



FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

THIS IS THE BEGINNING OF MUR # 3846

DATE FILMED 3-14-54 CAMERA NO. 2

CAMERAMAN JMH

94030962952

REPORTS ANALYSIS REFERRAL

TO

OFFICE OF GENERAL COUNSEL

DATE: July 21, 1993

ANALYST: Elfi Blum-Page

I. COMMITTEE: John Lewis for Congress
(C00202416)
Love Sears-Collins, Treasurer
(June 8, 1987 - March 9, 1992)
Lillian M. Lewis, Treasurer
(March 10, 1992 - Present)
1520 Pinehurst Drive S.W.
Atlanta, GA 30311

II. RELEVANT STATUTE: 2 U.S.C. §434(a)(6)
11 CFR §104.5(f)

III. BACKGROUND:

Failure to File Forty-Eight Hour Notifications

The John Lewis for Congress committee ("the Committee") has failed to file eleven of fifteen or 73% of the required 48-Hour Notifications ("48 Hour Notices") for contributions totaling \$16,250 received prior to the 1992 Primary Election. In addition, the Committee has failed to file ten of ten or 100% of the required 48 Hour Notices for contributions totaling \$19,000 received prior to the 1992 General Election.

The candidate was involved in both the Primary Election held on July 21, 1992 and the General Election held on November 3, 1992. Prior Notices were sent to the Committee on June 15, 1992 and September 28, 1992, respectively (Attachments 2 and 3). The Notices include a section titled "48 Hour Notices on Contributions". The section in the Primary notice reads "Notices are required if the committee receives contributions (including contributions and loans from the candidate's personal funds; and endorsements or guarantees of bank loans) of \$1,000 or more, during the period of July 2 through July 18. The notices must reach the appropriate federal and state filing offices within 48 hours of the committee's receipt of the contribution(s)."

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The section in the General notice reads "Notices are required if the committee receives contributions (including contributions and loans from the candidate's personal funds; and endorsements or guarantees of bank loans) of \$1,000 or more, during the period of October 15 through October 31. The notices must reach the appropriate federal and state filing offices within 48 hours of the committee's receipt of the contribution(s)."

Schedule A of the Amended 1992 October Quarterly Report indicates that the Committee failed to file eleven (11) 48-Hour Notices for contributions received during the aforementioned Primary period. Schedule A of the 1992 30 Day Post-General Report indicates that the Committee failed to file ten (10) 48-Hour Notices for contributions received during the aforementioned General period. (Attachments 4 and 5). The following is a list of the contributions for which no 48-Hour Notices were filed:

<u>Contributor Name</u> (as reported by Committee)	<u>Date</u>	<u>Amount</u>
Gail Veal	7/18/92	\$1,000
Airline Pilots Association PAC	7/18/92	\$2,500
International Chiropractors PAC	7/18/92	\$1,000
National Comm. To Preserve Social Security & Medicare PAC	7/18/92	\$1,000
Bank South Committee PAC	7/18/92	\$1,000
Laborer's Political League	7/18/92	\$1,500
Realators PAC	7/18/92	\$2,750
Communication Workers Assn. COPE FCC	7/18/92	\$1,000
Human Rights Campaign Fund PAC	7/18/92	\$2,000
Schering-Plough Better Gov't Fund	7/18/92	\$1,000
American Federation of State, County and Municipal Employees	7/18/92	\$1,500

<u>Contributor Name</u> (as reported by Committee)	<u>Date</u>	<u>Amount</u>
Vivian Jones	10/20/92	\$1,000
Joseph Iarocci	10/27/92	\$1,000
American Society of Anesthesiologists	10/20/92	\$1,000
Letter Carriers PAC	10/20/92	\$2,000
American Hospital Assn. PAC	10/26/92	\$1,000
United Parcel Service PAC	10/26/92	\$5,000
United Autoworkers V CAP	10/26/92	\$2,500
American Academy of Ophthalmology PAC	10/26/92	\$2,000
United Food & Commercial Workers International Union PAC	10/21/92	\$2,500
Mass. Mutual PAC	10/28/92	\$1,000

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On June 1, 1993, a Request for Additional Information ("RFAI") was sent to the Committee concerning the Amended October Quarterly Report (Attachment 6). On June 4, 1993, an Informational Notice ("IN") was sent to the Committee concerning the 1992 30 Day Post-General Report (Attachment 7). The Committee was notified on an informational basis that the Committee may have failed to file one or more of the required 48-Hour Notices for "last minute" contributions of \$1,000 or more. The notice requests the Committee to review their procedures for checking contributions received during the aforementioned time period. In addition, the notice states that although the Commission may take legal steps, any response would be taken into consideration.

On July 1, 1993 the Commission received a response from the Committee acknowledging the discrepancies and stating that appropriate steps have been taken to ensure that these types of errors do not reoccur (Attachment 8).

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FEDERAL ELECTION COMMISSION
1991-1992
CANDIDATE INDEX OF SUPPORTING DOCUMENTS - (E)

Attachment #1
DATE 21JUL93
Page 1 of 1
PAGE 1

CANDIDATE/COMMITTEE/DOCUMENT	RECEIPTS		DISBURSEMENTS		COVERAGE DATES	# OF PAGES	MICROFILM LOCATION
	OFFICE SOUGHT/ PARTY	PRIMARY GENERAL	PRIMARY GENERAL	GENERAL			
LEWIS, JOHN	HOUSE 05 DEMOCRATIC PARTY				1992 ELECTION	704	H66A05217
1. STATEMENT OF CANDIDATE							
1991 STATEMENT OF CANDIDATE					20AUG91	1	91HSE/433/4878
2. PRINCIPAL CAMPAIGN COMMITTEE							
JOHN LEWIS FOR CONGRESS					ID #C00202416		HOUSE
1991 MID-YEAR REPORT		25,765		20,124	1JAN91 -30JUN91	16	91HSE/430/3435
1'ST LETTER INFORMATIONAL NOTICE					1JAN91 -30JUN91	2	91FEC/714/0043
YEAR-END		30,710		11,495	1JUL91 -31DEC91	16	92HSE/440/3966
YEAR-END - AMENDMENT		30,860		11,495	1JUL91 -31DEC91	3	92HSE/444/1013
REQUEST FOR ADDITIONAL INFORMATION					1JUL91 -31DEC91	3	92FEC/740/1360
REQUEST FOR ADDITIONAL INFORMATION 2ND					1JUL91 -31DEC91	4	92FEC/742/1597
1'ST LETTER INFORMATIONAL NOTICE					1JUL91 -31DEC91	1	92FEC/746/5029
1992 STATEMENT OF ORGANIZATION - AMENDMENT					10MAR92	1	92HSE/443/4321
STATEMENT OF ORGANIZATION - AMENDMENT					19MAR92	1	92HSE/444/1033
APRIL QUARTERLY		37,985		10,523	1JAN92 -31MAR92	13	92HSE/446/0904
1'ST LETTER INFORMATIONAL NOTICE					1JAN92 -31MAR92	1	92FEC/758/4584
PRE-PRIMARY		100,391		44,631	1APR92 - 1JUL92	49	92HSE/455/0871
PRE-PRIMARY - AMENDMENT					1APR92 - 1JUL92	2	92HSE/467/4478
REQUEST FOR ADDITIONAL INFORMATION					1APR92 - 1JUL92	11	92FEC/774/0856
REQUEST FOR ADDITIONAL INFORMATION 2ND					1APR92 - 1JUL92	12	92FEC/778/0588
PRE-PRIMARY - AMENDMENT		4,500			2JUL92 -21JUL92	3	92HSE/455/2031
OCTOBER QUARTERLY			59,175	61,790	2JUL92 -30SEP92	38	92HSE/470/4428
OCTOBER QUARTERLY - AMENDMENT			60,501	102,719	2JUL92 -30SEP92	47	92HSE/477/3843
OCTOBER QUARTERLY - AMENDMENT			60,501	102,719	2JUL92 -30SEP92	15	93HSE/498/2877
OCTOBER QUARTERLY - AMENDMENT					2JUL92 -30SEP92	1	93HSE/498/2892
REQUEST FOR ADDITIONAL INFORMATION					2JUL92 -30SEP92	2	93FEC/841/2130
REQUEST FOR ADDITIONAL INFORMATION 2ND					2JUL92 -30SEP92	3	93FEC/843/5101
POST-GENERAL			38,610	47,750	15OCT92 -23NOV92	21	92HSE/488/4514
POST-GENERAL - AMENDMENT					-15OCT92 -23NOV92	1	93FEC/846/4962
1'ST LETTER INFORMATIONAL NOTICE					15OCT92 -23NOV92	1	93FEC/841/5344
YEAR-END		2,253		9,621	24NOV92 -31DEC92	9	93HSE/491/3089
TOTAL		201,754	99,111	96,444	150,469	276	TOTAL PAGES
3. AUTHORIZED COMMITTEES							
4. JOINT FUNDRAISING COMMITTEES AUTHORIZED BY THE CAMPAIGN							

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All reports have been reviewed with the exception of the July 01, 1993 amendments.

