15.00		CSB
070	CR739015	Carey	00000	0840A	000	02/10/92	00	515E 72	1560 BWY	16.00	N	0		16.00		

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TAXI NUMBER: 25461 (031812) STATEMENT NUMBER: T0115225
KENNETH B BRODY ACCOUNT NO: BR0022 AS OF 03/10/92 PAGE 006 OF 013
SECTION: I
DETAIL CHARGES EMPLOYEE #: 09100 ORGANIZATION: 0001 [illegible] DEPT: LIMITED PARTNERS

LINE NO.	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]
071	CR748313	Carey	00000	0300P	410	02/10/92	04	1560BDWY	TETERBOR	315.00	N	9		315.00		
072	CR748313	Carey	00000	0300P	***	02/10/92	**	********	TEL	42.00	N	9		42.00		
073	CR748314	Carey	00000	0300P	455	02/10/92	03	1560 BWY	TETERBOR	347.00	N	9		347.00		
074	CR748314	Carey	00000	0300P	***	02/10/92	**	********	TEL	156.00	N	9		156.00		
075	CR748315	Carey	00000	0100A	000	02/10/92	01	1560 BWY	E 72	31.00	N	9		31.00		
076	CR748352	Carey	00000	0110A	000	02/10/92	00	1560 BWY	[illegible] BWY	16.00	N	9		16.00		
077	CR748362	Carey	00000	0300P	085	02/10/92	04	1560 BWY	53 7AVE	94.00	N	9		94.00		
078	CR752257		00000	0530P	000	02/10/92	00	GRACIE	53 7AVE	17.00	N	9		17.00		CSB
079	CR753624		00000	1245P	095	02/10/92	01	10GRACIE	93RDPARK	65.00	N	9		65.00		CSB
080	CR769997	Carey	00000	1215P	015	02/10/92	00	167TH	MIDTOWN	22.00	N	9		22.00		
081	CR684785	Carey	00000	0335P	000	02/11/92	00	165 46TH	LGA	29.50	N	9		29.50		
082	CR741081	Carey	00000	0847P	076	02/11/92	00	1560 BWY	M8	49.00	N	9		49.00		
083	CR741998	Carey	00000	0200A	000	02/11/92	00	53 7AVE	BLEECKER	23.50	N	9		23.50		
084	CR748409	Carey	00000	0600P	000	02/11/92	02	85 BROAD	1650 BWY	20.00	N	9		20.00		
085	CR748410	Carey	00000	1050P	000	02/11/92	00	78TH	QUEENS	41.50	N	9		41.50		
086	CR748410	Carey	00000	1050P	***	02/11/92	**	********	TEL	2.00	N	9		2.00		
087	CR769090	Carey	00000	1200P	020	02/11/92	01	46 BWAY	E 81	29.00	N	9		29.00		
088	CR716131	Carey	00000	1255P	015	02/12/92	00	GRACIE	B/ RSD	21.00	N	9		21.00		
089	CR747114	Carey	00000	0400P	000	02/12/92	00	515E 72	LGA	29.50	N	9		29.50		
090	CR748036		00000	0500P	000	02/12/92	00	85 BROAD	969 PARK	33.00	N	9		33.00		KDP.
091	CR748037		00000	0810P	000	02/12/92	00	85BROAD	BKLYN	24.50	F	9	GB			PK
092	CR762899		00000	0940A	010	02/12/92	00	10 GRACI	89TH	18.00	N	9		18.00		CSB
093	CR760942	Carey	00000	0800P	020	02/12/92	00	1560BDWY	515E72RD	24.00	N	9		24.00		
094	CR760942	Carey	00000	0800P	***	02/12/92	**	********	TEL	36.00	N	9		36.00		

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KENNETH D BRODY
SECTION: I
DETAIL CHARGES

TAXI NUMBER: 25861 (031892)
ACCOUNT NO: BR0002 AS OF 03/18/92

STATEMENT NUMBER: 1X135225
PAGE 002 OF 013

EMPLOYEE #: 09100 ORGANIZATION: 0001 006673 DEPT: LIMITED PARTNERS

LINE NO.	SCREEN NUMBER	EMPLOYEE NAME	EMPLOYEE NUMBER	PICK UP TIME	WAIT TIME MIN	DATE OF RIDE	# OF STOPS	DATE	TO	AMOUNT	CAT	CO	CONTRACT NUMBER	CONTRACT NUMBER	AMOUNT	COMMENTS
095	CR769138	Carey	00000	0940A	000	02/12/92	02	515E 72	1560 B.	24.00	N	[illegible]		24.00		PRC
096	CR769138	Carey	00000	0940A	***	02/12/92	**	********	TEL	4.00	N	[illegible]		4.00		
097	CR716410	Carey	00000	1205P	030	02/13/92	01	1560BDWY	LI	98.50	N	[illegible]		98.50		
098	CR716410	Carey	00000	1205P	***	02/13/92	**	********	TEL	20.00	N	[illegible]		20.00		
099	CR716411	Carey	00000	0520P	025	02/13/92	01	1560BDWY	515E72ND	42.00	N	[illegible]		42.00		
100	CR736365		00000	1037P	015	02/13/92	00	10GRACIE	571 5TH	22.00	N	[illegible]		22.00		CSB
101	CR736365		00000	1037P	***	02/13/92	**	********	TEL	2.00	N	[illegible]		2.00		CSB
102	CR737044	Carey	00000	1155A	000	02/13/92	00	1560 BWY	61 MAD.	23.00	N	[illegible]		23.00		
103	CR737044	Carey	00000	1155A	***	02/13/92	**	********	TEL	24.00	N	[illegible]		24.00		
104	CR739023	Carey	00000	0845A	010	02/13/92	01	10 GRACI	10 GRACI	31.00	N	[illegible]		31.00		
105	CR739024	Carey	00000	0215P	000	02/13/92	00	TIMECALL	TIMECALL	76.00	N	[illegible]		76.00		
106	CR739024	Carey	00000	0215P	***	02/13/92	**	********	TEL	15.00	N	[illegible]		15.00		
107	CR748039	Carey	00000	0815P	000	02/13/92	00	85 BROAD	BKLYN	24.50 ~~27.50~~	N	[illegible]		24.50		
108	CR748414	Carey	00000	0304P	000	02/13/92	01	85TH AMS	QUEENS	58.50	N	[illegible]		58.50		
109	CR762011	Carey	00000	0840A	000	02/13/92	00	515E72ND	46TH7TH	16.00	N	[illegible]		16.00		
110	CR747342	Carey	00000	0850A	000	02/14/92	01	E 72	1560 BWY	20.00	N	[illegible]		20.00		
111	CR762280		00000	1025A	010	02/16/92	00	SOUTH ST	10GRACIE	22.00	N	[illegible]		22.00		KDB
	TOTAL:					02/21/92				2,628.50						
112	CR765148		00000	1145A	014	02/19/92	01	GRACE SQ	92ND PK.	33.00	N	[illegible]		33.00		CSB
113	CR765775	Carey	00000	0629P	000	02/04/92	00	1560BWY	LOCAL	12.00	N	[illegible]		12.00		
114	CR736525	Carey	00000	1000A	000	02/08/92	00	10 GRACE	46TH BWY	17.00	N	[illegible]		17.00		
115	CR762148		00000	1015A	000	02/09/92	00	GRACIE	46 BWAY	17.00	N	[illegible]		17.00		CSB
116	CR762140		00000	1015A	***	02/09/92	**	********	TEL	2.00	N	[illegible]		2.00		CSB

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KENNETH B BRODY — TAX NUMBER: 254[illegible] (031992) — STATEMENT NUMBER: IA135225
SECTION: 1 — ACCOUNT NO: 890002 — AS OF 03/18/92 — PAGE 008 OF 032
DETAIL CHARGES — EMPLOYEE #: 02100 — ORGANIZATION: 0001 006613 — DEPT: LIMITED PARTNERS

LINE NO	VOUCHER NUMBER	EMPLOYEE NAME	EMPLOYEE NUMBER	TIME OF TIME	TIME ZONE	DATE OF TIME	NO OF MINUTES	FROM	TO	AMOUNT	CURRENCY	CLASS	CHARGE CODE	ACCOUNT NUMBER	COMMENTS
117	CR738364	Ca[illegible]	00000	0730A	000	02/10/92	00	QUEENS	1560 BWY	39.50	N	0			
118	CR738364	Ca[illegible]	00000	0730A	***	02/10/92	**	********	TEL	12.00	N	0			
119	CR753549		00000	1015A	000	02/10/92	00	GRACIE	1560 BWY	17.00	N	0			CSB
120	CR748038		00000	0800P	000	02/12/92	00	85 BROAD	10 GRACI	25.00	F	1	GB		
121	CR752196	Carey	00000	1127P	000	02/12/92	00	1560 BWY	BAYSIDE	38.50	N	0			
122	CR752196	Ca[illegible]	00000	1127P	***	02/12/92	**	********	TEL	28.00	N	0			
123	CR639030	Carey	00000	0310P	010	02/13/92	01	745 5TH	1560 BWY	23.00	N	0			
124	CR639030	Carey	00000	0310P	***	02/13/92	**	********	TEL	20.00	N	0			
125	CR748040		11712	0700P	000	02/14/92	00	85 BROAD	BKLYN	29.50	N	2			KDB
126	CR748411	Ca[illegible]	00000	0900P	000	02/14/92	00	165 WA	QUEENS	41.50	N	0			
127	CR752370		00000	0845A	010	02/13/92	00	GRACE SQ	92ND PK.	31.00	N	0			CSB
128	CR762337	Carey	00000	0400P	025	02/14/92	00	1560 BWY	E 72	27.00	N	0			
129	CR762337	Carey	00000	0400P	***	02/14/92	**	********	TEL	6.00	N	0			
130	CR716868		00000	0810A	000	02/16/92	00	10 GRACE	BROAD	16.00	N	0			LDB
131	CR717119	Carey	00000	0830A	050	02/16/92	03	SLTR. ISL	SLTR. ISL	265.00	N	0			
132	CR739069		00000	0821A	000	02/17/92	00	10 GRACE	LAG	29.50	N	0			KDB
133	CR769140		00000	0530P	000	02/17/92	01	10 GRACE	10 GRACE	58.00	N	0			CSB
134	CR747999	Carey	00000	0840P	000	02/18/92	00	1560 BWY	QUEENS	38.50	N	0			
135	CR747999	Carey	00000	0840P	***	02/18/92	**	********	TEL	32.00	N	0			
136	CR748842		00000	0700P	000	02/18/92	01	85 BROAD	BKLYN	39.50	N	0			KDB
137	CR748007	[illegible]	10879	0800P	***	02/19/92	**	********	TEL	2.00	N	0			
138	CR748007	[illegible]	10879	0800P	00	02/19/92	00	5 BROAD	38TH 2ND	16.00	N	0			
139	CR748812	Carey	00000	1100P	000	02/19/92	01	80 BWAY	QUEENS	38.50	N	0			
140	CR748812	Carey	00000	1100P	***	02/19/92	**	********	TEL	2.00	N	0			

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KENNETH D BRODY — TAXI NUMBER: 25461 — (031892) — STATEMENT NUMBER: TX135225
SECTION: I — ACCOUNT NO: BR0902 — AS OF 03/18/92 — PAGE 009 OF 013
DETAIL CHARGES — EMPLOYEE #: 09100 — ORGANIZATION: 0001 006693 — DEPT: LIMITED PARTNERS

LINE NO.	VOUCHER NUMBER	EMPLOYEE NAME	EMPLOYEE NUMBER	PICK UP TIME	WAIT TIME MIN	DATE OF RIDE	# OF STOPS	FROM	TO	AMOUNT	VAT	[illegible]	[illegible]	[illegible]	[illegible]	COMMENTS
141	CR752465		00000	0845A	015	02/19/92	01	GRACE SQ	GRACE SQ	33.00	N	02		33.00		CSB
142	CR330440		00000	0830P	026	02/20/92	02	GRACE SQ	GRACE SQ	43.00	N	02		43.00		CSB
143	CR330441	Carey	00000	0905P	000	02/20/92	00	515 E72	46TH 7TH	16.00	N	02		16.00		
144	CR748333	Carey	00000	0200A	010	02/21/92	00	1560 BWY	QUEENS	43.50	N	02		43.50		
145	CR748334	Carey	00000	0155A	010	02/21/92	01	1560 BWY	515E 72	24.00	N	02		24.00		
146	CR748344	Carey	00000	1140A	010	02/21/92	00	1560 BWY	65 CPW	24.00	N	02		24.00		
147	CR752470		00000	0930A	035	02/21/92	01	GRACE SQ	GRACE SQ	47.00	N	02		47.00		CSB
148	CR747998	Carey	00000	1000A	000	02/22/92	00	515 E72	25 CPW	16.00	N	02		16.00		
149	CR754038		00000	0610P	000	02/23/92	01	GRACE SQ	GRACE SQ	29.00	N	02		29.00		CSB
150	CR748413	Carey	00000	0805P	000	02/27/92	00	1560 BWY	QUEENS	38.50	N	02		38.50		
151	CR748413	Carey	00000	0805P	***	02/27/92	**	********	TEL	8.00	N	02		8.00		
	TOTAL:					02/28/92				1,279.00						
152	CR732132	Carey	00000	0745P	000	01/26/92	00	TIME	CALL	66.00	N	02		66.00		
153	CR732132	Carey	00000	0745P	***	01/26/92	**	********	TEL	50.00	N	02		50.00		
154	CR748419	Carey	00000	0800P	000	02/18/92	01	85 BROAD	1566 BWY	20.00	N	02		20.00		
155	CR747988	Carey	00000	0845P	000	02/21/92	01	1560 BWY	QUEENS	45.50	N	02		45.50		
156	CR747989	Carey	00000	1120P	000	02/21/92	00	1560 BWY	790 RSD	24.00	N	02		24.00		
157	CR747990	Carey	00000	0820P	030	02/21/92	00	1560 BWY	515E 72	28.00	N	02		28.00		
158	CR768209		00000	0845A	000	02/21/92	01	10 GRACI	10 GRACI	27.00	N	02		27.00		CSB
159	CR716956		00000	0845A	010	02/24/92	01	10 GRACE	10 GRACE	31.00	N	02		31.00		CSB
160	CR737912	Carey	00000	0715P	010	02/24/92	01	1560BDWY	BAYSIDE	56.50	N	02		56.50		
161	CR738841	Carey	00000	0720P	140	02/24/92	02	515E72ND	WHITEPLN	165.50	N	02		165.50		
162	CR739033		00000	1140A	025	02/24/92	01	10 GRACE	60TH 5TH	37.00	N	02		37.00		CSB

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KENNETH D BROOK — TAXI NUMBER: 25451 — (031992) — STATEMENT NUMBER: IR135225
SECTION: 1 — ACCOUNT NO: BROOO2 — AS OF 03/18/92 — PAGE 010 OF 013
DETAIL CHARGES — EMPLOYEE #: 09906 — ORGANIZATION: 0001 00000 — DEPT: LIMITED PARTNERS

LINE NO	EMPLOYEE NUMBER	EMPLOYEE NAME	TRX DATE	TRX TIME	TRX MIN	POST DATE	# OF STOPS	FROM	TO	AMOUNT	CAT	PAY TYPE	TRX CODE	TOLLS	COMMENTS
163	CR747937	Ca...	00000	0725P	025	02/24/92	00	1560 SWY	WCHESTER	72.00	N	9			
164	CR747987	Ca...	00000	0725P	***	02/24/92	**	********	TEL	10.00	N	9			
165	CR748041	KORVAL PAT	11712	0800P	000	02/24/92	00	85 BROAD	BKLYN	24.50	F	3	GB		
166	CR748256	Ca...	00000	0945A	000	02/24/92	01	515E 72	1560 BWY	20.00	N	9			
167	CR752594	Ca...	00000	0540P	010	02/24/92	00	1560 BWY	515E 72	20.00	N	9			
168	CR752594	Ca...	00000	0549P	***	02/24/92	**	********	TEL	4.00	N	9			
169	CR626747	Ca...	00000	0800A	000	02/25/92	01	515 E72	1560 BWY	20.00	N	9			
170	CR747128	Ca...	00000	0655P	010	02/25/92	00	QUEENS	1560 BWY	43.50	N	9			
171	CR747128	Ca...	00000	0655P	***	02/25/92	**	********	TEL	12.00	N	9			
172	CR747924	Ca...	00000	0900P	000	02/25/92	01	1560 BWY	QUEENS	53.50	N	9			
173	CR748230		00000	0620P	000	02/25/92	00	85 BROAD	10 GRACE	28.00	F	4	GB		
174	CR761381	Cary	00000	0630P	000	02/25/92	01	1560 BWY	535E 52	30.00	N	9			
175	CR768954		00000	0845A	012	02/25/92	01	10 GRACE	10 GRACE	33.00	N	9			C&P
176	CR719358	Carey	00000	0830A	000	02/26/92	00	515E 72	1560 BWY	61.00	N	9			
177	CR747985	Carey	00000	1200P	000	02/26/92	00	1560 BWY	790 RSD	26.00	N	9			
178	CR747986	Carey	00000	1045P	***	02/26/92	**	********	TEL	2.00	N	9			
179	CR747986	Carey	00000	1045P	000	02/26/92	00	1560 BWY	QUEENS	39.50	N	9			
180	CR747991	Carey	00000	0245P	015	02/26/92	00	1560 BWY	LAG	35.50	N	9			
181	CR747991	Carey	00000	0245P	***	02/26/92	**	********	TEL	66.00	N	9			
182	CR748989	KORVAL PAT	11712	0800P	000	02/26/92	00	85 BROAD	BKLYN	24.50	F	3	GB		
183	CR752265		00000	0630P	050	02/26/92	01	10 GRACE	67TH	30.00	N	9			
184	CR761523		00000	0500P	000	02/26/92	00	4E 66TH	GRACE SQ	15.00	N	9			C&P
185	CR716958		00000	1030A	000	02/27/92	00	10 GRACE	67TH MAD	15.00	N	9			C&P
186	CR747992	Ca...	00000	1000P	000	02/27/92	01	LGA	1560 BWY	27.00	N	9			

00102

CONFIDENTIAL

KENNETH B BRODY
SECTION: 1
DETAIL CHARGES

TAXI NUMBER: 25461 (011072) STATEMENT NUMBER: 14.35225
ACCOUNT NO: 0K0002 AS OF 03/18/92 PAGE 011 OF 013

EMPLOYEE #: 09100 ORGANIZATION: 0001 006673 DEPT LIMITED PARTNERS

LINE NO.	SOURCE NUMBER	EMPLOYEE NAME	EMPLOYEE NUMBER	PICK UP TIME	WAIT TIME MIN	DATE OF RIDE	# OF STOPS	FROM	TO	AMOUNT						
187	CR762373	Carey	00000	0609P	000	02/27/92	00	515E72ND	54TH5TH	16.00	N	0		16.00		
188	CR762373	Carey	00000	0609P	***	02/27/92	**	********	TEL	20.00	N	0		20.00		
189	CR796471		00000	1200A	000	02/27/92	00	92ND	10 GRACE	14.00	N	0		14.00		C.S.P.
190	CR747843		00000	0900A	015	02/28/92	00	10 GRACE	92ND PK.	20.00	N	0		20.00		C.S.P.
	TOTAL:					03/06/92				1,336.50						
191	CR748403		00000	0530P	000	01/24/92	00	85 BROAD	84TH	23.00	F	0	GB			Package
192	CR747997	Carey	00000	0945P	100	02/20/92	00	515E 72	515E 72	61.00	N	0		61.00		
193	CR747997	Carey	00000	0945P	***	02/20/92	**	********	TEL	4.00	N	0		4.00		
194	CR752378		00000	0510P	010	02/24/92	00	4E66TH	10GRACIE	19.00	N	0		19.00		C.S.P.
195	CR747923	Carey	00000	0715P	000	02/28/92	00	TIME	CALL	91.00	N	0		91.00		
196	CR747993	Carey	00000	0755A	000	02/28/92	00	515 W72	1740 BWY	16.00	N	0		16.00		
197	CR748289		00000	0900P	000	02/28/92	00	85 BROAD	GRACIE	18.00	N	0		18.00		KDP.
198	CR748289		00000	0900P	***	02/28/92	**	********	TEL	20.00	N	0		20.00		KDP.
199	CR747921	Carey	00000	0903P	000	02/29/92	00	W 96	E 85	17.00	N	0		17.00		
200	CR748005	[illegible]	10879	1030P	000	03/01/92	00	85 BROAD	44TH	16.00	N	0		16.00		
201	CR747981	Carey	00000	1155P	010	03/02/92	00	1560 BWY	185E 85	21.00	N	0		21.00		
202	CR747962	Carey	00000	0100A	000	03/02/92	00	1560 BWY	790 RSD	24.00	N	0		24.00		
203	CR748046	KORVAL PAT	11712	0800P	000	03/02/92	00	85 BROAD	420E 51	18.00	F	0	GB			PK home
204	CR768033		00000	1100A	000	03/02/92	01	10 GRACE	61ST	19.00	N	0		19.00		C.S.P.
205	CR796489		00000	0100P	000	03/02/92	00	10 GRACE	64TH PK.	15.00	N	0		15.00		C.S.P.
206	CR738787		00000	1100A	000	03/03/92	00	10 GRACE	86TH	14.00	N	0		14.00		C.S.P.
207	CR747922	Carey	00000	[illegible]0P	030	03/03/92	02	1560BWY	MIDTOWN	45.00	N	0		45.00		
208	CR747975	Carey	00000	1040P	000	03/03/92	00	1560 BWY	790 RSD	27.00	N	0		27.00		

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KENNETH D BRODY — TAXI NUMBER: 2[illegible] (0118021) — STATEMENT NUMBER: [illegible]
SECTION: 1 — ACCOUNT #: PR0002 — AS OF 03/18/92 — PAGE 012 OF 013
DETAIL CHARGES — EMPLOYEE #: 02102 — ORGANIZATION: 0001 01 [illegible] — DEPT: LIMITED PARTNERS

LINE NO.	VOUCHER NUMBER	EMPLOYEE NAME	PAYMENT NUMBER	TIME	AM/PM	DATE OF RIDE	# OF STOPS	FROM	TO	AMOUNT	CAT	TIP	TOLLS	TOTAL AMOUNT	TAXES	COMMENTS
209	CR747975	Carey	00000	1040P	***	03/03/92	**	********	TEL	2.00	N	0		2.00		
210	CR747983	Carey	00000	1250A	000	03/03/92	00	1560 BWY	BKLYN	24.00	N	0		24.00		
211	CR747995	Carey	00000	0815A	030	03/03/92	01	515E 72	1560 BWY	32.00	N	0		32.00		
212	CR769969	Carey	00000	1110P	000	03/03/92	01	165W 96	85TH	20.00	N	0		20.00		
213	CR795790		00000	0845A	020	03/03/92	01	10 GRACI	72ND	45.00	N	0		45.00		
214	CR747925	Carey	00000	0245P	000	03/04/92	00	1560BDWY	[illegible]6TH	16.00	N	0		16.00		
215	CR747926	Carey	00000	0555P	000	03/04/92	00	66TH	1560 BWY	16.00	N	0		16.00		
216	CR747996	Carey	00000	1016P	015	03/04/92	01	165 W66	515 E72	35.00	N	0		35.00		
217	CR748788	Carey	00000	0625P	000	03/04/92	00	65 BROAD	1560 BWY	21.00	N	0		21.00		
218	CR751798	Carey	00000	0930A	000	03/04/92	01	515 E72	1560 BWY	20.00	N	0		20.00		
219	CR762366	Carey	00000	1105P	000	03/04/92	00	52ND	72ND	20.00	N	0		20.00		
220	CR747976	Carey	00000	1015A	020	03/05/92	00	515E 72	46TH	24.00	N	0		24.00		
221	CR747977	Carey	00000	1235P	000	03/05/92	00	1560 BWY	1560 BWY	91.00	N	0		91.00		
222	CR747977	Carey	00000	1235P	***	03/05/92	**	********	TEL	84.00	N	0		84.00		
223	CR753302	Carey	00000	0100A	000	03/05/92	00	59TH 5TH	515 E2	15.00	N	0		15.00		
224	CR768970		00000	0845A	007	03/05/92	01	10 GRACI	10 GRACI	31.00	N	0		31.00		
225	CR747972	Carey	00000	1245A	000	03/06/92	01	1560 BWY	790 RSD	32.00	N	0		32.00		
226	CR747973	Carey	00000	1245A	***	03/06/92	**	********	TEL	2.00	N	0		2.00		
227	CR747973	Carey	00000	1216P	015	03/06/92	00	1560 BWY	10 GRACI	20.00	N	0		20.00		
228	CR747927	Carey	00000	0430P	020	03/07/92	00	1560 BWY	32 JAVE	24.00	N	0		24.00		
229	CR747928	Carey	00000	0145A	000	03/07/92	00	1560 BWY	790 RSD	26.00	N	0		26.00		
230	CR748036	Carey	00000	0615P	015	03/07/92	01	32ND JAV	1560 BWY	25.00	N	0		26.00		
	TOTAL:					03/13/92				1,100.00						

STATEMENT SIDE TOTAL	7,315.50	USAGE CODES:
STATEMENT TELEPHONE TOTAL	945.00	1=BUSINESS 3=HOME
STATEMENT TOTAL	8,260.50	2=PERSONAL 5=PACKAGE

00105

KENNETH B BRODY

TAX NUMBER: [illegible]
ACCOUNT NO: [illegible] AT 01/29/92

STATEMENT NUMBER: [illegible]
PAGE 011 OF 013

SECTION: II
PAYMENT DISTRIBUTION EMPLOYEE #: 03100 ORGANIZATION: [illegible] DEPT: LIMITED PARTNERS

CAT	AMOUNT	SYNDICATE NUMBER	SYNDICATE NAME/ TRANSFER ACCOUNT	CLIENT NUMBER	CAT	AMOUNT	SYNDICATE NUMBER	SYNDICATE NAME/ TRANSFER ACCOUNT	CLIENT NUMBER
F	[illegible]		GENERAL BUSINESS EXPENSES						
F	[illegible]		GB						
F	23.00								
F	24.50								
F	24.50								
F	23.00								
F	24.50								
F	23.00								
F	24.50								
F	23.00								
F	[illegible]								

COLUMN TOTAL 236.50

PLEASE COMPLETE THIS SECTION ONLY IF YOU WISH TO CHARGE YOUR TAX EXPENSES TO A SYNDICATE ACCOUNT OR A DEPARTMENT CODE OTHER THAN YOUR OWN.

COLUMN 2 TOTAL

COLUMN 1 TOTAL

ADD LINE 1 AND LINE 2. TOTAL OF CHARGES MUST EQUAL LINE 6, PG 2 OF SUMMARY OF EXPENSES

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00110

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LGL001 05/12/92 --- ACCOUNTS PAYABLE - LIST VOUCHER REPORT --- 02:53:09 PAGE: 145

PROCESSOR: TE1

INV. TYPE	CORP	VOUCHER	VENDOR	INVOICE #		REFERENCE	CLIENT	ORG.	ACCOUNT	AMOUNT
1	TE001	TE5883	BR0002	051292	28594	AE138923 040292				

KENNETH B BRODY
85 BROAD STREET
19TH FLOOR
[illegible]

CONTINENTAL AIRLINES NEW	AMX7290384790	09100 S F	0001-006693	600677090	604.00
CONTINENTAL AIRLINES NEW	AMX7290384790		09100	120455060	604.00CR
K.BRODY	CAS0451334705		09100	120455060	20.75CR
K BRODY FED EXP.	CAS0452005224		09100	120455060	9.00CR
K.BRODY	CAS0435090004		09100	120455060	15.00CR
INV# 4357561610 FEDERAL EX	CAS0455761610		09100	120455060	8.50CR
AU MANDARIN RESTAURANT NE	AMX0000459605	09100 S F	0001-006693	600536000	9.00
AU MANDARIN RESTAURANT NE	AMX0000459605		09100	120455060	30.00CR
BALANCE DUE GS&CO		S	0001-000000	110160860	63.23

Coding

00111

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KENNETH D BRODY

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Travel and Entertainment

Month-End Summary of Expenses

Goldman Sachs

6/12 TT 1 10 52

KENNETH D BRODY

AMEX NUMBER: [redacted]
ACCOUNT NO: [redacted]

AS OF 06/02/92

STATEMENT NUMBER: AE138923
PAGE 007 OF 005

SUMMARY OF EXPENSES EMPLOYEE #: 89100 ORGANIZATION: 0C01 [illegible] DEPT: LIMITED PARTNERS

** CHARGE SUMMARY **		AMOUNT	
(Section I) Items charged to the Firm	1	634.00	AMEX charges plus other items paid for by Firm
Minus: Personal items	2	10.00	Items coded (N) on-reimbursable in Section I
Minus: Adjustments	3		Items not currently being accounted for -coded either (P)ending accounting or (U)nused ticket
Charged items being expensed	4	[illegible]	Line 1 minus lines 2 and 3
** CASH SUMMARY **		AMOUNT	
(Section II) Cash and other expenses	5		Complete Section II for out-of-pocket and personal charges to be reimbursed.
(Section III) Minus: Cash advances 53.25	6	53.25	Advances not currently being accounted for should be coded (P)ending accounting.
Minus: Personal items	7	10.00	From line 2 above.
Balance due ( ) Firm or ( ) Employee please check appropriate box. Ck # 1059, 203	8	63.25	If line 5 is greater than the sum of lines 6 and 7, then balance is due employee; otherwise, balance is due Firm. (Please attach a check payable to Goldman, Sachs & Co., if due Firm.)

State reasons for first class flights:

THE FOLLOWING STATEMENTS ARE OUTSTANDING:

AE137345 03/06/92 AE135033 02/12/92 AE134268 01/[illegible]/92

$PIC 10.00

APPROVALS: To the best of my knowledge, all statements on this report are true and factual and all expenses are in conformity with firm policy.

Kenneth D Brody 6/[illegible]/92

KENNETH D BRODY Date

[illegible] 6/8/92

Authorized Signature Date

☐ Check here if Address or Organization change is required.
(Please note changes in comments)

Comments/Special [illegible]

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KENNETH D BRODY

ANEX NUMBER: [redacted] (0402921) STATEMENT NUMBER [illegible]
ACCOUNT NO: [illegible] AS OF 04/02/92 PAGE 003 OF 005

SECTION: 1
ITEMS CHARGED TO FIRM EMPLOYEE #: 09100 ORGANIZATION: 0001 006693 DEPARTMENT: LIMITED PARTNERS

LINE NO.	PROCESS DATE	TRANSACTION	AMOUNT							
001	03/11/92	AU MANDARIN RESTAURANT NEW YOR ROC# 0000459605	30.00	F	D	1	G.P.	[illegible]		[illegible]
		RESTAURANT	30.00							
002	02/20/92	CONTINENTAL AIRLINES NEW YORK TKT# 7290[illegible]790 LGACLELGA	604.00	F		1	G.P.			[illegible]
		1ST CLASS AIR FORE	604.00							
		AMERICAN EXPRESS CHARGES	634.00							
		TOTAL CHARGES (SECTION 1)	634.00							

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KENNETH D BRODY

AMEX NUMBER: [redacted]
ACCOUNT NO: [illegible]

AS OF 08/02/92

STATEMENT NUMBER: AE138923
PAGE 005 OF 005

SECTION: III
CASH ADVANCES

EMPLOYEE #: 09100

ORGANIZATION: 0001 006693

DEPARTMENT: LIMITED PARTNERS

LINE NO.	DATE	DESCRIPTION	AMOUNT	REFERENCE	CODE	COMMENTS
003	02/21/92	K.BRODY	11.75	CAS 0451334705		
004	02/21/92	K.BRODY	9.00	CAS 0451334705		
005	12/18/91	K BRODY FED EXP.	9.00	CAS 0452099224		
006	02/27/92	K.BRODY	9.00	CAS 0455090804		
007	02/27/92	K.BRODY	6.00	CAS 0455090805		
008	02/31/92	INV# 455761610 FEDERAL EXPRESS	8.50	CAS 0455761610		
		TOTAL CASH ADVANCES	53.25			

Passed - K D Brody

00114

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LOL001 05/12/92 --- ACCOUNTS PAYABLE - LIST VOUCHER REPORT --- 02:53:07 PAGE: 7[illegible]

PROCESSOR: TE1

INV. TYPE	CORP	VOUCHER	VENDOR	INVOICE #		REFERENCE	CLIENT	ORG.	ACCOUNT	AMOUNT
1	TE001	TE5002	BRG002	051292	20693	AE137345 030692				

KENNETH D BRODY
85 BROAD STREET
19TH FLOOR
****

PERSONAL FED EX							
AU MANDARIN RESTAURANT NE		CAS0000921204		09100	120455060		17.50CR
AU MANDARIN RESTAURANT NE		AMX0000136007	09100 S F	0001-006693	600536000		20.00
CUSTOMS HOUSE	NEW Y	AMX0000336007		09100	120455060		37.50CR
CUSTOMS HOUSE	NEW Y	AMX0000410477	09100 S F	0001-006693	600536000		20.00
CUSTOMS HOUSE	NEW Y	AMX0000410477		09100	120455060		29.50CR
CUSTOMS HOUSE	NEW Y	AMX0000411010	09100 S F	0001-006693	600536000		20.00
CUSTOMS HOUSE	NEW Y	AMX0000411010		09100	120455060		7.35CR
CUSTOMS HOUSE	NEW Y	AMX0000411611	09100 S F	0001-006693	600536000		20.00
BALANCE DUE GS&CO		AMX0000411611		09100	120455060		26.25CR
			S	0001-000000	110100060		56.10

00115

CONFIDENTIAL

KENNETH D BRODY

AMEX NUMBER: [redacted] (040292) STATEMENT NUMBER: AE127549
ACCOUNT NO: BR3002 AS OF 03/06/92 PAGE 003 OF 005

SECTION: I
ITEMS CHARGED TO FIRM EMPLOYEE #: 09100 ORGANIZATION: 0001 006693 DEPARTMENT: LIMITED PARTNERS

LINE NO.	PROCESS DATE	TRANSACTION	AMOUNT	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]
001	02/17/92	AN MANDARIN RESTAURANT NEW YOR ROC# 0000336007	37.50	F	D	1	G.P.	17.50		Personal [illegible]
002	01/20/92	CUSTOMS HOUSE NEW YORK ROC# 0000410977	29.50	F	D	1	G.P.	7.50		Personal [illegible]
003	01/21/92	CUSTOMS HOUSE NEW YORK ROC# 0005411010	27.35	F	D	1	G.P.	7.35		Personal [illegible]
004	02/13/92	CUSTOMS HOUSE NEW YORK ROC# 0000411613	26.25	F	D	1	G.P.	6.25		Personal [illegible]
		RESTAURANT	120.60							
		AMERICAN EXPRESS CHARGES	120.60							
		TOTAL CHARGES (SECTION I)	120.60							

00116

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KENNETH D BRODY

CONFIDENTIAL

Travel and Entertainment

Month-End Summary of Expenses

Goldman Sachs

14 1 5/17 10 50

KENNETH D BRODY — AMEX NUMBER: [redacted] — ACCOUNT NO: [redacted] — AS OF 04/06/92 — STATEMENT NUMBER: AE13F345 — PAGE 002 OF 005

SUMMARY OF EXPENSES EMPLOYEE #: 00100 ORGANIZATION: 0001 006673 DEPT: LIMITED PARTNERS

** CHARGE SUMMARY **		AMOUNT	
(Section I) Items charged to the Firm	1	120.60	AMEX charges plus other items paid for by Firm
Minus: Personal Items	2	40.60	Items coded (N) on-reimbursable in Section I
Minus: Adjustments	3		Items not currently being accounted for -coded either (P)ending accounting or (B)nused ticket
Charged items being expensed	4	80.00	Line 1 minus lines 2 and 3
** CASH SUMMARY **		AMOUNT	
(Section II) Cash and other expenses	5		Complete Section II for out-of-pocket and personal charges to be reimbursed.
(Section III) Minus: Cash advances 17.50	6	17.50	Advances not currently being accounted for should be coded (P)ending accounting.
Minus: Personal Items	7	40.60	From line 2 above.
Balance due (X) Firm or ( ) Employee please check appropriate box. Clu # 1060	8	58.10	If line 5 is greater than the sum of lines 6 and 7, then balance is due employee; otherwise, balance is due Firm. (Please attach a check payable to Goldman, Sachs & Co., if due firm.)

State reasons for first class flights:

PK $40.60 [illegible]
KM $17.50

APPROVALS: To the best of my knowledge, all statements on this report are true and factual and all expenses are in conformity with Firm policy.

X Kenneth D Brody 4/24/92
KENNETH D BRODY Date

[illegible] 4/5/92
Authorized Signature Date

☐ Check here if Address or Organization change is required.
(Please note change in comments.)

Comments/Special Instructions

AD117

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KENNETH D BRODY

AMEX NUMBER: [redacted]
ACCOUNT NO: [redacted]

STATEMENT NUMBER: AE137369
AS OF 03/06/92
PAGE 095 OF 095

SECTION: III
CASH ADVANCES

EMPLOYEE #: 00100

ORGANIZATION: 0051 006693 DEPARTMENT: LIMITED PARTNERS

LINE NO.	DATE	DESCRIPTION	AMOUNT	REFERENCE	CODE	COMMENTS
005	02/03/92	PERSONAL FED EX	17.50	CAS 0000921204		Personal - Ken Brody
		TOTAL CASH ADVANCES	17.50			

00118

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LOL001 03/23/92 --- ACCOUNTS PAYABLE - LIST VOUCHER REPORT --- 02:51:40 PAGE: [illegible]

PROCESSOR: TEI

INV. TYPE	CORP	VOUCHER	VENDOR	INVOICE #		REFERENCE	CLIENT	ORG.	ACCOUNT	AMOUNT
1	[illegible]	[illegible]	[illegible]	032392	10668	VA289961 032392				
		KENNETH D BRODY 85 BROAD STREET 19TH FLOOR [illegible]								
	375.00 03/23/92	KENNETH D BRODY				TIF0000151038 09100 S Z		09100 0001-000000	120455060 110166060	375.00CR 375.00

00119

CONFIDENTIAL

TO: Kenneth Brody

FROM: Kathy Bernardo

DATED: 3/3/92

RE: TIFFANY & COMPANY - INVOICE # 151033

The attached invoice has been paid by Goldman, Sachs & Co. in your behalf and was charged to your advance account. Please return the invoice with either your personal check payable [redacted] a properly approved T&E report indicating the name(s) of [redacted] etc.

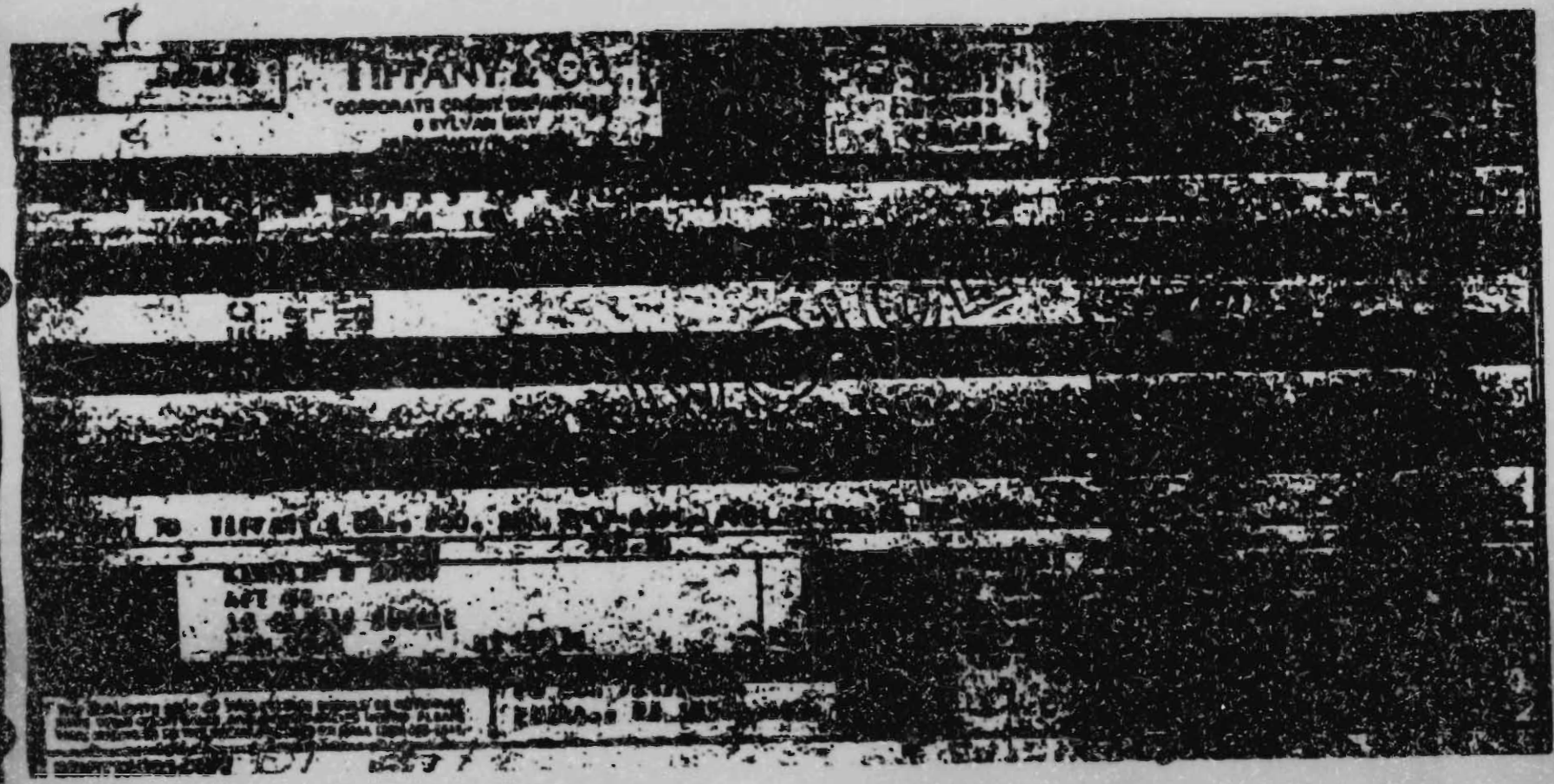
Please forward all correspondence to my attention at 85 Broad Street/11th floor.

Thank you.

9704380317C

00120

TE: 3/23 VA 28996



CONFIDENTIAL

00121

9704380317

PROCESSOR: TE3

INV. TYPE	CORP	VOUCHER	VENDOR	INVOICE #	REFERENCE	CLIENT	ORG.	ACCOUNT	AMOUNT
1	TE001	290822	BR0002	033092 19988	VA290822 033092				
	KENNETH D BRODY 85 BROAD STREET 19TH FLOOR **********								
	RECLASS AE132625				09100 S *		0001-006600	600739050	106.50
	RECLASS AE127320				09100 S *		0001-006103	600739050	1,205.[illegible]
	RECLASS AE127320				09105 S *		0001-006600	600739050	1,205.00
	RECLASS AE132625				09100 S *		0001-006103	600739050	106.50

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00122

TES 3/30/92 10 24502

CONFIDENTIAL

USER ID: 9084 USER NAME: SKOBIRSKI, C. LOCATION: 850/19

GENERAL LEDGER SYSTEM
RPT GRP/STRUC : 8 / 9001
REPORT NUMBER : 6001
COMPANY RANGE : STATUTORY
RESP. CODE : 900110

GENERAL LEDGER LISTING BY CONTROL FILE
FOR PRINCIPLE INVEST AREA II
REPORTING PERIOD 12/28/91 - 01/31/92
AS OF 02/19/92
006103

PHONE: X5181
PAGE : 422
RUN DATE : 02/20/92
TIME : 11:56 AM
PROGRAM ID : GLFGP710
REPORT ID : GL710R01

LINE/ACCT/ CO NUMBER	INPUT DATE	SRCE CODE	JOURNAL NUMBER	JOURNAL DETAIL DISCRIPTION	INVOICE NUMBER/ YEAR-TO-DATE AMOUNT		DETAIL AMOUNT/ MONTH AMOUNT	
SEMINARS & TRAINING								
60073905				SEMINARS				
				OPENING BALANCE >		0.00		
8001	01/06/92	TEAS	9201060001	CROSBY,KENNETH	AE121320	110291621055909100	FB AE121320 110291	1,283.00
8001	01/06/92	TEAS	9201060001	CROSBY,KENNETH	AE122625	110291621055909100	FB AE122625 110291	206.50
60073955				SEMINARS			ENDING BALANCE >	1,311.50
60074002				TRAINING EXPENSE				
				OPENING BALANCE >		0.00		
8001	01/22/92	TEAS	9201220001	BLOVER, L	PE0070KAB1NG	PL0217747	TR 0107215A9	15.00
8001	01/23/92	TEAS	9201230001	BLOVER, L	BUSINESS	WRIT1NG217093	TR 217767	15.00
8001	01/23/92	TEAS	9201230001	BLOVER, L	BUSINESS	WRIT1NG217093	TR 217767	15.00
60074002				TRAINING EXPENSE			ENDING BALANCE >	65.00
SEMINARS & TRAINING							LINE TOTAL >	1,376.50
RECORD RETENTION								
60074007				RETRIEVAL & RESEARCH				
				OPENING BALANCE >		8.65		
8001	01/30/92	VRAS	9201306016	PIE/BASINE	ACCT. J36300	693079	RR W104301	56.23
60074047				RETRIEVAL & RESEARCH			ENDING BALANCE >	56.23
RECORD RETENTION							LINE TOTAL >	56.23
PUBS & SUBS								
60073982				PUBS & SUBS				
				OPENING BALANCE >		1,500.87		
8001	01/03/92	VRAS	9201030010	HENSHET	BCT21032	666700	911104T21092	
8001	01/06/92	TEAS	9201060001	CROSBY,KENNETH	AE121320	110291621055909100	FB AE121320 110291	29.00
8001	01/06/92	VEAS	9201060001	GU, J.J	AE120467	110291621155604950	FB AE120467 110291	17.70
8001	01/09/92	VRAS	7201090011	BIA/INFORM	BOV/91 BILLING	809353	FB 100034685	12.00
8001	01/09/92	VRAS	9201090011	BOV/JAMES	BOV/91 SUMMARY	485026	FB 9111022096	836.36
8001	01/13/92	VRAS	9201130011	MEA/DATA	PIA	691683	FB 911102209607	560.94
8001	01/13/92	VRAS	9201130011	MEA/DATA	006 22 9001A9301	641956	63256A42	664.29
8001	01/13/92	VRAS	9201130011	BOV/JAMES	PIA 006103	692079	FB 1FC2368957A	137.00
8001	01/16/92	VRAS	9201160011	BOV/JAMES		692112	FB 0925643C7	339.40
8001	01/20/92	VRAS	9201200016	VAS/DOCUME	DINAKAR SINGH	693204	FB 134326	350.00
8001	01/20/92	TEAS	9201200002	CROSBY,KENNETH	VAA19900	011792039900855900	VA619900 011798	168.20
8001	01/20/92	VRAS	9201200020	STA/PUBS	RUSTY RUSTIC	696121	FB 94777007	17.70
8001	01/20/92	VRAS	9201200020	STA/PUBS	RUSTY RUSTIC	696121	FB 94777007	3[illegible]
8001	01/20/92	VRAS	9201200076	BIA/INFORM	9612910	697106	210220829	5[illegible]
8001	01/20/92	VRAS	9201200032	BRD/DONNELLEY & SAUBERT CHARTERS		697795	FB CHART832	102.67
60073982				PUBS & SUBS			ENDING BALANCE >	30.95
PUBS & SUBS							LINE TOTAL >	4,390.95

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Charge Real Estate Dept 680

Urban Land Institute

Jean - Please write a memo stating that Real Estate is the XD Dept & should be charged for this.

LGL001 01/06/92 --- ACCOUNTS PAYABLE - LIST VOUCHER REPORT --- 01:10:31 PAGE: 1,[illegible]

PROCESSOR: TE3

CORP	VOUCHER	VENDOR	INVOICE #	REFERENCE	CLIENT	ORG.	ACCOUNT	AMOUNT
7E001	621490	[illegible]	010692 05504	AE127220 110291				1,[illegible]

KENNETH D BRODY
85 BROAD STREET
19TH FLOOR

DELTA AIRLINES	NEW	0001-006103	600670000	[illegible]
DELTA AIRLINES	NEW	09100	BRODY,KENNE	[illegible]
DELTA AIRLINES	NEW	0001-006103	600670000	[illegible]
DELTA AIRLINES	NEW	09100	BRODY,KENNE	[illegible]
DELTA AIRLINES	NEW	09100	BRODY,KENNE	[illegible]
DELTA AIRLINES	NEW	0001-006103	600670000	[illegible]
DELTA AIRLINES	NEW	0001-006103	600730000	1,[illegible]
CUSTOMS HOUSE	NEW	0001-006103	600[illegible]	[illegible]
CUSTOMS HOUSE	NEW	09100	BRODY,KENNE	[illegible]
CUSTOMS HOUSE	NEW	0001-006103	600[illegible]	[illegible]
CUSTOMS HOUSE	NEW	09100	BRODY,KENNE	[illegible]
CUSTOMS HOUSE	NEW	0001-006103	600[illegible]	[illegible]
CUSTOMS HOUSE	NEW	09100	BRODY,KENNE	[illegible]
		0001-006103	600670000	[illegible]
		0001-006103	600[illegible]	[illegible]
		0001-006103	600[illegible]	[illegible]

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T&E STATEMENT REVIEW 01/04/02 08:20:09 PAGE: 197

PROCESSOR - YES 02870 LIMPEL

PROCESSOR	DATE	EMPLOYEE NAME	VENDOR ID	STATEMENT NO	SEQ.	SUBMISSION NAME
YES	01/04/02	REINERTH D RANDY	865003	AE127388	0001-006103	PRINCIPLE DIVISION AREA 21

LINE#	AMOUNT	CUR/ TAX1 CODE CODE	PROJECT	DESCRIPTION	CHECK/DISPUTED AMT	NON REIMBURSABLE	ACCOUNT
1	30.00	FLB		CUSTOMS HOUSE NEW YORK		2.05	0001-006103-6005156003
	20.00	FLB		CUSTOMS HOUSE NEW YORK			0001-006103-6005156000
	2.05	B		CUSTOMS HOUSE NEW YORK			
2	33.70	FLB		CUSTOMS HOUSE NEW YORK		9.70	0001-006103-6005156005
	20.00	FLB		CUSTOMS HOUSE NEW YORK			0001-006103-6005156000
	9.70	B		CUSTOMS HOUSE NEW YORK			
3	32.05	FLB		CUSTOMS HOUSE NEW YORK		2.05	0001-006103-6005156005
	20.00	FLB		CUSTOMS HOUSE NEW YORK			0001-006103-6005156000
	2.05	B		CUSTOMS HOUSE NEW YORK			
6	300.00	FV		DELTA AIRLINES NEW YOR			0001-006103-6005156000
	149.00CR	FV		DELVA AIRLINES NEW YOR			0001-006103-6005156000
9	200.00	FV		DELTA AIRLINES NEW YOR			0001-006103-6005156000
10	1,303.85	FD					0001-006103-6005156000
20	175.00	FA					0001-006103-6005156000
21	100.00	FD					0001-006103-6005156000
22	27.70	FD					0001-006103-6005156000

656

AE127388 SUMMARY

ACCOUNT	AMOUNT
0001-006103-6005156003	835.00
0001-006103-6005156000	870.00CR
0001-006103-6005156005	165.70
0001-006103-6005156000	1,201.00
0001-006103-6005156000	50.00
0001-006103-6005156000	175.00

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[illegible] T&E STATEMENT REVIEW 20:34:09 PAGE: [illegible]
01/06/92

PROCESSOR - TE3 [illegible]

PROCESSOR	DATE	EMPLOYEE NAME	VENDOR ID	STATEMENT ID	ORG.	ORGANIZATION NAME
TE3	01/06/92	KENNETH D [illegible]	[illegible]	AE127220	0001-[illegible]	PRINCIPLE [illegible] AREA II

LINE#	AMOUNT	CAT/ CODE	TAX1 CODE	PROJECT	DESCRIPTION	USED/DISPUTED AMT	NON REIMBURSABLE	ACCOUNT

AE127220 SUMMARY

ACCOUNT	AMOUNT
0001-[illegible]	100.00
0001-[illegible]	17.70
BALANCE DUE EMP	1,[illegible]
	0.00

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KENNETH D BRODY

Travel and Entertainment

Month-End Summary of Expenses

Goldman Sachs

TE3 1/6/92

KENNETH D BRODY Amex Number: [redacted] Statement Number: AE[illegible]
Account: [illegible] As of November 2, 1991 PG. 2 OF 3

SUMMARY OF EXPENSES Employee #: [illegible] Organization: [illegible] Dept: [illegible] INVESTMENT AREA

** CHARGE SUMMARY **		AMOUNT	
(Section I) Items charged to the Firm	1	$638.60	AMEX charges plus other items paid for by firm
Minus: Personal items	2	10.60	Items coded (N) un-reimbursable in Section I
Minus: Adjustments	3	—	Items not currently being accounted for -coded either (P)ending accounting or (U)nused tickets
Charged items being expensed	4	628.60	Line 1 minus lines 2 and 3
** CASH SUMMARY **		AMOUNT	
(Section II) Cash and other expenses	5	1497.70	Complete Section II for out-of-pocket and personal charges to be reimbursed.
(Section III) Minus: Cash advances ($8.60)	6	—	Advances not currently being accounted for should be coded (P)ending accounting.
Minus: Personal items	7	10.60	From line 2 above.
Balance due to Firm or (Employee) please check appropriate box.	8	1487.10	If line 5 is greater than the sum of lines 6 and 7, then balance is due employee; otherwise, balance is due Firm. (Please attach a check payable to Goldman, Sachs & Co., if due Firm.)

State reasons for first class flights:

Deduct $11.65 from AE124585 per Pat Korval

The following statements are outstanding:

AE[illegible] 10/[illegible]/91.

Revised #2 1475.45

APPROVALS: To the best of my knowledge, all statements on this report are true and factual and all expenses are in conformity with Firm policy.

Kenneth D. Brody 12/3/91
KENNETH D BRODY Date

[illegible] 1/2/92
Authorized Signature Date

☐ Check here if Address or Organization change is required.
(Please note change in comments.)

00127

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KENNETH D. BRADY — Assn Number: [redacted] — Account No: [redacted] — [illegible] — As of November 2, 1991 — Statement Number: AE127230 — PG. 3 OF 3

SECTION: 1
ITEMS CHARGED TO FIRM — Employee #: 09193 — Organization: 01-000-103 — Department: PRINCIPAL INVESTMENT AREA

LINE NO.	TRANSACTION DATE	TRANSACTION	AMOUNT	CAT	CODE		EXPENSE PRIMARY TRANSFER #	NON REVIEWABLE AMOUNT	EXPENSE NAME AND BUSINESS PURPOSE WITH NAMES & RELATIONSHIP OF THOSE ENTERTAINED
1	10/[illegible]/91	CUSTOMS HOUSE NEW YORK OT N RSC# [illegible]	22.45	F/N	D	1	G.B.	2.45	P. Korral dinner
2	10/17/91	CUSTOMS HOUSE NEW YORK OT N RSC# [illegible]	25.70	F/N	D	1	G.B.	5.70	P. Korral dinner
3	10/10/91	CUSTOMS HOUSE NEW YORK OT N RSC# [illegible]	22.45	F/N	D	1	C.B.	2.45	P. Korral dinner
		RESTAURANTS	670.00						
4	9/22/91	DELTA AIRLINES NEW YORK NY TKT# [illegible] LOADCALCA COACH	264.00	F	Y	1	GB		Kenbrady meeting in Wash D.C. with [illegible] Strassen + [illegible] of ACLU.
5	9/22/91	DELTA AIRLINES NEW YORK NY TKT# [illegible] LOADCALCA COACH	264.00 142.00 CREDIT	F	Y	1 F/N	GB		
		AIR FARE - COACH	$560.00						
		AMERICAN EXPRESS CHARGES	$630.00						
		TOTAL CHARGES (SECTION 1)	$630.00						

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KENNETH D. BRADY

Amex Number: [redacted] (110291)
Account No: [illegible]
As of November 2, 1991

Statement Number: [illegible]
PG. 3 OF 5

SECTION: II
[illegible] EXPENSES

Employee #: [illegible] Organization: [illegible] Department: PRINCIPAL INVESTMENT AREA

LINE NO.	[illegible]	TRANSACTION	AMOUNT	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]
[illegible]	[illegible]	Urban Land Institute Registration Fees	1205.00	F	0	1	GB	[illegible]	[illegible] - Fall meeting [illegible]
[illegible]	[illegible]	Taxis - " " " Wash. D.C.	175.00	F	[illegible]	1	GB	[illegible]	" "
[illegible]	[illegible]	Lunches - " " " "	100.00	F	[illegible]	1	GB	[illegible]	" "
[illegible]	[illegible]								" "
[illegible]	9/13	Stationery by UAZ	17.70	F	0	1	GB	[illegible]	Office Supplies 75702
[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]

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SECRETS D [illegible] ---- Area Number: [redacted] (116391) Statement Number: AE[illegible]
Account No: [illegible] As of November 2, 1991 PG. 3 OF 3

SECTION: [illegible]
CASH ADVANCED Employee #: [illegible] Organization: [illegible] Department: PRINCIPAL INVESTMENT AREA

LINE NO.	DATE	DESCRIPTION	AMOUNT	REFERENCE	DATE	COMMENTS
[illegible]	[illegible]	TOTAL ALL CASH ADVANCES	$0.00			

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LOG: . 01/04/92 --- ACCOUNTS PAYABLE - LIST VOUCHER REPORT --- 01:10:51 PAGE: 1.0

PROCESSING DEB

BANK	VENDOR	VENDOR	INVOICE #	REFERENCE	CLIENT	DOC.	ACCOUNT	AMOUNT
79404	621339	BRODOI	010090	05406	AE137625 110091			

REMARKS @ BRODY
40 BROAD STREET
10TH FLOOR

		0001-006103	600536000	11.47
		09100	BRODY,KENNE	11.47CR
		0001-006103	600577000	1,313.00
		09100	BRODY,KENNE	1,313.00CR
		0001-006103	600536000	20.00
		09100	BRODY,KENNE	22.45CR
		0001-006103	600536000	20.00
		09100	BRODY,KENNE	18.75CR
		0001-006103	600536000	20.00
		09100	BRODY,KENNE	25.70CR
		0001-006103	600536000	20.00
		09100	BRODY,KENNE	22.45CR
		0001-006103	600536000	20.00
		09100	BRODY,KENNE	22.45CR
		0001-006103	600536000	20.00
		09100	BRODY,KENNE	22.45CR
		0001-006103	600536000	20.00
		09100	BRODY,KENNE	27.44CR
		0001-006103	600536000	20.00
		09100	BRODY,KENNE	22.55CR
		0001-006103	600536000	20.00
		09100	BRODY,KENNE	22.45CR
		0001-006103	600536000	20.00
		09100	BRODY,KENNE	20.94CR
		0001-006103	600536000	20.00
		09100	BRODY,KENNE	27.17CR
		0001-006103	600536000	20.00
		09100	BRODY,KENNE	21.90CR
		0001-006103	600730050	106.50
		09100	BRODY,KENNE	106.50CR
		0001-000000	110160060	96.50

BALANCE DUE 0500

0010

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TDE STATEMENT REVIEW 01/06/92 30:34:60 PAGE: 92

PROCESSOR - TE3 CHRIS LINFIL

PROCESSOR	DATE	EMPLOYEE NAME	VENDOR ID	STATEMENT ID	ORG.	ORGANIZATION NAME
TE3	01/06/92	KENNETH D BROOK	000000	AE132635	0001-006103	PRINCIPLE INVEST AREA II

LINE#	AMOUNT	CAT/ CODE	TAX CODE	PROJECT	DESCRIPTION	USER/DISPUTED AMT	NON REIMBURSABLE	ACCOUNT
1	25.45	FLS			CUSTOMS HOUSE NEW YORK		2.45	0001-006103-600536000
	20.00	FLS			CUSTOMS HOUSE NEW YORK			0001-006103-600536000
	2.45	N			CUSTOMS HOUSE NEW YORK			- -
2	29.75	FLS			AN HARBORIN RESTAURANT NEW Y		6.75	0001-006103-600536000
	20.00	FLS			AN HARBORIN RESTAURANT NEW Y			0001-006103-600536000
	6.75	N			AN HARBORIN RESTAURANT NEW Y			- -
4	25.70	FLS			CUSTOMS HOUSE NEW YORK		5.70	0001-006103-600536000
	20.00	FLS			CUSTOMS HOUSE NEW YORK			0001-006103-600536000
	5.70	N			CUSTOMS HOUSE NEW YORK			- -
5	22.45	FLS			CUSTOMS HOUSE NEW YORK		2.45	0001-006103-600536000
	20.00	FLS			CUSTOMS HOUSE NEW YORK			0001-006103-600536000
	2.45	N			CUSTOMS HOUSE NEW YORK			- -
6	22.45	FLS			CUSTOMS HOUSE NEW YORK		3.45	0001-006103-600536000
	20.00	FLS			CUSTOMS HOUSE NEW YORK			0001-006103-600536000
	2.45	N			CUSTOMS HOUSE NEW YORK			- -
7	22.25	FLS			AN HARBORIN RESTAURANT NEW Y		5.25	0001-006103-600536000
	20.00	FLS			AN HARBORIN RESTAURANT NEW Y			0001-006103-600536000
	2.25	N			AN HARBORIN RESTAURANT NEW Y			- -
8	27.00	FLS			HARBOR SQUARE RSTR NEW YORK		7.44	0001-006103-600536000
	20.00	FLS			HARBOR SQUARE RSTR NEW YORK			0001-006103-600536000
	7.44	N			HARBOR SQUARE RSTR NEW YORK			- -
9	23.95	FLS			AN HARBORIN RESTAURANT NEW Y		2.95	0001-006103-600536000
	20.00	FLS			AN HARBORIN RESTAURANT NEW Y			0001-006103-600536000
	2.95	N			AN HARBORIN RESTAURANT NEW Y			- -
10	22.45	FLS			CUSTOMS HOUSE NEW YORK		3.45	0001-006103-600536000
	20.00	FLS			CUSTOMS HOUSE NEW YORK			0001-006103-600536000
	2.45	N			CUSTOMS HOUSE NEW YORK			- -
11	23.96	FLS			HARBOR SQUARE RSTR NEW YORK		.96	0001-006103-600536000
	20.00	FLS			HARBOR SQUARE RSTR NEW YORK			0001-006103-600536000
	.96	N			HARBOR SQUARE RSTR NEW YORK			- -

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T&E STATEMENT REVIEW 01/06/92 20:34:00 PAGE: 93

PROCESSOR - TK3 CHRIS LIMPEL

PROCESSOR	DATE	EMPLOYEE NAME	VENDOR ID	STATEMENT ID	ORG.	ORGANIZATION NAME
TK3	01/06/92	KENNETH D BRADY	000002	AE133025	0001-006103	PRINCIPLE INVEST AREA II

LINE#	AMOUNT	CAT/ CODE	TAXI CODE	PROJECT	DESCRIPTION	USED/DISPUTED AMT	NON REIMBURSABLE	ACCOUNT
12	27.17	FLS			HANOVER SQUARE RSTR NEW YORK			0001-006103-600536000
	20.00	FLS			HANOVER SQUARE RSTR NEW YORK		7.17	0001-006103-600536000
	7.17	M			HANOVER SQUARE RSTR NEW YORK			- -
13	21.90	FLS			HANOVER SQUARE RSTR NEW YORK			0001-006103-600536000
	20.00	FLS			HANOVER SQUARE RSTR NEW YORK		1.90	0001-006103-600536000
	1.90	M			HANOVER SQUARE RSTR NEW YORK			- -
14	11.87	FLS			HANOVER SQUARE RSTR NEW YORK			0001-006103-600536000
15	1,310.00	F1			AMERICAN AIRLINES NEW YOR			0001-006103-600677000
16	106.99	M			HAZAN LAND INSTITUTE WASHING			- -
	100.96	F0						0001-006103-600730000

AE133025 SUMMARY

ACCOUNT	AMOUNT
0001-006103-600536000	232.87
0001-006103-600536000	1,717.91CR
0001-006103-600677000	1,310.00
0001-006103-600730000	100.99
BALANCE DUE SO	36.96
	2.00

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TE3 1/6/92

KENNETH D BRODY ---- Amex Number: [redacted] Statement Number: AE133679
Account: [illegible] As of November 28, 1991 PG. 2 OF 7

SUMMARY OF EXPENSES Employee #: [illegible] Organization: 01-[illegible]-103 Dept: PRINCIPAL INVESTMENT AREA

** CHARGE SUMMARY **		AMOUNT	
(Section I) Items charged to the Firm	1	$2,709.23	AMEX charges plus other items paid for by Firm
Minus: Personal items	2	46.54	Items coded (N) on-reimbursable in Section I
Minus: Adjustments	3	991.72	Items not currently being accounted for -coded either (P)ending accounting or (N)ended ticket
Charged items being expensed	4	1670.97	Line 1 minus lines 2 and 3
** CASH SUMMARY **		AMOUNT	
(Section II) Cash and other expenses	5	0	Complete Section II for out-of-pocket and personal charges to be reimbursed.
(Section III) Minus: Cash advances 5.00	6	0	Advances not currently being accounted for should be coded (P)ending accounting.
Minus: Personal items	7	46.54	From line 2 above.
Balance due (X) Firm or ( ) Employee please check appropriate box.	8	46.54	If line 5 is greater than the sum of lines 6 and 7, then balance is due employee; otherwise, balance is due Firm. (Please attach a check payable to Goldman, Sachs & Co., if due Firm.)

State reasons for first class flights:
Working on plane

CK# 116

The following statements are outstanding:
AE127530 11/02/91, AE124905 10/[illegible]

APPROVALS: To the best of my knowledge, all statements on this report are true and factual and all expenses are in conformity with Firm policy.

Kenneth D Brody 12/3/91
KENNETH D BRODY ---- Date

[illegible] 1/2/92
Authorized Signature Date

☐ Check here if Address or Organization change is required.
(Please note change in comments.)

Comments/Special Instructions

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Travel and Entertainment

Month-End Summary of Expenses

Goldman Sachs

0 7 0 4 3 8 0 3 1 8 4

[illegible] Amex Number: [redacted] (113031) Statement Number: AE152625
Account No: 06350223 As of November 20, 1991 PG. 3 OF 7

SECTION: 1
ITEMS CHARGED TO FIRM Employee #: 09100 Organization: 01-000-103 Department: PRINCIPAL INVESTMENT AREA

LINE NO.	PROCESS DATE	TRANSACTION	AMOUNT	CAT	FREQ	#	SYNDICATE/ PROJECT/ TRANSFER #	NON REIMBURSABLE AMOUNT	SYNDICATE NAME AND BUSINESS PURPOSE WITH NAMES & RELATIONSHIP OF THOSE ENTERTAINED
1	11/14/91	THE LITTLE WELL ASPER CO RNC# [illegible]	733.72	P	N			—	party for credit
		LOGGING	$733.72						
2	10/21/91	CUSTOMS HOUSE NEW YORK OT RNC# [illegible]	22.45	F/N	LS D	1	G.B.	2.45	PKarral-diner
3	10/22/91	AN MANDARIN RESTAURANT NEW YORK NY OT RNC# [illegible]	20.75	F/N	LS D	1	G.B.	8.75	
4	10/23/91	CUSTOMS HOUSE NEW YORK OT RNC# [illegible]	25.70	F/N	LS D	1	G.B.	5.70	
5	10/29/91	CUSTOMS HOUSE NEW YORK OT RNC# [illegible]	22.45	F/N	LS D	1	G.B.	2.45	
6	10/30/91	CUSTOMS HOUSE NEW YORK OT RNC# [illegible]	22.45	F/N	LS D	1	G.B.	2.45	
7	11/09/91	AN MANDARIN RESTAURANT NEW YORK NY OT RNC# [illegible]	22.25	F/N	LS D	1	G.B.	2.25	
8	11/07/91	HANOVER SQUARE RSTR NEW YORK Y OT RNC# [illegible]	27.44	F/N	LS D	1	G.B.	7.44	
9	11/08/91	AN MANDARIN RESTAURANT NEW YORK NY OT	22.55	F/N	LS D	1	G.B.	2.55	

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[illegible]

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[illegible] D [illegible] Acct Number: [redacted] (1130913) Statement Number: AS132625
Account No: [illegible] As of November 20, 1991 PG. 6 OF 7

SECTION: 1
ITEMS CHARGED TO FIRM Employee #: 09100 Organization: 01-800-155 Department: PRINCIPAL INVESTMENT AREA

LINE NO.	ORDERED DATE	TRANSACTION	AMOUNT	CAT	CODE	#	INCIDENTAL PROJECT/ TRANSFER #	NON DEVELOPABLE CREDIT	INCIDENTAL PURPOSE AND BUSINESS PURPOSE WITH CARES & RELATIONSHIP OF THOSE ENTERTAINED
		NOC# 0000000319200							
10	11/08/91	GUSTOMS HOUSE NEW YORK DT NOC# 0000000400670	22.45	F	LS D	1	GB	2.45	Korral dinner
11	11/09/91	HANOVER SQUARE RSTR NEW YORK Y DT NOC# 0000000360616	20.00	F	LS D	1	GB	.94	
12	11/13/91	HANOVER SQUARE RSTR NEW YORK Y DT NOC# 0000000164625	27.17	F	LS D	1	GB	7.17	
13	11/14/91	HANOVER SQUARE RSTR NEW YORK Y DT NOC# 0000000965035	21.94	F	LS D	1	GB	1.94	
14	11/14/91	HANOVER SQUARE RSTR NEW YORK Y NOC# 0000000965038	11.47	F	LS D	1		(11.47)	Paul Carey
		RESTAURANTS	$290.01						
15	11/08/91	AMERICAN AIRLINES NEW YORK NY TKT# 0017290382036 [illegible] 1ST CL	1,313.00	F	1	1	GB		KKBurdy - R/E Roadable Conference John Shrader - client
		AIR FARE - FIRST CLASS	$1,313.0						
16	10/26/91	AMTRAK ACM WASHINGTON D NOC# 5547290361660	250.00	U	A	1	GB		* see attached credit refund

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Area Number: [redacted] (112391) Statement Number: AE132639
Account No[redacted] As of November 28, 1991 PG. 3 OF 3

SECTION: I
ITEMS CHARGED TO FIRM Employee #: 09100 Organization: 01-000-103 Department: PRINCIPAL INVESTMENT AREA

LINE NO.	PROCESS DATE	TRANSACTION	AMOUNT	CAT	CODE	#	CHARGEABLE/ POSITIVE/ TRANSFER #	NON DISPOSABLE AMOUNT	EXPLICATE NAME AND BUSINESS PURPOSE WITH NAMES & RELATIONSHIP OF THOSE ENTERTAINED
		TRANSPORTATION	$250.00						
17	11/06/91	[illegible] LAND INSTITUTE WASHINGTON DC [illegible]	106.50	F	0	1	G.B		KDBrady - [illegible] for conference 93905
		OTHER EXPENSES	$106.50						
		AMERICAN EXPRESS CHARGES	$2,709.28						
		TOTAL CHARGES (SECTION I)	$2,709.28						

00137

REPORTED BY [illegible] Amex number: [redacted] [112091] Statement Number: AE132625
Account No: [illegible] As of November 26, 1991 PG. 6 OF 7

SECTION: 11
SUT-OF-POCKET EXPENSES Employee #: 09108 Organization: 01-000-105 Department: PRINCIPAL INVESTMENT AREA

LINE NO.	PROCESS DATE	TRANSACTION	AMOUNT	CAT	MERC	#	SUBSIDIARY/ PROJECT/ TRANSFER #	NON REIMBURSABLE AMOUNT	SUBSIDIARY NAME AND BUSINESS PURPOSE WITH NAMES & RELATIONSHIP OF THOSE ENTERTAINED
1									
2									
3									
4									
5									
6									
7									
8									
9									
10									
11									
12									
13									
14									
15									
16									
17									
18									
19									
20									
21									
22									
23									
24									
25									
26									

CONFIDENTIAL

00138

CONFIDENTIAL

REBECTA D. BAGBY ****

Area Number: [redacted] (112891)
Account No: [illegible]

As of November 28, 1991

Statement Number: AE132675
PG. 7 OF 7

SECTION: III
CASH ADVANCES

Employee #: 09130 Organization: 01-900-103 Department: PRINCIPAL INVESTMENT AREA

LINE NO.	DATE	DESCRIPTION	AMOUNT	REFERENCE	CODE	COMMENTS
		TOTAL ALL CASH ADVANCES	50.00			

CONFIDENTIAL

00125

LOL001 06/02/92 --- ACCOUNTS PAYABLE - LIST VOUCHER REPORT --- 01:46:05 PAGE: 1,125

PROCESSOR: TE1

INV. TYPE	CORP	VOUCHER	VENDOR	INVOICE #	REFERENCE	CLIENT	ORG.	ACCOUNT	AMOUNT
1	TE001	TE7901	[illegible]	060292 38236	VA150083 060292				
		KENNETH B BRADY 85 BROAD STREET 19TH FLOOR [illegible]							
	AMTRAK AMTRAK	AGN MACHINIST			AMM[illegible] AMX7297029026		09100 09100	120455060 120455060	118.00 118.[illegible]

CONFIDENTIAL

00140

9704380319C

TE7

KENNETH D BRODY ....

Travel and Entertainment

Month-End Summary of Expenses

Goldman Sachs

Taxi No: 25061 Statement Number: 126135
Advance Account: 86350225 As of October 6, 1991 PG 2 OF 3

KENNETH D BRODY .... Advance Account: 86350225 As of October 6, 1991 PG 2 OF 3

SUMMARY OF EXPENSES Employee #: 09100 Organization: 01-800-101 Dept: PRINCIPAL INVESTMENT AREA

	AMOUNT	
1.) TAXI CHARGES	$511.00	THIS REPRESENTS THE TOTAL AMOUNT CHARGED TO YOUR TAXI ACCOUNT. SEE SECTION 1 FOR DETAILS.
2.) LESS PENDING CREDITS	—	THIS REPRESENTS BILLING ERRORS OR OTHER DISPUTED CHARGES AND MUST EQUAL CHARGES CODED P IN TAXI DETAIL.
3.) LESS OTHER NONREIMBURSABLE CK#1058	338.00	THIS REPRESENTS AMOUNTS REIMBURSABLE TO THE FIRM FOR PERSONAL OR OTHER NON-REIMBURSABLE USE AND MUST EQUAL CHARGES CODED N OR USAGE CODE OF 2 IN TAXI DETAIL. PLEASE ATTACH YOUR CHECK PAYABLE TO GOLDMAN, SACHS & CO. FOR THIS AMOUNT.
4.) TOTAL OF EXPENSES	173.00	SUBTRACT LINES 2 & 3 FROM LINE 1. THIS REPRESENTS THE AMOUNT OF EXPENSES TO BE PAID BY THE FIRM. IF AMOUNTS ARE TO BE CHARGED TO A SYNDICATE PLEASE COMPLETE SECTION II (PAYMENT DISTRIBUTION) ATTACHED.

APPROVALS: To the best of my knowledge, all statements on this report are true and factual and all expenses are in conformity with firm policy.

Kenneth D Brody 12/6/91

KENNETH D BRODY .... DATE

D. Fagen Northern 5/14/92

DEPARTMENT MANAGER/SUPERVISOR DATE

☐ Check here if Address or Organization change is required. (Please note change in comments.)

Originally submitted 12/6/91 check 10 st ?

re-submitted 4/27/92

COMMENTS/SPECIAL INSTRUCTIONS

00141

MONTHLY TAXI STATEMENT
Account No: 25461
Advance Account: 86350223

(112091)
As of October 8, 1991

Statement Number: 126102
PG. 3 OF 5

KENNETH D BRODY 0000

SECTION: I
DETAIL CHARGES

Employee #: 09100 Organization: 01-000-103 Department: PRINCIPAL INVESTMENT AREA

LINE NO.	VOUCHER NUMBER	EMPLOYEE NAME	EMPLOYEE NUMBER	PICK UP TIME	WAIT TIME MIN	DATE OF RIDE	# OF STOPS	FROM	TO	AMOUNT	CATEGORY	PRACT CODE	EXPENDITURE NUMBER	EXPENDITURE CODE	PERSONAL PROCESS AND REIMBURSABLE AMOUNT	COMM TO
1	CR197302	KORVAL PAT	11712	0845P	000	9/03/91	000	85 BROAD	BKLYN	$24.50	F	3	Gen	Bus		
2	CR197303	KORVAL PAT	11712	0988P	000	9/04/91	000	85 BROAD	BKLYN	$24.50	F	3	Gen	Bus		
3	CR197304	Package	00000	0520P	000	9/05/91	001	85 BROAD	10 GRACI	$36.00	F	04	Gen	Bus		
4	CR197305	Package	00000	0640P	000	9/06/91	000	85 BROAD	GRACE SQ	$23.00	F	04	Gen	Bus		
	TOTAL:					9/13/91				$108.00						
5	CR626605	Brody	00000	0210P	000	9/06/91	000	10GRACE	531 MAD	$16.00	N	02			16.00	
6	CR625636	Brody	00000	0640P	000	9/09/91	000	10GRACE	85 BROAD	$18.00	N	02			18.00	
7	CR197306	Clients	00000	0352P	000	9/10/91	000	85 BROAD	44TH	$16.00	F	04	Gen	Bus		
8	CR197204	KORVAL PAT	11712	0904P	000	9/11/91	000	85 BROAD	BKLYN	$24.50	F	3	Gen	Bus		
9	CR684763	Brody	00000	0951A	010	9/11/91	000	10 GRACI	97TH	$18.50	N	02			18.00	
10	CR709437	Brody	00000	0515P	000	9/12/91	000	85 BROAD	41ST	$16.00	N	02			16.00	
11	CR690952	Brody	00000	0850A	010	9/13/91	001	10GRACIE	62ND5TH	$36.00	N	02			36.00	
	TOTAL:					9/20/91				$144.50						
12	AC197307	KORVAL PAT	11712	0906P	000	9/10/91	000	85 BROAD	BROOKLYN	$24.50	F	3	Gen	Bus		
13	AC197325	BRODY KENN	09100	0500P	000	9/17/91	000	85 BROAD	10/GRACE	$18.00	N	2			18.00	
14	CR622903	Brody	00000	1226P	000	9/19/91	000	90ND PK.	10 GRACE	$14.00	N	02			14.00	
15	CR678044	Brody	00000	0845A	040	9/19/91	001	10 GRACE	10 GRACE	$43.00	N	02			43.00	
16	CR690682	Brody	00000	0655P	010	9/24/91	000	10GRACE	150W72ND	$20.00	N	02			20.00	
	TOTAL:					9/27/91				$119.50						
17	CR684622	Brody	00000	0506P	000	9/19/91	001	50TH 5TH	GRACE SQ	$21.00	N	02			21.00	

KENNETH D BRODY ****

MONTHLY TAXI STATEMENT
Account No: 25461
Advance Account: 06350223

(112091)
As of October 8, 1991

Statement Number: 126182
PG. 6 OF 9

SECTION: 1
DETAIL CHARGES

Employee #: 69100 Organization: 01-000-103 Department: PRINCIPAL INVESTMENT AREA

LINE NO.	VOUCHER NUMBER	EMPLOYEE NAME	EXPENSE NUMBER	PICK UP TIME	WAIT TIME MIN	DATE OF RIDE	# OF STOPS	FROM	TO	AMOUNT	CATEGORY	USAGE CODE	SYNDICATE NUMBER	SYNDICATE NAME	PERSONAL CREDITS NON-REIMBURSABLE	COMMENTS
16	CR830511	Brody	00000	0445A	020	9/23/91	001	4E66TH	69TH YORK	$37.00	N	02			37.00	
19	CR586470	Brody	00000	0610P	000	9/26/91	000	80TH	145W67TH	$16.00	N	02			16.00	
20	CR630514	Brody	00000	0840P	000	9/26/91	000	10GRACSQ	10GRACSQ	$28.00	N	02			28.00	
21	CR715299	Brody	00000	0840A	020	9/27/91	000	10 GRACI	10 GRACI	$37.00	N	02			37.00	
	TOTAL:					10/04/91				$139.00						

STATEMENT RIDE TOTAL $511.00
STATEMENT TELEPHONE TOTAL $0.00
STATEMENT TOTAL $511.00

USAGE CODES
1-BUSINESS 3-NONE
2-PERSONAL 4-PACKAGE

00143

MONTHLY TAXI STATEMENT

KENNETH D BRODY ○○○○ Account No: 25861 (112891) Statement Number: 126102
Advance Account: 86350221 As of October 8, 1991 PG. 5 OF 5

SECTION: II
PAYMENT DISTRIBUTION Employee #: 09700 Organization: 01-000-103 Department: PRINCIPAL INVESTMENT AREA

CATEGORY	AMOUNT	SYNDICATE NAME & NUMBER/ TRANSFER ACCOUNT	CATEGORY	AMOUNT	SYNDICATE NAME & NUMBER/ TRANSFER ACCOUNT
F	24.52	GENERAL BUSINESS EXPENSES			
	24.50				
	36.00				
	23.00				
	16.00				
	24.50				
	24.50				
					COLUMN 2 TOTAL
COLUMN 1 TOTAL	173.52			(19.00)	COLUMN 3 TOTAL
					ADD LINE 1 AND LINE 2. TOTAL OF CHARGES MUST EQUAL LINE 4, PG 2 OF SUMMARY OF EXPENSES

PLEASE COMPLETE THIS SECTION ONLY IF YOU WISH TO CHARGE YOUR TAXI EXPENSES TO A SYNDICATE ACCOUNT OR A DEPARTMENT CODE OTHER THAN YOUR OWN.

