FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

THIS IS THE BEGINNING OF MUR # 4137

DATE FILMED 11-22-85 CAMERA NO. _____

CAMERAMAN JMH

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★ DEMOCRATIC
★ CONGRESSIONAL
★ CAMPAIGN COMMITTEE

Vic Fazio, CA
Chairman

RECEIVED
FEDERAL ELECTION
COMMISSION
MAIL ROOM
7 10 35 AM '94

November 4, 1994 MUR 4137

Federal Election Commission
999 E Street, N.W.
Washington, D.C. 20463

Dear Commissioners:

The DCCC files this complaint charging violations of the Federal Election Campaign Act of 1971, as amended ("FECA" or the "Act") 2 U.S.C. $$ 431 et seq., and related regulations of the Federal Election Commission ("FEC"), 11 C.F.R. $$100.1 et seq., by A. John Elliot & Elliot in '94 (the "Committee") (referred to hereafter as "Respondents").

The FEC must investigate Respondents. There is now a clear pattern of failing to adequately report to the public the campaign activities of A. John Elliot and a clear disregard for federal election law. This pattern indicates a failing considerably more serious than "sloppy" reporting; nor can it be attributed to simple commonplace administrative error.

Respondents have violated the Act by failing to file timely a 48 hour notice of contributions in the pre-election period. In addition, they have accepted excessive contributions as well as corporate contributions. And, although some reports have been filed on time, the information provided is inaccurate and incomplete, despite consistent requests from the Federal Election Commission for clarification and additional information.

The FEC should take all necessary steps to correct this situation to ensure that the activities of the Committee have been conducted in compliance with the FECA and FEC regulations by conducting an audit to ensure that the public record accurately reflects the activities of the Committee.

9 5 0 4 3 6 9 4 3 8 2

430 SOUTH CAPITOL STREET • WASHINGTON, D.C. 20003 • (202) 863-1500

CONTRIBUTIONS TO THE DEMOCRATIC CONGRESSIONAL CAMPAIGN COMMITTEE ARE NOT TAX DEDUCTIBLE

Paid for by the DCCC. The DCCC is a multi-candidate Committee. FEC Ld. C00000935

EVIDENCE OF VIOLATIONS

1. INADEQUATE FILINGS. When reports have been filed by the Respondents, they do not comply with the requirements for clear, full, disclosure of the activities of the Committee. Vital, required information is inaccurately and inadequately disclosed. The public record reflects several notices from the FEC about deficiencies on reports filed by the Committee. These deficiencies are not insignificant. The following is a partial list of the problems on these reports:

* An inadequate disclosure of contributor information; more than 20% of contributors giving an aggregate year-to-date of more than $200 did not contain complete occupation and employer information. In addition there is no indication of any best efforts to obtain the information;

* A failure to attribute contributions to one spouse or the other on the report; as a result, there are at least 5 instances of potentially excessive contributions. Without correctly attributing these contributions, it is impossible to determine whether the Respondents are accepting contributions that fall within federal election limit, or if they are accepting illegal, excessive contributions;

* An inadequate disclosure of expenditure information;

* The failure to accurately breakdown contribution totals of unitemized and itemized contributions;

* Inaccurate summary totals in Column B on the summary pages in 1994 reports;

* Failure to list PAC Contributions on a separate schedule;

* Failure to file reports with the Rhode Island Secretary of State in a timely manner.

Respondents consistently fail to respond to the Commission's notifications of these deficiencies. When they do respond, the response is often incomplete or inaccurate. The initial filing of reports with these problems is of sufficient significance, standing alone, to warrant Commission investigation. By failing to make adequate filing with FEC, the Respondents have shown a clear disregard for campaign finance laws.

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2. FAILURE TO FILE 48 HOUR CONTRIBUTION NOTICES. As of the date of this complaint, the Committee has failed to file timely at least one 48 Hour Notice of a contribution received during the pre-primary election period. A 48 hour notice is an extremely important disclosure report, since its content is likely to have an impact on the outcome of the election. By failing to file in a timely manner, Respondents avoid any potential controversy over the activities of the Committee.

A notice filed on 9/8/94, a full 8 days from the date of receipt for one of the contributions listed was incomplete an inadequate. The names of two individuals were listed as giving one contribution; no attempt to attribute the contribution to one person or the other was made. In addition, no occupation was listed with the contribution, and there was no indication of any best efforts to obtain the missing information.

By failing to comply with 48 hour filing regulations, the Respondents have shown a clear disregard for campaign finance laws.

3. EXCESSIVE CONTRIBUTIONS. A second contribution listed on the 9/8/94 48 Hour Notice of Contributions, while filed in a timely manner, is an excessive contribution and violates the FECA. Added to other contributions made toward the 1994 election, the total amount received from Peter Flanigan by Elliot in '94 is $3000, $1000 toward the 1994 primary election, and $2000 toward the 1994 general election. This constitutes an excessive contribution.

A contribution made on 8/23/94 constitutes a second excessive contribution. Added to other contributions made toward the 1994 election, the total amount received from Barbara Casperson by Elliot in '94 is $2300, $1000 toward the primary election, and $1300 toward the general election. This constitutes a second excessive contribution.

Most likely, these excessive contributions have already been spent to influence the outcome of the election. By accepting and spending excessive contributions, the Respondents have displayed a clear disregard for campaign finance laws.

4. CORPORATE CONTRIBUTIONS. On 6/6/94 the Respondents accepted a contribution for $230 from Bradford Sportsmen's Club et al, an organization which was incorporated in the State of Rhode Island in June of 1989. Most likely, this contribution has already been spent to influence the outcome of the election,. By accepting and spending an illegal contribution, Elliot in '94 again shows a disregard for campaign finance laws.

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DISCUSSION

Respondents have raised over $190,000 in a 12 month period. These funds are more than enough to hire professional assistance to attend to its legal obligation to publicly disclose its activities, track its receipts to avoid excessive and illegal contributions, and file timely and accurate reports.

The Commission has been required to request additional information from the Respondents 6 times in a 5 month period. When the Committee responded to these requests, the information was inaccurate and incomplete.

The public record of the Elliot in '94 Committee shows a complete disregard for the need to comply with federal election law. The result is that the public record of the Elliot campaign has been left in a confused, inaccurate and incomplete state. This situation must be taken seriously and must be remedied.