Cash-on-Hand as of 12/31/1992: \$324,123.93

Debts and obligations as of 12/31/1992: \$0

PRIMARY ELECTION REPORT NOTICE

FEDERAL ELECTION COMMISSION

GEORGIA
Congressional Committees

June 15, 1992

FOR COMMITTEES INVOLVED ONLY IN THE PRIMARY (07/21):

REPORT	REPORTING PERIOD	REG./CERT. MAILING DATE*	FILING DATE
Pre-Primary	04/01/92 - 07/01/92**	07/06/92	07/09/92
48 Hour Notices	----See Below----		
July Quarterly	----Waived----		

WHO MUST FILE

Principal campaign committees of congressional candidates (including unopposed candidates) who seek nomination in the primary must file the above report and notices. If the campaign has more than one authorized committee, the principal campaign committee must also file consolidated report on Form 32.

48 HOUR NOTICES ON CONTRIBUTIONS

Notices are required if the committee receives contributions (including contributions and loans from the candidate's personal funds; and endorsements or guarantees of bank loans) of \$1,000 or more, during the period of July 2 through July 18. The notices must reach the appropriate federal and state filing offices within 48 hours of the committee's receipt of the contribution(s).

LABEL

Affix the peel-off label from the envelope to Line 1 of the report. Corrections should be made on the label.

COMPLIANCE

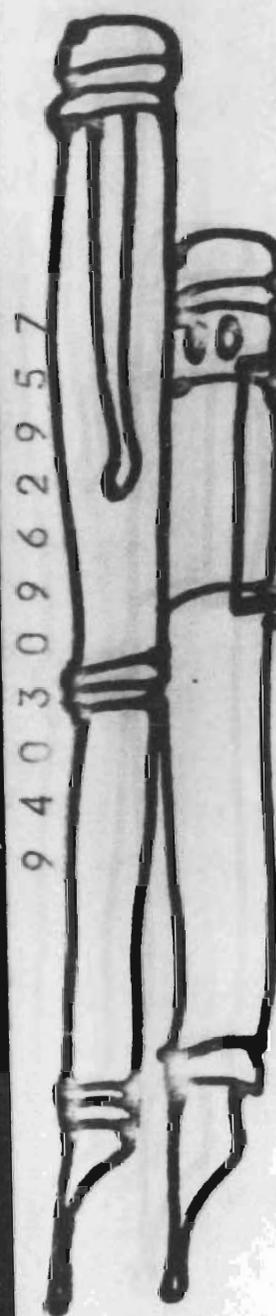
TREASURERS ARE RESPONSIBLE FOR FILING ALL REPORTS AND 48 HOUR NOTICES ON TIME. FAILURE TO DO SO IS SUBJECT TO ENFORCEMENT ACTION. COMMITTEES USING NON-FEC FORMS FOR REPORTS OR FILING ILLEGIBLE REPORTS OR NOTICES WILL BE REQUIRED TO REFILE.

*Reports sent by registered or certified mail must be postmarked by the mailing date; otherwise, they must be received by the filing date.

**The period begins with the close of the last report filed by the committee. If the committee has filed no previous reports, the period begins with the date of the committee's first activity.

FOR INFORMATION, Call: 800/424-9530 or 202/219-3420

SEE OPPOSITE SIDE FOR RUNOFF INFORMATION



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GENERAL ELECTION REPORT NOTICE

FEDERAL ELECTION COMMISSION

CONGRESSIONAL

September 28, 1992

1992 GENERAL ELECTION CANDIDATE COMMITTEES

REPORT	REPORTING PERIOD*	REG./CERT. MAILING DATE**	FILING DATE
Pre-General	10/01/92 - 10/14/92	10/19/92	10/22/92
Post-General	10/15/92 - 11/23/92	12/03/92	12/03/92

WHO MUST FILE

All 1992 general election principal campaign committees of congressional candidates (including unopposed candidates) who seek election in the November 3, 1992 General Election must file the Pre- and Post-General Election Reports.

WHO NEED NOT FILE

Principal campaign committees of candidates not active in the 1992 elections (i.e., committees active in past or future elections) and 1992 campaign committees not participating in the general election do not file the Pre- and Post-General Reports.

48 HOUR NOTICES ON CONTRIBUTIONS

Notices are required if the committee receives contributions (including contributions and loans from the candidate's personal funds; and endorsements or guarantees of bank loans) of \$1,000 or more, during the period of October 15 through October 31. The notices must reach the appropriate federal and state filing offices within 48 hours of the committee's receipt of the contribution(s).

LABEL

Committees should affix the peel-off label from the envelope to Line 1 of the report. Corrections should be made on the label.

COMPLIANCE

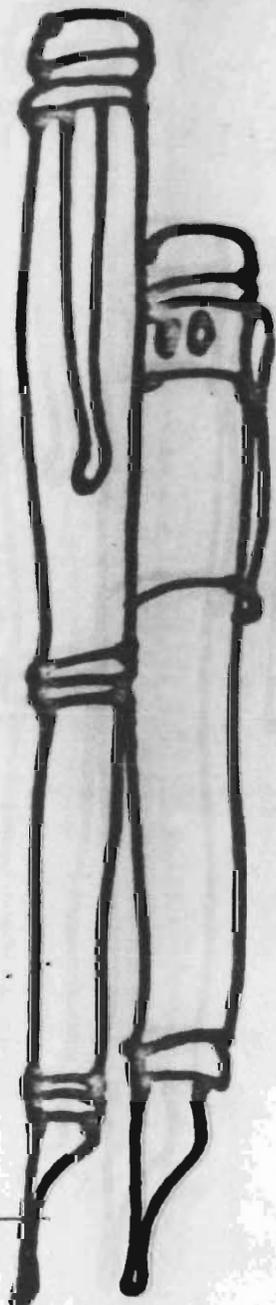
TREASURERS OF POLITICAL COMMITTEES ARE RESPONSIBLE FOR FILING ALL REPORTS ON TIME. FAILURE TO DO SO IS SUBJECT TO ENFORCEMENT ACTION. COMMITTEES FILING ILLEGIBLE REPORTS OR USING NON-FEC FORMS WILL BE REQUIRED TO REFILE.

*The period begins with the close of the last report filed by the committee. If the committee has filed no previous reports, the period begins with the date of the committee's first activity.

**Reports sent by registered or certified mail must be post-marked by the mailing date; otherwise, they must be received by the filing date.

FOR INFORMATION, Call: 800/424-9530 or 202/219-3420

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SCHEDULE A

ITEMIZED RECEIPTS

Use amount calculated for each category of tax on Detailed Summary Page

Form Line 114
114

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such contributors.