9704380319 4

ACL801 10/19/92 --- ACCOUNTS PAYABLE - LIST VOUCHER REPORT --- 01 42 47 PAGE- [illegible]

PROCESSOR: TE3

INV. TYPE	CORP	VOUCHER	VENDOR	INVOICE #		REFERENCE	CLIENT	ORG.	ACCOUNT	AMOUNT
1	TE001	657828	BR0002	101992	58450	AE134268 011592				

KENNETH D BRODY
85 BROAD STREET
19TH FLOOR
****

FED EMP PERS CHG K BRODY				CAS0448101501		09100	120455060		26.12CR
AE134268	011592	KENNETH D BRODY		AMX7290382417	09100 S F	0001-006103	600670060		129.00
AE134268	011592	KENNETH D BRODY		AMX7290382417		09100	120455060		129.00CR
AE134268	011592	KENNETH D BRODY		AMX0000261571	09100 S F	0001-006103	600536000		20.00
AE134268	011592	KENNETH D BRODY		AMX0000261571		09100	120455060		21.55CR
AE134268	011592	KENNETH D BRODY		AMX0000319156	09100 S F	0001-006103	600536000		20.00
AE134268	011592	KENNETH D BRODY		AMX0000319156		09100	120455060		27.05CR
AE134268	011592	KENNETH D BRODY		AMX0000407717	09100 S F	0001-006103	600536000		20.00
AE134268	011592	KENNETH D BRODY		AMX0000407717		09100	120455060		20.67CR
AE134268	011592	KENNETH D BRODY		AMX0000407726	09100 S F	0001-006103	600536000		20.00
AE134268	011592	KENNETH D BRODY		AMX0000407726		09100	120455060		23.65CR
AE134268	011592	KENNETH D BRODY		AMX0000410066	09100 S F	0001-006103	600536000		20.00
AE134268	011592	KENNETH D BRODY		AMX0000410066		09100	120455060		22.45CR
AE134268	011592	KENNETH D BRODY		AMX0000410144	09100 S F	0001-006103	600536000		20.00
AE134268	011592	KENNETH D BRODY		AMX0000410144		09100	120455060		22.45CR
AE134268	011592	KENNETH D BRODY		AMX0000519135	09100 S F	0001-006103	600536000		20.00
AE134268	011592	KENNETH D BRODY		AMX0000519135		09100	120455060		25.00CR
AE134268	011592	KENNETH D BRODY		AMX0000650854	09100 S F	0001-006103	600536000		20.00
AE134268	011592	KENNETH D BRODY		AMX0000650854		09100	120455060		21.60CR
AE134268	011592	KENNETH D BRODY		AMX0000661767	09100 S F	0001-006103	600536000		20.00
AE134268	011592	KENNETH D BRODY		AMX0000661767		09100	120455060		22.50CR
AE134268	011592	KENNETH D BRODY		AMX0000719329	09100 S F	0001-006103	600536000		20.00
AE134268	011592	KENNETH D BRODY		AMX0000719329		09100	120455060		30.00CR
AE134268	011592	KENNETH D BRODY		AMX0000898955	09100 S F	0001-006103	600536000		19.95
AE134268	011592	KENNETH D BRODY		AMX0000898955		09100	120455060		19.95CR
AE134268	011592	KENNETH D BRODY		AMX0000919158	09100 S F	0001-006103	600536000		19.95
AE134268	011592	KENNETH D BRODY		AMX0000919158		09100	120455060		19.95CR
AE134268	011592	KENNETH D BRODY		AMX0000449296	09100 S F	0001-006103	600670060		966.40
AE134268	011592	KENNETH D BRODY		AMX0000449296		09100	120455060		1,492.90CR
AE134268	011592	KENNETH D BRODY		OUT	09100 S F	0001-006103	600670060		157.41
BALANCE DUE GS&CD					S	0001-000000	110160060		412.53

Coding

00145

KENNETH D BRODY

Travel and Entertainment

Month-End Summary of Expenses

CONFIDENTIAL

Goldman Sachs

MN

Submitted

T23 10/19 95412

KENNETH D BRODY — AMEX NUMBER: [redacted] — ACCOUNT NO: [redacted] — STATEMENT NUMBER: AF[redacted] — AS OF 01/19/92 — PAGE 002 OF 006

SUMMARY OF EXPENSES EMPLOYEE #: 09903 ORGANIZATION: U001 006601 DEPT: LIMITED PARTNERS

** CHARGE SUMMARY **		AMOUNT	
(Section I) Items charged to the Firm	1	2,755.29	AMEX charges plus other items paid for by Firm
Minus: Personal items	2	663.82	Items coded (N) on-reimbursable in Section I
Minus: Adjustments	3	756.17	Items not currently being accounted for -coded either (P)ending accounting or (B)unused ticket
Charged items being expensed	4	1335.30	Line 1 minus lines 2 and 3
** CASH SUMMARY **		AMOUNT	
(Section II) Cash and other expenses	5	157.41	Complete Section II for out-of-pocket and personal charges to be reimbursed.
(Section III) Minus: Cash advances 26.12	6	26.12	Advances not currently being accounted for should be coded (P)ending accounting.
Minus: Personal items	7	663.82	From line 2 above.
Balance due (X) Firm or ( ) Employee please check appropriate box.	8	1506.41	If line 5 is greater than the sum of lines 6 and 7, then balance is due employee; otherwise, balance is due Firm. (Please attach & check payable to Goldman, Sachs & Co., if due Firm.)

State reasons for first class flights:

11/27

ck # 1814 Total 506.41 KDB

THANK YOU FOR SUBMITTING YOUR STATEMENTS PROMPTLY. YOUR ACCOUNT IS CURRENT.

Ken - 469.09
Pat - 37.32

(Personal K DB Grant K DB ENE # 305 K mic)

APPROVALS: To the best of my knowledge, all statements on this report are true and factual and all expenses are in conformity with Firm policy.

K D Brody 4/24/92
KENNETH D BRODY Date

* [signature] 10/5/92
Authorized Signature Date

☐ Check here if Address or Organization change is required. (Please note change in comments.)

* Charge dept 103

Comments/Special Instructions

00146

KENNETH D BRODY

AMEX NUMBER: [redacted] (011592) STATEMENT NUMBER: AF134269
ACCOUNT NO: [illegible] AS OF 01/13/92 PAGE 003 OF 006

SECTION: 1
ITEMS CHARGED TO FIRM EMPLOYEE #: 09100 ORGANIZATION: 0001 006693 DEPARTMENT: LIMITED PARTNERS

LINE NO.	PROCESS DA	TRANSACTION		AMOUNT	CAT	CODE	#	SYMBOLIZE PROJECT/ TRANSFER #	TRAN REFERENCE AMOUNT	CLIENT NUMBER	SYMBOLIZE NAME AND BUSINESS PURPOSE WITH NAMES & RELATIONSHIP OF PERSONS ENTERTAINED
001	11/13/91	AMTRAK ACN WASHINGTON DC ROC# 7290382417	✓	✓ 129.00	F	A	1	G.B.		✓	G-B. [illegible]
		TRAVEL		129.00							
002	12/09/91	AU MANDARIN RESTAURANT NEW YOR ROC# 0000261571	✓	OT 1.55	F/N		1	G.B.	1.55	✓	G.B. - work late - all personal
003	11/25/91	AU MANDARIN RESTAURANT NEW YOR ROC# 0000319156	✓	OT 27.03	F/N		1	G.B.	7.05	✓	G.B. - work late
004	11/29/91	HANOVER SQUARE RSTR NEW YORK N ROC# 0000407717	✓	OT 20.67	F/N		1	G.B.	0.67	✓	G.B. - work late
005	11/30/91	HANOVER SQUARE RSTR NEW YORK N ROC# 0000407726	✓	OT 23.69	F/N		1	G.B.	3.65	✓	G.B. - work late
006	11/26/91	CUSTOMS HOUSE NEW YORK ROC# 0000409996	✓	22.45	P			Copy to Help Desk			PS send copies of receipts
007	12/02/91	CUSTOMS HOUSE NEW YORK ROC# 0000410066	✓	OT 22.45	F/N		1	G.B.	2.05	✓	G.B. - work late
008	12/04/91	CUSTOMS HOUSE NEW YORK ROC# 0000410144	✓	OT 22.45	F/N		1	G.B.	2.45	✓	G.B. - work late
009	11/18/91	AU MANDARIN RESTAURANT NEW YOR ROC# 0000519135	✓	OT 25.00	F/N		1	Gen Biz	5.40	✓	G.B. - work late
010	11/27/91	AU MANDARIN RESTAURANT NEW YOR ROC# 0000650854	✓	OT 21.60	F/N		1	Gen Biz	1.60	✓	G.B. - work late
011	12/10/91	AU MANDARIN RESTAURANT NEW YOR ROC# 0000661767	✓	OT 22.50	F/N		1	Gen Biz	2.50	✓	G.B. - work late
012	11/20/91	AU MANDARIN RESTAURANT NEW YOR ROC# 0000719329	✓	OT 30.00	F/N		1	Gen Biz	10.00	✓	G.B. - work late

00142

RENCETH B DROUY

ANEX NUMBER: [redacted] (011592) STATEMENT NUMBER: AE114768
ACCOUNT NO: [illegible] AS OF 01/15/92 PAGE 004 OF 006

SECTION: I
ITEMS CHARGED TO FIRM EMPLOYEE #: 09100 ORGANIZATION: 0001 006693 DEPARTMENT: LIMITED PARTNERS

LINE NO.	PROCESS DATE	TRANSACTION	AMOUNT	CAT	CODE	#	[illegible]	[illegible]	CLIENT NUMBER	SYNDICATE NAME AND BUSINESS PURPOSE WITH MAIL RELATIONSHIP OF PERSON ENTERTAINED
013	11/21/91	AN MANDARIN RESTAURANT NEW YOR ROC# 0000698955	19.95	F	[illegible]	1	GB		✓	G.B. - [illegible] late
014	11/25/91	AN MANDARIN RESTAURANT NEW YOR ROC# 0000919158	19.95	F	[illegible]	1	GB		✓	G.B - [illegible] late
		RESTAURANT	299.67							
015	11/29/91	FOUR SEASONS HOTEL WASHINGTON ROC# 0000449296	1,592.90	F	"	4	GB	[illegible]	✓	GB - [illegible]
016	11/14/91	THE LITTLE HELL ASPEN CO Hotel ROC# 0000730610	733.72	P	"		This was cancelled. (Michele) [illegible]			
		LOUDING	2,326.62							
		AMERICAN EXPRESS CHARGES	2,755.29							
		TOTAL CHARGES (SECTION I)	2,755.29							

00118

KENNETH B BRODY

ANEX NUMBER: [redacted]
ACCOUNT NO: BR0002

AS OF 01/15/93

STATEMENT NUMBER: AE134268
PAGE 005 OF 006

SECTION: II
OUT-OF-POCKET EXPENSES EMPLOYEE #: 09100 ORGANIZATION: 0001 006693 DEPARTMENT: LIMITED PARTNERS

LINE NO.	PROOF'S DATE	TRANSACTION	AMOUNT	LAT	CODE	A	SYNDICATE/ PROJECT/ TRANSFER #	NEW RECORD/ CHANGE	CLIENT NUMBER	SYNDICATE NAME AND DIVISION PURPOSE WITH NAMES & RELATIONSHIP OF THOSE ENTERTAINED
001	11/6-8	Deerpath Inn	✓ 157 41	F	H	1	G.P.			Real Estate meetings John Schneider
002										
003										
004										
005										
006										
007										
008										
009										
010										
011										
012										
013										
014										
015										
016										
017										
018										
019										
020										
021										
022										
023										
024										
025										

CONFIDENTIAL

00149

RENNETH D BRODY

AMEX NUMBER: [redacted]
ACCOUNT NO: [redacted]

AS OF 01/15/92

STATEMENT NUMBER: AE134266
PAGE 006 OF 006

SECTION: III
CASH ADVANCES

EMPLOYEE #: 09100

ORGANIZATION: 0001 006693 DEPARTMENT: LIMITED PARTNERS

LINE NO.	DATE	DESCRIPTION	AMOUNT	REFERENCE	LOG	COMMENTS
017	01/02/92	FED EXP PERS CHO K BRODY 11/0[illegible]	26.12	CAS 0448101501	F	Since [illegible] check for receipts see [illegible] from 6.
		TOTAL CASH ADVANCES	26.12			

Brand-KDBrody

00150

KENNETH D BRODY

Travel and Entertainment

Month-End Summary of Expenses

Goldman Sachs

KENNETH D BRODY AMEX NUMBER: [redacted] STATEMENT NUMBER: AE13[illegible]033
ACCOUNT NO: BRD002 AS OF 02/12/92 PAGE 002 OF 006

SUMMARY OF EXPENSES EMPLOYEE #: 05100 ORGANIZATION: 0001 006693 DEPT: LIMITED PARTNERS

** CHARGE SUMMARY **		AMOUNT	
(Section I) Items charged to the Firm	1	2,223.05	AMEX charges plus other items paid for by Firm
Minus: Personal items	2	14.[illegible]	Items coded (N) or non-reimbursable in Section I
Minus: Adjustments	3	590.00	Items not currently being accounted for -coded either (P)ending accounting or (U)nused ticket
Charged items being expensed	4	1619.00	Line 1 minus lines 2 and 3
** CASH SUMMARY **		AMOUNT	
(Section II) Cash and other expenses	5	------	Complete Section II for out-of-pocket and personal charges to be reimbursed.
(Section III) Minus: Cash advances [illegible] 21.11	6	21.11	Advances not currently being accounted for should be coded (P)ending accounting.
Minus: Personal items	7	14.05	From line 2 above.
Balance due [X] Firm or [ ] Employee please check appropriate box.	8	55.10	If line 5 is greater than the sum of lines 6 and 7, then balance is due employee; otherwise, balance is due Firm. (Please attach a check payable to Goldman, Sachs & Co., if due Firm.)

State reasons for first class flights:

FEB 1992

APPROVALS: To the best of my knowledge, all statements on this report are true and factual and all expenses are in conformity with firm policy.

KENNETH D BRODY Date

Authorized Signature Date

☐ Check here if Address or Organization change is required

Comments/Special Instructions:

9704380320 1

KENNETH D BRODY

AMEX NUMBER: [redacted] [0002921] STATEMENT NUMBER: AE1299833
ACCOUNT NO: [redacted] AS OF 02/12/92 PAGE 003 OF 006

SECTION: I
ITEMS CHARGED TO FIRM EMPLOYEE #: 09100 ORGANIZATION: 0001 005693 DEPARTMENT: LIMITED PARTNERS

ITEM	DATE	TRANSACTION	AMOUNT	CAT	CODE	D	DESCRIPTION OF ITEMS TRANSFERRED	NON-REFUNDABLE AMOUNT	CARRIER NUMBER	SUPPLEMENTAL NAME AND BUSINESS PURPOSE WITH NAMES & RELATIONSHIPS OF THOSE ENTERTAINED
001	12/03/91	AMTRAK ACH WASHINGTON DC ROC# 7290382837	144.00	P	A					Gordon PIS sent copy PIS I have not received
002	12/03/91	AMTRAK ACH WASHINGTON DC ROC# 7290382838	144.00	P	A					
		TRAVEL	288.00							
003	12/30/91	CUSTOMS HOUSE NEW YORK ROC# 0000410560	22.45	F	B	1	GB	2.45	✓	PKennedy dinner
004	01/10/92	CUSTOMS HOUSE NEW YORK ROC# 0000930789	21.40	F	B	1	GB	1.40	✓	PKennedy dinner
005	01/ /92	CUSTOMS HOUSE NEW YORK ROC# 0000930848	21.40	F	B	1	GB	1.40	✓	PKennedy dinner
006	/6/91	AU MANDARIN RESTAURANT NEW YOR ROC# 0000609827	25.75	F	B	1	GB	5.75	✓	PKennedy dinner
007	02/18/91	AU MANDARIN RESTAURANT NEW YOR ROC# 0000609810	23.65	F	B	1	GB	3.65	✓	PKennedy dinner
		RESTAURANT	116.85							
008	01/08/92	CONTINENTAL AIRLINES CLEVELAND TKT# 2105876691 CLEGA	102.00	S	Y	1	GB CLEVELAND		✓	Ken Brody Fidsell Delaware Stone mtg w/ Mississippi Valley et al
		COACH AIR FARE	102.00							
009	01/02/92	UNITED AIRLINES NEW YORK TKT# 7290383459 [illegible]NASE	521.00	F	1	1	GB-	6.50		Marshall Bennett Real Estate Conference weekend in Aspen. Firm expense (Adrian gave me the last page)
		1ST CLASS AIR FARE	521.00							

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KENNETH B BRODY

AMEX NUMBER: [redacted] (040292) STATEMENT NUMBER: AE135833
ACCOUNT NO: [redacted] AS OF 02/12/92 PAGE 000 OF 004

SECTION: 1
ITEMS CHARGED TO FIRM EMPLOYEE #: 09100 ORGANIZATION: 9001 006692 DEPARTMENT: LIMITED PARTNERS

LINE NO.	PROCESS DATE	TRANSACTION	AMOUNT	CAT	CODE	#	SYNDICATE/ PROJECT/ TRANSFER #	NON REIMBURSABLE AMOUNT	CLIENT NUMBER	SYNDICATE NAME AND BUSINESS PURPOSE WITH NAMES & RELATIONSHIP OF THESE ENTERTAINED
		AMERICAN EXPRESS CHARGES	1,625.05							
312	01/03/98	CONTINENTAL AIR/CR HGN TCT 1368031107 LGACLELGA	598.00 - 302 CR 240.	[illegible]	[illegible]	1	[illegible] 054502	✓		Ken Brody one way + Manon Sillet Edgell/Adrenalin mtg. $302 refunded.
		NON AMERICAN EXPRESS CHARGES	598.00							
		TOTAL CHARGES (SECTION 1)	2,223.05							

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KENNETH D BRODY

ANEX NUMBER: [redacted]
ACCOUNT NO: SK0003

STATEMENT NUMBER: AE135833
AS OF 02/12/92
PAGE 046 OF 096

SECTION: 111
CASH ADVANCES

EMPLOYEE #: 09100 ORGANIZATION: 0001 G06593 DEPARTMENT: LIMITED PARTNERS

LINE NO	DATE	DESCRIPTION	AMOUNT	REFERENCE	CODE	COMMENTS
010	12/02/91	PERS CHG DEL EXP DEC0291P1A	29.36	CAS DEC0291P1A		
011	01/10/92	PERS CHG DEL EXP NOV1891P1A	41.75	CAS NOV1891P1A		Personal - K.D. Brody
		TOTAL CASH ADVANCES	71.11			

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LGL001 10/30/92 --- ACCOUNTS PAYABLE - LIST VOUCHER REPORT --- 12:29:42 PAGE: 043

PROCESSOR: TE1

INV. TYPE	CORP	VOUCHER	VENDOR	INVOICE #	REFERENCE	CLIENT	ORG.	ACCOUNT	AMOUNT
1	TE001	306365	BR0002	103092 61120	TX141570 072292				

KENNETH D BRODY
85 BROAD STREET
19TH FLOOR
****

TX141570 072292 KENNETH D BRODY	TAX	09100 S F	0001-006100	[illegible]	[illegible]
BALANCE DUE GS&CO		S	0001-000000	[illegible]	[illegible]
0145P 730 5TH 10GRACIE	TAX[illegible]		00000	[illegible]	[illegible]
1140A 85 BROAD 27W 44	TAX[illegible]		00000	[illegible]	[illegible]
0885P 205W81 85BROAD	TAX[illegible]		00000	[illegible]	[illegible]

00155

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Goldman Sachs

Date 12/19/91

To Harley Silver

From V. ALLEN NORTHERN

Ken Brody personal work:

12,000	B&W Copies
2,000	Color copies
14,000	Paper

14,000 = 28 reams at $2.91 per ream

$ 81.48	- paper
85.20	- B&W Copies (at .0071 per page)
260.00	- Color copies (at .13 per page)
50.85	- 3 hours temp time (at $16.95 per hour)
$477.53	Total

9704380032006

00156

GLOBAL FINANCE PRODUCTION CHARGES

DATE: DECEMBER 1991

DATE	NUMB	REQUESTOR	SYNDICATE	TOA	# OF COPIES	AMOUNT
12/11/91		BRODY	PERSONAL	X	12000	$600.00
12/11/91		BRODY	PERSONAL	CX	2000	$1,000.00
					TOTAL	$1,600.00

Allen Roy Zuckerberg

Here is check for the Color Printer, job we did on Wednesday. Please let me know if you have any questions

Paul Cary
2-7591

Gerald Sommer

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Marketrend CONFIDENTIAL Downtown

Prepared by the Market Research Department of Cushman & Wakefield, Inc.

1/27

Harry Silver
FYI 1508

NOVEMBER 1991

The Downtown office market experienced modest leasing activity during November totalling just 218,000 square feet, bringing year-to-date activity to over 3.2 million square feet. This trailed the same period last year by 38 percent.

The largest transactions for the month were Continental Stock & Transfer Company's 28,000-square foot commitment at Two Broadway, followed by the law firm of Aubrey Lanston's 25,000-square foot lease at One Chase Manhattan Plaza, and Johnson, Tannen, Brecher, Fishman, Feit and Heller's lease for 15,900 square feet at 222 Broadway. Two companies chose to renew existing leases and remain in their current locations: MCI International, Inc. renewed for 71,400 square feet at 17 Battery Place North, while Farrell Lines, Inc. renewed for 28,900 square feet at One Whitehall Street.

No new construction was completed during November, and no new development is expected for some time. This has prompted owners to begin the renovation of older, existing structures to effectively compete with newer buildings. Several buildings, including One Chase Manhattan Plaza, 100 Wall Street and One New York Plaza are witnessing extensive capital improvement programs.

The primary vacancy rate decreased for the second consecutive month to 16.6 percent from 16.9 in October. The secondary vacancy rate edged up to 24.9 percent from 24.5. The primary asking rental rate decreased only slightly during November to $31.93 from $31.95 per square foot. After ten months in decline, the secondary asking rental increased to $21.36 from $21.34 per square foot.

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00158

100 Wall Street • 25th Floor • New York, NY 10005 • (212) 709-0700

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CUSHMAN & WAKEFIELD

Business America's Real Estate Firm

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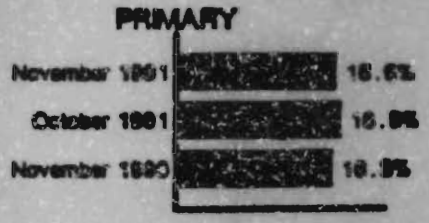
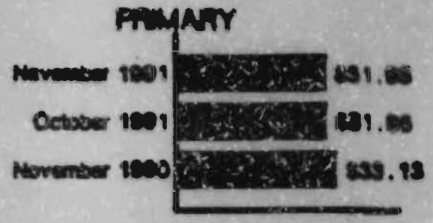
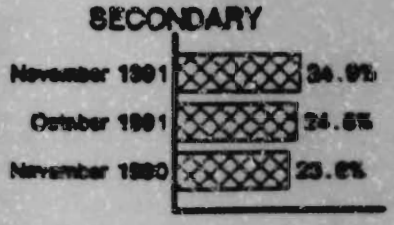
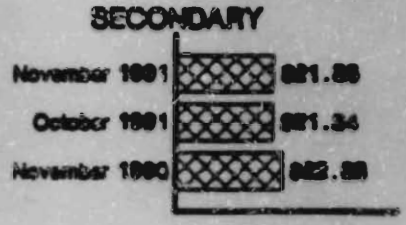
VACANCY RATES

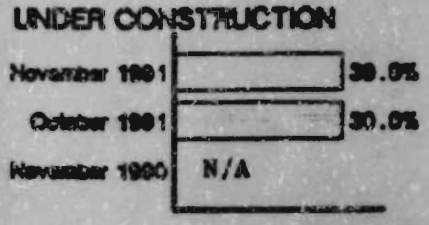
ASKING RENTAL RATES

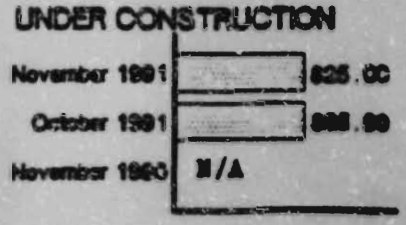




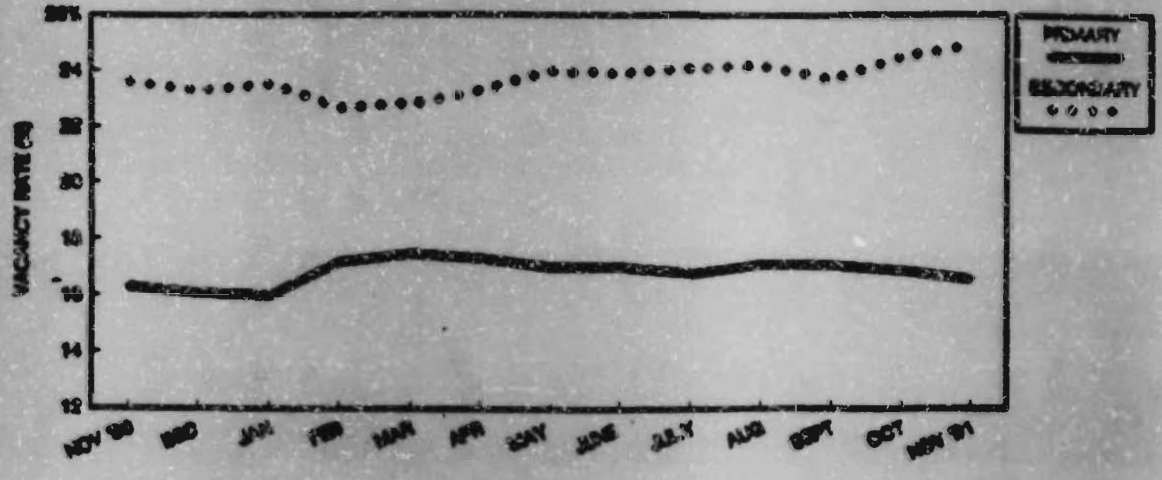








VACANCY RATES
NOVEMBER 1990 TO NOVEMBER 1991



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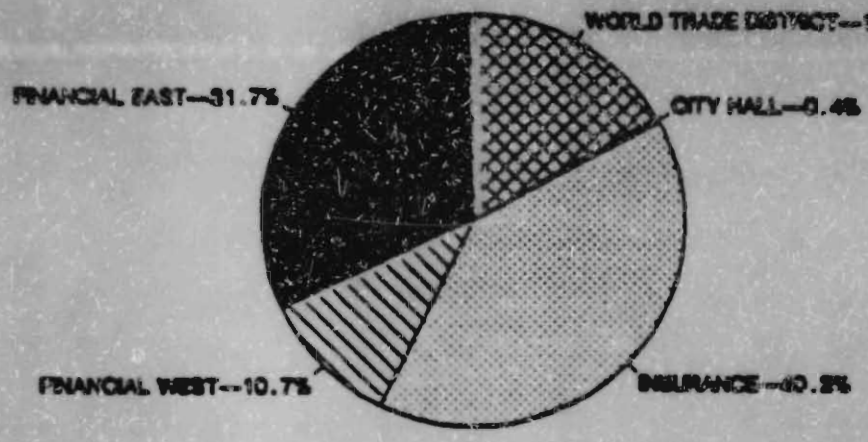
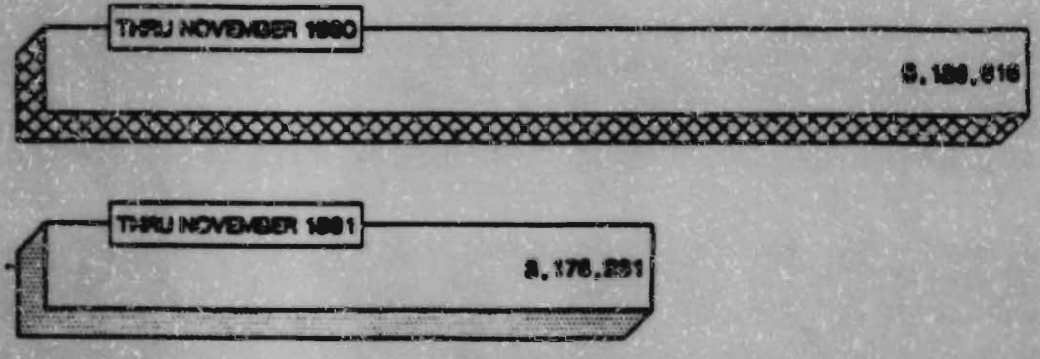
DISTRICT LEASING
NOVEMBER 1991



Total November Leasing:
217,800 sq.ft.

YEAR-TO-DATE LEASING:
NOVEMBER 1990 & NOVEMBER 1991



*Leasing includes Primary, Secondary and Buildings Under Construction.

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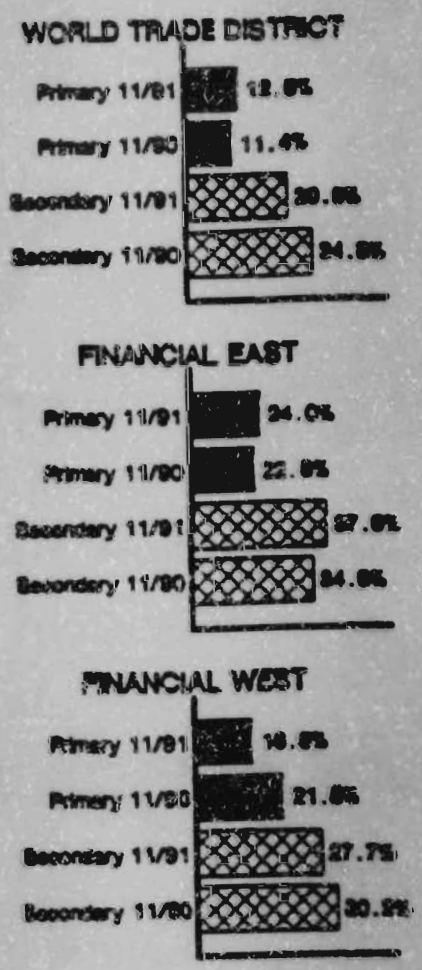
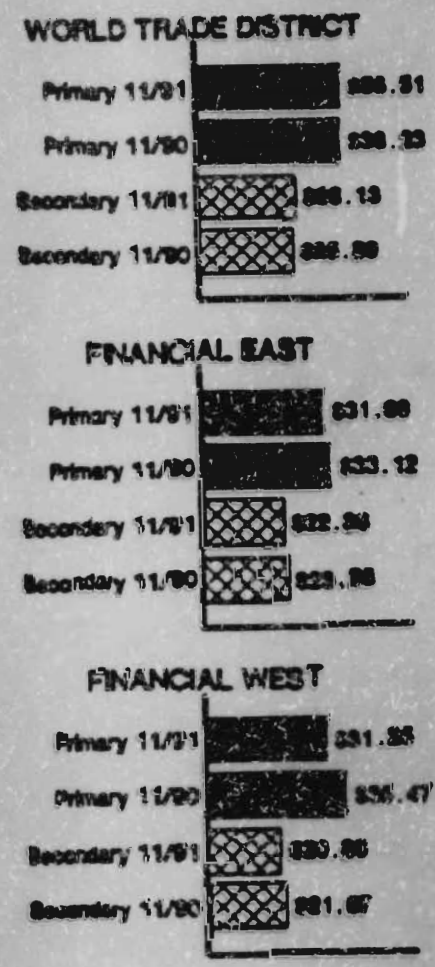
DISTRICT VACANCY RATES



DISTRICT ASKING RENTAL RATES



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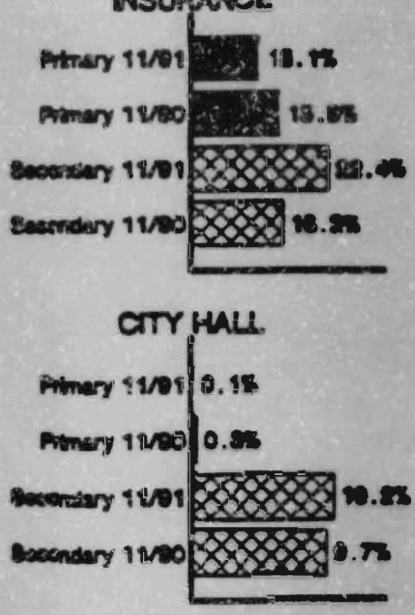
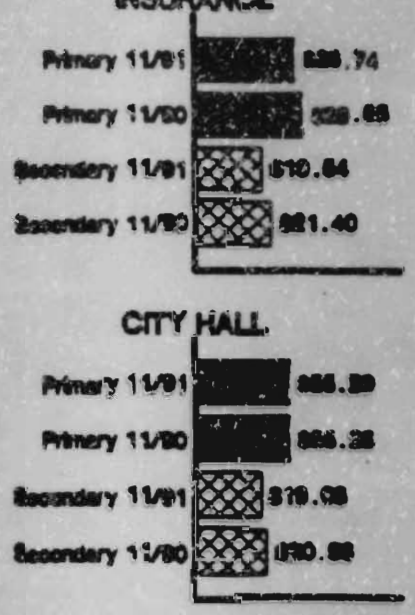
DISTRICT VACANCY RATES



DISTRICT ASKING RENTAL RATES



Downtown Inventory:
95 Primary Buildings Total 79,140,973 sq. ft.
156 Secondary Buildings Total 28,886,343 sq. ft.

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REPORT OVERVIEW & DEFINITIONS

Downtown **MARKETREND** *is a monthly publication of CUSHMAN & WAKEFIELD'S New York Market Research Department. This report is an objective evaluation of Downtown Manhattan's office market, updated each month to provide the most timely and complete market data.*

Primary:	*Buildings which meet three or more of the following criteria: centrally located; professionally managed and maintained; attract high-quality tenants and command upper tier rental rates. Structures are modern or have been modernized to successfully compete with newer buildings.*
Secondary:	*Buildings with less than three of the criteria listed above. In addition, the current or prospective tenants must be office space users.*
Under Construction:	*Building sites which have had excavation commence up to the time it is ready for tenant installations or an issuance of a Certificate of Occupancy.*
Proposed:	*Any planned office building site or assemblage that has not yet begun excavation.*
Leasing Activity:	*The sum total of all completed office leasing transactions in a given period, including preleasing and expansion activity, but excluding lease renewals.*
Vacant Direct:	*Available space offered by the landlord of a property for lease.*
Vacant Sublease:	*Available space offered by a tenant for lease.*
Total Vacant:	*The total amount of direct and sublease space available for lease.*
Rental Rates:	*The weighted average of annual rental rates per square foot quoted by the landlord, sublandlord or its agents. All rates in this report are gross asking rates per rentable square foot.*

District Boundaries:

World Trade:	*Bounded by Albany Street, Hudson River, Chambers Street, Church Street, Vesey Street, Broadway, Liberty Street and Greenwich Street.*
Financial East:	*Bounded by Battery Park, Broadway, Liberty Street, William Street, Pine Street and the East River.*
Financial West:	*Bounded by Battery Park, Hudson River, Albany Street, Greenwich Street, Liberty and Broadway.*
Insurance:	*Bounded by Pine Street, William Street, Liberty Street, Broadway, Park Row and the Brooklyn Bridge.*
City Hall:	*Bounded by the Brooklyn Bridge, Park Row, Vesey Street, Church Street, Chambers Street, Hudson River, Canal Street and the East River.*

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MEMORANDUM

To: Paul Carey
c/o Ken Brody

From: Harry Silver
Allen Northern

Date: January 21, 1992

Re: [redacted]

We have attached an invoice for $6,390.88 which reflects our best estimate of services provided to Paul Carey during October and November 1991. The estimates are based on actual services provided valued at "market" prices for each service. Please review the attached detail and submit a check made out to Goldman, Sachs & Co.

Please call one of us if you have any questions.

cc: Jonathan Cohen
Judd Sommar
Arline Mann

Attachment

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Goldman, Sachs & Co. | 85 Broad Street | New York, New York 10004
Tel: 212-902-1000

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Goldman Sachs

INVOICE

Mr. Paul Carey
c/o Ken Brody

	AMOUNT
For Office Support Services Rendered October and November 1991	$6,300.98

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89100

Reimbursable Expenses -- Paul Carey

Category	Amount
Office Rental (1)	$3,475.00
Photocopying (2)	2,200.00
Word Processing (3)	46.50
Messenger (4)	84.48
Telephone (5)	391.81
Total	$6,197.79

(1) For the period 10/1/91 through 11/30/91. Based on rates given to us by Headquarters Companies, a real estate agency, of $1,500.00 per month for a 150 square foot office and a one-time installation charge of $475.00 for furniture and telephone. Fax and office support also included.

(2) Based on outside vendor rates of $25.00 per thousand for black & white copies and $0.85 each for color copies (see Attachment A).

(3) Based on outside vendor rates of $23.00 per hour for daytime word processing and $28.00 per hour for evening word processing (see Attachment B).

(4) Includes both Brady and Carey personal charges (see Attachment C).

(5) Based on the period 10/1/91 through 11/18/91 (see Attachment D).

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GLOBAL FINANCE PRODUCTION CHARGES

ATTACHMENT A

DATE: DECEMBER 1991

DATE	NUMB	REQUESTOR	SYNDICATE	TOA	# OF COPIES
12/20/91		WARGO	PEGASUS	X	650
12/11/91		DURYEA	PENROD DRILLING	F	2
12/12/91		DURYEA	PENROD DRILLING	D	1
12/16/91		BILEN	PERSONAL	FE	1
12/18/91		BILEN	PERSONAL	FE	1
12/11/91		BRODY	PERSONAL	CX	2000
12/11/91		BRODY	PERSONAL	X	12000
12/18/91		CONNOR	PERSONAL	FE	1
12/23/91		MERIWETHER	PERSONAL	FE	2
12/07/91		TUNG	PERSONAL	F	3
12/09/91		LAM	PETRUS	CX	14
12/02/91		LAM	PETRUS	CX	36
12/02/91		LAM	PETRUS	X	50
12/20/91		COMISAR	PHELPS	X	267
12/20/91		COMISAR	PHELPS	CX	16
12/13/91		PATRICK	PI	CX	20
12/10/91		PATRICK	PI	T	1
12/12/91		PATRUCK	PI	CX	54
12/12/91		PATRUCK	PI	X	181
12/07/91		BRODY	PIA	X	200
12/04/91		BRODY	PIA	X	1300
12/03/91		BRODY	PIA	F	6
12/04/91		BRODY	PIA	X	200
12/10/91		BRODY	PIA	X	480
12/08/91		BRODY	PIA	CX	150
12/05/91		BRODY	PIA	X	600
12/02/91		BRODY	PIA	X	215
12/04/91		BRODY	PIA	X	100
12/04/91		BRODY	PIA	X	100
12/04/91		BRODY	PIA	X	300
12/12/91		BU	PIA	X	85
12/16/91		BU	PIA	M	5
12/11/91		BU	PIA	X	200
12/02/91		BU	PIA	X	418

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00168

ATTACHMENT B

Date: 12/22 - 12/23/91

Sector	Project	Dept/ Floor	Time Arrived	Time Due	Time Began	Time Finish	Operator	Comments
PKORVAL	PKORVAL		8:49 pm	9:30	8:49 pm	9:40	C Mc	12/22/91
PKORVAL	PKORVAL		11:00 pm	-	11:05 pm	11:45	N Fuhr	12/22/91
PKORVAL	PKORVAL		12:25 AM	RUSH	12:25	12:45	N Fuhr	12/23/91

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ATTACHMENT C (1)

00169

MESSENGER PACKAGE CENTER - DEPT 390

DEPARTMENT SUMMARY REPORT

WEEK	DATE	PICK-UP ADDRESS	DELIVERY ADDRESS	BASE COST	RETURN COST	TOTAL COST	TYPE OF SERVICE	REQUESTED BY	REMARKS
DEPARTMENT 0001-006-105									
2420	10/28/91	8519	9 W. 57TH ST	6.18	0.00	6.18	UPTWN RUSH	BRODY	/GEN BUS /
2334	11/01/91	8519	1350 6TH AVE	6.18	0.00	6.18	UPTWN RUSH	BRODY	/GEN BUS /
2125	11/07/91	8519	11 BWAY	5.67	0.00	5.67	DWNTN HOUR	BRODY	/GEN BUS /
3667	11/13/91	8519	245 PARK AVE	6.18	0.00	6.18	UPTWN RUSH	BRODY	/GEN BUS /
3666	11/13/91	8519	27 W. 44TH ST	7.73	0.00	7.73	UPTWN HOUR	BRODY	/GEN BUS /
3665	11/13/91	8519	540 PARK AVE	6.18	0.00	6.18	UPTWN RUSH	BRODY	/GEN BUS /
2421	11/15/91	8519	375 SOUTHEND	4.64	2.00	6.64	DWNTN RUSH	BRODY	/GEN BUS /
2422	11/15/91	8519	120 E. 23RD ST	6.18	0.00	6.18	UPTWN RUSH	BRODY	/GEN BUS /
2423	11/15/91	8519	133 E. 53RD ST	7.73	0.00	7.73	UPTWN HOUR	BRODY	/PERSONAL (CAREY) /
2420	11/18/91	8519	1001 PARK AVE	7.73	2.00	9.73	UPTWN HOUR	BRODY	/GEN BUS /
2419	11/19/91	8519	132 W. 43RD ST	7.73	0.00	7.73	UPTWN HOUR	BRODY	/GEN BUS /
2418	11/19/91	7 WTC	8519	5.67	0.00	5.67	DWNTN HOUR	BRODY	/GEN BUS /
2417	11/19/91	8519	75 VARICK ST	6.18	0.00	6.18	UPTWN RUSH	BRODY	/PERSONAL (CAREY) /
4554	11/20/91	8519	11 BWAY	5.67	0.00	5.67	DWNTN HOUR	BRODY	/GEN BUS /
9003	11/20/91	8519	1330 6TH AVE	6.18	0.00	6.18	UPTWN RUSH	BRODY	/PERSONAL /
23[illegible]	[illegible]/21/91	8519	111 W. 57TH ST	6.18	0.00	6.18	UPTWN RUSH	BRODY	/PERSONAL (CAREY) /
9023	11/22/91	8519	535 MADISON AVE	6.18	0.00	6.18	UPTWN RUSH	BRODY	/PERSONAL (CAREY) /
9024	11/22/91	8519	605 3RD AVE	6.18	0.00	6.18	UPTWN RUSH	BRODY	/PERSONAL /
9025	11/22/91	8519	445 PARK AVE	6.18	0.00	6.18	UPTWN RUSH	BRODY	/PERSONAL /
4553	11/25/91	8519	75 VARICK ST	6.18	0.00	6.18	UPTWN RUSH	BRODY	/PERSONAL (CAREY) DONE 11-15-91/
4556	11/27/91	8519	11 BWAY	5.67	0.00	5.67	DWNTN HOUR	BRODY	/GEN BUS /
2380	11/27/91	211 W. 67TH ST	8519	6.18	0.00	6.18	UPTWN RUSH	BRODY	/GEN BUS /
567	10/29/91	8519	32 OLD SLIP	2.00	2.00	4.00	INTERNAL	CHARTENER	/PEAT /
593	11/27/91	8519	45 ROCK PLAZA	6.18	0.00	6.18	UPTWN RUSH	CHARTENER	/5405 /
4611	10/30/91	8519	125 BROAD ST	5.67	0.00	5.67	DWNTN HOUR	COGAN	/GEN BUS /
900	11/04/91	8519	451 W. BWAY	7.73	0.00	7.73	UPTWN HOUR	COGAN	/GEN BUS /
912	11/19/91	8519	345 HUDSON ST	7.73	0.00	7.73	UPTWN HOUR	COGAN	/GEN BUS PIA /
914	11/19/91	8519	451 W. BWAY	6.18	0.00	6.18	UPTWN RUSH	COGAN	/GEN BUS PIA /
4595	11/15/91	111 8TH AVE	8519	6.18	0.00	6.18	UPTWN RUSH	FUREY	
4802	11/27/91	1755 YORK AVE	8519	16.48	9.00	25.48	MANHATTAN MOTOR	GONZALES	/ GEN BUS PC EQUIPMENT /
582	10/30/91	8519	32 OLD SLIP	2.00	2.00	4.00	INTERNAL	HEADLEY	/PA /

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ATTACHMENT C (2)

00170

MESSENGER PACKAGE CENTER - DEPT 390

DEPARTMENT SUMMARY REPORT

ET ON	DATE	PICK-UP ADDRESS	DELIVERY ADDRESS	BASE COST	RETURN COST	TOTAL COST	TYPE OF SERVICE	REQUESTED BY	REMARKS	
66	[illegible]/91	8519	599 LEXINGTON	6.18	0.00	6.18	UPTWN RUSH	HEADLEY	/BROADCAST	/
61	11/05/91	8519	88 WALL ST	5.67	0.00	5.67	DWNTN HOUR	HEADLEY	/BROADCAST	/2105
62	11/07/91	8519	31 W. 52ND ST	7.73	0.00	7.73	UPTWN HOUR	HEADLEY	/RICHTER	/5409
4	11/08/91	8519	31 W. 52ND ST	6.18	0.00	6.18	UPTWN RUSH	HEADLEY	/RICHTER	/5409
4	11/13/91	8519	32 OLD SLIP	2.00	2.00	4.00	INTERNAL	LUTZ	/PEAT	/
3	11/06/91	8519	1 FINANCIAL SQ	5.67	2.00	7.67	DWNTN HOUR	MENRA	/SINK	/5442
7	11/14/91	8519	1 NY PLAZA	5.67	0.00	5.67	DWNTN HOUR	MENRA	/GEN BUS	/
05	10/29/91	8519	7 WTC	4.64	0.00	4.64	DWNTN RUSH	MENDELL	80-103/PONROD	/5412
3	10/30/91	8519	1 FINANCIAL SQ	2.00	2.00	4.00	INTERNAL	PATEL	/THE PRINCIPAL INVEST ARES	/
8	11/23/91	8519	299 PARK AVE	4.64	0.00	4.64	UPTWN SCHED	RIVERA	/GEN BUS	/
6	11/[illegible]/91	8519	787 7TH AVE	6.18	0.00	6.18	UPTWN RUSH	SACERDOTE	/GEN BUS	/
9	11/07/91	8519	250 PARK AVE	6.18	0.00	6.18	UPTWN RUSH	SACERDOTE	/GEN BUS	/
9	10/29/91	8519	32 OLD SLIP	2.00	2.00	4.00	INTERNAL	SATTER	80-103/CROWN	/1045
82	11/23/91	8519	19 W. 21ST ST	6.18	0.00	6.18	UPTWN RUSH	SATTER	80-103/HILLSIDE	/6680
175	11/08/91	85L	111 CENTER ST	6.18	0.00	6.18	UPTWN RUSH	VOLPERT		
123	10/29/91	8520	19 RECTOR ST	4.64	0.00	4.64	DWNTN RUSH	YU	/KATE SWANSON	/
102	[illegible]/91	10 HANOVER SQ	8520	5.67	0.00	5.67	DWNTN HOUR	YU	/PAUL CAREY	/
100	[illegible]/91	8520	10 HANOVER SQ	4.64	0.00	4.64	DWNTN RUSH	YU	/PAUL CAREY	/
Subtotal **				290.78	25.00	315.78				

CONFIDENTIAL

9704380322C

ATTACHMENT C (3)

Page No. 6
01/08/92

[redacted] PACKAGE CENTER - DEPT 595

DEPARTMENT BUDGET REPORT

CONFIDENTIAL

TICKET NUMBER	DATE	PICK-UP ADDRESS	DELIVERY ADDRESS	BASE COST	RETURN COST	TOTAL COST	TYPE OF SERVICE	REQUESTED BY	REMARKS	
[redacted]										
[redacted] 6001-006-105										
142382	12/04/91	8519	140 BWAY	4.64	0.00	4.64	SAMTH RUSH	BRADY	/PERSONAL	/
142389	12/06/91	5519	200 PARK AVE	6.18	0.00	6.18	UPTWN RUSH	BRADY	/PERSONAL	/
142384	12/04/91	8519	31 W. 52ND ST	6.18	0.00	6.18	UPTWN RUSH	BRADY	/PERSONAL	/
142385	12/04/91	8519	1585 BWAY	6.18	0.00	6.18	UPTWN RUSH	BRADY		
142386	12/06/91	8519	301 PARK AVE	6.18	0.00	6.18	UPTWN RUSH	BRADY	/PERSONAL	/
295027	12/06/91	8519	245 PARK AVE	6.18	0.00	6.18	UPTWN RUSH	CHARTENER	/WATER ST	85405/6
293312	12/11/91	8519	299 PARK AVE	6.18	0.00	6.18	UPTWN RUSH	CHARTENER	/WATER ST	/5405
178866	12/11/91	8519	31 W. 52ND ST	6.18	0.00	6.18	UPTWN RUSH	FRIEDMAN	/OEN RUS	/
236379	12/12/91	2519	1 WALL ST	5.67	0.00	5.67	SMITH HOUR	FRIEDMAN	/PIA	/
295282	12/02/91	8519	299 PARK AVE	7.73	0.00	7.73	UPTWN HOUR	HEYRA	/BMIX	/
295831	12/10/91	8519	BWAY & 86TH ST	7.73	5.67	13.40	UPTWN HOUR	HEYRA	/ROUND TRIP (PERSONAL)	/
03699	12/02/91	29 BWAY	8519	4.64	0.00	4.64	SMITH RUSH	HEMDELL	/VOID SAB	/
251	12/06/91	8519	1 NY PLAZA	4.64	0.00	4.64	SMITH RUSH	HEMDELL		
19895	12/10/91	3519	125 BROAD ST	4.64	0.00	4.64	SMITH RUSH	HEMDELL	/PERSCO	684138 /
[redacted]	12/23/91	8519	1 CHASE MAN PLZ	5.67	0.00	5.67	SMITH HOUR	HODES	/FEIN	/
42[redacted]	12/05/91	8519	230 PARK AVE	6.18	0.00	6.18	UPTWN RUSH	SACKSMORE		
284278	12/12/91	37 BWAY	3529	4.64	0.00	4.64	SMITH RUSH	SHEINARD		
** Subtotal **				99.46	5.67	105.31				

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ATTACHMENT B (1)

LOC:000 1/RPT 2 — GOLDMAN SACHS & CO — PAGE: 00040
SITE: 01 EXT: 212 902 7391 01 — EXTENSION DETAIL REPORT — DATE:11/22/89
KELLEY, KEVIN M. — TIME:23:35:27
DEPARTMENT 0006103 05 CAPITAL
DIVISION 0000350 OTHER DIVISION

SEP. 19 THRU OCT. 18

VENDOR	GRP/TYPE	DESCRIPTION	UNITS	COST
EQUIPMENT				
IPC	AR ADDA	BASA 84000 DIRECTORY DIALER	1	27.52
IPC	TP S46X	SRX 400X/V SET	1	70.11
NYTC	LC CNLC	CENTREX LINE CHARGE	1	23.20
	CATEGORY TOTALS:			120.83 ÷ 30 = $4.03 x 18 days = $72.54

DATE	TIME	LOCATION CALLED	NUMBER CALLED	CALLS	MINUTES	COST	ROUTE	IDENTIFICATION	ACCOUNT NO
LOCAL - NASSAU									
		CATEGORY TOTALS:		5	15.00	1.11			
LOCAL - NEW YORK CITY									
		CATEGORY TOTALS:		532	2,601.00	62.27			
LOCAL - WEST SUFFOLK									
		CATEGORY TOTALS:		2	12.00	1.66			
LOCAL - EAST SUFFOLK									
		CATEGORY TOTALS:		15	85.00	9.58			
LOCAL - LOWER WESTCHESTER									
		CATEGORY TOTALS:		17	62.00	4.63			
LOCAL - UPPER WESTCHESTER									
		CATEGORY TOTALS:		2	2.00	.27			

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09122

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ATTACHMENT D (2)

CDC:NBR 1/RPT 2 GOLDMAN SACHS & CO PAGE: 33851
DATE:11/29/91
TIME:23:21:27

SITE: 01 EXT: 212 902 7391 01 EXTENSION DETAIL REPORT
KELLEY, KEVIN M.
DEPARTMENT 0006103 GS CAPITAL
DIVISION 0000350 OTHER DIVISION

SEP. 19 THRU OCT. 18

DATE	TIME	LOCATION CALLED	NUMBER CALLED	CALLS	MINUTES	COST	ROUTE	IDENTIFICATION	ACCOUNT NO

LOCAL - DIRECTORY ASSIST.

		CATEGORY TOTALS:		76	76.00	37.70			

WATS BAND 0

10-01	12:07	0000000000 00	516-749-0949		.10	.12	.		
10-01	14:16	0000000000 00	518-474-0390		1.32	.25	.		
10-02	08:43	0000000000 00	518-447-8653		7.12	1.02	.		
10-02	16:06	0000000000 00	518-449-3333		.60	.12	.		
10-02	20:13	0000000000 00	518-439-9544		8.55	1.15	.		
10-02	20:43	0000000000 00	516-749-0949		5.88	.77	.		
10-03	13:33	0000000000 00	516-749-0949		4.48	.64	.		
10-04	15:29	0000000000 00	518-437-0085		.18	.12	.		
10-04	15:51	0000000000 00	518-482-1039		1.95	.25	.		
10-07	07:59	0000000000 00	518-465-2273		1.15	.25	.		
10-07	14:32	0000000000 00	518-449-8101		3.02	.51	.		
10-07	15:13	0000000000 00	516-749-0949		3.37	.51	.		
10-08	08:56	0000000000 00	518-555-1212		.38	.12	.		
10-11	09:14	0000000000 00	516-749-0949		8.12	1.15	.		
10-11	15:08	0000000000 00	518-449-7131		2.07	.38	.		
10-11	15:13	0000000000 00	518-449-3333		.23	.12	.		
10-11	15:39	0000000000 00	518-465-2273		1.72	.25	.		
10-12	10:43	0000000000 00	518-439-9544		17.73	2.31	.		
10-14	09:49	0000000000 00	518-555-1212		.50	.12	.		
10-14	17:59	0000000000 00	518-274-3249		5.68	.76	.		
10-15	19:17	0000000000 00	518-439-9544		12.50	1.67	.		
10-18	12:22	0000000000 00	518-436-8516		.33	.12	.		
10-18	12:24	0000000000 00	518-474-2121		1.05	.25	.		
10-20	15:46	0000000000 00	518-537-6314		1.07	.25	.		
10-21	08:15	0000000000 00	518-439-9544		3.15	.51	.		
10-22	21:20	0000000000 00	516-749-0949		.55	.12	.		
10-23	15:30	0000000000 00	518-473-2389		6.38	.89	.		
10-28	14:10	0000000000 00	518-398-5151		4.80	.64	.		
10-28	15:12	0000000000 00	518-439-0240		4.70	.64	.		
10-30	12:07	0000000000 00	518-555-1212		.30	.12	.		
10-31	14:53	0000000000 00	516-749-1185		3.08	.51	.		
10-31	17:19	0000000000 00	518-482-1039		3.13	.51	.		
10-31	17:51	0000000000 00	518-449-3333		.17	.12	.		
10-31	19:47	0000000000 00	518-434-6220		.48	.12	.		
		CATEGORY TOTALS:		34	115.84	17.39			

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ATTACHMENT D (3)

CDC:MSD 1/RPT 2 GOLDMAN SACHS & CO PAGE: 39083
DATE:11/29/91
TIME:23:21:37

SITE: 01 EXT: 212 902 7391 01 EXTENSION DETAIL REPORT
KELLEY, KEVIN M.
DEPARTMENT 0006103 GS CAPITAL
DIVISION 0900350 OTHER DIVISION

SEP. 19 THRU OCT. 18

DATE	TIME	LOCATION CALLED	NUMBER CALLED	CALLS	MINUTES	COST	ROUTE	IDENTIFICATION	ACCOUNT NO

AT&T DOMESTIC VTNS

10-01	10:10	LITTLEROCK	AR	501-682-3606		.70	.13	.	
10-01	10:28	WASHINGTON	DC	202-879-6330		.37	.12	.	
10-01	11:11	LITTLEROCK	AR	501-372-1992		2.13	.40	.	
10-01	14:12	SACRAMENTO	CA	916-445-6131		.49	.14	.	
10-01	15:39	WASHINGTON	DC	202-244-6252		12.93	1.50	.	
10-01	22:18	LIVINGSTON	NJ	201-992-1536		6.20	.58	.	
10-01	22:25	NEW HAVEN	CT	203-497-9146		47.87	3.66	.	
10-02	09:48	SACRAMENTO	CA	916-646-9114		4.00	.54	.	
10-02	10:00	WASHINGTON	DC	202-429-8744		6.63	.81	.	
10-02	10:13	WASHINGTON	DC	202-429-8744		.48	.12	.	
10-02	10:22	BOCA RATON	FL	407-362-1500		5.53	.80	.	
10-02	10:41	BOCA RATON	FL	407-362-1500		.32	.13	.	
10-02	13:41	LITTLEROCK	AR	501-372-1992		.68	.13	.	
10-02	15:19	LITTLEROCK	AR	501-372-1992		9.42	1.34	.	
10-02	17:44	LITTLEROCK	AR	501-372-1992		8.83	.74	.	
10-02	18:04	WASHINGTON	DC	202-244-6252		.85	.08	.	
10-02	18:15	WASHINGTON	DC	202-429-8744		41.03	3.35	.	
10-02	21:16	WLOSANGELS	CA	213-471-6848		.10	.08	.	
10-03	09:32	WASHINGTON	DC	202-544-6093		.38	.12	.	
10-03	14:28	WASHINGTON	DC	202-429-8744		8.45	1.04	.	

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ATTACHMENT D (4)

CDC:MSD 1/RPT 2 GOLDMAN SACHS & CO PAGE: 30844
DATE:11/29/91
TIME:23:21:27

SITE: 01 EXT: 212 902 7391 01 EXTENSION DETAIL REPORT
KELLEY, KEVIN M.
DEPARTMENT 0006103 GS CAPITAL
DIVISION 0000350 OTHER DIVISION

SEP. 19 THRU OCT. 19

DATE	TIME	LOCATION CALLED	NUMBER CALLED	CALLS	MINUTES	COST	ROUTE	IDENTIFICATION	ACCOUNT NO
AT&T DOMESTIC VTNS									
10-03	15:38	WASHINGTON DC	202-244-6252		5.70	.69	.		
10-03	16:54	WASHINGTON DC	202-547-0001		2.78	.35	.		
10-04	10:51	LITTLEROCK AR	501-372-1992		1.58	.27	.		
10-04	15:08	WASHINGTON DC	202-244-6252		.77	.12	.		
10-04	18:26	WASHINGTON DC	202-244-6252		18.40	1.52	.		
10-07	07:57	INFRMATION DC	202-555-1212		.38	.36	.		
10-07	08:40	WASHINGTON DC	202-885-4548		.50	.12	.		
10-07	11:37	LITTLEROCK AR	501-372-1992		.83	.13	.		
10-07	12:23	LITTLEROCK AR	501-372-1992		4.75	.67	.		
10-07	13:00	HACKENSACK NJ	201-342-9500		.63	.10	.		
10-07	13:38	BOCA RATON FL	407-362-1500		.22	.13	.		
10-07	14:02	BOCA RATON FL	407-362-1500		1.18	.27	.		
10-07	14:06	LITTLEROCK AR	501-372-1992		.43	.13	.		
10-07	14:09	LITTLEROCK AR	501-682-2345		1.47	.27	.		
10-07	15:20	HACKENSACK NJ	201-342-9500		5.22	.59	.		
10-07	15:27	HACKENSACK NJ	201-342-9500		.45	.10	.		
10-08	09:19	WASHINGTON DC	202-467-4480		13.22	1.62	.		
10-08	10:22	BOSTON MA	617-534-1200		.35	.12	.		
10-08	13:50	HACKENSACK NJ	201-342-9500		.38	.10	.		
10-08	13:52	WASHINGTON DC	202-429-8744		2.20	.35	.		
10-08	15:24	LITTLEROCK AR	501-372-1992		8.93	.54	.		
10-09	08:39	WASHINGTON DC	202-244-6252		18.67	2.19	.		
10-09	10:16	LITTLEROCK AR	501-372-1992		15.50	2.15	.		
10-09	11:11	LITTLEROCK AR	501-372-1992		.93	.13	.		
10-09	17:53	DENVER CO	303-893-3333		.75	.08	.		
10-09	18:08	BOCA RATON FL	407-362-1500		.53	.08	.		
10-09	18:37	INFRMATION WI	608-555-1212		.53	.36	.		
10-09	18:38	DENVER CO	303-893-3333		.92	.08	.		
10-09	19:32	SACRAMENTO CA	916-445-6131		1.05	.17	.		
10-09	19:53	W HARTFORD CT	203-521-7739		22.78	1.84	.		
10-09	20:16	WASHINGTON DC	202-429-8744		1.80	.16	.		
10-09	23:16	LITTLEROCK AR	501-372-1992		4.63	.37	.		
10-10	14:52	LITTLEROCK AR	501-372-1992		1.80	.27	.		
10-10	15:12	WASHINGTON DC	202-224-4451		.72	.12	.		
10-10	16:58	BOCA RATON FL	407-362-1500		3.55	.43	.		
10-10	17:54	LITTLEROCK AR	501-372-1992		.63	.08	.		
10-10	18:05	LITTLEROCK AR	501-372-1992		1.90	.17	.		
10-10	18:07	WASHINGTON DC	202-429-8744		24.87	2.00	.		
10-11	09:06	WASHINGTON DC	202-547-3590		6.65	.81	.		
10-11	09:45	LITTLEROCK AR	501-682-2345		.78	.13	.		
10-11	15:44	LITTLEROCK AR	501-372-1992		5.05	.80	.		
10-11	19:41	LITTLEROCK AR	501-372-1992		4.35	.81	.		

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ATTACHMENT B (5)

CSC:ROD 1/RPT 2 — GOLDMAN SACHS & CO — PAGE: 30049
DATE: 11/29/91
TIME: 23:21:27

SITE: 01 EXT: 212 902 7391 01 — EXTENSION DETAIL REPORT
KELLEY, KEVIN M.
DEPARTMENT 0006103 GS CAPITAL
DIVISION 0000350 OTHER DIVISION

SEP. 19 THRU OCT. 18

RATE	TIME	LOCATION CALLED	NUMBER CALLED	CALLS	MINUTES	COST	ROUTE	IDENTIFICATION	ACCOUNT NO
AY&T DOMESTIC VTNS									
10-14	09:33	LITTLEROCK AR	501-372-1992		.53	.13	.		
10-14	11:27	LITTLEROCK AR	501-372-1992		.82	.13	.		
10-14	13:20	LITTLEROCK AR	501-372-1992		2.43	.40	.		
10-14	13:46	LITTLEROCK AR	501-372-1992		3.48	.54	.		
10-14	14:08	LITTLEROCK AR	501-372-1992		.33	.13	.		
10-14	14:47	INFRMATION CT	203-555-1212		.38	.36	.		
10-14	15:14	LITTLEROCK AR	501-372-1992		1.32	.27	.		
10-14	16:31	LITTLEROCK AR	501-372-1992		1.67	.27	.		
10-14	17:57	INFRMATION NJ	908-555-1212		.53	.36	.		
10-14	17:58	MATAWAN NJ	908-566-7159		.70	.07	.		
10-14	18:54	PALO ALTO CA	415-854-2404		1.08	.17	.		
10-14	19:40	SAN RAFAEL CA	415-499-1400		5.83	.33	.		
10-15	09:56	LITTLEROCK AR	501-372-1992		.32	.13	.		
10-15	10:43	BOCA RATON FL	407-362-1500		.38	.13	.		
10-15	12:58	LITTLEROCK AR	501-372-1992		.88	.13	.		
10-15	13:23	LITTLEROCK AR	501-372-1992		1.72	.27	.		
10-15	13:30	LITTLEROCK AR	501-372-1992		5.02	.80	.		
10-15	13:38	LITTLEROCK AR	501-372-1992		.08	.13	.		
10-15	14:27	LITTLEROCK AR	501-372-1992		1.75	.27	.		
10-15	14:30	LITTLEROCK AR	501-372-1992		.80	.13	.		
10-15	15:13	LITTLEROCK AR	501-372-1992		1.12	.27	.		
10-15	15:38	LITTLEROCK AR	501-376-6884		2.07	.40	.		
10-15	17:01	BOCA RATON FL	407-362-1500		1.87	.17	.		
10-15	17:14	LITTLEROCK AR	501-372-1992		1.78	.17	.		
10-15	17:53	LITTLEROCK AR	501-372-1992		7.30	.66	.		
10-15	19:03	INFRMATION NJ	201-555-1212		.40	.36	.		
10-15	19:38	LITTLEROCK AR	501-372-1992		.35	.08	.		
10-15	20:18	LITTLEROCK AR	501-372-1992		.12	.08	.		
10-16	09:09	LITTLEROCK AR	501-376-6884		4.15	.67	.		
10-16	10:35	JERSEYCITY NJ	201-795-6200		8.10	.84	.		
10-16	10:44	HACKENSACK NJ	201-342-9500		1.10	.20	.		
10-16	10:46	LITTLEROCK AR	501-372-1992		.53	.13	.		
10-16	11:02	ATLANTA GA	404-676-4848		1.10	.25	.		
10-16	12:49	BOSTON MA	617-367-2894		7.62	.92	.		
10-16	13:00	JERSEYCITY NJ	201-795-6200		.87	.09	.		
10-16	13:28	LITTLEROCK AR	501-372-1992		2.32	.40	.		
10-16	14:52	LITTLEROCK AR	501-372-1992		1.22	.27	.		
10-16	18:16	LITTLEROCK AR	501-372-1992		3.23	.33	.		
10-17	16:32	INFRMATION NJ	201-555-1212		.52	.36	.		
10-18	11:42	GREENCASTL IN	317-653-2761		11.20	1.52	.		
10-18	12:18	LITTLEROCK AR	501-372-1992		2.97	.40	.		
10-18	18:14	SACRAMENTO CA	916-445-6131		.47	.08	.		

CONFIDENTIAL

00176

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LOC:NYO 1/RPT 2 GOLDMAN SACHS & CO PAGE: 00004
SITE: 01 EXT: 212 902 7391 01 EXTENSION DETAIL REPORT DATE:11/22/93
KELLEY, KEVIN M. TIME:23:21:27
DEPARTMENT 0006703 GS CAPITAL
DIVISION 0000350 OTHER DIVISION

SEP. 19 THRU OCT. 18

DATE	TIME	LOCATION CALLED	NUMBER CALLED	CALLS	MINUTES	COST	ROUTE	IDENTIFICATION	ACCOUNT NO
AT&T DOMESTIC VTNS									
10-18	10:16	WASHINGTON DC	202-244-6252		.78	.00	.		
		CATEGORY TOTALS:		160	606.81	75.59			
MCI DOMESTIC CALLS									
10-15	11:33	LITTLEROCK AR	501-372-1992		1.25	.27	.		
		CATEGORY TOTALS:		1	1.25	.27			

00177

9704380327

ATTACHMENT D (7)

CBC:MSD 1/RPT 2 GOLDMAN SACHS & CO PAGE: 30697
DATE: 11/29/91
TIME: 23:21:27

SITE: 01 EXT: 212 902 7591 01 EXTENSION DETAIL REPORT
KELLEY, KEVIN M.
DEPARTMENT 0006103 GS CAPITAL
DIVISION 0000350 OTHER DIVISION

SEP. 19 THRU OCT. 18

DATE	TIME	LOCATION CALLED	NUMBER CALLED	CALLS	MINUTES	COST	ROUTE	IDENTIFICATION	ACCOUNT NO

** SUMMARY FOR EXTENSION **

	CALLS	MINUTES	COST		AVG. MIN/CALL	AVG. COST/CALL	AVG. COST/MIN
EQUIPMENT			~~$[illegible]~~	$72.54			
LOCAL	751	2,811.00	$138.22		3.74	$.18	$.05
LONG DISTANCE	106	738.67	$[illegible]		3.97	$.50	$.13
INTERNATIONAL	8	50.33	$39.34		6.29	$4.92	$.78
INCOMING	0	0.00	$.00	67.45	.00	$.00	$.00
TOLL FREE	0	0.00			.00		
DIRECTORY ASST.	15	5.43	$[illegible]		.42	$.30	$.73
TAX			$36.79				
TOTAL	950	3,605.43	$434.52	$139.99	3.76	$.45	$.12

CONFIDENTIAL

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ATTACHMENT B (S)

GDC:MOD 1/RPT 2 GOLDMAN SACHS & CO PAGE: 29525
DATE: 12/23/91
TIME: 23:16:29

SITE: 01 EXT: 212 902 7391 01 EXTENSION DETAIL REPORT
KELLEY, KEVIN M.
DEPARTMENT 0006103 GS CAPITAL
DIVISION 0060350 OTHER DIVISION

OCT. 19 THRU NOV. 18

VENDOR	GRP/TYPE		DESCRIPTION	UNITS	COST
EQUIPMENT					
IPC	AD	ADDA	DASA D4000 DIRECTORY DIALER	1	13.81
IPC	TP	S40X	SRX 400X/V SET	1	65.52
KYTC	LC	CXLC	CENTREX LINE CHARGE	1	26.00
CATEGORY TOTALS:					125.33

DATE	TIME	LOCATION CALLED	NUMBER CALLED	CALLS	MINUTES	COST	ROUTE	IDENTIFICATION	ACCOUNT NO
LOCAL - NEW YORK CITY									
CATEGORY TOTALS:				636	2,758.00	116.45			
LOCAL - EAST SUFFOLK									
CATEGORY TOTALS:				4	8.00	1.03			
LOCAL - LOWER WESTCHESTER									
CATEGORY TOTALS:				8	19.00	1.59			
LOCAL - UPPER WESTCHESTER									
CATEGORY TOTALS:				1	7.00	1.03			
LOCAL - DIRECTORY ASSIST.									
CATEGORY TOTALS:				44	44.00	22.26			
WATS HARD 0									
11-01	12:30	0000000000 00	518-465-2273		.65	.12	.		
11-03	16:26	0000000000 00	914-235-5857		16.48	2.17	.		
11-05	16:27	0000000000 00	518-465-4747		.07	.13	.		

00179

9704380322 9

ATTACHMENT D (3)

CDC:KDB 1/RPT 2 GOLDMAN SACHS & CO PAGE: 29526
DATE:12/27/91
TIME:23:16:29

SITE: 01 EXT: 212 902 7391 01 EXTENSION DETAIL REPORT
KELLEY, KEVIN M.
DEPARTMENT 0006103 GS CAPITAL
DIVISION 0000350 OTHER DIVISION

OCT. 19 THRU NOV. 18

DATE	TIME	LOCATION CALLED		NUMBER CALLED	CALLS	MINUTES	COST	ROUTE	IDENTIFICATION	ACCOUNT NO
WATS SAND 0										
11-05	18:23	0000000000	00	518-474-8390		.43	.12	.		
11-06	15:10	0000000000	00	516-727-2180		1.15	.25	.		
11-08	16:07	0000000000	00	518-474-8390		.63	.12	.		
11-11	10:19	0000000000	00	518-555-1212		.35	.12	.		
11-11	11:54	0000000000	00	315-448-8005		1.70	.25	.		
11-12	21:20	0000000000	00	315-446-3657		4.93	.64	.		
11-14	17:41	0000000000	00	516-537-1283		.95	.13	.		
11-15	11:20	0000000000	00	716-555-1212		.25	.12	.		
11-16	19:51	0000000000	00	518-439-9544		45.97	5.89	.		
11-19	10:18	0000000000	00	518-473-2389		.32	.12	.		
11-26	18:41	0000000000	00	518-465-2273		.62	.12	.		
11-26	18:45	0000000000	00	518-439-9544		37.87	4.87	.		
		CATEGORY TOTALS:			15	113.17	15.17			
INTRASTATE DDD										
09-24	09:14	ALBANY	NY	518-474-0491		1.00	.30	.		
09-25	11:44	ALBANY	NY	518-447-8500		2.00	.48	.		
09-26	15:33	ALBANY	NY	518-447-7983		12.00	2.23	.		
09-26	15:45	ALBANY	NY	518-465-2273		1.00	.30	.		
09-27	11:54	ALBANY	NY	518-474-0841		2.00	.48	.		
09-27	11:57	ALBANY	NY	518-474-0841		5.00	1.00	.		
09-27	13:03	ALBANY	NY	518-474-0841		1.00	.30	.		
10-02	09:47	ALBANY	NY	518-449-3333		1.00	.30	.		
10-11	19:49	POUGHKEPSI	NY	914-471-7130		12.00	1.74	.		
		CATEGORY TOTALS:			9	37.00	7.13			
AT&T DOMESTIC VTNS										
10-19	09:53	LITTLEROCK	AR	501-376-6884		2.20	.26	.		
10-20	15:39	LITTLEROCK	AR	501-372-1992		.57	.08	.		
10-20	17:57	INFORMATION	CT	203-555-1212		.23	.42	.		
10-20	18:38	ROCKVILLE	MD	301-340-7737		.38	.10	.		
10-20	20:19	LITTLEROCK	AR	501-372-1992		4.32	.49	.		
10-21	08:34	LITTLEROCK	AR	501-372-1992		.47	.16	.		
10-21	10:43	LITTLEROCK	AR	501-372-1992		2.02	.47	.		
10-22	11:55	BOCA RATON	FL	407-362-1500		.33	.16	.		
10-22	12:22	WASHINGTON	DC	202-224-4451		.90	.14	.		
10-22	12:39	LITTLEROCK	AR	501-376-6884		1.17	.32	.		
10-22	12:43	WASHINGTON	DC	202-296-8600		.93	.14	.		

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ATTACHMENT D (18)

CDC:MOD 1/RPT 2 — GOLDMAN SACHS & CO — PAGE: 29527
DATE: 12/27/91
TIME: 23:16:29

SITE: 01 EXT: 212 902 7391 01
KELLEY, KEVIN M.
DEPARTMENT 0006103 GS CAPITAL
DIVISION 0000350 OTHER DIVISION

EXTENSION DETAIL REPORT

OCT. 19 THRU NOV. 18

DATE	TIME	LOCATION CALLED		NUMBER CALLED	CALLS	MINUTES	COST	ROUTE	IDENTIFICATION	ACCOUNT NO
AT&T DOMESTIC VTNS										
10-22	12:44	LITTLEROCK	AR	501-372-1992		2.63	.47	.		
10-22	12:56	LITTLEROCK	AR	501-372-1992		3.63	.64	.		
10-22	13:01	BOSTON	MA	617-367-2894		7.53	1.09	.		
10-22	13:09	BOCA RATON	FL	407-362-1500		.23	.16	.		
10-22	14:13	WASHINGTON	DC	202-296-8600		8.73	1.23	.		
10-22	14:57	LITTLEROCK	AR	501-372-1992		.83	.16	.		
10-22	15:39	LITTLEROCK	AR	501-372-1992		1.33	.32	.		
10-22	15:51	LITTLEROCK	AR	501-372-1992		.30	.16	.		
10-22	16:15	LITTLEROCK	AR	501-372-1992		.27	.16	.		
10-22	16:16	WASHINGTON	DC	202-224-4451		1.07	.27	.		
10-22	17:00	LITTLEROCK	AR	501-372-1992		7.08	.84	.		
10-22	18:01	LITTLEROCK	AR	501-372-1992		2.05	.29	.		
10-22	18:36	WASHINGTON	DC	202-662-7200		1.42	.19	.		
10-22	19:14	LOSANGELES	CA	213-972-7519		12.78	1.27	.		
10-22	19:44	SAN RAFAEL	CA	415-499-1400		13.70	1.37	.		
10-22	20:21	BETHESDA	MD	301-229-1531		.45	.10	.		
10-22	20:23	WASHINGTON	DC	202-546-8223		.47	.10	.		
10-22	20:24	EUGENE	OR	503-484-2116		29.15	2.92	.		
10-22	20:54	WASHINGTON	DC	202-244-6252		5.62	.57	.		
10-22	21:13	LITTLEROCK	AR	501-372-1992		.87	.10	.		
10-22	21:15	INFRMATION	NJ	201-555-1212		.65	.42	.		
10-23	08:23	WASHINGTON	DC	202-244-6252		21.23	3.00	.		
10-23	08:46	PATERSON	NJ	201-345-9200		.10	.12	.		
10-23	09:44	WASHINGTON	DC	202-662-7216		15.53	2.18	.		
10-23	10:03	STAMFORD	CT	203-328-0655		.73	.12	.		
10-23	10:07	WASHINGTON	DC	202-296-8600		3.38	.55	.		
10-23	10:19	LITTLEROCK	AR	501-372-1992		4.12	.79	.		
10-23	12:18	LITTLEROCK	AR	501-376-6084		1.08	.32	.		
10-23	13:05	LITTLEROCK	AR	501-372-1992		.28	.16	.		
10-23	13:27	LITTLEROCK	AR	501-372-1992		.75	.16	.		
10-23	14:19	STAMFORD	CT	203-961-7400		.77	.12	.		
10-23	15:51	WASHINGTON	DC	202-429-8744		5.40	.82	.		
10-23	16:06	LITTLEROCK	AR	501-372-1992		2.92	.47	.		
10-25	11:29	FLS CHURCH	VA	703-237-5130		.83	.14	.		
10-25	12:32	WASHINGTON	DC	202-244-6252		.53	.14	.		
10-25	13:42	LITTLEROCK	AR	501-372-1992		.48	.16	.		
10-25	15:11	LITTLEROCK	AR	501-372-1992		1.07	.32	.		
10-25	16:47	WASHINGTON	DC	202-244-6252		4.85	.68	.		
10-28	08:55	WASHINGTON	DC	202-544-6093		.68	.14	.		
10-28	08:58	WASHINGTON	DC	202-244-6252		28.92	3.96	.		
10-28	11:24	HACKENSACK	NJ	201-342-9500		.82	.12	.		
10-28	11:54	BOSTON	MA	617-534-1225		.57	.14	.		