CONCLUSION

On the basis of the foregoing, the DCCC requests that the FEC:

(1) conduct a prompt and immediate investigation, including an audit, of the facts stated in this complaint;

(2) enter into a prompt conciliation with the Respondents to remedy the violations alleged in this complaint and, more importantly, to ensure that no further violation occur; and

(3) impose any and all penalties grounded in the violations alleged in this complaint.

Respectfully submitted,

Genie Norris
Treasurer

Subscribed and sworn to before me this day,
the 4th day of November, 1994.

Donna M. Lenhart
Notary Public

My commission expires 2-28-98.

Donna M. Lenhart
Notary Public, District of Columbia
My Commission Expires Feb. 28, 1998

95043694385

FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

November 15, 1994

Genie Norris, Treasurer
Democratic Congressional Campaign Committee
430 South Capitol Street
Washington, DC 20003

RE: MUR 4137

Dear Ms. Norris:

This letter acknowledges receipt on November 7, 1994, of the complaint filed by the Democratic Congressional Campaign Committee alleging possible violations of the Federal Election Campaign Act of 1971, as amended ("the Act"). The respondent(s) will be notified of this complaint within five days.

You will be notified as soon as the Federal Election Commission takes final action on your complaint. Should you receive any additional information in this matter, please forward it to the Office of the General Counsel. Such information must be sworn to in the same manner as the original complaint. We have numbered this matter MUR 4137. Please refer to this number in all future communications. For your information, we have attached a brief description of the Commission's procedures for handling complaints.

Sincerely,

Mary L. Taksar

Mary L. Taksar, Attorney
Central Enforcement Docket

Enclosure
Procedures

9504369438 6

FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

November 15, 1994

A. John Elliot, MD
41 Avondale Road
Avondale, RI 02891

RE: MUR 4137

Dear Dr. Elliot:

The Federal Election Commission received a complaint which indicates that you may have violated the Federal Election Campaign Act of 1971, as amended ("the Act"). A copy of the complaint is enclosed. We have numbered this matter MUR 4137. Please refer to this number in all future correspondence.

Under the Act, you have the opportunity to demonstrate in writing that no action should be taken against you in this matter. Please submit any factual or legal materials which you believe are relevant to the Commission's analysis of this matter. Where appropriate, statements should be submitted under oath. Your response, which should be addressed to the General Counsel's Office, must be submitted within 15 days of receipt of this letter. If no response is received within 15 days, the Commission may take further action based on the available information.

This matter will remain confidential in accordance with 2 U.S.C. § 437g(a)(4)(B) and § 437g(a)(12)(A) unless you notify the Commission in writing that you wish the matter to be made public. If you intend to be represented by counsel in this matter, please advise the Commission by completing the enclosed form stating the name, address and telephone number of such counsel, and authorizing such counsel to receive any notifications and other communications from the Commission.

9504369487

If you have any questions, please contact Joan McEnery at (202) 219-3400. For your information, we have enclosed a brief description of the Commission's procedures for handling complaints.

Sincerely,

Mary L. Taksar

Mary L. Taksar, Attorney
Central Enforcement Docket

Enclosures
1. Complaint
2. Procedures
3. Designation of Counsel Statement

9504369488

FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

November 15, 1994

Judith Elliot, Treasurer
Elliot in '94
4 Canal Street
P.O. Box 2961
Westerly, RI 02891

RE: MUR 4137

Dear Ms. Elliot:

The Federal Election Commission received a complaint which indicates that Elliot in '94 ("Committee") and you, as treasurer, may have violated the Federal Election Campaign Act of 1971, as amended ("the Act"). A copy of the complaint is enclosed. We have numbered this matter MUR 4137. Please refer to this number in all future correspondence.

Under the Act, you have the opportunity to demonstrate in writing that no action should be taken against the Committee and you, as treasurer, in this matter. Please submit any factual or legal materials which you believe are relevant to the Commission's analysis of this matter. Where appropriate, statements should be submitted under oath. Your response, which should be addressed to the General Counsel's Office, must be submitted within 15 days of receipt of this letter. If no response is received within 15 days, the Commission may take further action based on the available information.

This matter will remain confidential in accordance with 2 U.S.C. § 437g(a)(4)(B) and § 437g(a)(12)(A) unless you notify the Commission in writing that you wish the matter to be made public. If you intend to be represented by counsel in this matter, please advise the Commission by completing the enclosed form stating the name, address and telephone number of such counsel, and authorizing such counsel to receive any notifications and other communications from the Commission.

95043694889

If you have any questions, please contact Joan McEnery at (202) 219-3400. For your information, we have enclosed a brief description of the Commission's procedures for handling complaints.

Sincerely,

Mary L. Taksar

Mary L. Taksar, Attorney
Central Enforcement Docket

Enclosures
1. Complaint
2. Procedures
3. Designation of Counsel Statement

9504369490

FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

November 15, 1994

Peter M. Flanigan
535 Madison Avenue
New York, NY 10022

RE: MUR 4137

Dear Mr. Flanigan:

The Federal Election Commission received a complaint which indicates that you may have violated the Federal Election Campaign Act of 1971, as amended ("the Act"). A copy of the complaint is enclosed. We have numbered this matter MUR 4137. Please refer to this number in all future correspondence.

Under the Act, you have the opportunity to demonstrate in writing that no action should be taken against you in this matter. Please submit any factual or legal materials which you believe are relevant to the Commission's analysis of this matter. Where appropriate, statements should be submitted under oath. Your response, which should be addressed to the General Counsel's Office, must be submitted within 15 days of receipt of this letter. If no response is received within 15 days, the Commission may take further action based on the available information.

This matter will remain confidential in accordance with 2 U.S.C. § 437g(a)(4)(B) and § 437g(a)(12)(A) unless you notify the Commission in writing that you wish the matter to be made public. If you intend to be represented by counsel in this matter, please advise the Commission by completing the enclosed form stating the name, address and telephone number of such counsel, and authorizing such counsel to receive any notifications and other communications from the Commission.

9504369481

If you have any questions, please contact Joan McEnery at (202) 219-3400. For your information, we have enclosed a brief description of the Commission's procedures for handling complaints.

Sincerely,

Mary L. Taksar

Mary L. Taksar, Attorney
Central Enforcement Docket

Enclosures
1. Complaint
2. Procedures
3. Designation of Counsel Statement

9504356948 92

FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

November 15, 1994

Barbara M. Casperson
P.O. Box 800
Andover, NJ 07821

RE: MUR 4137

Dear Ms. Casperson:

The Federal Election Commission received a complaint which indicates that you may have violated the Federal Election Campaign Act of 1971, as amended ("the Act"). A copy of the complaint is enclosed. We have numbered this matter MUR 4137. Please refer to this number in all future correspondence.