NAME OF COMMITTEE (In Full)

JOHN LEWIS FOR CONGRESS COMMITTEE

92014713846

A. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Harley McDonald 1228 S. 107th Street Omaha, NE 68124 Receipt For: <input type="checkbox"/> Other Identify: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General	Occupation Businessman Aggregate Year-to-Date > \$ 1,000.00	08/07/92	1000.00
Miles Alexander 3100 Equitable Building Atlanta, GA 30303 Receipt For: <input type="checkbox"/> Other Identify: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General	Name of Employer Kilpatrick & Cody Occupation Attorney Aggregate Year-to-Date > \$ 250.00	07/10/92	250.00
C. Randall MacKelle 700 13th Street, N.W. 800 Washington, D.C. 20005 Receipt For: <input type="checkbox"/> Other Identify: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General	Name of Employer Occupation Lawyer Aggregate Year-to-Date > \$ 250.00	07/10/92 07/10/92	150.00 150.00
Jerro Susan 9908 Ruteliff Trace Atlanta, GA 30350 Receipt For: <input type="checkbox"/> Other Identify: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General	Name of Employer Occupation Aggregate Year-to-Date > \$ 250.00	07/10/92	250.00
Gail Veal 530 Trembley Bay Lane Lawrenceville, Ga. 30245 Receipt For: <input type="checkbox"/> Other Identify: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General	Name of Employer Occupation Business Aggregate Year-to-Date > \$ 1000.00	07/18/92	1000.00
Paramjit Singh Virdi 2550 Abner Hill Road #B-31 East Point, GA 30344 Receipt For: <input type="checkbox"/> Other Identify: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General	Name of Employer Occupation Businessman Aggregate Year-to-Date > \$ 250.00	07/18/92 07/18/92	250.00 250.00
Salena Bentley 3640 Charles Drive East Point, GA 30344 Receipt For: <input type="checkbox"/> Other Identify: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General	Name of Employer Occupation Physician Aggregate Year-to-Date > \$ 200.00	07/18/92	200.00

Total of Receipts This Page (Indicate) **2000.00**
 Total of Receipts (See page with the number only)

MODULE A

ITEMIZED RECEIPTS

Use spaces provided for each category of the Detailed Summary Page
PAGE 1 OF 5
FOR LINE NUMBER 11C

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE IN FULL

JOHN LEVIS FOR CONGRESS COMMITTEE

9403000000

A. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Cash Receipt this Period
Airline Pilots Association PAC 1625 Massachusetts Avenue, N.W. Washington, D.C. 20036		07/18/92	2500.00
Receipt For: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	Aggregate Year-to-Date > \$ 2500.00	
International Chiropractors PAC 1110 North Glebe Road, Suite 1000 Arlington, VA 22201		07/18/92	1000.00
Receipt For: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	Aggregate Year-to-Date > \$ 1000.00	
National Comm. To Preserve Social Security & Medicare PAC 2000 K Street, N.W., Suite 800 Washington, D.C. 20006		07/18/92	1000.00
Receipt For: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	Aggregate Year-to-Date > \$ 1000.00	
Bank South Committee PAC P.O. Box 4387, NE-29 Atlanta, GA 30302		07/18/92	1000.00
Receipt For: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	Aggregate Year-to-Date > \$ 1250.00	
Glinen Good Government Group P.O. Box 878 St. Marys, GA 31558		07/18/92	250.00
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	Aggregate Year-to-Date > \$ 250.00	
MATCHA PAC 444 E. Capital Street, Suite 845 Washington, D.C. 20001		07/07/92 07/18/92	500.00 500.00
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	Aggregate Year-to-Date > \$ 1000.00	
Laborer's Political League 905 16th Street, N.W. Washington, D.C. 20006		07/18/92	1500.00
Receipt For: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	Aggregate Year-to-Date > \$ 2500.00	
Total of Receipts This Page (attachout)			8250.00
Total of Receipts (see page one line number only)			

SCHEDULE A

ITEMIZED RECEIPTS

Use separate schedule
for each category of the
Detailed Summary Page

PAGE 3 OF 5
FOR LINE NUMBER 11C

Any information copied from each Report and Statement may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

JOHN LEWIS FOR CONGRESS COMMITTEE

A. Full Name, Mailing Address and ZIP Code

Anheuser - Busch PAC
St. Louis, MO

Name of Employer

Date (month, day, year)

Amount of Cash Receipt this Period

Occupation

07/07/92

100.00

Receipt For: Primary General
 Other (specify):

Aggregate Year-to-Date > \$ 100.00

B. Full Name, Mailing Address and ZIP Code

Waste Management International PAC
3003 Butterfield Road
Oak Brook, IL 60521

Name of Employer

Date (month, day, year)

Amount of Cash Receipt this Period

Occupation

07/07/92

500.00

Receipt For: Primary General
 Other (specify):

Aggregate Year-to-Date > \$ 1500.00

C. Full Name, Mailing Address and ZIP Code

Realators PAC
430 N. Michigan Avenue
Chicago, IL 60611

Name of Employer

Date (month, day, year)

Amount of Cash Receipt this Period

Occupation

07/18/92

2750.00

07/07/92

500.00

Receipt For: Primary General
 Other (specify):

Aggregate Year-to-Date > \$ 3250.00

D. Full Name, Mailing Address and ZIP Code

Communication Workers Assn. COPS FCC
501 3rd street, N.W.
Washington, D.C. 20001

Name of Employer

Date (month, day, year)

Amount of Cash Receipt this Period

Occupation

07/18/92

1000.00

Receipt For: Primary General
 Other (specify):

Aggregate Year-to-Date > \$ 3500.00

E. Full Name, Mailing Address and ZIP Code

Treasury Employees PAC
901 E Street, N.W., Suite 600
Washington, D.C. 20001

Name of Employer

Date (month, day, year)

Amount of Cash Receipt this Period

Occupation

07/07/92

300.00

Receipt For: Primary General
 Other (specify):

Aggregate Year-to-Date > \$ 300.00

F. Full Name, Mailing Address and ZIP Code

Habiceo Brands Program
For Active Citizenship
East Rutherford, NJ 07034

Name of Employer

Date (month, day, year)

Amount of Cash Receipt this Period

Occupation

07/07/92

500.00

Receipt For: Primary General
 Other (specify):

Aggregate Year-to-Date > \$ 500.00

G. Full Name, Mailing Address and ZIP Code

Human Rights Campaign Fund PAC
P.O. Box 1396
Washington, D.C. 20013

Name of Employer

Date (month, day, year)

Amount of Cash Receipt this Period

Occupation

07/18/92

2000.00

Receipt For: Primary General
 Other (specify):

Aggregate Year-to-Date > \$ 2000.00

Amount of Receipts This Page (optional)

7650.00

Amount of Cash, page 3 of 5 (see number only)

940300600

SCHEDULE A

ITEMIZED RECEIPTS

100 copies distributed for each category of the Schedule Summary Page
PAGE 4
FOR LINE NUMBER 11C

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE IN FULL
JOHN LEWIS FOR CONGRESS COMMITTEE

9403096296

A. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt (this Period)
FAMIE PAC 3900 Wisconsin Avenue, N.W. Washington, D.C. 20006 Recipient For: <input type="checkbox"/> Other Identify: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General	Occupation Aggregate Year-to-Date > \$ 500.00	07/07/92	500.00
Public Securities Association PAC 1000 Vermont Avenue, N.W., Suite 800 Washington, D.C. 20005 Recipient For: <input type="checkbox"/> Other Identify: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General	Name of Employer Occupation Aggregate Year-to-Date > \$ 500.00	07/07/92	500.00
Schering - Fough Better Gov't Fund One Girardin Farms Madison, NJ 07940 Recipient For: <input type="checkbox"/> Other Identify: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General	Name of Employer Occupation Aggregate Year-to-Date > \$ 1000.00	07/18/92	1000.00
Commodity Futures Political Fund 30 S. Wacker Drive Chicago, IL 60606 Recipient For: <input type="checkbox"/> Other Identify: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General	Name of Employer Occupation Aggregate Year-to-Date > \$ 500.00	07/018/92	500.00
National PAC Federal Account Recipient For: <input type="checkbox"/> Other Identify: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General	Name of Employer Occupation Aggregate Year-to-Date > \$ 500.00	08/21/92	500.00
BellSouth Telecommunication Federal PAC Recipient For: <input type="checkbox"/> Other Identify: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General	Name of Employer Occupation Aggregate Year-to-Date > \$ 4500.00	08/21/92	2500.00
Lockheed Employees PAC 4800 Park Granada Boulevard Culverton, CA 91399 Recipient For: <input type="checkbox"/> Other Identify: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General	Name of Employer Occupation Aggregate Year-to-Date > \$ 600.00	08/28/92	600.00

Aggregate Year-to-Date (this Page) 10000.00
 (This page and this receipt only)

SCHEDULE A

ITEMIZED RECEIPTS

Use amounts established for each category of the Detailed Summary Page

PAGE 5 OF 5
FOR LINE NUMBER 11C

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

JOHN LEWIS FOR CONGRESS COMMITTEE

A. Full Name, Mailing Address and ZIP Code

Television & Radio PAC
1771 M Street, N.W.
Washington, D.C. 20036

Name of Employer

Date (month, day, year)