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ATTACHMENT B (11)

CDC:NOD 1/RPT 2 — GOLDMAN SACHS & CO — PAGE: 29326
SITE: 01 EXT: 212 902 7391 01 — EXTENSION DETAIL REPORT — DATE: 12/27/97
KELLEY, KEVIN M. — TIME: 23:56:59
DEPARTMENT 0005103 US CAPITAL
DIVISION 0000550 OTHER DIVISION

OCT. 19 THRU NOV. 18

DATE	TIME	LOCATION CALLED		NUMBER CALLED	CALLS	MINUTES	COST	ROUTE	IDENTIFICATION	ACCOUNT NO
ATST DOMESTIC VTNS										
10-28	12:00	FLS CHURCH	VA	703-237-5130		.65	.14	.		
10-28	12:31	MANCHESTER	NH	603-626-1000		1.77	.27	.		
10-28	12:38	SACRAMENTO	CA	916-445-6131		.27	.16	.		
10-28	12:42	LITTLEROCK	AR	501-372-1992		1.58	.32	.		
10-28	13:45	LITTLEROCK	AR	501-372-1992		.48	.16	.		
10-28	13:50	LITTLEROCK	AR	501-372-1992		1.95	.32	.		
10-28	14:18	WASHINGTON	DC	202-338-8700		.28	.14	.		
10-28	16:59	WASHINGTON	DC	202-429-8744		.18	.14	.		
10-28	17:26	LITTLEROCK	AR	501-372-1992		.85	.10	.		
10-29	09:12	LITTLEROCK	AR	501-372-1992		1.52	.32	.		
10-29	09:25	WASHINGTON	DC	202-338-8700		3.03	.55	.		
10-29	13:24	LITTLEROCK	AR	501-372-1992		1.92	.32	.		
10-29	14:47	LITTLEROCK	AR	501-372-2292		.08	.16	.		
10-29	15:27	LITTLEROCK	AR	501-372-1992		2.33	.47	.		
10-29	15:49	LITTLEROCK	AR	501-372-1992		2.13	.47	.		
10-29	15:51	WASHINGTON	DC	202-429-8744		.43	.14	.		
10-29	16:50	BOSTON	MA	617-536-1225		4.13	.68	.		
10-30	09:31	LITTLEROCK	AR	501-372-1992		3.88	.64	.		
10-30	09:45	LITTLEROCK	AR	501-372-1992		2.73	.47	.		
10-30	10:15	LITTLEROCK	AR	501-372-1992		.45	.16	.		
10-30	11:11	WYCOMBE	PA	215-598-0401		.32	.14	.		
10-30	12:09	SACRAMENTO	CA	916-445-6131		.23	.16	.		
10-30	12:57	LITTLEROCK	AR	501-372-1992		.68	.16	.		
10-30	13:00	LITTLEROCK	AR	501-682-2545		.58	.16	.		
10-30	13:15	WASHINGTON	DC	202-429-8744		34.12	4.78	.		
10-30	15:39	SACRAMENTO	CA	916-445-6131		.30	.16	.		
10-30	17:34	WASHINGTON	DC	202-586-8223		.05	.10	.		
10-30	17:36	SAN RAFAEL	CA	415-499-1400		.18	.10	.		
10-30	17:36	LOSANGELES	CA	213-972-7519		.90	.10	.		
10-30	17:49	LITTLEROCK	AR	501-372-1992		.95	.10	.		
10-30	18:17	LITTLEROCK	AR	501-372-1992		1.87	.20	.		
10-31	10:22	LITTLEROCK	AR	501-372-1992		5.18	.95	.		
10-31	11:14	FLS CHURCH	VA	703-237-5130		.70	.14	.		
10-31	11:23	WASHINGTON	DC	202-338-8700		1.43	.27	.		
10-31	11:57	LITTLEROCK	AR	501-372-1992		.47	.16	.		
10-31	12:19	LITTLEROCK	AR	501-372-1992		1.57	.32	.		
10-31	12:32	LITTLEROCK	AR	501-372-1992		1.58	.32	.		
10-31	13:12	SACRAMENTO	CA	916-445-6131		.30	.16	.		
10-31	13:21	HACKENSACK	NJ	201-342-9500		1.27	.23	.		
10-31	14:16	WASHINGTON	DC	202-429-8744		.47	.14	.		
10-31	14:17	WASHINGTON	DC	202-248-6252		11.32	1.64	.		
10-31	15:03	WASHINGTON	DC	202-624-7668		4.70	.68	.		

29100

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ATTACHMENT D (12)

CDC:MUD 1/RPT 2 GOLDMAN SACHS & CO PAGE: 29322
DATE: 12/27/91
SITE: 01 EXT: 212 902 7391 01 EXTENSION DETAIL REPORT TIME: 23:16:22
KELLEY, KEVIN M.
DEPARTMENT 0006105 GS CAPITAL
DIVISION 0000350 OTHER DIVISION

OCT. 19 THRU NOV. 18

DATE	TIME	LOCATION CALLED		NUMBER CALLED	CALLS	MINUTES	COST	ROUTE	IDENTIFICATION	ACCOUNT NO
AT&T DOMESTIC VTNS										
10-31	15:13	WASHINGTON	DC	202-544-6093		4.52	.68	.		
10-31	16:22	LITTLEROCK	AR	501-372-1992		1.77	.32	.		
10-31	16:59	LITTLEROCK	AR	501-372-1992		.47	.16	.		
10-31	17:49	WASHINGTON	DC	202-457-6474		.45	.10	.		
10-31	18:30	WASHINGTON	DC	202-546-0007		6.68	.66	.		
10-31	18:48	LEXINGTON	MA	617-532-1225		.27	.10	.		
10-31	18:49	BROOKLINE	MA	617-232-5990		31.58	3.02			
11-01	09:55	LITTLEROCK	AR	501-372-1992		5.48	.95	.		
11-01	10:42	BOCA RATON	FL	407-362-1500		3.58	.64	.		
11-01	11:40	LITTLEROCK	AR	501-372-1992		.40	.16	.		
11-01	11:48	BOCA RATON	FL	407-362-1500		.22	.16	.		
11-01	11:57	WASHINGTON	DC	202-429-8744		.73	.14	.		
11-01	14:25	BOCA RATON	FL	407-362-1500		1.15	.32	.		
11-01	15:42	ORANGE	NJ	201-731-6800		5.80	.70	.		
11-01	17:20	WASHINGTON	DC	202-544-5265		1.15	.19	.		
11-01	17:42	SACRAMENTO	CA	916-445-6131		.17	.10	.		
11-01	17:43	SAN RAFAEL	CA	415-499-1400		.42	.10	.		
11-01	17:53	CAMBRIDGE	MA	617-495-1360		.35	.10	.		
11-01	18:23	LITTLEROCK	AR	501-372-1992		2.22	.29	.		
11-03	19:18	WASHINGTON	DC	202-546-8223		19.62	1.89	.		
11-04	11:02	LITTLEROCK	AR	501-372-1992		.40	.16	.		
11-04	11:49	LITTLEROCK	AR	501-372-1992		1.85	.32	.		
11-04	12:35	STAMFORD	CT	203-328-0655		.37	.12	.		
11-04	12:43	HOUSTON	TX	713-963-8810		1.30	.32	.		
11-04	16:17	LITTLEROCK	AR	501-372-1992		11.35	1.90	.		
11-04	16:30	WASHINGTON	DC	202-429-8744		.28	.14	.		
11-04	19:12	WASHINGTON	DC	202-244-6252		7.57	.75	.		
11-05	10:45	LITTLEROCK	AR	501-372-1992		12.63	2.06	.		
11-05	11:05	CAMBRIDGE	MA	617-495-1360		.38	.14	.		
11-05	11:14	CAMBRIDGE	MA	617-495-1360		7.45	1.09	.		
11-05	11:29	HACKENSACK	NJ	201-646-5587		1.85	.23	.		
11-05	12:51	WASHINGTON	DC	202-429-8744		10.37	1.50	.		
11-05	15:22	LITTLEROCK	AR	501-372-1992		.93	.16	.		
11-05	19:00	INFRMATION	CT	203-555-1212		.58	.42	.		
11-05	19:02	WASHINGTON	DC	202-457-6474		.38	.10	.		
11-05	19:29	WYCOMBE	PA	215-598-0401		7.23	.75	.		
11-05	19:37	BALTIMORE	MD	301-467-6139		22.72	2.17	.		
11-05	20:01	WASHINGTON	DC	202-244-6252		10.20	1.04	.		
11-06	09:30	WASHINGTON	DC	202-429-8744		9.33	1.37	.		
11-06	12:30	LITTLEROCK	AR	501-372-1992		.33	.16	.		
11-06	14:17	BOCA RATON	FL	407-362-1500		.75	.16	.		
11-06	15:39	LITTLEROCK	AR	501-372-1992		2.18	.47	.		

CONFIDENTIAL

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ATTACHMENT D (13)

CDC:HED 1/RPT 2 GOLDMAN SACHS & CO PAGE: [illegible]
DATE: 12/27/91
TIME: 23:16:29

SITE: 01 EXT: 212 902 7391 01 EXTENSION DETAIL REPORT
KELLEY, KEVIN M.
DEPARTMENT 0006103 OS CAPITAL
DIVISION 0000350 OTHER DIVISION

OCT. 19 THRU NOV. 18

DATE	TIME	LOCATION CALLED	NUMBER CALLED	CALLS	MINUTES	COST	ROUTE	IDENTIFICATION	ACCOUNT NO
AT&T DOMESTIC VTNS									
11-06	15:56	LITTLEROCK AR	501-372-1992		.85	.16	.		
11-06	17:52	WASHINGTON DC	202-296-8600		2.07	.29	.		
11-06	18:03	WASHINGTON DC	202-429-8744		.40	.10	.		
11-06	18:14	WASHINGTON DC	202-544-6093		.62	.10	.		
11-06	18:42	LITTLEROCK AR	501-372-1992		1.12	.20	.		
11-06	21:15	WASHINGTON DC	202-429-8744		5.65	.57	.		
11-06	21:22	SACRAMENTO CA	916-445-6131		1.17	.20	.		
11-06	21:25	WASHINGTON DC	202-429-8744		20.45	1.98	.		
11-07	10:37	LITTLEROCK AR	501-372-1992		.18	.16	.		
11-07	10:39	LITTLEROCK AR	501-372-1992		1.25	.32	.		
11-07	12:11	LITTLEROCK AR	501-372-1992		3.02	.64	.		
11-08	09:29	LITTLEROCK AR	501-372-1992		.82	.16	.		
11-08	10:20	LITTLEROCK AR	501-372-1992		8.18	1.43	.		
11-08	11:59	LITTLEROCK AR	501-372-1992		3.47	.64	.		
11-08	13:37	WASHINGTON DC	202-544-6093		3.58	.55	.		
11-08	17:01	LITTLEROCK AR	501-372-1992		3.02	.39	.		
11-08	19:06	LITTLEROCK AR	501-372-1992		5.77	.59	.		
11-08	19:38	LITTLEROCK AR	501-372-1992		5.43	.59	.		
11-09	11:25	ROCKVILLE MD	301-279-7997		5.57	.47	.		
11-11	09:36	LITTLEROCK AR	501-372-1992		1.25	.32	.		
11-11	10:36	LITTLEROCK AR	501-372-1992		2.80	.47	.		
11-11	11:16	LITTLEROCK AR	501-372-1992		.67	.16	.		
11-11	11:21	LITTLEROCK AR	501-372-1992		1.52	.32	.		
11-11	11:28	LITTLEROCK AR	501-376-6884		.20	.16	.		
11-11	11:32	LITTLEROCK AR	501-372-1992		2.18	.47	.		
11-11	12:05	LITTLEROCK AR	501-372-1992		7.57	1.27	.		
11-11	12:35	WASHINGTON DC	202-429-8744		.40	.14	.		
11-11	13:05	LITTLEROCK AR	501-372-1992		.93	.16	.		
11-11	13:07	WILMINGTON DE	302-654-6162		.56	.14	.		
11-11	13:37	WASHINGTON DC	202-775-8213		.96	.14	.		
11-11	13:51	LITTLEROCK AR	501-372-1992		1.63	.32	.		
11-11	13:53	LITTLEROCK AR	501-372-1992		.07	.16	.		
11-11	16:03	LITTLEROCK AR	501-372-1992		1.70	.32	.		
11-11	16:31	LITTLEROCK AR	501-372-1992		.78	.16	.		
11-11	16:44	LITTLEROCK AR	501-372-1992		2.32	.47	.		
11-11	16:54	LITTLEROCK AR	501-372-1992		3.27	.64	.		
11-11	16:57	LITTLEROCK AR	501-376-6884		.67	.16	.		
11-11	17:06	EATONTOWN NJ	908-389-8181		.28	.08	.		
11-11	17:07	ORANGE NJ	201-731-6800		.67	.08	.		
11-11	17:08	BURBANK CA	818-954-2929		2.68	.29	.		
11-11	18:31	LITTLEROCK AR	501-372-1992		12.27	1.27	.		
11-12	10:36	WASHINGTON DC	202-457-6474		9.68	1.37	.		

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CONFIDENTIAL

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ATTACHMENT D (14)

CPG:MOD 1/RPT 2 GOLDMAN SACHS & CO PAGE: 25531
DATE: 12/27/91
TIME: 23:16:39

SITE: 01 EXT: 212 902 7391 01 EXTENSION DETAIL REPORT
KELLEY, KEVIN M.
DEPARTMENT 0006103 GS CAPITAL
DIVISION 0000350 OTHER DIVISION

OCT. 19 THRU NOV. 18

DATE	TIME	LOCATION CALLED		NUMBER CALLED	CALLS	MINUTES	COST	ROUTE	IDENTIFICATION	ACCOUNT NO
AT&T DOMESTIC VTNS										
11-12	12:57	FRMT NWRK	CA	415-471-7300		.13	.16	.		
11-12	13:32	GRADELL	NJ	201-262-7000		.82	.12	.		
11-12	13:58	WASHINGTON	DC	202-544-6093		1.95	.27	.		
11-12	14:01	WASHINGTON	DC	202-544-6093		2.98	.41	.		
11-12	16:09	LITTLEROCK	AR	501-372-1992		.42	.16	.		
11-12	16:32	WASHINGTON	DC	202-544-6093		1.75	.27	.		
11-12	16:50	WASHINGTON	DC	202-338-8700		1.67	.27	.		
11-12	16:54	PROVIDENCE	RI	401-277-2601		1.72	.27	.		
11-12	17:17	LITTLEROCK	AR	501-372-1992		.52	.10	.		
11-12	17:18	WASHINGTON	DC	202-544-6093		1.50	.19	.		
11-12	18:00	LITTLEROCK	AR	501-372-1992		.55	.10	.		
11-12	19:47	LITTLEROCK	AR	501-372-1992		3.98	.39	.		
11-13	09:17	WASHINGTON	DC	202-544-6093		.78	.14	.		
11-13	09:41	LITTLEROCK	AR	501-372-1992		2.97	.47	.		
11-13	10:20	LITTLEROCK	AR	501-372-1992		10.05	1.74	.		
11-14	15:02	WASHINGTON	DC	202-544-6093		1.68	.27	.		
11-14	16:42	LITTLEROCK	AR	501-372-1992		1.42	.32	.		
11-14	19:25	JERSEYCITY	NJ	201-653-4697		5.32	.44	.		
11-15	08:52	LITTLEROCK	AR	501-372-1992		.38	.16	.		
11-15	08:57	LITTLEROCK	AR	501-372-1992		.27	.16	.		
11-15	08:59	WASHINGTON	DC	202-429-8744		.35	.14	.		
11-15	09:58	BRIDGEPORT	CT	203-382-0655		.23	.12	.		
11-15	10:01	STAMFORD	CT	203-328-0655		.50	.12	.		
11-15	10:42	BIRMINGHAM	AL	205-326-3366		2.55	.45	.		
11-15	10:45	CHICAGO	IL	312-944-7737		2.50	.45	.		
11-15	10:48	LITTLEROCK	AR	501-372-1992		1.30	.32	.		
11-15	11:02	LITTLEROCK	AR	501-372-1992		.60	.16	.		
11-15	11:05	PROVIDENCE	RI	401-277-2601		2.00	.27	.		
11-15	13:44	LITTLEROCK	AR	501-372-1992		1.02	.32	.		
11-15	13:46	LITTLEROCK	AR	501-372-1992		1.08	.32	.		
11-15	14:24	LITTLEROCK	AR	501-372-1992		.78	.16	.		
11-15	14:52	LITTLEROCK	AR	501-372-1992		3.87	.64	.		
11-15	15:54	LITTLEROCK	AR	501-372-1992		2.90	.47	.		
11-15	17:47	LITTLEROCK	AR	501-372-1992		.48	.10	.		
11-15	17:48	CHICAGO	IL	312-944-7737		14.42	1.46	.		
11-15	17:50	LITTLEROCK	AR	501-372-1992		5.68	.59	.		
11-15	17:59	PROVIDENCE	RI	401-277-2601		2.85	.29	.		
11-15	18:10	LITTLEROCK	AR	501-372-1992		2.13	.29	.		
11-15	19:20	LITTLEROCK	AR	501-372-1992		4.08	.49	.		
11-15	19:24	LITTLEROCK	AR	501-372-1992		.15	.10	.		
11-16	18:19	LITTLEROCK	AR	501-372-1992		18.23	1.64	.		
11-16	20:38	LITTLEROCK	AR	501-372-1992		1.15	.18	.		

CONFIDENTIAL

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ATTACHMENT D (15)

CUC:MCD 1/RPT 2 GOLDMAN SACHS & CO PAGE: [illegible]
DATE: 12/27/[illegible]
TIME: 23:[illegible]

SITE: 61 EXT: 212 902 7391 01 EXTENSION DETAIL REPORT
KELLEY, KEVIN M.
DEPARTMENT 0006103 US CAPITAL
DIVISION 0000350 OTHER DIVISION

OCT. 19 THRU NOV. 15

DATE	TIME	LOCATION CALLED	NUMBER CALLED	CALLS	MINUTES	COST	ROUTE	IDENTIFICATION	ACCOUNT NO
AT&T DOMESTIC VTNS									
11-16	20:42	DELRAY BCH FL	407-274-9583		.55	.08	.		
11-17	17:55	LITTLEROCK AR	501-372-1992		.73	.10	.		
11-17	18:59	LITTLEROCK AR	501-372-1992		.63	.10	.		
11-17	19:22	LITTLEROCK AR	501-372-1992		6.18	.68	.		
11-17	19:52	LITTLEROCK AR	501-372-1992		.22	.10	.		
11-18	12:05	LITTLEROCK AR	501-372-1992		1.22	.32	.		
11-18	12:07	LITTLEROCK AR	501-372-1992		1.18	.32	.		
11-18	12:35	LITTLEROCK AR	501-372-1992		1.77	.32	.		
11-18	12:38	WASHINGTON DC	202-296-8600		.30	.14	.		
11-18	12:55	WASHINGTON DC	202-863-1500		.93	.14	.		
11-18	13:15	WASHINGTON DC	202-783-6220		.03	.14	.		
11-18	17:12	LITTLEROCK AR	501-372-1992		.23	.10	.		
11-18	17:32	WASHINGTON DC	202-944-1983		1.87	.19	.		
11-18	17:41	WASHINGTON DC	202-775-8213		.57	.10	.		
11-18	17:49	LITTLEROCK AR	501-372-1992		2.47	.29	.		
		CATEGORY TOTALS:		236	763.01	111.01			

** SUMMARY FOR EXTENSION **

	CALLS	MINUTES	COST	AVG. MIN/CALL	AVG. COST/CALL	AVG. COST/MIN
EQUIPMENT			$125.33			
LOCAL	743	2,036.00	$143.18	3.82	$.19	$.05
LONG DISTANCE	255	911.12	$131.81	3.57	$.52	$.14
INTERNATIONAL	0	0.00	$.00	.00	$.00	$.00
INCOMING	0	0.00	$.00	.00	$.00	$.00
TOLL FREE	0	0.00		.00		
DIRECTORY ASST.	5	2.06	$1.50	.41	$.30	$.73
TAX			$40.00			
TOTAL	1,003	3,749.18	$441.02	3.74	$.44	$.12

CONFIDENTIAL

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CONFIDENTIAL

Mad Somme

MEMORANDUM

To: Allen Northern

From: Ed Unger

Date: January 22, 1992

Re: COST OF A VP OFFICE

The cost of a VP office including rent, utilities, and depreciation on furniture is:

147 rentable square feet per office

÷ 16,175 rentable square feet on the 19th floor

X $235,989 per month on the 19th floor

$ 2,145 per month per VP office

or 292 usuable square feet per VP office

X $7.33 per month per foot

$2,140 per month per VP office

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CONFIDENTIAL

Harry Silver

Except for the office rental - this bill is close enough to warrant no further time. My suggestion as to fair market price

Reimbursable Expenses – Paul Carey

Category	Amount
Office Rental (1)	~~$3,475.00~~ $2 \times \frac{30}{12} \times 150 = \$750$
Photocopying (2)	2,200.00
Word Processing (3)	40.50
Messenger (4)	84.48
Telephone (5)	581.91
Total	~~$6,880.88~~

for the real estate rental is $750. This is well within the range of fair values given all the facts and circumstances

Ken Brody

(1) For the period 10/1/91 through 11/30/91. Based on rates given to us by Headquarters Companies, a real estate agency, of $1,500.00 per month for a 150 square foot office and a one-time installation charge of $475.00 for furniture and telephone. Fax and office support also included.
(2) Based on outside vendor rates of $25.00 per thousand for black & white copies and $0.95 each for color copies (see Attachment A).
(3) Based on outside vendor rates of $23.00 per hour for daytime word processing and $28.00 per hour for evening word processing (see Attachment B).
(4) Includes both Brody and Carey personal charges (see Attachment C).
(5) Based on the period 10/1/91 through 11/18/91 (see Attachment D).

as we discussed - if you think necessary, let's discuss with Guldt with

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CONFIDENTIAL

Goldman Sachs

Date 1/27/92

To Harvey Eisner

From V. ALLEN NORTHERN.

Century 21 Real Estate

120 sq ft. office
with windows in
downtown law firm
$2,000 per month
includes existing telephone
desk - 2 chairs
Credenza
minimal office support,
e.g., typing, answer phones,
faxing -
use of conference room
would be additional.
no clients allowed to meet
must pay all telephone charges.
fax charges

Goldman Sachs

Date

To

From V. ALLEN NORTHERN.

Headquarters Companies
53 Wall St.
858-7500
$1,500 per month
120 sq ft. office w/window
includes office
support, general
office equipment
one time charge of
$475.00 furniture +
phone
installation

00189

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CONFIDENTIAL

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MEMORANDUM

To: Paul Carey
c/o Ken Brody

From: Harry Silver
Allen Northern

Date: January 21, 1992

Re: **REIMBURSABLE EXPENSES**

We have attached an invoice for $6,390.88 which reflects our best estimate of services provided to Paul Carey during October and November 1991. The estimates are based on actual services provided valued at "market" prices for each service. Please review the attached detail and submit a check made out to Goldman, Sachs & Co.

Please call one of us if you have any questions.

1/21

cc: Jonathan Cohen
Judd Sommer
Arline Mann

Attachment

To - Harry Silver
Most of what you have here is incorrect to a very substantial degree. It almost looks to me like a non-professional approach that is dressed up to appear somewhere other than it is
Please discuss without
Ken Brody

CC - Jon Cohen
Judd Sommer
Arline Mann

9704380324C

00190

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CONFIDENTIAL

MEMORANDUM

To: Allen Northern

From: Ed Unger

Date: January 22, 1992

Re: COST OF A VP OFFICE

The cost of a VP office including rent, utilities, and depreciation on furniture is:

147 rentable square feet per office

÷ 16,175 rentable square feet on the 19th floor

X $235,989 per month on the 19th floor

$ 2,143 per month per VP office

or 292 usuable square feet per VP office

X $7.33 per month per foot

$2,140 per month per VP office

9704380324 1

CONFIDENTIAL

MEMORANDUM

To: Paul Carey
c/o Ken Brody

From: Harry Silver
Allen Northern

Date: February 19, 1992

Re: **REIMBURSABLE EXPENSES – FINAL ESTIMATE**

We have attached an invoice for $3,838.52 which reflects our final estimate of services provided to Paul Carey during October and November 1991. The estimates are based on actual services provided valued at "market" prices for each service. Please review the attached detail and submit a check made out to Goldman, Sachs & Co.

Please call one of us if you have any questions.

cc: Jonathan Cohen
Judd Sommer
Arlina Mann

Attachment

9704380032242

Goldman Sachs & Co. | 85 Broad Street | New York, New York 10004
Tel 212-902-1000

CONFIDENTIAL

February 19, 1992

INVOICE

	AMOUNT
Paul Carey -- Reimbursable Expenses	$3,838.52

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00194

Reimbursable Expenses -- Paul Carey

Category	Amount
Office Rental (1)	$750.00
Photocopying (2)	2,200.00
Word Processing (3)	49.59
Messenger (4)	84.48
Telephone (5)	754.45
Total	$3,838.52

(1) For the period 10/1/91 through 11/30/91. Based on estimates provided by Ken Brody given his best judgment on the space he could have secured for Paul Carey: $30.00 per square foot for a 150-square foot office for two months.
(2) Based on outside vendor rates of $25.00 per thousand for black & white copies and $0.95 each for color copies (see Attachment A).
(3) Based on outside vendor rates of $23.00 per hour for daytime word processing and $28.00 per hour for evening word processing (see Attachment B).
(4) Includes both Brody and Carey personal charges (see Attachment C).
(5) Based on the period 10/1/91 through 12/6/91 (see Attachment D).

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GLOBAL FINANCE PRODUCTION CHARGES

ATTACHMENT A

DATE: DECEMBER 1991

DATE	NUMB	REQUESTOR	SYNDICATE	TOA	# OF COPIES
12/20/91		WARGO	PEGASUS	X	650
12/11/91		DURYEA	PENROD DRILLING	F	2
12/12/91		DURYEA	PENROD DRILLING	D	1
12/16/91		BILEN	PERSONAL	FE	1
12/18/91		BILEN	PERSONAL	FE	1
12/11/91		BRODY	PERSONAL	CX	2000
12/11/91		BRODY	PERSONAL	X	12000
12/18/91		CONNOR	PERSONAL	FE	1
12/23/91		MERIWETHER	PERSONAL	FE	2
12/07/91		TUNG	PERSONAL	F	3
12/09/91		LAM	PETRUS	CX	14
12/02/91		LAM	PETRUS	CX	36
12/02/91		LAM	PETRUS	X	50
12/20/91		COMISAR	PHELPS	X	267
12/20/91		COMISAR	PHELPS	CX	16
12/13/91		PATRICK	PI	CX	20
12/10/91		PATRICK	PI	T	1
12/12/91		PATRUCK	PI	CX	54
12/12/91		PATRUCK	PI	X	181
12/07/91		BRODY	PIA	X	200
12/04/91		BRODY	PIA	X	1300
12/09/91		BRODY	PIA	F	6
12/04/91		BRODY	PIA	X	200
12/10/91		BRODY	PIA	X	480
12/08/91		BRODY	PIA	CX	150
12/05/91		BRODY	PIA	X	800
12/02/91		BRODY	PIA	X	215
12/04/91		BRODY	PIA	X	100
12/04/91		BRODY	PIA	X	100
12/04/91		BRODY	PIA	X	300
12/12/91		BU	PIA	X	85
12/16/91		BU	PIA	M	5
12/11/91		BU	PIA	X	200
12/02/91		BU	PIA	X	418

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ATTACHMENT B

Date: 12/22 - 12/23/91

Banker 96T00	Project	Dept/ Floor	Time Arrived	Time Due	Time Began	Time Finish	Operator	Comments
PKORVAL	PKORVAL		8:49 PM	9:30	8:49 PM	9:40	CMW	12/22/91
PKORVAL	PKORVAL		11:00 PM	-	11:02 PM	11:45	CMW	12/22/91
PKORVAL	PKORVAL		12:25 AM	RUSH	12:25	12:45	CMW	12/23/91

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9704380246

ATTACHMENT C (1)

PASSENGER PACKAGE CENTER - DEPT 300

DEPARTMENT SUMMARY REPORT

TICKET NUMBER	DATE	PICK-UP ADDRESS	DELIVERY ADDRESS	BASE COST	RETURN COST	TOTAL COST	TYPE OF SERVICE	REQUESTED BY	REMARKS
* DEPARTMENT 0001-036-105									
42626	10/28/91	8519	9 W. 57TH ST	6.18	0.00	6.18	UPTWN RUSH	BRODY	/GEN BUS /
42334	11/01/91	8519	1330 6TH AVE	6.18	0.00	6.18	UPTWN RUSH	BRODY	/GEN BUS /
42423	11/07/91	8519	11 BWAY	5.67	0.00	5.67	DWNTN HOUR	BRODY	/GEN BUS /
83667	11/13/91	8519	245 PARK AVE	6.18	0.00	6.18	UPTWN RUSH	BRODY	/GEN BUS /
83666	11/13/91	8519	27 W. 44TH ST	7.73	0.00	7.73	UPTWN HOUR	BRODY	/GEN BUS /
83665	11/13/91	8519	540 PARK AVE	6.18	0.00	6.18	UPTWN RUSH	BRODY	/GEN BUS /
42421	11/15/91	8519	375 SOUTHEND	4.64	2.00	6.64	DWNTN RUSH	BRODY	/GEN BUS /
42422	11/15/91	8519	120 E. 23RD ST	6.18	0.00	6.18	UPTWN RUSH	BRODY	/GEN BUS /
42423	11/15/91	8519	153 E. 53RD ST	7.73	0.00	7.73	UPTWN HOUR	BRODY	/PERSONAL (CAREY) /
42420	11/18/91	8519	1601 PARK AVE	7.73	2.00	9.73	UPTWN HOUR	BRODY	/GEN BUS /
42419	11/19/91	8519	132 W. 43RD ST	7.73	0.00	7.73	UPTWN HOUR	BRODY	/GEN BUS /
42418	11/19/91	7 WTC	8519	5.67	0.00	5.67	DWNTN HOUR	BRODY	/GEN BUS /
42417	11/19/91	8519	75 VARICK ST	6.18	0.00	6.18	UPTWN RUSH	BRODY	/PERSONAL (CAREY) /
04554	11/20/91	8519	11 BWAY	5.67	0.00	5.67	DWNTN HOUR	BRODY	/GEN BUS /
99883	11/20/91	8519	1330 6TH AVE	6.18	0.00	6.18	UPTWN RUSH	BRODY	/PERSONAL /
42[illegible]	11/21/91	8519	111 W. 57TH ST	6.18	0.00	6.18	UPTWN RUSH	BRODY	/PERSONAL (CAREY) /
99823	11/22/91	8519	535 MADISON AVE	6.18	0.00	6.18	UPTWN RUSH	BRODY	/PERSONAL (CAREY) /
99824	11/22/91	8519	605 3RD AVE	6.18	0.00	6.18	UPTWN RUSH	BRODY	/PERSONAL /
99825	11/22/91	8519	445 PARK AVE	6.18	0.00	6.18	UPTWN RUSH	BRODY	/PERSONAL /
04553	11/25/91	8519	75 VARICK ST	6.18	0.00	6.18	UPTWN RUSH	BRODY	/PERSONAL (CAREY) DONE 11-15-91/
04556	11/27/91	8519	11 BWAY	5.67	0.00	5.67	DWNTN HOUR	BRODY	/GEN BUS /
42500	11/27/91	211 W. 67TH ST	8519	6.18	0.00	6.18	UPTWN RUSH	BRODY	/GEN BUS /
0567	10/29/91	8519	32 OLD SLIP	2.00	2.00	4.00	INTERNAL	CHARTENER	/FEAT /
0593	11/27/91	8519	45 ROCK PLAZA	6.18	0.00	6.18	UPTWN RUSH	CHARTENER	/5495 /
94611	10/30/91	8519	125 BROAD ST	5.67	0.00	5.67	DWNTN HOUR	COGAN	/GEN BUS /
7908	11/04/91	8519	451 W. BWAY	7.73	0.00	7.73	UPTWN HOUR	COGAN	/GEN BUS /
7912	11/19/91	8519	545 MADISON ST	7.73	0.00	7.73	UPTWN HOUR	COGAN	/GEN BUS PIA /
7916	11/19/91	8519	451 W. BWAY	6.18	0.00	6.18	UPTWN RUSH	COGAN	/GEN BUS PIA /
[illegible]	11/15/91	111 8th AVE	8519	6.18	0.00	6.18	UPTWN RUSH	FUREY	
26092	11/27/91	1[illegible] PARK AVE	8519	16.48	9.00	25.48	MANHATTAN MOTOR	GONZALES	/ GEN BUS PC EQUIPMENT /
0582	10/30/91	8519	52 OLD SLIP	2.00	2.00	4.00	INTERNAL	HEADLEY	/PA /

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ATTACHMENT C (2)

00398

MESSENGER PACKAGE CENTER - DEPT 390

DEPARTMENT SUMMARY REPORT

KEY NO.	DATE	PICK-UP ADDRESS	DELIVERY ADDRESS	BASE COST	RETURN COST	TOTAL COST	TYPE OF SERVICE	REQUESTED BY	REMARKS	
[illegible]	[illegible]/91	8519	509 LEXINGTON	6.18	0.00	6.18	UPTWN RUSH	HEADLEY	/BROADCAST	/
561	11/05/91	8519	60 WALL ST	5.67	0.00	5.67	DWNTN HOUR	HEADLEY	/BROADCAST	/2905
562	11/07/91	8519	31 W. 52ND ST	7.73	0.00	7.73	UPTWN HOUR	HEADLEY	/RICHTER	/5409
84	11/08/91	8519	31 W. 52ND ST	6.18	0.00	6.18	UPTWN RUSH	HEADLEY	/RICHTER	/5409
96	11/13/91	8519	32 OLD SLIP	2.00	2.00	4.00	INTERNAL	LUTZ	/FEAT	/
05	11/06/91	8519	1 FINANCIAL SQ	5.67	2.00	7.67	DWNTN HOUR	MEHRA	/SINK	/5442
37	11/14/91	8519	1 NY PLAZA	5.67	0.00	5.67	DWNTN HOUR	MEHRA	/GEN BUS	/
805	10/29/91	8519	7 WTC	4.64	0.00	4.64	DWNTN RUSH	MENDELL	89-103/FENZOD	/5412
15	10/30/91	8519	1 FINANCIAL SQ	2.00	2.00	4.00	INTERNAL	PATEL	/THE PRINCIPAL INVEST ARES	/
58	11/22/91	8519	299 PARK AVE	4.64	0.00	4.64	UPTWN SCHED	RIVERA	/GEN BUS	/
80	11/06/91	8519	787 7TH AVE	6.18	0.00	6.18	UPTWN RUSH	SACERDOTE	/GEN BUS	/
89	11/07/91	8519	256 PARK AVE	6.18	0.00	6.18	UPTWN RUSH	SACERDOTE	/GEN BUS	/
79	10/29/91	8519	32 OLD SLIP	2.00	2.00	4.00	INTERNAL	SATTER	89-105/CROWN	/1045
883	11/25/91	8519	99 W. 21ST ST	6.18	0.00	6.18	UPTWN RUSH	SATTER	88-103/WELLSIDE	/6600
875	11/08/91	85L	111 CENTER ST	6.18	0.00	6.18	UPTWN RUSH	VOLPERT		
823	10/29/91	8520	99 RECTOR ST	4.64	0.00	4.64	DWNTN RUSH	YU	/KATE SWANSON	/
[illegible]	[illegible]/26/91	10 BANOVER SQ	8520	5.67	0.00	5.67	DWNTN HOUR	YU	/PAUL CAREY	/
[illegible]	[illegible]/27/91	8520	10 BANOVER SQ	4.64	0.00	4.64	DWNTN RUSH	YU	/PAUL CAREY	/
Subtotal **				298.72	25.00	315.78				

CONFIDENTIAL

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ATTACHMENT C (3)

Page No. 6
01/03/92

00199

MESSENGER PACKAGE CENTER - DEPT 390

DEPARTMENT SUMMARY REPORT

TICKET NUMBER	DATE	PICK-UP ADDRESS	DELIVERY ADDRESS	BASE COST	RETURN COST	TOTAL COST	TYPE OF SERVICE	REQUESTED BY	REMARKS		
** DEPARTMENT 0001-006-105											
142382	12/04/91	8519	140 BWAY	4.64	0.00	4.64	DWNTN RUSH	BRADY	/PERSONAL		/
142389	12/06/91	8519	200 PARK AVE	6.18	0.00	6.18	UPTWN RUSH	BRADY	/PERSONAL		/
142384	12/04/91	8519	31 W. 52ND ST	6.18	0.00	6.18	UPTWN RUSH	BRODY	/PERSONAL		/
142385	12/04/91	8519	1565 BWAY	6.18	0.00	6.18	UPTWN RUSH	BRODY			
142388	12/06/91	8519	301 PARK AVE	6.18	0.00	6.18	UPTWN RUSH	BRODY	/PERSONAL		/
293827	12/06/91	8519	245 PARK AVE	6.18	0.00	6.18	UPTWN RUSH	CHARTENER	/WATER ST	05405/6	
293812	12/11/91	8519	299 PARK AVE	6.18	0.00	6.18	UPTWN RUSH	CHARTENER	/WATER ST		/5405
178664	12/11/91	8519	31 W. 52ND ST	6.18	0.00	6.18	UPTWN RUSH	FRIEDMAN	/GEN BUS		/
236379	12/12/91	8519	1 WALL ST	5.67	0.00	5.67	DWNTN HOUR	FRIEDMAN	/PIA		/
293682	12/02/91	8519	299 PARK AVE	7.73	0.00	7.73	UPTWN HOUR	MENRA	/SINK		/
293681	12/18/91	8519	BWAY & 86TH ST	7.73	5.87	13.60	UPTWN HOUR	MENRA	/ROUND TRIP (PERSONAL)		/
83089	12/02/91	29 BWAY	8519	4.64	0.00	4.64	DWNTN RUSH	MENDELL	/GEN BUS		/
551	12/06/91	8519	1 NY PLAZA	4.64	0.00	4.64	DWNTN RUSH	MENDELL			
19870	12/18/91	8519	125 BROAD ST	4.64	0.00	4.64	DWNTN RUSH	MENDELL	/PENROD	054122	/
[illegible]	12/23/91	8519	1 CHASE MAN PLZ	5.67	0.00	5.67	DWNTN HOUR	RODER	/SINK		/
[illegible]	12/05/91	8519	230 PARK AVE	6.18	0.00	6.18	UPTWN RUSH	SACERDOTE			
244290	12/12/91	57 BWAY	8520	4.64	0.00	4.64	DWNTN RUSH	SANTIAGO			
** Subtotal **											
				99.44	5.87	105.31					

CONFIDENTIAL

9704380324 9

ATTACHMENT D (1)

CDC:XSD 1/RPT 2 — GOLDMAN SACHS & CO — PAGE: 00002
SITE: 01 EXT: 212 902 7301 01 — EXTENSION DETAIL REPORT — DATE: 11/20/91
KELLEY, KEVIN M. — TIME: 23:21:27
DEPARTMENT 0006193 GS CAPITAL
DIVISION 0000350 OTHER DIVISION

SEP. 19 THRU OCT. 18

VENDOR	GRP/TYPE	DESCRIPTION	UNITS	COST
EQUIPMENT				
IPC	AD ADDA	BASA D4800 DIRECTORY DIALER	1	27.52
IPC	TP SADX	SRX 400X/V SET	1	70.11
NYTC	LC CHLC	CENTREX LINE CHARGE	1	23.20
CATEGORY TOTALS:				120.83 ÷ 30 = $4.03 x 18 days = $72.54

DATE	TIME	LOCATION CALLED	NUMBER CALLED	CALLS	MINUTES	COST	ROUTE	IDENTIFICATION	ACCOUNT NO
LOCAL - BASSAU									
		CATEGORY TOTALS:		5	15.00	1.11			
LOCAL - NEW YORK CITY									
		CATEGORY TOTALS:		633	2,601.00	83.27			
LOCAL - WEST SUFFOLK									
		CATEGORY TOTALS:		2	12.00	1.64			
LOCAL - EAST SUFFOLK									
		CATEGORY TOTALS:		16	43.00	9.58			
LOCAL - LOWER WESTCHESTER									
		CATEGORY TOTALS:		17	62.00	4.55			
LOCAL - UPPER WESTCHESTER									
		CATEGORY TOTALS:		2	2.00	.27			

00200

CONFIDENTIAL

9704380325C

ATTACHMENT D (2)

CDC:MRD 1/RPT 2 GOLDMAN SACHS & CO PAGE: 30841
DATE: 11/29/91
TIME: 23:21:27

SITE: 01 EXT: 212 902 7391 01 EXTENSION DETAIL REPORT
KELLEY, KEVIN M.
DEPARTMENT 0006103 GS CAPITAL
DIVISION 0000350 OTHER DIVISION

SEP. 19 THRU OCT. 10

DATE	TIME	LOCATION CALLED	NUMBER CALLED	CALLS	MINUTES	COST	ROUTE	IDENTIFICATION	ACCOUNT NO
LOCAL - DIRECTORY ASSIST.									
		CATEGORY TOTALS:		76	76.00	37.70			
HATS BAND 0									
10-01	12:07	0000000000 00	516-749-0949		.10	.12	.		
10-01	14:16	0000000000 00	518-474-8390		1.32	.25	.		
10-02	08:43	0000000000 00	518-447-8633		7.12	1.02	.		
10-02	16:06	0000000000 00	518-449-3333		.60	.12	.		
10-02	20:13	0000000000 00	518-439-9544		8.55	1.15	.		
10-02	20:43	0000000000 00	516-749-0949		5.88	.77	.		
10-03	13:33	0000000000 00	516-749-0949		4.48	.64	.		
10-04	15:29	0000000000 00	518-437-0085		.18	.12	.		
10-04	15:51	0000000000 00	518-482-1039		1.95	.25	.		
10-07	07:59	0000000000 00	518-465-2273		1.15	.25	.		
10-07	14:32	0000000000 00	518-449-8101		3.02	.51	.		
10-07	15:13	0000000000 00	516-749-0949		3.37	.51	.		
10-08	08:56	0000000000 00	518-555-1212		.38	.12	.		
10-11	09:14	0000000000 00	516-749-0949		8.12	1.15	.		
10-11	15:08	0000000000 00	518-449-7131		2.07	.38	.		
10-11	15:13	0000000000 00	518-449-3333		.23	.12	.		
10-11	15:39	0000000000 00	518-465-2273		1.72	.25	.		
10-12	10:43	0000000000 00	518-439-9544		17.73	2.31	.		
10-14	09:49	0000000000 00	518-555-1212		.50	.12	.		
10-14	17:59	0000000000 00	518-274-3249		5.68	.76	.		
10-15	19:17	0000000000 00	518-439-9544		12.50	1.67	.		
10-18	12:22	0000000000 00	518-436-8516		.33	.12	.		
10-18	12:24	0000000000 00	518-474-2121		1.05	.25	.		
10-20	15:46	0000000000 00	518-537-6314		1.07	.25	.		
10-21	08:15	0000000000 00	518-439-9544		3.15	.51	.		
10-22	21:20	0000000000 00	516-749-0949		.55	.12	.		
10-23	15:30	0000000000 00	518-473-2389		6.38	.89	.		
10-28	14:10	0000000000 00	518-398-5151		4.80	.64	.		
10-28	15:12	0000000000 00	518-439-0240		4.70	.64	.		
10-30	12:07	0000000000 00	518-555-1212		.30	.12	.		
10-31	14:53	0000000000 00	516-749-1185		3.08	.51	.		
10-31	17:19	0000000000 00	518-482-1039		3.13	.51	.		
10-31	17:51	0000000000 00	518-449-3333		.17	.12	.		
10-31	19:47	0000000000 00	518-434-6220		.48	.12	.		
		CATEGORY TOTALS:		34	115.84	17.39			

00201

9704380325 1

ATTACHMENT D (3)

00202

GDC:KOP 1/RPT 2 GOLDMAN SACHS & CO PAGE: 30043
DATE:11/29/91
TIME:23:21:27

SITE: 01 EXT: 212 902 7391 01 EXTENSION DETAIL REPORT
KELLEY, KEVIN M.
DEPARTMENT 0006103 GS CAPITAL
DIVISION 0000350 OTHER DIVISION

SEP. 19 THRU OCT. 18

DATE	TIME	LOCATION CALLED	NUMBER CALLED	CALLS	MINUTES	COST	ROUTE	IDENTIFICATION	ACCOUNT NO

AT&T DOMESTIC VTNS

10-01	10:10	LITTLEROCK AR	501-682-3606		.70	.13	.		
10-01	10:28	WASHINGTON DC	202-879-6830		.37	.12	.		
10-01	11:11	LITTLEROCK AR	501-372-1992		2.13	.40	.		
10-01	14:12	SACRAMENTO CA	916-445-6131		.43	.14	.		
10-01	15:39	WASHINGTON DC	202-244-6252		12.93	1.50	.		
10-01	22:18	LIVINGSTON NJ	201-992-1536		6.20	.50	.		
10-01	22:25	NEW HAVEN CT	203-497-9146		47.67	3.66	.		
10-02	09:48	SACRAMENTO CA	916-646-9114		4.00	.54	.		
10-02	10:00	WASHINGTON DC	202-429-8744		6.63	.81	.		
10-02	10:13	WASHINGTON DC	202-429-8744		.48	.12	.		
10-02	10:22	BOCA RATON FL	407-362-1500		5.53	.80	.		
10-02	10:41	BOCA RATON FL	407-362-1500		.32	.13	.		
10-02	13:41	LITTLEROCK AR	501-372-1992		.68	.13	.		
10-02	15:19	LITTLEROCK AR	501-372-1992		9.42	1.34	.		
10-02	17:44	LITTLEROCK AR	501-372-1992		8.83	.74	.		
10-02	18:04	WASHINGTON DC	202-244-6252		.85	.08	.		
10-02	18:15	WASHINGTON DC	202-429-8744		41.03	3.35	.		
10-02	21:16	WLOSANGELS CA	213-471-6848		.10	.08	.		
10-03	09:32	WASHINGTON DC	202-544-6093		.38	.12	.		
10-03	14:28	WASHINGTON DC	202-429-8744		8.45	1.04	.		

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CRC:KGD 1/RPT 2

GOLDMAN SACHS & CO

SITE: 01 EXT: 212 902 7391 01
KELLEY, KEVIN M.
DEPARTMENT 0006103 GS CAPITAL
DIVISION 0000350 OTHER DIVISION

EXTENSION DETAIL REPORT

SEP. 19 THRU OCT. 18

DATE	TIME	LOCATION CALLED	NUMBER CALLED	CALLS	MINUTES	COST	ROUTE	IDENTIFICATION	ACCOUNT NO
AT&T DOMESTIC VTNS									
10-03	15:38	WASHINGTON DC	202-244-6252		5.70	.69	.		
10-03	16:54	WASHINGTON DC	202-547-0001		2.70	.35	.		
10-04	10:51	LITTLEROCK AR	501-372-1992		1.58	.27	.		
10-04	15:08	WASHINGTON DC	202-244-6252		.77	.12	.		
10-04	18:26	WASHINGTON DC	202-244-6252		18.40	1.52	.		
10-07	07:57	INFRMATION DC	202-555-1212		.38	.36	.		
10-07	08:40	WASHINGTON DC	202-885-4548		.50	.12	.		
10-07	11:37	LITTLEROCK AR	501-372-1992		.83	.13	.		
10-07	12:23	LITTLEROCK AR	501-372-1992		4.75	.67	.		
10-07	13:00	HACKENSACK NJ	201-342-9500		.63	.10	.		
10-07	13:38	BOCA RATON FL	407-362-1500		.22	.13	.		
10-07	14:02	BOCA RATON FL	407-362-1500		1.18	.27	.		
10-07	14:06	LITTLEROCK AR	501-372-1992		.43	.13	.		
10-07	14:09	LITTLEROCK AR	501-682-2345		1.47	.27	.		
10-07	15:20	HACKENSACK NJ	201-342-9500		5.22	.59	.		
10-07	15:27	HACKENSACK NJ	201-342-9500		.45	.10	.		
10-08	09:19	WASHINGTON DC	202-467-4480		13.22	1.62	.		
10-08	10:22	BOSTON MA	617-534-1200		.35	.12	.		
10-08	13:50	HACKENSACK NJ	201-342-9500		.30	.10	.		
10-08	13:52	WASHINGTON DC	202-429-8744		2.20	.35	.		
10-08	15:24	LITTLEROCK AR	501-372-1992		3.93	.54	.		
10-09	08:39	WASHINGTON DC	202-244-6252		18.67	2.19	.		
10-09	10:16	LITTLEROCK AR	501-372-1992		15.50	2.15	.		
10-09	11:11	LITTLEROCK AR	501-372-1992		.99	.13	.		
10-09	17:53	DENVER CO	303-893-3333		.75	.08	.		
10-09	18:08	BOCA RATON FL	407-362-1500		.53	.08	.		
10-09	18:37	INFRMATION WI	608-555-1212		.53	.36	.		
10-09	18:38	DENVER CO	303-893-3333		.92	.08	.		
10-09	19:32	SACRAMENTO CA	916-445-6131		1.05	.17	.		
10-09	19:53	W HARTFORD CT	203-521-7739		22.78	1.84	.		
10-09	20:16	WASHINGTON DC	202-429-8744		1.80	.16	.		
10-09	23:16	LITTLEROCK AR	501-372-1992		4.63	.37	.		
10-10	14:52	LITTLEROCK AR	501-372-1992		1.80	.27	.		
10-10	15:12	WASHINGTON DC	202-224-4451		.72	.12	.		
10-10	16:58	BOCA RATON FL	407-362-1500		3.55	.43	.		
10-10	17:54	LITTLEROCK AR	501-372-1992		.63	.08	.		
10-10	18:05	LITTLEROCK AR	501-372-1992		1.90	.17	.		
10-10	18:07	WASHINGTON DC	202-429-8744		24.87	2.00	.		
10-11	09:06	WASHINGTON DC	202-547-3590		6.65	.81	.		
10-11	09:45	LITTLEROCK AR	501-682-2345		.78	.13	.		
10-11	15:44	LITTLEROCK AR	501-372-1992		5.05	.60	.		
10-11	19:41	LITTLEROCK AR	501-372-1992		4.35	.41	.		

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ATTACHMENT D (5)

CDS:MOD 1/RPT E

GOLDMAN SACHS & CO

PAGE: 30945
DATE: 11/25/91
TIME: 23:21:27

SITE: 01 EXT: 212 902 7391 01
KELLEY, KEVIN M.

EXTENSION DETAIL REPORT

DEPARTMENT 0006103 GS CAPITAL
DIVISION 0000350 OTHER DIVISION

SEP. 19 THRU OCT. 18

DATE	TIME	LOCATION CALLED		NUMBER CALLED	CALLS	MINUTES	COST	ROUTE	IDENTIFICATION	ACCOUNT NO
AT&T DOMESTIC VTNS										
10-14	09:33	LITTLEROCK	AR	501-372-1992		.53	.13	.		
10-14	11:27	LITTLEROCK	AR	501-372-1992		.82	.13	.		
10-14	13:20	LITTLEROCK	AR	501-372-1992		2.43	.40	.		
10-14	13:46	LITTLEROCK	AR	501-372-1992		3.48	.54	.		
10-14	14:08	LITTLEROCK	AR	501-372-1992		.33	.13	.		
10-14	14:47	INFRMATION	CT	203-555-1212		.38	.36	.		
10-14	15:14	LITTLEROCK	AR	501-372-1992		1.32	.27	.		
10-14	16:31	LITTLEROCK	AR	501-372-1992		1.67	.27	.		
10-14	17:57	INFRMATION	NJ	908-555-1212		.53	.36	.		
10-14	17:58	MATAWAN	NJ	908-566-7159		.70	.07	.		
10-14	18:54	PALO ALTO	CA	415-854-2404		1.08	.17	.		
10-14	19:40	SAN RAFAEL	CA	415-499-1400		3.83	.33	.		
10-15	09:56	LITTLEROCK	AR	501-372-1992		.32	.13	.		
10-15	10:43	BOCA RATON	FL	407-362-1500		.38	.13	.		
10-15	12:58	LITTLEROCK	AR	501-372-1992		.88	.13	.		
10-15	13:23	LITTLEROCK	AR	501-372-1992		1.72	.27	.		
10-15	13:30	LITTLEROCK	AR	501-372-1992		5.02	.80	.		
10-15	13:38	LITTLEROCK	AR	501-372-1992		.08	.13	.		
10-15	14:27	LITTLEROCK	AR	501-372-1992		1.75	.27	.		
10-15	14:30	LITTLEROCK	AR	501-372-1992		.80	.13	.		
10-15	15:13	LITTLEROCK	AR	501-372-1992		1.12	.27	.		
10-15	15:38	LITTLEROCK	AR	501-376-6884		2.07	.40	.		
10-15	17:01	BOCA RATON	FL	407-362-1500		1.87	.17	.		
10-15	17:14	LITTLEROCK	AR	501-372-1992		1.76	.17	.		
10-15	17:53	LITTLEROCK	AR	501-372-1992		7.30	.66	.		
10-15	19:03	INFRMATION	NJ	201-555-1212		.40	.36	.		
10-15	19:38	LITTLEROCK	AR	501-372-1992		.35	.08	.		
10-15	20:18	LITTLEROCK	AR	501-372-1992		.12	.08	.		
10-16	09:09	LITTLEROCK	AR	501-376-6884		4.15	.67	.		
10-16	10:35	JERSEYCITY	NJ	201-795-6200		8.10	.84	.		
10-16	10:44	HACKENSACK	NJ	201-342-9500		1.10	.20	.		
10-16	10:46	LITTLEROCK	AR	501-372-1992		.53	.13	.		
10-16	11:02	ATLANTA	GA	404-676-4848		1.10	.25	.		
10-16	12:49	BOSTON	MA	617-367-2894		7.62	.92	.		
10-16	13:00	JERSEYCITY	NJ	201-795-6200		.87	.09	.		
10-16	13:28	LITTLEROCK	AR	501-372-1992		2.32	.40	.		
10-16	14:52	LITTLEROCK	AR	501-372-1992		1.22	.27	.		
10-16	18:16	LITTLEROCK	AR	501-372-1992		3.23	.33	.		
10-17	16:32	INFRMATION	NJ	201-555-1212		.52	.36	.		
10-18	11:42	GREENCASTL	IN	317-653-2761		11.20	1.52	.		
10-18	12:18	LITTLEROCK	AR	501-372-1992		2.97	.40	.		
10-18	18:14	SACRAMENTO	CA	916-445-6131		.47	.08	.		

00204

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ATTACHMENT D (5)

CDC:NOD 1/RPT 2 GOLDMAN SACHS & CO PAGE: 30645
DATE:11/29/91
TIME:23:21:27

SITE: 01 EXT: 212 902 7391 01 EXTENSION DETAIL REPORT
KELLEY, KEVIN M.
DEPARTMENT 0006103 GS CAPITAL
DIVISION 0000350 OTHER DIVISION

SEP. 19 THRU OCT. 18

DATE	TIME	LOCATION CALLED	NUMBER CALLED	CALLS	MINUTES	COST	ROUTE	IDENTIFICATION	ACCOUNT NO
AT&T DOMESTIC YTNS									
19-18	18:16	WASHINGTON DC	202-244-6252		.78	.08	.		
		CATEGORY TOTALS:		160	606.01	75.59			
[illegible]CI DOMESTIC CALLS									
18-15	11:33	LITTLEROCK AR	501-372-1992		1.25	.27	.		
		CATEGORY TOTALS:		1	1.25	.27			

00205

CONFIDENTIAL

9704380 3255

ATTACHMENT D (7)

CDC:MOD 1/RPT 2

GOLDMAN SACHS & CO

EXTENSION DETAIL REPORT

PAGE: 50047
DATE: 11/29/91
TIME: 23:27:27

SITE: 61 EXT: 212 902 7391 01
KELLEY, KEVIN M.
DEPARTMENT 0006103 GS CAPITAL
DIVISION 0000350 OTHER DIVISION

SEP. 19 THRU OCT. 16

DATE	TIME	LOCATION CALLED	NUMBER CALLED	CALLS	MINUTES	COST	ROUTE	IDENTIFICATION	ACCOUNT NO

** SUMMARY FOR EXTENSION **

	CALLS	MINUTES	COST		AVG. MIN/CALL	AVG. COST/CALL	AVG. COST/MIN
EQUIPMENT			~~$[illegible]~~	$ 72.54			
LOCAL	751	2,811.00	$138.22		3.74	$.18	$.05
LONG DISTANCE	186	738.67	$93.47		3.97	$.50	$.13
INTERNATIONAL	8	50.33	$[illegible]		6.29	$4.92	$.78
INCOMING	0	0.00	$.00	67.45	.00	$.00	$.00
TOLL FREE	0	0.00			.00		
DIRECTORY ASST.	13	5.43	$[illegible]		.42	$.30	$.73
TAX			$38.70				
TOTAL	958	3,605.43	$434.52	$ 139.99	3.76	$.45	$.12

00206

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ATTACHMENT B (3)

CSC:NDB 1/RPT R — GOLDMAN SACHS & CO — PAGE: 29585
SITE: 01 EXT: 232 902 7391 01 — EXTENSION DETAIL REPORT — DATE: 12/27/95
KELLEY, KEVIN M. — TIME: 05:58:25
DEPARTMENT 0006703 GS CAPITAL
DIVISION 0000950 OTHER DIVISION

OCT. 19 THRU NOV. 95

VENDOR	SRP/TYPE	DESCRIPTION	UNITS	COST
EQUIPMENT				
IPC	AD ADBA	DASA DA000 DIRECTORY DIALER	1	15.81
IPC	TP 540X	SRX 540X/V SET	1	85.52
NYTC	LC CHLC	CENTREX LINE CHARGE	1	26.00
	CATEGORY TOTALS:			125.33

DATE	TIME	LOCATION CALLED	NUMBER CALLED	CALLS	MINUTES	COST	ROUTE	IDENTIFICATION	ACCOUNT NO
LOCAL - NEW YORK CITY									
		CATEGORY TOTALS:		606	2,756.00	116.05			
LOCAL - EAST SUFFOLK									
		CATEGORY TOTALS:		4	8.00	1.85			
LOCAL - LOWER WESTCHESTER									
		CATEGORY TOTALS:		8	19.00	1.59			
LOCAL - UPPER WESTCHESTER									
		CATEGORY TOTALS:		1	7.00	1.03			
LOCAL - DIRECTORY ASSIST.									
		CATEGORY TOTALS:		68	84.00	22.26			
WATS BAND 8									
11-01	12:38	0000000000 00	518-465-2273		.65	.12			
11-02	16:56	0000000000 00	914-235-5857		16.48	2.97			
11-05	16:27	0000000000 00	518-465-4747		.87	.13			

CONFIDENTIAL

9704380257

ATTACHMENT D (9)

CDC:MGB 1/RPT 2 — GOLDMAN SACHS & CO — PAGE: 29526

SITE: 01 EXT: 212 902 7391 01 — EXTENSION DETAIL REPORT — DATE:12/27/91

KELLEY, KEVIN M. — TIME:23:16:25

DEPARTMENT 0006103 GS CAPITAL

DIVISION 0000350 OTHER DIVISION

OCT. 19 THRU NOV. 18

DATE	TIME	LOCATION CALLED		NUMBER CALLED	CALLS	MINUTES	COST	ROUTE	IDENTIFICATION	ACCOUNT NO
WATS BAND 0										
11-05	18:23	0000000000	CO	518-474-8390		.43	.12	.		
11-06	15:18	0000000000	CO	516-727-2180		1.15	.25	.		
11-08	10:07	0000000000	CO	518-474-8390		.63	.12	.		
11-11	10:19	0000000000	CO	518-555-1212		.35	.12	.		
11-11	11:54	0000000000	CO	315-446-8005		1.70	.25	.		
11-12	21:20	0000000000	CO	315-446-3657		4.93	.64	.		
11-14	17:41	0000000000	CO	516-537-1283		.95	.19	.		
11-15	11:20	0000000000	CO	716-555-1212		.25	.12	.		
11-16	19:51	0000000000	CO	518-439-9544		45.97	5.89	.		
11-19	10:18	0000000000	CO	518-473-2389		.32	.12	.		
11-26	18:41	0000000000	CO	518-465-2273		.62	.12	.		
11-26	18:45	0000000000	CO	518-439-9544		37.87	4.87	.		
		CATEGORY TOTALS:			15	113.17	15.17			
INTRASTATE DDD										
09-24	09:14	ALBANY	NY	518-474-0491		1.00	.30	.		
09-25	11:04	ALBANY	NY	518-447-8500		2.00	.48	.		
09-26	15:33	ALBANY	NY	518-447-7983		12.00	2.23	.		
09-26	15:45	ALBANY	NY	518-465-2273		1.00	.30	.		
09-27	11:54	ALBANY	NY	518-474-0841		2.00	.48	.		
09-27	11:57	ALBANY	NY	518-474-0841		5.00	1.00	.		
09-27	13:03	ALBANY	NY	518-474-0841		1.00	.30	.		
10-02	09:47	ALBANY	NY	518-449-3333		1.00	.30	.		
10-11	09:49	POUGHKEPSI	NY	914-471-7130		12.00	1.74	.		
		CATEGORY TOTALS:			9	37.00	7.13			
AT&T DOMESTIC VTNS										
10-19	09:53	LITTLEROCK	AR	501-376-6884		2.29	.26	.		
10-20	15:30	LITTLEROCK	AR	501-372-1992		.57	.08	.		
10-20	17:57	INFRNATION	CT	203-555-1212		.25	.42	.		
10-20	19:38	ROCKVILLE	MD	301-340-7737		.88	.10	.		
10-20	20:19	LITTLEROCK	AR	501-372-1992		4.52	.49	.		
10-21	08:34	LITTLEROCK	AR	501-372-1992		.47	.16	.		
10-21	10:43	LITTLEROCK	AR	501-372-1992		2.02	.47	.		
10-22	11:55	BOCA RATON	FL	407-362-1500		.33	.16	.		
10-22	12:22	WASHINGTON	DC	202-224-4451		.90	.14	.		
10-22	12:39	LITTLEROCK	AR	501-376-6884		1.17	.32	.		
10-22	12:43	WASHINGTON	DC	202-296-8600		.93	.14	.		

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ATTACHMENT D (10)

CUC:MOD 1/RPT 2 GOLDMAN SACHS & CO PAGE: 29527
DATE:12/27/91
TIME:23:16:29

SITE: 01 EXT: 212 902 7391 01 EXTENSION DETAIL REPORT
KELLEY, KEVIN M.
DEPARTMENT 0006103 GS CAPITAL
DIVISION 0000358 OTHER DIVISION

OCT. 19 THRU NOV. 18

DATE	TIME	LOCATION CALLED		NUMBER CALLED	CALLS	MINUTES	COST	ROUTE	IDENTIFICATION	ACCOUNT NO
AT&T DOMESTIC VTNS										
10-22	12:44	LITTLEROCK	AR	501-372-1992		2.63	.47	.		
10-22	12:56	LITTLEROCK	AR	501-372-1992		3.63	.64	.		
10-22	13:01	BOSTON	MA	617-367-2894		7.53	1.09	.		
10-22	13:09	BOCA RATON	FL	407-362-1500		.23	.16	.		
10-22	14:13	WASHINGTON	DC	202-296-8600		8.73	1.23	.		
10-22	14:57	LITTLEROCK	AR	501-372-1992		.83	.16	.		
10-22	15:39	LITTLEROCK	AR	501-372-1992		1.33	.32	.		
10-22	15:51	LITTLEROCK	AR	501-372-1992		.30	.16	.		
10-22	16:15	LITTLEROCK	AR	501-372-1992		.27	.16	.		
10-22	16:16	WASHINGTON	DC	202-224-4451		1.07	.27	.		
10-22	17:00	LITTLEROCK	AR	501-372-1992		7.08	.84	.		
10-22	18:01	LITTLEROCK	AR	501-372-1992		2.05	.29	.		
10-22	18:36	WASHINGTON	DC	202-662-7200		1.42	.19	.		
10-22	19:14	LOSANGELES	CA	213-972-7519		12.78	1.27	.		
10-22	19:44	SAN RAFAEL	CA	415-499-1400		13.70	1.37	.		
10-22	20:21	BETHESDA	MD	301-229-1531		.45	.10	.		
10-22	20:23	WASHINGTON	DC	202-546-8223		.47	.10	.		
10-22	20:24	EUGENE	OR	503-484-2116		29.15	2.92	.		
10-22	20:54	WASHINGTON	DC	202-244-6252		5.62	.57	.		
10-22	21:13	LITTLEROCK	AR	501-372-1992		.87	.10	.		
10-22	21:15	INFRMATION	NJ	201-555-1212		.65	.42	.		
10-23	08:23	WASHINGTON	DC	202-244-6252		21.23	3.00	.		
10-23	08:46	PATERSON	NJ	201-345-9200		.10	.12	.		
10-23	09:44	WASHINGTON	DC	202-662-7216		15.53	2.16	.		
10-23	10:03	STAMFORD	CT	203-328-0655		.73	.12	.		
10-23	10:07	WASHINGTON	DC	202-296-8600		3.38	.55	.		
10-23	10:19	LITTLEROCK	AR	501-372-1992		4.12	.79	.		
10-23	12:18	LITTLEROCK	AR	501-376-6884		1.08	.32	.		
10-23	13:05	LITTLEROCK	AR	501-372-1992		.28	.16	.		
10-23	13:27	LITTLEROCK	AR	501-372-1992		.75	.16	.		
10-23	14:19	STAMFORD	CT	203-961-7400		.77	.12	.		
10-23	15:51	WASHINGTON	DC	202-429-8744		5.40	.82	.		
10-23	16:06	LITTLEROCK	AR	501-372-1992		2.92	.47	.		
10-25	11:29	FLS CHURCH	VA	703-237-5130		.83	.14	.		
10-25	12:32	WASHINGTON	DC	202-244-6252		.53	.14	.		
10-25	13:42	LITTLEROCK	AR	501-372-1992		.48	.16	.		
10-25	15:11	LITTLEROCK	AR	501-372-1992		1.07	.32	.		
10-25	16:47	WASHINGTON	DC	202-244-6252		4.85	.68	.		
10-28	08:55	WASHINGTON	DC	202-544-6093		.68	.14	.		
10-28	08:58	WASHINGTON	DC	202-244-6252		28.92	3.96	.		
10-28	11:24	HACKENSACK	NJ	201-342-9500		.82	.12	.		
10-28	11:54	BOSTON	MA	617-534-1225		.57	.14	.		

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FAC:MOD 1/RPT 2 GOLDMAN SACHS & CO PAGE: 39450

SUBF: 01 EXT: 212 902 7391 01 EXTENSION DETAIL REPORT DATE: 12/27/91

KELLEY, KEVIN M. TIME: 23:35:29

DEPARTMENT 0006103 GS CAPITAL

DIVISION 0000350 OTHER DIVISION

OCT. 19 THRU NOV. 18

DATE	TIME	LOCATION CALLED	NUMBER CALLED	CALLS	MINUTES	COST	ROUTE	IDENTIFICATION	ACCOUNT NO
AT&T DOMESTIC VTNS									
10-28	12:09	FLS CHURCH VA	703-237-5130		.65	.14	.		
10-28	12:31	MANCHESTER NH	603-626-1000		1.77	.27	.		
10-28	12:38	SACRAMENTO CA	916-445-6131		.27	.16	.		
10-28	12:42	LITTLEROCK AR	501-372-1992		1.58	.32	.		
10-28	13:45	LITTLEROCK AR	501-372-1992		.48	.16	.		
10-28	13:50	LITTLEROCK AR	501-372-1992		1.95	.32	.		
10-28	14:18	WASHINGTON DC	202-338-8700		.28	.18	.		
10-28	16:59	WASHINGTON DC	202-429-8744		.18	.14	.		
10-28	17:26	LITTLEROCK AR	501-372-1992		.85	.10	.		
10-29	09:12	LITTLEROCK AR	501-372-1992		1.52	.32	.		
10-29	09:25	WASHINGTON DC	202-338-8700		3.03	.55	.		
10-29	13:24	LITTLEROCK AR	501-372-1992		1.92	.32	.		
10-29	14:47	LITTLEROCK AR	501-372-2292		.08	.16	.		
10-29	15:27	LITTLEROCK AR	501-372-1992		2.33	.47	.		
10-29	15:49	LITTLEROCK AR	501-372-1992		2.13	.47	.		
10-29	15:51	WASHINGTON DC	202-429-8744		.43	.14	.		
10-29	16:50	BOSTON MA	617-534-1225		4.13	.68	.		
10-30	09:31	LITTLEROCK AR	501-372-1992		3.88	.64	.		
10-30	09:45	LITTLEROCK AR	501-372-1992		2.73	.47	.		
10-30	10:15	LITTLEROCK AR	501-372-1992		.45	.16	.		
10-30	11:11	W/COMBE PA	215-598-0401		.32	.18	.		
10-30	12:09	SACRAMENTO CA	916-445-6131		.23	.16	.		
10-30	12:57	LITTLEROCK AR	501-372-1992		.68	.16	.		
10-30	13:00	LITTLEROCK AR	501-682-2345		.58	.16	.		
10-30	13:15	WASHINGTON DC	202-429-8744		34.12	4.78	.		
10-30	15:39	SACRAMENTO CA	916-445-6131		.30	.16	.		
10-30	17:34	WASHINGTON DC	202-546-8223		.05	.10	.		
10-30	17:36	SAN RAFAEL CA	415-499-1400		.18	.10	.		
10-30	17:36	LOSANGELES CA	213-972-7519		.90	.10	.		
10-30	17:49	LITTLEROCK AR	501-372-1992		.95	.30	.		
10-30	18:17	LITTLEROCK AR	501-372-1992		1.87	.20	.		
10-31	10:22	LITTLEROCK AR	501-372-1992		5.18	.93	.		
10-31	11:14	FLS CHURCH VA	703-237-5130		.70	.14	.		
10-31	11:23	WASHINGTON DC	202-338-8700		1.43	.27	.		
10-31	11:57	LITTLEROCK AR	501-372-1992		.47	.16	.		
10-31	12:19	LITTLEROCK AR	501-372-1992		1.57	.32	.		
10-31	12:32	LITTLEROCK AR	501-372-1992		1.58	.32	.		
10-31	13:12	SACRAMENTO CA	916-445-6131		.30	.16	.		
10-31	13:21	HACKENSACK NJ	201-342-9500		1.27	.23	.		
10-31	14:16	WASHINGTON DC	202-429-8744		.47	.14	.		
10-31	14:17	WASHINGTON DC	202-244-6252		11.32	1.64	.		
10-31	15:03	WASHINGTON DC	202-624-7660		4.70	.68	.		

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ATTACHMENT D (12)

CDC:MGD 1/RPT 2 GOLDMAN SACHS & CO PAGE: 29529
DATE: 12/21/91
TIME: 23:16:29

SITE: 01 EXT: 212 902 7391 01 EXTENSION DETAIL REPORT
KELLEY, KEVIN M.
DEPARTMENT 0006103 GS CAPITAL
DIVISION 0000350 OTHER DIVISION

OCT. 19 THRU NOV. 18

DATE	TIME	LOCATION CALLED		NUMBER CALLED	CALLS	MINUTES	COST	ROUTE	IDENTIFICATION	ACCOUNT NO
AT&T DOMESTIC VTNS										
10-31	15:13	WASHINGTON	DC	202-544-6093		4.52	.68	.		
10-31	16:22	LITTLEROCK	AR	501-372-1992		1.77	.32	.		
10-31	16:59	LITTLEROCK	AR	501-372-1992		.47	.16	.		
10-31	17:49	WASHINGTON	DC	202-457-6474		.45	.10	.		
10-31	18:30	WASHINGTON	DC	202-546-0007		6.68	.66	.		
10-31	18:48	LEXINGTON	MA	617-532-1225		.27	.10	.		
10-31	18:49	BROOKLINE	MA	617-232-5990		31.58	3.02			
11-01	09:55	LITTLEROCK	AR	501-372-1992		5.48	.95	.		
11-01	10:42	BOCA RATON	FL	407-362-1500		3.58	.64	.		
11-01	11:40	LITTLEROCK	AR	501-372-1992		.40	.16	.		
11-01	11:48	BOCA RATON	FL	407-362-1500		.22	.16	.		
11-01	11:57	WASHINGTON	DC	202-429-8744		.73	.14	.		
11-01	14:25	BOCA RATON	FL	407-362-1500		1.15	.32	.		
11-01	15:42	ORANGE	NJ	201-731-6800		5.80	.70	.		
11-01	17:20	WASHINGTON	DC	202-544-5285		1.15	.19	.		
11-01	17:42	SACRAMENTO	CA	916-445-6131		.17	.10	.		
11-01	17:43	SAN RAFAEL	CA	415-499-1400		.42	.10	.		
11-01	17:53	CAMBRIDGE	MA	617-495-1360		.35	.10	.		
11-01	18:23	LITTLEROCK	AR	501-372-1992		2.22	.29	.		
11-03	19:18	WASHINGTON	DC	202-546-8223		19.62	1.89	.		
11-04	11:02	LITTLEROCK	AR	501-372-1992		.40	.16	.		
11-04	11:49	LITTLEROCK	AR	501-372-1992		1.85	.32	.		
11-04	12:35	STAMFORD	CT	203-328-0655		.37	.12	.		
11-04	12:43	HOUSTON	TX	713-963-8810		1.30	.32	.		
11-04	16:17	LITTLEROCK	AR	501-372-1992		11.35	1.90	.		
11-04	16:30	WASHINGTON	DC	202-429-8744		.28	.14	.		
11-04	19:12	WASHINGTON	DC	202-244-6252		7.57	.75	.		
11-05	10:45	LITTLEROCK	AR	501-372-1992		12.63	2.06	.		
11-05	11:05	CAMBRIDGE	MA	617-495-1360		.38	.14	.		
11-05	11:14	CAMBRIDGE	MA	617-495-1360		7.45	1.09	.		
11-05	11:29	HACKENSACK	NJ	201-646-5587		1.85	.23	.		
11-05	12:51	WASHINGTON	DC	202-429-8744		10.37	1.50	.		
11-05	15:22	LITTLEROCK	AR	501-372-1992		.93	.16	.		
11-05	19:00	INFRMATION	CT	203-555-1212		.58	.42	.		
11-05	19:02	WASHINGTON	DC	202-457-6474		.38	.10	.		
11-05	19:29	WYCOMBE	PA	215-598-0401		7.23	.75	.		
11-05	19:37	BALTIMORE	MD	301-467-6139		22.72	2.17	.		
11-05	20:01	WASHINGTON	DC	202-244-6252		10.20	1.04	.		
11-06	09:30	WASHINGTON	DC	202-429-8744		9.33	1.37	.		
11-06	12:30	LITTLEROCK	AR	501-372-1992		.33	.16	.		
11-06	14:17	BOCA RATON	FL	407-362-1500		.75	.16	.		
11-06	15:39	LITTLEROCK	AR	501-372-1992		2.18	.47	.		

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ATTACHMENT B (13)

CDC:HUD 1/RPT 2 GOLDMAN SACHS & CO PAGE: 29500
DATE: 12/27/91
TIME: 23:16:29

SITE: 01 EXT: 212 902 7391 01 EXTENSION DETAIL REPORT
KELLEY, KEVIN K.
DEPARTMENT 0006105 GS CAPITAL
DIVISION 0000350 OTHER DIVISION

OCT. 19 THRU NOV. 18

DATE	TIME	LOCATION CALLED	NUMBER CALLED	CALLS	MINUTES	COST	ROUTE	IDENTIFICATION	ACCOUNT NO
AT&T DOMESTIC VTNS									
11-06	15:56	LITTLEROCK AR	501-372-1992		.85	.16	.		
11-06	17:52	WASHINGTON DC	202-296-8600		2.07	.29	.		
11-06	18:03	WASHINGTON DC	202-429-8744		.40	.10	.		
11-06	18:14	WASHINGTON DC	202-544-6093		.62	.10	.		
11-06	18:42	LITTLEROCK AR	501-372-1992		1.12	.20	.		
11-06	21:15	WASHINGTON DC	202-429-8744		5.65	.57	.		
11-06	21:22	SACRAMENTO CA	916-445-6131		1.17	.20	.		
11-06	21:25	WASHINGTON DC	202-429-8744		20.45	1.98	.		
11-07	10:37	LITTLEROCK AR	501-372-1992		.18	.16	.		
11-07	10:39	LITTLEROCK AR	501-372-1992		1.25	.32	.		
11-07	12:11	LITTLEROCK AR	501-372-1992		3.02	.64	.		
11-08	09:29	LITTLEROCK AR	501-372-1992		.82	.16	.		
11-08	10:20	LITTLEROCK AR	501-372-1992		8.18	1.43	.		
11-08	11:59	LITTLEROCK AR	501-372-1992		3.47	.64	.		
11-08	13:37	WASHINGTON DC	202-544-6093		3.58	.55	.		
11-08	17:01	LITTLEROCK AR	501-372-1992		3.02	.39	.		
11-08	19:06	LITTLEROCK AR	501-372-1992		5.77	.59	.		
11-08	19:38	LITTLEROCK AR	501-372-1992		5.43	.59	.		
11-09	11:25	ROCKVILLE MD	301-279-7997		5.57	.47	.		
11-11	09:36	LITTLEROCK AR	501-372-1992		1.25	.32	.		
11-11	10:36	LITTLEROCK AR	501-372-1992		2.80	.47	.		
11-11	11:16	LITTLEROCK AR	501-372-1992		.67	.16	.		
11-11	11:21	LITTLEROCK AR	501-372-1992		1.52	.32	.		
11-11	11:28	LITTLEROCK AR	501-376-6884		.20	.16	.		
11-11	11:32	LITTLEROCK AR	501-372-1992		2.18	.47	.		
11-11	12:05	LITTLEROCK AR	501-372-1992		7.57	1.27	.		
11-11	12:35	WASHINGTON DC	202-429-8744		.40	.14	.		
11-11	13:05	LITTLEROCK AR	501-372-1992		.93	.16	.		
11-11	13:07	WILMINGTON DE	302-654-6162		.58	.14	.		
11-11	13:37	WASHINGTON DC	202-775-8213		.98	.14	.		
11-11	13:51	LITTLEROCK AR	501-372-1992		1.63	.32	.		
11-11	13:53	LITTLEROCK AR	501-372-1992		.07	.16	.		
11-11	16:03	LITTLEROCK AR	501-372-1992		1.70	.32	.		
11-11	16:31	LITTLEROCK AR	501-372-1992		.78	.16	.		
11-11	16:44	LITTLEROCK AR	501-372-1992		2.32	.47	.		
11-11	16:54	LITTLEROCK AR	501-372-1992		3.27	.64	.		
11-11	16:57	LITTLEROCK AR	501-376-6884		.67	.16	.		
11-11	17:06	EATONTOWN NJ	908-389-8181		.28	.08	.		
11-11	17:07	ORANGE NJ	201-731-6800		.67	.08	.		
11-11	17:08	BURBANK CA	818-954-2929		2.68	.29	.		
11-11	18:31	LITTLEROCK AR	501-372-1992		12.27	1.27	.		
11-12	10:36	WASHINGTON DC	202-457-6474		9.68	1.37	.		

CONFIDENTIAL

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ATTACHMENT B (14)

CDC:NGG 1/RPT 2 — GOLDMAN SACHS & CO — PAGE: 29331
SITE: 01 EXT: 212 902 7391 01 — EXTENSION DETAIL REPORT — DATE: 12/27/91
KELLEY, KEVIN M. — TIME: 23:16:39
DEPARTMENT 0006103 GS CAPITAL
DIVISION 0000350 OTHER DIVISION

OCT. 19 THRU NOV. 18

DATE	TIME	LOCATION CALLED		NUMBER CALLED	CALLS	MINUTES	COST	ROUTE	IDENTIFICATION	ACCOUNT NO
AT&T DOMESTIC VTNS										
11-12	12:57	FRNT MNAK	CA	415-471-7300		.13	.16	.		
11-12	13:32	CRADELL	NJ	201-262-7800		.82	.12	.		
11-12	13:58	WASHINGTON	DC	202-544-6093		1.95	.27	.		
11-12	14:01	WASHINGTON	DC	202-544-6093		2.98	.41	.		
11-12	16:09	LITTLEROCK	AR	501-372-1992		.42	.16	.		
11-12	16:32	WASHINGTON	DC	202-544-6093		1.75	.27	.		
11-12	16:50	WASHINGTON	DC	202-338-8700		1.67	.27	.		
11-12	16:54	PROVIDENCE	RI	401-277-2601		1.72	.27	.		
11-12	17:17	LITTLEROCK	AR	501-372-1992		.52	.10	.		
11-12	17:18	WASHINGTON	DC	202-544-6093		1.58	.19	.		
11-12	18:00	LITTLEROCK	AR	501-372-1992		.55	.10	.		
11-12	19:47	LITTLEROCK	AR	501-372-1992		3.98	.39	.		
11-13	09:17	WASHINGTON	DC	202-544-6093		.78	.14	.		
11-13	09:41	LITTLEROCK	AR	501-372-1992		2.97	.47	.		
11-13	10:20	LITTLEROCK	AR	501-372-1992		10.05	1.74	.		
11-14	15:02	WASHINGTON	DC	202-544-6093		1.68	.27	.		
11-14	16:42	LITTLEROCK	AR	501-372-1992		1.42	.32	.		
11-14	19:25	JERSEYCITY	NJ	201-653-4697		5.32	.44	.		
11-15	08:52	LITTLEROCK	AR	501-372-1992		.38	.16	.		
11-15	08:57	LITTLEROCK	AR	501-372-1992		.27	.16	.		
11-15	08:59	WASHINGTON	DC	202-429-8744		.35	.14	.		
11-15	09:58	BRIDGEPORT	CT	203-382-0655		.23	.12	.		
11-15	10:01	STAMFORD	CT	203-328-0655		.50	.12	.		
11-15	10:02	BIRMINGHAM	AL	205-326-3366		2.55	.45	.		
11-15	10:45	CHICAGO	IL	312-944-7737		2.50	.45	.		
11-15	10:48	LITTLEROCK	AR	501-372-1992		1.39	.32	.		
11-15	11:02	LITTLEROCK	AR	501-372-1992		.60	.16	.		
11-15	11:05	PROVIDENCE	RI	401-277-2601		2.08	.27	.		
11-15	13:44	LITTLEROCK	AR	501-372-1992		1.02	.32	.		
11-15	13:46	LITTLEROCK	AR	501-372-1992		1.08	.32	.		
11-15	14:24	LITTLEROCK	AR	501-372-1992		.78	.16	.		
11-15	14:52	LITTLEROCK	AR	501-372-1992		3.87	.64	.		
11-15	15:54	LITTLEROCK	AR	501-372-1992		2.90	.47	.		
11-15	17:47	LITTLEROCK	AR	501-372-1992		.48	.10	.		
11-15	17:48	CHICAGO	IL	312-944-7737		14.42	1.46	.		
11-15	17:50	LITTLEROCK	AR	501-372-1992		5.68	.59	.		
11-15	17:59	PROVIDENCE	RI	401-277-2601		2.05	.29	.		
11-15	18:10	LITTLEROCK	AR	501-372-1992		2.13	.29	.		
11-15	19:20	LITTLEROCK	AR	501-372-1992		4.08	.49	.		
11-15	19:24	LITTLEROCK	AR	501-372-1992		.35	.10	.		
11-16	16:19	LITTLEROCK	AR	501-372-1992		18.23	1.64	.		
11-16	20:38	LITTLEROCK	AR	501-372-1992		1.15	.18	.		