Under the Act, you have the opportunity to demonstrate in writing that no action should be taken against you in this matter. Please submit any factual or legal materials which you believe are relevant to the Commission's analysis of this matter. Where appropriate, statements should be submitted under oath. Your response, which should be addressed to the General Counsel's Office, must be submitted within 15 days of receipt of this letter. If no response is received within 15 days, the Commission may take further action based on the available information.

This matter will remain confidential in accordance with 2 U.S.C. § 437g(a)(4)(B) and § 437g(a)(12)(A) unless you notify the Commission in writing that you wish the matter to be made public. If you intend to be represented by counsel in this matter, please advise the Commission by completing the enclosed form stating the name, address and telephone number of such counsel, and authorizing such counsel to receive any notifications and other communications from the Commission.

9504369483993

If you have any questions, please contact Joan McEnery at (202) 219-3400. For your information, we have enclosed a brief description of the Commission's procedures for handling complaints.

Sincerely,

Mary L. Taksar

Mary L. Taksar, Attorney
Central Enforcement Docket

Enclosures
1. Complaint
2. Procedures
3. Designation of Counsel Statement

95043694894

FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

November 15, 1994

President
Bradford Sportsmen's Club
299 Ross Hill Road
Charleston, RI 02813

RE: MUR 4137

Dear Sir or Madam:

The Federal Election Commission received a complaint which indicates that the Bradford Sportsmen's Club may have violated the Federal Election Campaign Act of 1971, as amended ("the Act"). A copy of the complaint is enclosed. We have numbered this matter MUR 4137. Please refer to this number in all future correspondence.

Under the Act, you have the opportunity to demonstrate in writing that no action should be taken against the Bradford Sportsmen's Club in this matter. Please submit any factual or legal materials which you believe are relevant to the Commission's analysis of this matter. Where appropriate, statements should be submitted under oath. Your response, which should be addressed to the General Counsel's Office, must be submitted within 15 days of receipt of this letter. If no response is received within 15 days, the Commission may take further action based on the available information.

This matter will remain confidential in accordance with 2 U.S.C. § 437g(a)(4)(B) and § 437g(a)(12)(A) unless you notify the Commission in writing that you wish the matter to be made public. If you intend to be represented by counsel in this matter, please advise the Commission by completing the enclosed form stating the name, address and telephone number of such counsel, and authorizing such counsel to receive any notifications and other communications from the Commission.

9504369489 5

If you have any questions, please contact Joan McEnery at (202) 219-3400. For your information, we have enclosed a brief description of the Commission's procedures for handling complaints.

Sincerely,

Mary L. Taksar

Mary L. Taksar, Attorney
Central Enforcement Docket

Enclosures
1. Complaint
2. Procedures
3. Designation of Counsel Statement

9504369483996

JOHN ELLIOT *for* CONGRESS

Four Canal Street, Suite One • Westerly, Rhode Island 02891 • 401-348-0070 • Fax 401-348-0072

November 25, 1994

Mary L. Taksar, Attorney
Central Enforcement Docket
Federal Elections Commission
999 E Street NW
Washington, DC 20463

RECEIVED
FEDERAL ELECTION
COMMISSION
OFFICE OF GENERAL
COUNSEL
NOV 29 11 30 AM '94

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Dear Ms. Taksar:

Regarding your letter of November 15, (MUR 4137), and the complaints outlined by the DCCC, I would like to take this opportunity to respond.

1. Inadequate filings -

We did obtain necessary contributor information where necessary and we amended the reports accordingly. To date, our amended reports were accepted.

I do not understand the complaint regarding clarity of contributions of spouses. We made certain that those contributions were correctly attributed, and in two instances have asked for letters confirming contributions.

Inadequate disclosure of expenditures evidently refers to one early report in which we did not include street addresses in some cases. The report was amended and accepted.

In the early reports we did itemize more contributions than was required. We were asked by the FEC to separate them anyway. We amended the report and it was accepted.

Innacurate summary totals - We have reviewed all our reports and cannot find any errors. We have never been notified of any errors in the summary pages, and nothing specific was mentioned in the complaint.

In a 12 day report preceding the primary, we inadvertantly included one PAC contribution with individual contributions. We amended the report and it was accepted.

Our reports were filed in a timely manner, certified mail, with the exception of the 4/1/94-6/30/94 report. A copy of the letter accompanying that report - one day late - is enclosed. We never failed to respond to any notifications and, in fact, followed up with several phone calls.

2.

All of our 48 hour notices were filed on a timely basis except for one contribution. On 9/8/94 we included an 8/26 contribution from Thomas and Marguerite Moore accompanied by a letter stating that the contribution was from both. Mrs. Moore is not employed and her previous contribution indicated that as well. We received this contribution just before the 20 day period before the primary date, but because we deposited the funds on 8/26, we felt we had to include the information in the 9/8 48 hour report.

Peter Flanigan did send us a third check in the amount of $ 1,000. which we didn't pick up immediately because his first contribution made to us in 1993 was not picked up in the aggregate column when we changed our program. We have returned the last contribution (a copy of letter enclosed.)

Barbara Casperson contributed $ 2,000. total to our campaign. However, during a fundraiser, she and her husband bought $ 300. of tickets jointly and evidently she signed the check. We have returned $ 300. to Mrs. Casperson even though jointly, the Caspersons have contributed a total of $ 3,300. during this campaign. A copy of our letter is enclosed.

Contributions from the Bradford Sportsman's Club were not received in the form of a corporate check but represented a total of several small contributions from members who hosted a fundraising shoot at the club. We enclose a copy of the letter on file indicating the names of the members who contributed a total of $ 230.

In summary, we have responded to all inquiries from the commission and the commission has never indicated to us that our responses and amended reports were not satisfactorily answered.

During the hectic days of campaigning, and with changes made in staff and volunteers, inevitably mistakes will be made. We made a few and made sure that they were corrected. We will be happy to provide any other information you may desire

Sincerely,

Judith Elliot
Treasurer

JE/ jme

Encl.

95043694898

JOHN ELLIOT *for* CONGRESS
P.O. Box 2961 • Westerly, Rhode Island 02891 • 401-348-8082

July 15, 1994

Clerk of the House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, DC 20515

Gentlemen:

Enclosed is our FEC report covering the period 4/1/94 through 6/30/94, which we will be mailing one day late owing to a malfunction of our computer systems, failing to bring up aggregate contributions.