Amount of Each Receipt this Period

08/28/92

500.00

Receipt For: Primary General
 Other Identify:

Occupation

Aggregate Year-to-Date > 8

B. Full Name, Mailing Address and ZIP Code

Chrysler Political Support Committee
Detroit, MI

Name of Employer

Date (month, day, year)

Amount of Each Receipt this Period

07/18/92

500.00

Receipt For: Primary General
 Other Identify:

Occupation

Aggregate Year-to-Date > 11

C. Full Name, Mailing Address and ZIP Code

DRIVE Political Fund - Teamsters
25 Louisiana Avenue, N.W.
Washington, D.C. 20001

Name of Employer

Date (month, day, year)

Amount of Each Receipt this Period

09/15/92

5000.00

Receipt For: Primary General
 Other Identify:

Occupation

Aggregate Year-to-Date > 8

D. Full Name, Mailing Address and ZIP Code

American Federation of State, County & Municipal Employees
1625 L Street, N.W.
Washington, D.C. 20036

Name of Employer

Date (month, day, year)

Amount of Each Receipt this Period

07/18/92

1500.00

Receipt For: Primary General
 Other Identify:

Occupation

Aggregate Year-to-Date > 11

E. Full Name, Mailing Address and ZIP Code

Name of Employer

Date (month, day, year)

Amount of Each Receipt this Period

Occupation

Aggregate Year-to-Date > 8

Receipt For: Primary General
 Other Identify:

F. Full Name, Mailing Address and ZIP Code

Name of Employer

Date (month, day, year)

Amount of Each Receipt this Period

Occupation

Aggregate Year-to-Date > 8

Receipt For: Primary General
 Other Identify:

G. Full Name, Mailing Address and ZIP Code

Name of Employer

Date (month, day, year)

Amount of Each Receipt this Period

Occupation

Aggregate Year-to-Date > 8

Receipt For: Primary General
 Other Identify:

Total of Receipts This Page (Carryover)

7500.00

Total of Receipts This Page (Carryover)

7,400.00

94030962963

SCHEDULE A

ITEMIZED RECEIPTS

Use separate schedules for each category of the Detailed Summary Page

PAGE 1 OF 2
FOR LINE NUMBER 11A1

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

JOHN LEWIS FOR CONGRESS COMMITTEE

A. Full Name, Mailing Address and ZIP Code

**Vivian Jones
4319 Lehaven Circle
Tucker, GA 30084**

Receipt For: Primary General
 Other (specify):

Name of Employer

Vacee Productions

Occupation

President

Date (month, day, year)

10/20/92

Amount of Each Receipt this Period

1000.00

Aggregate Year-to-Date **> 8 1000.00**

B. Full Name, Mailing Address and ZIP Code

**Herman Russell
714 Shorter Terrace
Atlanta, GA 30318**

Receipt For: Primary General
 Other (specify):

Name of Employer

Russell Construction

Occupation

Businessman

Date (month, day, year)

10/20/92

Amount of Each Receipt this Period

500.00

Aggregate Year-to-Date **> 8 1000.00**

C. Full Name, Mailing Address and ZIP Code

**Mrs. Deen Day Smith
4725 Peachtree Corners Circle
Norcross, GA 30092**

Receipt For: Primary General
 Other (specify):

Name of Employer

Occupation

Civic Leader

Date (month, day, year)

10/26/92

Amount of Each Receipt this Period

500.00

Aggregate Year-to-Date **> 8 500.00**

D. Full Name, Mailing Address and ZIP Code

**Joseph Iarocci
1042 Little Victoria Road
Woodstock, GA 30188**

Receipt For: Primary General
 Other (specify):

Name of Employer

Occupation

Businessman

Date (month, day, year)

10/27/92

Amount of Each Receipt this Period

1000.00

Aggregate Year-to-Date **> 8 1000.00**

E. Full Name, Mailing Address and ZIP Code

**Leroy Trammell
3875 Morning Creek Drive
College Park, GA 30349**

Receipt For: Primary General
 Other (specify):

Name of Employer

Occupation

Businessman

Date (month, day, year)

10/28/92

Amount of Each Receipt this Period

500.00

Aggregate Year-to-Date **> 8 500.00**

F. Full Name, Mailing Address and ZIP Code

**Oscar Harris
57 Forsyth Street
Atlanta, GA 30303**

Receipt For: Primary General
 Other (specify):

Name of Employer

Occupation

**Turner Associates
Architect**

Date (month, day, year)

10/28/92

Amount of Each Receipt this Period

500.00

Aggregate Year-to-Date **> 8 500.00**

G. Full Name, Mailing Address and ZIP Code

**Helena Bentley
3640 Charles Drive
East Point, GA 30344**

Receipt For: Primary General
 Other (specify):

Name of Employer

Occupation

**Self
Physician**

Date (month, day, year)

11/06/92

Amount of Each Receipt this Period

200.00

Aggregate Year-to-Date **> 8 700.00**

TOTAL of Receipts This Page (optional)

4200.00

TOTAL This Period (see page 1 for the number only)

6
5
4
3
2
1
C
9

SCHEDULE A

ITEMIZED RECEIPTS

The amounts calculated for each category of the Detailed Summary Page

PAGE 1 OF 4
FOR LINE NUMBER 11C

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

JOHN LEWIS FOR CONGRESS COMMITTEE

A. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Hudson Valley PAC 100 Red Schoolhouse Road Spring Valley, N.Y. 10977		10/20/92	250.00
Receipt For: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	Aggregate Year-to-Date > \$ 250.00	
B. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
American Society of Anesthesiologists 520 N. Northwest Highway Park Ridge, IL 60066		10/20/92	1000.00
Receipt For: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	Aggregate Year-to-Date > \$ 1000.00	
C. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Allied - Signal PAC 1001 Pennsylvania Avenue Washington, D.C. 20004		10/20/92	500.00
Receipt For: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	Aggregate Year-to-Date > \$ 500.00	
D. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
American Pathologists PAC 1101 Vermont Avenue Washington, D.C. 20005		10/20/92	500.00
Receipt For: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	Aggregate Year-to-Date > \$ 500.00	
E. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Aircraft Owners & Pilots Assn PAC 421 Aviation Way Fredrick, MD 21701		10/20/92	500.00
Receipt For: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	Aggregate Year-to-Date > \$ 500.00	
F. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Associated Milk Producers PAC P.O. Box 790287 San Antonio, TX 78279		10/20/92	500.00
Receipt For: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	Aggregate Year-to-Date > \$ 500.00	
G. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Letter Carriers PAC 100 Indiana Avenue Washington, D.C. 20001		10/20/92	2000.00
Receipt For: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	Aggregate Year-to-Date > \$ 2000.00	
Total of Receipts This Page (optional)			3250.00
Total for This Period (see also line 11C)			

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SCHEDULE A ITEMIZED RECEIPTS

Use separate schedule for each category of the Detailed Summary Page
PAGE 2 OF 4
FOR LINE NUMBER 11C

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (If Full)
JOHN LEWIS FOR CONGRESS COMMITTEE

A. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Bristol - Myers Squibb Company PAC 345 Park Avenue New York, N.Y. 10154		10/26/92	250.00
Receipt For: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation:	Aggregate Year-to-Date > \$ 250.00	
American Hospital Assn PAC 840 North Lake Shore Drive Chicago, IL 60611		10/26/92	1000.00
Receipt For: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation:	Aggregate Year-to-Date > \$ 1500.00	
United Parcel Service PAC 400 Perimeter Center Atlanta, GA 30346		10/26/92	5000.00
Receipt For: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation:	Aggregate Year-to-Date > \$ 6500.00	
Nabisco PAC East Hanover, N.J. 07936		10/26/92	500.00
Receipt For: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation:	Aggregate Year-to-Date > \$ 1000.00	
MCI PAC 1801 Pennsylvania Avenue Washington, D.C. 20006		10/26/92	500.00
Receipt For: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation:	Aggregate Year-to-Date > \$ 500.00	
United Auto Workers V CAP 8000 Jefferson Detroit, MI 48214		10/26/92	2500.00
Receipt For: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation:	Aggregate Year-to-Date > \$ 2850.00	
American Academy of Ophthalmology PAC Washington, D.C. 20005		10/26/92	2000.00
Receipt For: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation:	Aggregate Year-to-Date > \$ 2000.00	