CONFIDENTIAL

9704380326 3

ATTACHMENT D (25)

COC:009 1/RPT 2

GOLDMAN SACHS & CO

SITE: 01 EXT: 212 902 7591 01
KELLEY, KEVIN M.
DEPARTMENT 0006103 GS CAPITAL
DIVISION 0000350 OTHER DIVISION

EXTENSION DETAIL REPORT

OCT. 19 THRU NOV. 18

DATE	TIME	LOCATION CALLED	NUMBER CALLED	CALLS	MINUTES	COST	ROUTE	IDENTIFICATION	ACCOUNT NO
AT&T DOMESTIC VTNS									
11-16	20:42	DELRAY BCH FL	407-274-9583		.55	.08	.		
11-17	17:55	LITTLEROCK AR	501-372-1992		.73	.10	.		
11-17	18:59	LITTLEROCK AR	501-372-1992		.63	.10	.		
11-17	19:22	LITTLEROCK AR	501-372-1992		6.18	.68	.		
11-17	19:52	LITTLEROCK AR	501-372-1992		.22	.10	.		
11-18	12:05	LITTLEROCK AR	501-372-1992		1.22	.32	.		
11-18	12:07	LITTLEROCK AR	501-372-1992		1.18	.32	.		
11-18	12:35	LITTLEROCK AR	501-372-1992		1.77	.32	.		
11-18	12:38	WASHINGTON DC	202-296-8600		.30	.14	.		
11-18	12:55	WASHINGTON DC	202-863-1500		.93	.14	.		
11-18	13:15	WASHINGTON DC	202-783-6220		.03	.14	.		
11-18	17:12	LITTLEROCK AR	501-372-1992		.23	.10	.		
11-18	17:32	WASHINGTON DC	202-944-1983		1.87	.19	.		
11-18	17:41	WASHINGTON DC	202-775-8213		.57	.10	.		
11-18	17:49	LITTLEROCK AR	501-372-1992		2.47	.29	.		
		CATEGORY TOTALS:		236	763.01	111.01			

** SUMMARY FOR EXTENSION **

	CALLS	MINUTES	COST	AVG. MIN/CALL	AVG. COST/CALL	AVG. COST/MIN
EQUIPMENT			$125.33			
LOCAL	743	2,036.00	$143.18	3.82	$.19	$.05
LONG DISTANCE	255	911.12	$131.81	3.57	$.52	$.14
INTERNATIONAL	0	0.00	$.00	.00	$.00	$.00
INCOMING	0	0.00	$.00	.00	$.00	$.00
TOLL FREE	0	0.00		.00		
DIRECTORY ASST.	5	2.06	$1.50	.41	$.30	$.73
TAX			$40.00			
TOTAL	1,003	3,749.18	$441.82	3.74	$.44	$.12

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9704380326 4

ATTACHMENT D (16)

CDC:MOD 1/RPT 2 GOLDMAN SACHS & CO PAGE: 29136
DATE:01/31/92
TIME:23:10:22

SITE: 01 EXT: 212 902 7391 01 EXTENSION DETAIL REPORT
KELLEY, KEVIN M.
DEPARTMENT 0006105 GS CAPITAL
DIVISION 0000350 OTHER DIVISIO

NOV. 19 THRU DEC. 18

VENDOR	GRP/TYPE	DESCRIPTION	UNITS	COST
EQUIPMENT				
IPC	AD ABDA	BASA 84000 DIRECTORY DIALER	1	13.81
IPC	TP S40X	SRF 400X/V SET	1	85.52
NYTC	LC CXLC	CENTREX LINE CHARGE	1	26.00
CATEGORY TOTALS:				125.33 ÷ 30 = $4.18 x 18 = $75.24

DATE	TIME	LOCATION CALLED	NUMBER CALLED	CALLS	MINUTES	COST	ROUTE	IDENTIFICATION	ACCOUNT NO
LOCAL - NEW YORK CITY									
CATEGORY TOTALS:				264	1,018.00	44.27			
LOCAL - LOWER WESTCHESTER									
CATEGORY TOTALS:				3	10.00	.84			
LOCAL - DIRECTORY ASSIST.									
CATEGORY TOTALS:				10	10.00	3.06			
WATS BAND 0									
12-02	19:40	0000000000 00	518-434-6220		.38	.12			
12-03	12:30	0000000000 00	518-439-3400		.77	.12			
CATEGORY TOTALS:				2	1.15	.24			
INTRASTATE DDD									
10-22	13:05	ALBANY NY	518-465-2273		4.00	.83			
10-25	12:28	ALBANY NY	518-447-0081		1.00	.30			
CATEGORY TOTALS:				2	5.00	1.13			

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ATTACHMENT D (17)

FDC:MOD 1/RPT 2 GOLDMAN SACHS & CO PAGE: 29137
DATE: 01/31/92
TIME: 23:19:22

SITE: 01 EXT: 212 902 7391 01 EXTENSION DETAIL REPORT
KELLEY, KEVIN M.
DEPARTMENT 0006103 GS CAPITAL
DIVISION 0000350 OTHER DIVISION

NOV. 19 THRU DEC. 18

DATE	TIME	LOCATION CALLED	NUMBER CALLED	CALLS	MINUTES	COST	ROUTE	IDENTIFICATION	ACCOUNT NO
AT&T DOMESTIC VTNS									
11-19	10:18	WASHINGTON DC	202-544-6093		.65	.14	.		
11-19	10:36	LITTLEROCK AR	501-372-1992		8.42	1.43	.		
11-19	11:40	LITTLEROCK AR	501-372-1992		6.62	1.11	.		
11-19	12:53	LITTLEROCK AR	501-372-1992		4.23	.79	.		
11-19	13:03	WASHINGTON DC	202-244-6252		4.65	.68	.		
11-19	16:46	WASHINGTON DC	202-244-6252		.65	.14	.		
11-20	12:19	LITTLEROCK AR	501-372-1992		.58	.16	.		
11-20	12:30	LITTLEROCK AR	501-372-1992		2.48	.47	.		
11-20	12:57	LITTLEROCK AR	501-372-1992		.73	.16	.		
11-20	13:29	LITTLEROCK AR	501-372-1992		.45	.16	.		
11-20	14:14	LITTLEROCK AR	501-372-1992		.43	.16	.		
11-20	14:41	LITTLEROCK AR	501-372-1992		2.70	.47	.		
11-20	14:41	LITTLEROCK AR	501-372-1992		1.77	.32	.		
11-20	16:57	LITTLEROCK AR	501-372-1992		.35	.16	.		
11-20	17:10	LITTLEROCK AR	501-372-1992		5.47	.59	.		
11-20	17:20	WASHINGTON DC	202-429-6744		.22	.10	.		
11-20	17:21	WASHINGTON DC	202-429-8744		.47	.10	.		
11-20	17:59	LITTLEROCK AR	501-372-1992		1.35	.20	.		
11-21	09:19	LITTLEROCK AR	501-372-1992		7.15	1.27	.		
11-21	09:44	LITTLEROCK AR	501-372-1992		1.82	.32	.		
11-21	10:12	LITTLEROCK AR	501-372-1992		.27	.16	.		
11-21	10:13	LITTLEROCK AR	501-372-1992		.27	.16	.		
11-21	10:15	WASHINGTON DC	202-429-8744		.43	.14	.		
11-21	11:26	LITTLEROCK AR	501-372-1992		1.28	.32	.		
11-21	11:30	PROVIDENCE RI	401-277-2601		4.52	.68	.		
11-21	12:04	GREENWICH CT	203-629-2181		7.30	1.20	.		
11-21	12:38	DALLAS TX	214-879-5550		.72	.16	.		
11-21	14:44	LITTLEROCK AR	501-372-1992		.40	.16	.		
11-22	13:37	LITTLEROCK AR	501-372-1992		.40	.16	.		
11-26	10:19	LITTLEROCK AR	501-372-1992		2.12	.47	.		
11-26	11:22	LITTLEROCK AR	501-372-1992		1.27	.32	.		
11-26	11:24	INFORMATION CT	203-555-1212		.30	.42	.		
11-26	11:30	WESTPORT CT	203-221-7800		.30	.12	.		
11-26	12:25	WYCOMBE PA	215-598-0401		2.78	.41	.		
11-26	12:36	LITTLEROCK AR	501-372-1992		1.00	.16	.		
11-26	13:01	WYCOMBE PA	215-598-0401		.55	.14	.		
11-26	13:03	BOCA RATON FL	407-362-1500		3.02	.64	.		
11-26	14:26	INFORMATION CT	203-555-1212		.43	.42	.		
11-26	14:52	LAIE NI	808-293-2841		12.07	2.71	.		
11-26	15:07	WYCOMBE PA	215-598-0401		.25	.14	.		
11-26	15:09	BEVERLYHLS CA	213-278-8300		.48	.16	.		
11-26	16:19	BOCA RATON FL	407-362-1500		.63	.16	.		

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ATTACHMENT D (18)

GOLDMAN SACHS & CO

PAGE: 29158
DATE: 01/31/92
TIME: 23:39:27

SITE: 01 EXT: 212 902 7391 01
KELLEY, KEVIN M.
DEPARTMENT 0006103 GS CAPITAL
DIVISION 0000350 OTHER DIVISION

EXTENSION DETAIL REPORT

NOV. 19 THRU DEC. 18

DATE	TIME	LOCATION CALLED		NUMBER CALLED	CALLS	MINUTES	COST	ROUTE	IDENTIFICATION	ACCOUNT NO
ATGT DOMESTIC VTNS										
11-26	17:00	LITTLEROCK	AR	501-372-1992		3.37	.45	.		
11-26	18:09	LITTLEROCK	AR	501-372-1992		1.35	.20	.		
11-27	16:14	WASHINGTON	DC	202-338-0700		3.55	.55	.		
11-27	16:20	WASHINGTON	DC	202-338-0700		.47	.14	.		
11-27	16:54	INFORMATION	CT	203-555-1212		.42	.42	.		
11-27	17:03	LITTLEROCK	AR	501-372-1992		1.22	.20	.		
12-02	09:55	LITTLEROCK	AR	501-372-1992		3.48	.44	.		
12-02	10:14	LITTLEROCK	AR	501-372-1992		.53	.16	.		
12-02	11:33	LITTLEROCK	AR	501-372-1992		.42	.16	.		
12-02	13:08	PROVIDENCE	RI	401-277-2601		.63	.14	.		
12-02	16:46	WASHINGTON	DC	202-544-6093		6.28	.96	.		
12-02	16:59	BOCA RATON	FL	407-362-1300		.88	.16	.		
12-02	19:00	SAN RAFAEL	CA	415-499-1400		5.77	.59	.		
12-02	19:07	LITTLEROCK	AR	501-372-1992		.58	.10	.		
12-02	19:15	LITTLEROCK	AR	501-372-1992		1.62	.20	.		
12-02	19:18	LITTLEROCK	AR	501-372-1992		2.95	.29	.		
12-03	10:49	BOSTON	MA	617-534-1225		.75	.18	.		
12-03	10:51	BOSTON	MA	617-534-1225		.53	.18	.		
12-03	11:39	LITTLEROCK	AR	501-372-1992		.53	.10	.		
12-03	11:41	WASHINGTON	DC	202-879-3846		.43	.14	.		
12-03	11:58	LITTLEROCK	AR	501-372-1992		1.03	.32	.		
12-03	12:14	LITTLEROCK	AR	501-372-1992		1.58	.32	.		
12-04	10:14	LITTLEROCK	AR	501-372-1992		1.33	.32	.		
12-04	11:32	LITTLEROCK	AR	501-372-1992		.92	.16	.		
12-04	12:35	PROVIDENCE	RI	401-277-2601		3.73	.55	.		
12-04	12:40	PROVIDENCE	RI	401-277-2601		1.18	.27	.		
12-04	12:56	LITTLEROCK	AR	501-372-1992		3.72	.44	.		
12-04	14:07	LITTLEROCK	AR	501-372-1992		.48	.10	.		
12-04	15:43	LITTLEROCK	AR	501-372-1992		.48	.16	.		
12-04	23:00	LITTLEROCK	AR	501-372-1992		.47	.10	.		
12-04	23:18	LITTLEROCK	AR	501-372-1992		.25	.08	.		
12-05	17:23	DALLAS	TX	214-521-7898		3.10	.39	.		
12-06	10:51	LITTLEROCK	AR	501-372-1992		.15	.16	.		
12-06	11:16	CHICAGO	IL	312-944-7737		.22	.15	.		
12-06	11:26	LITTLEROCK	AR	501-372-1992		.87	.18	.		
12-06	15:06	DALLAS	TX	214-521-7898		9.53	1.59	.		
12-06	16:30	LITTLEROCK	AR	501-372-1992		.78	.10	.		
CATEGORY TOTALS:					79	137.56	30.05			

MCI DOMESTIC (MCI)

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ATTACHMENT D (19)

GDC-MCI 1/RPT 2 GOLDMAN SACHS & CO PAGE: 29139
DATE: 01/31/92
TIME: 24:19:22

SITE: 01 EXT: 212 902 7391 01 EXTENSION DETAIL REPORT
KELLEY, KEVIN M.
DEPARTMENT 0006103 GS CAPITAL
DIVISION 0000350 OTHER DIVISION

NOV 19 THRU DEC. 18

DATE	TIME	LOCATION CALLED	NUMBER CALLED	CALLS	MINUTES	COST	ROUTE	IDENTIFICATION	ACCOUNT NO
MCI DOMESTIC (902)									
11-25	11:14	LITTLEROCK AR	501-372-1992		7.23	1.27	.		
		CATEGORY TOTALS:		1	7.23	1.27			
[illegible] WASHINGTON, DC									
11-22	13:59	WASHINGTON DC	202-637-3755		16.98	1.70	.		
		CATEGORY TOTALS:		1	16.98	1.70			

** SUMMARY FOR EXTENSION **

	CALLS	MINUTES	COST	AVG. MIN/CALL	AVG. COST/CALL	AVG. COST/MIN
EQUIPMENT			$75.24			
LOCAL	279	1,038.00	$50.17	3.72	$.18	$.05
LONG DISTANCE	82	186.77	$33.13	2.28	$.40	$.18
INTERNATIONAL	0	0.00	$.00	.00	$.00	$.00
INCOMING	0	0.00	$.00	.00	$.00	$.00
TOLL FREE	0	0.00		.00		
DIRECTORY ASST.	3	1.15	$1.26	.38	$.42	$1.10
TAX			$12.84			
TOTAL	364	1,225.92	$172.64	3.37	$.61	$.18

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FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

December 18, 1992

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Clinton for President Committee
Robert Farmer, treasurer
P.O. Box 615
Little Rock, AR 72203

RE: MUR 3672

Dear Mr. Farmer:

On November 4, 1992, you were notified that the Federal Election Commission found reason to believe that the Clinton for President Committee and you, as treasurer, had violated 2 U.S.C. §§ 441a(f) and 441c, and 11 C.F.R. § 110.6(c)(2), provisions of the Federal Election Campaign Act of 1971, as amended, and the accompanying regulations. Included with the notification was a subpoena to produce documents. The subpoena was sent via certified mail, and a return receipt was signed on November 10, 1992. The response to this subpoena was due thirty days from the date of your receipt of the notification, on December 10, 1992. To date, we have not received a response or a written request for an extension of time to answer the subpoena.

Please respond immediately upon your receipt of this letter so that the Commission may be assured that you intend to comply with the subpoena. Without such a response, the Office of the General Counsel may recommend that the Commission authorize suit under 2 U.S.C. § 437d to enforce the subpoena.

I can be reached at (202) 219-3690.

Sincerely,

Jonathan Bernstein

Jonathan A. Bernstein
Assistant General Counsel

9704380326 9

HOGAN & HARTSON

COLUMBIA SQUARE
555 THIRTEENTH STREET NW
WASHINGTON DC 20004-1109
(202) 637-5600

BRUSSELS
LONDON
PARIS
PRAGUE
WARSAW
BALTIMORE, MD
BETHESDA, MD
McLEAN, VA

FEDERAL ELECTION COMMISSION OFFICE OF GENERAL COUNSEL
92 DEC 22 PM 10:20

December 8, 1992

BY TELECOPY AND REGULAR MAIL

Mr. Richard M. Zanfardino
Office of General Counsel
Federal Election Commission
999 E Street, N.W.
Washington, D.C. 20463

Re: MUR 3672

Dear Mr. Zanfardino:

I am writing to confirm our telephone conversation of December 7, 1992. Enclosed please find a Statement of Designation of Counsel in this matter. As we discussed, I received the Commission's letter and enclosures on December 7. In the interests of providing a full response to the Commission's request, we requested an extension of time for response to the subpoena and for submission of additional materials and statements until December 30, 1992, which you indicated would be acceptable to the Commission. We also discussed the possibility of an additional reasonable extension beyond that date if necessary for the Committee to provide a complete response.

Please let me know if your understanding differs from the foregoing. Thank you for your consideration.

Sincerely yours,

Tony Sutin

L. Anthony Sutin

Enclosure

9704380327C

STATEMENT OF DESIGNATION OF COUNSEL

NAME OF COUNSEL:

Anthony S. Harrington
Christine A. Varney
L. Anthony Sutin
HOGAN & HARTSON
Columbia Square
555 Thirteenth Street, NW
Washington, DC 20004-1109
(202) 637-5600

The above named individuals are hereby designated as my counsel and are authorized to receive any notifications and other communications and to act on my behalf.

December 8, 1992
Date

RESPONDENTS' NAMES:

Clinton for President Committee
Robert Farmer, Treasurer

ADDRESS:

P.O. Box 615
Little Rock, Arkansas 72203

PHONE: (501) 372-1992

9704380327 1

RECEIVED
FEDERAL ELECTION
COMMISSION
MAIN COPY ROOM

HOGAN & HARTSON

Dec 28 9 13 AM '92

COLUMBIA SQUARE
555 THIRTEENTH STREET N.W.
WASHINGTON DC 20004-1109
(202) 637-5600

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LONDON
PARIS
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WARSAW
BALTIMORE, MD
BETHESDA, MD
McLEAN, VA

Writer's direct line
202/637-8273

FEDERAL ELECTION COMMISSION
92 DEC 28 PM 12:25
RECEIVED

December 23, 1992

BY TELECOPIER AND FIRST CLASS MAIL

Mark Allen, Esq.
Federal Election Commission
999 E Street, N.W.
Washington, D.C. 20463

Re: MUR 3672

Dear Mr. Allen:

As we discussed yesterday, and as stated in my December 8 letter, it appears necessary for the Clinton for President Committee to request a further extension to respond to the Commission's subpoena. This request is necessitated both by the impending holidays and the fact that the former Committee personnel who are likely to be familiar with the facts and circumstances of the matter and existence of potentially responsive documents are currently working in the transition and inaugural efforts and have been unavailable to assist in the preparation of the Committee's response. Accordingly, the Committee requests a further extension of time until Friday, January 29, 1993 for the submission of any responsive documents and its response to the subpoena.

If this request is unacceptable to the Commission, please let me know as soon as possible. Thank you for your assistance.

Sincerely yours,

L. Anthony Sutin

9704380327 2

FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

January 4, 1993

L. Anthony Sutin, Esq.
Hogan & Hartson
Columbia Square
555 Thirteenth St., NW
Washington, DC 20004-1109

RE: MUR 3672
Clinton for President
Committee, Inc. and Robert
Farmer, as treasurer

Dear Mr. Sutin:

This is in response to your facsimile dated December 23, 1992, requesting an extension until January 29, 1993 to respond to the Commission's subpoena. After considering the circumstances presented in your letter, the Office of the General Counsel has granted the requested extension. Accordingly, your reeponse is due by the close of business on January 29, 1993.

If you have any questions, please contact me at (202) 219-3690.

Sincerely,

Richard M. Zanfardino
Staff Member

9704380327 3

OGC 9341

HOGAN & HARTSON

COLUMBIA SQUARE
555 THIRTEENTH STREET NW
WASHINGTON DC 20004-1109
(202) 637-5600

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BALTIMORE, MD
BETHESDA, MD
McLEAN, VA

L. ANTHONY SUTIN
PARTNER
DIRECT DIAL (202) 637-5273

January 29, 1993

93 JAN 29 PM 1:09

97043803274

BY HAND

Mr. Richard M. Zanfardino
Office of the General Counsel
Federal Election Commission
999 E Street, N.W.
Washington, D.C. 20463

Re: MUR 3672

Dear Mr. Zanfardino:

Enclosed please find the Response of the Clinton for President Committee and Robert A. Farmer, as Treasurer, to the Factual and Legal Analysis in the above-captioned matter, the Response to the Commission's Subpoena and accompanying documents.

Please call me if you have any questions.

Sincerely yours,

L. Anthony Sutin

Enclosures

UNITED STATES OF AMERICA
Before the
FEDERAL ELECTION COMMISSION

In the Matter of:	MUR NO. 3672
CLINTON FOR PRESIDENT and ROBERT A. FARMER as Treasurer,	
Respondents.	

RESPONSE OF CLINTON FOR PRESIDENT AND ROBERT A. FARMER AS TREASURER

Respondents Clinton for President Committee and Robert A. Farmer, as Treasurer, submit this response to the Factual and Legal Analysis of the General Counsel ("Analysis") pursuant to which the Commission found reason to believe that Respondents violated 11 CFR § 110.6(c)(2), 2 U.S.C. § 441a(f) and 2 U.S.C. § 441c in connection with contributions to the Committee by persons affiliated with Goldman, Sachs & Co. For the reasons stated below, Respondents deny that any violation of law has taken place.

I. BACKGROUND

The Commission's internally-generated complaint appears to have arisen from a combination of a Washington Post article in which a Goldman, Sachs partner is quoted as being unable to recall the precise circumstances of his contribution to the Committee and contribution records that demonstrate that 65 contributions were received from persons affiliated with

9704380327 5

Goldman, Sachs, a firm with approximately 6,500 employees, 1/ mostly on nine particular dates. From these two elements, the Commission reasons that the contributions may have been the product of an organized solicitation effort by Goldman, Sachs, constituting an excessive partnership and possibly government contractor contribution.

Respondents are aware of no facts suggesting that any organized fundraising or solicitation activity was directed by Goldman, Sachs to its partners or employees. Rather, several Goldman, Sachs partners were early supporters of Bill Clinton's candidacy. One partner, Kenneth Brody, served as a co-chair of the campaign's National Finance Committee. Their individual, one-on-one efforts may well have resulted in friends and colleagues at Goldman, Sachs deciding to contribute, as well as friends and colleagues who may be employed elsewhere. However, the campaign conducted no solicitation or fundraising activity specifically directed to Goldman, Sachs or based upon organized efforts by Goldman, Sachs management. 2/

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1/ 2 Ward's Business Directory at 1861 (1993 ed.).

2/ The Analysis makes a cryptic reference that "[a]ccording to a news report, the Clinton campaign acknowledged the Goldman, Sachs contributions." Analysis at 9. The news report does not suggest that the Clinton campaign acknowledged any contributions from Goldman, Sachs itself, but rather

[Footnote continued]

II. THE COMMITTEE ACCEPTED NO PROHIBITED OR EXCESSIVE CONTRIBUTIONS

The Commission finds reason to believe that Respondents violated 2 U.S.C. § 441c by accepting "something of value" from Goldman, Sachs, which the Commission presumes to be a federal contractor. Analysis at 9. This conclusion is based on three assumptions, none of which Respondent accepts as true.

A. Goldman, Sachs Did Not Provide "Something of Value"

First, the Commission assumes that Goldman, Sachs provided "something of value." The "something of value" apparently refers to partnership resources that allegedly orchestrated an "organized solicitation of high level Goldman, Sachs employees (partners) which may not be fairly attributed to an effort independent of the brokerage firm or an effort independent of Mr. [Kenneth] Brody's employment at the firm."

9704380327

2/ [Footnote continued]

acknowledges the undeniable and unnoteworthy fact that campaign contributions were received from persons affiliated with Goldman, Sachs.

It should be noted that the Clinton campaign rented office space from Goldman, Sachs. This rental, and ancillary services, were billed by Goldman, Sachs to the campaign at fair market value and were paid by the campaign. Although not directly relevant to the subject matter of this MUR, Respondents are producing copies of documents relating to these payments.

Id. Respondents are unaware of any organized solicitation by Goldman, Sachs. Moreover, it is entirely plausible -- as well as factually accurate -- that contributions were made without the sort of organized solicitation that the Commission feels must have taken place. Partners and employees are permitted, on an individual basis and consistent with the Commission's guidelines on use of time and facilities, to enlist the financial and other support of co-workers for a presidential campaign. See Advisory Opinion ("Adv. Op.") 1980-107 (Oct. 6, 1980) (governing partner's volunteer efforts); Adv. Op. 1979-58 (March 5, 1980) (same); Adv. Op. 1979-22 (June 19, 1979) (permitting "occasional, isolated and incidental" use of partnership facilities in connection with volunteer services).

In its Analysis, the Commission relies on Adv. Op. 1986-4 (Feb. 27, 1986) and MUR 1690 as examples of impermissible contributions through organized corporate solicitations. Both of those matters involved clear indications of management orchestration of contributions not present here. In Adv. Op. 1986-4, the Commission considered a proposal by Armstrong World Industries for a formal program under which "Armstrong will actively persuade its executives to participate in its program [of encouraging political contributions], will seek specific pledges of contributions from them, and will receive reports to monitor the extent and level of their participation, pledges and contributions." Id.,

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2 Fed. Election Camp. Fin. Guide (CCH) ¶ 5846 at 11,247. Moreover, "[i]ts Washington office will distribute, periodically and on request, information concerning Federal congressional races of interest to Armstrong or its executives, and may include recommendations regarding the amount and the recipient of contributions." Id. The Commission concluded that the Armstrong plan would result in impermissible corporate contributions or expenditures and that the contemplated activity must be undertaken through the establishment of a separate segregated fund, noting that "Armstrong will direct and supervise this program, making the significant decisions, and will influence or direct contributor decisions regarding how and to whom contributions are made." Id.

In MUR 1690, the Commission's General Counsel recommended a finding of probable cause to believe that Prudential Bache Securities, Inc. violated 2 U.S.C. § 441b(a) and 11 CFR 110.6 in connection with the use of the firm's resources in connection with the extensive and varied efforts of Harry Jacobs, chairman of the board of Pru-Bache, to assist Senator Glenn's 1984 presidential candidacy. In February 1983, Mr. Jacobs hosted a large fundraiser on company premises, sent out 250 invitations to this fundraiser, and used company resources to keep track of contributors. Senator Glenn and four aides flew the Pru-Bache corporate jet from an Ohio fundraiser to New Hampshire and according to the General

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Counsel's brief, the Glenn Committee had not even reimbursed Pru-Bache by the date of his brief. Pru-Bache also provided Senator Glenn with a chauffeured car for all or part of ten days he spent in New York during 1983, for which it appeared Pru-Bache had never been reimbursed.

Also in MUR 1690, a Conciliation Agreement with Donaldson, Lufkin & Jenrette, Inc. found that the corporation's Chairman and CEO sent memoranda through the firm's internal mail soliciting contributions to the Glenn campaign in connection with a fundraiser held at the firm. The memoranda were sent at firm expense to the corporation's top executives and 1,000 "professional staff." Conciliation Agreement ¶¶ 1-5. The General Counsel also contended that the Chairman's "persistent solicitation of subordinates throughout the corporation" confirmed the "effective corporate sponsorship" of the fundraiser, a point that the Chairman conveyed in communications to the Glenn campaign. General Counsel's Brief at 10-11.

In this case, in contrast, Respondents are not aware of any centrally directed plan or program by Goldman, Sachs to encourage or direct contributions to the Clinton campaign. Likewise, Respondents know of no centralized monitoring or supervision by Goldman, Sachs management similar to that contemplated by Armstrong, or an "orchestration of contributions" that carried such an arguable imprimatur of

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institutional support as that in MUR 1690. Nor are respondents aware of any communications by Goldman, Sachs partners, acting as such, encouraging contributions by subordinates. 3/

B. There Is No Basis to Conclude that Goldman, Sachs Is a Federal Contractor

Second, the Commission assumes that Goldman, Sachs was a federal contractor during the 1991-1992 election cycle. This conclusion is supported only by a 1985 advisory opinion request in which Goldman, Sachs stated that, at that time, it held a single federal contract. Respondents have no information to suggest that Goldman, Sachs was a federal contractor in 1991 or 1992. Respondents submit that it is inappropriate to premise a "reason to believe" finding on what appears to be speculation as to government contractor status.

C. Respondents Cannot Be Charged With Knowledge of Contractor Status

Third, the Commission charges Mr. Brody with awareness of Goldman, Sachs' alleged contractor status in 1991, and then charges Respondents with Mr. Brody's imputed knowledge. Again, no support for these dual leaps is offered. Assuming arguendo

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3/ For the same reasons, the campaign did not accept excessive contributions from Goldman, Sachs. See Analysis at 10, citing 2 U.S.C. § 441a(f).

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that Mr. Brody acted as Respondents' agent in some matters, the imputation of knowledge made by the Commission violates two fundamental principles of agency law. Knowledge of an agent acquired prior to the existence of the agency may be chargeable to the principal if it is shown that the agent has the information present in his mind, or where it was acquired so recently or under such circumstances that it will be presumed to have been in his mind and memory at the time of the transaction in question. 3 Am. Jur. 2d § 287 at 795. At the very most, the Goldman, Sachs advisory opinion request imputes knowledge to Mr. Brody in 1985. Such knowledge cannot be imputed further to Respondents in 1991.

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III. THERE HAS BEEN NO VIOLATION OF 11 CFR 110.6(c)(2)

The Factual and Legal Analysis provided by the Commission suggests that 11 CFR 110.6(c)(2) may have been violated by Respondents not reporting either Kenneth Brody or Goldman, Sachs as "conduits or intermediaries" through which contributions were received.

This provision states:

> The . . . authorized committee shall report each conduit or intermediary who forwards one or more earmarked contributions which in the aggregate exceed $200 in any calendar year.

11 CFR 110.6(c)(2).

A. Mr. Brody Is Exempt From Conduit Reporting

Kenneth Brody was appointed as a National Finance Co-Chair of the Clinton for President campaign in September of 1991. As such, he was "[a]n individual who is expressly authorized by the candidate or the candidate's authorized committee to engage in fundraising, and who occupies a significant position within the candidate's organization." See id. § 110.6(a)(2)(E). Accordingly, to the extent that Mr. Brody transmitted any contributions to the campaign, he falls within the exemption from the definition of "conduit or intermediary" for which reporting is required.

Any contributions passed on by Mr. Brody to the campaign were transmitted in his capacity as National Finance Co-Chair, and not as a representative of Goldman, Sachs. See 11 CFR 110.6(a)(2)(E).

B. Goldman, Sachs Did Not Act As a Conduit

As discussed in Section I, Respondents do not believe that Goldman, Sachs functioned as a conduit or intermediary by facilitating contributions to the Clinton campaign. Respondents have no knowledge of any expenditure of Goldman, Sachs company time, resources or facilities which might have required reporting under 11 CFR 110.6(c)(2).

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IV. CONCLUSION

For the foregoing reasons, Respondents Clinton for President Committee and Robert A. Farmer, as Treasurer, request that the Commission determine to take no further action against them in this matter.

Respectfully submitted,

Anthony S. Harrington
L. Anthony Sutin
HOGAN & HARTSON
Columbia Square
555 Thirteenth Street, NW
Washington, DC 20004-1109
(202) 637-5600

Attorneys for Respondents Clinton for President Committee and Robert A. Farmer, as Treasurer

9704380284

UNITED STATES OF AMERICA
Before the
FEDERAL ELECTION COMMISSION

In the Matter of:	MUR NO. 3672
CLINTON FOR PRESIDENT and ROBERT A. FARMER as Treasurer,	
Respondents.	

RESPONSE OF CLINTON FOR PRESIDENT AND ROBERT A. FARMER AS TREASURER TO SUBPOENA FOR PRODUCTION OF DOCUMENTS

Respondents Clinton for President Committee, Inc. and Robert A. Farmer, as Treasurer, hereby respond to the Commission's November 4, 1992 Subpoena for Production of Documents as follows:

Respondents do not interpret the Document Requests to call for the identification or production of documents such as direct mail solicitations and invitations to fundraising events that may have been mailed to persons who are employed by or affiliated with Goldman, Sachs & Company on the basis that they were mailed to persons with no affiliation to Goldman, Sachs. Similarly, Respondents do not interpret the Requests to seek copies of contribution checks received by the campaign from persons affiliated with Goldman, Sachs & Company because reports of such contributions already have been made to the Commission in accordance with applicable law and

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regulations. 1/ Rather, Respondents interpret the Requests to seek documents relating to "Goldman, Sachs-specific" fundraising or solicitation efforts. Subject to this interpretation, and after reasonable inquiry of persons familiar with documents in the Committee's possession, Respondents state that they possess no responsive documents. Although not directly responsive to the Document Requests or relevant to the subject matter of this MUR, Respondents are producing to the Commission copies of documents relating to campaign-related disbursements by the Clinton campaign to Goldman, Sachs and persons affiliated with it. Respondents assume that these documents will be reviewed by Commission staff in the course of the ongoing audit.

Respectfully submitted,

Anthony S. Harrington
L. Anthony Sutin
HOGAN & HARTSON
Columbia Square
555 Thirteenth Street, NW
Washington, DC 20004-1109
(202) 637-5600

1/ If the Commission disagrees with Respondents' interpretation, Respondents promptly will make reasonable efforts to locate and produce any documents requested by the Commission.

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CLINTON FOR PRESIDENT COMMITTEE 5603

01-17-92 GOLDMAN, SACHS, & CO. - OFFICE EXPENSES $3,589.60

POSTED

CLINTON FOR PRESIDENT COMMITTEE
P.O. BOX 816
LITTLE ROCK, AR 72203

NORTHERN
NATIONAL BANK OF ARKANSAS
LITTLE ROCK, AR 72203
61-7-820

5603

01-17-92 $3,589.60
DATE AMOUNT

PAY TO THE ORDER OF *****THREE THOUSAND FIVE HUNDRED EIGHTY NINE DOLLARS & 60/100*****

GOLDMAN, SACHS, & CO.

TWO SIGNATURES REQUIRED IF OVER $1000.00

NOT NEGOTIABLE

POSTED

CHECK REQUEST

PAYABLE TO Goldman, Sachs, & Co

VENDOR NUMBER 4108

CHECK AMOUNT $ 3,589.60

ACCTS TO CHARGE ~~104-6044~~
~~Sb 102~~
101-1104

INVOICE NUMBER 011692

INVOICE DATE 01-16-92

DUE DATE

DESCRIPTION office expenses

APPROVAL

BUDGETARY

COMPLIANCE

WATKINS

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9024103

CLINTON FOR PRESIDENT
1560 BROADWAY, SUITE 609
NEW YORK, NY 10036
212-921-0873(tel)
212-921-1176(fax)

*****URGENT MATERIAL*****

TO: Kelley Ardman / Tiffany

FROM: Paul Carey

DATE: 1/16/92

NUMBER OF PAGES: (INCLUDING COVER) 2

COMMENTS: Attached are expenses which must be reimbursed. I need the Sheraton Check Federal expressed by end of Day Friday for Saturday delivery.

Paul

PLEASE CALL 212-921-0873 IF YOU DO NOT RECEIVE ALL OF THE PAGES.

THE INFORMATION CONTAINED IN THIS FACSIMILE MESSAGE IS INTENDED ONLY FOR THE CONFIDENTIAL USE OF THE ABOVE NAMED RECIPIENT. IF THE READER OF THIS MESSAGE IS NOT THE INTENDED RECIPIENT OR PERSON RESPONSIBLE FOR DELIVERING IT TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT YOU HAVE RECEIVED COMMUNICATION IN ERROR, AND THAT ANY REVIEW, DISSEMINATION, DISTRIBUTION, OR COPYING OF THIS COMMUNICATION IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS IN ERROR, PLEASE NOTIFY THE SENDER IMMEDIATELY BY TELEPHONE AT THE NUMBER SET FORTH ABOVE, AND DESTROY THIS FACSIMILE MESSAGE. THANK YOU.

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CLINTON FOR PRESIDENT COMMITTEE

515 East 72nd St
apt. 20F
10021
212-734-8192

To: Kaelary Ardman / Tiffany -
From: Paul Carey -

Could we please get the following checks cut ASAP. The Sheraton must be paid by Monday morning.

1) Kenneth D. Brody 1,264.36
Harvard Club - Debate Night
623.67 - Video Records

2) Sheraton Centre - 1,000 - Reception for Democratic State Committee
1,402.51 - Press Conference for Gov. Clinton on Monday.

3) Goldman Sachs & Co. - $3,589.60
- Office Expenses
- Phone, Cars, messenger, fax
+ Xeroxing

4) The Board Room - 4,025.00 - Can wait

Hillary Clinton Fundraiser

1,786.13
Buttons - Pressing

9704380329C

P.O. Box 615 • Little Rock, Arkansas 72202
Telephone (501) 372-1992 • Fax (501) 372-2202

Payment

~~Reimbursement~~ Request

PAID

To: Paul R. Carey

From: Kenneth D. Brody

Date: 1/14/92

Please have the campaign comptroller reimburse me in the amount of $ 3589.60 for expenses incurred by me for the Clinton '92 campaign. These expenses were paid by me personally as a courtesy and in advance to secure agreements or reservations with New York vendors or banquet facilities as the New York campaign office, being a temporary entity, was neither estasblished nor able to get a line of credit for such services or due to a time deadline. On other occasions I acted as host for a Clinton reception. All amounts should be re-paid in full immediately.

Payment to: Goldman Sachs

Date 10/26-12/26

For: January taxi statement - Portion owed by Clinton campaign

Note: Please provide all documentation for any payment requests you are making to me. You are not authorized to use my name, accounts or affiliation for any purpose without express permission from me directly. Under no circumstances are you to use the name or accounts of my employer, Goldman Sachs, for any purposes.

Date paid: 1-17-92.

Amount paid: 3589.60

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9704380 3292

KENNETH D BRODY | TAXI NUMBER: 25461 | ACCOUNT NO: 880002 | STATEMENT NUMBER: TX132682 | AS OF 01/11/92 | PAGE 002 OF 009

SUMMARY OF EXPENSES EMPLOYEE #: 09100 ORGANIZATION: 0001 006693 DEPT: LIMITED PARTNERS

KENNETH D BRODY

Travel and Entertainment

Month-End Summary of Expenses

	AMOUNT	
1.) TAXI CHARGES	5,142.60	THIS REPRESENTS THE TOTAL AMOUNT CHARGED TO YOUR TAXI ACCOUNT. SEE SECTION 1 FOR DETAILS.
2.) LESS PENDING CREDITS	35.50	THIS REPRESENTS BILLING ERRORS OR OTHER DISPUTED CHARGES AND MUST EQUAL CHARGES CODED P IN TAXI DETAIL.
3.) LESS OTHER NONREIMBURSABLE	4606.10	THIS REPRESENTS AMOUNTS REIMBURSABLE TO THE FIRM FOR PERSONAL OR OTHER NON-REIMBURSABLE USE AND MUST EQUAL CHARGES CODED N OR USAGE CODE OF 2 IN TAXI DETAIL. PLEASE ATTACH YOUR CHECK PAYABLE TO GOLDMAN, SACHS & CO. FOR THIS AMOUNT.
4.) TOTAL OF EXPENSES	501.00	SUBTRACT LINES 2 & 3 FROM LINE 1. THIS REPRESENTS THE AMOUNT OF EXPENSES TO BE PAID BY THE FIRM. IF AMOUNTS ARE TO BE CHARGED TO A SYNDICATE PLEASE COMPLETE SECTION II (PAYMENT DISTRIBUTION) ATTACHED.

APPROVALS: To the best of my knowledge, all statements on this report are true and factual and all expenses are in conformity with firm policy.

KDB 1/23/92

KENNETH D BRODY — DATE

AUTHORIZED SIGNATURE — DATE

☐ Check here if Address or Organization change is required. (Please note change in comments.)

THE FOLLOWING STATEMENTS ARE OUTSTANDING:

TX126102 10/08/91

KDB 1016.50
G.S. 501.00
Clinton 3589.60
Pending 24.06
Overcharge 11.52

COMMENTS/SPECIAL INSTRUCTIONS

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KENNETH D BRODY — TAXI NUMBER: 25461 (011192) — STATEMENT NUMBER: TX152682
ACCOUNT NO: BR0002 — AS OF 01/11/92 — PAGE 003 OF 009
SECTION: 1
DETAIL CHARGES — EMPLOYEE #: 09100 — ORGANIZATION: 0001 006693 — DEPT: LIMITED PARTNERS

LINE NO.	VOUCHER NUMBER	EMPLOYEE NAME	EMPLOYEE NUMBER	PICK UP TIME	WAIT TIME MIN	DATE OF RIDE	# OF STOPS	FROM	TO	AMOUNT	BAT	USE CODE	SYNDICATE/ PROJECT/ NUMBER	PENDING CR NUMBER/HOUR /AMOUNT	CLIENT NUMBER	COMMENTS/ SYNDICATE PAGE
001	AC834907	KORVAL FAT	11712	0830P	000	11/20/91	00	85 BROAD	BROOKLYN	24.50	F	3	Glen Bus			PLK
002	CR737375		00000	0920A	020	10/26/91	04	515 E72	85 BROAD	79.00	N	1/2		79.00		PRC
003	CR737375		00000	0920A	***	10/26/91	**	********	TEL	26.00	N	1/2		26.00		PRC
004	CR739767		00000	1130P	445	11/22/91	00	667 MAD	667 MAD	228.50	N	1/2		228.50		PRC
005	CR739767		00000	1130P	***	11/22/91	**	********	TEL	42.00	N	1/2		42.00		PRC
006	CR834905		00000	0540P	255	11/22/91	00	85 BROAD	419 E57	128.50	N	1/2		128.50		PRC
007	CR834905		00000	0540P	***	11/22/91	**	********	TEL	208.00	N	1/2		208.00		PRC
008	CR723667		00000	1105A	210	11/23/91	00	667 MAD	667 MAD	106.00	N	1/2		106.00		PRC
009	CR723667		00000	1105A	***	11/23/91	**	********	TEL	32.00	N	1/2		32.00		PRC
010	CR724928		00000	1030A	000	11/23/91	00	BROAD	10GRACE	17.00	N	1/2		17.00		KDB
011	CR686236		00000	0240P	010	11/24/91	00	10 GRACE	47TH 5TH	20.00	N	1/2		20.00		KDB
012	CR736138		00000	0815A	000	11/24/91	00	10 GRACI	HRC TEHN	18.00	N	1/2		18.00		KDB
013	CR834900		00000	0600P	030	11/25/91	01	85 BROAD	67TH	33.00	N	1/2		33.00		PRC
014	CR834900		00000	0600P	***	11/25/91	**	********	TEL	70.00	N	1/2		70.00		PRC
015	CR834914		00000	0813P	000	11/25/91	00	85 BROAD	BKLYN	24.50	F	3	Glen Bus.			PLK
016	CR834913		00000	1000P	000	11/26/91	00	85 BROAD	BKLYN	24.50	F	3	Glen Bus.			PLK
017	CR834916		00000	0800P	000	11/26/91	02	85 BROAD	515 E72	25.00	N	1/2		25.00		PRC
018	CR834916		00000	0800P	***	11/26/91	**	********	TEL	8.00	N	1/2		8.00		PRC
019	CR830536		00000	0840A	000	11/27/91	00	10 GRACI	10 GRACI	27.00	N	1/2		27.00		CSB
020	CR834912		00000	0800P	000	11/27/91	00	85 BROAD	BKLYN	24.50	F	3	Glen Bus.			PLK
021	CR834917		00000	0752A	128	11/27/91	01	515 E72	515 E72	77.00	N	1		77.00		PRC
022	CR834918		00000	1230P	990	11/27/91	01	515E72ND	85BROAD	110.00	N	1/2		110.00		PRC
023	CR834919		00000	1210A	000	11/29/91	00	66 BDWY	515E72ND	16.00	N	2		16.00		PRC
024	CR834919		00000	1210A	***	11/29/91	**	********	TEL	8.00	N	2		8.00		PRC

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KENNETH D BRODY
SECTION: 1
DETAIL CHARGES

TAXI NUMBER: 25461 (011192) STATEMENT NUMBER: TX192692
ACCOUNT NO: BRO002 AS OF 01/11/92 PAGE 001 OF 002

EMPLOYEE #: 09100 ORGANIZATION: 0001 006693 DEPT: LIMITED PARTNERS

LINE NO.	VOUCHER NUMBER	EMPLOYEE NAME	EMPLOYEE NUMBER	PICK UP TIME	WAIT TIME MIN	DATE OF RIDE	# OF STOPS	FROM	TO	AMOUNT	CAT	USE CODE	SYNDICATE/ PROJECT/ NUMBER	PENDING CR NONREIMBUR AMOUNT	CLIENT NUMBER	COMMENTS/ SYNDICATE NAME
	TOTAL:					12/06/91				1,377.00						
025	AC834924	CAREY		0800P	000	11/27/91	01	85 BROAD	16/W77	21.00	N	3		21.00		PRC
026	AC834924	CAREY		0800P	***	11/27/91	**	********	TEL	34.00	N	3		34.00		PRC
027	AC834925	CAREY		0830P	000	12/02/91	00	85 BROAD	515/E72	17.00	N	3		17.00		PRC
028	CR834906		00000	1055A	025	11/22/91	00	515 E72	85 BROAD	27.00	N	3		27.00		PRC
029	CR834906		00000	1055A	***	11/22/91	**	********	TEL	18.00	N	3		18.00		PRC
030	CR741698		00000	1000P	000	11/27/91	00	GRACE SQ	25 E10TH	18.00	N	3		18.00		ARB
031	CR834923		00000	0925A	020	11/28/91	00	515 E72	63 CPW	24.00	N	3		24.00		PRC
032	CR716707		00000	0845A	010	12/02/91	01	GRACE SQ	GRACE SQ	31.00	U	3		31.00		CSB
033	CR834911		00000	0900P	000	12/02/91	00	85 BROAD	BKLYN	24.50	F	3	Glen Bus.			PLK
034	CR646979		00000	0840A	012	12/03/91	01	10 GRACE	10 GRACE	33.00	N	3		33.00		CSB
035	CR834922		00000	0105P	270	12/03/91	00	85 BROAD	85 BROAD	137.60	N	3		137.60		PRC
036	CR834922		00000	0105P	***	12/03/91	**	********	TEL	132.00	N	3		132.00		PRC
037	CR834930		00000	1205P	000	12/03/91	00	85 BROAD	10TE69TH	17.00	N	3		17.00		KDB
038	CR740701		00000	0920A	000	12/04/91	00	10 GRACE	92ND PK.	14.00	N	3		14.00		CSB
039	CR830534		00000	0515P	015	12/04/91	00	4E66TH	10GRACE	21.00	N	3		21.00		CSB
040	CR834920		00000	0908A	000	12/04/91	00	515 E72	85 BROAD	17.00	N	3		17.00		PRC
041	CR686936		00000	0300P	000	12/05/91	00	TIME	CALL	61.00	N	3		61.00		CSB
042	CR830530		00000	0710P	000	12/05/91	00	10GRACE	QUEENS	25.00	N	3		25.00		CSB/LC
043	CR834909		00000	0800P	000	12/05/91	00	85 BROAD	BKLYN	24.50	F	3	Glen Bus			PLK
044	CR834909		00000	0800P	***	12/05/91	**	********	TEL	6.00	F	3	Glen Bus			PLK
045	CR723911		00000	0135P	000	12/06/91	00	10 GRACE	200 W43	17.00	N	3		17.00		CSB
	TOTAL:					12/13/91				719.60						

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KENNETH D BRODY — TAXI NUMBER: 25461 (011192) — STATEMENT NUMBER: TX132682
SECTION: 1 — ACCOUNT NO: BRC002 — AS OF 01/11/92 — PAGE 005 OF 009
DETAIL CHARGES — EMPLOYEE #: 09100 — ORGANIZATION: 0001 006693 — DEPT: LIMITED PARTNERS

LINE NO.	VOUCHER NUMBER	EMPLOYEE NAME	EMPLOYEE NUMBER	PICK UP TIME	WAIT TIME MIN	DATE OF RIDE	# OF STOPS	FROM	TO	AMOUNT	CAT	USE CODE	SYNDICATE/ PROJECT/ NUMBER	PENDING OR NONREIMBUR AMOUNT	CLIENT NUMBER	COMMENTS/ SYNDICATE NUMB
046	AC835066	PACKAGE		0510P	000	12/05/91	00	85 BROAD	84ST/EEA	25.00	F	4	Gen Eng			KDB
047	CR830533		00000	0600P	000	12/03/91	00	10GRACE	ELMHURST	25.00	N	½		25.00		CSB
048	CR686675		00000	0815A	000	12/04/91	00	515 E72	LAG	29.50	N	½		29.50		PRC
049	CR764655		00000	0945P	000	12/04/91	00	101 CPW	STAT ISL	12.00	P	0	DOB	KIN - NOT		OVFS
050	CR830532		00000	0300P	010	12/04/91	00	10GRACE	4E66TH	19.00	N	½		19.00		CSB
051	CR676972		00000	1140A	033	12/05/91	01	10 GRACI	10 GRACI	45.00	N	½		45.00		CSB
052	CR651408		00000	0510P	016	12/05/91	00	10 GRACE	60TH	19.00	N	½		19.00		KDB
053	CR716712		00000	0840A	000	12/06/91	00	GRACIESQ	92 PARK	14.00	N	½		14.00		CSB
054	CR764714		00000	1200P	000	12/06/91	00	62 E92	GRACE SQ	12.00	P	0	WHO	IS THIS?		
055	CR834921		00000	0530P	000	12/06/91	00	85 BROAD	1645 5TH	18.00	N	½		18.00		PRC
056	CR834921		00000	0530P	***	12/06/91	**	********	TEL	38.00	N	½		38.00		PRC
057	CR690929		00000	0740P	010	12/07/91	00	10GRACE	PLAZA	19.00	N	½		19.00		CSB
058	CR834926		00000	1000P	000	12/07/91	00	E 72	TIMECALL	78.50	N	½		78.50		PRC
059	CR834926		00000	1000P	***	12/07/91	**	********	TEL	22.00	N	½		22.00		PRC
060	CR623122		00000	0500P	015	12/09/91	00	4E66TH	10GRACE	21.00	N	½		21.00		CSB
061	CR740713		00000	0340P	020	12/09/91	00	GRACE SQ	4 E66TH	23.00	N	½		23.00		CSB
062	CR740714		00000	0900A	000	12/09/91	00	515 E72	85 BROAD	17.00	N	½		17.00		PRC
063	CR740714		00000	0900A	***	12/09/91	**	********	TEL	8.00	N	½		8.00		PRC
064	CR834927		00000	0630P	090	12/09/91	01	85 BROAD	85TH	67.00	N	½		67.00		PRC
065	CR834927		00000	0630P	***	12/09/91	**	********	TEL	60.00	N	½		60.00		PRC
066	CR835053		00000	0900P	000	12/09/91	00	85 BROAD	BKLYN	24.50	F	3	Gen Bus			DLK
067	CR635064		00000	0120A	000	12/09/91	01	85BROAD	515E72ND	24.00	N	½		24.00		PRC
068	CR197352		00000	0845A	000	12/10/91	00	BKLYN	85 BROAD	24.50	N	2		24.50		PRC
069	CR724386		00000	0415P	000	12/10/91	00	TETERBOR	MAN	296.00	N	½		296.00		PRC

9 7 0 4 3 8 0 3 2 9 6

KENNETH D BRODY
SECTION: I
DETAIL CHARGES

TAXI NUMBER: 25461 (011192) STATEMENT NUMBER: TX192682
ACCOUNT NO: BR0002 AS OF 01/11/92 PAGE 006 OF 009

EMPLOYEE #: 09100 ORGANIZATION: 0001 006693 DEPT: LIMITED PARTNERS

LINE NO.	VOUCHER NUMBER	EMPLOYEE NAME	EMPLOYEE NUMBER	PICK UP TIME	WAIT TIME MIN	DATE OF RIDE	# OF STOPS	FROM	TO	AMOUNT	CAT	USE CODE	SYNDICATE/ PROJECT/ NUMBER	PENDING OR NONREIMBUR AMOUNT	CLIENT NUMBER	COMMENTS/ SYNDICATE NAME
070	CR735947		00000	0330P	***	12/10/91	**	********	TEL	26.00	N	1/2		26.00		PRC
071	CR735947		00000	0330P	000	12/10/91	00	TIME	CALL	357.00	N	1/2		357.00		PRC
072	CR835060		00000	0800P	000	12/10/91	00	85 BROAD	BKLYN	24.50	F	3	Gen Bus			PLK
073	CR835061		00000	0640P	000	12/10/91	00	85 BROAD	301 PK.	16.00	N	1/2		16.00		PRC
074	CR835061		00000	0640P	***	12/10/91	**	********	TEL	16.00	N	1/2		16.00		PRC
075	CR669434		00000	0745P	000	12/11/91	00	10GRACE	77THMAD	15.00	N	1/2		15.00		CSB
076	CR690780		00000	1040A	000	12/11/91	00	GRACIESQ	50 PARK	20.00	N	1/2		20.00		CSB
077	CR716679		00000	0633P	000	12/11/91	02	MIDTOWN	10 GRACE	25.00	N	1/2		25.00		CSB
078	CR639485	~~HORRELL BE~~	25461	0850A	000	12/12/91	01	GRACIESQ	GRACIESQ	27.00	N	1/2		27.00		CSB
079	CR716774		00000	0130P	000	12/12/91	00	10GRACE	74THMAD	15.00	N	1/2		15.00		CSB
080	CR834931		00000	1130P	000	12/12/91	01	85 BROAD	245E83RD	22.00	N	1/2		22.00		PRC
081	CR835055		00000	1130P	000	12/12/91	00	85 BROAD	BKLYN	24.50	F	3	Gen Bus			PLK
082	CR835056		00000	0604P	000	12/12/91	00	85 BROAD	10 GRACE	18.00	N	1/2		18.00		KDB
083	CR835065	HYLAND BER	10879	0900P	000	12/12/91	00	85 BROAD	82ND	18.00	F	1/3	Gen Bus.			BH- She borrowed a voucher.
084	CR685762		00000	0850A	000	12/13/91	01	10 GRACE	10 GRACE	27.00	N	1/2		27.00		CSB
085	CR834932		00000	0914A	000	12/13/91	00	72ND	85 BROAD	17.00	N	1/2		17.00		PRC
086	CR834932		00000	0914A	***	12/13/91	**	********	TEL	22.00	N	1/2		22.00		PRC
087	CR834937		00000	0245P	150	12/13/91	03	85BROAD	515E72ND	121.00	N	1/2		121.00		PRC
088	CR834937		00000	0245P	***	12/13/91	**	********	TEL	110.00	N	1/2		110.00		PRC
089	CR717734		00000	0345P	000	12/14/91	01	JFK	84TH	64.00	E	1/4	Gen Bus			- Package sent by London office re: [illegible]
090	CR835013		00000	0351A	000	12/15/91	00	85 BROAD	BKLYN	24.50	F	3	Gen Bus			PLK
	TOTAL:					12/20/91				1,948.50						
091	AC835058	PACKAGE		0800P	000	12/11/91	00	85 BROAD	10/GRACI	23.00	F	4	Gen Bus			Package for E Broadie

9704380329 7

KENNETH D BRODY — TAX1 NUMBER: 25461 (011192) — STATEMENT NUMBER: TX182682
ACCOUNT NO: BR0002 — AS OF 01/11/92 — PAGE 007 OF 009
SECTION: 1
DETAIL CHARGES — EMPLOYEE #: 09100 — ORGANIZATION: 0001 006693 — DEPT: LIMITED PARTNERS

LINE NO.	VOUCHER NUMBER	EMPLOYEE NAME	EMPLOYEE NUMBER	PICK UP TIME	WAIT TIME MIN	DATE OF RIDE	# OF STOPS	FROM	TO	AMOUNT	CAT	UNIT CODE	SYNDICATE/ PROJECT/ NUMBER	PENDING OR NONREIMBUR AMOUNT	CLIENT NUMBER	COMMENTS/ SYNDICATE NAME
092	AC833055	BRODY KENN	09100	0800P	000	12/11/91	00	85 BROAD	BROOKLYN	24.50	F	3	Ken Bro			PLC
093	AC835062	CAREY		0600P	060	12/11/91	01	85 BROAD	515/E72	45.00	N	1/2		45.00		PRC
094	AC833062	CAREY		0600P	***	12/11/91	**	********	TEL	44.00	N	1/2		44.00		PRC
095	CR737356		00000	0422A	015	12/11/91	00	GRACE SQ	RADIO HC	23.00	N	1/2		23.00		CSB
096	CR835012		00000	0510P	000	12/11/91	00	85 BROAD	BKLYN	24.50	N	1/2		24.50		PRC
097	CR830531		00000	0700P	000	12/12/91	00	10 GRACI	QUEEN	31.50	N	1/2		31.50		CSB/Cameron
098	CR717690		00000	0500P	015	12/13/91	01	GRACE SQ	GRACE SQ	33.00	N	1/2		33.00		CSB
099	CR834938		00000	0240P	060	12/13/91	01	85 BROAD	1560BWAY	52.00	N	1/2		52.00		
100	CR690931	Con2091	00000	0330P	015	12/14/91	00	BKLYN	8 5BROAD	~~34.50 30.50~~	F	1/1	Ken Bro			Not Billing Limiteds
101	CR834936		00000	0515P	050	12/14/91	02	515 E72	25 CPW	-59.00	N	1/2		59.00		PRC
102	CR635125		00000	0600P	000	12/15/91	00	GRACIE S	44TH	17.00	N	1/2		17.00		CSB
103	CR716487		00000	0100P	015	12/15/91	01	10 GRACI	44TH	26.00	N	1/2		26.00		CSB
104	CR835014	KORVAL PAT	11712	0815P	000	12/15/91	00	BKLYN	27 W44TH	28.50	N	1/2		28.50		KDB
105	CR835015		00000	0915P	000	12/15/91	00	44TH	76TH	28.50	N	1/2		28.50		KDB
106	CR835015		00000	0915P	***	12/15/91	**	********	TEL	8.00	N	1/2		8.00		KDB
107	CR623119		00000	1145A	000	12/16/91	01	10 GRACI	60TH	20.00	N	1/2		20.00		CSB
108	CR623119		00000	1145A	***	12/16/91	**	********	TEL	8.00	N	1/2		8.00		CSB
109	CR692168		00000	0910A	030	12/16/91	01	GRACE SQ	GRACE SQ	49.00	N	1/2		49.00		CSB
110	CR709741		00000	0135P	160	12/17/91	02	46 BWAY	E 72	100.00	N	1/2		100.00		PRC
111	CR717589		00000	0656P	010	12/17/91	01	72ND	60TH	35.00	N	1/2		35.00		PRC
112	CR717589		00000	0656P	***	12/17/91	**	********	TEL	4.00	N	1/2		4.00		PRC
113	CR781839		00000	1200A	000	12/17/91	00	LAFAYETT	10 GRACI	18.00	N	1/2		18.00		KDB
114	CR634933		00000	0610P	040	12/18/91	04	1550 BWY	85 BROAD	73.50	N	1/2		73.50		PRC
115	CR738155		00000	0610A	000	12/19/91	00	10 GRACI	LGA	29.50	N	1/2		29.50		CSB

9 7 0 4 3 8 0 3 2 9 8

KENNETH D BRODY — TAXI NUMBER: 25461 (011192) — STATEMENT NUMBER: TX132682
ACCOUNT NO: BRO002 — AS OF 01/11/92 — PAGE 008 OF 009
SECTION: 1
DETAIL CHARGES — EMPLOYEE #: 09100 — ORGANIZATION: 0001 006693 — DEPT: LIMITED PARTNERS

LINE NO.	VOUCHER NUMBER	EMPLOYEE NAME	EMPLOYEE NUMBER	PICK UP TIME	WAIT TIME MIN	DATE OF RIDE	# OF STOPS	FROM	TO	AMOUNT	CAT	RIDE CODE	SYNDICATE/ PROJECT/ NUMBER	PENDING OR NONREIMBR AMOUNT	CLIENT NUMBER	COMMENTS/ SYNDICATE NAME
116	CR834935		00000	1205A	000	12/19/91	01	85 BROAD	83RD	22.00	N	½		22.00		PRC
117	CR835054		06600	0445P	000	12/19/91	00	85 BROAD	W 61	17.00	N	½		17.00		KDB
118	CR835054		00000	0445P	***	12/19/91	**	********	TEL	4.00	N	½		4.00		KDB
	TOTAL:					12/27/91				878.00						
119	CR834928		00000	0425A	010	12/10/91	01	515 E72	85 BROAD	25.00	N	½		25.00		PRC
120	CR834934		00000	1145P	020	12/22/91	00	LGA	E 72	37.50	N	½		37.50		PRC
121	CR834934		00000	1145P	***	12/22/91	**	********	TEL	38.00	N	½		38.00		PRC
122	CR835021		00000	0200A	000	12/23/91	00	85 BROAD	BKLYN	24.50 ~~30.00~~	F	3	Gen	Bus		Jamaica thru 278 - it is always $25.00
123	CR835051	P. KORVAI	00000	0345P	000	12/23/91	00	E 51	BKLYN	32.50	F	3	Gen	Bus.		
124	CR835052		00000	0705P	000	12/23/91	00	85 BROAD	420 E51	16.00	F	4	Gen	Bus		
125	CR835050		00000	0900A	000	12/24/91	00	76TH	85 BROAD	24.50	N	½		24.50		KDB
126	CR724684		00000	0910A	000	12/26/91	00	E 72	1560BWAY	16.00	N	½		16.00		PRC
	TOTAL:					01/03/92				219.50						

STATEMENT RIDE TOTAL 4,150.60
STATEMENT TELEPHONE TOTAL 992.00
STATEMENT TOTAL 5,142.60

USAGE CODES
1=BUSINESS 3=NONE
2=PERSONAL 4=PACKAGE

9704380 3299

KENNETH D BRODY

TAXI NUMBER: 25461
ACCOUNT NO: BROOO2

AS OF 01/11/92

STATEMENT NUMBER: TX182632
PAGE 009 OF 009

SECTION: II
PAYMENT DISTRIBUTION

EMPLOYEE #: 09100

ORGANIZATION: 0001 006693

DEPT: LIMITED PARTNERS

CAT	AMOUNT	SYNDICATE NUMBER	SYNDICATE NAME/ TRANSFER ACCOUNT	CLIENT NUMBER	CAT	AMOUNT	SYNDICATE NUMBER	SYNDICATE NAME/ TRANSFER ACCOUNT	CLIENT NUMBER
F			GENERAL BUSINESS EXPENSES						
	24.50								
	24.50								
	24.50								
	24.50								
	24.50								
	24.50								
	6.00								
	23.00								
	24.50								
	24.50								
	24.50								
	18.00								
	64.00								
	24.50								
	23.00								
	24.50								
	24.50								
	24.50								
	32.50								
	16.00								

COLUMN 1 TOTAL: 501.00

501.00

COLUMN 2 TOTAL

COLUMN 1 TOTAL

ADD LINE 1 AND LINE 2. TOTAL OF CHARGES MUST EQUAL LINE 4, PG 2 OF SUMMARY OF EXPENSES

PLEASE COMPLETE THIS SECTION ONLY IF YOU WISH TO CHARGE YOUR TAXI EXPENSES TO A SYNDICATE ACCOUNT OR A DEPARTMENT CODE OTHER THAN YOUR OWN.

9704380033 0C

1826

KENNETH D. BRODY
CAROLYN S. BRODY

1-12/210

JANUARY 15 1992

PAY TO THE ORDER OF Goldman Sachs & Co. $ 1,016.50

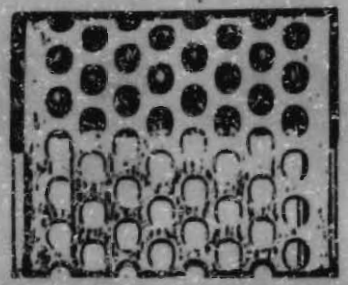
One Thousand and Sixteen and 50/xx DOLLARS

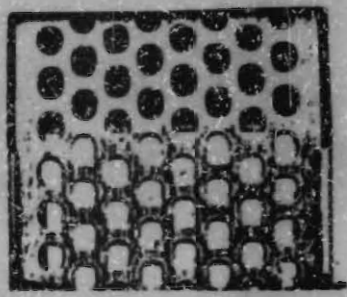
CHEMICAL BANK
PRIVATE BANKING
30 ROCKEFELLER PLAZA, NEW YORK, NY 10112

MEMO

KBrody

9704380330 1





CLINTON FOR PRESIDENT COMMITTEE
P.O. BOX 615
LITTLE ROCK, AR 72203

WORTHEN
NATIONAL BANK OF ARKANSAS
LITTLE ROCK, AR 72203
[illegible]

5603

01-17-92
DATE

$3,589.60
AMOUNT

PAY TO THE ORDER OF

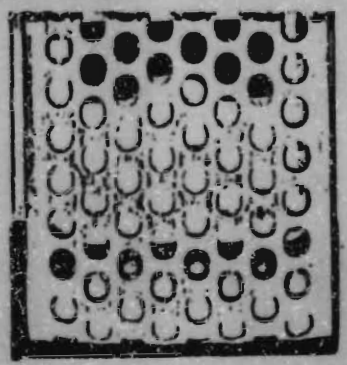
*****THREE THOUSAND FIVE HUNDRED EIGHTY NINE DOLLARS & 60/100*****

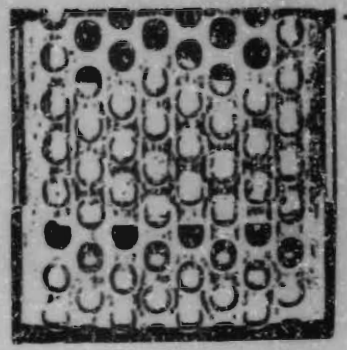
GOLDMAN, SACHS, & CO.

TWO SIGNATURES REQUIRED IF OVER $3000.00

W. David Wilkes
David Wilkes

⑈005603⑈ ⑆082000073⑆ 00894 1⑈ 326⑈ 5⑈





CLINTON FOR PRESIDENT COMMITTEE 5603

01-17-92	GOLDMAN, SACHS, & CO. - OFFICE EXPENSES	$3,589.60

Charge + Ride 1/17/92

Jan 11 statement

97043803302

9704380 3303

CLINTON FOR PRESIDENT COMMITTEE 0603

Ref.	Inv. Number	Inv. Date	Inv. Amount	Amount Paid
4859	041692	04/16/92	3,838.52	3,838.52

$3,838.52

CLINTON FOR PRESIDENT COMMITTEE
P.O. BOX 918
LITTLE ROCK, AR 72203

NORTHERN
NATIONAL BANK OF ARKANSAS
LITTLE ROCK, AR 72203
81-7-820

0603

04/16/92 $*******3,838.52
DATE AMOUNT

********3,838 DOLLARS AND 52 CENTS

PAY TO THE ORDER OF
Goldman Sache & Co.
85 Broad Street
New York, NY 10004

TWO SIGNATURES REQUIRED IF OVER $2000.00

NOT NEGOTIABLE

8503

CHECK REQUEST

PAYABLE TO Goldman Sachs + Co

VENDOR NUMBER Goldm50

CHECK AMOUNT $ 3838.52

ACCTS TO CHARGE ~~[illegible]~~ 102-6180

INVOICE NUMBER

INVOICE DATE 2/20/92

DUE DATE

DESCRIPTION Office Space etc.

APPROVAL [signature]
BUDGETARY

COMPLIANCE [signature] 4-16-92
MATTERS

9704380330 4

payment

~~Reimbursement~~ Request

(19)

To: Paul R. Carey

From: Kenneth D. Brody

Date: 2/20/92

Please have the campaign comptroller reimburse me in the amount of $ 3838.52 for expenses incurred by me for the Clinton '92 campaign. These expenses were paid by me personally as a courtesy and in advance to secure agreements or reservations with New York vendors or banquet facilities as the New York campaign office, being a temporary entity, was neither estasblished nor able to get a line of credit for such services or due to a time deadline. On other occasions I acted as host for a Clinton reception. All amounts should be re-paid in full immediately.

Payment to: G. Sachs + Co.

Date October + November

For: office space etc.

Note: Please provide all documentation for any payment requests you are making to me. You are not authorized to use my name, accounts or affiliation for any purpose without express permission from me directly. Under no circumstances are you to use the name or accounts of my employer, Goldman Sachs, for any purposes.

Date paid: _____

Amount paid: _____

9704380330 5

CHECK REQUEST

PAYABLE TO: Goldman, Sachs & Co.

VENDOR NUMBER: 4108

CHECK AMOUNT: $ 3,838 52

ACCTS TO CHARGE: 102-

INVOICE NUMBER: 021992

INVOICE DATE: 02-19-92

DUE DATE: 03-20-92

DESCRIPTION: Services provided to Paul Corey

APPROVAL

BUDGETARY

COMPLIANCE

WATKINS

9704380033306

MEMORANDUM

To: Paul Carey
c/o Ken Brody

From: Harry Silver
Allen Northern

Date: February 19, 1992

Re: **REIMBURSABLE EXPENSES – FINAL ESTIMATE**

We have attached an invoice for $3,838.52 which reflects our final estimate of services provided to Paul Carey during October and November 1991. The estimates are based on actual services provided valued at "market" prices for each service. Please review the attached detail and submit a check made out to Goldman, Sachs & Co.

Please call one of us if you have any questions.

cc: Jonathan Cohen
Judd Sommer
Arline Mann

Attachment

2/20

Paul

Please have the campaign pay this bill. I have reviewed it and it is the appropriate bill

JC

9704380307

Goldman, Sachs & Co. | 85 Broad Street | New York, New York 10004
Tel: 212-902-1000

Goldman Sachs

February 19, 1992

INVOICE

	AMOUNT
Paul Carey -- Reimbursable Expenses	$3,838.52

9704380330 8

Reimbursable Expenses -- Paul Carey

Category	Amount
Office Rental (1)	$750.00
Photocopying (2)	2,200.00
Word Processing (3)	49.59
Messenger (4)	84.48
Telephone (5)	754.45
Total	$3,838.52

(1) For the period 10/1/91 through 11/30/91. Based on estimates provided by Ken Brody given his best judgment on the space he could have secured for Paul Carey: $30.00 per square foot for a 150-square foot office for two months.
(2) Based on outside vendor rates of $25.00 per thousand for black & white copies and $0.95 each for color copies (see Attachment A).
(3) Based on outside vendor rates of $23.00 per hour for daytime word processing and $28.00 per hour for evening word processing (see Attachment B).
(4) Includes both Brody and Carey personal charges (see Attachment C).
(5) Based on the period 10/1/91 through 12/6/91 (see Attachment D).