We elected to retrieve the amounts, now correct, manually so as not to further delay this report and to avoid the necessity of an amended report.

Sincerely,

Judith M. Elliot

Judith M. Elliot
Treasurer

9 5 0 4 3 6 9 4 8 9 9

Paid for by Elliot in '94.

THOMAS F. MOORE
[illegible] NORTH MAIN STREET
STONINGTON, CONNECTICUT [illegible]

August 23, 1994

A. John Elliot
"Elliot for Congress"
Canal Street
Westerly, RI 02891

Dear John:

Enclosed are contributions from Marguerite and from me to your campaign.

Best of luck.

Sincerely,

Tom

Thomas F. Moore

TFM/bp
Enclosure 1

95043 94900

Ashaway Sportsman's Club
P.O. Box 257
Ashaway, RI 02804

May 23, 1994

Dr. John Elliot
Elliot in '94
4 Canal Street, Suite 1
Westerly, RI 02891

The Members of the Ashaway Sportsman's Club would like to thank you for the time you and your wife, Judy, spent with us on May 15, 1994 at the Bradford Sportsmen's Club.

We found it refreshing to be able to communicate with a political candidate that really understood the issues and had similar feelings on the "Root Cause" and "Solutions".

Thank you for taking the time to listen to our concerns about the ban of automatic and semi-automatic weapons. We feel, based on past political practice, that if you give an inch; the system will attempt to take a mile. We feel very strongly about preserving our Constitutional Rights to Keep and Bear Arms.

Please find enclosed a check in the amount of $120.00 to assist you in your campaign for US Senate. The following Members have contributed for this donation:

Dick Gray	Jack Marriott	Ed Carsten
Howard Russ	John Stenhouse	George Vinal
Ed Kearney	Joe DiMario	Scott DiMario
Paul Forbes	Bob Atkisson	Tom Brewer

The Club has its regular meetings on the forth Tuesday of every month at 8:00 pm on the Club property on North Road in Hopkinton. This would be a good opportunity for you to speak to our membership and for our members to hear your views and objectives. The Sporting Clay event I mentioned to you and Judy has been rescheduled for September, I'll keep you posted. Please let me know when it would be convenient for you to attend our meeting. I can be reached at home (539-8689) after 6:00pm or at work (203-446-9725) during normal business hours.

Sincerely,

Bob Atkisson

Robert J. Atkisson Jr.
President

CC: Jack DiScillio
President
Bradford Sportsmen's Club

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Elliot in '94

( ) Yes I/we would be delighted to join you and John Elliot on Sunday, June 26, 1994. Enclosed is a personal check in the amount of $_____ for _____ reservations.

Reservations are $100 per person, $150 per couple.

(✓) Sorry, I cannot join you, but have enclosed a check for $ 300 – to support John Elliot's campaign for Congress.

Please make checks payable to: Elliot in '94

Name Mr & Mrs. Finn M.W. Caspersen

Address P.O. Box 800

City, State, Zip ANDOVER NJ 07821

Home Phone _____

Work Phone _____

Federal Law requires we ask the following:

Employer _____

Occupation _____

Signature Barbara McCaspers

( ) Check here if self-employed

Contributions are not deductible for federal income tax purposes.

Paid for by Elliot in '94

Fifteenth Floor
535 Madison Avenue
New York, N.Y. 10022

RECEIVED
FEDERAL ELECTION
COMMISSION
OFFICE OF GENERAL
COUNSEL
DEC 1 12 29 PM '94

November 28, 1994

Federal Election Commission
Attention: Miss Joan McEnery
999 E Street NW
Washington DC 20463

RE: MUR 4137

Dear Commissioners:

I received your letter of November 15th on Monday, November 21st. I immediately checked my own records for 1994 and could find no third contribution of $1,000 to Dr. Elliot Congressional's Campaign. I talked with Dr. Elliot on the phone and subsequently with his wife, Joan Elliot, who was the campaign treasurer. Later that day, she called back to say that while I made two contributions of $1,000 each in 1994, I had also made a contribution of $1,000 in December 1993. Therefore, the complaint that I had exceeded the limit of $1,000 for a primary and $1,000 for general election is correct. As an explanation, but not as a defense, I was apparently concentrating on the overall annual contribution limit to federal campaigns of $25,000 in one year and therefore missed the fact that I exceeded the contribution limits to Dr. Elliot's campaign by making contributions over a two year period.

Mrs. Elliot is sending me a check for $1,000 to reimburse me for the excess contribution. I will send you a xerox of that check as soon as it arrives.

I regret the fact that I exceeded the campaign contribution limits in this instance and the necessity for this exchange of letters. Please let me know if there is anything further I should do in this matter. Unless I hear to the contrary, I will assume that this explanation and the refund of the $1,000 settles the matter.

Sincerely yours,

Peter M. Flanigan

Peter M. Flanigan

9504369903

RECEIVED
FEDERAL ELECTION
OFFICE OF GENERAL
COUNSEL

BARBARA MORRIS CASPERSEN

VIA OVERNIGHT MAIL

DEC 7 9 55 AM '94

DEC 7 9 33 AM '94

December 6, 1994

General Counsel's Office
Federal Election Commission
Central Enforcement Docket
Washington, D.C. 20463

Attn: Mary L. Tasker, Esq.

RE: MUR 4137

Dear Ms. Tasker:

This will respond to your letter dated November 15, 1994, with regard to the filing of a complaint by the Democratic Congressional Campaign Committee with the Federal Election Commission concerning a political contribution I made in 1993. You indicated in that letter that I may have an opportunity to respond to the matter referenced in your letter. This letter will serve as my response.

In the letter submitted by the Democratic Congressional Campaign Committee dated November 4, 1994, a reference is made on page three to an excessive contribution made by me. The letter states that the total amount received from me by Elliott in '94 is $2,300 - $1,000 toward the primary election and $1,300 toward the general election.

The excessive contribution of $300 was an oversight that has been corrected. A check in the amount of $300 has been returned to me by Elliot in '94. I enclose a copy of the check evidencing the refund.

I sincerely apologize for the oversight. I understand the importance of campaign finance laws, and I have always intended to abide by them. I assure you that steps will be taken to prevent this from happening in the future.

9 5 0 4 3 6 9 4 9 0 4

P.O. BOX 800 ANDOVER NEW JERSEY 07821-0800 · (201) 786-5354

Please let me know if I may be of any further assistance or if you need any further response.