TOTAL of Receipts This Page (optional) 11750.00

TOTAL This Period (see page 425 line number only)

92014964521

SCHEDULE A

ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

PAGE 3 OF 4
FOR LINE NUMBER

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE OR PAID

JOHN LEWIS FOR CONGRESS COMMITTEE

A. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
United Food & Commercial Workers International Union PAC Washington, D.C. 20006		10/21/92	2500.00
Receipt For: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	Aggregate Year-to-Date > \$ 3500.00	
B. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Mass. Mutual PAC 1295 State Street Springfield, MA 01111		10/28/92	1000.00
Receipt For: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	Aggregate Year-to-Date > \$ 2000.00	
C. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Georgia Power Company PAC P.O. Box 4545 Atlanta, GA 30302		10/30/92	500.00
Receipt For: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	Aggregate Year-to-Date > \$ 1500.00	
D. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Aetna Life & Casualty PAC		10/30/92	500.00
Receipt For: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	Aggregate Year-to-Date > \$ 500.00	
E. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Marriott PAC Marriott Drive Washington, D.C. 20058		10/30/92	500.00
Receipt For: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	Aggregate Year-to-Date > \$ 500.00	
F. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
ASCAP Legislative Fund For The Arts New York, N.Y. 10023		11/06/92	250.00
Receipt For: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	Aggregate Year-to-Date > \$ 750.00	
G. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Siemens Energy & Automation PAC P.O. Box 89000 Atlanta, GA 30356		11/06/92	300.00
Receipt For: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	Aggregate Year-to-Date > \$ 300.00	

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TOTAL of Receipts This Page (optional)	5550.00
TOTAL This Period (Receipts plus the number above)	



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20543

EO-2

Lillian M. Lewis, Treasurer
John Lewis for Congress
1520 Pinehurst Drive, SW
Atlanta, GA 30311

JUN 1 1993

Identification Number: C00202416

Reference: Amended October Quarterly Report (7/2/92-9/30/92),
received 10/20/92

Dear Ms. Lewis:

This letter is prompted by the Commission's preliminary review of the report(s) referenced above. The review raised questions concerning certain information contained in the report(s). An itemization follows:

-Columns A and B, Line 6(a) of the Summary Page should equal Columns A and B, Line 11(e) of the Detailed Summary Page.

-Schedule A of your report indicates that your committee may have failed to file one or more of the required 48 hour notices regarding "last minute" contributions received by your committee after the close of books for the 12 Day Pre-Primary report. A principal campaign committee must notify the Commission, in writing, within 48 hours of any contribution of \$1,000 or more received between two and twenty days before an election. These contributions are then reported on the next report required to be filed by the committee. To ensure that the Commission is notified of last minute contributions of \$1,000 or more to your campaign, it is recommended that you review your procedures for checking contributions received during the aforementioned time period. Although the Commission may take legal action, any response you wish to make concerning this matter will be taken into consideration. (11 CFR §104.5(f))

-You must provide the occupation and name of employer for each contributor required to be itemized on Schedule A. Please amend your report by supplying the omitted information.

With respect to the occupation and name of employer of the contributor, your committee may demonstrate "best efforts" to obtain the required information. This

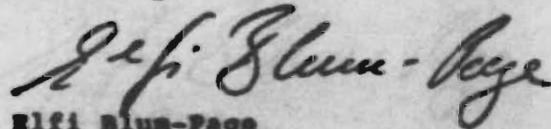
JOHN LEWIS FOR CONGRESS
PAGE 2

demonstration must describe your committee's procedures for requesting the information. You may also supply a copy of the solicitation. Under 11 CFR §104.7(b), the solicitation shall consist of a clear request for the required information (i.e., name, mailing address, occupation, and name of employer). The request should also inform the contributor that the reporting of such information is required by law. See 11 CFR §104.3(a)(4)(i) and 11 CFR §104.7.

-On Schedule B of your report, you have itemized disbursements for which you have failed to include the address. Please amend your report to include the missing information for each of these entries. (11 CFR §104.3(b)(4))

A written response or an amendment to your original report(s) correcting the above problem(s) should be filed with the Clerk of the House of Representatives, 1036 Longworth House Office Building, Washington, DC 20515 within fifteen (15) days of the date of this letter. If you need assistance, please feel free to contact me on our toll-free number, (800) 424-9530. My local number is (202) 219-3580.

Sincerely,



Elfi Blum-Page
Reports Analyst
Reports Analysis Division

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95036412131



FEDERAL ELECTION COMMISSION
WASHINGTON, DC 20543

RQ-5

Lillian M. Lewis, Treasurer
John Lewis for Congress
1520 Pinehurst Drive, SW
Atlanta, GA 30311

JUN 4 1993

Identification Number: C00202416

Reference: 30 Day Post-General Report (10/15/92-11/23/92)

Dear Ms. Lewis:

This letter is prompted by the Commission's preliminary review of the report(s) referenced above. The review raised questions concerning certain information contained in the report(s). An itemization follows:

-Schedule A of your report indicates that your committee may have failed to file one or more of the required 48 hour notices regarding "last minute" contributions received by your committee after the close of books for the October Quarterly report. A principal campaign committee must notify the Commission, in writing, within 48 hours of any contribution of \$1,000 or more received between two and twenty days before an election. These contributions are then reported on the next report required to be filed by the committee. To ensure that the Commission is notified of last minute contributions of \$1,000 or more to your campaign, it is recommended that you review your procedures for checking contributions received during the aforementioned time period. Although the Commission may take legal action, any response you wish to make concerning this matter will be taken into consideration. (11 CFR §104.5(f))

Any amendment or clarification should be filed with the Clerk of the House of Representatives, 1036 Longworth House Office Building, Washington, DC 20515. If you need assistance, please feel free to contact me on our toll-free number, (800) 424-9530. My local number is (202) 219-3580.

Sincerely,

Elfi Blum-Page
Reports Analyst
Reports Analysis Division

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RECEIVED
F.E.C.
SECRETARIAT

FEDERAL ELECTION COMMISSION
999 E Street, N.W.
Washington, D.C. 20463

DEC 13 PM 3:44
SENSITIVE

FIRST GENERAL COUNSEL'S REPORT

RAD Referral #93L-50
STAFF MEMBER: Mary Ann Bumgarner

SOURCE: I N T E R N A L L Y G E N E R A T E D

RESPONDENTS: John Lewis for Congress and Lillian M. Lewis,
as treasurer

RELEVANT STATUTES: 2 U.S.C. § 434(a)(6)(A)

INTERNAL REPORTS CHECKED: Referral Material
Disclosure Reports

FEDERAL AGENCIES CHECKED: None

I. GENERATION OF MATTER

The Office of the General Counsel received a referral from the Reports Analysis Division ("RAD") on July 22, 1993.

Attachment 1. The basis for the attached referral is the failure of John Lewis for Congress and Lillian M. Lewis, as treasurer, ("Respondents") to file timely forty-eight hour notifications ("48 Hour Notices") for twenty-one (21) contributions totaling \$35,250. The \$35,250 is comprised of one (1) contribution from an individual totaling \$1,000 and ten (10) contributions from political action committees totaling \$15,250. These contributions were designated for the Primary Election. The remaining portion of the \$35,250 consists of contributions for the General Election from two (2) individuals

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totaling \$2,000 and eight (8) political action committees totaling \$17,000. Mr. Lewis won the 1992 Primary and General Elections in the fifth (5th) Congressional District in the State of Georgia with 76.3% and 72% of the vote, respectively.

II. FACTUAL AND LEGAL ANALYSIS

Based on the Factual and Legal Analysis, Attachment 2, this Office recommends the Commission find reason to believe Respondents violated 2 U.S.C. § 434(a)(6)(A).

III. DISCUSSION OF CONCILIATION AND CIVIL PENALTY

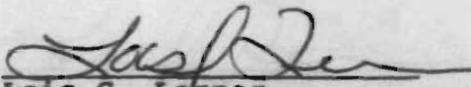
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IV. RECOMMENDATIONS

1. Open a MUR.
2. Find reason to believe that John Lewis for Congress and Lillian M. Lewis, as treasurer, violated 2 U.S.C. § 434(a)(6)(A) and enter into conciliation prior to a finding of probable cause to believe.
3. Approve the attached Factual and Legal Analysis, proposed conciliation agreement, and the appropriate letter.