9704380331C

GLOBAL FINANCE PRODUCTION CHARGES

ATTACHMENT A

DATE: DECEMBER 1991

DATE	NUMB	REQUESTOR	SYNDICATE	TOA	# OF COPIES
12/20/91		WARGO	PEGASUS	X	650
12/11/91		DURYEA	PENROD DRILLING	F	2
12/12/91		DURYEA	PENROD DRILLING	D	1
12/16/91		BILEN	PERSONAL	FE	1
12/18/91		BILEN	PERSONAL	FE	1
12/11/91		BRODY	PERSONAL	CX	2000
12/11/91		BRODY	PERSONAL	X	12000
12/18/91		CONNOR	PERSONAL	FE	1
12/23/91		MERIWETHER	PERSONAL	FE	2
12/07/91		TUNG	PERSONAL	F	3
12/09/91		LAM	PETRUS	CX	14
12/02/91		LAM	PETRUS	CX	36
12/02/91		LAM	PETRUS	X	50
12/20/91		COMISAR	PHELPS	X	267
12/20/91		COMISAR	PHELPS	CX	16
12/13/91		PATRICK	PI	CX	20
12/10/91		PATRICK	PI	T	1
12/12/91		PATRUCK	PI	CX	54
12/12/91		PATRUCK	PI	X	181
12/07/91		BRODY	PIA	X	200
12/04/91		BRODY	PIA	X	1300
12/09/91		BRODY	PIA	F	6
12/04/91		BRODY	PIA	X	200
12/10/91		BRODY	PIA	X	480
12/08/91		BRODY	PIA	CX	150
12/05/91		BRODY	PIA	X	800
12/02/91		BRODY	PIA	X	215
12/04/91		BRODY	PIA	X	100
12/04/91		BRODY	PIA	X	100
12/04/91		BRODY	PIA	X	300
12/12/91		BU	PIA	X	85
12/16/91		BU	PIA	M	5
12/11/91		BU	PIA	X	200
12/02/91		BU	PIA	X	418

9704380 3311

ATTACHMENT B

Date: 12/22-12/23/91

Banker	Project	Dept/ Floor	Time Arrived	Time Due	Time Began	Time Finish	Operator	Comments
PKORVAL	PKORVAL		8:49 PM	9:30	8:49 PM	9:40	CMW	12/22/91
PKORVAL	PKORVAL		11:00 PM	-	11:05 PM	11:45	JMF	12/22/91
PKORVAL	PKORVAL		12:25 AM	RUSH	12:25	12:45	JMF	12/23/91

ATTACHMENT C (1)

MESSENGER PACKAGE CENTER - DEPT 390

DEPARTMENT SUMMARY REPORT

TICKET NUMBER	DATE	PICK-UP ADDRESS	DELIVERY ADDRESS	BASE COST	RETURN COST	TOTAL COST	TYPE OF SERVICE	REQUESTED BY	REMARKS
** DEPARTMENT 0001-006-103									
142426	10/30/91	8519	9 W. 57TH ST	6.18	0.00	6.18	UPTWN RUSH	BRODY	/GEN BUS /
142534	11/01/91	8519	1350 6TH AVE	6.18	0.00	6.18	UPTWN RUSH	BRODY	/GEN BUS /
142425	11/07/91	8519	11 BWAY	5.67	0.00	5.67	DWNTN HOUR	BRODY	/GEN BUS /
203567	11/13/91	8519	245 PARK AVE	6.18	0.00	6.18	UPTWN RUSH	BRODY	/GEN BUS /
203566	11/13/91	8519	27 W. 44TH ST	7.73	0.00	7.73	UPTWN HOUR	BRODY	/GEN BUS /
203665	11/13/91	8519	540 PARK AVE	6.18	0.00	6.18	UPTWN RUSH	BRODY	/GEN BUS /
142421	11/15/91	8519	375 SOUTHEND	4.64	2.00	6.64	DWNTN RUSH	BRODY	/GEN BUS /
142422	11/15/91	8519	120 E. 23RD ST	6.18	0.00	6.18	UPTWN RUSH	BRODY	/GEN BUS /
142423	11/15/91	8519	153 E. 53RD ST	7.73	0.00	7.73	UPTWN HOUR	BRODY	/PERSONAL (CAREY) /
142420	11/18/91	8519	1001 PARK AVE	7.73	2.00	9.73	UPTWN HOUR	BRODY	/GEN BUS /
142419	11/19/91	8519	132 W. 43RD ST	7.73	0.00	7.73	UPTWN HOUR	BRODY	/GEN BUS /
142418	11/19/91	7 WTC	8519	5.67	0.00	5.67	DWNTN HOUR	BRODY	/GEN BUS /
142417	11/19/91	8519	75 VARICK ST	6.18	0.00	6.18	UPTWN RUSH	BRODY	/PERSONAL (CAREY) /
184554	11/20/91	8519	11 BWAY	5.67	0.00	5.67	DWNTN HOUR	BRODY	/GEN BUS /
199883	11/20/91	8519	1330 6TH AVE	6.18	0.00	6.18	UPTWN RUSH	BRODY	/PERSONAL /
142381	11/21/91	8519	111 W. 57TH ST	6.18	0.00	6.18	UPTWN RUSH	BRODY	/PERSONAL (CAREY) /
199823	11/22/91	8519	535 MADISON AVE	6.18	0.00	6.18	UPTWN RUSH	BRODY	/PERSONAL (CAREY) /
199824	11/22/91	8519	605 3RD AVE	6.18	0.00	6.18	UPTWN RUSH	BRODY	/PERSONAL /
199825	11/22/91	8519	445 PARK AVE	6.18	0.00	6.18	UPTWN RUSH	BRODY	/PERSONAL /
190553	11/25/91	8519	75 VARICK ST	6.18	0.00	6.18	UPTWN RUSH	BRODY	/PERSONAL (CAREY) DONE 11-15-91/
184556	11/27/91	8519	11 BWAY	5.67	0.00	5.67	DWNTN HOUR	BRODY	/GEN BUS /
142380	11/27/91	211 W. 67TH ST	8519	6.18	0.00	6.18	UPTWN RUSH	BRODY	/GEN BUS /
80567	10/29/91	8519	32 OLD SLIP	2.00	2.00	4.00	INTERNAL	CHARTENER	/PEAT /
80593	11/27/91	8519	45 ROCK PLAZA	6.18	0.00	6.18	UPTWN RUSH	CHARTENER	/5405 /
196611	10/30/91	8519	125 BROAD ST	5.67	0.00	5.67	DWNTN HOUR	COGAN	/GEN BUS /
32908	11/04/91	8519	451 W. BWAY	7.73	0.00	7.73	UPTWN HOUR	COGAN	/GEN BUS /
32812	11/19/91	8519	345 HUDSON ST	7.73	0.00	7.73	UPTWN HOUR	COGAN	/GEN BUS PIA /
32814	11/19/91	8519	451 W. BWAY	6.18	0.00	6.18	UPTWN RUSH	COGAN	/GEN BUS PIA /
246505	11/15/91	111 8TH AVE	8519	6.18	0.00	6.18	UPTWN RUSH	FUREY	
204032	11/27/91	1755 YORK AVE	8519	16.48	9.00	25.48	MANHATTAN MOTOR	GONZALES	/ GEN BUS PC EQUIPMENT /
80582	10/30/91	8519	32 OLD SLIP	2.00	2.00	4.00	INTERNAL	HEADLEY	/PA /

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ATTACHMENT C (2)

MESSENGER PACKAGE CENTER - DEPT 390

DEPARTMENT SUMMARY REPORT

TICKET NUMBER	DATE	PICK-UP ADDRESS	DELIVERY ADDRESS	BASE COST	RETURN COST	TOTAL COST	TYPE OF SERVICE	REQUESTED BY	REMARKS	
196566	11/05/91	8519	599 LEXINGTON	6.18	0.00	6.18	UPTWN RUSH	HEADLEY	/BROADCAST	/
194031	11/05/91	8519	60 WALL ST	5.67	0.00	5.67	DWNTN HOUR	HEADLEY	/BROADCAST	/2105
196562	11/07/91	8519	31 W. 52ND ST	7.73	0.00	7.73	UPTWN HOUR	HEADLEY	/RICHTER	/5409
196564	11/08/91	8519	31 W. 52ND ST	6.18	0.00	6.18	UPTWN RUSH	HEADLEY	/RICHTER	/5409
200314	11/13/91	8519	32 OLD SLIP	2.00	2.00	4.00	INTERNAL	LUTZ	/FEAT	/
201583	11/06/91	8519	1 FINANCIAL SQ	5.67	2.00	7.67	DWNTN HOUR	MEHRA	/SINK	/5442
200527	11/14/91	8519	1 NY PLAZA	5.67	0.00	5.67	DWNTN HOUR	MEHRA	/GEN BUS	/
199365	10/29/91	8519	7 WTC	4.64	0.00	4.64	DWNTN RUSH	MENDELL	80-103/PERROD	/5412
202913	10/30/91	8519	1 FINANCIAL SQ	2.00	2.00	4.00	INTERNAL	PATEL	/THE PRINCIPAL INVEST ARES	/
203486	11/12/91	8519	299 PARK AVE	4.64	0.00	4.64	UPTWN SCHED	RIVERA	/GEN BUS	/
199668	11/06/91	8519	787 7TH AVE	6.18	0.00	6.18	UPTWN RUSH	SACERDOTE	/GEN BUS	/
197887	11/07/91	8519	250 PARK AVE	6.18	0.00	6.18	UPTWN RUSH	SACERDOTE	/GEN BUS	/
199579	10/29/91	8519	32 OLD SLIP	2.00	2.00	4.00	INTERNAL	SATTER	80-103/CROWN	/1045
202583	11/25/91	8519	19 W. 21ST ST	6.18	0.00	6.18	UPTWN RUSH	SATTER	80-103/HILLSIDE	/6680
202375	11/08/91	85L	111 CENTER ST	6.18	0.00	6.18	UPTWN RUSH	VOLPERT		
202023	10/29/91	8520	19 RECTOR ST	4.64	0.00	4.64	DWNTN RUSH	YU	/KATE SWANSON	/
202192	11/26/91	10 HANOVER SQ	8520	5.67	0.00	5.67	DWNTN HOUR	YU	/PAUL CAREY	/
202193	11/27/91	8520	10 HANOVER SQ	4.64	0.00	4.64	DWNTN RUSH	YU	/PAUL CAREY	/
** Subtotal **				290.78	25.80	313.78				

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ATTACHMENT C (3)

MESSENGER PACKAGE CENTER - DEPT 390

DEPARTMENT SUMMARY REPORT

TICKET NUMBER	DATE	PICK-UP ADDRESS	DELIVERY ADDRESS	BASE COST	RETURN COST	TOTAL COST	TYPE OF SERVICE	REQUESTED BY	REMARKS	
** DEPARTMENT 0001-008-148										
142382	12/04/91	8519	140 BWAY	4.64	0.00	4.64	DWNTN RUSH	BRADY	/PERSONAL	/
142389	12/06/91	8519	200 PARK AVE	6.18	0.00	6.18	UPTWN RUSH	BRADY	/PERSONAL	/
142384	12/04/91	8519	31 W. 52ND ST	6.18	0.00	6.18	UPTWN RUSH	BRODY	/PERSONAL	/
142385	12/04/91	8519	1585 BWAY	6.18	0.00	6.18	UPTWN RUSH	BRODY		
142388	12/06/91	8519	301 PARK AVE	6.18	0.00	6.18	UPTWN RUSH	BRODY	/PERSONAL	/
293827	12/06/91	8519	245 PARK AVE	6.18	0.00	6.18	UPTWN RUSH	CHARTENER	/WATER ST	05405/6
293812	12/10/91	8519	299 PARK AVE	6.18	0.00	6.18	UPTWN RUSH	CHARTENER	/WATER ST	/5405
178664	12/01/91	8519	31 W. 52ND ST	6.18	0.00	6.18	UPTWN RUSH	FRIEDMAN	/DEK BUG	/
236379	12/12/91	8519	1 WALL ST	5.67	0.00	5.67	DWNTN HOUR	FRIEDMAN	/PIA	/
293882	12/02/91	8519	299 PARK AVE	7.73	0.00	7.73	UPTWN HOUR	MEHRA	/BINK	/
299881	12/10/91	8519	BWAY & 36TH ST	7.73	5.67	13.40	UPTWN HOUR	MEHRA	/ROUND TRIP (PERSONAL)	/
89787	12/02/91	29 BWAY	8519	4.64	0.00	4.64	DWNTN RUSH	MENDELL	/DEN DRG	/
951	12/05/91	8519	1 NY PLAZA	4.64	0.00	4.64	DWNTN RUSH	MENDELL		
86890	12/10/91	8519	125 BROAD ST	4.64	0.00	4.64	DWNTN RUSH	MENDELL	/PERIOD	894122 /
293876	12/23/91	8519	1 CHASE MAN PLZ	5.67	0.00	5.67	DWNTN HOUR	SOBER	/BINK	/
429872	12/05/91	8519	230 PARK AVE	6.18	0.00	6.18	UPTWN RUSH	SACKSOFTE		
344870	12/12/91	57 BWAY	8528	4.64	0.00	4.64	DWNTN RUSH	SANTIAGO		
** GRAND **										
				99.46	5.87	105.31				

9 7 0 4 3 8 0 3 3 1 5

ATTACHMENT D (1)

CDC:MOD 1/RPT 2 GOLDMAN SACHS & CO PAGE: 30848
DATE: 11/29/91
TIME: 23:21:27

SITE: 01 EXT: 212 902 7351 01 EXTENSION DETAIL REPORT
KELLEY, KEVIN M.
DEPARTMENT 0006103 GS CAPITAL
DIVISION 0000350 OTHER DIVISION

SEP. 19 THRU OCT. 18

VENDOR	GRP/TYPE		DESCRIPTION	UNITS	COST
EQUIPMENT					
IPC	AB	ADDA	BASA D4800 DIRECTORY DIALER	1	27.52
IPC	TP	S40X	SRX 400X/V SET	1	70.11
NYTC	LC	CXLC	CENTREX LINE CHARGE	1	23.20
CATEGORY TOTALS:					120.83 ÷ 30 = $4.03 x 18 days = $72.54

DATE	TIME	LOCATION CALLED	NUMBER CALLED	CALLS	MINUTES	COST	ROUTE	IDENTIFICATION	ACCOUNT NO
LOCAL - NASSAU									
CATEGORY TOTALS:				5	15.00	1.11			
LOCAL - NEW YORK CITY									
CATEGORY TOTALS:				633	2,601.00	83.27			
LOCAL - WEST SUFFOLK									
CATEGORY TOTALS:				2	12.00	1.64			
LOCAL - EAST SUFFOLK									
CATEGORY TOTALS:				16	43.00	9.58			
LOCAL - LOWER WESTCHESTER									
CATEGORY TOTALS:				17	62.00	4.65			
LOCAL - UPPER WESTCHESTER									
CATEGORY TOTALS:				2	2.00	.27			

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ATTACHMENT D (2)

CDC:MOD 1/RPT 2 — GOLDMAN SACHS & CO — PAGE: 00001
SITE: 01 EXT: 212 902 7391 01 — EXTENSION DETAIL REPORT — DATE:11/29/91
KELLEY, KEVIN M. — TIME:23:21:27
DEPARTMENT 0006103 GS CAPITAL
DIVISION 0000350 OTHER DIVISION

SEP. 19 THRU OCT. 30

DATE	TIME	LOCATION CALLED	NUMBER CALLED	CALLS	MINUTES	COST	ROUTE	IDENTIFICATION	ACCOUNT NO
LOCAL - DIRECTORY ASSIST.									
		CATEGORY TOTALS:		76	76.00	37.70			
XATS PAID @									
10-01	12:07	0000000000 00	516-749-0949		.10	.12	.		
10-01	13:16	0000000000 00	518-474-8390		1.82	.25	.		
10-02	08:43	0000000000 00	518-487-8633		7.12	1.02	.		
10-02	16:06	0000000000 00	516-449-3333		.60	.12	.		
10-02	20:13	0000000000 00	518-439-9544		8.55	1.15	.		
10-02	20:43	0000000000 00	516-749-0949		5.88	.77	.		
10-03	13:33	0000000000 00	516-749-0949		4.48	.64	.		
10-04	15:09	0000000000 00	518-437-0065		.18	.12	.		
10-04	15:51	0000000000 00	518-482-1039		1.95	.25	.		
10-07	07:59	0000000000 00	518-465-2273		1.15	.25	.		
10-07	10:32	0000000000 00	518-449-8101		3.02	.51	.		
10-07	15:13	0000000000 00	516-749-0949		3.97	.51	.		
10-08	08:56	0000000000 00	518-555-1212		.38	.12	.		
10-11	09:14	0000000000 00	516-749-0949		8.12	1.15	.		
10-11	15:08	0000000000 00	518-449-7131		2.07	.38	.		
10-11	15:13	0000000000 00	518-449-3333		.23	.12	.		
10-11	15:59	0000000000 00	518-465-2273		1.72	.25	.		
10-12	10:43	0000000000 00	518-439-9544		17.73	2.31	.		
10-14	09:48	0000000000 00	518-555-1212		.50	.12	.		
10-14	17:59	0000000000 00	518-274-3249		5.68	.76	.		
10-15	19:17	0000000000 00	518-439-9544		12.50	1.67	.		
10-18	12:22	0000000000 00	518-456-8516		.38	.12	.		
10-18	12:24	0000000000 00	518-474-2121		1.05	.25	.		
10-20	15:46	0000000000 00	518-537-6314		1.07	.25	.		
10-21	08:15	0000000000 00	518-439-9544		3.15	.51	.		
10-22	21:20	0000000000 00	516-749-0949		.55	.12	.		
10-23	15:30	0000000000 00	518-473-2589		6.38	.89	.		
10-28	14:10	0000000000 00	518-398-5151		4.80	.64	.		
10-28	15:12	0000000000 00	518-439-0240		4.70	.64	.		
10-30	12:07	0000000000 00	518-555-1212		.30	.12	.		
10-31	14:53	0000000000 00	516-749-1185		3.08	.51	.		
10-31	17:19	0000000000 00	518-482-1039		3.13	.51	.		
10-31	17:51	0000000000 00	518-449-3333		.17	.12	.		
10-31	19:47	0000000000 00	518-458-6220		.48	.12	.		
		CATEGORY TOTALS:		54	115.84	17.39			

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ATTACHMENT D (3)

CDC:MOD 1/RPT 2 GOLDMAN SACHS & CO PAGE: 00045
DATE:11/29/91
TIME:23:21:27

SITE: 01 EXT: 212 902 7391 01 EXTENSION DETAIL REPORT
KELLEY, KEVIN M.
DEPARTMENT 0006103 GS CAPITAL
DIVISION 0000350 OTHER DIVISION

SEP. 19 THRU OCT. 18

DATE	TIME	LOCATION CALLED		NUMBER CALLED	CALLS	MINUTES	COST	ROUTE	IDENTIFICATION	ACCOUNT NO
AT&T DOMESTIC VTNS										
10-01	10:10	LITTLEROCK	AR	501-682-3606		.70	.19			
10-01	10:28	WASHINGTON	DC	202-879-6830		.37	.12			
10-01	11:11	LITTLEROCK	AR	501-372-1992		2.13	.40			
10-01	14:12	SACRAMENTO	CA	916-445-6131		.43	.14			
10-01	15:39	WASHINGTON	DC	202-244-6252		12.93	1.50			
10-01	22:18	LIVINGSTON	NJ	201-992-1536		6.20	.58			
10-01	22:25	NEW HAVEN	CT	203-497-9146		47.87	3.66			
10-02	09:48	SACRAMENTO	CA	916-646-9114		4.00	.54			
10-02	10:00	WASHINGTON	DC	202-429-8744		6.63	.81			
10-02	10:13	WASHINGTON	DC	202-429-8744		.48	.12			
10-02	10:22	BOCA RATON	FL	407-362-1500		5.53	.98			
10-02	10:41	BOCA RATON	FL	407-362-1500		.32	.18			
10-02	13:41	LITTLEROCK	AR	501-372-1992		.68	.18			
10-02	15:19	LITTLEROCK	AR	501-372-1992		9.42	1.34			
10-02	17:44	LITTLEROCK	AR	501-372-1992		6.83	.74			
10-02	18:04	WASHINGTON	DC	202-244-6252		.85	.08			
10-02	18:15	WASHINGTON	DC	202-429-8744		41.03	3.35			
10-02	21:16	LOSANGELES	CA	213-471-6846		.10	.05			
10-03	09:32	WASHINGTON	DC	202-546-6093		.30	.12			
10-03	14:28	WASHINGTON	DC	202-429-8744		8.45	1.04			

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ATTACHMENT D (4)

CDC:MOD 1/RPT 2

GOLDMAN SACHS & CO

PAGE: 20044
DATE:11/25/91
TIME:23:21:27

SITE: 01 EXT: 212 902 7391 01
KELLEY, KEVIN M.

EXTENSION DETAIL REPORT

DEPARTMENT 0006103 GS CAPITAL
DIVISION 0000350 OTHER DIVISION

SEP. 19 THRU OCT. 18

DATE	TIME	LOCATION CALLED	NUMBER CALLED	CALLS	MINUTES	COST	ROUTE	IDENTIFICATION	ACCOUNT NO
AT&T DOMESTIC VTKS									
10-03	15:58	WASHINGTON DC	202-244-6252		5.70	.69	.		
10-03	16:54	WASHINGTON DC	202-547-0001		2.78	.35	.		
10-04	10:51	LITTLEROCK AR	501-372-1992		1.58	.27	.		
10-04	15:08	WASHINGTON DC	202-244-6252		.77	.12	.		
10-04	18:26	WASHINGTON DC	202-244-6252		18.40	1.52	.		
10-07	07:57	INFRMATION DC	202-555-1212		.38	.36	.		
10-07	08:40	WASHINGTON DC	202-885-4548		.58	.12	.		
10-07	11:37	LITTLEROCK AR	501-372-1992		.83	.13	.		
10-07	12:23	LITTLEROCK AR	501-372-1992		4.75	.67	.		
10-07	13:00	HACKENSACK NJ	201-342-9500		.63	.10	.		
10-07	13:38	BOCA RATON FL	407-362-1500		.22	.13	.		
10-07	14:02	BOCA RATON FL	407-362-1500		1.18	.27	.		
10-07	14:06	LITTLEROCK AR	501-372-1992		.43	.13	.		
10-07	14:09	LITTLEROCK AR	501-682-2345		1.47	.27	.		
10-07	15:20	HACKENSACK NJ	201-342-9500		5.22	.59	.		
10-07	15:27	HACKENSACK NJ	201-342-9500		.45	.10	.		
10-08	09:19	WASHINGTON DC	202-467-4480		13.22	1.62	.		
10-08	10:22	BOSTON MA	617-534-1200		.35	.12	.		
10-08	13:50	HACKENSACK NJ	201-342-9500		.30	.10	.		
10-08	13:52	WASHINGTON DC	202-429-8744		2.20	.35	.		
10-08	15:24	LITTLEROCK AR	501-372-1992		3.93	.54	.		
10-09	08:39	WASHINGTON DC	202-244-6252		18.67	2.19	.		
10-09	10:16	LITTLEROCK AR	501-372-1992		15.50	2.15	.		
10-09	11:11	LITTLEROCK AR	501-372-1992		.93	.13	.		
10-09	17:53	DENVER CO	303-893-3333		.75	.06	.		
10-09	18:08	BOCA RATON FL	407-362-1500		.53	.08	.		
10-09	18:37	INFRMATION WI	608-555-1212		.53	.36	.		
10-09	18:38	DENVER CO	303-893-3333		.92	.08	.		
10-09	19:32	SACRAMENTO CA	916-445-6131		1.05	.17	.		
10-09	19:53	W HARTFORD CT	203-521-7739		22.78	1.84	.		
10-09	20:16	WASHINGTON DC	202-429-8744		1.80	.16	.		
10-09	23:16	LITTLEROCK AR	501-372-1992		4.63	.37	.		
10-10	14:52	LITTLEROCK AR	501-372-1992		1.80	.27	.		
10-10	15:12	WASHINGTON DC	202-224-4451		.72	.12	.		
10-10	16:58	BOCA RATON FL	407-362-1500		3.55	.43	.		
10-10	17:54	LITTLEROCK AR	501-372-1992		.63	.08	.		
10-10	18:05	LITTLEROCK AR	501-372-1992		1.90	.17	.		
10-10	18:07	WASHINGTON DC	202-429-8744		24.87	2.00	.		
10-11	09:06	WASHINGTON DC	202-547-3590		6.65	.81	.		
10-11	09:45	LITTLEROCK AR	501-682-2345		.78	.13	.		
10-11	15:44	LITTLEROCK AR	501-372-1992		5.05	.80	.		
10-11	19:41	LITTLEROCK AR	501-372-1992		4.35	.41	.		

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ATTACHMENT D (5)

CDC:MOD 1/RPT 2 — GOLDMAN SACHS & CO — PAGE: 33045

SITE: 01 EXT: 212 902 7391 01 — EXTENSION DETAIL REPORT — DATE:11/29/91

KELLEY, KEVIN M. — TIME:23:21:27

DEPARTMENT 0006103 GS CAPITAL

DIVISION 0000350 OTHER DIVISION

SEP. 19 THRU OCT. 18

DATE	TIME	LOCATION CALLED		NUMBER CALLED	CALLS	MINUTES	COST	ROUTE	IDENTIFICATION	ACCOUNT NO
AT&T DOMESTIC VTNS										
10-14	09:33	LITTLEROCK	AR	501-372-1992		.53	.13	.		
10-14	11:27	LITTLEROCK	AR	501-372-1992		.82	.13	.		
10-14	13:20	LITTLEROCK	AR	501-372-1992		2.43	.40	.		
10-14	13:46	LITTLEROCK	AR	501-372-1992		3.48	.54	.		
10-14	14:03	LITTLEROCK	AR	501-372-1992		.33	.13	.		
10-14	14:47	INFRMATION	CT	203-555-1212		.36	.36	.		
10-14	15:14	LITTLEROCK	AR	501-372-1992		1.32	.27	.		
10-14	16:32	LITTLEROCK	AR	501-372-1992		1.67	.27	.		
10-14	17:57	INFRMATION	NJ	908-555-1212		.59	.36	.		
10-14	17:58	KAYAMAN	NJ	908-566-7159		.70	.07	.		
10-14	18:54	PALO ALTO	CA	415-854-2804		1.08	.17	.		
10-14	19:40	SAN RAFAEL	CA	415-499-1400		3.83	.53	.		
10-15	00:56	LITTLEROCK	AR	501-372-1992		.32	.13	.		
10-15	10:43	BOCA RATON	FL	407-362-1500		.38	.13	.		
10-15	13:58	LITTLEROCK	AR	501-372-1992		.88	.13	.		
10-15	15:23	LITTLEROCK	AR	501-372-1992		1.72	.27	.		
10-15	13:30	LITTLEROCK	AR	501-372-1992		5.02	.80	.		
10-15	13:36	LITTLEROCK	AR	501-372-1992		.98	.13	.		
10-15	14:27	LITTLEROCK	AR	501-372-1992		1.75	.27	.		
10-15	14:30	LITTLEROCK	AR	501-372-1992		.98	.18	.		
10-15	15:13	LITTLEROCK	AR	501-372-1992		1.12	.27	.		
10-15	15:38	LITTLEROCK	AR	501-376-6884		2.97	.40	.		
10-15	17:01	BOCA RATON	FL	407-362-1500		1.87	.17	.		
10-15	17:14	LITTLEROCK	AR	501-372-1992		1.78	.17	.		
10-15	17:53	LITTLEROCK	AR	501-372-1992		7.39	.66	.		
10-15	19:02	INFRMATION	NJ	201-555-1212		.40	.36	.		
10-15	19:38	LITTLEROCK	AR	501-372-1992		.35	.08	.		
10-15	20:18	LITTLEROCK	AR	501-372-1992		.12	.08	.		
10-16	09:09	LITTLEROCK	AR	501-376-6884		4.15	.67	.		
10-16	10:33	JERSEYCITY	NJ	201-795-6200		8.10	.84	.		
10-16	10:44	HACKENSACK	NJ	201-342-9500		1.10	.20	.		
10-16	10:56	LITTLEROCK	AR	501-372-1992		.53	.13	.		
10-16	11:02	ATLANTA	GA	404-676-6868		1.19	.25	.		
10-16	12:49	BOSTON	MA	617-367-2894		7.62	.92	.		
10-15	13:00	JERSEYCITY	NJ	201-795-6200		.87	.09	.		
10-16	13:28	LITTLEROCK	AR	501-372-1992		2.32	.40	.		
10-16	14:52	LITTLEROCK	AR	501-372-1992		1.22	.27	.		
10-16	18:16	LITTLEROCK	AR	501-372-1992		3.23	.53	.		
10-17	16:32	INFRMATION	NJ	201-555-1212		.53	.36	.		
10-18	11:42	GREENCASTL	IN	317-653-2761		11.29	1.52	.		
10-18	12:18	LITTLEROCK	AR	501-372-1992		2.97	.40	.		
10-18	18:14	SACRAMENTO	CA	916-445-6131		.47	.08	.		

9704380332C

ATTACHMENT D (6)

CDC:MOD 1/RPT 2 — GOLDMAN SACHS & CO — PAGE: 30546
DATE:11/25/91
TIME:23:21:27

SITE: 91 EXT: 212 902 7391 01
KELLEY, KEVIN M.
DEPARTMENT 0006103 GS CAPITAL
DIVISION 0000350 OTHER DIVISION

EXTENSION DETAIL REPORT

SEP. 19 THRU OCT. 18

DATE	TIME	LOCATION CALLED	NUMBER CALLED	CALLS	MINUTES	COST	ROUTE	IDENTIFICATION	ACCOUNT NO
AT&T DOMESTIC VYNS									
10-16	18:16	WASHINGTON DC	202-244-6252		.78	.68	.		
		CATEGORY TOTALS:		160	686.01	75.59			
MCI DOMESTIC CALLS									
10-15	11:33	LITTLEROCK AR	501-372-1992		1.25	.27	.		
		CATEGORY TOTALS:		1	1.25	.27			

ATTACHMENT D (7)

CDC:MOD 1/RPT 2 — GOLDMAN SACHS & CO — PAGE: 30847

SITE: 01 EXT: 212 902 7351 01 — EXTENSION DETAIL REPORT — DATE: 11/29/91

KELLEY, KEVIN M. — TIME: 23:21:37

DEPARTMENT 0006103 GS CAPITAL

DIVISION 0000350 OTHER DIVISION

SEP. 19 THRU OCT. 18

DATE	TIME	LOCATION CALLED	NUMBER CALLED	CALLS	MINUTES	COST	ROUTE	IDENTIFICATION	ACCOUNT NO

** SUMMARY FOR EXTENSION **

	CALLS	MINUTES	COST	AVG. MIN/CALL	AVG. COST/CALL	AVG. COST/MIN
EQUIPMENT			[illegible]			
LOCAL	751	2,611.00	$138.27	3.74	$.18	$.05
LONG DISTANCE	186	738.67	$[illegible].47	3.97	$.58	$.13
INTERNATIONAL	8	50.33	$[illegible].84	6.29	$4.92	$.78
INCOMING	0	0.00	$.00	.00	$.00	$.00
TOLL FREE	0	0.00		.00		
DIRECTORY ASSY.	13	5.43	$[illegible].96	.42	$.30	$.73
TAX			$[illegible].79			
TOTAL	958	3,685.43	$[illegible].52	3.76	$.45	$.12

$72.54

67.45

$139.99

ATTACHMENT B (3)

CBC:HOD 1/RPT 2 GOLDMAN SACHS & CO PAGE: 29555
DATE:12/27/91
TIME:23:16:29

SITE: 01 EXT: 212 902 7391 01 EXTENSION DETAIL REPORT
KELLEY, KEVIN M.
DEPARTMENT 0005103 GS CAPITAL
DIVISION 0000350 OTHER DIVISION

OCT. 19 THRU NOV. 18

VENDOR	GRP/TYPE	DESCRIPTION	UNITS	COST
EQUIPMENT				
IPC	AD ADDA	DASA D8800 DIRECTORY DIALER	1	13.81
IPC	TP S40X	SRX 400X/V SET	1	85.52
NYTC	LC CXLC	CENTREX LINE CHARGE	1	26.00
	CATEGORY TOTALS:			125.33

DATE	TIME	LOCATION CALLED	NUMBER CALLED	CALLS	MINUTES	COST	ROUTE	IDENTIFICATION	ACCOUNT NO
LOCAL - NEW YORK CITY									
		CATEGORY TOTALS:		686	2,758.00	116.45			
LOCAL - EAST SUFFOLK									
		CATEGORY TOTALS:		4	8.00	1.85			
LOCAL - LOWER WESTCHESTER									
		CATEGORY TOTALS:		8	19.00	1.59			
LOCAL - UPPER WESTCHESTER									
		CATEGORY TOTALS:		1	7.00	1.03			
LOCAL - DIRECTORY ASSIST.									
		CATEGORY TOTALS:		44	44.00	22.26			
WATS BAND 0									
11-01	12:30	0000000000 00	518-465-2273		.65	.12	.		
11-03	16:36	0000000000 00	914-235-5857		16.48	2.17	.		
11-09	16:27	0000000000 00	518-465-4747		.87	.13	.		

ATTACHMENT B (5)

CDC:MOD 1/RPT 2 — GOLDMAN SACHS & CO — PAGE: 29526
DATE:12/27/91
TIME:23:16:39

SITE: 01 EXT: 212 902 7391 01
KELLEY, KEVIN M.
DEPARTMENT 0006103 GS CAPITAL
DIVISION 0000350 OTHER DIVISION

EXTENSION DETAIL REPORT

OCT. 19 THRU NOV. 18

DATE	TIME	LOCATION CALLED		NUMBER CALLED	CALLS	MINUTES	COST	ROUTE	IDENTIFICATION	ACCOUNT 89
WATS BAND 0										
11-05	18:23	0000000000	00	518-474-0390		.48	.12	.		
11-06	15:18	0000000000	00	516-727-2130		1.15	.25	.		
11-08	18:07	0000000000	00	518-474-8390		.60	.12	.		
11-11	10:15	0000000000	00	518-555-1212		.35	.12	.		
11-11	11:54	0000000000	00	315-468-8005		1.70	.25	.		
11-12	21:20	0000000000	00	315-446-3657		4.93	.64	.		
11-14	17:41	0000000000	00	516-537-1283		.95	.13	.		
11-15	11:20	0000000000	00	716-555-1212		.25	.12	.		
11-16	19:51	0000000000	00	518-439-9544		65.97	5.89	.		
11-19	10:18	0000000000	00	518-473-2389		.32	.12	.		
11-25	18:41	0000000000	00	518-465-2273		.62	.12	.		
11-26	18:45	0000000000	00	518-439-9544		37.87	4.67	.		
		CATEGORY TOTALS:			15	119.17	15.17			
INTRASTATE BDD										
09-24	09:19	ALBANY	NY	518-474-0491		1.00	.50	.		
09-25	11:44	ALBANY	NY	518-447-6500		2.00	.48	.		
09-26	15:33	ALBANY	NY	518-447-7965		12.00	2.23	.		
09-26	15:45	ALBANY	NY	518-465-2273		1.00	.30	.		
09-27	11:50	ALBANY	NY	518-474-0841		2.00	.48	.		
09-27	11:57	ALBANY	NY	518-474-0841		5.00	1.00	.		
09-27	15:03	ALBANY	NY	518-474-0841		1.00	.30	.		
10-02	09:47	ALBANY	NY	518-449-3333		1.00	.30	.		
10-11	19:49	POUGHKEPSI	NY	914-471-7130		12.00	1.74	.		
		CATEGORY TOTALS:			9	37.00	7.13			
AT&T DOMESTIC VTNS										
10-19	09:55	LITTLEROCK	AR	501-376-6884		2.20	.26	.		
10-20	15:50	LITTLEROCK	AR	501-372-1992		.57	.08	.		
10-20	17:57	INFRMATION	CT	203-555-1212		.23	.42	.		
10-20	18:38	ROCKVILLE	MD	301-340-7737		.38	.10	.		
10-20	20:19	LITTLEROCK	AR	501-372-1992		4.32	.49	.		
10-21	08:34	LITTLEROCK	AR	501-372-1992		.37	.14	.		
10-21	19:43	LITTLEROCK	AR	501-372-1992		2.82	.47	.		
10-22	11:55	BOCA RATON	FL	407-362-1500		.33	.16	.		
10-22	12:22	WASHINGTON	DC	202-224-4451		.90	.14	.		
10-22	12:39	LITTLEROCK	AR	501-376-6884		1.17	.32	.		
10-22	12:43	WASHINGTON	DC	202-296-8600		.93	.14	.		

ATTACHMENT D (10)

CDC:HOD 1/RPT 2 — GOLDMAN SACHS & CO — PAGE: 29527

SITE: 01 EXT: 212 902 7391 01 — EXTENSION DETAIL REPORT — DATE: 12/27/91

KELLEY, KEVIN M. — TIME: 23:16:29

DEPARTMENT 0006103 06 CAPITAL

DIVISION 0000350 OTHER DIVISION

OCT. 19 THRU NOV. 18

DATE	TIME	LOCATION CALLED		NUMBER CALLED	CALLS	MINUTES	COST	ROUTE	IDENTIFICATION	ACCOUNT NO
AT&T DOMESTIC VTNS										
10-22	12:04	LITTLEROCK	AR	501-372-1992		2.63	.87	.		
10-22	12:56	LITTLEROCK	AR	501-372-1992		3.63	.64	.		
10-22	13:01	BOSTON	MA	617-367-2694		7.53	1.09	.		
10-22	13:09	BOCA RATON	FL	407-362-1500		.23	.16	.		
10-22	14:13	WASHINGTON	DC	202-296-8600		8.73	1.23	.		
10-22	14:57	LITTLEROCK	AR	501-372-1992		.83	.16	.		
10-22	15:35	LITTLEROCK	AR	501-372-1992		1.33	.32	.		
10-22	15:51	LITTLEROCK	AR	501-372-1992		.30	.16	.		
10-22	16:15	LITTLEROCK	AR	501-372-1992		.27	.16	.		
10-22	16:16	WASHINGTON	DC	202-224-4451		1.07	.27	.		
10-22	17:00	LITTLEROCK	AR	501-372-1992		7.00	.84	.		
10-22	18:01	LITTLEROCK	AR	501-372-1992		2.05	.29	.		
10-22	18:36	WASHINGTON	DC	202-662-7200		1.42	.19	.		
10-22	19:14	LOSANGELES	CA	213-972-7519		12.78	1.27	.		
10-22	19:44	SAN RAFAEL	CA	415-499-1400		13.70	1.37	.		
10-22	20:21	BETHESDA	MD	301-229-1531		.65	.10	.		
10-22	20:23	WASHINGTON	DC	202-546-8223		.47	.10	.		
10-22	20:28	EUGENE	OR	503-484-2116		29.15	2.92	.		
10-22	20:54	WASHINGTON	DC	202-248-6252		5.62	.57	.		
10-22	21:13	LITTLEROCK	AR	501-372-1992		.87	.10	.		
10-22	21:13	INFORMATION	NJ	201-555-1212		.69	.42	.		
10-23	08:23	WASHINGTON	DC	202-248-6252		21.23	3.00	.		
10-23	08:46	PATERSON	NJ	201-345-9200		.10	.12	.		
10-23	09:44	WASHINGTON	DC	202-662-7216		15.53	2.18	.		
10-23	10:03	STAMFORD	CT	203-326-0655		.73	.12	.		
10-23	10:07	WASHINGTON	DC	202-296-8600		3.30	.55	.		
10-23	10:39	LITTLEROCK	AR	501-372-1992		4.12	.79	.		
10-23	12:18	LITTLEROCK	AR	501-376-6284		1.68	.32	.		
10-23	13:05	LITTLEROCK	AR	501-372-1992		.20	.16	.		
10-23	13:27	LITTLEROCK	AR	501-372-1992		.75	.16	.		
10-23	14:19	STAMFORD	CT	203-961-7400		.77	.12	.		
10-23	15:51	WASHINGTON	DC	202-429-8744		5.40	.82	.		
10-23	16:06	LITTLEROCK	AR	501-372-1992		2.92	.47	.		
10-25	11:29	FLS CHURCH	VA	703-237-5150		.83	.14	.		
10-25	12:32	WASHINGTON	DC	202-248-6252		.53	.14	.		
10-25	13:42	LITTLEROCK	AR	501-372-1992		.48	.16	.		
10-25	15:11	LITTLEROCK	AR	501-372-1992		1.07	.32	.		
10-25	16:47	WASHINGTON	DC	202-248-6252		4.85	.68	.		
10-28	08:55	WASHINGTON	DC	202-544-6093		.68	.14	.		
10-28	08:58	WASHINGTON	DC	202-248-6252		28.92	3.96	.		
10-28	11:24	HACKENSACK	NJ	201-342-9500		.82	.12	.		
10-28	11:50	BOSTON	MA	617-584-1225		.57	.14	.		

9704380333 25

ATTACHMENT D (11)

CDC:NOD 1/RPT 2 — GOLDMAN SACHS & CO — PAGE: 29528
DATE: 12/27/91
TIME: 23:16:29

SITE: 01 EXT: 212 902 7391 01 — EXTENSION DETAIL REPORT
KELLEY, KEVIN M.
DEPARTMENT 0006103 GS CAPITAL
DIVISION 0000350 OTHER DIVISION

OCT. 19 THRU NOV. 18

DATE	TIME	LOCATION CALLED		NUMBER CALLED	CALLS	MINUTES	COST	ROUTE	IDENTIFICATION	ACCOUNT NO
AT&T DOMESTIC VTNS										
10-28	12:09	FLS CHURCH	VA	703-237-5130		.65	.14			
10-28	12:31	MANCHESTER	NH	603-626-1000		1.77	.27			
10-28	12:36	SACRAMENTO	CA	916-445-6131		.27	.16			
10-28	12:42	LITTLEROCK	AR	501-372-1992		1.58	.32			
10-28	13:45	LITTLEROCK	AR	501-372-1992		.48	.16			
10-28	13:50	LITTLEROCK	AR	501-372-1992		1.93	.32			
10-28	14:18	WASHINGTON	DC	202-338-8700		.28	.14			
10-28	16:59	WASHINGTON	DC	202-429-8744		.18	.14			
10-28	17:26	LITTLEROCK	AR	501-372-1992		.85	.10			
10-29	09:12	LITTLEROCK	AR	501-372-1992		1.52	.32			
10-29	09:25	WASHINGTON	DC	202-338-8700		3.03	.55			
10-29	13:24	LITTLEROCK	AR	501-372-1992		1.92	.32			
10-29	14:47	LITTLEROCK	AR	501-372-2292		.08	.16			
10-29	15:27	LITTLEROCK	AR	501-372-1992		2.38	.47			
10-29	15:49	LITTLEROCK	AR	501-372-1992		2.13	.47			
10-29	15:51	WASHINGTON	DC	202-429-8744		.43	.14			
10-29	16:58	BOSTON	MA	617-534-1225		4.13	.68			
10-30	09:31	LITTLEROCK	AR	501-372-1992		3.88	.64			
10-30	09:45	LITTLEROCK	AR	501-372-1992		2.73	.47			
10-30	10:15	LITTLEROCK	AR	501-372-1992		.43	.16			
10-30	11:11	WYCOMBE	PA	215-598-0401		.32	.14			
10-30	12:09	SACRAMENTO	CA	916-445-6131		.23	.16			
10-30	12:57	LITTLEROCK	AR	501-372-1992		.68	.16			
10-30	13:00	LITTLEROCK	AR	501-682-2345		.58	.16			
10-30	13:15	WASHINGTON	DC	202-429-8744		34.12	4.78			
10-30	15:39	SACRAMENTO	CA	916-445-6131		.38	.15			
10-30	17:34	WASHINGTON	DC	202-546-8223		.05	.10			
10-30	17:36	SAN RAFAEL	CA	415-499-1400		.18	.10			
10-30	17:36	LOSANGELES	CA	213-972-7519		.90	.19			
10-30	17:49	LITTLEROCK	AR	501-372-1992		.95	.10			
10-30	18:17	LITTLEROCK	AR	501-372-1992		1.87	.20			
10-31	10:22	LITTLEROCK	AR	501-372-1992		5.18	.95			
10-31	11:14	FLS CHURCH	VA	703-237-5130		.70	.14			
10-31	11:23	WASHINGTON	DC	202-338-8700		1.43	.27			
10-31	12:57	LITTLEROCK	AR	501-372-1992		.47	.16			
10-31	12:19	LITTLEROCK	AR	501-372-1993		1.57	.32			
10-31	12:32	LITTLEROCK	AR	501-372-1992		1.58	.32			
10-31	13:12	SACRAMENTO	CA	916-445-6131		.30	.15			
10-31	13:21	MACKENSACK	NJ	201-342-5500		1.27	.23			
10-31	14:16	WASHINGTON	DC	202-429-8744		.47	.14			
10-31	14:17	WASHINGTON	DC	202-244-6252		11.92	1.64			
10-31	15:03	WASHINGTON	DC	202-624-7668		4.73	.66			

9 7 0 4 3 8 0 3 3 2 6

ATTACHMENT B (12)

GDC:MOD 1/RPT 2 GOLDMAN SACHS & CO PAGE: 29529
DATE:12/27/91
TIME:23:16:29

SITE: 01 EXT: 212 902 7391 01 EXTENSION DETAIL REPORT
KELLEY, KEVIN M.
DEPARTMENT 0006103 GS CAPITAL
DIVISION 0000350 OTHER DIVISION

OCT. 19 THRU NOV. 19

DATE	TIME	LOCATION CALLED	NUMBER CALLED	CALLS	MINUTES	COST	ROUTE	IDENTIFICATION	ACCOUNT NO
AT&T DOMESTIC VTNS									
10-31	15:13	WASHINGTON DC	202-544-6093		4.52	.63	.		
10-31	16:22	LITTLEROCK AR	501-372-1992		1.77	.32	.		
10-31	16:59	LITTLEROCK AR	501-372-1992		.47	.16	.		
10-31	17:49	WASHINGTON DC	202-457-6474		.45	.10	.		
10-31	18:30	WASHINGTON DC	202-546-0007		6.68	.66	.		
10-31	18:48	LEXINGTON MA	617-532-1225		.27	.10	.		
10-31	18:49	BROOKLINE MA	617-232-5990		31.58	3.02			
11-01	09:55	LITTLEROCK AR	501-372-1992		5.48	.95	.		
11-01	10:42	BOCA RATON FL	407-362-1500		3.58	.64	.		
11-01	11:40	LITTLEROCK AR	501-372-1992		.40	.16	.		
11-01	11:46	BOCA RATON FL	407-362-1500		.22	.16	.		
11-01	11:57	WASHINGTON DC	202-429-8744		.73	.14	.		
11-01	14:25	BOCA RATON FL	407-362-1500		1.15	.32	.		
11-01	15:42	ORANGE NJ	201-731-6800		5.80	.70	.		
11-01	17:20	WASHINGTON DC	202-544-5285		1.15	.19	.		
11-01	17:42	SACRAMENTO CA	916-445-6131		.17	.10	.		
11-01	17:43	SAN RAFAEL CA	415-499-1400		.42	.10	.		
11-01	17:53	CAMBRIDGE MA	617-495-1360		.35	.10	.		
11-01	18:23	LITTLEROCK AR	501-372-1992		2.22	.29	.		
11-03	19:16	WASHINGTON DC	202-546-8223		19.62	1.89	.		
11-04	11:02	LITTLEROCK AR	501-372-1992		.40	.16	.		
11-04	11:49	LITTLEROCK AR	501-372-1992		1.85	.32	.		
11-04	12:35	STAMFORD CT	203-328-0655		.37	.12	.		
11-04	12:43	HOUSTON TX	713-963-6810		1.30	.32	.		
11-04	16:17	LITTLEROCK AR	501-372-1992		11.35	1.90	.		
11-04	16:30	WASHINGTON DC	202-429-8744		.28	.14	.		
11-04	19:12	WASHINGTON DC	202-244-6252		7.57	.75	.		
11-05	10:43	LITTLEROCK AR	501-372-1992		12.63	2.06	.		
11-05	11:05	CAMBRIDGE MA	617-495-1360		.38	.14	.		
11-05	11:14	CAMBRIDGE MA	617-495-1360		7.45	1.09	.		
11-05	11:29	HACKENSACK NJ	201-646-5587		1.85	.23	.		
11-05	12:51	WASHINGTON DC	202-429-8744		10.37	1.50	.		
11-05	15:22	LITTLEROCK AR	501-372-1992		.93	.16	.		
11-05	19:00	INFORMATION CT	203-555-1212		.58	.42	.		
11-05	19:02	WASHINGTON DC	202-457-6474		.38	.10	.		
11-05	19:29	WYCOMBE PA	215-598-0401		7.23	.73	.		
11-05	19:37	BALTIMORE MD	301-467-6139		22.72	2.17	.		
11-05	20:01	WASHINGTON DC	202-244-6252		10.20	1.04	.		
11-06	09:30	WASHINGTON DC	202-429-8744		9.33	1.37	.		
11-06	12:30	LITTLEROCK AR	501-372-1992		.33	.16	.		
11-06	14:17	BOCA RATON FL	407-362-1500		.75	.16	.		
11-06	15:39	LITTLEROCK AR	501-372-1992		2.18	.47	.		

ATTACHMENT D (13)

CDC:MOD 1/RPT 2 — GOLDMAN SACHS & CO — PAGE: 29530

SITE: 01 EXT: 212 902 7391 01 — EXTENSION DETAIL REPORT — DATE: 12/27/91

KELLEY, KEVIN M. — TIME: 23:16:29

DEPARTMENT 0006103 GS CAPITAL

DIVISION 0000350 OTHER DIVISION

OCT. 19 THRU NOV. 12

DATE	TIME	LOCATION CALLED		NUMBER CALLED	CALLS	MINUTES	COST	ROUTE	IDENTIFICATION	ACCOUNT NO
AT&T DOMESTIC VTNS										
11-06	15:56	LITTLEROCK	AR	501-372-1992		.85	.16	.		
11-06	17:52	WASHINGTON	DC	202-296-9600		2.07	.29	.		
11-06	18:03	WASHINGTON	DC	202-429-8744		.40	.10	.		
11-06	18:14	WASHINGTON	DC	202-544-6093		.62	.10	.		
11-06	18:42	LITTLEROCK	AR	501-372-1992		1.12	.20	.		
11-06	21:15	WASHINGTON	DC	202-429-8744		3.65	.57	.		
11-06	21:22	SACRAMENTO	CA	916-485-6131		1.17	.20	.		
11-06	21:25	WASHINGTON	DC	202-429-8744		20.45	1.98	.		
11-07	10:37	LITTLEROCK	AR	501-372-1992		.18	.16	.		
11-07	12:59	LITTLEROCK	AR	501-372-1992		1.25	.32	.		
11-07	12:11	LITTLEROCK	AR	501-372-1992		3.02	.64	.		
11-08	09:29	LITTLEROCK	AR	501-372-1992		.82	.16	.		
11-08	10:20	LITTLEROCK	AR	501-372-1992		8.18	1.43	.		
11-08	11:59	LITTLEROCK	AR	501-372-1992		3.47	.64	.		
11-08	13:37	WASHINGTON	DC	202-544-6093		3.58	.55	.		
11-08	17:01	LITTLEROCK	AR	501-372-1992		3.02	.39	.		
11-08	19:06	LITTLEROCK	AR	501-372-1992		5.77	.59	.		
11-08	19:08	LITTLEROCK	AR	501-372-1992		5.43	.59	.		
11-09	11:29	ROCKVILLE	MD	301-279-7997		5.57	.47	.		
11-11	09:36	LITTLEROCK	AR	501-372-1992		1.25	.32	.		
11-11	10:36	LITTLEROCK	AR	501-372-1992		2.60	.47	.		
11-11	11:15	LITTLEROCK	AR	501-372-1992		.67	.16	.		
11-11	11:21	LITTLEROCK	AR	501-372-1992		1.32	.32	.		
11-11	11:28	LITTLEROCK	AR	501-376-6384		.20	.16	.		
11-11	11:32	LITTLEROCK	AR	501-372-1992		2.18	.47	.		
11-11	12:03	LITTLEROCK	AR	501-372-1992		7.57	1.27	.		
11-11	12:35	WASHINGTON	DC	202-429-8744		.40	.10	.		
11-11	13:05	LITTLEROCK	AR	501-372-1992		.93	.16	.		
11-11	13:07	WILMINGTON	DE	302-654-6102		.58	.14	.		
11-11	13:37	WASHINGTON	DC	202-775-8215		.98	.14	.		
11-11	13:51	LITTLEROCK	AR	501-372-1992		1.63	.32	.		
11-11	13:59	LITTLEROCK	AR	501-372-1992		.07	.16	.		
11-11	16:03	LITTLEROCK	AR	501-372-1992		1.70	.32	.		
11-11	16:31	LITTLEROCK	AR	501-372-1992		.78	.16	.		
11-11	16:48	LITTLEROCK	AR	501-372-1992		2.32	.47	.		
11-11	16:54	LITTLEROCK	AR	501-372-1992		3.27	.64	.		
11-11	16:57	LITTLEROCK	AR	501-376-6384		.67	.16	.		
11-11	17:06	EATONTOWN	NJ	908-389-8181		.28	.08	.		
11-11	17:07	ORANGE	NJ	201-731-6800		.67	.08	.		
11-11	17:08	BURBANK	CA	818-954-2929		2.66	.39	.		
11-11	18:31	LITTLEROCK	AR	501-372-1992		12.27	1.27	.		
11-12	10:56	WASHINGTON	DC	202-457-6474		9.68	1.37	.		

ATTACHMENT D (14)

CDC:NOD 1/RPT 2 GOLDMAN SACHS & CO PAGE: 29531
DATE:12/27/91
TIME:23:16:29

SITE: 01 EXT: 212 902 7991 01 EXTENSION DETAIL REPORT
KELLEY, KEVIN M.
DEPARTMENT 0006103 GS CAPITAL
DIVISION 0000350 OTHER DIVISION

OCT. 19 THRU NOV. 18,

DATE	TIME	LOCATION CALLED		NUMBER CALLED	CALLS	MINUTES	COST	ROUTE	IDENTIFICATION	ACCOUNT NO
AT&T DOMESTIC YTMS										
11-12	12:57	FRMT NWRK	CA	415-471-7300		.13	.16	.		
11-12	13:32	ORADELL	NJ	201-262-7800		.82	.12	.		
11-12	13:58	WASHINGTON	DC	202-544-6093		1.95	.27	.		
11-12	14:01	WASHINGTON	DC	202-544-6093		2.98	.41	.		
11-12	16:09	LITTLEROCK	AR	501-372-1992		.42	.16	.		
11-12	16:32	WASHINGTON	DC	202-544-6093		1.75	.27	.		
11-12	16:50	WASHINGTON	DC	202-338-0700		1.67	.27	.		
11-12	16:58	PROVIDENCE	RI	401-277-2601		1.72	.27	.		
11-12	17:17	LITTLEROCK	AR	501-372-1992		.52	.10	.		
11-12	17:18	WASHINGTON	DC	202-544-6093		1.50	.19	.		
11-12	18:00	LITTLEROCK	AR	501-372-1992		.55	.10	.		
11-12	19:47	LITTLEROCK	AR	501-372-1992		3.98	.49	.		
11-13	09:17	WASHINGTON	DC	202-544-6093		.78	.14	.		
11-13	09:41	LITTLEROCK	AR	501-372-1992		2.97	.47	.		
11-13	10:20	LITTLEROCK	AR	501-372-1992		10.05	1.74	.		
11-14	15:02	WASHINGTON	DC	202-544-6093		1.66	.27	.		
11-14	16:52	LITTLEROCK	AR	501-372-1992		1.42	.32	.		
11-14	19:25	JERSEYCITY	NJ	201-653-4697		5.32	.44	.		
11-15	08:52	LITTLEROCK	AR	501-372-1992		.38	.16	.		
11-15	08:57	LITTLEROCK	AR	501-372-1992		.27	.16	.		
11-15	08:59	WASHINGTON	DC	202-429-8744		.35	.14	.		
11-15	09:58	BRIDGEPORT	CT	203-382-0655		.23	.12	.		
11-15	10:01	STAMFORD	CT	203-328-0635		.50	.12	.		
11-15	10:42	BIRMINGHAM	AL	205-326-3366		2.55	.45	.		
11-15	10:45	CHICAGO	IL	312-944-7737		2.50	.45	.		
11-15	10:48	LITTLEROCK	AR	501-372-1992		1.30	.32	.		
11-15	11:02	LITTLEROCK	AR	501-372-1992		.60	.16	.		
11-15	11:05	PROVIDENCE	RI	401-277-2601		2.00	.27	.		
11-15	13:44	LITTLEROCK	AR	501-372-1992		1.02	.32	.		
11-15	13:46	LITTLEROCK	AR	501-372-1992		1.08	.32	.		
11-15	14:24	LITTLEROCK	AR	501-372-1992		.78	.16	.		
11-15	14:52	LITTLEROCK	AR	501-372-1992		3.87	.64	.		
11-15	15:54	LITTLEROCK	AR	501-372-1992		2.90	.47	.		
11-15	17:47	LITTLEROCK	AR	501-372-1992		.48	.10	.		
11-15	17:48	CHICAGO	IL	312-944-7737		14.42	1.96	.		
11-15	17:50	LITTLEROCK	AR	501-372-1992		3.68	.59	.		
11-15	17:59	PROVIDENCE	RI	401-277-2601		2.85	.29	.		
11-15	18:10	LITTLEROCK	AR	501-372-1992		2.19	.29	.		
11-15	19:20	LITTLEROCK	AR	501-372-1992		4.68	.49	.		
11-15	19:24	LITTLEROCK	AR	501-372-1992		.13	.10	.		
11-16	18:19	LITTLEROCK	AR	501-372-1992		18.23	1.64	.		
11-16	20:38	LITTLEROCK	AR	501-372-1992		1.15	.16	.		

9704380333 29

ATTACHMENT B (15)

CDC:MOD 1/NPT 2 — GOLDMAN SACHS & CO — PAGE: 29582
DATE: 12/27/91
TIME: 23:16:25

SITE: 01 EXT: 212 902 7391 01
KELLEY, KEVIN M.
DEPARTMENT 0006103 GS CAPITAL
DIVISION 0000350 OTHER DIVISION

EXTENSION DETAIL REPORT

OCT. 19 THRU NOV. 18

DATE	TIME	LOCATION CALLED	NUMBER CALLED	CALLS	MINUTES	COST	ROUTE	IDENTIFICATION	ACCOUNT NO
AT&T DOMESTIC VTNS									
11-16	20:42	DELRAY BCH FL	407-274-9583		.55	.08	.		
11-17	17:55	LITTLEROCK AR	501-372-1992		.73	.10	.		
11-17	18:39	LITTLEROCK AR	501-372-1992		.63	.10	.		
11-17	19:22	LITTLEROCK AR	501-372-1992		6.18	.68	.		
11-17	19:52	LITTLEROCK AR	501-372-1992		.23	.10	.		
11-18	12:05	LITTLEROCK AR	501-372-1992		1.22	.32	.		
11-18	12:07	LITTLEROCK AR	501-372-1992		1.18	.32	.		
11-18	12:33	LITTLEROCK AR	501-372-1992		1.77	.32	.		
11-18	12:38	WASHINGTON DC	202-296-8600		.30	.14	.		
11-18	12:55	WASHINGTON DC	202-863-1500		.93	.14	.		
11-18	13:15	WASHINGTON DC	202-783-6220		.03	.14	.		
11-18	17:12	LITTLEROCK AR	501-372-1992		.23	.10	.		
11-18	17:32	WASHINGTON DC	202-344-1953		1.87	.19	.		
11-18	17:41	WASHINGTON DC	202-775-8213		.57	.10	.		
11-18	17:49	LITTLEROCK AR	501-372-1992		2.47	.29	.		
CATEGORY TOTALS:				236	763.01	111.01			

** SUMMARY FOR EXTENSION **

	CALLS	MINUTES	COST	AVG. MIN/CALL	AVG. COST/CALL	AVG. COST/MIN
EQUIPMENT			$125.33			
LOCAL	748	2,836.00	$143.18	3.82	$.19	$.05
LONG DISTANCE	255	911.12	$181.81	3.57	$.52	$.14
INTERNATIONAL	0	0.00	$.00	.00	$.00	$.00
INCOMING	0	0.00	$.00	.00	$.00	$.00
TOLL FREE	0	0.00		.00		
DIRECTORY ASST.	5	2.06	$1.50	.41	$.30	$.73
TAX			$49.00			
TOTAL	1,003	3,749.18	$441.82	3.74	$.44	$.12

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ATTACHMENT D (16)

CDC:MOD 1/RPT 2 — GOLDMAN SACHS & CO — PAGE: 29136
SITE: 01 EXT: 212 902 7391 01 — EXTENSION DETAIL REPORT — DATE:01/31/92
KELLEY, KEVIN M. — TIME:23:19:22
DEPARTMENT 0006103 GS CAPITAL
DIVISION 0000350 OTHER [illegible]

NOV. 19 THRU DEC. 18

VENDOR	GRP/TYPE	DESIGNATION		UNITS	COST
EQUIPMENT					
IPC	AD	ADDA	DASA D4800 DIRECTORY DIALER	1	13.81
IPC	TP	540X	SRX 400X/W SET	1	85.52
NYTC	LC	CXLC	CENTREX LINE CHARGE	1	26.00
	CATEGORY TOTALS:				125.33 ÷30= #4.18 X 18 = $75.24

DATE	TIME	LOCATION CALLED		NUMBER CALLED	CALLS	MINUTES	COST	ROUTE	IDENTIFICATION	ACCOUNT NO
LOCAL - NEW YORK CITY										
		CATEGORY TOTALS:			266	1,018.00	44.27			
LOCAL - LOWER WESTCHESTER										
		CATEGORY TOTALS:			3	10.00	.84			
LOCAL - DIRECTORY ASSIST.										
		CATEGORY TOTALS:			10	10.00	5.06			
WATS BAND 0										
12-02	19:40	0000000000	NY	518-434-4220		.38	.12	:		
12-03	12:50	0000000000	NY	518-439-5400		.77	.12	:		
		CATEGORY TOTALS:			2	1.15	.24			
INTRASTATE 800										
10-22	13:05	ALBANY	NY	518-465-2273		4.00	.83	:		
10-23	12:28	ALBANY	NY	518-447-8081		1.00	.30	:		
		CATEGORY TOTALS:			2	5.00	1.13			

9 7 0 4 3 8 0 3 3 3 1

ATTACHMENT D (17)

CDC:MOD 1/RPT 2 GOLDMAN SACHS & CO PAGE: 29137
DATE: 01/31/92
TIME: 23:19:22

SITE: 01 EXT: 212 902 7391 01 EXTENSION DETAIL REPORT
KELLEY, KEVIN M.
DEPARTMENT 0006103 GS CAPITAL
DIVISION 0000350 OTHER DIVISION

NOV. 19 THRU DEC. 18

DATE	TIME	LOCATION CALLED	NUMBER CALLED	CALLS	MINUTES	COST	ROUTE	IDENTIFICATION	ACCOUNT NO
AT&T DOMESTIC VTNS									
11-19	10:18	WASHINGTON DC	202-544-6093		.65	.14	.		
11-19	10:36	LITTLEROCK AR	501-372-1992		8.42	1.43	.		
11-19	11:40	LITTLEROCK AR	501-372-1992		6.62	1.11	.		
11-19	12:53	LITTLEROCK AR	501-372-1992		4.23	.79	.		
11-19	13:03	WASHINGTON DC	202-244-6252		4.65	.68	.		
11-19	16:46	WASHINGTON DC	202-244-6252		.65	.14	.		
11-20	12:19	LITTLEROCK AR	501-372-1992		.58	.16	.		
11-20	12:30	LITTLEROCK AR	501-372-1992		2.48	.47	.		
11-20	12:57	LITTLEROCK AR	501-372-1992		.73	.16	.		
11-20	13:29	LITTLEROCK AR	501-372-1992		.45	.16	.		
11-20	14:14	LITTLEROCK AR	501-372-1992		.43	.16	.		
11-20	14:41	LITTLEROCK AR	501-372-1992		2.70	.47	.		
11-20	14:41	LITTLEROCK AR	501-372-1992		1.77	.32	.		
11-20	16:57	LITTLEROCK AR	501-372-1992		.35	.16	.		
11-20	17:10	LITTLEROCK AR	501-372-1992		5.47	.59	.		
11-20	17:20	WASHINGTON DC	202-429-8744		.22	.10	.		
11-20	17:21	WASHINGTON DC	202-429-8744		.47	.10	.		
11-20	17:59	LITTLEROCK AR	501-372-1992		1.35	.20	.		
11-21	09:19	LITTLEROCK AR	501-372-1992		7.15	1.27	.		
11-21	09:44	LITTLEROCK AR	501-372-1992		1.82	.32	.		
11-21	10:12	LITTLEROCK AR	501-372-1992		.27	.16	.		
11-21	10:13	LITTLEROCK AR	501-372-1992		.27	.16	.		
11-21	10:15	WASHINGTON DC	202-429-8744		.43	.14	.		
11-21	11:26	LITTLEROCK AR	501-372-1992		1.28	.32	.		
11-21	11:30	PROVIDENCE RI	401-277-2601		4.52	.68	.		
11-21	12:04	GREENWICH CT	203-629-2181		7.30	1.20	.		
11-21	12:38	DALLAS TX	214-879-5550		.72	.16	.		
11-21	14:44	LITTLEROCK AR	501-372-1992		.48	.16	.		
11-22	13:37	LITTLEROCK AR	501-372-1992		.48	.16	.		
11-26	10:19	LITTLEROCK AR	501-372-1992		2.12	.47	.		
11-26	11:22	LITTLEROCK AR	501-372-1992		1.27	.32	.		
11-26	11:24	INFORMATION CT	203-553-1212		.30	.42	.		
11-26	11:30	WESTPORT CT	203-221-7400		.30	.12	.		
11-26	12:25	WYCOMBE PA	215-598-0401		2.78	.41	.		
11-26	12:36	LITTLEROCK AR	501-372-1992		1.00	.16	.		
11-26	13:01	WYCOMBE PA	215-598-0401		.55	.14	.		
11-26	13:03	BOCA RATON FL	407-362-1500		3.02	.64	.		
11-26	14:26	INFORMATION CT	203-553-1212		.43	.42	.		
11-26	14:52	LAIS NI	608-293-2841		12.07	2.71	.		
11-26	15:07	WYCOMBE PA	215-598-0401		.25	.14	.		
11-26	15:09	BEVERLYHLS CA	213-278-8300		.48	.16	.		
11-26	16:19	BOCA RATON FL	407-362-1500		.53	.16	.		

ATTACHMENT B (18)

SYS:MOD 1/RPT 2 — GOLDMAN SACHS & CO — PAGE: 29138
DATE: 01/31/92
TIME: 23:19:22

SITE: 01 EXT: 212 902 7391 01 — EXTENSION DETAIL REPORT
KELLEY, KEVIN M.
DEPARTMENT 0006103 GS CAPITAL
DIVISION 0000350 OTHER DIVISION

NOV. 19 THRU DEC. 18

DATE	TIME	LOCATION CALLED		NUMBER CALLED	CALLS	MINUTES	COST	ROUTE	IDENTIFICATION	ACCOUNT NO
ATT DOMESTIC VTNS										
11-26	17:00	LITTLEROCK	AR	501-372-1992		3.37	.45			
11-26	18:09	LITTLEROCK	AR	501-372-1992		1.55	.20			
11-27	16:14	WASHINGTON	DC	202-338-8700		3.55	.55			
11-27	16:20	WASHINGTON	DC	202-338-8700		.47	.14			
11-27	16:34	INFORMATION	CT	203-555-1212		.42	.42			
11-27	17:03	LITTLEROCK	AR	501-372-1992		1.22	.20			
12-02	09:55	LITTLEROCK	AR	501-372-1992		3.48	.64			
12-02	10:14	LITTLEROCK	AR	501-372-1992		.53	.16			
12-02	11:33	LITTLEROCK	AR	501-372-1992		.42	.16			
12-02	13:09	PROVIDENCE	RI	401-277-2601		.63	.14			
12-02	16:46	WASHINGTON	DC	202-344-4095		6.28	.96			
12-02	16:59	BOCA RATON	FL	407-362-1500		.88	.16			
12-02	19:00	SAN RAFAEL	CA	415-491-1400		3.77	.59			
12-02	19:07	LITTLEROCK	AR	501-372-1992		.50	.10			
12-02	19:15	LITTLEROCK	AR	501-372-1992		1.42	.20			
12-02	19:18	LITTLEROCK	AR	501-372-1992		2.95	.29			
12-03	10:49	BOSTON	MA	617-334-1225		.75	.18			
12-03	10:51	BOSTON	MA	617-334-1225		.53	.18			
12-03	11:39	LITTLEROCK	AR	501-372-1992		.53	.16			
12-03	11:41	WASHINGTON	DC	202-879-3846		.43	.14			
12-03	11:58	LITTLEROCK	AR	501-372-1992		1.03	.32			
12-03	12:14	LITTLEROCK	AR	501-372-1992		1.50	.32			
12-04	10:14	LITTLEROCK	AR	501-372-1992		1.33	.32			
12-04	11:32	LITTLEROCK	AR	501-372-1992		.92	.16			
12-04	12:35	PROVIDENCE	RI	401-277-2601		3.73	.55			
12-04	12:40	PROVIDENCE	RI	401-277-2601		1.18	.27			
12-04	12:56	LITTLEROCK	AR	501-372-1992		3.72	.64			
12-04	14:07	LITTLEROCK	AR	501-372-1992		.48	.16			
12-04	15:45	LITTLEROCK	AR	501-372-1992		.48	.16			
12-04	23:00	LITTLEROCK	AR	501-372-1992		.47	.10			
12-04	23:18	LITTLEROCK	AR	501-372-1992		.25	.06			
12-05	17:23	DALLAS	TX	214-521-7898		3.10	.39			
12-06	10:51	LITTLEROCK	AR	501-372-1992		.15	.16			
12-06	11:16	CHICAGO	IL	312-960-7737		.22	.35			
12-06	11:26	LITTLEROCK	AR	501-372-1992		.07	.16			
12-06	15:06	DALLAS	TX	214-521-7898		9.53	1.59			
12-06	16:30	LITTLEROCK	AR	501-372-1992		.70	.18			
		CATEGORY TOTALS:			79	157.56	30.05			

MCI DOMESTIC (902)

9 7 0 4 3 8 0 3 3 3 3

ATTACHMENT B (19)

LOC: NOC 1/RPT 2 — GOLDMAN SACHS & CO — PAGE: 29139
SITE: 01 EXT: 212 902 7391 01 — EXTENSION DETAIL REPORT — DATE: 01/31/92
KELLEY, KEVIN M. — TIME: 23:19:27
DEPARTMENT 0006105 GS CAPITAL
DIVISION 0000350 OTHER DIVISION

NOV. 19 THRU DEC. 18

DATE	TIME	LOCATION CALLED	NUMBER CALLED	CALLS	MINUTES	COST	ROUTE	IDENTIFICATION	ACCOUNT NO
MCI DOMESTIC (902)									
11-25	11:14	LITTLEROCK AR	501-372-1992		7.23	1.27	.		
		CATEGORY TOTALS:		1	7.23	1.27			
IPM WASHINGTON, DC									
11-22	13:59	WASHINGTON DC	202-637-3755		16.98	1.70	.		
		CATEGORY TOTALS:		1	16.98	1.70			

** SUMMARY FOR EXTENSION **

	CALLS	MINUTES	COST	AVG. MIN/CALL	AVG. COST/CALL	AVG. COST/MIN
EQUIPMENT			$175.24			
LOCAL	279	1,038.00	850.17	3.72	3.18	0.05
LONG DISTANCE	82	186.77	835.13	2.28	8.40	5.13
INTERNATIONAL	0	0.00	0.00	.00	0.00	0.00
INCOMING	0	0.00	0.00	.00	0.00	0.00
TOLL FREE	0	0.00		.00		
DIRECTORY ASST.	3	1.15	01.26	.38	5.42	01.10
TAX			012.84			
TOTAL	564	1,225.92	[illegible]	3.37	8.61	5.18

$172.64

9 7 0 4 3 8 0 3 3 3 4

CLINTON FOR PRESIDENT COMMITTEE
PHONE (501) 372-1992
P.O. BOX 615
LITTLE ROCK, AR 72203

WORTHEN
NATIONAL BANK OF ARKANSAS
LITTLE ROCK, ARKANSAS 72203

1397

81-7/820

4408

CHECK NO.	DATE	AMOUNT
1397	12-12-91	625.00

PAY Six hundred twenty five and 00/100's

TO THE ORDER OF
Goldman Sachs & Co.
85 Broad Street
New York, NY 10004

TWO SIGNATURES FOR AMOUNTS OVER $2,000

W. Dale Wolf

NON-NEGOTIABLE

⑈001397⑈ ⑆082000073⑆008941⑉326⑉5⑈

CLINTON FOR PRESIDENT COMMITTEE
LITTLE ROCK, AR 72203

DETACH AND RETAIN THIS STATEMENT
THE ATTACHED CHECK IS IN PAYMENT OF ITEMS DESCRIBED BELOW
IF NOT CORRECT, PLEASE NOTIFY US PROMPTLY. NO RECEIPT DESIRED

DATE	DESCRIPTION	AMOUNT
12-12-91	Copying for NYC Fundraiser on12-10-91	625.00

POSTED

NON-NEGOTIABLE

Bill Clinton
FOR PRESIDENT COMMITTEE

TO : KELLY AFSHAR
FROM : PAUL R. CAREY

AS PER OUR TELEPHONE CONVERSATION, I NEED A CHECK IN THE AMOUNT OF $625.00 FOR A COPYING JOB THAT HAD TO BE DONE ON AN IMMEDIATE BASIS BY GOLDMAN SACHS. THE COPYING WAS RELATED TO THE FUNDRAISER HELD IN NYC ON TUESDAY, DECEMBER 10. IT IS VERY IMPORTANT THAT WE REIMBURSE GOLDMAN SACHS FOR THIS IMMEDIATELY TO AVOID ANY PROBLEMS.

PLEASE SEND BY AIRBORNE TODAY (12/12) TO:

PATRICIA KORVAL
GOLDMAN SACHS & CO.
85 BROAD STREET
NEW YORK, NY 10004

19th FLOOR (212) 902-5683

9704380333335

P.O. Box 615 • Little Rock, Arkansas 72203
Telephone (501) 372-1992 • FAX (501) 372-2202
Paid for by the Clinton for President Committee

CLINTON FOR PRESIDENT COMMITTEE

URGENT/PLEASE RUSH

FAX COVER PAGE

TO: Keeley Ardman
FAX # 501 - 372-2242
OF PAGES 2 INCLUDING COVER

FROM: PAUL R. CAREY
FAX # (212) 902-4103
PHONE # (212)902-7391

REMARKS

Please cut this check today and send it overnight to Palmer -

Thank You

Paul

9704380333 6

P.O. Box 315 • Little Rock, Arkansas 72203
Telephone (501) 372-1992 • FAX (501) 372-3992
Paid for by the Clinton for President Committee

Payment

~~Reimbursement Request~~

PAID

To: Paul R. Carey

From: Kenneth D. Brody

Date: 12-12

Please have the campaign comptroller reimburse me in the amount of $ 625.00 for expenses incurred by me for the Clinton '92 campaign. These expenses were paid by me personally as a courtesy and in advance to secure agreements or reservations with New York vendors or banquet facilities as the New York campaign office, being a temporary entity, was neither estasblished nor able to get a line of credit for such services or due to a time deadline. On other occasions I acted as host for a Clinton reception. All amounts should be re-paid in full immediately.

Payment to: G. Sachs + Co.

Date 12-19

For: copying services

Note: **Please provide all documentation for any payment requests you are making to me. You are not authorized to use my name, accounts or affiliation for any purpose without express permission from me directly. Under no circumstances are you to use the name or accounts of my employer, Goldman Sachs, for any purposes.**

Date paid: 12/12

Amount paid: $ 625

9704380033337

CLINTON FOR PRESIDENT COMMITTEE
PHONE (501) 372-1992
P.O. BOX 615
LITTLE ROCK, AR 72203

WORTHEN
NATIONAL BANK OF ARKANSAS
LITTLE ROCK, ARKANSAS 72203

1347

91-7/25

CHECK NO.	DATE	AMOUNT
1347	12-9-91	1356.25

PAY One thousand three hundred fifty six and 25/100's

TO THE ORDER OF
Goldman, Sachs & Co.
85 Broad Street
New York, NY 10004

TWO SIGNATURES FOR AMOUNTS OVER $2,000

NON-NEGOTIABLE

⑈001347⑈ ⑆082000073⑆008946⑈326⑈5⑈

CLINTON FOR PRESIDENT COMMITTEE
LITTLE ROCK, AR 72203

DETACH AND RETAIN THIS STATEMENT
THE ATTACHED CHECK IS IN PAYMENT OF ITEMS DESCRIBED BELOW
IF NOT CORRECT, PLEASE NOTIFY US PROMPTLY. NO RECEIPT DESIRED

DATE	DESCRIPTION	AMOUNT
12-9-91	November transportation services provided for the Governor and his party, and messenger services	1356.25

POSTED

NON-NEGOTIABLE

CLINTON FOR PRESIDENT COMMITTEE

FAX COVER PAGE

TO: Keeley Ardman
FAX # 501 - 372-2292
OF PAGES 2 INCLUDING COVER

FROM: PAUL R. CAREY
FAX # (212) 902-4103
PHONE # (212)902-7391

REMARKS

Would you please wait a check for this. I also need 2 checks made out to Goldman Sachs & Co

1) $715.00 - October Transportation Charges
2) $1356.25 - November Transportation charges

These represents cars used by Bill Clinton & party when in NYC as well as messenger services.

Thanks Gene
Paul

P.O. Box 665 - Little Rock, Arkansas 72203
Telephone (501) 372-1992 - FAX (501) 372-2292

Paid for by the Clinton for President Committee
Contributions to the Clinton for President Committee are not tax deductible.

9704380333 9

Payment

Reimbursement Request

PAID

To: Paul R. Carey

From: Kenneth D. Brody

Date: 12/5/91

Please have the campaign comptroller reimburse me in the amount of $ 1356.25 for expenses incurred by me for the Clinton '92 campaign. These expenses were paid by me personally as a courtesy and in advance to secure agreements or reservations with New York vendors or banquet facilities as the New York campaign office, being a temporary entity, was neither estasblished nor able to get a line of credit for such services or due to a time deadline. On other occasions I acted as host for a Clinton reception. All amounts should be re-paid in full immediately.

Payment to: G-S

Date November

For: Taxi statement

9704380334C

Note: **Please provide all documentation for any payment requests you are making to me. You are not authorized to use my name, accounts or affiliation for any purpose without express permission from me directly. Under no circumstances are you to use the name or accounts of my employer, Goldman Sachs, for any purposes.**

Date paid: 12/9

Amount paid: 1356.25

9704380334 1

KENNETH D BRODY **** Taxi No: 25461 Statement Number: 131901
Advance Account: 86350223 As of November 28, 1991 PG. 2 OF 7

SUMMARY OF EXPENSES Employee #: 89100 Organization: 01-800-103 Dept: PRINCIPAL INVESTMENT AREA

KENNETH D BRODY ....

Travel and Entertainment

Month-End Summary of Expenses

	AMOUNT	
1.) TAXI CHARGES	$2,470.75	THIS REPRESENTS THE TOTAL AMOUNT CHARGED TO YOUR TAXI ACCOUNT. SEE SECTION 1 FOR DETAILS.
2.) LESS PENDING CREDITS	—	THIS REPRESENTS BILLING ERRORS OR OTHER DISPUTED CHARGES AND MUST EQUAL CHARGES CODED P IN TAXI DETAIL.
3.) LESS OTHER NONREIMBURSABLE	2135.75	THIS REPRESENTS AMOUNTS REIMBURSABLE TO THE FIRM FOR PERSONAL OR OTHER NON-REIMBURSABLE USE AND MUST EQUAL CHARGES CODED N OR USAGE CODE OF 2 IN TAXI DETAIL. PLEASE ATTACH YOUR CHECK PAYABLE TO GOLDMAN, SACHS & CO. FOR THIS AMOUNT.
4.) TOTAL OF EXPENSES	335.00	SUBTRACT LINES 2 & 3 FROM LINE 1. THIS REPRESENTS THE AMOUNT OF EXPENSES TO BE PAID BY THE FIRM. IF AMOUNTS ARE TO BE CHARGED TO A SYNDICATE PLEASE COMPLETE SECTION II (PAYMENT DISTRIBUTION) ATTACHED.

APPROVALS: To the best of my knowledge, all statements on this report are true and factual and all expenses are in conformity with firm policy.

Kenneth D. Brody 12/6/91

KENNETH D BRODY **** DATE

DEPARTMENT MANAGER/SUPERVISOR DATE

☐ Check here if Address or Organization change is required. (Please note change in comments.)

The following statements are outstanding:

130140 11/13/91, 126102 10/08/91, 120795 08/10/91.

Ken 779.50

PC 1356.25

COMMENTS/SPECIAL INSTRUCTIONS

9704380334 2

HONTHLY TAXI STATEMENT
Account No: 25461 (712891) Statement Number: 131RGT
KENNETH B BRODY **** Advance Account: 86350223 As of November 28, 1991 PG. 3 OF 7

SECTION: 1
DETAIL CHARGES Employee #: 09100 Organization: 01-800-103 Department: PRINCIPAL INVESTMENT AREA

LINE NO.	VOUCHER NUMBER	EMPLOYEE NAME	EMPLOYEE NUMBER	PICK UP TIME	WAIT TIME MIN	DATE OF RIDE	# OF STOPS	FROM	TO	AMOUNT	CATEGORY	USAGE CODE	SYNDICATE NUMBER	SYNDICATE NAME	PENDING BREAKS NON-REIMBURSABLE AMOUNT	COMMENTS
1	AC834888	KORVAL PAT	11712	0800P	000	10/30/91	000	85 BROAD	BROOKLYN	$24.50	F	3	Gen	Bus		
2	CR834889		00000	0715P	000	10/30/91	000	85 BROAD	CARMINE	$14.00		0				PAUL CAREY
3	CR834889		00000	0715P	***	10/30/91	***	*******	TEL	$8.00	N	0				
4	CR834890		00000	0800P	000	10/31/91	002	85 BROAD	GRACE SQ	$57.00		0				1
5	CR834890		00000	0800P	***	10/31/91	***	*******	TEL	$4.00		0				
6	CR830526	Brody	00000	0840A	015	11/01/91	001	10 GRACI	10 GRACI	$33.00	N	2			33.00	
7	CR735975	Brody	00000	1000A	000	11/02/91	000	WALL ST	10 GRACI	$18.00	N	2			18.00	
8	CR736598	Brody	00000	0805A	000	11/03/91	000	10 GRACI	HRC TENN	$18.00	N	2			18.00	
9	CR740305	Brody	00000	0730A	015	11/03/91	000	HRC	79TH	$23.00	N	2			23.00	
10	CR834881	Korval	00000	0900P	***	11/04/91	***	*******	TEL	$12.00	F	8	Gen	Bus		
11	CR834881	Korval	00000	0900P	000	11/04/91	000	85 BROAD	BKLYN	$24.50	F	3	Gen	Bus		
12	CR834886		00000	0300P	***	11/04/91	***	*******	TEL	$20.00		0				
13	CR834886		00000	0300P	000	11/04/91	000	249COL	85BROAD	$17.00	N	0				1
14	CR834887		00000	0105P	***	11/04/91	***	*******	TEL	$24.00		0				
15	CR834887		00000	0105P	000	11/04/91	000	85 BROAD	115 CPW	$17.00		0				
16	CR723672	Brody	00000	0225P	035	11/06/91	001	GRACE SQ	GRACE SQ	$47.00	N	2			47.00	
17	CR830528	Brody	00000	0845A	000	11/06/91	001	GRACE SQ	GRACE SQ	$29.00	N	2			29.00	
18	CR834883	KORVAL	00000	0600P	000	11/06/91	000	85BROAD	BKLYN	$24.50	F	3	Gen	Bus		
19	CR830527	Brody	00000	0520P	000	11/10/91	001	10 GRACI	10 GRACI	$29.00	N	2			29.00	
	TOTAL:					11/15/91				$443.50						
20	AC834882	KRVAL		0800P	000	11/05/91	000	85 BROAD	BROOKLYN	$24.50	F	3	Gen	Bus		
21	AC834879	PACKAGE		0738P	000	11/12/91	000	85 BROAD	10/GRACI	$23.00	F	3	Gen	Bus		

9704380343

MONTHLY TAXI STATEMENT
Account No: 25461 (112891) Statement Number: 131401
KENNETH D BRODY **** Advance Account: 06350223 As of November 28, 1991 PG. 4 OF 7

SECTION: 1
DETAIL CHARGES Employee #: 09100 Organization: 01-800-103 Department: PRINCIPAL INVESTMENT AREA

LINE NO.	VOUCHER NUMBER	EMPLOYEE NAME	EMPLOYEE NUMBER	PICK UP TIME	WAIT TIME MIN	DATE OF RIDE	# OF STOPS	FROM	TO	AMOUNT	CATEGORY	USAGE CODE	SYNDICATE NUMBER	SYNDICATE NAME	PENDING CREDITS NON-REIMBURSABLE AMOUNT	COMMENTS
22	CR740298	Brody	00000	0930P	000	10/14/91	000	GRACE SQ	77TH MAD	$15.00	N	02			15.00	
23	CR743883		00000	1230P	030	11/07/91	001	TETERBOR	MIDTOWN	$82.00	N	0				
24	CR834880		00000	0835P	000	11/08/91	000	85 BROAD	60TH 1ST	$17.00		0				
25	CR834885	Korral	00000	0930P	000	11/08/91	000	85BROAD	BKYN	$24.50	F	03	Gen	Bus		
26	CR014618	Brody	00000	0815A	000	11/10/91	000	10 GRACI	HRC	$18.00	N	02			18.00	
27	CR723882	Brody	00000	0320P	000	11/10/91	001	GRACE SQ	63RD BWY	$20.00	N	02			20.00	
28	CR740281	Brody	00000	0645A	030	11/10/91	001	63 BWAY	GRACE SQ	$42.00	N	02			42.00	
29	CR740631	Brody	00000	1019A	000	11/10/91	000	85 BROAD	10 GRACI	$18.00	N	02			18.00	
30	CR834872		00000	0930A	110	11/11/91	003	530 PK.	JERSEY	$95.00	N	0				
31	CR834884	Korral	00000	0930P	000	11/11/91	000	85 BROAD	BKLYN	$24.50	F	03	Gen	Bus		
32	CR639474	Brody	00000	1045A	000	11/12/91	000	10 GRACI	92ND	$14.00	N	02			14.00	
33	CR686758	Brody	00000	0845A	015	11/12/91	001	GRACE SQ	GRACE SQ	$33.00	N	02			33.00	
34	CR709737	Brody	00000	1210P	010	11/12/91	000	92ND	10 GRACI	$18.00	N	02			18.00	
35	CR735856	Brody	00000	0130P	100	11/12/91	001	10GRACE	10GRACE	$75.00	N	02			75.00	
36	CR735870	Brody	00000	0430P	000	11/12/91	000	10 GRACI	68TH	$15.00	N	02			15.00	
37	CR639057	Brody	00000	0300P	000	11/13/91	000	10 GRACI	66TH	$15.00	N	02			15.00	
38	CR685669	Brody	00000	0340P	020	11/13/91	001	BKLYN	BKLYN	$35.00	N	02			35.00	
39	CR686251	Brody	00000	0700P	000	11/13/91	000	GRACE SQ	58TH 5TH	$22.00	N	02			22.00	
40	CR686616		00000	1215P	015	11/13/91	000	JERSEY	61ST PK.	$63.00	N	0				
41	CR690017	Brody	00000	0512P	015	11/13/91	001	66TH	10 GRACI	$26.00	N	02			26.00	
42	CR834891	Brody	00000	0300P	000	11/13/91	000	85 BROAD	42ND	$16.00	F	01	Gen	Bus		
43	CR691488	Brody	00000	0850A	020	11/14/91	001	10GRACE	92NDPARK	$35.00	N	02			35.00	
44	CR834871		00000	0720P	077	11/14/91	001	85 BROAD	515E72ND	$66.00	N	0				

9 7 0 4 3 8 0 3 3 4

MONTHLY TAXI STATEMENT
Account No: 25461 (112891) Statement Number: 131491
KENNETH D BRODY **** Advance Account: 86350223 As of November 28, 1991 PG. 5 OF 7

SECTION: 1
DETAIL CHARGES Employee #: 09100 Organization: 01-800-103 Department: PRINCIPAL INVESTMENT AREA

LINE NO.	VOUCHER NUMBER	EMPLOYEE NAME	EMPLOYEE NUMBER	PICK UP TIME	WAIT TIME MIN	DATE OF RIDE	# OF STOPS	FROM	TO	AMOUNT	CATEGORY	USAGE CODE	SYNDICATE NUMBER	SYNDICATE NAME	PERSONAL CREDITS NON-REIMBURSABLE AMOUNT	REMARKS
45	CR834875		00000	1205A	***	11/14/91	***	*******	TEL	$8.00		0				
46	CR834875		00000	1205A	000	11/14/91	001	540PARK	515E72ND	$18.00	N	0				
47	CR834876		00000	0720A	015	11/14/91	001	540 PARK	540 PARK	$37.00	N	0				
48	CR834877		00000	0930A	300	11/14/91	000	530W 57	TETERBOR	$207.00		0				
49	CR834895	Brody	00000	1210P	000	11/14/91	000	85 BROAD	27W44TH	$16.00	F	01	Gen	Bus		
50	CR724899	Brody	00000	0738A	000	11/17/91	000	10 GRACI	LGA	$29.50	N	02			29.50	
	TOTAL:					11/22/91				$1,153.00						
51	AC834892	KORVAL PAT	11712	1000P	000	11/15/91	000	85 BROAD	BROOKLYN	$24.50	F	3	Gen	Bus		
52	CR834897		00000	0940P	000	1/19/91	000	667 MAD	116TH	$17.00	N	0				
53	CR686762	Brody	00000	0305P	000	11/15/91	000	GRACE SQ	1619 3AV	$14.00	N	02			14.00	
54	CR692226	Brody	00000	0800P	020	11/15/91	001	10 GRACI	53RD	$28.00	N	02			28.00	
55	CR701398	Brody	00000	1207P	007	11/15/91	000	E.92ND	GRACE SQ	$18.00	N	02			18.00	
56	CR741532	Brody	00000	0520P	000	11/13/91	000	91ST 3RD	GRACE SQ	$22.00	N	02			22.00	
57	CR834868		00000	0810A	000	11/18/91	001	72ND	44TH	$29.00	N	0				
58	CR834894	Korval	00000	1000P	000	11/18/91	000	85 BROAD	BKLYN	$24.50	F	03	Gen	Bus		
59	CR834893	Korval	00000	0830P	000	11/19/91	000	85 BROAD	BKLYN	$24.50	F	03	Gen	Bus		
60	CR834896		00000	0510P	***	11/19/91	***	*******	TEL	$66.00		0				
61	CR834896		00000	0510P	000	11/19/91	001	85 BROAD	61ST MAD	$40.00	N	0				
62	CR834898		00000	1100P	000	11/19/91	001	667 MAD	515 E72	$46.00		0				
63	CR590942	Brody	00000	0306P	015	11/20/91	000	GRACE SQ	4E66TH	$21.00	N	02			21.00	
64	CR834869		00000	1045A	000	11/20/91	000	53RD	85 BROAD	$16.00	N	0				
65	CR834899		00000	0755A	000	11/20/91	000	515 E72	159 E53	$15.00	N	0				

9 7 0 4 3 8 0 3 3 4 5

MONTHLY TAXI STATEMENT
Account No: 25461 (112091) Statement Number: 131401
KENNETH D BRODY **** Advance Account: 86350223 As of November 28, 1991 PG. 6 OF 7

SECTION: 1
DETAIL CHARGES Employee #: 09100 Organization: 01-800-103 Department: PRINCIPAL INVESTMENT AREA

LINE NO.	VOUCHER NUMBER	EMPLOYEE NAME	EMPLOYEE NUMBER	PICK UP TIME	WAIT TIME MIN	DATE OF RIDE	# OF STOPS	FROM	TO	AMOUNT	CATEGORY	USAGE CODE	SYNDICATE NUMBER	SYNDICATE NAME	PERSONAL CREDITS NON-REIMBURSABLE AMOUNT	COMMENTS
66	CR834901		00000	1015P	090	11/20/91	003	51TH7TH	515E72ND	$70.00		4				
67	CR834901		00000	1015P	***	11/20/91	***	*******	TEL	$2.00		0				
68	CR701294		00000	0857A	000	11/21/91	000	72ND	85 BROAD	$17.00	N	0				
69	CR834902		00000	0350P	***	11/21/91	***	*******	TEL	$40.00		0				
70	CR834902		00000	0550P	015	11/21/91	001	85 BROAD	66T HAD	$27.00		0				
71	CR834908	Konval	00000	1000P	000	11/21/91	000	85 BROAD	BKLYN	$24.50	F	3	Gen	Bud		
72	CR834915	Package	00000	0935P	000	11/21/91	000	85BROAD	10GRACSQ	$23.00	F	4	Gen	Bud		
73	CR830535	Brody	00000	0848A	000	11/22/91	001	10GRACSQ	250HERCR	$49.00	N	1/2			49,00	
74	CR834903		00000	0400A	045	11/22/91	001	677 MAR	72ND	$51.00		0				
75	CR834870		00000	0906A	***	11/25/91	***	*******	TEL	$16.00	N	0				
76	CR834870		00000	0906A	046	11/25/91	006	515E72ND	85BROAD	$121.25		0				
	TOTAL:					11/29/91				$874.25						

STATEMENT RIDE TOTAL	$2,242.75
STATEMENT TELEPHONE TOTAL	$228.00
STATEMENT TOTAL	$2,470.75

USAGE CODES
1=BUSINESS 3=HOME
2=PERSONAL 4=PACKAGE

9704380346

MONTHLY TAXI STATEMENT
Account No: 25461
Advance Account: 86350223

KENNETH D BRODY ****

(T12891)
As of November 28, 1991

Statement Number: 131401

SECTION: II
PAYMENT DISTRIBUTION

Employee #: 09100 Organization: 01-800-103 Department: PRINCIPAL INVESTMENT AREA

CATEGORY	AMOUNT	SYNDICATE NAME & NUMBER/ TRANSFER ACCOUNT	CATEGORY	AMOUNT	SYNDICATE NAME & NUMBER/ TRANSFER ACCOUNT
F		GENERAL BUSINESS EXPENSES			
	24.50				
	$12.00				
	24.50				
	24.50				
	24.50				
	23.00				
	24.50				
	24.50				
	16.00				
	16.00				
	24.50				
	24.50				
	24.50				
	24.50				
	23.00				
					COLUMN 2 TOTAL
COLUMN 1 TOTAL	335	→		335.00	COLUMN 1 TOTAL
					ADD LINE 1 AND LINE 2. TOTAL OF CHARGES MUST EQUAL LINE 4, PG 3 OF SUMMARY OF EXPENSES

PLEASE COMPLETE THIS SECTION ONLY IF YOU WISH TO CHARGE YOUR TAXI EXPENSES TO A SYNDICATE ACCOUNT OR A DEPARTMENT CODE OTHER THAN YOUR OWN.