Sincerely,

Barbara M. Caspersen

Enclosure

95043694905

JOHN ELLIOT *for* CONGRESS

Four Canal Street, Suite One • Westerly, Rhode Island 02891 • 401-348-0070 • Fax 401-348-0072

November 22, 1994

Barbara M. Casperson
P.O. Box 800
Andover, N.J. 07821

Dear Barbara,

By now, you know that Rhode Island was not on the long GOP train. Perhaps one day we can make it happen. But we want to thank you both for your support.

I am also enclosing our check in the amount $ 300.00. It came to our attention yesterday when I got a friendly notice from the F.E.C. and our friendly DNCC that Barbara Morris Casperson was $ 300.00 over the limit and I reviewed our records and it seems it must have been the result of the Weekapaug fund raiser. That check must have been signed by you, according to our reports, and together with the two contributions from you of $ 1,000. each, we are required to return the $ 300. to you.

Again, many thanks and we look forward to seeing you both soon.

Warmest regards,

Judy

Judy Elliot

JE/jme
Encl.
cc:
FEC

9504369490 6

Paid for by Elliot in '94.

AJ ELLIOT FOR US CO[redacted]SS

EXPLANATION	AMOUNT
[redacted]	

1385

57-1-115

PAY AMOUNT OF Three hundred and 00/00 DOLLARS,

DATE	TO THE ORDER OF	DESCRIPTION	CHECK NUMBER	CHECK AMOUNT
11/22	Barbara M. Casparson		1385	$ 300 ·00

FLEET BANK 01000
PROVIDENCE, RHODE ISLAND 02903

⑈001385⑈ ⑆011500010⑆ 93650 88878⑈

95043694907

LAW OFFICES OF
TURO, NACCARATO & FRACASSA
96 FRANKLIN STREET
P. O. BOX 5076
WESTERLY, RHODE ISLAND 02891-0933

JOSEPH T. TURO
VINCENT J. NACCARATO
KELLY M. FRACASSA

OF COUNSEL
CHARLES A. NARDONE

TELEPHONE
AREA CODE 401
596-0321
FAX
401-348-1090

December 6, 1994

Mary L. Taksar, Esquire
Central Enforcement Docket
Federal Election Commission
Washington, DC 20463

RECEIVED
FEDERAL ELECTION
COMMISSION
OFFICE OF GENERAL
COUNSEL
DEC 8 1 40 PM '94

Re: Bradford Sportsmen's Club
MUR 4137

Dear Ms. Taksar:

Enclosed please find Statement of Designation of Counsel in reference to the above captioned matter.

Very truly yours,

Vincent J. Naccarato

VJN:pas

Enclosure

95043694908

[illegible]EMENT OF DESIGNATION OF [illegible]SEL

MUR 4137

NAME OF COUNSEL: VINCENT J. NACCARATO

ADDRESS: TURO, NACCARATO & FRACASSA
96 Franklin Street
P.O. Box 2976
Westerly, RI 02891-0933

TELEPHONE: (401) 596-0321

The above-named individual is hereby designated as my counsel and is authorized to receive any notifications and other communications from the Commission and to act on my behalf before the Commission.

BRADFORD SPORTSMEN'S CLUB

12-5-94
Date

By Jack DiCuillo Pres.
Signature

RESPONDENT'S NAME: BRADFORD SPORTSMEN'S CLUB

ADDRESS: 299 Ross Hill Road
Charlestown, RI 02813

HOME PHONE:

BUSINESS PHONE:

95043694909

Fifteenth Floor
535 Madison Avenue
New York, N.Y. 10022

FEDERAL ELECTION COMMISSION OFFICE OF GC
DEC 9 12 34 PM '94

December 7, 1994

Federal Election Commission
Attention: Miss Joan McEnery
999 E Street NW
Washington, DC 20463

RE: MUR 4137

Dear Miss McEnery:

In accordance with my letter of November 28th, I am sending herewith a copy of check returned to me from the office of A. John Elliot.

Sincerely yours,

Peter M. Flanigan

Peter M. Flanigan

95043699410

AJ ELLIOT FOR US CONGRESS

EXPLANATION	AMOUNT

1384

57-1-116

AY
MOUNT
F One thousand and 00/00 DOLLARS

CHECK AMOUNT

DATE	TO THE ORDER OF	DESCRIPTION	CHECK NUMBER
1/22/94	Peter M. Flanigan		1384

$ 1,000 00

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FLEET BANK 01000
PROVIDENCE, RHODE ISLAND 02903

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RECEIVED
FEDERAL ELECTION
COMMISSION
OFFICE OF GENERAL
COUNSEL

DEC 9 12 34 PM '94

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RECEIVED
FEDERAL ELECTION
COMMISSION
SECRETARIAT

BEFORE THE FEDERAL ELECTION COMMISSION

OCT 2 10 52 AM '95

In the Matter of) Enforcement Priority
)
)

GENERAL COUNSEL'S REPORT

SENSITIVE

I. INTRODUCTION

This report is the General Counsel's Report to recommend that the Commission no longer pursue the identified lower priority and stale cases under the Enforcement Priority System.

II. CASES RECOMMENDED FOR CLOSING

A. Cases Not Warranting Further Pursuit Relative to Other Cases Pending Before the Commission

A critical component of the Priority System is identifying those pending cases that do not warrant the further expenditure of resources. Each incoming matter is evaluated using Commission-approved criteria and cases that, based on their rating, do not warrant pursuit relative to other pending cases are placed in this category. By closing such cases, the Commission is able to use its limited resources to focus on more important cases.

Having evaluated incoming matters, this Office has identified 34 cases which do not warrant further pursuit relative to the other pending cases.[1] A short description of

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1. These matters are: PM 309 (Attachment 1); RAD 95L-12 (Attachment 2); MUR 4118 (Attachment 3); MUR 4119 (Attachment 4); MUR 4120 (Attachment 5); MUR 4122 (Attachment 6); MUR 4123 (Attachment 7); MUR 4124 (Attachment 8); MUR 4125 (Attachment 9); MUR 4126 (Attachment 10); MUR 4130 (Attachment 11); MUR 4133 (Attachment 12); MUR 4134 (Attachment 13); MUR 4135 (Attachment 14); MUR 4136 (Attachment 15); MUR 4137

each case and the factors leading to assignment of a relatively low priority and consequent recommendation not to pursue each case is attached to this report. See Attachments 1-34. As the Commission requested, this Office has attached the responses to the complaints for the externally-generated matters and the referral for the matter referred by the Reports Analysis Division because this information was not previously circulated to the Commission. See Attachments 1-34.