Lawrence M. Noble
General Counsel

12-13-93
Date

BY: 
Lois G. Lerner
Associate General Counsel

Attachments:

1. Referral Materials
2. Factual and Legal Analysis
3. Proposed Conciliation Agreement

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BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of
John Lewis for Congress and
Lillian M. Lewis, as treasurer.

)
)
) RAD Referral
) #93L-50

MUR 3846

CERTIFICATION

I, Marjorie W. Emmons, Secretary of the Federal Election Commission, do hereby certify that on December 17, 1993, the Commission decided by a vote of 5-0 to take the following actions in RAD Referral #93L-50:

1. Open a MUR.
2. Find reason to believe that John Lewis for Congress and Lillian M. Lewis, as treasurer, violated 2 U.S.C. § 434(a)(6)(A) and enter into conciliation prior to a finding of probable cause to believe.
3. Approve the Factual and Legal Analysis, proposed conciliation agreement, and the appropriate letter, as recommended in the General Counsel's Report dated December 13, 1993.

Commissioners Aikens, Elliott, McGarry, Potter, and Thomas voted affirmatively for the decision; Commissioner McDonald did not cast a vote.

Attest:

12-17-93
Date

Marjorie W. Emmons
Marjorie W. Emmons
Secretary of the Commission

Received in the Secretariat:	Mon., Dec. 13, 1993	3:44 p.m.
Circulated to the Commission:	Tues., Dec. 14, 1993	11:00 a.m.
Deadline for vote:	Fri., Dec. 17, 1993	4:00 p.m.

bjr

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FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20461

DECEMBER 22, 1993

Lillian M. Lewis, Treasurer
John Lewis for Congress
1520 Pinehurst Drive S.W.
Atlanta, Georgia 30311

RE: MUR 3846
John Lewis for Congress and
Lillian M. Lewis, as
treasurer

Dear Ms. Lewis:

On December 17, 1993, the Federal Election Commission found that there is reason to believe John Lewis for Congress and you, as treasurer, violated 2 U.S.C. § 434(a)(6)(A), a provision of the Federal Election Campaign Act of 1971, as amended ("the Act"). The Factual and Legal Analysis, which formed a basis for the Commission's finding, is attached for your information.

You may submit any factual or legal materials that you believe are relevant to the Commission's consideration of this matter. Please submit such materials to the General Counsel's Office within 15 days of your receipt of this letter. Where appropriate, statements should be submitted under oath. In the absence of additional information, the Commission may find probable cause to believe that a violation has occurred and proceed with conciliation.

In order to expedite the resolution of this matter, the Commission has also decided to offer to enter into negotiations directed towards reaching a conciliation agreement in settlement of this matter prior to a finding of probable cause to believe. Enclosed is a conciliation agreement that the Commission has approved.

If you are interested in expediting the resolution of this matter by pursuing probable cause conciliation and if you agree with the provisions of the enclosed agreement, please sign and return the agreement, along with the civil penalty, to the Commission. In light of the fact that conciliation negotiations, prior to a finding of probable cause to believe, are limited to a maximum of 30 days, you should respond to this notification as soon as possible.

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Lillian M. Lewis
Page 2

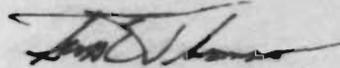
Requests for extensions of time will not be routinely granted. Requests must be made in writing at least five days prior to the due date of the response and specific good cause must be demonstrated. In addition, the Office of the General Counsel ordinarily will not give extensions beyond 20 days.

If you intend to be represented by counsel in this matter, please advise the Commission by completing the enclosed form stating the name, address, and telephone number of such counsel, and authorizing such counsel to receive any notifications and other communications from the Commission.

This matter will remain confidential in accordance with 2 U.S.C. §§ 437g(a)(4)(B) and 437g(a)(12)(A), unless you notify the Commission in writing that you wish the investigation to be made public.

For your information, we have attached a brief description of the Commission's procedures for handling possible violations of the Act. If you have any questions, please contact Mary Ann Bumgarner, the attorney assigned to this matter, at (202) 219-3400.

Sincerely,



Scott E. Thomas
Chairman

Enclosures

Factual and Legal Analysis
Procedures
Designation of Counsel Form
Conciliation Agreement

cc: The Honorable John Lewis (Letter and Factual and Legal Analysis)

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FEDERAL ELECTION COMMISSION
FACTUAL & LEGAL ANALYSIS

RESPONDENTS: John Lewis for Congress and MUR 3846
Lillian M. Lewis, as treasurer

This matter was generated based on information ascertained by the Federal Election Commission ("the Commission") in the normal course of carrying out its supervisory responsibilities. See 2 U.S.C. § 437g(a)(2).

The Federal Election Campaign Act of 1971, as amended, ("the Act") requires principal campaign committees of candidates for federal office to notify, in writing, either the Secretary of the Senate, the Clerk of the U.S. House of Representatives or the Commission, as appropriate, and the Secretary of State, of each contribution totaling \$1,000 or more received by any authorized committee of the candidate after the 20th day, but more than 48 hours before any election. 2 U.S.C. § 434(a)(6)(A). The Act further requires notification to be made within 48 hours after the receipt of the contribution and to include the name of the candidate and office sought, the date of receipt, the amount of the contribution, and the identification of the contributor. Id. The notification of these contributions shall be in addition to all other reporting requirements. 2 U.S.C. § 434(a)(6)(B).

In the state of Georgia, the Primary Election was held on July 21, 1993, and the General Election was held on November 3, 1992. Pursuant to the Act, Respondents were required to notify the Commission, in writing, of all contributions of \$1,000 or more received from July 2 through July 18, 1992, and

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October 15 through October 31, 1992, within 48 hours of their receipt. A review of the Committee's 1992 Amended October Quarterly Report identified eleven (11) contributions received between July 2 and July 18, 1992, of \$1,000 or more totaling \$16,250. In addition, the Committee's 1992 30 Day Post-General Report identified ten (10) contributions received between October 15 and October 31, 1992, of \$1,000 or more totaling \$19,000. Respondents did not submit 48 Hour Notices for these contributions:

<u>Contributor Name</u> (as reported by Committee)	<u>Date</u>	<u>Amount</u>
<u>Primary</u>		
Gail Veal	7/18/92	\$1,000
Airline Pilots Association PAC	7/18/92	\$2,500
International Chiropractors PAC	7/18/92	\$1,000
National Comm. To Preserve Social Security & Medicare PAC	7/18/92	\$1,000
Bank South Committee PAC	7/18/92	\$1,000
Laborers Political League	7/18/92	\$1,500
Realators [sic] PAC	7/18/92	\$2,750
Communication Workers Assn. COPE PCC	7/18/92	\$1,000
Human Rights Campaign Fund PAC	7/18/92	\$2,000
Schering-Plough Better Gov't Fund	7/18/92	\$1,000
American Fedearation [sic] of State, County and Municipal Employees	7/18/92	\$1,500
<u>General</u>		
Vivian Jones	10/20/92	\$1,000
Joseph Iarocci	10/27/92	\$1,000
American Society of Anesthesiologists	10/20/92	\$1,000
Letter Carriers PAC	10/20/92	\$2,000
American Hospital Assn. PAC	10/26/92	\$1,000
United Parcel Service PAC	10/26/92	\$5,000
United Autoworkers V CAP	10/26/92	\$2,500
American Academy of Ophthalmology PAC	10/26/92	\$2,000
United Food & Commercial Workers International Union PAC	10/21/92	\$2,500
Mass. Mutual PAC	10/28/92	\$1,000
TOTAL		\$35,250

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Therefore, there is reason to believe that John Lewis for Congress and Lillian M. Lewis, as treasurer, violated 2 U.S.C. § 434(a)(6)(A) by failing to report, within 48 hours of their receipt, campaign contributions of \$1,000 or more which were received after the 20th day, but more than 48 hours before the Primary and General Elections.