CLINTON FOR PRESIDENT COMMITTEE
PHONE (501) 372-1992
P.O. BOX 615
LITTLE ROCK, AR 72203

WORTHEN
NATIONAL BANK OF ARKANSAS
LITTLE ROCK, ARKANSAS 72203

1347

81-7/820

CHECK NO.	DATE	AMOUNT
1347	12-9-91	1356.25

PAY One thousand three hundred fifty six and 25/100's

TO THE ORDER OF
Goldman, Sachs & Co.
85 Broad Street
New York, NY 10004

TWO SIGNATURES FOR AMOUNTS OVER $2,000

W. Dal Aleton

⑈001347⑈ ⑆082000073⑆008941⑉3.26⑉5⑈

97043503347

+ Kens Check
#1681
$779.50
12/6/91

9 7 0 4 3 8 0 3 3 4 8

CLINTON FOR PRESIDENT COMMITTEE
PHONE (501) 372-1992
P.O. BOX 615
LITTLE ROCK, AR 72203

WORTHEN
NATIONAL BANK OF ARKANSAS
LITTLE ROCK, ARKANSAS 72203

1346

81-7/820

CHECK NO.	DATE	AMOUNT
1346	12-9-91	715.00

PAY Seven hundred fifteen and 00/100's

TO THE ORDER OF
Goldman Sachs & Co.
85 Broad Street
New York, NY 10004

TWO SIGNATURES FOR AMOUNTS OVER $2,000

W. [illegible]

NON-NEGOTIABLE

⑈001346⑈ ⑆082000073⑆008941⑉326⑉5⑈

CLINTON FOR PRESIDENT COMMITTEE
LITTLE ROCK, AR 72203

DETACH AND RETAIN THIS STATEMENT
THE ATTACHED CHECK IS IN PAYMENT OF ITEMS DESCRIBED BELOW
IF NOT CORRECT, PLEASE NOTIFY US PROMPTLY. NO RECEIPT DESIRED

DATE	DESCRIPTION	AMOUNT
12-9-91	October car services provided for the Governor and party, and [illegible] passenger services	715.00

POSTED

NON-NEGOTIABLE

CLINTON FOR PRESIDENT COMMITTEE

FAX COVER PAGE

TO: Keeley Ardman
FAX # 501 372-2292
OF PAGES 2 INCLUDING COVER

FROM: PAUL R. CAREY
FAX # (212) 902-4103
PHONE # (212)902-7391

REMARKS

Would you please cut a check for this. I also need 2 checks made out to Goldman Sachs & Co.

1) $715.00 · October Transportation Charges
2) $1356.25 · November Transportation charges

These represents cars used by Bill Clinton & party when in NYC as well as messenger services.

Thanks Your
Paul

P.O. Box 615 • Little Rock, Arkansas 72203
Telephone (501) 372-1992 • FAX (501) 372-2292
Paid for by the Clinton for President Committee
Contributions to the Clinton for President Committee are not tax deductible.

9704380 3349

Payment

~~Reimbursement~~ Request

PAID

To: Paul R. Carey

From: Kenneth D. Brody

Date: 12/5

Please have the campaign comptroller reimburse me in the amount of $ 715.00 for expenses incurred by me for the Clinton '92 campaign. These expenses were paid by me personally as a courtesy and in advance to secure agreements or reservations with New York vendors or banquet facilities as the New York campaign office, being a temporary entity, was neither estasblished nor able to get a line of credit for such services or due to a time deadline. On other occasions I acted as host for a Clinton reception. All amounts should be re-paid in full immediately.

Payment to: Goldman Sachs

Date October

For: Taxi statemt

9704380335C

Note: **Please provide all documentation for any payment requests you are making to me. You are not authorized to use my name, accounts or affiliation for any purpose without express permission from me directly. Under no circumstances are you to use the name or accounts of my employer, Goldman Sachs, for any purposes.**

Date paid: 12/9

Amount paid: 715

9704380335 1

KENNETH D BRODY **** Taxi No: 25461 Statement Number: 130140
Advance Account: 86350223 As of November 13, 1991 PG. 2 OF 7

SUMMARY OF EXPENSES Employee #: 09180 Organization: 01-800-103 Dept: PRINCIPAL INVESTMENT AREA

KENNETH D BRODY ****

Travel and Entertainment

Month-End Summary of Expenses

	AMOUNT	
1.) TAXI CHARGES	$2,307.00	THIS REPRESENTS THE TOTAL AMOUNT CHARGED TO YOUR TAXI ACCOUNT. SEE SECTION 1 FOR DETAILS.
2.) LESS PENDING CREDITS	—	THIS REPRESENTS BILLING ERRORS OR OTHER DISPUTED CHARGES AND MUST EQUAL CHARGES CODED P IN TAXI DETAIL.
3.) LESS OTHER NONREIMBURSABLE	2097.50	THIS REPRESENTS AMOUNTS REIMBURSABLE TO THE FIRM FOR PERSONAL OR OTHER NON-REIMBURSABLE USE AND MUST EQUAL CHARGES CODED N OR USAGE CODE OF 2 IN TAXI DETAIL. PLEASE ATTACH YOUR CHECK PAYABLE TO GOLDMAN, SACHS & CO. FOR THIS AMOUNT.
4.) TOTAL OF EXPENSES	209.50	SUBTRACT LINES 2 & 3 FROM LINE 1. THIS REPRESENTS THE AMOUNT OF EXPENSES TO BE PAID BY THE FIRM IF AMOUNTS ARE TO BE CHARGED TO A SYNDICATE PLEASE COMPLETE SECTION II (PAYMENT DISTRIBUTION) ATTACHED.

APPROVALS: To the best of my knowledge, all statements on this report are true and factual and all expenses are in conformity with firm policy.

Kenneth D Brody 12/6/91
KENNETH D BRODY **** DATE

DEPARTMENT MANAGER/SUPERVISOR DATE

☐ Check here if Address or Organization change is required. (Please note change in comments.)

Ken - 1382.50

PC - 715.00

COMMENTS/SPECIAL INSTRUCTIONS

9 7 0 4 3 8 0 3 3 5 2

MONTHLY TAXI STATEMENT
Account No: 25461 (112891) Statement Number: 130140
KENNETH D BRODY **** Advance Account: 86350223 As of November 13, 1991 PG. 3 OF 7

SECTION: I
DETAIL CHARGES Employee #: 09100 Organization: 01-800-102 Department: PRINCIPAL INVESTMENT AREA

LINE NO.	VOUCHER NUMBER	EMPLOYEE NAME	EMPLOYEE NUMBER	PICK UP TIME	WAIT TIME MIN	DATE OF RIDE	# OF STOPS	FROM	TO	AMOUNT	CATEGORY	USAGE CODE	SYNDICATE NUMBER	SYNDICATE NAME	PENDING CREDITS NON-REIMBURSABLE AMOUNT	EXPENSE
1	CR709708	Brody	00000	0955P	010	9/30/91	000	10 GRACI	76TH	$19.00	N	02			19.00	
2	CR830513	Brody	00000	0845P	035	9/30/91	000	10 GRACI	10 GRACI	$41.00	N	02			41.00	
3	CR830515	Brody	00000	0500P	000	9/30/91	000	E.66TH	GRACE SQ	$15.00	N	02			15.00	
4	CR691813	Brody	00000	1040P	010	10/01/91	000	GRACE SQ	95TH MAD	$18.00	N	02			18.00	
5	CR700164	Brody	00000	0538P	000	10/01/91	000	60TH	65TH	$16.00	N	02			16.00	
6	CR700484	Brody	00000	0140P	025	10/01/91	001	10 GRACE	10 GRACE	$28.00	N	02			28.00	
7	CR700493	Brody	00000	1240P	010	10/01/91	000	60E.92ND	10 GRACE	$18.00	N	02			18.00	
8	CR830516	Brody	00000	0500P	000	10/02/91	000	4E.66TH	830516	$15.00	N	02			15.00	
9	CR626626	Brody	00000	0900A	000	10/03/91	000	667 MAD	GRACE SQ	$19.00	N	02			19.00	
10	CR830517	Brody	00000	0840A	020	10/03/91	001	GRACE SQ	GRACE SQ	$35.00	N	02			35.00	
	TOTAL:					10/11/91				$224.00						
11	CR620667	Brody	00000	0705P	010	10/06/91	000	10GRACE	LIB. CNT	$20.00	N	02			20.00	
12	CR676644	Brody	00000	0315A	015	10/06/91	000	RECTRPL	10GRACESQ	$24.00	N	02			24.00	
13	CR714458	Brody	00000	0910P	000	10/06/91	000	GRACE SQ	RECTOR	$18.00	N	02			18.00	
14	CR709321	Brody	00000	0845A	015	10/07/91	001	GRACE SQ	GRACE SQ	$33.00	N	02			33.00	
15	CR197328	Brody	00000	0130P	000	10/08/91	000	89 BROAD	E53RD	$16.00	F	01	Gen	Bud		
16	CR617382	Brody	00000	0145P	015	10/08/91	001	GRACE SQ	141E72ND	$35.00	N	02			35.00	
17	CR660617	Brody	00000	0720P	020	10/08/91	000	10 GRACI	71ST	$23.00	N	02			23.00	
18	CR830518	Brody	00000	1145A	000	10/09/91	000	GRACE SQ	92ND PK.	$14.00	N	02			14.00	
19	CR691456	Brody	00000	0830A	000	10/10/91	000	WALL	84E. END	$18.00	N	02			18.00	
20	CR709664	Brody	00000	1045A	000	10/10/91	000	10 GRACE	95TH MAD	$14.00	N	02			14.00	
21	CR709665	Brody	00000	1000A	000	10/10/91	000	10 GRACE	49TH MAD	$16.00	N	02			16.00	

9 7 0 4 3 8 0 3 3 5 3

MONTHLY TAXI STATEMENT
Account No: 25461 (112391) Statement Number: 130140
KENNETH D BRODY **** Advance Account: 86350223 As of November 13, 1991 PG. 4 OF 7

SECTION: I
DETAIL CHARGES Employee #: 09100 Organization: 01-800-103 Department: PRINCIPAL INVESTMENT AREA

LINE NO.	VOUCHER NUMBER	EMPLOYEE NAME	EMPLOYEE NUMBER	PICK UP TIME	WAIT TIME MIN	DATE OF RIDE	# OF STOPS	FROM	TO	AMOUNT	CATEGORY	USAGE CODE	SYNDICATE NUMBER	SYNDICATE NAME	PENDING CREDITS NON-REIMBURSABLE AMOUNT	COMMENTS
22	CR830520	Brody	00000	0855A	015	10/10/91	001	10 GRACI	10 GRACI	$33.00	N	02			33.00	
23	CR691457	Brody	00000	0835A	010	10/11/91	001	10 GRACE	10 GRACE	$31.00	N	02			31.00	
	TOTAL:					10/17/91				$295.00						
24	CR578912	Brody	00000	0220P	000	10/09/91	000	GRACE SQ	97TH MAD	$15.00	N	02			15.00	
25	CR723562	Brody	00000	0915A	000	10/13/91	000	10GRACSQ	JFK	$39.50	N	02			39.50	
26	CR723563	Brody	00000	0817A	000	10/13/91	000	10GRACSQ	LOWERMAN	$18.00	N	02			18.00	
27	CR197309	~~Brody~~ Korval	00000	0927P	000	10/15/91	000	85 BROAD	BKLYN	24.50 ~~$25.50~~	F	03	Gen	Bus		
28	CR197325	Brody	00000	0345P	000	10/15/91	000	85 BROAD	10GRACE	$18.00	N	02			18.00	
29	CR830519	Brody	00000	0700P	000	10/15/91	000	92NDPARK	10GRAC.S	$14.00	N	02			14.00	
30	CR197310		00000	0700P	000	10/16/91	000	85BROAD	NEWJERSY	$48.00	N	02				Paul
31	CR197310		00000	0700P	***	10/16/91	***	*******	TEL	$34.00		02				Carey
32	CR197322	~~Brody~~ Korval	00000	0900P	000	10/16/91	000	85 BROAD	BKLYN	$24.50	F	03	Gen	Bus		
33	CR197324	Korval	00000	0930P	000	10/16/91	000	85BROAD	BKLYN	$24.50	F	03	Gen	Bus		
34	CR685467	Brody	00000	1001A	000	10/17/91	000	10GRACIE	230PARK	$16.00	N	02			16.00	
35	CR830524	Brody	00000	0840P	010	10/18/91	000	10GRACSQ	92NDPARK	$31.00	N	02			31.00	
	TOTAL:					10/25/91				$308.00						
36	CR830521	Brody	00000	0840A	010	10/15/91	001	10GRACE	92NDPK	$31.00	N	02			31.00	
37	CR830522	Brody	00000	0900P	000	10/17/91	001	GRACE SQ	GRACE SQ	$27.00	N	02			27.00	
38	CR197311	KORVAL PAT	11712	0900P	000	10/18/91	000	85BROAD	BKLYN	$24.50	F	3	Gen	Bus		
39	CR617711	Brody	00000	1120A	075	10/20/91	001	10GRACE	10GRAC	$71.00	N	02			71.00	
40	CR686285	Brody	00000	0335P	009	10/20/91	001	GRACE SQ	GRACE SQ	$33.00	N	02			33.00	

9 7 0 4 3 8 0 3 3 5 4

MONTHLY TAXI STATEMENT
Account No: 25461 (T12691) Statement Number: 130140
KENNETH D BRODY **** Advance Account: 86350223 As of November 13, 1991 PG. 5 OF 7

SECTION: I
DETAIL CHARGES Employee #: 09100 Organization: 01-800-103 Department: PRINCIPAL INVESTMENT AREA

PIN NO.	VOUCHER NUMBER	EMPLOYEE NAME	EMPLOYEE NUMBER	PICK UP TIME	WAIT TIME MIN	DATE OF RIDE	# OF STOPS	FROM	TO	AMOUNT	RATECODE	USAGE CODE	SYNDICATE NUMBER	SYNDICATE NAME	PENDING CREDITS NON-REIMBURSABLE AMOUNT	COMMENTS
41	CR197321		00000	0150P	***	10/21/91	***	********	TEL	$40.00	N	07				
42	CR197321		00000	0150P	000	10/21/91	000	85 BROAD	1271 AOA	$16.00		02				
43	CR656418	Brody	00000	0135P	000	10/21/91	000	GRACIE S	1175 PK	$14.00	N	02			14.00	
44	CR197320	KORVAL PAT	11712	0900P	000	10/22/91	000	85 BROAD	BKLYN	$24.50	F	01	Gen	Bus.		
45	CR661517	Brody	00000	0830A	015	10/23/91	001	10GRACE	57TH 6TH	$27.00	N	02			27.00	
46	CR197312		00000	0635A	045	10/24/91	001	515E72ND	85BROAD	$54.00		02				
47	CR197314		00000	1030P	***	10/24/91	***	********	TEL	$38.00	N	02				
48	CR197314		00000	1030P	015	10/24/91	000	85 BROAD	15E64TH	$24.00		02				
49	CR197316	Brody	00000	1200P	010	10/24/91	000	85 BROAD	540 PK.	$21.00	F	01	Gen	Bus		
50	CR197317	[illegible]	00000	1130A	***	10/24/91	***	********	TEL	$38.00	N	02				
51	CR197317	[illegible]	00000	1130A	000	10/24/91	000	200PARK	10GRACE	$376.00		07				
52	CR660360	Brody	00000	0132P	015	10/24/91	002	10GRACE	10GRACE	$39.00	N	02			39.00	
53	CR660360	Brody	00000	0132P	***	10/24/91	***	********	TEL	$14.00	N	02			14.00	
54	CR684960	Brody	00000	0710A	000	10/24/91	000	61ST PK.	85 BROAD	$17.00	N	02			17.00	
55	CR708832	Brody	00000	1210P	010	10/24/91	000	92ND PK.	10GRACE	$18.00	N	02			18.00	
56	CR709056	Brody	00000	0900A	030	10/24/91	000	10GRACE	92ND PK.	$26.00	N	02			26.00	
57	CR709056	Brody	00000	0900A	***	10/24/91	***	********	TEL	$6.00	N	02			6.00	
58	CR709475	Brody	00000	1145A	000	10/25/91	000	10 GRACI	92ND	$14.00	N	02			14.00	
59	CR639923	Brody	00000	0100P	000	10/27/91	000	QUEENS	MIDTOWN	$31.50	N	02			31.50	
	TOTAL:					11/01/91				$1,024.50						
60	CR691709	Brody	00000	0545P	000	10/24/91	001	GRACE SQ	GRACE SQ	$29.00	N	02			27.00	
61	CR197326		00000	0520P	***	10/25/91	***	********	TEL	$20.00	N	02				

9 7 0 4 3 8 0 3 3 5 5

MONTHLY TAXI STATEMENT
Account No: 25461 (112891) Statement Number: 130140
KENNETH D BRODY **** Advance Account: 86350223 As of November 13, 1991 PG. 6 OF 7

SECTION: 1
DETAIL CHARGES Employee #: 09100 Organization: 01-800-103 Department: PRINCIPAL INVESTMENT AREA

LINE NO.	VOUCHER NUMBER	EMPLOYEE NAME	EMPLOYEE NUMBER	PICK UP TIME	WAIT TIME MIN	DATE OF RIDE	# OF STOPS	FROM	TO	AMOUNT	CATEGORY	USAGE CODE	SYNDICATE NUMBER	SYNDICATE NAME	PENDING CREDITS NON-REIMBURSABLE AMOUNT	COMMENTS
62	CR197326		00000	0520P	000	10/25/91	001	85 BROAD	10 GRACI	$21.00	N	2				
63	CR830523	Brody	00000	0740A	020	10/25/91	001	10 GRACI	10 GRACI	$35.00	N	2			35.00	
64	CR197313	KORVAL	00000	0814P	000	10/29/91	000	85 BROAD	BKLYN	$24.50	F	3	Gen	Bus		
65	CR685676	Brody	00000	0950A	015	10/29/91	001	10 GRACE	10 GRACE	$33.00	N	2			33.00	
66	CR699900	Brody	00000	1200P	000	10/29/91	000	92ND PK.	84TH EE	$15.00	N	2			15.00	
67	CR708906	Brody	00000	0220P	070	10/29/91	003	GREACIE	68TH	$89.50	N	2			89.50	
68	CR714345	Brody	00000	1050A	000	10/29/91	000	10 GRACE	95 MAD	$14.00	N	2			14.00	
69	CR708845	Brody	00000	0230P	000	10/30/91	000	10 GRACI	97TH	$15.00	N	2			15.00	
70	CR709112	Brody	00000	0840A	000	10/30/91	000	10GRACE	92NDPARK	$14.00	N	2			14.00	
71	CR661564	Korval	00000	0930P	000	10/31/91	000	65BROAD	BKLYN	$24.50	F	3	Gen	Bus		
72	CR691225	Brody	00000	1040A	000	10/31/91	003	10 GRACE	10 GRACE	$39.00	N	2			39.00	
73	CR691226	Brody	00000	1205P	000	10/31/91	000	92ND PK.	10GRACE	$15.00	N	2			15.00	
74	CR830525	Brody	00000	0840A	000	10/31/91	000	TIME	CALL	$61.00	N	2			61.00	
	TOTAL:					11/03/91				$435.50						

STATEMENT RIDE TOTAL	$2,111.00
STATEMENT TELEPHONE TOTAL	$196.00
STATEMENT TOTAL	$2,307.00

USAGE CODES
1=BUSINESS 3=HOME
2=PERSONAL 4=PACKAGE

9704380 3356

MONTHLY TAXI STATEMENT
Account No: 25461 (712891) Statement Number: 130140
KENNETH D BRODY **** Advance Account: 86350223 As of November 13, 1991 PG. 7 OF 7

SECTION: II
PAYMENT DISTRIBUTION Employee #: 09100 Organization: 01-800-103 Department: PRINCIPAL INVESTMENT AREA

CATEGORY	AMOUNT	SYNDICATE NAME & NUMBER/ TRANSFER ACCOUNT	CATEGORY	AMOUNT	SYNDICATE NAME & NUMBER/ TRANSFER ACCOUNT
F		GENERAL BUSINESS EXPENSES			
	16.00				
	24.50				
	24.50				
	24.50				
	24.50				
	24.50				
	21.00				
	24.50				
	24.50				
					COLUMN 2 TOTAL
COLUMN 1 TOTAL	209.50				COLUMN 1 TOTAL
				209.50	ADD LINE 1 AND LINE 2. TOTAL OF CHARGES MUST EQUAL LINE 4, PG 2 OF SUMMARY OF EXPENSES

PLEASE COMPLETE THIS SECTION ONLY IF YOU WISH TO CHARGE YOUR TAXI EXPENSES TO A SYNDICATE ACCOUNT OR A DEPARTMENT CODE OTHER THAN YOUR OWN.

CLINTON FOR PRESIDENT COMMITTEE
PHONE (501) 372-1592
P.C. BOX 615
LITTLE ROCK, AR 72203

WORTHEN
NATIONAL BANK OF ARKANSAS
LITTLE ROCK, ARKANSAS 72203

1346

81-7/820

CHECK NO.	DATE	AMOUNT
1346	12-9-91	715.00

PAY Seven hundred fifteen and 00/100's

TO THE ORDER OF
Goldman Sachs & Co.
85 Broad Street
New York, NY 10004

TWO SIGNATURES FOR AMOUNTS OVER $2,000

W. David Watt

⑈001346⑈ ⑆082000073⑆008941⑈326⑈5⑈

97043803357

1682

KENNETH D. BRODY
CAROLYN S. BRODY

1-12/210

_____ 19 ____

PAY TO THE ORDER OF Goldman, Sachs & Co $ 1382.50/xx

_____ DOLLARS

CHEMICAL BANK
PRIVATE BANKING
30 ROCKEFELLER PLAZA, NEW YORK, NY 10112

MEMO _____ K D Brody

9704380335 8

9 7 0 4 3 8 0 3 3 5 9

CLINTON FOR PRESIDENT COMMITTEE
PHONE (501) 37[illegible]
P.O. BOX 61[illegible]
LITTLE ROCK, AR 72203

WOODHEN
NATIONAL BANK OF ARKANSAS
LITTLE ROCK, ARKANSAS 72203

1217

81-7/[illegible]

CHECK NO.	DATE	AMOUNT
1217	11-20-91	35.69

PAY Thirty five and 69/100's

TO THE ORDER OF
Goldman, Sachs & Co.,
85 Broad Street
New York, NY 10004

TWO SIGNATURES FOR AMOUNTS OVER 32,000

NON-NEGOTIABLE

⑈001217⑈ ⑆082000073⑆008941⑉326⑉5⑈

CLINTON FOR PRESIDENT COMMITTEE
LITTLE ROCK, AR 72203

DETACH AND RETAIN THIS STATEMENT
THE ATTACHED CHECK IS IN PAYMENT OF ITEMS DESCRIBED BELOW
IF NOT CORRECT, PLEASE NOTIFY US PROMPTLY. NO RECEIPT DESIRED

DATE	DESCRIPTION	AMOUNT
11-20-91	Reimbursement for Federal Express Charges	35.69

NON-NEGOTIABLE

CLINTON FOR PRESIDENT COMMITTEE

FAX COVER PAGE

TO: Keeley Andrews
FAX # 501 - 372-2292
OF PAGES 7 INCLUDING COVER

FROM: PAUL R. CAREY
FAX # (212) 902-4103
PHONE # (212) 902-7391

REMARKS

Keeley -
Rush & Checks ① 35.69 Goldman Sachs
~~w/o Rush ② Kar Bailey 1568.05~~

I really need to pay Goldman Tomorrow to keep things in order.

Thanks -
Paul

Fed express Charge

9704380336C

P.O. Box 615 • Little Rock, Arkansas 72203
Telephone (501) 372-1992 • FAX (501) 372-2292

Paid for by the Clinton for President Committee
Contributions to the Clinton for President Committee are not tax deductible.

payment

PAID

Reimbursement Request

To: Paul R. Carey

From: Kenneth D. Brody

Date: 11/18

Please have the campaign comptroller reimburse me in the amount of $ 35.69 for expenses incurred by me for the Clinton '92 campaign. These expenses were paid by me personally as a courtesy and in advance to secure agreements or reservations with New York vendors or banquet facilities as the New York campaign office, being a temporary entity, was neither estasblished nor able to get a line of credit for such services or due to a time deadline. On other occasions I acted as host for a Clinton reception. All amounts should be re-paid in full immediately.

Payment to: GS

Date November

For: Federal express

9704380336 1

Note: **Please provide all documentation for any payment requests you are making to me. You are not authorized to use my name, accounts or affiliation for any purpose without express permission from me directly. Under no circumstances are you to use the name or accounts of my employer, Goldman Sachs, for any purposes.**

Date paid: 11-20

Amount paid: 35.69

9704380 3362

CLINTON FOR PRESIDENT COMMITTEE 8678

Ref.	Inv. Number	Inv. Date	Inv. Amount	Amount Paid
5138	032392	03/23/92	4,401.40	4,401.40
5153	042292	04/22/92	6,819.50	6,819.50

$11,220.90

CLINTON FOR PRESIDENT COMMITTEE
P.O. BOX 816
LITTLE ROCK, AR 72203

WORTHEN
NATIONAL BANK OF ARKANSAS
LITTLE ROCK, AR 72203
61-7-820

8679

04/22/92 $*****11,220.90
DATE AMOUNT

*******11,220 DOLLARS AND 90 CENTS

PAY TO THE ORDER OF
Goldman Sachs & Co.
85 Broad Street
New York, NY 10004

TWO SIGNATURES REQUIRED IF OVER $[illegible]

NOT NEGOTIABLE

Payment

ASAP

~~Reimbursement~~ Request

(24)

To: Paul R. Carey

From: Kenneth D. Brody

Date: 3/23/92

Please have the campaign comptroller reimburse me in the amount of $ 4401.40 for expenses incurred by me for the Clinton '92 campaign. These expenses were paid by me personally as a courtesy and in advance to secure agreements or reservations with New York vendors or banquet facilities as the New York campaign office, being a temporary entity, was neither estasblished nor able to get a line of credit for such services or due to a time deadline. On other occasions I acted as host for a Clinton reception. All amounts should be re-paid in full immediately.

Payment to: Goldman Sachs GOLDMSO

Date 12/27-1/31 102-6330

For: Taxi statement 5130

Note: Please provide all documentation for any payment requests you are making to me. You are not authorized to use my name, accounts or affiliation for any purpose without express permission from me directly. Under no circumstances are you to use the name or accounts of my employer, Goldman Sachs, for any purposes.

Date paid: _____

Amount paid: _____

9704380336 3

9704380336 4

KENNETH D BRODY | TAXI NUMBER: 25461 ACCOUNT NO: BR0002 | STATEMENT NUMBER: TX133974 AS OF 02/13/92 | PAGE 002 OF 013

SUMMARY OF EXPENSES EMPLOYEE #: 09100 ORGANIZATION: 006: 006693 DEPT: LIMITED PARTNERS

KENNETH D BRODY

Travel and Entertainment

Month-End Summary of Expenses

	AMOUNT	
1.) TAXI CHARGES	7,660.90	THIS REPRESENTS THE TOTAL AMOUNT CHARGED TO YOUR TAXI ACCOUNT. SEE SECTION 1 FOR DETAILS.
2.) LESS PENDING CREDITS		THIS REPRESENTS BILLING ERRORS OR OTHER DISPUTED CHARGES AND MUST EQUAL CHARGES CODED P IN TAXI DETAIL.
3.) LESS OTHER NONREIMBURSABLE		THIS REPRESENTS AMOUNTS REIMBURSABLE TO THE FIRM FOR PERSONAL OR OTHER NON-REIMBURSABLE USE AND MUST EQUAL CHARGES CODED N OR USAGE CODE OF 2 IN TAXI DETAIL. PLEASE ATTACH YOUR CHECK PAYABLE TO GOLDMAN, SACHS & CO. FOR THIS AMOUNT.
4.) TOTAL OF EXPENSES		SUBTRACT LINES 2 & 3 FROM LINE 1. THIS REPRESENTS THE AMOUNT OF EXPENSES TO BE PAID BY THE FIRM. IF AMOUNTS ARE TO BE CHARGED TO A SYNDICATE PLEASE COMPLETE SECTION II (PAYMENT DISTRIBUTION) ATTACHED.

APPROVALS: To the best of my knowledge, all statements on this report are true and factual and all expenses are in conformity with firm policy.

X KD Brody 3/23/92
KENNETH D BRODY DATE

Goldman Sachs

AUTHORIZED SIGNATURE DATE

☐ Check here if Address or Organization change is required. (Please note change in comments.)

THE FOLLOWING STATEMENTS ARE OUTSTANDING:

TX126102 10/08/91

Gen Bus $516.00
Clinton $1401.40
KDB $1986.50
Pending $757.00

COMMENTS/SPECIAL INSTRUCTIONS

GSCR45

9 7 0 4 3 8 0 3 3 6 5

KENNETH D. BRODY — TAXI NUMBER: 25461 (021392) — STATEMENT NUMBER: TX133974
ACCOUNT NO: BR0002 — AS OF 02/13/92 — PAGE 003 OF 013

SECTION: I
DETAIL CHARGES — EMPLOYEE #: 09100 — ORGANIZATION: 0001 006693 — DEPT: LIMITED PARTNERS

LINE NO.	VOUCHER NUMBER	EMPLOYEE NAME	EMPLOYEE NUMBER	PICK UP TIME	WAIT TIME MIN	DATE OF RIDE	# OF STOPS	FROM	TO	AMOUNT	CAT	USE CODE	SYNDICATE/ PROJECT/ NUMBER	PENDING OR NONREIMBUR AMOUNT	CLIENT NUMBER	COMMENTS/ SYNDICATE NAME
001	AG748291	CASEY		0530P	000	12/27/92	00	85 BROAD	515/E72	17.00	N	1/2		17.00		PRC
002	CR741572		00000	0350P	000	12/16/91	08	10 GRACE	68TH YK	15.00	N	1/2		15.00		CSB
003	CR835017		00080	0800P	000	12/20/91	00	85 BROAD	BKLYN	24.50	E	1	Gen Bus			PLK
004	CR835016		00000	0200P	000	12/22/91	00	BKLYN	59HORTO	24.50	N	1/2		24.50		KDB
005	CR626708	Carey	00000	0925A	010	12/27/91	00	E 72	85 BROAD	21.00	N	1/2		21.00		PRC
006	CR717582		00000	1215P	000	12/27/91	00	MAD.SQ.G	GRACE SQ	18.00	N	1/2		18.00		CSB
007	CR741649		00000	1005A	013	12/27/91	00	10 GRACI	MAD SQ	24.00	N	1/2		24.00		CSB
008	CR741649		00000	1005A	***	12/27/91	**	********	TEL	8.00	N	1/2		8.00		CSB
009	CR748294	Carey	00000	0730P	030	12/27/91	01	E 72	W 55	32.00	N	1/2		32.00		PRC
010	CR748294	Carey	00000	0730P	***	12/27/91	**	********	TEL	40.00	N	1/2		40.00		PRC
011	CR835045	Carey	00000	0400P	000	12/27/91	GC	85 BROAD	BKLYN	24.50	N	1/2		24.50		PRC
012	CR835046		00000	0345P	015	12/27/91	01	85 BROAD	GRACE SQ	43.00	E	1	Gen Bus			KDB
013	CR748292	Carey	00000	0500P	040	12/28/91	01	1560 BWY	21 E90	44.00	N	1/2		44.00		PRC
014	CR748295	Carey	00000	0550P	000	12/28/91	02	1560 BWY	BLEEKER	61.00	N	1/2		61.00		PRC
015	CR741573		00000	0545P	000	12/29/91	00	10 GRACE	74TH ST	15.00	N	1/2		15.00		KDB
016	CR741652		00000	0832A	012	12/29/91	00	10 GRACI	PENN STA	24.00	N	1/2		24.00		KDB
017	CR741656		00000	0300P	000	12/29/91	00	PENN STA	10 GRACI	18.00	N	1/2		18.00		KDB
018	CR748296	Carey	00000	0630P	000	12/29/91	03	1560BWAY	1691 3AV	46.00	N	1/2		46.00		PRC
019	CR748297	Carey	00000	1010A	035	12/30/91	01	72ND	1560 BWY	34.00	N	1/2		34.00		PRC
020	CR748298	Carey	00000	1250P	025	12/30/91	01	1560 BWY	72ND	47.00	N	1/2		47.00		PRC
021	CR835044		00000	0445P	000	12/30/91	01	85 BROAD	277 PK.	23.50	F	1	Gen Bus.			KDB
022	CR748293	Carey	00000	1150A	000	12/31/91	02	515 E72	1560 BWY	29.00	N	1/2		29.00		PRC
023	CR835042		00000	0130P	025	12/31/91	03	85 BROAD	GRACIE	65.00	N	1/2		65.00		KDB
024	CR701061		00000	1955A	019	01/02/92	00	GRACIE	59 PARK	20.00	N	1/2		20.00		CSB

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KENNETH D BRODY — TAXI NUMBER: 25461 (021392) — STATEMENT NUMBER: TX133974
ACCOUNT NO: BRD002 — AS OF 02/13/92 — PAGE 008 OF 012
SECTION: 1
DETAIL CHARGES — EMPLOYEE #: 09100 — ORGANIZATION: 0001 006693 — DEPT: LIMITED PARTNERS

LINE NO.	VOUCHER NUMBER	EMPLOYEE NAME	EMPLOYEE NUMBER	PICK UP TIME	WAIT TIME MIN	DATE OF RIDE	# OF STOPS	FROM	TO	AMOUNT	CAT	USE CODE	SYNDICATE/ PROJECT/ NUMBER	PENDING OR REIMBURSE AMOUNT	CLIENT NUMBER	COMMENTS/ SYNDICATE NAME
025	CR717530		00000	0721A	011	01/02/92	00	10 GRACE	85 BROAD	29.00	N	½		29.00		KDB
026	CR748304	Carey	00000	0825A	000	01/02/92	00	72ND	1560 BWY	16.00	N	½		16.00		PRC
027	CR740380		00000	0530P	045	01/02/92	00	GRACIESQ	GRACIESQ	45.00	N	½		45.00		CSB
028	CR746380	Carey	00000	0541P	010	01/04/92	02	E 72	VESEY ST	40.00	N	½		40.00		PRC
029	CR748303	Carey	00000	0510P	010	01/04/92	01	1560 BWY	400 E76	28.00	N	½		28.00		PRC
030	CR748303	Carey	00000	0518P	***	01/04/92	**	********	TEL	20.00	N	½		20.00		PRC
	TOTAL:					01/10/92				896.00						
031	AC835043	PACKAGES Carey		0830P	000	12/30/92	01	85 BROAD	515/E72	33.00	N	½		33.00		PRC
032	AC835047	BRODY KENN	09100	0600P	000	12/31/92	00	85 BROAD	BROOKLYN	24.50	F	1	GenBiz			PLK
033	CR660942		00000	1010A	000	09/11/91	00	101 CPW	85BROAD	22.00	F	½	*	22.00		NOT OVRS
034	CR834905		00000	0535P	151	12/24/91	04	85BROAD	YONKERS	358.00	F	0				Steve Friedman
035	CR834904		00000	0535P	***	12/24/91	**	********	TEL	312.00	F	0				
036	CR656916		00000	0130P	000	12/31/91	00	10 GRACI	LINC CTR	16.00	N	½		16.00		CSB
037	CR835041		00000	0535P	000	01/02/92	00	85BROAD	10GRACE	18.00	N	½		18.00		KDB
038	CR716374		00000	0300P	000	01/03/92	00	10 GRACI	92ND	14.00	N	½		14.00		CSB
039	CR717335		00000	1037A	000	01/03/92	00	10 GRACI	85 BROAD	18.00	N	½		18.00		KDB
040	CR835038		00000	0800P	000	01/03/92	01	85 BROAD	GRACIE	22.00	N	½		22.00		KDB
041	CR835049		00000	0800P	000	01/03/92	00	85 BROAD	BKLYN	24.50	F	3	GenBiz			PLK
042	CR835049		00000	0800P	***	01/03/92	**	********	TEL	8.00	F	3	GenBiz			PLK
043	CR716807		00000	1000A	000	01/04/92	00	GRACIE	TIMECALL	91.00	N	½		91.00		KDB
044	CR748295	Carey	00000	0550P	000	01/04/92	00	QUEENS	E 72	38.50	N	½		38.50		PRC
045	CR748302		00000	0815A	000	01/04/92	01	20VESEY	515 E72	32.00	N	½		32.00		PRC
046	CR737009		00000	0202P	000	01/05/92	00	10 GRACI	50TH	16.00	N	½		16.00		CSB

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KENNETH D BRODY — TAXI NUMBER: 25461 (021392) — STATEMENT NUMBER: TX133974
ACCOUNT NO: BRO002 — AS OF 02/13/92 — PAGE 005 OF 013
SECTION: 1
DETAIL CHARGES — EMPLOYEE #: 09100 — ORGANIZATION: 0001 006693 — DEPT: LIMITED PARTNERS

LINE NO.	VOUCHER NUMBER	EMPLOYEE NAME	EMPLOYEE NUMBER	PICK UP TIME	WAIT TIME MIN	DATE OF RIDE	# OF STOPS	FROM	TO	AMOUNT	CAT	USE CODE	SYNDICATE/ PROJECT/ NUMBER	PENDING OR NONREIMBUR AMOUNT	CLIENT NUMBER	COMMENTS/ SYNDICATE NAME
047	CR716809		00000	0845A	000	01/06/92	00	GRACIE	85 BROAD	18.00	N			18.00		KDB
048	CR717522		00000	0220P	050	01/06/92	03	10 GRACI	10 GRACI	59.00	N			59.00		CSB
049	CR748301	Carey	00000	0848A	000	01/06/92	00	E 72	85 BROAD	17.00	N			17.00		PRC
050	CR748372	Carey	00000	0180P	090	01/06/92	00	85 BROAD	LAG	65.50	N			65.50		PRC
051	CR748372	Carey	00000	0100P	***	01/06/92	**	********	TEL	20.00	N			20.00		PRC
052	CR835030		00000	0800P	000	01/06/92	00	85 BROAD	BKLYN	24.50	F	.3	Gen Bus			PLK
053	CR833037		00000	0335P	000	01/06/92	00	85 BROAD	1775 BWY	16.00	N			16.00		KDB
054	CR717537		00000	1035A	000	01/07/92	00	10 GRACI	62ND	15.00	N			15.00		CSB
055	CR736922		00000	0325P	000	01/07/92	00	GRACIESQ	1361HAD	14.00	N			14.00		CSB
056	CR752326		00000	0845A	035	01/07/92	01	10GRACSQ	92NDPARK	41.00	N			41.00		CSB
057	CR094610		00000	0815A	000	01/08/92	00	10 GRACE	63 PK.	15.00	N			15.00		CSB
058	CR709574		00000	0635A	000	01/08/92	00	GRACIE	LGA	29.50	F		Gen Bus			KDB
059	CR716377		00000	0225P	000	01/08/92	00	10 GRACI	97TH	15.00	N			15.00		CSB
060	CR717491		00000	1202P	000	01/08/92	00	92ND	10 GRACI	14.00	N			14.00		KDB
061	CR748305	Carey	00000	0225A	000	01/08/92	00	1560 BWY	E 72	16.00	N			16.00		PRC
062	CR748371	Carey	00000	0345P	010	01/08/92	00	1560BWAY	E 75	20.00	N			20.00		PRC
063	CR748371	Carey	00000	0345P	***	01/08/92	**	********	TEL	26.00	N			26.00		PRC
064	CR748377	Richard	00000	0800P	000	01/08/92	00	85 BROAD	GRACIE	23.00	F		Gen Bus			KDB
065	CR833032		00000	0800P	000	01/08/92	00	85 BROAD	1560 BWY	16.00	N			16.00		KDB
066	CR835032		00000	0800P	***	01/08/92	**	********	TEL	4.00	N			4.00		KDB
067	CR835033	Carey	00000	1200P	000	01/08/92	00	1560 BWY	BKLYN	28.50	N			28.50		PRC
068	CR835035	Carey	00000	1200P	***	01/08/92	**	********	TEL	30.00	N			30.00		PRC
069	CR094613		00000	0900P	025	01/09/92	01	241 E78	241 E78	43.00	N			43.00		CSB
070	CR094613		00000	0900P	***	01/09/92	**	********	TEL	4.00	N			4.00		CSB

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TAXI NUMBER: 25461 (021392) STATEMENT NUMBER: TX133974
KENNETH D BRODY ACCOUNT NO: BRD002 AS OF 02/13/92 PAGE 006 OF 013
SECTION: 1
DETAIL CHARGES EMPLOYEE #: 09100 ORGANIZATION: 0001 006693 DEPT: LIMITED PARTNERS

LINE NO.	VOUCHER NUMBER	EMPLOYEE NAME	EMPLOYEE NUMBER	PICK UP TIME	WAIT TIME MIN	DATE OF RIDE	# OF STOPS	FROM	TO	AMOUNT	CAT	USE CODE	SYNDICATE/ PROJECT/ NUMBER	PENDING CR NUMBER/ERROR AMOUNT	CLIENT NUMBER	COMMENTS/ SYNDICATE NAME
071	CR717496		00000	0903P	000	01/09/92	00	10 GRACI	85 BROAD	18.00	N	1/2		18.00		KDB
072	CR723854		00000	0303P	000	01/09/92	00	WALL ST	GRACIE	18.00	N	1/2		18.00		CSB
073	CR737597		00000	0120P	000	01/09/92	00	10 GRACI	WALL ST	18.00	N	1/2		18.00		CSB
074	CR748370	Carey	00000	0652P	000	01/09/92	00	E 72	345 PARK	15.00	N	1/2		15.00		PRC
075	CR856635		00000	0515P	000	01/09/92	00	GRACIE	E 75	15.00	N	2		15.00		CSB
076	CR717234		00000	0900A	040	01/10/92	01	10 GRACE	85 BROAD	38.00	N	1/2		38.00		KDB
077	CR717234		00000	0900A	***	01/10/92	**	********	TEL	24.00	N	1/2		24.00		KDB
078	CR742674		00000	1210P	020	01/10/92	00	GRACE SQ	57TH 5TH	24.00	N	1/2		24.00		CSB
	TOTAL:					01/17/92				1,791.50						
079	AC748375	BRODY KENN	09100	0800P	000	01/07/92	00	85 BROAD	10/GRACI	18.00	F	3	GenBUS			KDB
080	AC835035	~~BRODY KENN~~	09100	0900P	000	01/10/92	00	85 BROAD	BROOKLYN	24.50	F	3	Gen BUS			PLK
081	CR753463		00000	0250P	000	01/15/91	00	GRACE SQ	66TH PK.	19.00	N	1/2		19.00		CSB
082	CR737346		00000	1000A	000	12/01/91	00	BROAD	10 GRACE	18.00	N	1/2		18.00		KDB
083	CR835048		00000	0840P	000	12/30/91	00	85 BROAD	BKLYN	24.50	F	3	Gen Bus			PLK
084	CR768715		00000	0725A	020	01/07/92	01	10 GRACE	MIDTOWN	37.00	N	1/2		37.00		KDB
085	CR835031		00000	0800P	000	01/07/92	00	85 BROAD	BKLYN	24.50	F	3	Gen Bus			PLK
086	CR701101		00000	0845A	010	01/06/92	01	GRACE SQ	GRACE SQ	35.00	N	1/2		35.00		CSB
087	CR748307	Carey	00000	1055A	***	01/09/92	**	********	TEL	34.00	N	1/2		34.00		PRC
088	CR748307	Carey	00000	1055A	000	01/09/92	00	1560BDWY	85BROAD	16.00	N	1/2		16.00		PRC
089	CR748378	Carey	00000	1250P	000	01/09/92	00	85BROAD	515E72ND	17.00	N	1/2		17.00		PRC
090	CR748378	Carey	00000	1250P	***	01/09/92	**	********	TEL	6.00	N	1/2		6.00		PRC
091	CR768254		00000	0950A	010	01/10/92	00	GRACE SQ	92ND PK.	18.00	N	1/2		18.00		CSB
092	CR768251		00000	1120A	000	01/11/92	01	GRACE SQ	GRACE SQ	37.00	N	1/2		37.00		CSB

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KENNETH D BRODY — TAXI NUMBER: 25461 (021392) — STATEMENT NUMBER: TX133974
ACCOUNT NO: BROG02 — AS OF 02/13/92 — PAGE 007 OF 013
SECTION: 1
DETAIL CHARGES — EMPLOYEE #: 09100 — ORGANIZATION: 0001 006693 — DEPT: LIMITED PARTNERS

LINE NO.	VOUCHER NUMBER	EMPLOYEE NAME	EMPLOYEE NUMBER	PICK UP TIME	WAIT TIME MIN	DATE OF RIDE	# OF STOPS	FROM	TO	AMOUNT	CAT	USE CODE	SYNDICATE/ PROJECT/ NUMBER	PENDING OR REIMBUR AMOUNT	CLIENT NUMBER	COMMENTS/ SYNDICATE NAME
093	CR717730		00000	0430P	100	01/12/92	01	10 GRACI	10 GRACI	67.00	N	02		67.00		CSB
094	CR724596		00000	0110P	000	01/13/92	00	GRACIE	445 PARK	16.00	N	02		16.00		CSB
095	CR748310	Carey	00000	1230P	010	01/13/92	01	1560 BWY	445 PK.	30.00	N	02		30.00		PRC
096	CR748310	Carey	00000	1230P	***	01/13/92	**	********	TEL	4.00	N	02		4.00		PRC
097	CR748382		00000	0300P	000	01/13/92	00	85 BROAD	BKLYN	24.50	F	3	GenBus			PLK
098	CR724593		00000	0559P	034	01/14/92	00	GRACE SQ	280 PK.	30.00	N	02		30.00		CSB
099	CR748308	Carey	00000	0907A	000	01/14/92	00	515E 72	1560 BWY	16.00	N	02		16.00		PRC
100	CR748308	Carey	00000	0907A	***	01/14/92	**	********	TEL	30.00	N	02		30.00		PRC
101	CR748374	Carey	00000	0955P	000	01/14/92	00	1560 BWY	11 E76TH	16.00	N	02		16.00		PRC
102	CR748374	Carey	00000	0955P	***	01/14/92	**	********	TEL	12.00	N	02		12.00		PRC
103	CR835034		00000	0826P	000	01/14/92	00	85BROAD	BKLYN	24.50	F	3	GenBus			PLK
104	CR665262		00000	0840A	000	01/15/92	00	10 GRACE	667 MAD	15.00	N	02		15.00		CSB
105	CR693589		00000	0510P	010	01/15/92	00	66TH5TH	10GRACE	19.00	N	02		19.00		Alice
106	CR741297		00000	1140A	010	01/15/92	01	10GRACIE	92NDPARK	31.00	N	02		31.00		Alice
107	CR748316	Carey	00000	1010A	020	01/15/92	00	1560 BWY	TIME JOB	61.00	N	02		61.00		PRC
108	CR748316	Carey	00000	1010A	***	01/15/92	**	********	TEL	14.00	N	02		14.00		PRC
109	CR748378	Carey	00000	0742A	012	01/15/92	01	515 E72	1560 BWY	35.00	N	02		35.00		PRC
110	CR748384		00000	0800P	000	01/15/92	00	85 BROD	BKLYN	24.50	F	3	GenBus			PLK
111	CR666497		00000	0855A	012	01/16/92	00	GRACIE	92 PARK	20.00	N	02		20.00		CSB
112	CR716610		00000	0125P	000	01/16/92	00	10 GRACE	WALL ST	18.00	N	02		18.00		CSB
113	CR742679		00000	1145P	010	01/16/92	01	GRACE SQ	GRACE SQ	31.00	N	02		31.00		CSB
114	CR748367	Carey	00000	1045A	010	01/17/92	01	515 E72	445 PK.	23.00	N	02		23.00		PRC
115	CR791620		00000	0645P	000	01/19/92	00	85 BROAD	345 PK.	16.00	N	2		16.00		KDB
116	CR748369	Carey	00000	0715A	025	01/20/92	00	E 72	61 PARK	24.00	N	02		24.00		PRC

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KENNETH H BRODY — TAXI NUMBER: 25461 (021392) — STATEMENT NUMBER: TX133974
ACCOUNT NO: BR0002 — AS OF 02/13/92 — PAGE 008 OF 012
SECTION: 1
DETAIL CHARGES — EMPLOYEE #: 09100 — ORGANIZATION: 0001 006693 — DEPT: LIMITED PARTNERS

LINE NO.	VOUCHER NUMBER	EMPLOYEE NAME	EMPLOYEE NUMBER	PICK UP TIME	WAIT TIME MIN	DATE OF RIDE	# OF STOPS	FROM	TO	AMOUNT	CAT	USE CODE	SYNDICATE/ PROJECT/ NUMBER	PENDING OR NONREIMBUR AMOUNT	CLIENT NUMBER	COMMENTS/ SYNDICATE NAME
	TOTAL:					01/24/92				930.00						
117	AC748385	BRODY KENN	09100	0900P	000	01/20/92	00	85 BROAD	BROOKLYN	24.50	F	5	GenBio			PLK
118	CR748311	Carey	00000	0550P	300	01/13/92	00	1560 BWY	NEW ROCH	210.00	N	[illegible]		210.00		PRC
119	CR748311	Carey	00000	0550P	***	01/13/92	**	********	TEL	22.00	N	[illegible]		22.00		PRC
120	CR299205	SHAUGHNESS	81420	0130P	000	01/17/92	00	85 BROAD	WESCHEST	65.00	P	1				NOT OVER
121	CR716822		00000	0840A	000	01/17/92	01	10 GRACI	10 GRACI	27.00	N	[illegible]		27.00		CSB
122	CR748309	Carey	00000	0940P	000	01/17/92	02	1560 BWY	157 BWY	32.00	N	[illegible]		32.00		PRC
123	CR748317	Carey	00000	0400P	000	01/17/92	00	1560 BWY	BKLYN	23.50	N	[illegible]		23.50		BQ
124	CR752103		00000	0140P	000	01/19/92	00	GRACIE	44 5AVE	16.00	N	[illegible]		16.00		KDB
125	CR769126		00000	0400P	000	01/19/92	00	33 7AVE	GRACIE	18.00	N	[illegible]		18.00		CSB
126	CR769768		00000	0100P	000	01/19/92	00	GRACIE	33 7AVE	18.00	N	[illegible]		18.00		CSB
127	CR554779		00000	0434P	010	01/20/92	00	4 E66TH	GRACE SQ	19.00	N	[illegible]		19.00		CSB
128	CR737422		00000	0905P	030	01/20/92	01	GRACIE	53 7AVE	33.00	N	[illegible]		33.00		CSB
129	CR717057		00000	1145A	014	01/21/92	01	GRACIE	GRACIE	33.00	N	[illegible]		33.00		CSB
130	CR736348	Carey	00000	0730P	000	01/21/92	00	QUEENS	515 72	43.50	N	[illegible]		43.50		MeGan Carey
131	CR748312	Carey	00000	0626P	049	01/21/92	01	1560 BWY	LEX	50.00	N	[illegible]		50.00		PRC
132	CR748312	Carey	00000	0626P	***	01/21/92	**	********	TEL	44.00	N	[illegible]		44.00		PRC
133	CR748369	Carey	00000	0915A	000	01/21/92	00	515E72ND	1560BDWY	16.00	N	[illegible]		16.00		PRC
134	CR748369	Carey	00000	0915A	***	01/21/92	**	********	TEL	8.00	N	[illegible]		8.00		PRC
135	CR748383	Barbara	00000	0505P	000	01/21/92	00	85 BROAD	GRACIE	23.00	F	4	GenBio			
136	CR748390	KORVAL PAT	11712	0830P	000	01/21/92	00	85 BROAD	BKLYN	24.50	F	3	GenBio.			PLK
137	CR752087		00000	0215P	000	01/21/92	01	10 GRACI	78TH	29.00	N	[illegible]		29.00		CSB
138	CR752495		00000	0840A	000	01/21/92	00	10 GRACE	92ND PK.	14.00	N	[illegible]		14.00		CSB
139	CR717509	Carey	00000	0800A	000	01/22/92	00	E 72	1560 BWY	20.00	N	[illegible]		20.00		PRC

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KENNETH D BRODY
SECTION: 1
DETAIL CHARGES

TAXI NUMBER: 25461 (021392)
ACCOUNT NO: BR0002 AS OF 02/13/92

STATEMENT NUMBER: TX133974
PAGE 009 OF 015

EMPLOYEE #: 09100 ORGANIZATION: 0001 006693 DEPT: LIMITED PARTNERS

LINE NO.	VOUCHER NUMBER	EMPLOYEE NAME	EMPLOYEE NUMBER	PICK UP TIME	WAIT TIME MIN	DATE OF RIDE	# OF STOPS	FROM	TO	AMOUNT	CAT	USE CODE	SYNDICATE/ PROJECT/ NUMBER	PENDING OR NONREIMBUR AMOUNT	CLIENT NUMBER	COMMENTS/ SYNDICATE NAME
140	CR735927		00000	1145A	025	01/22/92	01	GRACE SQ	92ND PK.	41.00	N	1/2		41.00		Alice
141	CR748321	Carey	00000	0145P	000	01/22/92	00	1560 BWY	BRONX	33.00	N	1/2		33.00		PRC
142	CR748322	Carey	00000	0620P	110	01/22/92	01	1560 BWY	1560 BWY	77.00	N	1/2		77.00		PRC
143	CR748322	Carey	00000	0620P	***	01/22/92	**	********	TEL	40.00	N	1/2		40.00		PRC
144	CR748324	Carey	00000	0315P	145	01/22/92	01	811 7TH	811 7TH	93.00	N	1/2		93.00		Ali
145	CR748324	Carey	00000	0315P	***	01/22/92	**	********	TEL	74.00	N	1/2		74.00		Ali
146	CR748327	Carey	00000	1105P	020	01/22/92	01	1560 BWY	QUEENS	61.50	N	1/2		61.50		PRC/Ali
147	CR748386	*	00000	0646A	016	01/22/92	00	BKLYN	JFK	43.00	N	2		43.00		PLK *
148	CR764800		00000	0245P	000	01/22/92	01	GRACIE	E 66	19.00	N	1/2		19.00		Alice
149	CR769129		00000	1100A	000	01/22/92	00	GRACIE	E 66	15.00	N	1/2		15.00		CSB
150	CR694373	Carey	00000	1100P	000	01/23/92	01	WCHESTER	515 E72	83.50	N	1/2		83.50		PRC
151	CR768372		00000	0250P	000	01/23/92	00	GRACE SQ	60TH 3RD	15.00	N	1/2		15.00		CSB
152	CR766876		00000	0850A	000	01/23/92	00	10 GRACI	44 5TH	18.00	N	1/2		18.00		CSB
153	CR766876		00000	0850A	***	01/23/92	**	********	TEL	2.00	N	1/2		2.00		CSB
154	CR768763	Carey	00000	0605P	040	01/23/92	02	1560 BWY	63 PARK	40.00	N	1/2		40.00		PRC
155	CR768763	Carey	00000	0605P	***	01/23/92	**	********	TEL	50.00	N	1/2		50.00		PRC
156	CR769059		00000	0840A	015	01/23/92	01	UPP MAN.	UPP MAN.	33.00	N	1/2		33.00		CSB
157	CR748325	Carey	00000	0802A	012	01/24/92	01	515E 72	1740 BWY	26.00	N	1/2		26.00		PRC
158	CR748391	Carey	00000	0550P	000	01/24/92	00	TIME	CALL	71.00	N	1/2		71.00		Ali
159	CR748391	Carey	00000	0550P	***	01/24/92	**	********	TEL	28.00	N	1/2		28.00		Ali
160	CR762135		00000	0845A	010	01/24/92	00	10 GRACE	92ND PK.	18.00	N	1/2		18.00		CSB
161	CR748396	Carey	00000	0135P	080	01/25/92	00	TIME	CALL	68.00	N	1/2		68.00		Seth/Ali
162	CR748401	Carey	00000	1145P	020	01/25/92	02	811 7TH	BKLYN	54.00	N	1/2		54.00		C. Davison
163	CR748394	Carey	00000	0115P	000	01/26/92	00	811 7TH	811 7TH	61.00	N	1/2		61.00		Ali

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KENNETH D'BRODY — TAXI NUMBER: 25461 (021392) — STATEMENT NUMBER: TX133974
ACCOUNT NO: BROOO2 — AS OF 02/13/92 — PAGE 810 OF 813
SECTION: 1
DETAIL CHARGES — EMPLOYEE #: 09100 — ORGANIZATION: 0001 006693 — DEPT: LIMITED PARTNERS

LINE NO.	VOUCHER NUMBER	EMPLOYEE NAME	EMPLOYEE NUMBER	PICK UP TIME	WAIT TIME MIN	DATE OF RIDE	# OF STOPS	FROM	TO	AMOUNT	CAT	USE CODE	SYNDICATE/ PROJECT/ NUMBER	PENDING CR NONEMBUR AMOUNT	CLIENT NUMBER	COMMENTS/ SYNDICATE NUMBER
	TOTAL:					01/31/92				1,877.00						
164	CR732128		00000	0610P	020	01/20/92	00	10 GRACI	76TH	23.00	N			23.00		CSB
165	CR748392	~~HOLAND BCR~~ Carey	10879	0830P	000	01/24/92	00	53RD 7TH	43RD 6TH	24.00	N			24.00		Carey/BH
166	CR748392	~~HOLAND BCR~~	10879	0830P	***	01/24/92	**	********	TEL	8.00	N			8.00		Carey/BH
167	CR748402	Carey	00000	1100A	005	01/24/92	01	1560 BWY	LGA	231.00	N			231.00		PRC
168	CR748402	Carey	00000	1100A	***	01/24/92	**	********	TEL	138.00	N			138.00		PRC
169	CR748404	Carey	00000	0600P	008	01/24/92	00	85 BROAD	BKLYN	24.50	N	2		24.50		PLK
170	CR768239		00000	1115A	000	01/24/92	00	10 GRACE	93 MAD	14.00	N			14.00		CSB
171	CR856~~868~~		00000	0700A	000	01/24/92	00	GRACIE	LGA	29.50	F		GenBus			KDB
172	CR527527	Carey	00000	0920P	000	01/25/92	00	32 3AVE	GRACIE	17.00	N			17.00		Bernadette
173	CR748393	Carey	00000	0640P	000	01/25/92	01	SHERATON	32ND	34.00	N			34.00		Bernadette
174	CR748398	Carey	00000	0950P	000	01/25/92	00	515E 72	7TH AVE	16.00	N			16.00		PRC
175	CR748400	Carey	00000	1145P	020	01/25/92	01	53RD	515E 72	28.00	N			28.00		PRC
176	CR748400	Carey	00000	1145P	***	01/25/92	**	********	TEL	6.00	N			6.00		PRC
177	CR759632	Carey	00000	0630A	000	01/25/92	00	790 RSD	53RD	24.00	N			24.00		Sawyer
178	CR759632	Carey	00000	0830A	***	01/25/92	**	********	TEL	6.00	N			6.00		Sawyer
179	CR717304	Carey	00000	1115P	000	01/26/92	00	25 CPW	515 E72	16.00	N			16.00		PRC
180	CR737808		00000	0510P	000	01/27/92	00	4E 66TH	10 GRACI	15.00	N			15.00		Price
181	CR741037		00000	0905A	025	01/27/92	01	10 GRACI	10 GRACI	37.00	N			37.00		CSB
182	CR748306	Carey	00000	0920A	120	01/27/92	00	515E 72	TIME JOB	61.00	N			61.00		PRC
183	CR748306	Carey	00000	0920A	***	01/27/92	**	********	TEL	62.00	N			62.00		PRC
184	CR748328	Carey	00000	0200P	000	01/27/92	00	85 BROAD	TIMECALL	91.00	N			91.00		Aimee Francis
185	CR748329	Carey	00000	0305P	000	01/27/92	00	SHERATON	TIMECALL	61.00	N			61.00		Michael Andrus
186	CR748332	Carey	00000	0520P	000	01/27/92	01	53RD 7TH	58TH LEX	19.00	N			19.00		ATi

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KENNETH D'BRODY — TAXI NUMBER: 25461 (021392) — STATEMENT NUMBER: TX133974
ACCOUNT NO: BR0002 — AS OF 02/13/92 — PAGE 011 OF 013
SECTION: 1
DETAIL CHARGES — EMPLOYEE #: 09100 — ORGANIZATION: 0001 006693 — DEPT: LIMITED PARTNERS

LINE NO.	VOUCHER NUMBER	EMPLOYEE NAME	EMPLOYEE NUMBER	PICK UP TIME	WAIT TIME MIN	DATE OF RIDE	# OF STOPS	FROM	TO	AMOUNT	CAT	USE CODE	SYNDICATE/ PROJECT/ NUMBER	PENDING OR NONREIMBUR AMOUNT	CLIENT NUMBER	COMMENTS/ SYNDICATE NAME
187	CR748336	Carey	00000	0740P	000	01/27/92	00	1560 BWY	515E 72	16.00	N			16.00		PRC
188	CR748836	Carey	00000	0740P	***	01/27/92	**	********	TEL	30.00	N			30.00		PRC
189	CR626733		00000	0855P	025	01/28/92	02	GRACIE	92 PARK	37.00	N			37.00		Alice
190	CR735933		00000	0215P	010	01/28/92	01	GRACE SQ	GRACE SQ	22.00	N			22.00		Alice
191	CR738655	Carey	00000	0720P	000	01/28/92	01	515E 72	39TH	19.00	N			19.00		PRC
192	CR741401	Carey	00000	0635P	095	01/28/92	05	59RD	W.ORANGE	153.90	N			153.90		Adler
193	CR741401	Carey	00000	0635P	***	01/28/92	**	********	TEL	38.00	N			38.00		Adler
194	CR748326	Carey	00000	1220P	000	01/28/92	01	1560 BWY	BKLYN	43.00	N			43.00		B.C.
195	CR748330	Carey	00000	0225P	000	01/28/92	00	TIME	CALL	106.00	N			106.00		Ali
196	CR748330	Carey	00000	0225P	***	01/28/92	**	********	TEL	24.00	N			24.00		Ali
197	CR748331	Carey	00000	0225P	135	01/28/92	00	85 BROAD	TIME JOB	68.50	N			68.50		Ali
198	CR748387	KORVAL PAT	11712	0835P	000	01/28/92	00	85 BROAD	375 76TH	24.50	F	3	Gen Bus			RK
199	CR738657	Carey	00000	0745A	000	01/29/92	01	515E 72	1560 BWY	29.00	N			29.00		PRC
200	CR742697		00000	0310P	010	01/29/92	01	4E 66TH	10 GRACI	23.00	N			23.00		Alice
201	CR748337	Carey	00000	0600P	000	01/29/92	00	1560 BWY	E 72	16.00	N			16.00		PRC
202	CR717120	Carey	00000	0800A	000	01/30/92	01	515E 72	1560 BWY	35.00	N			35.00		PRC
203	CR748338	Carey	00000	1215P	000	01/30/92	00	TIME	CALL	91.00	A	0		91.00		Roger Stone
204	CR748338	Carey	00000	1213P	***	01/30/92	**	********	TEL	20.00	N	0		20.00		Roger Stone
205	CR748339	Carey	00000	0605P	025	01/30/92	01	1560 BWY	QUEENS	62.50	N			62.50		Thumm
206	CR748340	Carey	00000	0510P	000	01/30/92	03	1560 BWY	300E 57	47.00	N			47.00		Steven
207	CR748341	Carey	00000	0830P	015	01/30/92	00	QUEENS	310E 70	42.00	N			42.00		Thumm
208	CR748342	Carey	00000	0610P	000	01/30/92	00	W 46	RUTHERFO	16.00	W			16.00		Ali
209	CR748342	Carey	00000	0610P	***	01/30/92	**	********	TEL	28.00	N			28.00		Ali
210	CR748345	Carey	00000	0945P	015	01/30/92	00	20 W45	601 W112	30.50	N			30.50		PRC

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KENNETH D'BRODY — TAXI NUMBER: 25461 (021392) — STATEMENT NUMBER: TX133974
ACCOUNT NO: BR0002 — AS OF 02/13/92 — PAGE 012 OF 013
SECTION: 1
DETAIL CHARGES — EMPLOYEE #: 09100 — ORGANIZATION: 0001 006693 — DEPT: LIMITED PARTNERS

LINE NO.	VOUCHER NUMBER	EMPLOYEE NAME	EMPLOYEE NUMBER	PICK UP TIME	WAIT TIME MIN	DATE OF RIDE	# OF STOPS	FROM	TO	AMOUNT	CAT	USE CODE	SYNDICATE/ PROJECT/ NUMBER	PENDING CR NONREIMBUR AMOUNT	CLIENT NUMBER	COMMENTS/ SYNDICATE NAME
211	CR769633		00000	0745A	000	01/30/92	00	GRACIE	667 MAD.	15.00	N	1/2		15.00		CSB
212	CR748347	Carey	00000	0833P	073	01/31/92	02	1560 BWY	E 72	60.00	N	1/2		60.00		PRC
213	CR748366	Carey	00000	0830P	000	01/31/92	01	MIDTOWN	QUEENS	44.50	N	1/2		44.50		A11
214	CR748366	Carey	00000	0830P	***	01/31/92	**	********	TEL	20.00	N	1/2		20.00		A11
215	CR769243		00000	0450P	000	02/01/92	01	GRACIE	64 BWAY	20.00	N	1/2		20.00		CSB
	TOTAL:					02/07/92				2,166.40						

STATEMENT RIDE TOTAL	6,416.90
STATEMENT TELEPHONE TOTAL	1,244.00
STATEMENT TOTAL	7,660.90

USAGE CODES
1=BUSINESS 3=HOME
2=PERSONAL 4=PACKAGE

V

Payment

~~Reimbursement Request~~

ASAP

To: Paul R. Carey

From: Kenneth D. Brody

Date: 4/15/92

Please have the campaign comptroller reimburse me in the amount of $ 6819.50 for expenses incurred by me for the Clinton '92 campaign. These expenses were paid by me personally as a courtesy and in advance to secure agreements or reservations with New York vendors or banquet facilities as the New York campaign office, being a temporary entity, was neither estasblished nor able to get a line of credit for such services or due to a time deadline. On other occasions I acted as host for a Clinton reception. All amounts should be re-paid in full immediately.

Payment to: Goldman Sachs

Date Feb-Mar 92

For: Taxis for Clinton campaign

9704380375

Note: Please provide all documentation for any payment requests you are making to me. You are not authorized to use my name, accounts or affiliation for any purpose without express permission from me directly. Under no circumstances are you to use the name or accounts of my employer, Goldman Sachs, for any purposes.

Date paid: _____

Amount paid: _____

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KENNETH D BRODY | TAXI NUMBER: 25461 | ACCOUNT NO: BROOO2 | STATEMENT NUMBER: TX135225 | AS OF 03/18/92 | PAGE 002 OF 013

SUMMARY OF EXPENSES EMPLOYEE #: 09100 ORGANIZATION: 0001 006693 DEPT: LIMITED PARTNERS

KENNETH D BRODY

Travel and Entertainment

Month-End Summary of Expenses

	AMOUNT	
1.) TAXI CHARGES	~~8,260.50~~ 8257.50	THIS REPRESENTS THE TOTAL AMOUNT CHARGED TO YOUR TAXI ACCOUNT. SEE SECTION 1 FOR DETAILS.
2.) LESS PENDING CREDITS		THIS REPRESENTS BILLING ERRORS OR OTHER DISPUTED CHA AND MUST EQUAL CHARGES CODED P IN TAXI DETAIL.
3.) LESS OTHER NONREIMBURSABLE	8027.00	THIS REPRESENTS AMOUNTS REIMBURSABLE TO THE FIRM FOR PERSO OR OTHER NON-REIMBURSABLE USE AND MUST EQUAL CHARGES CODED OR USAGE CODE OF 2 IN TAXI DETAIL. PLEASE ATTACH YOUR CHECK PAYABLE TO GOLDMAN, SACHS & CO. FOR THIS AMOUNT.
4.) TOTAL OF EXPENSES	230.50	SUBTRACT LINES 2 & 3 FROM LINE 1. THIS REPRESENTS THE AMOUNT OF EXPENSES TO BE PAID BY THE FI IF AMOUNTS ARE TO BE CHARGED TO A SYNDICATE PLEASE COMPLETE SECTION II (PAYMENT DISTRIBUTION) ATTACHED.

APPROVALS: To the best of my knowledge, all statements on this report are true and factual and all expenses are in conformity with firm policy.

KENNETH D BRODY DATE

AUTHORIZED SIGNATURE DATE

☐ Check here if Address or Organization change is required. (Please note change in comments.)

THE FOLLOWING STATEMENTS ARE OUTSTANDING:

TX133974 02/13/92 TX126102 10/08/91

KDB - 1207.50

Clinton - 6822.50 - 300 exchange = 6819.