B. Stale Cases

Investigations are severely impeded and require relatively more resources when the activity and evidence are old. Consequently, the Office of General Counsel recommends that the Commission focus its efforts on cases involving more recent activity. Such efforts will also generate more impact on the current electoral process and are a more efficient allocation of our limited resources. To this end, this Office has identified 11 cases that

do not

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(Footnote 1 continued from previous page)
(Attachment 16); MUR 4138 (Attachment 17); MUR 4140
(Attachment 18); MUR 4142 (Attachment 19); MUR 4143
(Attachment 20); MUR 4144 (Attachment 21); MUR 4145
(Attachment 22); MUR 4148 (Attachment 23); MUR 4149
(Attachment 24); MUR 4153 (Attachment 25); MUR 4155
(Attachment 26); MUR 4158 (Attachment 27); MUR 4163
(Attachment 28); MUR 4164 (Attachment 29); MUR 4169
(Attachment 30); MUR 4179 (Attachment 31); MUR 4195
(Attachment 32); MUR 4196 (Attachment 33); and MUR 4205
(Attachment 34).

warrant further investment of significant Commission resources.[2] Since the recommendation not to pursue the identified cases is based on staleness, this Office has not prepared separate narratives for these cases. As the Commission requested, in matters in which the Commission has made no findings, the responses to the complaints for the externally-generated matters and the referrals for the internally-generated matters are attached to the report because this information was not previously circulated to the Commission. See Attachments 35-45. For cases in which the Commission has already made findings and for which each Commissioner's office has an existing file, this Office has attached the most recent General Counsel's Report.

This Office recommends that the Commission exercise its prosecutorial discretion and no longer pursue the cases listed below effective October 16, 1995. By closing the cases effective October 16, 1995, CED and the Legal Review Team will respectively have the additional time necessary for preparing the closing letters and the case files for the public record.

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2. These matters are: PM 250 (Attachment 35); PM 272 (Attachment 36); MUR 3188 (Attachment 37); MUR 3554 (Attachment 38); MUR 3623 (Attachment 39); MUR 3988 (Attachment 40); MUR 3996 (Attachment 41); MUR 4001 (Attachment 42); MUR 4007 (Attachment 43); MUR 4007 (Attachment 43); MUR 4008 (Attachment 44); and MUR 4018 (Attachment 45).

III. RECOMMENDATIONS

A. Decline to open a MUR and close the file effective October 16, 1995 in the following matters:

1) PM 309
2) RAD 95L-12
3) PM 250
4) PM 272

B. Take no action, close the file effective October 16, 1995, and approve the appropriate letter in the following matters:

1) MUR 3554
2) MUR 3623
3) MUR 3988
4) MUR 3996
5) MUR 4001
6) MUR 4007
7) MUR 4008
8) MUR 4018
9) MUR 4118
10) MUR 4119
11) MUR 4120
12) MUR 4122
13) MUR 4123
14) MUR 4124
15) MUR 4125
16) MUR 4126
17) MUR 4130
18) MUR 4133
19) MUR 4134
20) MUR 4135
21) MUR 4136
22) MUR 4137
23) MUR 4138
24) MUR 4140
25) MUR 4142
26) MUR 4143
27) MUR 4144
28) MUR 4145
29) MUR 4148
30) MUR 4149

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31) MUR 4153
32) MUR 4155
33) MUR 4158
34) MUR 4163
35) MUR 4164
36) MUR 4169
37) MUR 4179
38) MUR 4195
39) MUR 4196
40) MUR 4205

C. Take no further action, close the file effective October 16, 1995 and approve the appropriate letter in MUR 3188.

9/29/95
Date

Lawrence M. Noble
General Counsel

95043694916

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of	)	
	)	Agenda Document #X95-85
Enforcement Priority	)	

CERTIFICATION

I, Marjorie W. Emmons, recording secretary for the Federal Election Commission executive session on October 17, 1995, do hereby certify that the Commission decided by votes of 5-0 to take the following actions:

A. Decline to open a MUR and close the file effective October 17, 1995 in the following matters:

1) PM 309
2) RAD 95L-12
3) PM 250
4) PM 272

B. Take no action, close the file effective October 17, 1995, and approve the appropriate letter in the following matters:

1) MUR 3554
2) MUR 3623
3) MUR 3988
4) MUR 3996
5) MUR 4001
6) MUR 4007
7) MUR 4008
8) MUR 4018
9) MUR 4118

(continued)

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10) MUR 4119
11) MUR 4120
12) MUR 4122
13) MUR 4123
14) MUR 4124
15) MUR 4125
16) MUR 4126
17) MUR 4130
18) MUR 4133
19) MUR 4134
20) MUR 4135
21) MUR 4136
22) MUR 4137
23) MUR 4138
24) MUR 4140
25) MUR 4142
26) MUR 4143
27) MUR 4144
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29) MUR 4148
30) MUR 4149
31) MUR 4153
32) MUR 4155
33) MUR 4158
34) MUR 4163
35) MUR 4164
36) MUR 4169
37) MUR 4179
38) MUR 4195
39) MUR 4196
40) MUR 4205

C. Take no further action, close the file effective October 17, 1995 and approve the appropriate letter in MUR 3188.

(continued)

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Commissioners Aikens, Elliott, McDonald, McGarry, and Thomas voted affirmatively for each of the decisions; Commissioner Potter was not present.

Attest:

10-20-95
Date

Marjorie W. Emmons
Marjorie W. Emmons
Secretary of the Commission

9504369419

FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

October 23, 1995

Matthew H. Angle, Treasurer
Democratic Congressional Campaign Committee
430 South Capitol Street, S.E.
Washington, DC 20003

RE: MUR 4137

Dear Mr. Angle:

On November 7, 1994, the Federal Election Commission received your complaint alleging certain violations of the Federal Election Campaign Act of 1971, as amended ("the Act").

After considering the circumstances of this matter, the Commission has determined to exercise its prosecutorial discretion and to take no action against the respondents. See attached narrative. Accordingly, the Commission closed its file in this matter on October 17, 1995. This matter will become part of the public record within 30 days.

The Act allows a complainant to seek judicial review of the Commission's dismissal of this action. See 2 U.S.C. § 437g(a)(8).