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RECEIVED

FEDERAL ELECTION COMMISSION
OFFICE OF LEGAL COUNSEL

94 JAN -6 PH 3:26

MUR 3846

NAME OF COUNSEL:

Gordon D. Giffin

ADDRESS:

Long, Aldridge & Norman

One Peachtree Center, Suite 5300
303 Peachtree Street

Atlanta, GA 30308

TELEPHONE:

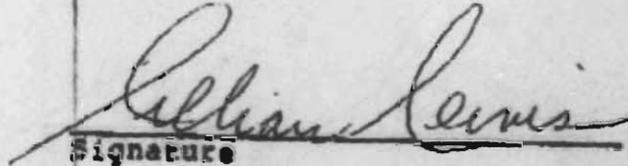
(404) 527-4020

The above-named individual is hereby designated as my
counsel and is authorized to receive any notifications and other
communications from the Commission and to act on my behalf before
the Commission.

Date

1/6/94

Signature



RESPONDENT'S NAME:

John Lewis for Congress

ADDRESS:

William M. Lewis, Treasurer
1520 Pinehurst Drive, S.W.

Atlanta, GA 30311

HOME PHONE:

(404) 753-5452

BUSINESS PHONE:

(404) 880-8816

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OGC 0777

**LONG ALDRIDGE
& NORMAN**
ATTORNEYS AT LAW

JAN 19 3 11 PM '94

January 13, 1994

94 JAN 21 PM 3:21

FEDERAL ELECTION COMMISSION

Ms. Mary Ann Bumgarner
Federal Election Commission
Washington, D.C. 20463

RE: MUR 3846

Dear Ms. Bumgarner:

This letter will formally respond to the December 22, 1993 letter from the Federal Election Commission ("FEC") to Ms. Lillian Lewis, Treasurer of the John Lewis for Congress Committee ("Committee"). It also represents, as you know, a written follow-up to the telephone discussions in which you and I have been engaged over the past ten days.

We are prepared to concede that the Committee inadvertently failed to provide notice to the Commission within 48 hours of the receipt of certain contributions during the specified periods preceding the 1992 primary and general elections. It must be emphasized that this omission was in fact inadvertent and not intentional. Further, it should be noted that the Committee acknowledged the unintentional omission in its June 11, 1993 response to the Commission's June 1 and 4, 1993 letters, which first raised this issue to the Committee's attention. The Committee went on to state that it had immediately taken action to ensure that this oversight does not occur again. These facts do not appear in the analysis sent to the Committee.

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Mary Ann Bumgarner
January 13, 1994
Page 2

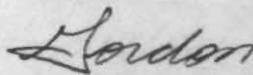
We believe that it is necessary to examine the action or inaction at issue in its context. First, the issue is not a failure by the Committee to report the contributions. Each contribution was reported in the next required periodic report. Second, there is no basis upon which to assert that the inadvertent failure to report immediately affected the outcome of the elections in light of the fact that Congressman Lewis won the primary and general elections with over 70% of the vote in both instances. (It should be noted that almost half of the contributions were disclosed on the public record prior to the general election.) Further, the contributions at issue had no effect on those elections in that resources necessary to fund the campaign activities undertaken by the Committee were otherwise available. Third, the Committee has maintained a record of good faith compliance with applicable law and regulations. There is no pattern or practice of failure to comply. In fact, when the Committee was notified of this issue by the Commission, almost a year after the Committee filed the disclosure report which originally set forth the contributions, it responded with corrective action and communication to the Commission within a matter of days.

In sum, therefore, we are faced with facts which indicate that the Committee failed to comply with the 48 hour notification requirements of 2 U.S.C. §434(a)(6)(A). There is no evidence or reason to believe that the failure was anything other than inadvertent. The contributions were otherwise disclosed by the Committee and there is no reason to believe that the failure had any effect whatsoever on the outcome of the elections.

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Mary Ann Bumgarner
January 13, 1994
Page 3

Sincerely,



Gordon D. Giffin

GDG/jjp
enclosure

94030962984

LONG ALDRIDGE
& NORMAN
ATTORNEYS AT LAW

RECEIVED
FEDERAL ELECTION COMMISSION
94 FEB -7 PM 3:37

February 7, 1994

VIA FACSIMILE

Ms. Mary Ann Bumgarner
Attorney
Federal Election Commission
Washington, DC 20463

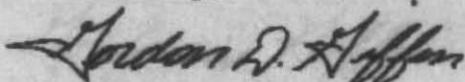
RE: MUR 3846
John Lewis for Congress
and Lillian M. Lewis, as
Treasurer

Dear Ms. Bumgarner:

In accordance with our conversation, I am transmitting to you by facsimile an executed copy of the Conciliation Agreement in the above-referenced matter. When I receive the original of the Agreement, I will sign that on behalf of the John Lewis for Congress Committee and forward it to you.

I appreciate your courtesies and look forward to concluding this matter.

Sincerely,



Gordon D. Giffin

GDG/paf

Enclosure

ONE PEACHTREE CENTER
SUITE 5300 • 303 PEACHTREE STREET
ATLANTA, GEORGIA 30308
TELEPHONE 404 527-4000
FACSIMILE 404 527-4198

1615 L STREET, N.W.
SUITE 950
WASHINGTON, D.C. 20036
TELEPHONE 202 223-7039
FACSIMILE 202 223-7013

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**LONG ALDRIDGE
& NORMAN**
ATTORNEYS AT LAW

RECEIVED
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FEB 14 10 39 AM '94

February 9, 1994

Ms. Mary Ann Bumgarner
Attorney
Federal Election Commission
Washington, D.C. 20463

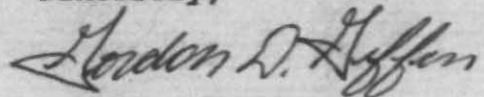
Re: MUR 3846
John Lewis for Congress
and Lillian M. Lewis, as
Treasurer

Dear Ms. Bumgarner:

In reference to my February 7, 1994 letter to you, I am enclosing the originally signed copy of the Conciliation Agreement in the above-referenced matter.

I appreciate your courtesies and look forward to concluding this matter.

Sincerely,



Gordon D. Giffin

GDG/jjp
enclosure

94 FEB 14 PM 2:36

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FEDERAL ELECTION COMMISSION

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RECEIVED
F.E.C.
SECRETARIAT

SL FEB 24 PM 1:05

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
)
John Lewis for Congress and) MUR 3846
Lillian M. Lewis, as treasurer)

SENSITIVE

GENERAL COUNSEL'S REPORT

I. BACKGROUND

Attached is a revised conciliation agreement submitted on behalf of John Lewis for Congress and Lillian M. Lewis, as treasurer, ("Respondents"). Attachment 1. It has been signed by counsel for Respondents.

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For the reasons stated above, this Office recommends that the Commission accept the revised conciliation agreement signed by counsel for Respondents and close the file in this matter.

II. RECOMMENDATIONS

1. Accept the attached conciliation agreement with John Lewis for Congress and Lillian M. Lewis, as treasurer.
2. Approve the appropriate letter.
3. Close the file.

Lawrence M. Noble
General Counsel

2/24/94
Date

BY: 
Lois G. Lerner
Associate General Counsel

Attachments

1. Conciliation Agreement
2. Response dated January 13, 1994

Staff Assigned: Mary Ann Bumgarner

94030962989

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
)
John Lewis for Congress and) MUR 3846
Lillian M. Lewis, as treasurer.)

CERTIFICATION

I, Marjorie W. Emmons, Secretary of the Federal Election Commission, do hereby certify that on March 1, 1994, the Commission decided by a vote of 4-0 to take the following actions in MUR 3846:

1. Accept the conciliation agreement with John Lewis for Congress and Lillian M. Lewis, as treasurer, as recommended in the General Counsel's Report dated February 24, 1994.
2. Approve the appropriate letter, as recommended in the General Counsel's Report dated February 24, 1994.
3. Close the file.

Commissioners Aikens, Elliott, Potter, and Thomas voted affirmatively for the decision; Commissioners McDonald and McGarry did not cast votes.

Attest:

3-1-94
Date

Marjorie W. Emmons
Marjorie W. Emmons
Secretary of the Commission

Received in the Secretariat: Thurs., Feb. 24, 1994 1:05 p.m.
Circulated to the Commission: Thurs., Feb. 24, 1994 4:00 p.m.
Deadline for vote: Tues., Mar. 01, 1994 4:00 p.m.

bjr

94030962990



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20461

March 4, 1994

Gordon D. Giffin, Esquire
Long, Aldridge & Norman
One Peachtree Center, Suite 5300
303 Peachtree Street
Atlanta, GA 30308

RE: MUR 3846
John Lewis for Congress and
Lillian M. Lewis, as treasurer

Dear Mr. Giffin:

On March 1, 1994, the Federal Election Commission accepted the signed conciliation agreement submitted on your clients' behalf in settlement of a violation of 2 U.S.C. § 434(a)(6)(A), a provision of the Federal Election Campaign Act of 1971, as amended ("the Act"). Accordingly, the file has been closed in this matter.