GS - 230.50

COMMENTS/SPECIAL INSTRUCTIONS

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KENNETH D BRODY — TAXI NUMBER: 25461 — (031892) — STATEMENT NUMBER: TX135225
ACCOUNT NO: BROOO2 — AS OF 03/18/92 — PAGE 005 OF 013
SECTION: 1
DETAIL CHARGES — EMPLOYEE #: 09100 — ORGANIZATION: 0001 006693 — DEPT: LIMITED PARTNERS

LINE NO.	VOUCHER NUMBER	EMPLOYEE NAME	EMPLOYEE NUMBER	PICK UP TIME	WAIT TIME MIN	DATE OF RIDE	# OF STOPS	FROM	TO	AMOUNT	CAT	USE CODE	SYNDICATE/ PROJECT/ NUMBER	PENDING OR NONRECEIPT AMOUNT	CLIENT NUMBER	COMMENTS/ SYNDICATE NAME
001	AC748405	KORVAL PAT	11712	0800P	000	01/27/92	00	85 BROAD	BROOKLYN	24.50	F	3	GB			PK
002	CR748350	Carey	00000	1100P	000	02/04/90	00	85 BROAD	QUEENS	41.50	N	4		4150		
003	CR748380	Carey	00000	0128P	000	01/10/92	00	85 BROAD	JERSEY	61.00	N	4		6100		
004	CR748395	Carey	00000	1020P	000	01/28/92	00	811 7AVE	TIMECALL	91.00	N	4		9100		
005	CR748395	Carey	00000	1020P	***	01/28/92	**	********	TEL	20.00	N	4		2000		
006	CR732171	Carey	00000	1130P	***	01/28/92	**	********	TEL	42.00	N	4		4200		
007	CR752171	Carey	00000	1130P	180	01/28/92	00	1560 BWY	TIME JOB	91.00	N	4		9100		
008	CR691668	Carey	00000	0910P	000	01/29/92	01	1560 BWY	QUEENS	53.50	N	4		5350		
009	CR691668	Carey	00000	0910P	***	01/29/92	**	********	TEL	6.00	N	4		600		
010	CR741621	Carey	00000	1225P	025	01/29/92	00	1560 BWY	155 W84	27.00	N	4		2700		
011	CR741621	Carey	00000	1225P	***	01/29/92	**	********	TEL	32.00	N	4		3200		
012	CR748346	Carey	00000	0950P	020	01/31/92	01	1560 BWY	1560 BWY	35.00	N	4		3500		
013	CR748365	Carey	00000	1100P	015	01/31/92	00	15TH	QUEENS	45.50	N	4		4550		
014	CR748406		00000	0600P	000	01/31/92	00	85 BROAD	10 GRACE	23.00	F	4	GB			
015	CR755534		00000	0440P	010	01/31/92	01	GRACE SQ	92ND PK.	31.00	N	4		3100		CSB
016	CR738610	Carey	00000	1000P	020	02/01/92	00	67TH	HUDSON	25.00	N	4		2500		
017	CR739380	Carey	00000	1026A	000	02/02/92	01	515E 72	1560 BWY	20.00	N	4		2000		
018	CR748399	Carey	00000	0710P	000	02/02/92	01	1560 BWY	157 W	31.50	N	4		3150		
019	CR716167		00000	0530P	000	02/03/92	00	GRACE SQ	53RD 7TH	17.00	N	4		1700		CSB
020	CR748349	Carey	00000	0927P	***	02/03/92	**	********	TEL	10.00	N	4		1000		
021	CR748369	Carey	00000	0927P	027	02/03/92	03	1560 BWY	QUEENS	86.50	N	4		8650		
022	CR761918		00000	0845P	000	02/03/92	02	GRACIE	GRACIE	27.00	N	4		2700		CSB
023	CR741635		00000	0840A	000	02/04/92	00	10 GRACE	92ND PK.	14.00	N	4		1400		CSB
024	CR748351	Carey	00000	0330P	000	02/04/92	00	1560BDWY	1560BDWY	71.00	N	4		7100		

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KENNETH D BRODY — TAXI NUMBER: 25461 (031892) — STATEMENT NUMBER: TX135225
ACCOUNT NO: BR0002 — AS OF 03/18/92 — PAGE 004 OF 033
SECTION: 1
DETAIL CHARGES — EMPLOYEE #: 09100 — ORGANIZATION: 0001 006693 — DEPT: LIMITED PARTNERS

LINE NO.	VOUCHER NUMBER	EMPLOYEE NAME	EMPLOYEE NUMBER	PICK UP TIME	WAIT TIME MIN	DATE OF RIDE	# OF STOPS	FROM	TO	AMOUNT	CAT	USE CODE	SYNDICATE/ PROJECT/ NUMBER	PENDING CR REIMBUR AMOUNT	CLIENT NUMBER	COMMENTS/ SYNDICATE NAME
025	CR748353	Carey	00000	0720P	000	02/04/92	00	1560 BWY	WCHESTER	50.50	N	A		58.50		
026	CR748407		00000	1245P	000	02/04/92	00	65 BROAD	29E 65TH	17.00	N	1/2		17.00		KDB
027	CR761668	Carey	00000	1030P	***	02/04/92	**	********	TEL	14.00	N	1/2		14.00		
028	CR761668	Carey	00000	1030P	075	02/04/92	03	WCHESTER	E72ND	132.00	N	1/2		132.00		
029	CR748355	Carey	00000	0840P	000	02/05/92	01	1560 BWY	QUEENS	45.50	N	1/2		4550		
030	CR748355	Carey	00000	0840P	***	02/05/92	**	********	TEL	10.00	N	1/2		10.00		
031	CR748356	Carey	00000	0745P	000	02/05/92	01	44TH 5TH	34TH	24.00	N	1/2		24.00		
032	CR752519	Carey	00000	0830A	000	02/05/92	00	515 E72	156 BWY	16.00	N	1/2		16.00		
033	CR769250		00000	0845A	000	02/05/92	00	10 GRACE	92ND PK	14.00	N	1/2		14.00		CSB
034	CR738605	Carey	00000	1018P	000	02/06/92	02	1560 BWY	QUEENS	88.50	N	1/2		88.50		
035	CR748363	Carey	00000	0200P	000	02/06/92	00	TIME	CALL	61.00	N	1/2		61.00		
036	CR748363	Carey	00000	0200P	***	02/06/92	**	********	TEL	42.00	N	1/2		42.00		
037	CR748364	Carey	00000	0110P	000	02/06/92	02	46TH	46TH	38.00	N	1/2		38.00		
038	CR748364	Carey	00000	0110P	***	02/06/92	**	********	TEL	36.00	N	1/2		36.00		
039	CR757992		00000	0845A	000	02/06/92	01	10 GRACI	10 GRACI	27.00	N	1/2		27.00		CSB
040	CR748348	Carey	00000	0800A	015	02/07/92	00	515E 72	59 MAIDE	23.00	N	1/2		23.00		
041	CR748357	Carey	00000	0100P	000	02/07/92	01	1560 BWY	1560 BWY	47.00	N	1/2		47.00		
042	CR748359	Carey	00000	0245P	000	02/07/92	00	1560 46	85 BROAD	16.00	N	1/2		16.00		
043	CR762046	Carey	00000	0730A	010	02/07/92	01	515 E72	53RD LEX	23.00	N	1/2		23.00		
044	CR760517		00000	0754A	000	02/07/92	02	GRACIE	GRACIE	27.00	N	1/2		27.00		CSB
045	CR760691	Carey	00000	1010P	015	02/07/92	01	1560 BWY	157TH ST	35.50	N	1/2		35.50		
046	CR760691	Carey	00000	1010P	***	02/07/92	**	********	TEL	4.00	N	1/2		4.00		
047	CR769316	Carey	00000	0640P	000	02/07/92	00	TIME	CALL	61.00	N	1/2		61.00		
048	CR740468	Carey	00000	0830P	032	02/08/92	02	1560 BWY	QUEENS	69.50	N	1/2		69.50		

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KENNETH D BRODY — TAXI NUMBER: 25461 (031892) — STATEMENT NUMBER: TX135225
ACCOUNT NO: BR0002 — AS OF 03/18/92 — PAGE 00% OF 033
SECTION: 1
DETAIL CHARGES — EMPLOYEE #: 09100 — ORGANIZATION: 0001 006693 — DEPT: LIMITED PARTNERS

LINE NO.	VOUCHER NUMBER	EMPLOYEE NAME	EMPLOYEE NUMBER	PICK UP TIME	WAIT TIME MIN	DATE OF RIDE	# OF STOPS	FROM	TO	AMOUNT	CAT	USE CODE	SYNDICATE/ PROJECT/ NUMBER	PENDING CR NONREIMBUR AMOUNT	CLIENT NUMBER	COMMENTS/ SYNDICATE NUM
049	CR740556	Carey	00000	0840P	000	02/08/92	00	TIME	CALL	61.00	N	2		61.00		
	TOTAL:					02/14/92				1,916.50						
050	AC748035	KORVAL PAT	11712	0800P	000	02/11/92	00	85 BROAD	BROOKLYN	28.50	F	3	GB			PK
051	CR748343	Carey	00000	0700P	000	02/01/92	01	1560BDWY	QUEENS	52.50	N	0		52.50		
052	CR748343	Carey	00000	0700P	***	02/01/92	**	********	TEL	4.00	N	0		4.00		
053	CR724977		00000	1010A	000	02/07/92	00	10 GRACI	57TH	16.00	N	0		16.00		CSB
054	CR737929	Carey	00000	0845P	000	02/07/92	00	1560 BWY	85TH 3RD	36.00	N	0		36.00		
055	CR747107	Carey	00000	0650P	015	02/07/92	00	75 VARIC	46 BWY	22.00	N	0		22.00		
056	CR747107	Carey	00000	0650P	***	02/07/92	**	********	TEL	6.00	N	0		6.00		
057	CR716859	Carey	00000	0825A	020	02/08/92	00	515E72ND	BRONXVLE	61.00	N	0		61.00		
058	CR748360	Carey	00000	0210A	000	02/08/92	02	1616 2ND	QUEENS	69.50	N	0		69.50		
059	CR769998	Carey	00000	0909P	030	02/08/92	00	1560 BWY	GRACE SQ	28.00	N	0		28.00		
060	CR769998	Carey	00000	0909P	***	02/08/92	**	********	TEL	4.00	N	0		4.00		
061	CR661557	Carey	00000	0630P	086	02/09/92	00	1560BDW/	1560BDWY	72.00	N	0		72.00		
062	CR661557	Carey	00000	0630P	***	02/09/92	**	********	TEL	12.00	N	0		12.00		
063	CR701168	Carey	00000	1115P	000	02/09/92	00	1560BDWY	BAYSIDE	39.50	N	0		39.50		
064	CR701168	Carey	00000	1115P	***	02/09/92	**	********	TEL	14.00	N	0		14.00		
065	CR748361	Carey	00000	1110A	010	02/09/92	01	1560 BWY	135TH	45.00	N	0		45.00		
066	CR761392	Carey	00000	1000A	000	02/09/92	01	515E 72	1560 BWY	20.00	N	0		20.00		
067	CR699736	Carey	00000	0700P	000	02/10/92	00	811 TAVE	QUEENS	41.50	N	0		41.50		
068	CR716329	Carey	00000	0205P	000	02/10/92	01	1560BDWY	1560BDWY	29.00	N	0		29.00		
069	CR738736		00000	0435P	000	02/10/92	00	4E 66TH	10 GRACI	15.00	N	0		15.00		CSB
070	CR739015	Carey	00000	0840A	000	02/10/92	00	515E 72	1560 BWY	16.00	N	0		16.00	0	

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KENNETH D BRODY — TAXI NUMBER: 25461 (031692) — STATEMENT NUMBER: TX135225
SECTION: 1 — ACCOUNT NO: BROOO2 — AS OF 03/18/92 — PAGE 006 OF 013
DETAIL CHARGES — EMPLOYEE #: 09100 — ORGANIZATION: 0001 006693 — DEPT: LIMITED PARTNERS

LINE NO.	VOUCHER NUMBER	EMPLOYEE NAME	EMPLOYEE NUMBER	PICK UP TIME	WAIT TIME MIN	DATE OF RIDE	# OF STOPS	FROM	TO	AMOUNT	CAT	USE CODE	SYNDICATE/ PROJECT/ NUMBER	PENDING OR NONRECHGBL AMOUNT	CLIENT NUMBER	COMMENTS/ SYNDICATE NAME
071	CR748313	Carey	00000	0300P	410	02/10/92	04	1560BDWY	TETERBOR	315.00	N	2		315.00		
072	CR748313	Carey	00000	0300P	***	02/10/92	**	********	TEL	42.00	N	2		42.00		
073	CR748314	Carey	00000	0300P	455	02/10/92	03	1560 BWY	TETERBOR	347.00	N	2		347.00		
074	CR748314	Carey	00000	0300P	***	02/10/92	**	********	TEL	156.00	N	2		156.00		
075	CR748315	Carey	00000	0100A	000	02/10/92	01	1560 BWY	E 72	31.00	N	2		31.00		
076	CR748352	Carey	00000	0110A	000	02/10/92	00	1560 BWY	415 BWY	16.00	N	2		16.00		
077	CR748362	Carey	00000	0300P	085	02/10/92	04	1560 BWY	53 7AVE	94.00	N	2		94.00		
078	CR752257		00000	0330P	000	02/10/92	00	GRACIE	53 7AVE	17.00	N	2		17.00		CSB
079	CR753624		00000	1245P	095	02/10/92	01	10GRACIE	93RDPARK	65.00	N	2		65.00		CSB
080	CR769997	Carey	00000	1215P	015	02/10/92	00	167TH	MIDTOWN	22.00	N	2		22.00		
081	CR684785	Carey	00000	0335P	000	02/11/92	00	165 46TH	LGA	29.50	N	2		29.50		
082	CR741883	Carey	00000	0847P	076	02/11/92	00	1560 BWY	H8	49.00	N	2		49.00		
083	CR741998	Carey	00000	0200A	000	02/11/92	00	53 7AVE	BLEECKER	23.50	N	2		23.50		
084	CR748409	Carey	00000	0600P	900	02/11/92	02	85 BROAD	1650 BWY	20.00	N	2		20.00		
085	CR748410	Carey	00000	1050P	000	02/11/92	00	7STH	QUEENS	41.50	N	2		41.50		
086	CR748410	Carey	00000	1050P	***	02/11/92	**	********	TEL	2.00	N	2		2.00		
087	CR769090	Carey	00000	1200P	020	02/11/92	01	46 BWAY	E 81	29.00	N	2		29.00		
088	CR716131	Carey	00000	1255P	015	02/12/92	00	GRACIE	87 RSD	21.00	N	2		21.00		
089	CR747114	Carey	00000	0400P	000	02/12/92	00	515E 72	LGA	29.50	N	2		29.50		
090	CR748036		00000	0500P	000	02/12/92	00	85 BROAD	969 PARK	23.00	N	2		23.00		KDB
091	CR748037		00000	0810P	000	02/12/92	00	85BROAD	BKLYN	24.50	F	3	GB			PK
092	CR762899		00000	0940A	010	02/12/92	00	10 GRACI	89TH	18.00	N	0		18.00		CSB
093	CR768942	Carey	00000	0800P	020	02/12/92	00	1560BDWY	315E72ND	24.00	N	2		24.00		
094	CR768942	Carey	00000	0800P	***	02/12/92	**	********	TEL	36.00	N	2		36.00		

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KENNETH D BRODY — TAXI NUMBER: 25461 (031892) — STATEMENT NUMBER: TX195225
SECTION: 1 — ACCOUNT NO: BR0002 — AS OF 03/18/92 — PAGE 007 OF 013
DETAIL CHARGES — EMPLOYEE #: 09100 — ORGANIZATION: 0001 006693 DEPT: LIMITED PARTNERS

LINE NO.	VOUCHER NUMBER	EMPLOYEE NAME	EMPLOYEE NUMBER	PICK UP TIME	WAIT TIME MIN	DATE OF RIDE	# OF STOPS	FROM	TO	AMOUNT	CAT	USE CODE	SYNDICATE/ PROJECT/ NUMBER	PENDING CR NONREIMBUR AMOUNT	CLIENT NUMBER	COMMENTS/ SYNDICATE NAME
095	CR769138	Carey	00000	0940A	000	02/12/92	02	515E 72	1560 BWY	24.00	N	0		24.00		PRU
096	CR769138	Carey	00000	0940A	***	02/12/92	**	********	TEL	4.00	N	0		4.00		
097	CR716410	Carey	00000	1205P	030	02/13/92	01	1560BWY	LI	98.50	N	0		98.50		
098	CR716410	Carey	00000	1205P	***	02/13/92	**	********	TEL	20.00	N	0		20.00		
099	CR716411	Carey	00000	0520P	025	02/13/92	01	1560BWY	515E72ND	42.00	N	0		42.00		
100	CR756365		00000	1037P	015	02/13/92	00	100RACIE	571 5TH	22.00	N	0		22.00		CSB
101	CR756365		00000	1037P	***	02/13/92	**	********	TEL	2.00	N	0		2.00		CSB
102	CR737044	Carey	00000	1155A	000	02/13/92	00	1560 BWY	61 MAD.	23.00	N	0		23.00		
103	CR737044	Carey	00000	1155A	***	02/13/92	**	********	TEL	24.00	N	0		24.00		
104	CR739023	Carey	00000	0845A	010	02/13/92	01	10 GRACI	10 GRACI	31.00	N	0		31.00		
105	CR739024	Carey	00000	0215P	000	02/13/92	00	TIMECALL	TIMECALL	76.00	N	0		76.00		
106	CR739024	Carey	00000	0215P	***	02/13/92	**	********	TEL	15.00	N	0		15.00		
107	CR748039	Carey	00000	0815P	000	02/13/92	00	85 BROAD	BKLYN	24.50 27.50	N	3		24.50		
108	CR748414	Carey	00000	0304P	000	02/13/92	01	85TH AMS	QUEENS	58.50	N	0		58.50		
109	CR762011	Carey	00000	0840A	000	02/13/92	00	515E72ND	46TH7TH	16.00	N	0		16.00		
110	CR747342	Carey	00000	0850A	000	02/14/92	01	E 72	1560 BWY	20.00	N	0		20.00		
111	CR762260		00000	1025A	010	02/16/92	00	SOUTH ST	100RACIE	22.00	N	0		22.00		KDB
	TOTAL:					02/21/92				2,628.50						
112	CR765148		00000	1145A	014	01/19/92	01	GRACE SQ	92ND PK.	33.00	N	0		33.00		CSB
113	CR763775	Carey	00000	0629P	000	02/04/92	00	1560BWY	LOCAL	12.00	N	0		12.00		
114	CR736525	Carey	00000	1000A	000	02/08/92	00	10 GRACE	46TH BWY	17.00	N	0		17.00		
115	CR762140		00000	1015A	000	02/09/92	00	GRACIE	46 BWAY	17.00	N	0		17.00		CSB
116	CR762140		00000	1015A	***	02/09/92	**	********	TEL	2.00	N	0		2.00		CSB

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KENNETH D BRODY — TAXI NUMBER: 25461 (031092) — STATEMENT NUMBER: TX135225
SECTION: 1 — ACCOUNT NO: BRD002 — AS OF 03/18/92 — PAGE 000 OF 013
DETAIL CHARGES — EMPLOYEE #: 09100 — ORGANIZATION: 0001 006693 — DEPT: LIMITED PARTNERS

LINE NO.	VOUCHER NUMBER	EMPLOYEE NAME	EMPLOYEE NUMBER	PICK UP TIME	WAIT TIME MIN	DATE OF RIDE	# OF STOPS	FROM	TO	AMOUNT	CAT	USE CODE	SYNDICATE/ PROJECT/ NUMBER	PENDING OR REIMBURSEMENT AMOUNT	CLIENT NUMBER	COMMENTS/ SYNDICATE NAME
117	CR736364	Carey	00000	0730A	000	02/10/92	00	QUEENS	1560 BWY	39.50	N	0 2		39.50		
118	CR736364	Carey	00000	0730A	***	02/10/92	**	********	TEL	12.00	N	0 2		12.00		
119	CR753549		00000	1015A	000	02/10/92	00	GRACIE	1560 BWY	17.00	N	0 2		17.00		CSB
120	CR748038		00000	0600P	000	02/12/92	00	85 BROAD	10 GRACI	23.00	F	4	GB			
121	CR752196	Carey	00000	1127P	000	02/12/92	00	1560 BWY	BAYSIDE	38.50	N	0 2		38.50		
122	CR752196	Carey	00000	1127P	***	02/12/92	**	********	TEL	28.00	N	0 2		28.00		
123	CR639030	Carey	00000	0310P	010	02/13/92	01	745 5TH	1560 BWY	23.00	N	0 2		23.00		
124	CR639030	Carey	00000	0310P	***	02/13/92	**	********	TEL	20.00	N	0 2		20.00		
125	CR748040		11712	0700P	000	02/14/92	00	85 BROAD	BKLYN	24.50	N	2		24.50		KDB
126	CR748411	Carey	00000	0900P	000	02/14/92	00	165 W4	QUEENS	41.50	N	0 2		41.50		
127	CR752370		00000	0845A	010	02/14/92	00	GRACE SQ	92ND PK.	31.00	N	0 2		31.00		CSB
128	CR762337	Carey	00000	0408P	025	02/14/92	00	1560 BWY	E 72	27.00	N	0 2		27.00		
129	CR762337	Carey	00000	0400P	***	02/14/92	**	********	TEL	6.00	N	0 2		6.00		
130	CR716868		00000	0810A	000	02/16/92	00	10 GRACE	BROAD	18.00	N	0 2		18.00		KDB
131	CR717119	Carey	00000	0830A	060	02/16/92	03	SLTR. ISL	SLTR. ISL	265.00	N	0 1		265.00		
132	CR739069		00000	0821A	000	02/17/92	00	10 GRACE	LAG	29.50	N	0 2		29.50		KDB
133	CR769140		00000	0530P	000	02/17/92	01	10 GRACE	10 GRACE	58.00	N	0 2		58.00		CSB
134	CR747999	Carey	00000	0840P	000	02/18/92	00	1560 BWY	QUEENS	38.50	N	0 2		38.50		
135	CR747999	Carey	00000	0840P	***	02/18/92	**	********	TEL	32.00	N	0 2		32.00		
136	CR748042		00000	0700P	000	02/18/92	01	85 BROAD	BKLYN	39.50	N	0 2		39.50		KDB
137	CR748007	[illegible]	10879	0800P	***	02/19/92	**	********	TEL	2.00	N	1 2		2.00		
138	CR748007	[illegible]	10879	0800P	000	02/19/92	00	3 BROAD	38TH 2ND	16.00	N	1 2		16.00		
139	CR748412	Carey	00000	1100P	000	02/19/92	01	80 BWAY	QUEENS	44.50	N	0 2		44.50		
140	CR748412	Carey	00000	1100P	***	02/19/92	**	********	TEL	2.00	N	0 2		2.00		

9 7 0 4 3 8 0 3 3 8 3

KENNETH D BRODY — TAXI NUMBER: 25461 (031892) — STATEMENT NUMBER: TX135225
ACCOUNT NO: BRO002 — AS OF 03/18/92 — PAGE 009 OF 013
SECTION: 1
DETAIL CHARGES — EMPLOYEE #: 09100 — ORGANIZATION: 0001 006693 — DEPT: LIMITED PARTNERS

LINE NO.	VOUCHER NUMBER	EMPLOYEE NAME	EMPLOYEE NUMBER	PICK UP TIME	MAIN TIME MIN	DATE OF RIDE	# OF STOPS	FROM	TO	AMOUNT	CAT	USE CODE	SYNDICATE/ PROJECT/ NUMBER	PENDING CR CONFIRMATION AMOUNT	CLIENT NUMBER	COMMENTS/ SYNDICATE NAME
141	CR752465		00000	0845A	015	02/19/92	01	GRACE SQ	GRACE SQ	33.00	N	02		33.00		CSB
142	CR330440		00000	0830P	026	02/20/92	02	GRACE SQ	GRACE SQ	43.00	N	02		43.00		CSB
143	CR330441	Carey	00000	0905P	000	02/20/92	00	515 E72	46TH 7TH	16.00	N	02		16.00		
144	CR748333	Carey	00000	0200A	010	02/21/92	00	1560 BWY	QUEENS	43.50	N	02		43.50		
145	CR748334	Carey	00000	0155A	010	02/21/92	01	1560 BWY	515E 72	24.00	N	02		24.00		
146	CR748344	Carey	00000	1140A	010	02/21/92	00	1560 BWY	65 CPW	24.00	N	02		24.00		
147	CR752470		00000	0930A	035	02/21/92	01	GRACE SQ	GRACE SQ	47.00	N	02		47.00		CSB
148	CR747998	Carey	00000	1000A	000	02/22/92	00	515 E72	25 CPW	16.00	N	02		16.00		
149	CR754038		00000	0610P	000	02/23/92	01	GRACE SQ	GRACE SQ	29.00	N	02		29.00		CSB
150	CR748413	Carey	00000	0805P	000	02/27/92	00	1560 BWY	QUEENS	38.50	N	02		38.50		
151	CR748419	Carey	00000	0805P	***	02/27/92	**	********	TEL	8.00	N	02		8.00		
	TOTAL:					02/28/92				1,279.00						
152	CR732132	Carey	00000	0745P	000	01/26/92	00	TIME	CALL	66.00	N	02		66.00		
153	CR732132	Carey	00000	0745P	***	01/26/92	**	********	TEL	50.00	N	02		50.00		
154	CR748419	Carey	00000	0800P	000	02/18/92	01	85 BROAD	1566 BWY	20.00	N	02		20.00		
155	CR747988	Carey	00000	0845P	000	02/21/92	01	1560 BWY	QUEENS	45.50	N	02		45.50		
156	CR747989	Carey	00000	1120P	000	02/21/92	00	1560 BWY	790 RSD	24.00	N	02		24.00		
157	CR747990	Carey	00000	0820P	030	02/21/92	00	1560 BWY	515E 72	28.00	N	02		28.00		
158	CR768209		00000	0845A	000	02/21/92	01	10 GRACI	10 GRACI	27.00	N	02		27.00		CSB
159	CR716956		00000	0845A	010	02/24/92	01	10 GRACE	10 GRACE	31.00	N	02		31.00		CSB
160	CR737919	Carey	00000	0715P	010	02/24/92	01	1560BDWY	BAYSIDE	56.50	N	02		56.50		
161	CR738841	Carey	00000	0720P	140	02/24/92	02	515E72ND	WHITEPLN	165.50	N	02		165.50		
162	CR739032		00000	1140A	025	02/24/92	01	10 GRACE	60TH 5TH	37.00	N	02		37.00		CSB

9 7 0 4 3 8 0 3 3 8 4

KENNETH D BRODY — TAXI NUMBER: 25461 (031892) — STATEMENT NUMBER: TX135225
ACCOUNT NO: BR0002 — AS OF 03/18/92 — PAGE 030 OF 818
SECTION: 1
DETAIL CHARGES — EMPLOYEE #: 09100 — ORGANIZATION: 0001 006693 — DEPT: LIMITED PARTNERS

LINE NO.	VOUCHER NUMBER	EMPLOYEE NAME	EMPLOYEE NUMBER	PICK UP TIME	WAIT TIME MIN	DATE OF RIDE	# OF STOPS	FROM	TO	AMOUNT	CAT	USE CODE	SYNDICATE/ PROJECT/ NUMBER	PERSONAL OR NONREIMBUR AMOUNT	CLIENT NUMBER	COMMENTS/ SYNDICATE NAME
163	CR747987	Carey	00000	0725P	025	02/24/92	00	1560 BWY	WCHESTER	72.00	N	02		72.00		
164	CR747987	Carey	00000	0725P	***	02/24/92	**	********	TEL	10.00	N	02		10.00		
165	CR748041	KORVAL PAT	11712	0800P	000	02/24/92	00	85 BROAD	BKLYN	24.50	F	3	GB			
166	CR746256	Carey	00000	0945A	000	02/24/92	01	515E 72	1560 BWY	20.00	N	02		20.00		
167	CR752594	Carey	00000	0540P	010	02/24/92	00	1560 BWY	515E 72	20.00	N	02		20.00		
168	CR752594	Carey	00000	0540P	***	02/24/92	**	********	TEL	4.00	N	02		4.00		
169	CR626747	Carey	00000	0800A	000	02/25/92	01	515 E72	1560 BWY	20.00	N	02		20.00		
170	CR747128	Carey	00000	0655P	010	02/25/92	00	QUEENS	1560 BWY	43.50	N	02		43.50		
171	CR747128	Carey	00000	0655P	***	02/25/92	**	********	TEL	12.00	N	02		12.50		
172	CR747924	Carey	00000	0900P	000	02/25/92	01	1560 BWY	QUEENS	53.50	N	02		53.50		
173	CR748290		00000	0620P	000	02/25/92	00	85 BROAD	10 GRACI	23.00	F	4	GB			
174	CR761381	Carey	00000	0630P	000	02/25/92	01	1560 BWY	435E 52	30.00	N	02		30.00		
175	CR768954		00000	0845A	012	02/25/92	01	10 GRACI	10 GRACI	33.00	N	02		33.00		CSB
176	CR719358	Carey	00000	0830A	000	02/26/92	00	515E 72	1560 BWY	61.00	N	02		61.00		
177	CR747985	Carey	00000	1200P	000	02/26/92	00	1560 BWY	790 RSD	26.00	N	02		26.00		
178	CR747986	Carey	00000	1045P	***	02/26/92	**	********	TEL	2.00	N	02		2.00		
179	CR747986	Carey	00000	1045P	000	02/26/92	00	1560 BWY	QUEENS	39.50	N	02		39.50		
180	CR747991	Carey	00000	0245P	015	02/26/92	00	1560 BWY	LAG	35.50	N	02		35.50		
181	CR747991	Carey	00000	0245P	***	02/26/92	**	********	TEL	66.00	N	02		66.00		
182	CR748389	KORVAL PAT	11712	0800P	000	02/26/92	00	85 BROAD	BKLYN	24.50	F	3	GB			
183	CR752265		00000	0630P	050	02/26/92	01	10 GRACI	67TH	39.00	N	02		39.00		CSB
184	CR761523		00000	0500P	000	02/26/92	00	4E 66TH	GRACE SQ	15.00	N	02		15.00		CSB
185	CR716958		00000	1030A	000	02/27/92	00	10 GRACE	67TH MAD	15.00	N	02		15.00		CSB
186	CR747992	Carey	00000	1000P	000	02/27/92	00	LGA	1560 BWY	27.00	N	02		27.00		

9 7 0 4 3 8 0 3 3 8 5

KENNETH D BRODY — TAXI NUMBER: 25461 (031892) — STATEMENT NUMBER: TX135225
ACCOUNT NO: BR0002 — AS OF 03/18/92 — PAGE 011 OF 013
SECTION: I
DETAIL CHARGES — EMPLOYEE #: 09100 — ORGANIZATION: 0001 006693 — DEPT: LIMITED PARTNERS

LINE ID.	VOUCHER NUMBER	EMPLOYEE NAME	EMPLOYEE NUMBER	PICK UP TIME	WAIT TIME MIN	DATE OF RIDE	# OF STOPS	FROM	TO	AMOUNT	CAT	USE CODE	SYNDICATE/ PROJECT/ NUMBER	PENDING CR REMEMBER AMOUNT	CLIENT NUMBER	COMMENTS/ SYNDICATE NAME
187	CR762373	Carey	00000	0609P	000	02/27/92	00	515E72ND	54TH5TH	16.00	N	0		16.00		
188	CR762373	Carey	00000	0609P	***	02/27/92	**	********	TEL	20.00	N	0		20.00		
189	CR796471		00000	1200A	000	02/27/92	00	92ND	10 GRACI	14.00	N	0		14.00		CSB
190	CR747843		00000	0900A	015	02/28/92	00	10 GRACE	92ND PK.	20.00	N	0		20.00		CSB
	TOTAL:					03/06/92				1,336.50						
191	CR748403		00000	0530P	000	01/24/92	00	85 BROAD	84TH	23.00	F	4	GB			Package
192	CR747997	Carey	00000	0945P	100	02/26/92	00	515E 72	515E 72	61.00	N	0		61.00		
193	CR747997	Carey	00000	0945P	***	02/20/92	**	********	TEL	4.00	N	0		4.00		
194	CR752376		00000	0510P	010	02/24/92	00	4E66TH	10GRACIE	19.00	N	0		19.00		CSB
195	CR747923	Carey	00000	0715P	000	02/28/92	00	TIME	CALL	91.00	N	0		91.00		
196	CR747993	Carey	00000	0755A	000	02/28/92	00	515 W72	1740 BWY	16.00	N	0		16.00		
197	CR748289		00000	0900P	000	02/25/92	00	85 BROAD	GRACIE	18.00	N	0		18.00		KDB
198	CR748289		00000	0900P	***	02/28/92	**	********	TEL	20.00	N	0		20.00		KDB
199	CR747921	Carey	00000	0903P	000	02/29/92	00	W 96	E 65	17.00	N	0		17.00		
200	CR748005	[illegible]	10879	1030P	000	03/01/92	00	85 BROAD	44TH	16.00	N	0		16.00		
201	CR747981	Carey	00000	1155P	010	03/02/92	00	1560 BWY	185E 85	21.00	N	0		21.00		
202	CR747982	Carey	00000	0100A	000	03/02/92	00	1560 BWY	790 RSD	24.00	N	0		24.00		
203	CR748046	KORVAL PAT	11712	0800P	000	03/02/92	00	85 BROAD	420E 51	16.00	F	3	GB			PK home
204	CR768833		00000	1100A	000	03/02/92	01	10 GRACI	61ST	19.00	N	0		19.00		CSB
205	CR796489		00000	0100P	000	03/02/92	00	10 GRACE	64TH PK.	15.00	N	0		15.00		CSB
206	CR738767		00000	1100A	000	03/03/92	00	10 GRACI	86TH	14.00	N	0		14.00		CSB
207	CR747922	Carey	00000	0240P	030	03/03/92	02	1560BWY	MIDTOWN	45.00	N	0		45.00		
208	CR747975	Carey	00000	1040P	000	03/03/92	00	1560 BWY	790 RSD	27.00	N	0		27.00		

9 7 0 4 3 8 0 3 3 8 6

KENNETH D BRODY — TAXI NUMBER: 25461 (031892) — STATEMENT NUMBER: TX135225
SECTION: 1 — ACCOUNT NO: BROD02 — AS OF 03/18/92 — PAGE 012 OF 013
DETAIL CHARGES — EMPLOYEE #: 09100 — ORGANIZATION: 0001 006693 — DEPT: LIMITED PARTNERS

LINE NO.	VOUCHER NUMBER	EMPLOYEE NAME	EMPLOYEE NUMBER	PICK UP TIME	WAIT TIME MIN	DATE OF RIDE	# OF STOPS	FROM	TO	AMOUNT	CAT	USE CODE	SYNDICATE/ PROJECT/ HURDZA	PENDING CR REIMBUR AMOUNT	CLIENT NUMBER	COMMENTS/ SYNDICATE NAME
209	CR747975	Carey	00000	1040P	***	03/03/92	**	********	TEL	2.00	N	2		2.00		
210	CR747983	Carey	00000	1250A	000	03/03/92	00	1560 BWY	BKLYN	24.00	N	2		24.00		
211	CR747985	Carey	00000	0815A	030	03/03/92	01	515E 72	1560 BWY	32.00	N	2		32.00		
212	CR769969	Carey	00000	1110P	000	03/03/92	01	165W 46	85TH	20.00	N	2		20.00		
213	CR795700		00000	0845A	028	03/03/92	01	10 GRACI	72ND	45.00	N	2		45.00		CSD
214	CR747925	Carey	00000	0245P	000	03/04/92	00	1560BDWY	40E66TH	16.00	W	2		16.00		
215	CR747926	Carey	00000	0555P	000	03/04/92	00	66TH	1560 BWY	16.00	N	2		16.00		
216	CR747996	Carey	00000	1016P	015	03/04/92	01	165 W46	515 E72	35.00	N	2		35.00		
217	CR740208	Carey	00000	0625P	000	03/04/92	00	85 BROAD	1560 BWY	21.00	N	2		21.00		
218	CR753798	Carey	00000	0930A	000	03/04/92	01	515 E72	1560 BWY	20.00	N	2		20.00		
219	CR762360	Carey	00000	1105P	000	03/04/92	00	52ND	72ND	20.00	N	2		20.00		
220	CR747976	Carey	00000	1015A	020	03/05/92	00	515E 72	46TH	24.00	N	2		24.00		
221	CR747977	Carey	00000	1235P	000	03/05/92	00	1560 BWY	1560 BWY	91.00	N	2		91.00		
222	CR747977	Carey	00000	1235P	***	03/05/92	**	********	TEL	84.00	N	2		84.00		
223	CR753302	Carey	00000	0100A	000	03/05/92	00	59TH 5TH	515 E2	15.00	N	2		15.00		
224	CR768970		00000	0845A	007	03/05/92	01	10 GRACI	10 GRACI	31.00	N	2		31.00		CSD
225	CR747972	Carey	00000	1245A	000	03/06/92	01	1560 BWY	790 RSD	32.00	N	2		32.00		
226	CR747972	Carey	00000	1245A	***	03/06/92	**	********	TEL	2.00	N	2		2.00		
227	CR747975	Carey	00000	1216P	015	03/06/92	00	1560 BWY	10 GRACI	28.00	N	2		28.00		
228	CR747927	Carey	00000	0430P	020	03/07/92	00	1560 BWY	32 3AVE	24.00	N	2		24.00		
229	CR747928	Carey	00000	0145A	000	03/07/92	00	1560 BWY	790 RSD	26.00	N	2		26.00		
230	CR748034	Carey	00000	0615P	015	03/07/92	01	32ND 3RD	1560 BWY	26.00	N	2		26.00		
	TOTAL:					03/13/92				1,100.00						

STATEMENT RIDE TOTAL	7,315.50
STATEMENT TELEPHONE TOTAL	945.00
STATEMENT TOTAL	8,260.50

USAGE CODES
1=BUSINESS 2=PERSONAL 3=NONE 4=PACKAGE

9 7 0 4 3 8 0 3 3 8 7

KENNETH D BRODY

TAXI NUMBER: 25461
ACCOUNT NO: BR0002

AS OF 03/18/92

STATEMENT NUMBER: TX135225
PAGE 013 OF 013

SECTION: II
PAYMENT DISTRIBUTION

EMPLOYEE #: 09100

ORGANIZATION: 0001 006693 DEPT: LIMITED PARTNERS

CAT	AMOUNT	SYNDICATE NUMBER	SYNDICATE NAME/ TRANSFER ACCOUNT	CLIENT NUMBER	CAT	AMOUNT	SYNDICATE NUMBER	SYNDICATE NAME/ TRANSFER ACCOUNT	CLIENT NUMBER
F			GENER/L BUSINESS EXPENSES						
F	24.50		GB						
F	23.00								
F	24.50								
F	24.50								
F	23.00								
F	24.50								
F	23.00								
F	24.50								
F	23.00								
F	16.00								

COLUMN 1 TOTAL 230.50 → 230.50 COLUMN 2 TOTAL

COLUMN 1 TOTAL

ADD LINE 1 AND LINE 2. TOTAL OF CHARGES MUST EQUAL LINE 4, PG 2 OF SUMMARY OF EXPENSES

PLEASE COMPLETE THIS SECTION ONLY IF YOU WISH TO CHARGE YOUR TAXI EXPENSES TO A SYNDICATE ACCOUNT OR A DEPARTMENT CODE OTHER THAN YOUR OWN.

9704380333 8

CLINTON FOR PRESIDENT COMMITTEE 3374

Ref.	Inv. Number	Inv. Date	Inv. Amount	Amount Paid
5935	042892	04/28/92	3,266.00	3,266.00

$3,266.00

CLINTON FOR PRESIDENT COMMITTEE
P.O. BOX 918
LITTLE ROCK, AR 72203

WORTHEN
NATIONAL BANK OF ARKANSAS
LITTLE ROCK, AR 72203
[illegible]

3374

04/28/92 DATE

$*******3,266.00 AMOUNT

*********3,266 DOLLARS AND 00 CENTS

PAY TO THE ORDER OF

Goldman Sachs & Co.
85 Broad Street

New York, NY 10004

TWO SIGNATURES REQUIRED IF OVER $[illegible]

NOT NEGOTIABLE

[illegible]

CHECK REQUEST

PAYABLE TO Goldman, Sachs & Co

VENDOR NUMBER

GOLDMSD

CHECK AMOUNT $ 3,266.00

ACCTS TO CHARGE 107-6330

INVOICE NUMBER 04-28-92

INVOICE DATE "

DUE DATE "

5755

DESCRIPTION Taxi Smt. - Paul Carey

APPROVAL

BUDGETARY

COMPLIANCE

[illegible] 4-28-92

WATKINS

9704380338 9

Facsimile Cover Sheet

To: Tiffany
Company: Clinton For President
Phone: 501-372-1992
Fax: 501-372-5405

From: Ali Giske
Company: Clinton For President
Phone: 212-997-0958
Fax: 212-944-8653

Date: 4/27/92
Pages including this cover page: 19

Comments: If you need any additional information please call me. Thank you.

97043803390

9704380339 1

KENNETH D BRODY | TAXI NUMBER: 25461 | ACCOUNT NO: BRO002 | STATEMENT NUMBER: TX137725 | AS OF 04/21/92 | PAGE 002 OF 002

SUMMARY OF EXPENSES EMPLOYEE #: 69100 ORGANIZATION: 0001 006693 DEPT: LIMITED PARTNERS

KENNETH D BRODY

Travel and Entertainment

Month-End Summary of Expenses

	AMOUNT	
1.) TAXI CHARGES	4,382.00	THIS REPRESENTS THE TOTAL AMOUNT CHARGED TO YOUR TAXI ACCOUNT. SEE SECTION 1 FOR DETAILS.
2.) LESS PENDING CREDITS	72.00	THIS REPRESENTS BILLING ERRORS OR OTHER DISPUTED CHARGES AND MUST EQUAL CHARGES CODED P IN TAXI DETAIL.
3.) LESS OTHER NONREIMBURSABLE	4068.00	THIS REPRESENTS AMOUNTS REIMBURSABLE TO THE FIRM FOR PERSONAL OR OTHER NON-REIMBURSABLE USE AND MUST EQUAL CHARGES CODED N OR USAGE CODE OF 2 IN TAXI DETAIL. PLEASE ATTACH YOUR CHECK PAYABLE TO GOLDMAN, SACHS & CO. FOR THIS AMOUNT.
4.) TOTAL OF EXPENSES	242.00	SUBTRACT LINES 2 & 3 FROM LINE 1. THIS REPRESENTS THE AMOUNT OF EXPENSES TO BE PAID BY THE FIRM. IF AMOUNTS ARE TO BE CHARGED TO A SYNDICATE PLEASE COMPLETE SECTION II (PAYMENT DISTRIBUTION) ATTACHED.

APPROVALS: To the best of my knowledge, all statements on this report are true and factual and all expenses are in conformity with firm policy.

X Kenneth D Brody 4/27/92

KENNETH D BRODY DATE

Goldman Sachs

AUTHORIZED SIGNATURE DATE

☐ Check here if Address or Organization change is required. (Please note change in comments.)

THE FOLLOWING STATEMENTS ARE OUTSTANDING:

TX135225 03/18/92 TX133974 02/19/92 TX126102 10/08/91

KDB - 1002.00
Clinton - 3266.00
GSTCO - 242.00
Pending - 72.00

COMMENTS/SPECIAL INSTRUCTIONS

2748334

102

9704380339 2

KENNETH O BRODY — TAXI NUMBER: 25461 (042192) — STATEMENT NUMBER: TX137725
ACCOUNT NO: BRO002 — AS OF 03/21/92 — PAGE 003 OF 005
SECTION: 1
DETAIL CHARGES — EMPLOYEE #: 09100 — ORGANIZATION: 0001 006693 — DEPT: LIMITED PARTNERS

LINE NO.	VOUCHER NUMBER	EMPLOYEE NAME	EMPLOYEE NUMBER	PICK UP TIME	WAIT TIME MIN	DATE OF RIDE	# OF STOPS	FROM	TO	AMOUNT	CAT	USE CODE	SYNDICATE/ PROJECT/ NUMBER	PERIOD OF HOMEWORK AMOUNT	CLIENT NUMBER	COMMENTS/ SYNDICATE NAME
001	AC744217	KORVAL, PAT	11712	0800P	000	03/06/92	00	85 BROAD	BROOKLYN	29.50	F	3	GBX			
002	AC748286	PACKAGE		0530P	000	03/10/92	00	85 BROAD	650/5AV	99.00	N	6		49		
003	CR746335		00000	1115P	000	02/28/92	01	1560 BWY	QUEENS	55.50		9		5550		PRC
004	CR767696		00000	1130A	000	03/01/92	00	GRACE SQ	EPC	18.00		9		18		
005	CR747984		00000	0315P	000	03/02/92	00	TIME	CALL	121.00		9		121		PRC
006	CR747984		00000	0315P	***	03/02/92	**	********	TEL	20.00		9		20		
007	CR747994		00000	0735P	020	03/02/92	01	165 W46	515 E72	26.00		9		26		
008	CR747954		00000	0735P	***	03/02/92	**	********	TEL	12.00		9		17		
009	CR748315		00000	0630A	000	03/03/92	00	115 CPW	LGA	29.50		9		29		
010	CR609654		00000	0735P	010	03/04/92	01	1560 BWY	200 VESE	36.00		9		36		
011	CR609654		00000	0735P	***	03/04/92	**	********	TEL	4.00		9		4		
012	CR747974		00000	1159P	005	03/03/92	00	1560 BWY	BKLYN	22.00		9		22		
013	CR747967		00000	0330P	070	03/06/92	02	1560 BWY	1560 BWY	66.50		9		66		
014	CR747967		00000	0548P	***	03/06/92	**	********	TEL	84.00		9		84		
015	CR747969		00000	0100P	020	03/06/92	03	1560 BWY	1560 BWY	41.00		9		41		
016	CR761040		00000	1145A	010	03/06/92	01	GRACE 36	GRACE SQ	33.00		9		33		
017	CR758974		00000	0748A	010	03/06/92	01	1515 E72	1560 BWY	24.00		9		24		PRC
018	CR747925		00000	0625P	000	03/07/92	00	185 E85	164 W46	17.00		9		17		
019	CR747935		00000	0953P	000	03/07/92	01	1560 BWY	705T 2AV	31.00		9		31		
020	CR747936		00000	0100A	000	03/07/92	01	81 AMST	185 E85	19.00		9		19		
021	CR747938		00000	0900A	000	03/07/92	00	165 W45	185 E85	66.00		9		66		
022	CR747970		00000	0900P	***	03/07/92	**	********	TEL	18.00		9		18		
023	CR760036		00000	1200P	000	03/07/92	00	GRACE SQ	55 E59	16.00		9		16		
024	CR747971		00000	0700A	000	03/08/92	00	1560 BWY	BKLYN	23.00		9		23		PRC

9 7 0 4 3 8 0 3 3 9 3

TAXI NUMBER: 25461 (042192) STATEMENT NUMBER: TX137725
ACCOUNT NO: 0R0002 AS OF 04/21/92 PAGE 004 OF 009

KENNETH O'BRODY
POSITION: 1
RETAIL CHARGES EMPLOYEE #: 09100 ORGANIZATION: 0001 006693 DEPT: LIMITED PARTNERS

LINE NO.	MEMBER NUMBER	EMPLOYEE NAME	EMPLOYEE NUMBER	PICK UP TIME	WAIT TIME MON	DATE OF RIDE	# OF STOPS	FROM	TO	AMOUNT	CAT	USE CODE	SYNDICATE/ PROJECT/ NUMBER	MEMBERS OR NONEMPLOYEE AMOUNT	CLIENT NUMBER	COMMENTS/ SYNDICATE NAME
025	CR765622		00000	0315P	000	03/09/92	00	185 E86	1560 BWY	17.00	N	0		17		PRC
026	CR747934		00000	1035P	020	03/09/92	01	165 W46	85TH BWY	35.50		0		35.50		
027	CR747937		00000	0630A	125	03/09/92	03	605 W46	165 W46	101.00		0		101		
028	CR747937		00000	0630A	***	03/09/92	**	********	TEL	34.00		0		34		
029	CR736390		00000	1125A	***	03/10/92	**	********	TEL	72.00		0		72		
030	CR736390		00000	1125A	199	03/10/92	04	W 96	LGA	146.50		0		146.50		
031	CR747933		00000	1215A	422	03/10/92	03	1560 BWY	1560 BWY	211.00		0		211		
032	CR747939		00000	1150P	000	03/10/92	04	W 45	157 RSD	32.00		0		32		
033	CR747930		00000	0912A	012	03/10/92	01	E 72	1560 BWY	26.00		0		26		
034	CR747940		00000	0912A	***	03/10/92	**	********	TEL	18.00		0		18		
035	CR747931		00000	0740A	060	03/11/92	01	790 RSD	46 BWAY	34.50		0		34.50		
036	CR769993		00000	0930P	000	03/11/92	04	1560 BWY	790 RSD	37.50		0		37.50		
037	CR747941		00000	0932P	000	03/12/92	01	165 W46	185 E85	21.00		0		21		
038	CR747932		00000	1245A	000	03/13/92	00	1560 BWY	790 RSD	24.00		0		24		
039	CR748285		00000	0550P	000	03/13/92	00	85 BROAD	GRACE SQ	23.00	F	4	GB			
040	CR748044	[illegible]	01171	1125A	000	03/15/92	00	85 BROAD	BKLYN	24.50	F	2	GB			
	TOTAL:					03/20/92				1,713.50						
041	AC748384	KORVAL PAT	01172	0300P	000	03/11/92	00	85 BROAD	[illegible]	24.50	F	3	GB			
042	CR747938		00000	0411A	015	03/06/92	00	1560 BWY	185E 85	23.00	N	0		23		PRC
043	CR752835		00000	1040A	018	03/10/92	00	GRACE SQ	95TH MAD	18.00		0		18		
044	CR752836		00000	1200P	000	03/10/92	00	95TH MAD	GRACE SQ	14.00		0		14		
045	CR767077		00000	0920P	000	03/13/92	00	150 BWY	185 E85	17.00		0		17		PRC
046	CR767077		00000	0920P	***	03/16/92	**	********	TEL	8.00		0		8		PRC

2748334

P04

9704380339 4

KENNETH O'BRORY — TAXI NUMBER: 25461 — (042192) — STATEMENT NUMBER: TX137725
ACCOUNT NO: 560002 — AS OF 05/21/92 — PAGE 005 OF 005
SECTION: 1
DETAIL CHARGES — EMPLOYEE #: 09100 — ORGANIZATION: 0001 006693 — DEPT: LIMITED PARTNERS

LINE NO.	VOUCHER NUMBER	EMPLOYEE NAME	EMPLOYEE NUMBER	PICK UP TIME	WAIT TIME MIN	DATE OF RIDE	# OF STOPS	FROM	TO	AMOUNT	CAT	USE CODE	SUB-ACCOUNT/ PROJECT/ NUMBER	PENDING OR NONEMPLOYEE AMOUNT	CLIENT NUMBER	COMMENTS/ EMPLOYEE NAME
847	CR747942		00000	0653P	030	03/14/92	02	E 93	W 46	50.00	N	28		50		PRC
865	CR760065		00000	0445P	090	03/15/92	00	BKLYN	85 BROAD	24.50	F	T	08	25		
869	CR758770		00000	0435P	022	03/15/92	01	LAG	1550 BWY	59.50	N	20		59.50		PRC
870	CR748088		00000	0250P	000	03/16/92	02	165 W46	165 W46	32.50		0		32.50		
871	CR758904		00000	0115P	000	03/17/92	00	[illegible]	TEL	106.00		0		106		
872	CR738006		00000	0015P	070	03/17/92	02	W 46	W 46	63.00		0		63		
873	CR767949		00000	1240A	075	03/17/92	01	1560 BWY	515E 72	61.00		0		61		
874	CR761470		00000	1210A	015	03/17/92	01	515 E72	1560 BWY	31.00		0		31		
875	CR758988		00000	0720A	000	03/17/92	00	[illegible]	TEL	30.00		0		30		
876	CR762903		00000	0720A	070	03/17/92	03	E 72	W 46	55.00		0		55		
877	CR747982		00000	0700A	000	03/18/92	00	1560 BWY	WHITE PL	70.00		0		70		
878	CR747965		00000	0900A	000	03/18/92	00	1560 BWY	200 PARK	20.00		0		20		
879	CR747946		00000	0110P	150	03/18/92	01	1560 BWY	PRINCE	111.00		0		111		
880	CR747948		00000	1295A	000	03/18/92	01	1560 BWY	BKLYN	33.50		0		33		
881	CR752429		00000	0512A	000	03/18/92	00	515 E72	46TH 7TH	16.00		0		16		
882	CR730968		00000	0315P	000	03/18/92	00	23 E10	84TH 2ND	18.00		0		18		
883	CR795718		00000	0107P	000	03/18/92	00	GRACIE	58 SAVE	16.00		0		16		
884	CR896601	KORVAL PAT	11712	0830P	000	03/18/92	00	85 BROAD	BKLYN	24.50	F	S	08			
885	CR660161		00000	0245P	000	03/19/92	01	GRACE 90	GRACE 58	29.00	N	20		29		
886	CR719378		00000	0930A	000	03/19/92	00	GRACE 108	57TH 6TH	17.00		0		17		
887	CR719378		00000	0930A	000	03/19/92	00	[illegible]	TEL	2.00		0		2		
888	CR733582		00000	0830A	000	03/19/92	00	E 85TH	165 W46	17.00		0		17		PRC
889	CR795396		00000	0830A	000	03/19/92	03	515 E72	165W46	28.00		0		28		PRC
890	CR834652		00000	0810A	000	03/19/92	00	GRACIE	PENN STA	18.00		0		18		

9 7 0 4 3 8 0 3 3 9 5

KENNETH D BRODY
SECTION: 1
RETAIL CHARGES

TAXI NUMBER: 25461 (042192)
ACCOUNT NO: BR0002 AS OF 04/21/92

STATEMENT NUMBER: TX157725
PAGE 006 OF 009

EMPLOYEE #: 09106 ORGANIZATION: 0001 006693 DEPT: LIMITED PARTNERS

LINE NO.	VOUCHER NUMBER	EMPLOYEE NAME	EMPLOYEE NUMBER	PICK UP TIME	WAIT TIME MIN	DATE OF RIDE	# OF STOPS	FROM	TO	AMOUNT	CAT	USE CODE	SYNDICATE/ PROJECT/ NUMBER	PERSONS OR PROCEEDURE AMOUNT	CLIENT NUMBER	COMMENTS/ SYNDICATE NAME
071	CR747951		00000	0223A	025	03/20/92	01	165W 46	185E 65	31.00				3'		PRC
072	CR796320		00000	0740A	020	03/20/92	01	151 E72	1740 BWY	41.00				4'		PRC
073	CR796333		00000	0333P	015	03/20/92	00	10 GRACE	46TH BWY	23.00				23'		
074	CR765895		00000	1215P	000	03/21/92	00	83 BROAD	66TH	17.00				17'		
075	CR762502		00000	0755P	010	03/22/92	00	515 E72	165 W46	20.00				20'		PRC
	TOTAL:					03/27/92				1,170.50						
076	CR763695		00000	1120P	005	03/14/92	01	1LINCNPL	1LINCNPL	72.00	P	C	Not KDB's		- Eric Dobkins wife	
077	CR759525		00000	1120A	000	03/16/92	01	1560 BWY	185E 65	21.00						PRC
078	CR747949		00000	1245A	030	03/19/92	04	165W 46	790 ROD	82.00						PRC
079	CR742708		00000	0125P	010	03/20/92	00	10GRACIE	90TH LEX	18.00						
080	CR747952		00000	0510P	030	03/20/92	02	1560 BWY	33 AVE	40.00						PRC
081	CR747952		00000	0510P	***	03/20/92	**	********	TEL	4.00						
082	CR747964		00000	0620P	060	03/20/92	01	1560 BWY	1560 BWY	74.00						
083	CR747964		00000	0620P	***	03/20/92	**	********	TEL	20.00						
084	CR753692		00000	0722P	***	03/20/92	**	********	TEL	86.00						
085	CR753692		00000	0722P	030	03/20/92	01	W 46	W 46	79.00						
086	CR782002		00000	0820A	000	03/20/92	00	10GRACIE	WALL ST	18.00						
087	CR782002		00000	0820A	***	03/20/92	**	********	TEL	2.00						
088	CR782274		00000	1015A	015	03/20/92	00	WALL ST	10GRACE	24.00						
089	CR747964		00000	1254A	010	03/21/92	04	165W 46	185E 65	63.00						PRC
090	CR748261	KORVAL PAT	11712	0735P	000	03/21/92	00	66TH	33RD	17.00						
091	CR781012		00000	0820A	000	03/21/92	00	515E72ND	54TH 6TH	16.00						PRC
092	CR747962		00000	0625P	000	03/22/92	01	1560 BWY	BKLYN	40.50						PRC

9 7 0 4 3 8 0 3 3 9 6

KENNETH D BRODY
SECTION: 1
DETAIL CHARGES

TAXI NUMBER: 29461 (042192) STATEMENT NUMBER: TX137725
ACCOUNT NO: BR0002 AS OF 04/21/92 PAGE 007 OF 009

EMPLOYEE #: 09100 ORGANIZATION: 0001 006693 DEPT: LIMITED PARTNERS

LINE NO.	VOUCHER NUMBER	EXPLANATION NAME	EMPLOYEE NUMBER	PICK UP TIME	WAIT TIME MIN	DATE OF RIDE	# OF STOPS	FROM	TO	AMOUNT	CAT	USE CODE	SYNDICATE/ PROJECT/ NUMBER	PENDING OR NONREIMBURSABLE AMOUNT	CLIENT NUMBER	COMMENTS/ SYNDICATE NAME
093	CR783216		00000	0700P	050	03/22/92	01	165W46TH	515E72ND	51.00	N	2		51		PRC
094	CR783216		00000	0700P	000	03/22/92	00	********	TEL	16.00	N	2		16		PRC
095	CR856651		00000	1230P	000	03/22/92	00	GRACIE	LGA	29.50	N	2		29.50		
096	CR748382	KORVAL PAT	11712	0000P	000	03/25/92	00	85 BROAD	[illegible]	24.50	F	1	GB			
097	CR748284		00000	0545P	000	03/26/92	00	85 BROAD	W 43	16.00	N	2		16		
098	CR769412		00000	0745P	015	03/26/92	00	10GRACIE	BATHMAD	20.00		2		20		
099	CR769052		00000	1000A	000	03/29/92	00	[illegible]	10 GRACI	18.00		2		18		
	TOTAL:					04/03/92				860.50						
100	CR780964		00000	1120P	020	03/28/92	01	165W46TH	515E72ND	39.00		2		39		PRC
101	CR747968		00000	1225P	020	03/25/92	01	1560BDWY	BELYN	64.00		2		64		PRC
102	CR762825		00000	0515P	020	03/26/92	00	693PARK	10GRACE	23.00		2		23		
103	CR787737		00000	0417P	033	03/27/92	01	MISTONE	[illegible]	53.50		2		53.50		PRC
104	CR747518		00000	0140P	075	03/27/92	01	10GRACE	10GRACE	57.00		2		57		
105	CR752841		00000	0900P	015	03/27/92	00	10GRACIE	200E70TH	21.00		2		21		
106	CR748228		00000	1000P	000	03/28/92	01	10GRACE	SHERATON	21.00		2		21		
107	CR784104		00000	0145P	000	03/28/92	00	10GRACE	50 5TH	16.00		2		16		
108	CR784108		00000	0617A	000	03/29/92	00	10GRACE	LOWERMAN	18.00		2		18		
109	CR748276	KORVAL PAT	11712	0000P	000	03/31/92	00	85 BROAD	[illegible]	24.50	F	1	GB			
110	CR796616		00000	0845A	015	03/31/92	01	10GRACE	10GRACE	33.00	N	2		33		
111	CR748277		00000	0930A	000	04/01/92	00	85 BROAD	730 5TH	16.00		2		16		
112	CR748278		00000	0100P	000	04/01/92	00	11E57TH	85BROAD	16.00		2		16		
113	CR782805		00000	0850A	000	04/01/92	00	10GRACE	92NPARK	14.00		2		14		
114	CR761305		00000	0115P	000	04/01/92	01	10GRACE	1150PK	27.00		2		27		

9704380339 7

KENNETH O BRODY — TAXI NUMBER: 29461 (042192) — STATEMENT NUMBER, TX137125
ACCOUNT NO: BRO002 — AS OF 04/21/92 — PAGE ONE OF 002
SECTION: 1
DETAIL CHARGES — EMPLOYEE #: 09100 — ORGANIZATION: 0001 006693 — DEPT: LIMITED PARTNERS

LINE NO.	VOUCHER NUMBER	EMPLOYEE NAME	EMPLOYEE NUMBER	PECK OF TIME	MEET TIME HRS	DATE OF RIDE	# OF STOPS	FROM	TO	AMOUNT	CAT	USE CODE	SYNDICATE/ SYS.-ACCT./ NUMBER	POSTING CO. CONTROL/ ACCOUNT	CLIENT REFUSE	COMMENTS/ SYNDICATE TYPE
115	CR197315	KORVAL PAT	11712	1223A	000	04/02/92	00	85 BROAD	HELEN	24.50	P	1	GB			
116	CR769961		00000	0857A	000	04/02/92	01	10GRACE	92NDPARK	31.00	A	2		31		
	TOTAL:					04/10/92				493.50						
117	AC748225	KORVAL PAT	11712	0800P	000	04/07/92	01	85 BROAD	519/673	29.50		1		29.50		9FC
118	CR716152		00000	04219	010	03/27/92	00	10 GRACI	345 PARK	20.00		2		20		
119	CR716158		00000	0821P	000	03/27/92	07	CONCOURSE	TEL	4.00		1		4		
120	CR197327		00000	0520P	000	04/03/92	00	85 BROAD	GRACIE	33.00	P	1	GB			
121	CR856645		00000	1145P	000	04/02/92	00	10 GRACI	QUEENS	27.50		2		27.50		
122	CR626803		00000	0235P	000	04/06/92	00	10 GRACI	470 MEA	15.00		2		15		
123	CR752775		00000	0725P	000	04/06/92	00	QUEENS	[illegible]	31.50		1		31.50		
124	CR782396		00000	0955P	015	04/06/92	00	470 MEA	GRACIE	21.00		2		21		
125	CR789847		00000	0830A	000	04/06/92	01	10 GRACI	10 GRACI	27.00		2		27		
126	CR856648		00000	0528P	000	04/06/92	00	10 GRACI	LGA	29.50		2		29.50		
127	CR792515		00000	0758P	070	04/07/92	01	10 GRACI	25TH 54	25.00		2		25		
128	CR762469		00000	0900A	000	04/08/92	01	10 GRACI	92ND	27.00		2		27		
129	CR753477		00000	0900A	015	04/09/92	01	GRACIE	92 PARK	33.00		1		33		
130	CR796758		00000	0945A	010	04/10/92	00	10 GRACI	92ND	18.00		2		18		
	TOTAL:					04/17/92				331.00						

STATEMENT RIDE TOTAL: 4,042.00
STATEMENT TELEPHONE TOTAL: 500.00
STATEMENT TOTAL: 4,542.00

USAGE CODES
1=BUSINESS 3=NONE
2=PERSONAL 4=PACKAGE

2746034

9.7/8

MAR 28 '92 17:28 GOLDMAN SACHS

9 7 0 4 3 8 0 3 3 9 8

KENNETH B. BRODY

TAXI NUMBER: 25461
ACCOUNT NO: BRC002

AS OF 04/21/92

STATEMENT NUMBER: TX137725
PAGE 009 OF 009

SECTION: II
PAYMENT DISTRIBUTION EMPLOYEE #: 09100 ORGANIZATION: 0601 006693 DEPT: LIMITED PARTNERS

CAT	AMOUNT	SYNDICATE NUMBER	SYNDICATE NAME/ TRANSFER ACCOUNT	CLIENT NUMBER	CAT	AMOUNT	SYNDICATE NUMBER	SYNDICATE NAME/ TRANSFER ACCOUNT	CLIENT NUMBER
			GENERAL BUSINESS EXPENSES						
	24.50								
	23.00								
	24.50								
	24.50								
	24.50								
	24.50								
	24.50								
	24.50								
	24.50								
	23.00								
COLUMN TOTAL	242.00					242.00			

PLEASE COMPLETE THIS SECTION ONLY IF YOU WISH TO CHARGE YOUR TAXI EXPENSES TO A SYNDICATE ACCOUNT OR A DEPARTMENT CODE OTHER THAN YOUR OWN.

COLUMN 2 TOTAL

COLUMN 1 TOTAL

ADD LINE 1 AND LINE 2. TOTAL OF CHARGES MUST EQUAL LINE 4, PG 2 OF SUMMARY OF EXPENSES

9704380 3399

CLINTON FOR PRESIDENT COMMITTEE

12971

Ref.	Inv. Number	Inv. Date	Inv. Amount	Amount Paid
3977	012992	02/27/92	20.75	20.75

TRX DESCRIPTION: Fed. Exp. Charge

✓

$20.75

CLINTON FOR PRESIDENT COMMITTEE
PHONE (501) [illegible]
P.O. BOX 915
LITTLE ROCK, AR 72203

WORTHEN NATIONAL BANK
LITTLE ROCK, AR 72203
[illegible]

12971

09/04/92 **********20.75

**********20 DOLLARS AND 75 CENTS

PAY TO THE ORDER OF
Goldman Sachs & Co.
85 Broad Street
New York, NY 10004

TWO SIGNATURES REQUIRED IF OVER $2500.00

NOT NEGOTIABLE

MEMORANDUM

To: Ken Brody

From: Daria Wanko

Date: 1/29/92

Re: Personal Federal Express

Pat
Pls mail Pkg

Please attach a check made payable to Goldman Sachs in the amount of $ 20.75 for the enclosed personal Federal Express charges and forward to me by 2/3/92.

Thank you.

9704380340C

9704380340 1

GOLDMAN SACHS & COMPANY
FEDERAL EXPRESS - AIRBILL REPORT

DATE 01/10/92 PAGE 282

ACCOUNT NUMBER 1265-75521 INVOICE NUMBER 4518-34705 INVOICE DATE 12/28/91

DOCUMENT NO REFERENCE	SENDERS NAME AND ADDRESS	RECIPIENT NAME AND ADDRESS PROOF OF DELIVERY	PACKAGES WEIGHT EX-CODE	SERVICES	CHARGES NET
208705371010	MR MICHAEL S KIM GOLDMAN SACHS & CO 0001006541 85 BROAD ST 19TH FL NEW YORK NY 10004-2434	MR JUNG LEE 803 E PONELLO DR CLAREMONT CA 91711-0000 DELIVERED 12/13/91 09:20AM AA	1 0	PRIORITY ONE REBATE AMT DISCOUNT	$15.50 -$0.00 $7.00-
DEPT 517 ABN APS	SHIPPED 12/12/91 1265-75521	SIGNED J.LEE		NET CHARGES	$8.50
000705371021	KIMBERLY K RECTOR GOLDMAN SACHS & CO 0001006541 85 BROAD ST 19TH FL NEW YORK NY 10004-2434	PHILIP J MADELUND FIRST NATIONAL BANK OF CHICAGO ONE FIRST NATIONAL BANK PLZ CHICAGO IL 60670-0162 DELIVERED 12/13/91 08:55AM AA	1 1	PRIORITY ONE REBATE AMT DISCOUNT	$20.25 $9.00 $11.25-
DEPT 517 FLE ET IPO	DROP OFF 12/12/91 1265-75521	SIGNED L.MAILY		NET CHARGES	$9.00
000705527935	KEN BRODY GOLDMAN SACHS & CO 0001006541 85 BROAD ST 19TH FL NEW YORK NY 10004-2434	JIM BEATTIE 10002 E ODDEN DENVER CO 80209-0000 DELIVERED 12/13/91 09:32AM AA	1 0	PRIORITY ONE REBATE AMT DISCOUNT	$15.50 $9.00 $7.00-
	DROP OFF 12/12/91 1265-75521	SIGNED J.BEATTIE		NET CHARGES	$8.50
000705527946	PAUL CAREY GOLDMAN SACHS & CO 0001006541 85 BROAD ST 19TH FL NEW YORK NY 10004-2434	RAHM EMANUEL CLINTON FOR PRESIDENT 1230 W 3RD ST LITTLE ROCK AR 72201-0000 DELIVERED 12/13/91 09:21AM AA	1 5	PRIORITY ONE REBATE AMT DISCOUNT	$25.75 $0.00 $10.00-
[illegible]	DROP OFF 12/12/91 1265-75521	SIGNED P.COGHILL		NET CHARGES	[illegible]
000859441421	CAROL-LYNN SCHEMBARI GOLDMAN SACHS & CO 0001006541 85 BROAD ST 19TH FL NEW YORK NY 10004-2434	BENNY CALCAGNO KAHA MESA PIZZERA RTE 209-STONERIDGE PLZ STONE RIDGE NY 12484-0000 DELIVERED 12/13/91 02:52PM AM	1 4	STANDARD AIR REBATE AMT DISCOUNT	$1[illegible] $0.[illegible] $7.50-
	SHIPPED 12/12/91 1265-75521	SIGNED B.CALCAGNO		NET CHARGES	$9.50

9704380340 2

DATE 01/10/92

GOLDMAN SACHS & COMPANY
FEDERAL EXPRESS - AIRBILL REPORT

PAGE 239

ACCOUNT NUMBER 1265-75521 INVOICE NUMBER 4513-34705 INVOICE DATE 12/23/91

DOCUMENT NO REFERENCE	SENDERS NAME AND ADDRESS		RECIPIENT NAME AND ADDRESS PROOF OF DELIVERY		PACKAGES WEIGHT EX-CODE	SERVICES	CHARGES NET
888581810225	GOLDMAN SACHS & CO	0001006541	FPL GROUP INC		1	PRIORITY ONE	$23.00
WALNUT	85 BROAD ST 19TH FL				2	REBATE AMT	$0.00
	NEW YORK	NY 10004-2434	JUNO BEACH	FL 33408-0000		DISCOUNT	$14.00-
			DELIVERED 12/16/91	08:34AM AA			
	DROP OFF 12/13/91	1265-75521	SIGNED A.ANDREWS				
						NET CHARGES	$9.00
888581810234	GOLDMAN SACHS & CO	0001006541	FPL GROUP INC		1	PRIORITY ONE	$31.25
WALNUT	85 BROAD ST 19TH FL				5	REBATE AMT	$0.00
	NEW YORK	NY 10004-2434	JUNO BEACH	FL 33408-0000		DISCOUNT	$14.06-
			DELIVERED 12/16/91	08:34AM AA			
	DROP OFF 12/13/91	1265-75521	SIGNED A.ANDREWS				
						NET CHARGES	$17.19
888581810243	GOLDMAN SACHS & CO	0001006541	FPL GROUP INC		1	PRIORITY ONE	$25.75
WALNUT	85 BROAD ST 19TH FL				3	REBATE AMT	$0.00
	NEW YORK	NY 10004-2434	JUNO BEACH	FL 33408-0000		DISCOUNT	$14.00-
			DELIVERED 12/16/91	08:34AM AA			
	DROP OFF 12/13/91	1265-75521	SIGNED A.ANDREWS				
						NET CHARGES	$11.75
888765526616	ROB LUTZ		GENE MCDONALD		1	PRIORITY ONE	$23.00
GOLDMAN SACHS & CO	0001006541	DUKE MANAGEMENT COMPANY		2	REBATE AMT	$0.00	
856 828	85 BROAD ST 19TH FL	2200 W MAIN ST FIRST UNION PLZ				DISCOUNT	$14.00-
	NEW YORK	NY 10004-2434	DURHAM	NC 27703-0000			
			DELIVERED 12/16/91	08:58AM AA			
	SHIPPED 12/13/91	1265-75521	SIGNED W.LOWERY				
						NET CHARGES	$9.00
888765527950	PAUL B CAREY		ANDREW FRANK		1	PRIORITY ONE	$23.00
[redacted]	GOLDMAN SACHS & CO	0001006541	BUENA VISTA PALACE		2	REBATE AMT	$0.00
	85 BROAD ST 19TH FL		1900 BUENA VISTA DR			DISCOUNT	$14.00-
	NEW YORK	NY 10004-2434	ORLANDO	FL 32830-0000			
			DELIVERED 12/16/91	09:42AM AA			
	DROP OFF 12/13/91	1265-75521	SIGNED T.MATHIS				
						NET CHARGES	[redacted]

OGC 8393

ARNOLD & PORTER

399 PARK AVENUE
NEW YORK, NEW YORK 10022-4690
(212) 715-1000

1700 LINCOLN STREET
DENVER, COLORADO 80203-4540
(303) 863-1000

1200 NEW HAMPSHIRE AVENUE, N.W.
WASHINGTON, D.C. 20036-6885
(202) 872-6700
CABLE: "ARFOPO"
FACSIMILE: (202) 872-6720
TELEX: 89-2733

355 SOUTH GRAND AVENUE
FORTY-FOURTH FLOOR
LOS ANGELES, CALIFORNIA 90071-3106
(213) 617-4300

KIOICHO D BUILDING
3-26, KIOICHO, CHIYODA-KU
TOKYO 102, JAPAN
011-81-3-3221-3680

February 12, 1993

BY HAND

Lawrence M. Noble, Esq.
General Counsel
Federal Election Commission
999 E Street, N.W.
Washington, D.C. 20463

RECEIVED
FEDERAL ELECTION COMMISSION
OFFICE OF GENERAL COUNSEL
93FEB 12 PM 3:14

Re: MUR 3672 Goldman, Sachs & Co.

Dear Mr. Noble:

On December 9, 1992, we sent you certain documents in connection with the November 4, 1992 subpoena that the Federal Election Commission issued in MUR 3672 to Goldman, Sachs & Co. ("Goldman Sachs"). See December 9, 1992 letter from Geoffrey F. Aronow and Kathleen M.H. Wallman to Lawrence M. Noble. Goldman Sachs committed in the December 9 submission to update its submission as appropriate. Accordingly, enclosed are several nonprivileged documents to supplement the previous submission of documents. See Document Bates-stamped nos. 219 to 226. Goldman Sachs does not believe that these documents are responsive to the subpoena, but, consistent with its previous submission, is voluntarily producing these documents in an effort to be as cooperative and forthcoming as possible.

We are providing a copy of a check, document Bates-stamped no. 220, which is in payment of an invoice we provided on December 9, 1992 (document Bates-stamped no. 29). In addition, document Bates-stamped nos. 225, 226 are copies of another of the checks from the Clinton campaign to Goldman Sachs reimbursing it for certain items reflected in an invoice that we provided on December 9, 1992 (document Bates-stamped nos. 192-194). Goldman Sachs was able to locate this latter check with comparative ease because it apparently was deposited by itself. However, the remaining reimbursement checks from the Clinton campaign cannot be obtained from

97043803403

Goldman Sachs records without a burdensome effort, because they apparently were included with other checks in batch deposits. In any event, we do not believe that the checks are responsive to the subpoena.

Goldman Sachs is providing the enclosed documents, all of which are stamped "confidential," without waiver of any objection that it may have to the subpoena.

Please do not hesitate to contact us if you require further information.

Sincerely,

Geoffrey F. Aronow
Kathleen M.H. Wallman

97043803404

Goldman, Sachs & Co. | 85 Broad Street | New York, New York 10004
Tel: 212-902-0611

Kevin H. Whitney
Vice President
Assistant General Counsel

CONFIDENTIAL

Goldman Sachs

MEMORANDUM

December 29, 1992

RECEIVED
FEDERAL ELECTION COMMISSION
OFFICE OF GENERAL COUNSEL
93 FEB 12 PM 3:14

TO: Christine Varney

FROM: Kevin Whitney KW

RE: Campaign Expenses

In addition to the expenses outlined in Jim McHugh's memos to you of October 27 and 30, Bob Rubin incurred additional post-convention telephone expenses of $15.01 which should be remitted directly to him. I believe this represents his final expenses.

cc: Robert E. Rubin

97043803405

CONFIDENTIAL

RECEIVED
FEDERAL ELECTION COMMISSION
OFFICE OF GENERAL COUNSEL

93 FEB 12 PM 3:14

CLINTON FOR PRESIDENT COMMITTEE

14206

Ref.	Inv. Number	Inv. Date	Inv. Amount	Amount Paid
26252	102492	12/16/92	1,235.00	[illegible]

TRX DESCRIPTION: Clinton Breakfast

11,235.00

CLINTON FOR PRESIDENT COMMITTEE
PHONE (501) 372-1992
P.O. BOX 616
LITTLE ROCK, AR 72203

WORTHEN NATIONAL BANK
LITTLE ROCK, AR 72206
81-7-070

14206

12/16/92 *******1,235.00

********1,235 DOLLARS AND 00 CENTS

DATE AMOUNT

PAY TO THE ORDER OF

Goldman Sachs & Co.
85 Broad Street

New York, NY 10004

THIS DISCLOSURE REQUIRED IF OVER $[illegible]

W. David Walker

⑈014206⑈ ⑆082000073⑆ 008941-326-5⑈

To: Mary Thompson
Washington Office
202-637-3773

From: Joe Mella

00220

9704380340 6

Goldman, Sachs & Co. | 85 Broad Street | New York, New York 10004
Tel: 212-902-8738

James B. McHugh
Vice President-Associate General Counsel

CONFIDENTIAL

Goldman Sachs

January 6, 1993

RECEIVED
FEDERAL ELECTION COMMISSION
OFFICE OF GENERAL COUNSEL
93 FEB 12 PM 3:14

Christine Varney, Esq.
Hogan & Hartson
Columbia Square
555 13th Street, N.W.
Washington, D.C. 20004-1109

Re: Robert E. Rubin

Dear Ms. Varney:

Subsequent to Kevin Whitney's memo to you of December 29, we uncovered an additional campaign-related expense of $95 incurred by Mr. Rubin in October for a trip to Lansing, Michigan; the charges were for a taxi and telephone calls. I would be grateful if you would arrange for Mr. Rubin to be reimbursed directly by the campaign.

Very truly yours,

James B. McHugh

bcc: Robert E. Rubin
Arline Mason
Kevin Whitney

97043803407

00221

CONFIDENTIAL

MEMORANDUM

526505

RECEIVED
FEDERAL ELECTION COMMISSION
OFFICE OF GENERAL COUNSEL
93 FEB 12 PM 3:14

To: Paul Carey
c/o Ken Brody

From: Harry Silver
Allen Northern

Date: February 19, 1992

Re: **REIMBURSABLE EXPENSES – FINAL ESTIMATE**

We have attached an invoice for $3,838.52 which reflects our final estimate of services provided to Paul Carey during October and November 1991. The estimates are based on actual services provided valued at "market" prices for each service. Please review the attached detail and submit a check made out to Goldman, Sachs & Co.

Please call one of us if you have any

cc: Jonathan Cohen
Judd Sommer
Arline Mann

Ken Norton

Attachment

The enclosed is
for your information

Please handle.
Beverly Valone

Q.C.

Talk in Check inco
Coding Error on 4/21/92 & adjustments
on 4/21/92

00222

9704380340 8

CONFIDENTIAL

Blue - copy of Carey Bill Credit 541

Reimbursable Expenses – Paul Carey

Category	Amount
Office Rental (1)	$750.00
Photocopying (2)	2,200.88
Word Processing (3)	49.50
Messenger (4)	84.69
Telephone (5)	754.45
Total	$3,839.52

006 pmt #541 credit dept #103

(1) For the period 10/1/91 through 11/30/91. Based on estimates provided by Ken Brody given his best judgment on the space he could have secured for Paul Carey: $30.00 per square foot for a 150-square foot office for two months.
(2) Based on outside vendor rates of $25.00 per thousand for black & white copies and 20.88 cash for color copies (see Attachment A).
(3) Based on outside vendor rates of $23.00 per hour for daytime word processing and $28.00 per hour for evening word processing (see Attachment B).
(4) Includes both Brady and Carey personal charges (see Attachment C).
(5) Based on the period 10/1/91 through 12/6/91 (see Attachment D).

00223

9704380340 9

CONFIDENTIAL

Goldman Sachs & Co., 85 Broad Street, New York, New York 10004
Tel: 212 902 1000

February 19, 1992

INVOICE

	AMOUNT
Paul Carey -- Reimbursable Expenses	$3,838.52

9704380341C

CLINTON FOR PRESIDENT COMMITTEE

8502

Inv.	Inv. Number	Inv. Date	Inv. Amount	Amount Paid
[illegible]	041692	04/16/92	3,838.52	3,838.52

CONFIDENTIAL

[illegible] 838.52

CLINTON FOR PRESIDENT COMMITTEE
P.O. BOX 615
LITTLE ROCK, AR 72203

WORTHEN
NATIONAL BANK OF ARKANSAS
LITTLE ROCK, AR 72203
81-7-829

8502

04/16/92 3.....3,838.52

DATE AMOUNT

00225

[illegible] DOLLARS AND 52 CENTS

[illegible] Sachs & Co.
[illegible] Street

TWO SIGNATURES REQUIRED IF OVER [illegible]

[illegible]

David Wilhelm

008503 :082000073: 008941 326 5

CONFIDENTIAL

RECEIVED
FEDERAL ELECTION COMMISSION
OFFICE OF GENERAL COUNSEL
93 FEB 12 PM 3:14

MATERIAL
REDACTED

9704380341 2

CLINTON FOR PRESIDENT COMMITTEE
P.O. BOX 615
LITTLE ROCK, AR 72203

WORTHEN
NATIONAL BANK OF ARKANSAS
LITTLE ROCK, AR 72203
91-7-000

5503

04/16/92

********3,828 DOLLARS AND 52 CENTS

DATE

AMOUNT

Goldman Sachs & Co.
85 Broad Street

New York, NY 10004

TWO DIGNATURES REQUIRED IF OTHERWISE

⑈005503⑈ ⑆082000073⑆ 008941-326-5⑈

MATERIAL
REDACTED

00226

OGC 7459

FEDERAL ELECTION COMMISSION
MAIL ROOM

NEW YORK, NEW YORK
DENVER, COLORADO

ARNOLD & PORTER
1200 NEW HAMPSHIRE AVENUE, N.W.
WASHINGTON, D.C. 20036-6885
(202) 872-6700
CABLE: "ARFOPO"
FACSIMILE: (202) 872-6720
TELEX: 89-2732

LOS ANGELES, CALIFORNIA
TOKYO, JAPAN

KATHLEEN M. H. WALLMAN
DIRECT LINE: (202) 728-4955

APR 16 11 42 AM '93

April 15, 1993

RECEIVED
FEDERAL ELECTION COMMISSION
OFFICE OF GENERAL COUNSEL
93 APR 16 PM 3:36

Helen Kim, Esquire
Office of the General Counsel
Federal Election Commission
999 E Street, N.W.
Washington, D.C. 20463

Re: MUR 3672 Goldman, Sachs & Co.

Dear Ms. Kim:

As we promised this afternoon, we enclose another copy of the document Bates-stamped no. 00056 for your review. This version is legible and hopefully compares favorably to the copy you have. We will continue to attempt to locate better versions of the documents Bates-stamped with numbers: 00054, 00055, 00057, 00058, 00120 and 00121. We will forward these documents to you as soon as we obtain them.

Please do not hesitate to contact us if you require further information.

Sincerely,

Kathleen M.H. Wallman

Enclosure

9704380341 3

LOL001 01/17/92 --- ACCOUNTS PAYABLE - LIST VOUCHER REPORT --- 02:06:46 PAGE: 1,301

PROCESSOR: TES

COMP	VOUCHER	VENDOR	INVOICE #	REFERENCE	CLIENT	ORG.	ACCOUNT	AMOUNT
70001	619906	[illegible]	011792 07562	VA019900 011792				
		KENNETH D RADDY 55 BROAD STREET [illegible] FLOOR [illegible]						
						000. 06103	600656040	17.70
						0001-005103	600757020	17.70CR

CONFIDENTIAL

FEDERAL RECEIVED
OFFICE OF COMMISSION

93 APR 16 PM 3:36

00056

DGC 8493

RECEIVED
FEDERAL ELECTION
COMMISSION
MAIL ROOM

FEB 26 11 21 AM '93

HOGAN & HARTSON

COLUMBIA SQUARE
555 THIRTEENTH STREET NW
WASHINGTON DC 20004-1109
(202) 637-5600

L. ANTHONY SUTIN
PARTNER
DIRECT DIAL (202) 637-5673

BRUSSELS
LONDON
PARIS
PRAGUE
WARSAW
BALTIMORE, MD
BETHESDA, MD
McLEAN, VA

February 25, 1993

RECEIVED
FEDERAL ELECTION COMMISSION
OFFICE OF GENERAL COUNSEL
93 FEB 26 PM 2:31

Mr. Richard Zanfardino
Office of the General Counsel
Federal Election Commission
999 E Street, N.W.
Washington, D.C. 20463

Re: MUR 3672

Dear Mr. Zanfardino:

On January 29, 1993, the Clinton for President Committee submitted its response to the Commission's subpoena in the above-captioned MUR. Since that time, we have located some additional documents that, while not necessarily called for by the subpoena, bear generally on the subject of the Commission's investigation. These documents are enclosed.