Sincerely,

Mary L. Taksar

Mary L. Taksar, Attorney
Central Enforcement Docket

Attachment
Narrative

95043694920

MUR 4137
ELLIOT IN '94

The Democratic Congressional Campaign Committee ("DCCC") filed a complaint alleging that Elliot in '94 reports failed to disclose employer information for 20% of the contributors, failed to properly attribute contributions to spouses in five instances, failed to list PAC contributions on a separate schedule, inadequately disclosed expenditure information and failed to file copies of the reports with the Rhode Island Secretary of State in a timely manner. The complaint also alleges that the Committee received excessive contributions from two individuals and received one corporate contribution.

In response to the complaint, the Elliot in '94 Committee states that the Committee received the employer information and amended it reports to reflect such information, correctly attributed contributions by spouses, corrected the missing information from the expenditure schedule, amended reports to separate contributions, and timely filed all reports except for one report which was one day late. The Committee states that it refunded a $1,000 excessive contribution to Peter Flanigan and a $300 excessive contribution to Barbara Casperson. According to the Committee, the contribution received from the Bradford Sportman's Club was not from the organization itself but composed of several small contributions from members of the club, a list of those members being provided.

This matter is less significant relative to other matters pending before the Commission and remedial action was taken.

95043894921

FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

October 23, 1995

Vincent J. Naccarato, Esq.
Turo, Naccarato & Fracassa
96 Franklin Street
P. O. Box 2976
Westerly, RI 02891-0933

RE: MUR 4137
Bradford Sportsmen's Club

Dear Mr. Naccarato:

On November 15, 1994, the Federal Election Commission notified your client, the Bradford Sportsmen's Club, of a complaint alleging certain violations of the Federal Election Campaign Act of 1971, as amended. A copy of the complaint was enclosed with that notification.

After considering the circumstances of this matter, the Commission has determined to exercise its prosecutorial discretion and to take no action against the Bradford Sportsmen's Club. See attached narrative. Accordingly, the Commission closed its file in this matter on October 17, 1995.

The confidentiality provisions of 2 U.S.C. § 437g(a)(12) no longer apply and this matter is now public. In addition, although the complete file must be placed on the public record within 30 days, this could occur at any time following certification of the Commission's vote. If you wish to submit any factual or legal materials to appear on the public record, please do so as soon as possible. While the file may be placed on the public record prior to receipt of your additional materials, any permissible submissions will be added to the public record when received.

If you have any questions, please contact Central Enforcement Docket at (202) 219-3400.

Sincerely,

Mary L. Taksar

Mary L. Taksar, Attorney
Central Enforcement Docket

Attachment
Narrative

95043869922

MUR 4137
ELLIOT IN '94

The Democratic Congressional Campaign Committee ("DCCC") filed a complaint alleging that Elliot in '94 reports failed to disclose employer information for 20% of the contributors, failed to properly attribute contributions to spouses in five instances, failed to list PAC contributions on a separate schedule, inadequately disclosed expenditure information and failed to file copies of the reports with the Rhode Island Secretary of State in a timely manner. The complaint also alleges that the Committee received excessive contributions from two individuals and received one corporate contribution.

In response to the complaint, the Elliot in '94 Committee states that the Committee received the employer information and amended it reports to reflect such information, correctly attributed contributions by spouses, corrected the missing information from the expenditure schedule, amended reports to separate contributions, and timely filed all reports except for one report which was one day late. The Committee states that it refunded a $1,000 excessive contribution to Peter Flanigan and a $300 excessive contribution to Barbara Casperson. According to the Committee, the contribution received from the Bradford Sportman's Club was not from the organization itself but composed of several small contributions from members of the club, a list of those members being provided.

This matter is less significant relative to other matters pending before the Commission and remedial action was taken.

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FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

October 23, 1995

A. John Elliot, M.D.
41 Avondale Road
Avondale, RI 02891

RE: MUR 4137

Dear Dr. Elliot:

On November 15, 1994, the Federal Election Commission notified you of a complaint alleging certain violations of the Federal Election Campaign Act of 1971, as amended. A copy of the complaint was enclosed with that notification.

After considering the circumstances of this matter, the Commission has determined to exercise its prosecutorial discretion and to take no action against you. See attached narrative. Accordingly, the Commission closed its file in this matter on October 17, 1995.

The confidentiality provisions of 2 U.S.C. § 437g(a)(12) no longer apply and this matter is now public. In addition, although the complete file must be placed on the public record within 30 days, this could occur at any time following certification of the Commission's vote. If you wish to submit any factual or legal materials to appear on the public record, please do so as soon as possible. While the file may be placed on the public record prior to receipt of your additional materials, any permissible submissions will be added to the public record when received.

If you have any questions, please contact Central Enforcement Docket at (202) 219-3400.

Sincerely,

Mary L. Taksar

Mary L. Taksar, Attorney
Central Enforcement Docket

Attachment
Narrative

9504369924

MUR 4137
ELLIOT IN '94

The Democratic Congressional Campaign Committee ("DCCC") filed a complaint alleging that Elliot in '94 reports failed to disclose employer information for 20% of the contributors, failed to properly attribute contributions to spouses in five instances, failed to list PAC contributions on a separate schedule, inadequately disclosed expenditure information and failed to file copies of the reports with the Rhode Island Secretary of State in a timely manner. The complaint also alleges that the Committee received excessive contributions from two individuals and received one corporate contribution.

In response to the complaint, the Elliot in '94 Committee states that the Committee received the employer information and amended it reports to reflect such information, correctly attributed contributions by spouses, corrected the missing information from the expenditure schedule, amended reports to separate contributions, and timely filed all reports except for one report which was one day late. The Committee states that it refunded a $1,000 excessive contribution to Peter Flanigan and a $300 excessive contribution to Barbara Casperson. According to the Committee, the contribution received from the Bradford Sportman's Club was not from the organization itself but composed of several small contributions from members of the club, a list of those members being provided.

This matter is less significant relative to other matters pending before the Commission and remedial action was taken.

95043694925

FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

October 23, 1995

Peter M. Flanigan
535 Madison Avenue
New York, NY 10022

RE: MUR 4137

Dear Mr. Flanigan:

On November 15, 1994, the Federal Election Commission notified you of a complaint alleging certain violations of the Federal Election Campaign Act of 1971, as amended. A copy of the complaint was enclosed with that notification.

After considering the circumstances of this matter, the Commission has determined to exercise its prosecutorial discretion and to take no action against you. See attached narrative. Accordingly, the Commission closed its file in this matter on October 17, 1995.

The confidentiality provisions of 2 U.S.C. § 437g(a)(12) no longer apply and this matter is now public. In addition, although the complete file must be placed on the public record within 30 days, this could occur at any time following certification of the Commission's vote. If you wish to submit any factual or legal materials to appear on the public record, please do so as soon as possible. While the file may be placed on the public record prior to receipt of your additional materials, any permissible submissions will be added to the public record when received.

If you have any questions, please contact Central Enforcement Docket at (202) 219-3400.

Sincerely,

Mary L. Taksar

Mary L. Taksar, Attorney
Central Enforcement Docket

Attachment
Narrative

95043369926

MUR 4137
ELLIOT IN '94

The Democratic Congressional Campaign Committee ("DCCC") filed a complaint alleging that Elliot in '94 reports failed to disclose employer information for 20% of the contributors, failed to properly attribute contributions to spouses in five instances, failed to list PAC contributions on a separate schedule, inadequately disclosed expenditure information and failed to file copies of the reports with the Rhode Island Secretary of State in a timely manner. The complaint also alleges that the Committee received excessive contributions from two individuals and received one corporate contribution.

In response to the complaint, the Elliot in '94 Committee states that the Committee received the employer information and amended it reports to reflect such information, correctly attributed contributions by spouses, corrected the missing information from the expenditure schedule, amended reports to separate contributions, and timely filed all reports except for one report which was one day late. The Committee states that it refunded a $1,000 excessive contribution to Peter Flanigan and a $300 excessive contribution to Barbara Casperson. According to the Committee, the contribution received from the Bradford Sportman's Club was not from the organization itself but composed of several small contributions from members of the club, a list of those members being provided.

This matter is less significant relative to other matters pending before the Commission and remedial action was taken.

95043369927

FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

October 23, 1995

Barbara M. Casperson
P. O. Box 800
Andover, NJ 07821-0800

RE: MUR 4137

Dear Ms. Casperson:

On November 15, 1994, the Federal Election Commission notified you of a complaint alleging certain violations of the Federal Election Campaign Act of 1971, as amended. A copy of the complaint was enclosed with that notification.

After considering the circumstances of this matter, the Commission has determined to exercise its prosecutorial discretion and to take no action against you. See attached narrative. Accordingly, the Commission closed its file in this matter on October 17, 1995.

The confidentiality provisions of 2 U.S.C. § 437g(a)(12) no longer apply and this matter is now public. In addition, although the complete file must be placed on the public record within 30 days, this could occur at any time following certification of the Commission's vote. If you wish to submit any factual or legal materials to appear on the public record, please do so as soon as possible. While the file may be placed on the public record prior to receipt of your additional materials, any permissible submissions will be added to the public record when received.

If you have any questions, please contact Central Enforcement Docket at (202) 219-3400.

Sincerely,

Mary L. Taksar

Mary L. Taksar, Attorney
Central Enforcement Docket

Attachment
Narrative

9504369928

MUR 4137
ELLIOT IN '94

The Democratic Congressional Campaign Committee ("DCCC") filed a complaint alleging that Elliot in '94 reports failed to disclose employer information for 20% of the contributors, failed to properly attribute contributions to spouses in five instances, failed to list PAC contributions on a separate schedule, inadequately disclosed expenditure information and failed to file copies of the reports with the Rhode Island Secretary of State in a timely manner. The complaint also alleges that the Committee received excessive contributions from two individuals and received one corporate contribution.

In response to the complaint, the Elliot in '94 Committee states that the Committee received the employer information and amended it reports to reflect such information, correctly attributed contributions by spouses, corrected the missing information from the expenditure schedule, amended reports to separate contributions, and timely filed all reports except for one report which was one day late. The Committee states that it refunded a $1,000 excessive contribution to Peter Flanigan and a $300 excessive contribution to Barbara Casperson. According to the Committee, the contribution received from the Bradford Sportman's Club was not from the organization itself but composed of several small contributions from members of the club, a list of those members being provided.

This matter is less significant relative to other matters pending before the Commission and remedial action was taken.

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FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

October 23, 1995

Judith Elliot, Treasurer
Elliot in '94
4 Canal Street
Westerly, RI 02891

RE: MUR 4137

Dear Ms. Elliot:

On November 15, 1994, the Federal Election Commission notified Elliot in '94 and you, as treasurer, of a complaint alleging certain violations of the Federal Election Campaign Act of 1971, as amended. A copy of the complaint was enclosed with that notification.

After considering the circumstances of this matter, the Commission has determined to exercise its prosecutorial discretion and to take no action against Elliot in '94 and you, as treasurer. See attached narrative. Accordingly, the Commission closed its file in this matter on October 17, 1995.

The confidentiality provisions of 2 U.S.C. § 437g(a)(12) no longer apply and this matter is now public. In addition, although the complete file must be placed on the public record within 30 days, this could occur at any time following certification of the Commission's vote. If you wish to submit any factual or legal materials to appear on the public record, please do so as soon as possible. While the file may be placed on the public record prior to receipt of your additional materials, any permissible submissions will be added to the public record when received.

If you have any questions, please contact Central Enforcement Docket at (202) 219-3400.

Sincerely,

Mary L. Taksar

Mary L. Taksar, Attorney
Central Enforcement Docket

Attachment
Narrative

95043694930

MUR 4137
ELLIOT IN '94

The Democratic Congressional Campaign Committee ("DCCC") filed a complaint alleging that Elliot in '94 reports failed to disclose employer information for 20% of the contributors, failed to properly attribute contributions to spouses in five instances, failed to list PAC contributions on a separate schedule, inadequately disclosed expenditure information and failed to file copies of the reports with the Rhode Island Secretary of State in a timely manner. The complaint also alleges that the Committee received excessive contributions from two individuals and received one corporate contribution.

In response to the complaint, the Elliot in '94 Committee states that the Committee received the employer information and amended it reports to reflect such information, correctly attributed contributions by spouses, corrected the missing information from the expenditure schedule, amended reports to separate contributions, and timely filed all reports except for one report which was one day late. The Committee states that it refunded a $1,000 excessive contribution to Peter Flanigan and a $300 excessive contribution to Barbara Casperson. According to the Committee, the contribution received from the Bradford Sportman's Club was not from the organization itself but composed of several small contributions from members of the club, a list of those members being provided.

This matter is less significant relative to other matters pending before the Commission and remedial action was taken.

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FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

THIS IS THE END OF MUR # 4137

DATE FILMED 11-22-95 CAMERA NO. ___

CAMERAMAN JMH

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