Please call me if you have any questions.

Sincerely yours,

L. Anthony Sutin

Enclosures

9704380341 5

14206

Ref.	Inv. Number	Inv. Date	Inv. Amount	Amount Paid
26252	102492	12/16/92	1,235.00	1,235.00

TRX DESCRIPTION: Clinton Breakfast

RECEIVED
FEDERAL ELECTION COMMISSION
OFFICE OF GENERAL COUNSEL
93 FEB 26 PM 2:31

$1,235.00

CLINTON FOR PRESIDENT COMMITTEE
PHONE (501) 370-1992
P.O. BOX 515
LITTLE ROCK, AR 72203

WORTHEN NATIONAL BANK
LITTLE ROCK, AR 72203
21-7-300

14206

12/16/92 $*******1,235.00

DATE AMOUNT

********1,235 DOLLARS AND 00 CENTS

TO THE ORDER OF

Goldman Sachs & Co.
85 Broad Street

New York, NY 10004

TWO SIGNATURES REQUIRED IF OVER $2000.00

NOT NEGOTIABLE

97043803416

CHECK REQUEST

PAYABLE TO: Goldman Sachs

VENDOR NUMBER: Goldm50

CHECK AMOUNT: $1355.00

ACCTS TO CHARGE:

26252

INVOICE NUMBER:

INVOICE DATE: 10-24-98

DUE DATE:

DESCRIPTION: Clinton Breakfast

APPROVAL

BUDGETARY

COMPLIANCE

WATKINS

9704380341 7

Goldman, Sachs & Co. | 1901 Pennsylvania Avenue, N.W., Suite 900 | Washington, D.C. 20004
T: 202-637-3759

Goldman Sachs

FAX NO.: (202) 637-3773

FAX TRANSMITTAL

Date: 12/9/92

Time: 10:45

SE DELIVER THE FOLLOWING PAGE(S) --

: Richard Williams

M: Mary Thompson

L NUMBER OF PAGES (including cover page): 2

U DO NOT RECEIVE ALL THE PAGES INDICATED ABOVE, PLEASE CONTACT

_____ AS SOON

SSIBLE AT (202) 637-37 44 .

AGE:

r Paul Carey. Please have a check cut in the amount
: $1,235 payable to Goldman, Sachs + Co.
end it to Mr. Kent Bain
General Services
Goldman, Sachs + Co.
85 Broad Street, 30th Floor
New York, NY 10004

Thankyou

9704380341 8

dman, Sachs & Co. | 85 Broad Street | New York, New York 10004
: 212-902-1278

nt R. Bain
e President
neral Services

Goldman Sachs

Cathleen -

ovember 24, 1992

Primary

ill for a Clinton breakfast on October 24, 1991
rganized by Kenneth Brody.

19 people @ $65.....$1,235.00

Kent Bain
Kent Bain

9704380341 9

Goldman, Sachs & Co. | [redacted] Street | New York, New York 10004
Tel: 212-902-1278

Kent R. Bain
Vice President
General Services

Goldman Sachs

Clinton For President

November 24, 1992

Bill for a Clinton breakfast on October 24, 1991 organized by Kenneth Brody.

19 people @ $65.....$1,235.00

OK/aw
12-8

Kent Bain
Kent Bain

9704380342C

The enclosed is
for your information

Cathleen
Please
pay from
Primary
Dist.

Mary H. Thompson

Per Paul Carey + Ken Brody, please
see that this gets paid to Goldman
Sachs. If you have any questions, please
call me at 637-3744

9 7 0 4 3 8 0 3 4 2 1

ARNOLD & PORTER
1200 NEW HAMPSHIRE AVENUE, N.W.
WASHINGTON, D. C. 20036
(202) 872-6700
CABLE: "ARFOPO"
TELECOPIER: (202) 872-6720
TELEX: 89-2733

PARK AVENUE TOWER
65 EAST 55TH STREET
NEW YORK, NEW YORK 10022-3219
(212) 750-5050

1700 LINCOLN STREET
DENVER, COLORADO 80203
(303) 863-1000

GEOFFREY F. ARONOW
DIRECT LINE: (202) 872-6957

FEDERAL ELECTION COMMISSION
MAIL ROOM
Apr 23 9 28 AM '93

April 22, 1993

RECEIVED
FEDERAL ELECTION COMMISSION
93 APR 23 PM 12:30

Helen Kim, Esquire
Office of the General Counsel
Federal Election Commission
999 E Street, N.W.
Washington, D.C. 20463

Re: MUR 3672 Goldman, Sachs & Co.

Dear Ms. Kim:

As my colleague, Kathy Wallman, promised last week, we enclose additional copies of the documents Bates-stamped nos. 00054, 00055, 00057, 00058, 00120, and 00121 for your review. We believe that these copies are more legible than the ones you have.

Please do not hesitate to contact us if you require further information.

Sincerely,

Geoffrey F. Aronow

Enclosures

9704380342 2

CONFIDENTIAL

KENNETH D BRODY ....

Travel and Entertainment

Month-End Summary of Expenses

Goldman Sachs

TE3 1/6/92

KENNETH D BRODY **** Amex Number: 3787-106235-62004 Account: 86350223 As of October 4, 1991 Statement Number: AE124585 PG. 2 OF 5

SUMMARY OF EXPENSES Employee #: 09100 Organization: 01-800-103 Dept: PRINCIPAL INVESTMENT AREA

** CHARGE SUMMARY **		AMOUNT	
(Section I) Items charged to the Firm	1	$271.65	AMEX charges plus other items paid for by Firm
Minus: Personal items	2	211.65	Items coded (N) on-reimbursable in Section I
Minus: Adjustments	3	—	Items not currently being accounted for -coded either (P)ending accounting or (U)nused ticket
Charged items being expensed	4		Line 1 minus lines 2 and 3
** CASH SUMMARY **		AMOUNT	
(Section II) Cash and other expenses	5	—	Complete Section II for out-of-pocket and personal charges to be reimbursed.
(Section III) Minus: Cash advances $.00	6	—	Advances not currently being accounted for should be coded (P)ending accounting.
Minus: Personal items	7	Due Kan 11.65	From line 2 above.
Balance due (X) Firm or ( ) Employee please check appropriate box.	8	From prepaid 200.00	If line 5 is greater than the sum of lines 6 and 7, then balance is due employee; otherwise, balance is due Firm. (Please attach a check payable to Goldman, Sachs & Co., if due Firm.)

State reasons for first class flights:

CK# 1747

Deducted from AE127320-pc Pat Kerval

APPROVALS: To the best of my knowledge, all statements on this report are true and factual and all expenses are in conformity with Firm policy.

Kenneth D. Brody 12/3/91

KENNETH D BRODY **** Date

Comments/Special Instructions

97043803423

00054

CONFIDENTIAL

KENNETH D BRODY ****

Amex Number: 3787-106235-62004 (110291) Statement Number: AE1285N3
Account No: 86350223 As of October 4, 1991 PG. 3 OF 5

SECTION: I
ITEMS CHARGED TO FIRM Employee #: 03100 Organization: 01-800-103 Department: PRINCIPAL INVESTMENT AREA

LINE NO.	PROCESS DATE	TRANSACTION	AMOUNT	CAT	CODE	*	SYNDICATE/ PROJECT/ TRANSFER #	NON-REIMBURSABLE AMOUNT	SYNDICATE NAME AND BUSINESS PURPOSE WITH NAMES & RELATIONSHIP OF THOSE ENTERTAINED
1	9/08/91	THE CUSTOMS HOUSE ACH NEW YOR NY ROC# 0000000408284	26.75	F/N	D	1	G-B	6.75	PKowal-dinner
2	9/10/91	THE CUSTOMS HOUSE ACH NEW YOR NY ROC# 00C0000408396	22.45	F/N	D	1	G-B	2.45	PKowal-dinner
*	9/12/91	THE CUSTOMS HOUSE ACH NEW YOR NY ROC# 0000000408420	22.45	F/N	D	1	G-B	2.45	PKowal-dinner
		RESTAURANTS	$71.65						
*	9/28/91	VILLAGE COACH LIMO EAST HAMPTN NY ROC# 0000000952369	200.00	N					Personal
		OTHER EXPENSES	$200.00						
		AMERICAN EXPRESS CHARGES	$271.65						
		TOTAL CHARGES (SECTION I)	$271.65						

00055

9 7 0 4 3 8 0 3 4 2 4

CONFIDENTIAL

KENNETH D. BRODY ****

Army Number: 3787-106235-62004 (110291)
Account No: 86350223
As of November 2, 1991

Statement Number: AE127330
PG. 4 OF 5

SECTION: II
OUT-OF-POCKET EXPENSES

Employee #: 09100 Organization: 01-800-103 Department: PRINCIPAL INVESTMENT AREA

LINE NO.	PROCESS DATE	TRANSACTION	AMOUNT	CAT	CODE	%	SYNDICATE/ PROJECT/ TRANSFER #	NON REIMBURSABLE AMOUNT	SYNDICATE NAME AND BUSINESS PURPOSE WITH NAMES & RELATIONSHIP OF THOSE ENTERTAINED
1	9/23/91	Urban Land Institute Registration fees	1205.00	F	0	1	GB	[illegible]	KDBrody - Fall meeting Wash 98905
2	11/18-22	Taxis - " " " Wash. D.C.	175.00	F	A	1	GB	[illegible]	" "
3	11/18-22	Lunches - " " " "	100.00	F	[illegible]	1	GB	[illegible]	" "
4									" "
5									
6	9/13	Stationery by UAZ	17.70	F	0	1	GB	[illegible]	Office Supplies 75702
7									
8									
9									
10									
11									
12									
13									
14									
15									
16									
17									
18									
19									
20									
21									
22									
23									
24									
25									

00057

TE3 1/6/92

KENNETH D BRODY 0000 — Amex Number: 3787-106235-62008 — Statement Number: AE127520
Account: 86350223 As of November 2, 1991 — Pg. 2 of 5

SUMMARY OF EXPENSES — Employee #: 09100 — Organization: 01-000-103 — Dept: PRINCIPAL INVESTMENT AREA

** CHARGE SUMMARY **		AMOUNT	
(Section I) Items charged to the Firm	1	$638.60	AMEX charges plus other items paid for by Firm
Minus: Personal items	2	10.60	Items coded (N) on-reimbursable in Section I
Minus: Adjustments	3	—	Items not currently being accounted for -coded either (P)ending accounting or (M)anual ticket
Charged items being expensed	4	628.60	Line 1 minus lines 2 and 3
** CASH SUMMARY **		AMOUNT	
(Section II) Cash and other expenses	5	1497.70	Complete Section II for out-of-pocket and personal charges to be reimbursed.
(Section III) Minus: Cash advances 0.00	6	—	Advances not currently being accounted for should be coded (P)ending accounting.
Minus: Personal items	7	10.60	From line 2 above.
Balance due ☐ Firm or ☐ Employee please check appropriate box.	8	1487.10	If line 5 is greater than the sum of lines 6 and 7, then balance is due employee; otherwise, balance is due Firm. (Please attach a check payable to Goldman, Sachs & Co., if due Firm.)

State reasons for first class flights:

Deduct $11.65 from AE124585 per Pat Koval

The following statements are outstanding:

AE124585 10/04/91. Revised #2 1475.45

APPROVALS: To the best of my knowledge, all statements on this report are true and factual and all expenses are in conformity with Firm policy.

Kenneth D Brody 12/3/91
KENNETH D BRODY 0000 Date

Authorized Signature 1/2/92 Date

☐ Check here if Address or Organization change is required.
(Please note change in comments.)

Comments/Special Instructions

KENNETH D BRODY

Travel and Entertainment

Month-End Summary of Expenses

Goldman Sachs

00058

CONFIDENTIAL

CONFIDENTIAL

TO: Kenneth Brody

FROM: Kathy Bernardo

DATED: 3/2/92

RE: TIFFANY & COMPANY - INVOICE # 151033

The attached invoice has been paid by Goldman, Sachs & Co. in your behalf and was charged to your advance account. Please return the invoice with either your personal check payable to Goldman, Sachs & Co. or a properly approved T&E report indicating the name(s) of recipient(s) and business purpose.

Please forward all correspondence to my attention at 85 Broad Street/11th floor.

Thank you.

9704380427

9 7 0 4 3 8 0 3 4 2 8

00121

2/26/92

TIFFANY & CO.
CORPORATE CREDIT DEPARTMENT
6 SYLVAN WAY
PARSIPPANY, N.J. 07054

118300
NY 727905
151033
CHARGE

SEE REVERSE SIDE FOR TERMS AND CONDITIONS

ERCOY,PRS KEN
PRS KENNETH ERCOY
2/26/92

1. RANTEL [illegible] 3/4 X 3 CS 27241 [illegible]									
400.00	400.00	15%	340.00	.00	5	9	8.25%	28.59	368.59

09100

INVOICE

[illegible] TO TIFFANY & CO., P.O. BOX 7247-8404, PHILADELPHIA, PA 19170-8404

1	400.00	340.00	.00	28.59	368.59

KENNETH D ERCOY
APT 8G
10 GRACIE SQUARE
NEW YORK NY 10028

GOLDMAN SACHS & CO NY727905
K BERNARDO ACCT SERVICES
85 BROAD STREET 11TH FLR
NEW YORK NY 10004

NY 481

[illegible]ICATE COPY OF THIS INVOICE SHOULD BE RETURNED [illegible]R REMITTANCE AND DISCREPANCIES NOTED. PLEASE [illegible]RIES TO THE ABOVE ADDRESS OR CALL (201) 326-8411

PO BOX 7247-8404
PHILA., PA 19170-8404

2

TOTAL DUE 375.09

[illegible]MER COPY

CONFIDENTIAL

RECEIVED
FEDERAL ELECTION
COMMISSION
SECRETARIAT
Jan 18 12 53 PM '95

FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

January 18, 1995

SENSITIVE

TO: The Commission

FROM: Lawrence M. Noble
General Counsel

BY: Lois G. Lerner
Associate General Counsel

SUBJECT: MUR 3672- Severing Portion of MUR for Transfer to PFESP

On January 6, 1995, this Office circulated a memorandum to the Commission regarding the handling of complaint-generated matters by PFESP. This memorandum outlined the procedures for transfer and identified ten cases appropriate for transfer. In regard to one of the matters identified, MUR 3672, this Office noted that only a portion of the MUR was appropriate for transfer to PFESP.

MUR 3672 is a large case involving several fact patterns. MUR 3672 and the Final Audit Report for the Clinton for President Committee both include the issue of a Goldman, Sachs partner's apparent use of his company expense account to front expenses for the Clinton primary campaign in New York. MUR 3672 also involves Goldman, Sachs' apparent facilitation of numerous contributions to the Clinton Committee by firm partners.

Consequently, this Office is recommending that the Commission severe the portion of the MUR that relates to the Clinton Committee and Goldman, Sachs from MUR 3672 and open a separate MUR for the severed portion. The new MUR will be handled by PFESP in conjunction with the Clinton audit.

RECOMMENDATION

Severe the portion of the MUR that relates to the Clinton Committee and Goldman, Sachs from MUR 3672 and open a separate MUR for the severed portion.

Staff Assigned: CED

9704380342 9

FEDERAL ELECTION COMMISSION
WASHINGTON D.C. 20463

MEMORANDUM

TO: LAWRENCE M. NOBLE
GENERAL COUNSEL

FROM: MARJORIE W. EMMONS/BONNIE J. ROSS
COMMISSION SECRETARY

DATE: JANUARY 23, 1995

SUBJECT: MUR 3672 - MEMORANDUM TO THE COMMISSION DATED JANUARY 18, 1995.

The above-captioned document was circulated to the Commission on Wednesday, January 18, 1995 at 4:00 p.m.

Objection(s) have been received from the Commissioner(s) as indicated by the name(s) checked below:

Commissioner Aikens	_____
Commissioner Elliott	_____
Commissioner McDonald	_____
Commissioner McGarry	XXX
Commissioner Potter	_____
Commissioner Thomas	_____

This matter will be placed on the meeting agenda for Tuesday, January 31, 1995.

Please notify us who will represent your Division before the Commission on this matter.

9704380343C

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of	)	
	)	MUR 3672
Clinton for President Committee, et al.	)	
	)	

CERTIFICATION

I, Marjorie W. Emmons, recording secretary for the Federal Election Commission executive session on January 31, 1995, do hereby certify that the Commission decided by a vote of 6-0 to sever the portion of MUR 3672 that relates to the Clinton Committee and Goldman, Sachs from MUR 3672 and open a separate MUR for the severed portion as recommended in the General Counsel's January 18, 1995 memorandum.

Commission Aikens, Elliott, McDonald, McGarry, Potter, and Thomas voted affirmatively for the decision.

Attest:

1-31-95
Date

Marjorie W. Emmons
Marjorie W. Emmons
Secretary of the Commission

9704380431

FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

September 8, 1995

Secretary of State
Corporations Division, 1st Floor
162 Washington Avenue
Albany, New York 12231

Attn: Bill Lower

Re: Goldman, Sach's & Co., Inc.
MUR 4183

Dear Mr. Lower,

This letter will serve as a written request for the corporation status for Goldman, Sach's & Co., Inc. As per our conversation on September 7, 1995, I need information confirming the date of incorporation, status, chairman, office address and any other information on file concerning this organization.

Thank you in advance for your assistance and cooperation in this matter. If you have any questions, please contact me at 800-424-9530 or 202-219-3690.

Sincerely,

Zina E. Hoes
Paralegal Specialist

9704380343 2

STATE OF NEW YORK
DEPARTMENT OF STATE
ALBANY, NY 12231-0001

ALEXANDER F. TREADWELL
SECRETARY OF STATE

RECEIVED
FEDERAL ELECTION COMMISSION
OFFICE OF GENERAL COUNSEL
Sep 14 4 58 PM '95

MUR 4183

ZINA E HOES-PARALEGAL SPECIALIST
FEDERAL ELECTION COMMISSION
999 E STREET NW
WASHINGTON DC 20463

SEPTEMBER 11, 1995

Dear Sir/Madam,

Following is the information you requested.

A Certificate of Incorporation of GOLDMAN, SACHS & CO., INC. was filed on 02/19/1987. Its principal location is NEW YORK county.

Status: Active

Service of Process Address:
THE CORPORATION
85 BROAD STREET
NEW YORK, NY 10004

Registered Agent Address:
NO ADDRESS STATED

Chairman of the Board Address:
DAVID A. GEORGE
85 BROAD STREET
NEW YORK, NY 10004

Business Location:
THE CORPORATION
85 BROAD STREET
NEW YORK, NY 10004

Current Stock Information:

Stock Type	Num.Shares	Value/Share
Par Value	100	1.00000

Sincerely,

DIVISION OF CORPORATIONS

199509120185

970438034 33

RECYCLED PAPER

RECEIVED
FEDERAL ELECTION
SECRETARIAT
Dec 2 12 51 PM '96

BEFORE THE FEDERAL ELECTION COMMISSION

SENSITIVE

In the Matter of

Clinton for President Committee	)	
and J.L. "Skip" Rutherford, as treasurer	)	MUR 4183
Goldman Sachs and Company	)	
Kenneth Brody	)	

GENERAL COUNSEL'S REPORT

I. GENERATION OF MATTER

This matter was generated from activities that arose in MUR 3672, which was a Directive 6 enforcement matter, and MUR 4172, which was an audit referral of the Clinton for President Committee ("the Committee"). Attachment 1 (Audit Referral).[1]

In MUR 3672, the Commission, on October 20, 1992, found reason to believe that Goldman Sachs and Company ("Goldman Sachs") violated 2 U.S.C. §§ 441a(a)(1)(A), 441a(a)(8), 441c and 11 C.F.R. § 110.6(c)(1), that Ken Brody, a Goldman Sachs' partner, violated 2 U.S.C. § 441c, and that the Committee violated 2 U.S.C. §§ 441a(f), 441c, and 11 C.F.R. § 110.6(c)(2). These findings were based upon information which indicated that Goldman Sachs

9704380343 4

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1 The Committee is the authorized committee of President William J. Clinton's 1992 Democratic Primary campaign. The Committee qualified for public funds under the Presidential Primary Matching Payment Account Act and received $12,536,135 in matching funds from the United States Treasury. 26 U.S.C. §§ 9033 and 9034. The audit referenced in this report was conducted as a result of the Committee's receipt of public funds. 26 U.S.C. § 9038(a). Although this audit concerned various activities undertaken by the Committee, this Office has included only that portion of the audit referral that concerns the Committee's activities which are at issue in this report. The remaining issues are addressed in MUR 4172.

may have facilitated in the making of contributions from its partners to the Committee and that Ken Brody was at that center of this activity.

The Commission authorized the Office of General Counsel to issue document subpoenas to Goldman Sachs and the Committee. On December 9, 1992, Goldman Sachs produced documents in response to the subpoena request as well as a response to the various issues presented in the Factual and Legal Analysis.[2] Attachment 2. On the same date, Kenneth Brody also submitted his response to the Factual and Legal Analysis. Attachment 3. Lastly, on January 29, 1993, the Committee submitted its response to the subpoena request.[3] Attachment 4. Thereafter, on January 31, 1995, Goldman Sachs was severed from MUR 3672 and MUR 4183 was created to address the Goldman Sachs issues noted in MUR 3672.[4]

By comparison, MUR 4172, the audit referral, included information which showed that the Committee was provided with various services by Goldman Sachs, including the use of office space and other related services.[5] On February 28, 1995, the Commission received a response to

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2 As part of its response, Goldman Sachs stated that "[it] had made a good faith effort to locate all nonprivileged, responsive documents." Attachment 3 at 3.

3 On December 8, 1992, the Committee requested an extension of time to respond to the document subpoena request until December 30, 1992. Attachment 5 at 17. On December 23, 1992, the Committee requested an additional extension of time to respond to the subpoena request until January 29, 1993. *Id.* at 15-16. On January 4, 1993, the Office of General Counsel granted the Committee's second extension request. *Id.* at 14. On January 29, 1993, the Committee submitted its response to the document subpoena request. *Id.* at 1-13. The Committee asserts that based on its interpretation of the document request and "after [a] reasonable inquiry of persons familiar with documents in the Committee's possessions . . . they possess no responsive documents." *Id.* at 13. However, the Committee did produce "copies of documents relating to campaign-related disbursements by the Clinton campaign to Goldman, Sachs and persons affiliated with it." *Id.*

4 In May 1993, the Office of General Counsel Enforcement Division deactivated MUR 3672. In July 1994, the Enforcement Division reactivated MUR 3672. On January 31, 1995, the Enforcement Division transferred the Goldman Sachs portion of this MUR to the Public Financing, Ethics, and Special Projects Division.

5 Based upon the audit referral, the Commission also found reason to believe that the Committee violated 2 U.S.C. § 441a(f). In addition, the Commission found reason to believe that the Committee violated 2 U.S.C. §§ 434(b)(3)(A), 434(b)(3)(F), 441b, and 11 C.F.R. § 104.3(a)(4)(V), but took no further action with respect to these violations.

the Final Audit Report, which included specific references to the finding involving Goldman Sachs. Attachment 5.[6] Thereafter, on August 16, 1995, the Commission severed the Goldman Sachs matter from MUR 4172 and incorporated it into MUR 4183.

II. RECOMMENDATIONS IN LIGHT OF *FEC v. NRA* (FINDINGS ARISING OUT OF MUR 3672)

In light of *FEC v. NRA Political Victory Fund*, 6 F.3d 821 (D.C. Cir. 1993), *cert. dismissed*, 115 S.Ct. 537 (1994) ("NRA"), this Office recommends that the Commission, consistent with its November 9, 1993 decisions regarding compliance with the *NRA* decision, revote to find reason to believe that the following violations by the following persons or entities occurred based on the reasoning set forth in the First General Counsel's Report dated September 29, 1992 in MUR 3672: a) Clinton for President Committee and Robert A. Farmer, as treasurer, violated 2 U.S.C. §§ 441a(f) and 441c and 11 C.F.R. § 110.6(c)(2); b) Goldman, Sachs and Company violated 2 U.S.C. §§ 441a(a)(1)(A), 441a(a)(8), and 441c and 11 C.F.R. § 110.6(c)(1); and c) Kenneth Brody violated 2 U.S.C. § 441c.[7]

This Office further recommends that in light of *NRA*, the Commission revote to authorize the subpoenas to produce documents for the Clinton for President Committee, Goldman, Sachs and Company, and Kenneth Brody as stated in the First General Counsel's Report dated September 29, 1992 in MUR 3672.[8]

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6 Attachment 5 contains the portion of the Committee's response to the Final Audit Report that pertains only to its activities involving Goldman Sachs.

7 It should be noted that Robert M. Farmer is no longer the Committee's treasurer. Pursuant to established Commission policy, any additional findings that pertain to the Committee will specify J.L. "Skip" Rutherford, the Committee's present treasurer.

8 The Commission's revoting of a prior finding is a sufficient to remedy any *NRA* constitutional violations. *Federal Election Commission v. Legi-Tech, Inc.*, No. 94-5379 at 9 (D.C. Cir. Feb. 16, 1996).

III. DISCUSSION

A. Overview

As previously noted, this matter concerns the Committee's use of Goldman Sachs' facilities as well as Goldman Sachs' apparent facilitation of contributions to the Committee. Although the use of corporate facilities and the facilitation of contributions are distinct legal activities, the activities at issue in this matter are interrelated. The evidence shows that the Committee used Goldman Sachs' office space and other facilities from October 1991 through December 1991 and that Goldman Sachs' apparent facilitation of some $55,800 in contributions to the Committee occurred in December 1991, shortly before the Committee relinquished its use of Goldman Sachs' office space. The evidence further shows that it was Kenneth Brody, a Goldman Sachs' partner, who arranged for the Committee to use Goldman Sachs' facilities and who also solicited many of the contributions at issue in the apparent facilitation scheme.

Although many of the circumstances surrounding the various activities in this matter remain to be determined, it appears that the Committee received prohibited contributions from Goldman Sachs. The Commission could pursue these violations through additional discovery. However, this Office recommends that the Commission instead offer to enter into pre-probable cause conciliation negotiations with the Committee and Goldman Sachs based upon the evidence in hand.

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B. The Law

Under the Federal Election Campaign Act of 1971, as amended (the "Act"), corporations are prohibited from making any contribution or expenditure in connection with any election at which presidential and vice-presidential electors are to be voted for, or to make a contribution or

expenditure in connection with any primary election or political convention or caucus held to select candidates for President and Vice-President of the United States. 2 U.S.C. § 441b(a). In addition, the Act prohibits any corporate officer or director from consenting to any contribution or expenditure by the corporation. *Id.* It is also unlawful for any candidate, political committee or other person knowingly to accept or receive any contribution from any corporation or from any officer or any director of any corporation to consent to any contribution or expenditure by the corporation. *Id.* [9]

For purposes of Section 441b(a), the Act defines a contribution to include "any direct or indirect payment, distribution, loan, advance, deposit or gift of money, or any services, or anything of value" given to a federal candidate or campaign in connection with a federal election. 2 U.S.C. § 441b(b)(2). There are, however, certain exemptions from the broad prohibition against corporate contributions that are relevant in this matter. First, the Commission's regulations permit a political committee to use a corporation's facilities in connection with a federal election. 11 C.F.R. § 114.9(d). *See* 2 U.S.C. § 431(11) (political committee included in definition of "person" lawfully permitted to use corporate facilities). The committee must, however, reimburse the

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9 Although it initially appeared that Goldman Sachs was a partnership, this Office has since learned through discovery that Goldman Sachs is actually a corporation registered in the State of New York. *See* MUR 3672 First General Counsel's Report. Based upon information obtained from the New York Secretary of State's Office, Goldman Sachs and Company became a registered corporate entity on February 19, 1987. Attachment 6. Goldman Sachs and Company is a subsidiary of The Goldman Sachs Group, Limited Partnership. Attachment 9. The Partnership was formed in 1989 to operate as a holding company for Goldman Sachs and Company and its subsidiaries. Attachment 10. The Partnership is the direct parent of Goldman Sachs and Company. *Id.* The dominant characteristic of a holding company is the ownership of securities by which it is possible to control or substantially influence the policies and management of one or more operating companies in a particular enterprise. *North American Co. v. U.S. Securities and Exchange Comm'n., 327 U.S. 686, 701 (1946).* Under New York law, the general rule is that a subsidiary and its parent are legally distinct entities. *See Carte Blanche (Singapore) v. Diners Club Int'l., Inc.*, 2 F.3d 24, 26 (2nd Cir. 1993) (parent corporation and its subsidiary are legally distinct entities). Since the holding company is usually limited to owning securities, the Office of General Counsel believes that the party acting in this matter was the subsidiary corporate entity, Goldman Sachs and Company. Attachments 6-8.

corporation within a commercially reasonable time for the usual and normal charge, as defined in 11 C.F.R. § 100.7(a)(1)(iii)(B), for any the use of the corporation's facilities. 11 C.F.R. § 114.9(d).

Second, a candidate, candidate's agent, or person traveling on behalf of a candidate who uses transportation (other than a plane) which is owned or leased by a corporation must reimburse, within a commercially reasonable time, the corporation at the normal and usual rental charge for such transportation. 11 C.F.R. § 114.9(e).[10]

Third, the Commission's regulations also permit the stockholders and employees of a corporation to make occasional, isolated or incidental use of corporate facilities for individual volunteer activity in connection with a federal election. 11 C.F.R. §§ 114.9(a)(1) and (b)(1). Such stockholders and employees will be required to reimburse the corporation only to the extent that the overhead or operating costs of the corporation are increased. *Id.* If a person makes more than an occasional, isolated or incidental use of corporate facilities, that person must reimburse the corporation within a commercially reasonable time for the usual and normal rental charge, as defined in 11 C.F.R. § 100.7(a)(1)(iii)(B), for the use of the facilities. 11 C.F.R. §§114.9(a)(2) and (b)(2).[11]

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[10] The FECA and the Commission's regulations do not define a "commercially reasonable time." However, courts have held that "what constitutes a reasonable time depends upon the circumstances of the particular case . . . in the light of the circumstances and conditions surrounding the transaction." *Intech, Inc. v. Consolidated Freightways, Inc.*, 836 F.2d 672, 675(1st Cir. 1987) (using the concept of "reasonable time" in the context of transporting and delivering a shipment)(citing to *Chesapeake & O. Ry. v. Martin*, 283 U.S. 209, 213, (1931)). The circumstances of the particular market involved should determine the duration of a "commercially reasonable time." *First National Bank of Chicago v. Jefferson Mortgage Co.*, 576 F.2d 479, 492 (3d Cir. 1978). This Office believes that a "commercially reasonable time" should be interpreted to include the length of time that such facilities were used and the circumstances and conditions surrounding the use of corporate facilities. Therefore, "commercially reasonable time" should also be interpreted to include the standard billing practices of the corporation.

[11] Although the Commission's regulations permit these limited exemptions to the prohibition against corporate contributions, corporations may not engage in other activities that result in the facilitation of contributions to committees. Subsequent to the activities at issue in the matter at hand, the Commission reiterated its policy regarding

The Commission's regulations explain that occasional, isolated or incidental use generally means (i) when used by employees during working hours, an amount of activity during any particular work period which does not prevent the employee from completing the normal amount of work which that employee usually carries out during such work period; or (ii) when used by stockholders other than employees during the working period, such use does not interfere with the corporation in carrying out its normal activities; but (iii) any such activity which does not exceed one hour per week or four hours per month, regardless of whether the activity is undertaken during or after normal working hours, shall be considered as occasional, isolated, or incidental use of the corporate facilities. 11 C.F.R. §§ 114.9(a)(1)(i)-(iii).[12]

Although the Act allows committees to use corporate facilities in connection with a federal election, political committees are required to report the amount and nature of outstanding debts and obligations resulting from the use of facilities. 2 U.S.C. § 434(b)(8); *see also* 11 C.F.R. § 104.11. The Commission's regulations also provide that if the exact amount of a debt or obligation is not known, the report shall state that the amount reported is an estimate. 11 C.F.R.

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the facilitation of contributions by corporations and labor organizations in the rule making process with new regulations that specifically prohibit corporations and labor organizations from facilitating the making of contributions to candidates and political committees, other than the separate segregated fund of the corporations or labor organization. These regulations explain that facilitation means using corporate resources or facilities to engage in fundraising activities in connection with any federal election. 11 C.F.R. § 114.2(f)(1). One of the examples of facilitation included in the regulations includes fundraising activities by corporations that involve officials or employees of the corporation ordering or directing subordinates or support staff (who therefore are not acting as volunteers) to plan, organize or carry out the fundraising project as a part of their work responsibilities using corporate or labor organization resources, unless the corporation receives advance payment for the fair market value of such services. 11 C.F.R. § 114.2(f)(2)(i)(A).

[12] There are other exemptions from the broad prohibition against corporate contributions which are not relevant in this matter. See 2 U.S.C. §§ 441b(b)(2)(C) (expenditures for administration of separate segregated fund exempt), 441b(b)(2)(A) (partisan communications in several formats, as set forth at 11 C.F.R. § 114.3(c)(1)-(4), to its executive and administrative personnel, as defined at 11 C.F.R. § 114.1(c), and their families are exempt) and 441b(b)(2)(B) (non-partisan communications to people outside of its restricted class are exempt).

§ 104.11(b). Once the exact amount is determined, the political committee shall either amend the report containing the estimate or indicate the correct amount on the report for the reporting period in which such amount is determined. *Id.*

C. Committee's Use of Goldman Sachs' Facilities (MUR 4172)

1. Audit Findings and Committee's Response

The audit of the Committee, conducted pursuant to 26 U.S.C. § 9038, found that Goldman Sachs billed the Committee a total $16,295 for various expenses that the Committee incurred in connection with the use of Goldman Sachs' office space. These expenses are as follows: $3,838.52 for the use of office space and support between October 1991 and December 1991; $1,235 for a breakfast held on October 24, 1991 for then Governor Clinton; $4,401.40 for the use of a car for the period ending February 13, 1992; and $6,819.50 for the use of a car for the period ending March 18, 1992. The $3,838.52 charge for office space included: $750 for office rental; $2,200 for photocopying services; $49.59 for word processing services; $84.48 for messenger services; and $754.45 for telephone charges. Attachments 1 at 5, 2 at 33 and 5 at 14 and 17.[13]

According to the Audit Referral, Kenneth Brody, in his capacity as a member of the Committee's national finance committee and as the Committee's finance chair for New York, arranged for the Committee to lease space from Goldman Sachs from September 1991 through

[13] The type of services that Goldman Sachs provided raise the issue of whether they are facilities. Under the Commission's regulations persons may lawfully use corporate facilities, "such as . . . telephones or typewriters . . . or office furniture." 11 C.F.R. § 114.9(d). The information in the matter at hand shows that the Committee used various services, such as a messenger service as well as photocopying and word processing services. The Commission, however, recently revised its regulations governing corporate and labor organization activity in federal elections. Although there were "no changes to [Section 114.9(d)]," *see* 60 Fed. Reg. 64260, 64264, it is this Office's understanding, based upon the Commission's rule making process, that the various services that the Committee used and reimbursed Goldman Sachs for in the matter at hand would be corporate facilities, which a person could use in connection with federal election activity provided that they reimbursed the corporation within a commercially reasonable time in the amount of the normal and usual rental charge, as defined at 11 C.F.R. § 100.7(a)(1)(iii)(B).

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December 1991, prior to the opening of the Committee's New York office. Attachment 1 at 4. Attachment 2 at 13. Mr. Brody, who is also a partner with Goldman Sachs, Limited Partnership, the holding company for Goldman Sachs and Company, purportedly made such arrangements to enable Paul Carey, the Committee's Northeast Finance Coordinator who reported directly to Ken Brody, to begin fundraising efforts. *Id.* The Audit Referral shows that the Committee also used Goldman Sachs' related office support services, including photocopying, word processing, messenger service and telephone service, during the lease period. *Id.* An invoice for the breakfast shows that it was organized by Mr. Brody for then Governor Clinton and that the $1,235 in expenses arose from providing food to 19 people at a cost of $65 per person. *Id. See also* Attachments 2 at 18-19 and 4 at 33. Lastly, the available information shows that Messrs. Brody and Carey also used Goldman Sachs' car service both during and after the period that the Committee leased office space from Goldman Sachs. Attachment 3 at 16-18. *See also* Attachments 2 and 4.

According to the Audit Referral, although the Committee reimbursed Goldman Sachs for the use of the car service within a commercially reasonable time at the usual and normal charge, it does not appear that the Committee reimbursed Goldman Sachs for the use of the other facilities, including the office space, the related office support services and the expenses incurred in connection with the breakfast, within a commercially reasonable time. First, the Audit Referral explains that Goldman Sachs did not bill the Committee for the office space and related office expenses for the period of September 1991 through December 1991 until February 19, 1992, and the Committee did not pay this invoice until April 16, 1992. The Audit Referral notes that the two largest invoices cover the period of December 27, 1991, through March 7, 1992, yet the

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invoice covering activity through December 27, 1991, was not billed until March 23, 1992, while the invoice covering activity through March 23, 1992, was not billed until April 15, 1992. In addition, the Audit Referral notes that the invoice for the expenses incurred for the October 24, 1991 breakfast was not sent to the Committee until November 24, 1992, some 13 months after the event.

Second, the Audit Division notes that the Committee's response to the Interim Audit Report does not provide any specific examples of other clients of similar size and risk, examples of similar types of activities where billings were delayed several months to over one year or where Goldman Sachs donated its services at cost. In response to the Interim Audit Report the Committee submitted affidavits from two Goldman Sachs Vice Presidents familiar with the expenses incurred by the Committee who merely note that the Committee was billed "in a manner wholly consistent with the Division's ordinary commercial practice and experience." Attachment 1 at 5. In his affidavit, Harry Silver, a Goldman Sachs Vice President and Chief Administrator of the Investment Banking Division, explained that his division processed the invoices for the office expenses incurred by the Committee and that there are no mandatory time parameters governing the process of reviewing disbursements and billing third parties. *Id.* Similarly, in his affidavit, Kenneth Norton, another Goldman Sachs Vice President who is one of the individuals responsible for the management of the Accounting Services Department, stated that in the case of the invoices for the breakfast and car services there are no time parameters governing billing third parties for reimbursement of these expenses. *Id.* He further stated that the process of billing third parties for such expenses ranged anywhere from several months to one year or more from the time the expense was actually incurred. *Id.*

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2. Discussion

Although the Audit Referral concluded that the Committee may not have reimbursed Goldman Sachs in a commercially reasonable time for the use of an office space as well as various related office services, the Committee's response to the Final Audit Report included additional information showing that Goldman Sachs apparently did not deviate from its standard billing practices when it sought reimbursement from the Committee. The information submitted includes additional affidavits from Messrs. Silver and Norton, who explain in greater detail the manner in which the Committee was billed for office space and other related office support services, including the breakfast and car services. Attachment 5. In his affidavit, Mr. Silver states that "reimbursement of disbursements from third parties" normally occurs "after a phase of a project has ended or a discrete series of expenses has been fully incurred" even though "no mandatory time parameters [exist] within [their] Division governing the process of reviewing disbursements and billing third parties for purposes of obtaining reimbursement." Attachment 5 at 12. Mr. Silver stated that Goldman Sachs had approximately 207 instances whereby Goldman Sachs billed its clients approximately three (3) months or more after a matter was closed, and that the amount of time which elapsed prior to billing was not reflective of "the client's size or risk." *Id.* at 8-9.

In his affidavit, Mr. Norton states that all Goldman Sachs partners and selected employees "are furnished vouchers for their [car service] accounts and are generally free to use various services engaged by the firm at their discretion, for both business and personal purposes." Attachment 5 at 16. Mr. Norton also states that such individuals receive a monthly statement and that the individual is responsible for "reviewing his or her printout for accuracy, allocating the

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charges to business or personal matters, and providing reimbursement for the personal charges." *Id.* at 17. Mr. Norton further states that "the process of receiving, reviewing, and processing car service charges routinely involves anywhere from several months to one (1) year or more from the time that the expense is actually incurred." *Id.* Additionally, Mr. Norton states that "there are no time parameters governing billing third parties for reimbursement of in-house food service expenditures incurred to the firm . . . the processing of general expenses and billing of third parties ranges anywhere from several months to one (1) year or more from the time that the expense is actually incurred." *Id.* at 19.

In addition, a chart provided in the response to the final audit report shows numerous instances in which Goldman Sachs billed entities for the use of its facilities many months after the use of such facilities occurred. Attachment 5 at 21-26. According to this information, between December 1991 and November 1992, Goldman Sachs had: (a) 207 instances where it billed clients approximately three months or more after the use of the facilities occurred; (b) 61 instances were the billing occurred one year or more after the use of the facilities occurred; and (c) thirteen instances were the billing occurred more than two years after the use of the facilities occurred. *Id.* at 8.

The audit showed that Goldman Sachs billed the Committee for the use of its office space and furniture, telephones and word processing services on February 19, 1992, approximately three months after the Committee's use of the office space and that the Committee paid the bill on April 16, 1992, within 60 days from when it was received. This appears to be within the range of

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Goldman Sachs billing to other entities for the use of its facilities. Attachment 1 at 4-5.[14] Therefore, it appears that the Committee reimbursed Goldman Sachs within a commercially reasonable time.

Nevertheless, the available information shows that the Committee did not pay the usual and normal charge for the office space that it leased. An invoice dated February 19, 1992, from Goldman Sachs to the Committee shows that the total rent for the Committee's use of Goldman Sachs' office space between October and December 1991 was $750. Attachments 7 at 4, 6, 8 at 9. An internal Goldman Sachs memorandum dated February 19, 1992 explains that the rental amount was "[b]ased on estimates provided by Ken Brody given his best judgment on the space he could have secured for Paul Carey: $30.00 per square foot for a 150-square foot office for two months." Attachment 7 at 6.

However, another internal Goldman Sachs memorandum dated January 21, 1992 states that the Committee owed Goldman Sachs $3,475 for rental of its office space based on rates given by an outside agency of $1,500 per month for a 150 square foot office and a one-time installation charge of $475 for furniture and telephone. Attachments 8 at 9 and 7 and 8. Yet another undated Goldman Sachs document contains writings from Ken Brody questioning the $3,475 rental charge. *Id.* at 4. Specifically, Mr. Brody states, in part, that the specified rental amount "is incorrect to a very substantial degree. It almost looks like a non-professional approach that is disguised to appear something other than it is. Please check with me." *Id.* An additional undated Goldman Sachs document contains writings from Mr. Brody that changes the office rental amount from

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[14] This Office notes that the Committee is not a client of Goldman Sachs. Notwithstanding this fact, this Office believes that Goldman Sachs' client billing provides comparable information that is helpful in determining whether Goldman Sachs billed the Committee within a "commercially reasonable time." *See supra* note 10.

Thus, not only does the evidence show that Mr. Brody reduced the rent charged to the Committee from $3,475 to $750, but Goldman Sachs' own documentation contains an estimate from an outside agency that comparable office space (with furniture and phone) in New York, New York in late 1991 leased for $1,500 per month with a one-time installation charge of $475. Attachment 8 at 8. Moreover, Mr. Brody provides no objective basis for his determination that the rental charge for the office space totaled $750. In fact, the only basis Mr. Brody used for his determination was his "best judgment." Attachment 7 at 6.

In addition, the $750 rental charge is actually less than the charge described in Goldman Sachs' February 19, 1992 invoice to the Committee. The documentation related to that invoice explained that the $750 charge was calculated at $30.00 per square foot for a 150 square foot office over the course of two months. Attachment 8 at 9. However, at $30 a square foot for a 150 square foot office, the Committee would have owed Goldman Sachs $4,500 per month, for a total of $9,000. The rental charge of $750 equals $2.50 a square foot, an amount that is significantly below the fair market value of comparable office space in New York, New York in late 1991.

Based upon the available information, it appears that the usual and normal charge for the office space in question was $3,475. This is based upon the documentation provided by Goldman Sachs which shows that an outside agency calculated the fair market value for the 150 square foot office space in question at $1,500 per month with a one-time installation charge of $475 for furniture and telephone. The Committee, however, paid Goldman Sachs a total of $750 for the office space in question. This resulted in a prohibited contribution from Goldman Sachs to the Committee in the amount of $2,725.

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office space in question. This resulted in a prohibited contribution from Goldman Sachs to the Committee in the amount of $2,725.

In addition to the office space, Goldman Sachs also invoiced the Committee for office related support services, including: $49.59 for word processing, $2,200 for photocopying, $84.48 for messenger services and $754.45 for telephone services. At this time, however, it is unclear whether the Committee paid the usual and normal rental charge for the use of these facilities or whether Goldman Sachs understated the value of these particular facilities when it invoiced the Committee, in much the same way that it did with the office space. Likewise, it is unclear whether the Committee's payment of $1,235 for the October 24, 1991 breakfast and $11,220.90 for the car service resulted in the usual and normal rental charge for the use of the particular facilities in question.[15]

Therefore, the Office of General Counsel recommends that the Commission find reason to believe that Goldman Sachs and Company violated 2 U.S.C. § 441b(a) by making a prohibited contribution to the Clinton for President Committee totaling at least $2,275. Additionally, this Office recommends that the Commission find reason to believe that the Committee, and J.L. "Skip" Rutherford, as treasurer, violated 2 U.S.C. § 441b(a) by accepting a prohibited contribution of at least $2,725 from Goldman Sachs and Company.

The Act also prohibits any corporate officer from consenting to the making of any contribution or expenditure by the corporation. It is unclear whether Mr. Brody was a corporate

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[15] We further note that, with regard to the breakfast, we do not know where this event was held, who may have attended it. Nor do we know the basis for the cost of $65 per person. The cost may have been based upon an invoice from an outside catering company or it may have been calculated based upon the cost of services provided by Goldman Sachs' own in-house food services. On the other hand, the $65 fee could have been based upon the cost of using a Goldman Sachs' meeting room. Likewise, it is unclear whether the car service that Messrs. Brody and Carey used was owned or leased by Goldman Sachs, as is required under the Commission's regulations. 11 C.F.R. § 114.9(e)(2).

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officer, however, as previously noted, *see supra* note 9, Goldman Sachs and Company is owned by Goldman Sachs L.P., and, according to a Dun and Bradstreet Report, Goldman Sachs and Company employs approximately 6,800 people, including the partners of Goldman Sachs L.P. This same Dun & Bradstreet report disclosed that Goldman Sachs and Company is managed by an oversight Committee that is comprised of various general partners from Goldman Sachs L.P. Thus, it appears that a general partner at Goldman Sachs L.P. would have the authority similar to that of a corporate officer at Goldman Sachs and Company, the corporate respondent in this matter. In fact, the available information shows that Mr. Brody arranged for the Committee to use Goldman Sachs' office space. In addition, it appears that Mr. Brody is the Goldman Sachs' official who reduced the amount of rent that would be charged to the Committee to an amount below the market value for the office space in question. Under these circumstances, it appears that Mr. Brody consented to the making of a contribution from Goldman Sachs' to the Committee. If he was acting as an officer of Goldman Sachs at the time he did this, then he may have violated 2 U.S.C. § 441b(a).

Accordingly, this Office recommends that the Commission find reason to believe that Kenneth Brody violated 2 U.S.C. § 441b(a) by consenting to the making of Goldman Sachs' contribution to the Committee.

Additionally, the Committee was required to report the debt that it owed to Goldman Sachs for the use of its facilities during the time that such debt was outstanding.

The total amount of such debt based upon the invoices that Goldman Sachs submitted to the Committee was $16,295. *See supra*

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at 5-6. In addition, the Committee was required to report the debt resulting from its use of Goldman Sachs' office space that resulted in $2,725 in prohibited contributions to the Committee. Although the actual value of the various Goldman Sachs facilities that the Committee used remains to be determined, it appears that the Committee should have reported a debt owed to Goldman Sachs of at least $19,020 ($16,295 + $2,725). *See supra* at 12-13.

Therefore, the Office of General Counsel further recommends that the Commission find reason to believe that the Clinton for President Committee, and J.L. "Skip" Rutherford, as treasurer, violated 2 U.S.C. § 434(b)(8) by failing to report debts totaling at least $19,020.

D. Apparent Facilitation of Contributions (MUR 3672)

1. Background and Responses to Factual and Legal Analyses

At the time of the Commission's reason-to-believe findings in MUR 3672, the available information showed that 65 Goldman Sachs partners contributed $55,800 on one of nine dates. Of this total, 29 contributions totaling $38,300 were received on December 13 and December 18, 1991. Based on the number and pattern of contributions, it appeared that an organized solicitation of high-level Goldman Sachs employees may have occurred and that this activity may have been undertaken by Goldman Sachs and in particular by one of its partners, Kenneth Brody, who served as a financial advisor to the Committee and who, as the subsequent audit of the Committee revealed, also arranged for the Committee's use of Goldman Sachs' facilities. Thus, it appeared that Goldman Sachs may have provided "something of value" to the Committee.[16]

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[16] At the time of the Commission's reason-to-believe findings the available information suggested that although Goldman Sachs was a partnership outside of the prohibitions of Section 441b, Goldman Sachs was nonetheless a federal contractor subject to the prohibition of Section 441c. Thus, the Commission found reason to believe that Goldman Sachs violated 2 U.S.C. § 441c. In addition, since Kenneth Brody presumably had knowledge of Goldman Sachs' status as a federal contractor, and since he may have been a fundraising agent for the Committee who conducted fundraising activity for the Committee, the Committee is charged with Mr. Brody's knowledge of Goldman Sachs' status as a federal contractor. Therefore, the Commission found that there was reason to believe that the

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a. **Kenneth Brody**

In his response to the Commission's reason-to-believe finding, Mr. Brody acknowledges that he solicited contributions from various Goldman Sachs' partners, but maintains that his efforts on behalf of the Committee were "individual and voluntary" and were not the result of "any organized, institutional solicitation, nor in any way centrally coordinated or managed by" Goldman Sachs. Attachment 3 at 1-3. Mr. Brody further states that although he solicited many Goldman Sachs partners, he did not solicit each partner who contributed to the Committee nor did he "explicitly or implicitly coerce his partners [who he did solicit] to contribute." *Id.* at 3. Mr. Brody also acknowledges that he solicited contributions from many other sources, including partners in other investment banking firms, law firms and other institutions throughout New York, and that such solicitations were based on his "extensive network of personal contacts both inside and outside Goldman Sachs based on years of experience as a prominent banker in New York financial circles." *Id.* at 3.

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Sachs may have acted as a conduit by facilitating in the making of the various contributions. In light of the firm's possible orchestration of the contributions, and since the contributions themselves may be chargeable to Goldman Sachs' contribution limit, *see* 11 C.F.R. § 110.6(d)(2), the Commission found reason to believe that Goldman Sachs violated 2 U.S.C. § 441a(a)(8), 11 C.F.R. § 110.6(c)(1) and 2 U.S.C. § 441a(a)(1)(A). It also appeared that the Committee was aware that Goldman Sachs and Ken Brody may have been fundraising agents for the Committee. However, the Committee did not disclose the receipt of the contributions in question as coming from a conduit. Additionally, the Committee may have accepted excessive contributions from Goldman Sachs resulting from the facilitation of contributions. Therefore, the Commission found reason to believe that Committee and its treasurer violated 11 C.F.R. § 110.6(c)(2) and 2 U.S.C. § 441a(f).

Based upon the investigation conducted thus far, it now appears that Goldman Sachs is a corporation subject to the prohibition set forth at Section 441b. *See supra* note 9. Thus, the contribution limitations at Section 441a of the Act as well as the conduit reporting requirements at Section 110.6 of the Commission's regulations would not apply here. In addition, information obtained from the United States General Services Administration as well as from the Respondents in this matter shows that Goldman Sachs was not a federal contractor during 1991 or 1992. Thus, the prohibition at Section 441c of the Act would not apply here.

b. Goldman Sachs

Goldman Sachs also acknowledges that Mr. Brody solicited the contributions in question and maintains that his activities constitute volunteer political activities that did not involve Goldman Sachs. Attachment 2 at 2. Goldman Sachs explains that as of November 29, 1991, Mr. Brody became a limited partner at Goldman, Sachs, L.P., the holding company for Goldman Sachs and Company, and as such, he was a passive investor who did not have any day-to-day involvement in the operation of Goldman Sachs. *Id.* Thus, Goldman Sachs claims that Mr. Brody's fundraising activities for the Committee were conducted in his personal capacity, at his own discretion and did not interfere with the normal amount of work he was expected to perform. *Id.* at 2-3. Goldman Sachs further states Mr. Brody solicited only certain partners at Goldman Sachs and other individuals outside of Goldman Sachs whom he personally knew. The Committee maintains that this type of fundraising is reflective of an individual's efforts to raise funds rather than any systematic approach to fundraising that Goldman Sachs might have instigated which, presumably would have involved the solicitation of each partner at the firm. *Id.*

c. Committee

The Committee maintains that it "conducted no solicitation or fundraising activity specifically directed to Goldman Sachs or based upon organized efforts by Goldman, Sachs management" and was unaware of any organized fundraising or solicitation activities "by Goldman Sachs to its partners or employees." Attachment 4 at 3. The Committee acknowledges that several Goldman Sachs partners, including Kenneth Brody, supported Bill Clinton's candidacy and assisted the campaign, but argues that "partners and employees are permitted, on an individual basis and consistent with the Commission's guidelines on use of time and facilities, to enlist the

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financial and other support of coworkers for a presidential campaign." *Id.* at 4-7. The Committee also distinguishes the activities at issue in the matter at hand from those at issue in AO 1986-4 as well as MUR 1690, which were cited in the Factual and Legal Analysis that was provided to the Committee. According to the Committee the matter at hand involved no "centrally directed plan or program by Goldman, Sachs to encourage or direct contributions to the Clinton campaign" nor is the Committee aware of "any communications by Goldman, Sachs partners, acting as such, encouraging contributions by subordinates." *Id.* at 7-8.

2. Discussion

As previously noted, the Commission's regulations permit stockholders and employees to make an occasional, isolated or incidental use of the corporation's facilities for individual volunteer activity in connection with a federal election. 11 C.F.R. § 114.9(a). However, corporations may not step beyond this line to actually collecting contribution checks or otherwise facilitating the making of contributions to a Federal candidate. *See* Advisory Opinion 1986-4 (systematic solicitation and collection of corporation's executives for candidates of the corporation's choosing is a violation of Section 441b(a)) and MUR 1690 (solicitation of company executives by another executive that entailed assistance from other lower echelon employees was a violation of Section 441b(a)).

The evidence in this matter is not without question. The responses, while denying any concerted effort on behalf of Goldman Sachs to solicit contributions to the Committee, provide few details on how the solicitations were made. For example, it is unclear whether Mr. Brody received assistance from Goldman Sachs staff in his solicitation efforts. It is possible that he received assistance from other Goldman Sachs' staff and that their efforts were not voluntary.

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Moreover, the details of how the contributions were actually made were not explained. For example, it is unclear whether Mr. Brody solicited and collected the contributions during working hours.

Nonetheless, the timing and pattern of the contributions when viewed in light of the substantial support Goldman Sachs' provided to the Committee by allowing the Committee to use its facilities during the same time period supports the view that Mr. Brody did not act in an individual capacity when he engaged in the fundraising efforts at issue here. Shortly before Mr. Brody solicited the contributions in question, he arranged for the Committee's use of Goldman Sachs' office space and other facilities. In fact, the evidence shows that Mr. Brody was the individual at Goldman Sachs who instructed other employees to reduce the rent ultimately charged to the Committee to an amount below the usual and normal charge for such space. His actions in this regard, acquiesced in by Goldman Sachs, contradict the assertion that Mr. Brody was a limited partner with merely a passive investment interest. Rather, he appears to have exercised control over Goldman Sachs' daily operations with respect to the Committee. Given Mr. Brody's role as the Committee's fundraising representative for the New York area, the Committee's claims purporting to be unaware of his specific fundraising efforts are irrelevant.

In short, it appears that Mr. Brody acted as a representative of Goldman Sachs when he solicited contributions on behalf of the Committee. This resulted in a prohibited contribution from Goldman Sachs to the Committee. Although additional discovery is needed to determine the extent of this contribution, we know that 65 Goldman Sachs' partners contributed a total of $ 65,800 in contributions to the Committee.

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Therefore, this Office recommends that the Commission find reason to believe that Goldman Sachs and Company and the Clinton for President Committee and Robert A. Farmer, as treasurer, violated 2 U.S.C. § 441b(a).

In addition, it appears that Mr. Brody is the Goldman Sachs' officer who consented to the facilitation efforts that resulted in the prohibited contribution at issue here. In fact, the evidence shows that Mr. Brody is the Goldman Sachs' official who apparently solicited the various contributions at issue in this matter, which, as noted above, were made on the same dates in December 1991.

Accordingly, this Office recommends that the Commission find reason to believe that Kenneth Brody violated 2 U.S.C. § 441b(a) by consenting to the making of Goldman Sachs contribution to the Committee.

IV. CONCLUSION AND DISCUSSION OF CONCILIATION

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V. RECOMMENDATIONS

A. Recommendations in Light of FEC v. NRA

1. Find reason to believe that the Clinton for President Committee and Robert A. Farmer, as treasurer, violated 2 U.S.C. §§ 441a(f) and 441c and 11 C.F.R. § 110.6(c)(2);

2. Find reason to believe that Goldman, Sachs and Company violated 2 U.S.C. §§ 441a(a)(1)(A), 441a(a)(8), and 441c and 11 C.F.R. § 110.6(c)(1);

3. Find reason to believe that Kenneth Brody violated 2 U.S.C. § 441c.

4. Approve the document subpoenas for the Clinton for President Committee, and Goldman, Sachs and Company;

5. Approve the factual and legal analyses for Goldman, Sachs and Company, Kenneth Brody, and the Clinton for President Committee that were attached to the September 29, 1992 report in MUR 3672;

6. Approve the appropriate letters as a result of the September 29, 1992 report in MUR 3672;

B. Recommendations in MUR 4183

1. Find reason to believe that Goldman, Sachs and Company violated 2 U.S.C. § 441b(a) by making prohibited contributions to the Clinton for President Committee totaling at least $58,525;

2. Find reason to believe that Kenneth Brody violated 2 U.S.C. § 441b(a) by consenting to the making of prohibited contributions from Goldman Sachs to the Clinton for President Committee totaling at least $58,525;

3. Find reason to believe that the Clinton for President Committee, and J.L. "Skip" Rutherford, as treasurer, violated 2 U.S.C. § 441b(a) by accepting prohibited contributions from Goldman, Sachs and Company totaling at least $58,525;

4. Find reason to believe that the Clinton for President Committee, and J.L. "Skip" Rutherford, as treasurer, violated 2 U.S.C. § 434(b)(8);

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5. Enter into conciliation with Clinton for President Committee and J.L. "Skip" Rutherford, as treasurer, Goldman, Sachs and Company and Kenneth Brody prior to a finding of probable cause to believe;

6. Approve the attached proposed Conciliation Agreements and the appropriate letters.

11/29/96
Date

Lawrence M. Noble
General Counsel

Staff assigned: Craig D. Reffner

Attachments

1. Audit Division Referral for Goldman Sachs dated January 10, 1995.
2. Goldman Sachs Response to MUR 3672 dated December 9, 1992.
3. Kenneth Brody Response to MUR 3672 dated December 9, 1992.
4. Clinton for President Committee Response to the Final Audit Report dated February 22, 1995.
5. Clinton for President Response to MUR 3672 dated January 29, 1993.
6. Letter from the State of New York, Department of State, dated September 11, 1995.
7. Committee Documents
8. Goldman Sachs and Company Documents
9. Dun and Bradstreet, Inc. Report for Goldman Sachs and Company dated June 17, 1996.
10. Dun and Bradstreet, Inc. Report for The Goldman Sachs Group, L.P. dated June 28, 1996.
11. Proposed Conciliation Agreements (3)
12. Proposed Factual and Legal Analyses (3)

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FEDERAL ELECTION COMMISSION
Washington, DC 20463

MEMORANDUM

TO: LAWRENCE M. NOBLE
GENERAL COUNSEL

FROM: MARJORIE W. EMMONS/BONNIE ROSS
COMMISSION SECRETARY

DATE: DECEMBER 10, 1996

SUBJECT: MUR 4183 - General Counsel's Report dated 12/2/96.

The above-captioned document was circulated to the Commission on **Tuesday, December 03, 1996**.

Objection(s) have been received from the Commissioner(s) as indicated by the name(s) checked below:

Commissioner Aikens	XXX
Commissioner Elliott	XXX
Commissioner McDonald	XXX
Commissioner McGarry	_
Commissioner Thomas	XXX

This matter will be placed on the meeting agenda for

Tuesday, January 07, 1997.

Please notify us who will represent your Division before the Commission on this matter.

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BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of	)	
	)	MUR 4183
Clinton for President Committee and J.L. "Skip" Rutherford, as treasurer;	)	
Goldman Sachs and Company;	)	
Kenneth Brody	)	

CERTIFICATION

I, Marjorie W. Emmons, recording secretary for the Federal Election Commission executive session on January 7, 1997, do hereby certify that the Commission decided by a vote of 5-0 to defer action on the General Counsel's November 29, 1996 report on MUR 4183 until the Commission has received the General Counsel's report on the statute of limitations issue pursuant to the court decision in FEC v. Larry R. Williams.

Commissioners Aikens, Elliott, McDonald, McGarry, and Thomas voted affirmatively for the decision.

Attest:

1-8-97
Date

Marjorie W. Emmons
Marjorie W. Emmons
Secretary of the Commission

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AGENDA DOCUMENT No. 97-15

BEFORE THE FEDERAL ELECTION COMMISSION

RECEIVED
FEDERAL ELECTION
COMMISSION
SECRETARIAT
MAR 4 3 10 PM '97

In the Matter of	)	28 U.S.C. § 2462
	)	Statute of Limitations

SENSITIVE

MAR 11 1997

GENERAL COUNSEL'S REPORT

EXECUTIVE SESSION

MAR 11 FEDERAL ELECTION COMMISSION SECRETARIAT

I. INTRODUCTION

On December 26, 1996, the United States Court of Appeals for the Ninth Circuit issued a decision in *Federal Election Commission v. Williams*, No. 95-55320 (9th Cir. Filed Dec. 26, 1996). That decision held, *inter alia*, that the five-year statute of limitations for filing suit to enforce a civil penalty established at 28 U.S.C. § 2462 applies not only to judicial proceedings to enforce civil penalties already imposed, but also to proceedings seeking the imposition of these penalties, including the Commission's law enforcement suits under 2 U.S.C. § 437g(a)(6).

As noted in the memorandum regarding the filing of a petition for rehearing, the Office of General Counsel believes that the Commission should accept the court's core application of 28 U.S.C. § 2462 to its enforcement suits as the current state of the law. *See* Memorandum to the Commission, *Petition for Rehearing, and Suggestion for Rehearing En Banc, In Federal Election Commission v. Williams*, dated January 10, 1997. As also noted, however, we have sought further review of the court's decision

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relating to issues of equitable relief and equitable tolling.[1] *Id. See also FEC v. NRSC*, 877 F. Supp. 15, 21 (D.D.C. 1995).

This General Counsel's Report discusses the impact of 28 U.S.C. § 2462 on the Office of General Counsel's enforcement caseload.[2] This Report describes the active and inactive enforcement matters which are potentially affected by the application of the five-year statute of limitations under 28 U.S.C. § 2462, and makes recommendations for each of the potentially affected matters. This Report addresses all cases where the statute of limitations potentially expires, or partially expires, by the end of calendar year 1997 (December 31, 1997).

The Office of General Counsel is recommending that

18 matters be closed at this time. By doing so, this Office believes that it will be able to devote more resources toward more recent activity, particularly those matters that arose from the 1996 election cycle. To avoid potential statute of limitations problems in the future, this Office will track its cases against the relevant statute of limitations and will perform regular reviews of its caseload. In addition, this Office will be making periodic recommendations to the Commission with respect to matters that may be affected by the application of the five-year statute of limitations under 28 U.S.C. § 2462.

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[1] Pending the court's decision, issues such as equitable relief, equitable tolling and ongoing violations, will remain open. In some instances, although issues such as equitable tolling and equitable relief may still be viable, this Office has cited other factors to support our recommendation to close the matter. *See, e.g.*, cases involving apparent violations of 2 U.S.C. § 441a(f).

[2] This Report addresses enforcement matters assigned to the Public Financing, Ethics & Special Projects ("PFESP") and Enforcement areas.

III. RECOMMENDATIONS

The Office of General Counsel recommends that the Commission:

A. Decline to open a MUR, close the file, and approve the appropriate letters in Pre-MUR 344.

B. Take no action, close the file and approve the appropriate letters in the following matters:

1. MUR 4267
2. MUR 4370
3. MUR 4392
4. MUR 4432
5. MUR 4468
6. MUR 4591
7. MUR 4614

C. Take no further action, close the file and approve the appropriate letters in the following matters:

1. MUR 3351
2. MUR 3571
3. MUR 3582
4. MUR 3586
5. MUR 3838
6. MUR 3841
7. MUR 3969
8. MUR 4091
9. MUR 4183
10. MUR 4209

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3/4/97
Date

Lawrence M. Noble
General Counsel

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of	)	
	)	Agenda Document #X97-15
28 U.S.C. § 2462,	)	
Statute of Limitations	)	

CERTIFICATION

I, Marjorie W. Emmons, recording secretary for the Federal Election Commission executive session on March 11, 1997, do hereby certify that the Commission took the following actions with respect to Agenda Document #X97-15:

1. Decided by a vote of 5-0 to -

   A. Decline to open a MUR, close the file, and approve the appropriate letters in Pre-MUR 344.

   B. Take no action, close the file, and approve the appropriate letters in the following matters:

      1. MUR 4267;
      2. MUR 4370;
      3. MUR 4392;
      4. MUR 4432;
      5. MUR 4468;
      6. MUR 4591;
      7. MUR 4614.

(continued)

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C. Take no further action, close the file, and approve the appropriate letters in the following matters:

1. MUR 3351;
2. MUR 3571;
3. MUR 3582;
4. MUR 3586;
5. MUR 3838;
6. MUR 3841;
7. MUR 3969;
8. MUR 4091;
9. MUR 4183;
10. MUR 4209.

Commissioners Aikens, Elliott, McDonald, McGarry, and Thomas voted affirmatively for the decision.

(continued)

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Attest:

3-12-97
Date

Marjorie W. Emmons
Secretary of the Commission

FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

April 2, 1997

Donald J. Simon, Esq.
Sonosky, Chambers, Sachse & Endreson
1250 Eye Street, N.W.
Suite 1000
Washington D.C. 20005

RE: MUR 4183 (formerly known as MUR 3672)
Kenneth Brody

Dear Mr. Simon:

On November 4, 1992, your client, Kenneth Brody, was notified that the Federal Election Commission found reason to believe that he violated 2 U.S.C. § 441c. On December 9, 1992, you submitted a response on behalf of your client to the Commission's reason-to-believe finding. Subsequently, on December 29, 1994, the Audit Division referred MUR 4172 to the Office of the General Counsel for possible enforcement action. That referral emanated from an audit of the Clinton for President Committee undertaken pursuant to 26 U.S.C. § 9033(a)(3). Thereafter, MUR 3672 and MUR 4172 were merged into MUR 4183.

After considering the circumstances of this case, including but not limited to the applicability of the relevant statute of limitations to some or all of the activity at issue in this matter, the Commission exercised its prosecutorial discretion to take no further action against Kenneth Brody on March 11, 1997. The Commission reached this determination objectively based upon the information on the record as a whole, the significance of the case relative to others, the amount of time that has elapsed, and other relevant factors. A brief narrative describing the basis for the Commission's decision is attached. Accordingly, the Commission closed its file in this matter on March 11, 1997.

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The confidentiality provisions at 2 U.S.C. § 437g(a)(12) no longer apply and this matter is now public. In addition, although the complete file must be placed on the public record within 30 days, this could occur at any time following certification of the Commission's vote. If you wish to submit any factual or legal materials to appear on the public record, please do so as soon as possible. While the file may be placed on the public record before receiving your additional materials, any permissible submissions will be added to the public record upon receipt.

The Commission reminds you that facilitating the making of contributions to a federal candidate or political committee in connection with a federal election is a violation of the Federal Election Campaign Act of 1971, as amended.

If you have any questions, please contact me at (202) 219-3690.

Sincerely,

Craig D. Reffner
Attorney

Enclosure
Narrative

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MUR 4183 (Clinton for President/Goldman Sachs and Company)
(audit referral and directive 6) ('92 cycle)
PFESP Docket (Inactive)

The Commission, using its Directive 6 procedures, opened this matter on April 28, 1992, based upon Goldman Sachs and Company's apparent facilitation of contributions on behalf of the Clinton for President Committee. On October 20, 1992, the Commission found reason to believe that various violations occurred and issued subpoenas for documents. After the responses to the Commission's subpoenas and findings were received, this case was deactivated in May 1993. Thereafter, this matter was reactivated in July 1994 and subsequently transferred to PFESP in January 31, 1995, at which time, it was merged with the Audit referral which was received January 11, 1995. That Audit referral concerned the Committee's use of Goldman Sachs' facilities during the 1992 election cycle. This matter was thereafter deactivated in PFESP on February 1, 1997.

This Office recommends that the Commission exercise its prosecutorial discretion and take no further action, and close the file with respect to this matter. The activities at issue in this matter occurred between October and December 1991. Thus, based upon the decision in *Williams*, the Commission may be precluded from collecting a civil penalty for these violations.

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FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

April 2, 1997

Geoffrey F. Aronow, Esq.
Kathleen M.H. Wallman, Esq.
Arnold & Porter
555 12th Street, N.W.
Washington D.C. 20004

RE: MUR 4183 (formerly known as MUR 3672)
Goldman, Sachs & Company

Dear Mr. Aronow and Ms. Wallman:

On November 4, 1992, your clients were notified that the Federal Election Commission (the "Commission") found reason to believe that Goldman, Sachs & Company violated 2 U.S.C. §§ 441a(a)(1)(A), 441a(a)(8) and 441c and 11 C.F.R. § 110.6(c)(1) in connection with the apparent facilitation of contributions to the Clinton for President Committee. On December 9, 1992, you submitted a response on behalf of your clients to the Commission's reason-to-believe findings and subpoena. Subsequently, on December 29, 1994, the Audit Division referred MUR 4172 to the Office of the General Counsel for possible enforcement action. That referral emanated from an audit of the Clinton for President Committee undertaken pursuant to 26 U.S.C. § 9033(a)(3). Thereafter, MUR 3672 and MUR 4172 were merged into MUR 4183.

After considering the circumstances of this case, including but not limited to the applicability of the relevant statute of limitations to some or all of the activity at issue in this matter, the Commission exercised its prosecutorial discretion to take no further action against Goldman, Sachs & Company on March 11, 1997. The Commission reached this determination objectively based upon the information on the record as a whole, the significance of the case relative to others, the amount of time that has elapsed, and other relevant factors. A brief narrative describing the basis for the Commission's decision is attached. Accordingly, the Commission closed its file in this matter on March 11, 1997.

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The confidentiality provisions at 2 U.S.C. § 437g(a)(12) no longer apply and this matter is now public. In addition, although the complete file must be placed on the public record within 30 days, this could occur at any time following certification of the Commission's vote. If you wish to submit any factual or legal materials to appear on the public record, please do so as soon as possible. While the file may be placed on the public record before receiving your additional materials, any permissible submissions will be added to the public record upon receipt.

The Commission reminds you that facilitating the making of contributions to a federal candidate or political committee in connection with a federal election is a violation of the Federal Election Campaign Act of 1971, as amended.

If you have any questions, please contact me at (202) 219-3690.

Sincerely,

Craig D. Reffner
Attorney

Enclosure
Narrative

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MUR 4183 (Clinton for President/Goldman Sachs and Company)
(audit referral and directive 6) ('92 cycle)
PFESP Docket (Inactive)

The Commission, using its Directive 6 procedures, opened this matter on April 28, 1992, based upon Goldman Sachs and Company's apparent facilitation of contributions on behalf of the Clinton for President Committee. On October 20, 1992, the Commission found reason to believe that various violations occurred and issued subpoenas for documents. After the responses to the Commission's subpoenas and findings were received, this case was deactivated in May 1993. Thereafter, this matter was reactivated in July 1994 and subsequently transferred to PFESP in January 31, 1995, at which time, it was merged with the Audit referral which was received January 11, 1995. That Audit referral concerned the Committee's use of Goldman Sachs' facilities during the 1992 election cycle. This matter was thereafter deactivated in PFESP on February 1, 1997.

This Office recommends that the Commission exercise its prosecutorial discretion and take no further action, and close the file with respect to this matter. The activities at issue in this matter occurred between October and December 1991. Thus, based upon the decision in *Williams*, the Commission may be precluded from collecting a civil penalty for these violations.

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FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

April 2, 1997

Lyn Utrecht, Esq.
Eric Kleinfeld, Esq.
Oldaker, Ryan, Phillips & Utrecht
818 Connecticut Avenue, N.W.
Suite 1100
Washington, D.C. 20006

RE: MUR 4183 (formerly known as MUR 3672)
Clinton for President Committee and J.L. "Skip" Rutherford, as treasurer

Dear Ms. Utrecht and Mr. Kleinfeld:

On November 4, 1992, your clients were notified that the Federal Election Commission found reason to believe that the Clinton for President Committee and its prior treasurer, Robert A. Farmer, violated 2 U.S.C. §§ 441a(f) and 441c and 11 C.F.R. § 110.6(c)(2). On January 29, 1993, your clients' former counsel, L. Anthony Sutin, Esq., submitted a response on behalf of the Committee and Mr. Farmer to the Commission's reason-to-believe findings and subpoena. Subsequently, on December 29, 1994, the Audit Division referred MUR 4172 to the Office of the General Counsel for possible enforcement action. That matter emanated from an audit of the Clinton for President Committee pursuant to 26 U.S.C. § 9033(a)(3). Thereafter, MUR 3672 and MUR 4172 were merged into MUR 4183.

After considering the circumstances of this case, including but not limited to the applicability of the relevant statute of limitations to some or all of the activity at issue in this matter, the Commission exercised its prosecutorial discretion to take no further action against the Clinton for President Committee and its current treasurer, J.L. "Skip" Rutherford on March 11, 1997. The Commission reached this determination objectively based upon the information on the record as a whole, the significance of the case relative to others, the amount of time that has elapsed, and other relevant factors. A brief narrative describing the basis for the Commission's decision is attached. Accordingly, the Commission closed its file in this matter on March 11, 1997.

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The confidentiality provisions at 2 U.S.C. § 437g(a)(12) no longer apply and this matter is now public. In addition, although the complete file must be placed on the public record within 30 days, this could occur at any time following certification of the Commission's vote. If you wish to submit any factual or legal materials to appear on the public record, please do so as soon as possible. While the file may be placed on the public record before receiving your additional materials, any permissible submissions will be added to the public record upon receipt.

The Commission reminds you that receiving contributions that have been facilitated to a political committee or candidate by a corporation, partnership or government contractor in connection with a federal election is a violation of the Federal Election Campaign Act of 1971, as amended.

If you have any questions, please contact me at (202) 219-3690.

Sincerely,

Craig D. Reffner
Attorney

Enclosure
Narrative

cc: L. Anthony Sutin, Esq.
Hogan & Hartson
Columbia Square
555 Thirteenth Street, N.W.
Washington D.C. 20004-1109

9704380347 4

MUR 4183 (Clinton for President/Goldman Sachs and Company)
(audit referral and directive 6) ('92 cycle)
PFESP Docket (Inactive)

The Commission, using its Directive 6 procedures, opened this matter on April 28, 1992, based upon Goldman Sachs and Company's apparent facilitation of contributions on behalf of the Clinton for President Committee. On October 20, 1992, the Commission found reason to believe that various violations occurred and issued subpoenas for documents. After the responses to the Commission's subpoenas and findings were received, this case was deactivated in May 1993. Thereafter, this matter was reactivated in July 1994 and subsequently transferred to PFESP in January 31, 1995, at which time, it was merged with the Audit referral which was received January 11, 1995. That Audit referral concerned the Committee's use of Goldman Sachs' facilities during the 1992 election cycle. This matter was thereafter deactivated in PFESP on February 1, 1997.

This Office recommends that the Commission exercise its prosecutorial discretion and take no further action, and close the file with respect to this matter. The activities at issue in this matter occurred between October and December 1991. Thus, based upon the decision in *Williams*, the Commission may be precluded from collecting a civil penalty for these violations.

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FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

THIS IS THE END OF MUR # 4183

DATE FILMED 5-9-97 CAMERA NO. 4

CAMERAMAN JM D

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FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

Date: 5/19/97

✓ Microfilm

_____ Press

THE ATTACHED MATERIAL IS BEING ADDED TO CLOSED MUR 4183

97043805096

Reffner

FEDERAL ELECTION COMMISSION
Washington, DC 20463

Date: 4-28-97

MEMORANDUM

TO: CReffner

FROM: The Docket Assistant

CLOSED

SUBJECT: Returned Letters

The following MUR 4183 letter was returned. If you wish to resend the letter, Please *furnish ADDRESSED ENVELOPE(s)* and/or green card(s), also, please write the corrected address in the space below. This memo will be placed in the permanent file.

Old Address:

Donald J. Simon, Esq.
2030 M St N.W.
Washington, D.C. 20036

New Address: Sonosky, Chambers
1250 Eye St NW
Suite 1000
WASH DC 20005

Date re-mailed: 5/2/97

NOTES:

97043805097

FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

May 12, 1997

Sonosky, Chambers, Sachse & Endreson
1250 Eye Street, N.W.
Suite 1000
Washington D.C. 20005

RE: MUR 4183 (formerly known as MUR 3672)
Kenneth Brody

Dear Madam or Sir:

On November 4, 1992, your client, Kenneth Brody, was notified that the Federal Election Commission found reason to believe that he violated 2 U.S.C. § 441c. On December 9, 1992, you submitted a response on behalf of your client to the Commission's reason-to-believe finding. Subsequently, on December 29, 1994, the Audit Division referred MUR 4172 to the Office of the General Counsel for possible enforcement action. That referral emanated from an audit of the Clinton for President Committee undertaken pursuant to 26 U.S.C. § 9033(a)(3). Thereafter, MUR 3672 and MUR 4172 were merged into MUR 4183.

After considering the circumstances of this case, including but not limited to the applicability of the relevant statute of limitations to some or all of the activity at issue in this matter, the Commission exercised its prosecutorial discretion to take no further action against Kenneth Brody on March 11, 1997. The Commission reached this determination objectively based upon the information on the record as a whole, the significance of the case relative to others, the amount of time that has elapsed, and other relevant factors. A brief narrative describing the basis for the Commission's decision is attached. Accordingly, the Commission closed its file in this matter on March 11, 1997.

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The confidentiality provisions at 2 U.S.C. § 437g(a)(12) no longer apply and this matter is now public. In addition, although the complete file must be placed on the public record within 30 days, this could occur at any time following certification of the Commission's vote. If you wish to submit any factual or legal materials to appear on the public record, please do so as soon as possible. While the file may be placed on the public record before receiving your additional materials, any permissible submissions will be added to the public record upon receipt.

The Commission reminds you that facilitating the making of contributions to a federal candidate or political committee in connection with a federal election is a violation of the Federal Election Campaign Act of 1971, as amended.

If you have any questions, please contact me at (202) 219-3690.

Sincerely,

Craig D. Reffner
Attorney

Enclosure
Narrative

cc: Donald J. Simon, Esq.
c/o Common Cause
1250 Conn. Ave. N.W.
Suite 600
Wasington D.C. 20036

9704380509 9

MUR 4183 (Clinton for President/Goldman Sachs and Company)
(audit referral and directive 6) ('92 cycle)
PFESP Docket (Inactive)

The Commission, using its Directive 6 procedures, opened this matter on April 28, 1992, based upon Goldman Sachs and Company's apparent facilitation of contributions on behalf of the Clinton for President Committee. On October 20, 1992, the Commission found reason to believe that various violations occurred and issued subpoenas for documents. After the responses to the Commission's subpoenas and findings were received, this case was deactivated in May 1993. Thereafter, this matter was reactivated in July 1994 and subsequently transferred to PFESP in January 31, 1995, at which time, it was merged with the Audit referral which was received January 11, 1995. That Audit referral concerned the Committee's use of Goldman Sachs' facilities during the 1992 election cycle. This matter was thereafter deactivated in PFESP on February 1, 1997.

This Office recommends that the Commission exercise its prosecutorial discretion and take no further action, and close the file with respect to this matter. The activities at issue in this matter occurred between October and December 1991. Thus, based upon the decision in *Williams*, the Commission may be precluded from collecting a civil penalty for these violations.

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