The confidentiality provisions at 2 U.S.C. § 437g(a)(12) no longer apply and this matter is now public. In addition, although the complete file must be placed on the public record within 30 days, this could occur at any time following certification of the Commission's vote. If you wish to submit any factual or legal materials to appear on the public record, please do so as soon as possible. While the file may be placed on the public record before receiving your additional materials, any permissible submissions will be added to the public record upon receipt.

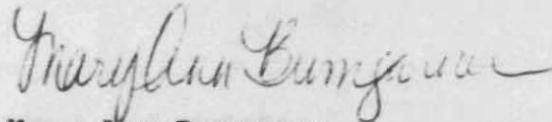
Please be advised that information derived in connection with any conciliation attempt will not become public without the written consent of the respondent and the Commission. See 2 U.S.C. § 437g(a)(4)(B). The enclosed conciliation agreement, however, will become a part of the public record.

94030962991

Mr. Giffin
Page 2

Enclosed you will find a copy of the fully executed conciliation agreement for your files. Please note that the civil penalty is due within 30 days of the conciliation agreement's effective date. If you have any questions, please contact me at (202) 219-3400.

Sincerely,



Mary Ann Bumgarner
Attorney

Enclosure
Conciliation Agreement

94030962992

Feb 14 10 39 AM '94

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of

John Lewis for Congress and
Lillian M. Lewis, as treasurer

)
) MUR 3846
)
)

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FEDERAL ELECTION COMMISSION
94 FEB 14 PM 2:36

CONCILIATION AGREEMENT

This matter was initiated by the Federal Election Commission ("Commission"), pursuant to information ascertained in the normal course of carrying out its supervisory responsibilities. The Commission found reason to believe that John Lewis for Congress and Lillian M. Lewis, as treasurer, ("Respondents") violated 2 U.S.C. § 434(a)(6)(A).

NOW, THEREFORE, the Commission and Respondents, having participated in informal methods of conciliation, prior to a finding of probable cause to believe, do hereby agree as follows:

I. The Commission has jurisdiction over Respondents and the subject matter of this proceeding, and this agreement has the effect of an agreement entered pursuant to 2 U.S.C. § 437g(a)(4)(A)(i).

II. Respondents have had a reasonable opportunity to demonstrate that no action should be taken in this matter.

III. Respondents enter voluntarily into this agreement with the Commission.

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IV. The pertinent facts in this matter are as follows:

1. John Lewis for Congress is a political committee, within the meaning of 2 U.S.C. § 431(4), and is the authorized principal campaign committee for John Lewis's 1992 congressional campaign.

2. Lillian M. Lewis is the treasurer of John Lewis for Congress.

3. The Federal Election Campaign Act of 1971, as amended, ("the Act") requires principal campaign committees of candidates for federal office to notify, in writing, either the Secretary of the Senate, the Clerk of the U.S. House of Representatives or the Commission, as appropriate, and the Secretary of State, of each contribution totaling \$1,000 or more received by any authorized committee of the candidate after the 20th day, but more than 48 hours before any election. 2 U.S.C. § 434(a)(6)(A). The Act further requires notification to be made within 48 hours after the receipt of the contribution and to include the name of the candidate and office sought, the date of receipt, the amount of the contribution, and the identification of the contributor. Id. The notification of these contributions shall be in addition to all other reporting requirements. 2 U.S.C. § 434(a)(6)(B).

4. Between July 2 and July 18, 1992, and October 15 and October 31, 1992, Respondents received twenty-one (21) contributions of \$1,000 or more totaling \$35,250. Respondents did not submit 48 Hour Notices for these contributions. These contributions were reported on Respondents' 1992 October Quarterly and 30 Day Post-General Reports.

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5. Respondents contend that the failure to submit 48 Hour Notices for these contributions was an oversight by the Committee. Respondents further contend that upon notification from the Reports Analysis Division, the Committee implemented measures to ensure future compliance.

V. Respondents failed to report campaign contributions of \$1,000 or more received after the 20th day, but more than 48 hours before the Primary and General Elections, within 48 hours of receipt of the contributions, in violation of 2 U.S.C. § 434(a)(6)(A).

VI. Respondents will pay a civil penalty to the Federal Election Commission in the amount of four thousand, five hundred dollars (\$4,500), pursuant to 2 U.S.C. § 437g(a)(5)(A).

VII. The Commission, on request of anyone filing a complaint under 2 U.S.C. § 437g(a)(1) concerning the matters at issue herein or on its own motion, may review compliance with this agreement. If the Commission believes that this agreement or any requirement thereof has been violated, it may institute a civil action for relief in the United States District Court for the District of Columbia.

VIII. This agreement shall become effective as of the date that all parties hereto have executed same and the Commission has approved the entire agreement.

IX. Respondents shall have no more than 30 days from the date this agreement becomes effective to comply with and implement

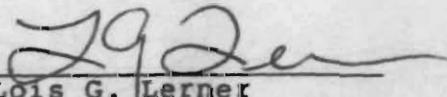
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the requirement contained in this agreement and to so notify the Commission.

X. This Conciliation Agreement constitutes the entire agreement between the parties on the matters raised herein, and no other statement, promise, or agreement, either written or oral, made by either party or by agents of either party, that is not contained in this written agreement shall be enforceable.

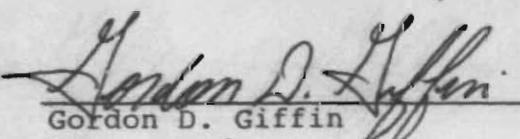
FOR THE COMMISSION:

Lawrence M. Noble
General Counsel

BY: 
Lois G. Lerner
Associate General Counsel

4/3/94
Date

FOR THE RESPONDENTS:


Gordon D. Giffin
Attorney for
John Lewis for Congress

Feb. 7, 1994
Date

94030962996



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

THIS IS THE END OF MUR # 3846

DATE FILMED 3-14-54 CAMERA NO. 2

CAMERAMAN JMH

94030962997



FEDERAL ELECTION COMMISSION
WASHINGTON D.C. 20463

Microfilm
 Public Records
 Press

THE FOLLOWING DOCUMENTATION IS ADDED TO
THE PUBLIC RECORD IN CLOSED MUR 3846.

3/30/94

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OGC 598

CLO

**LONG ALDRIDGE
& NORMAN**
ATTORNEYS AT LAW

RECEIVED
FEDERAL ELECTION
COMMISSION
MAIL ROOM

Mar 21 8 55 AM '94

March 14, 1994

Ms. Mary Ann Bumgarner
Attorney
Federal Election Commission
Washington, D.C. 20463

Re: MUR 3846

Dear Ms. Bumgarner:

This will acknowledge receipt of your letter of March 4, 1994 in which you confirm that the Federal Election Commission accepted the Conciliation Agreement which was submitted on behalf of the John Lewis for Congress Committee.

Please advise me, at your convenience, regarding the manner in which the agreed upon civil penalty should be paid.

I appreciate your assistance in this matter.

Sincerely,
Gordon D. Giffin
Gordon D. Giffin

GDG/jjp

94 MAR 21 PM 2:58

RECEIVED
FEDERAL ELECTION COMMISSION

94030964530



FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

Microfilm

Public Release

Press

THE FOLLOWING DOCUMENTATION IS ADDED TO

THE PUBLIC RECORD IN CLOSED MUR 3846.

8/10/94

24043561001

LONG ALDRIDGE
& NORMAN
ATTORNEYS AT LAW

RECEIVED
FEDERAL ELECTION
COMMISSION
ADMINISTRATIVE DIVISION

APR 15 9 00 AM '94

April 8, 1994

Ms. Mary Ann Bumgarner
Federal Election Commission
Washington, D.C. 20463

Re: MUR 3846

Dear Ms. Bumgarner:

In accordance with our discussion, I am enclosing herewith a check in the amount of \$4,500 from the John Lewis for Congress Committee made payable to the Federal Election Commission in payment of the civil penalty assessed with respect to the above-referenced MUR.

I believe that this payment concludes the matter. If you have any further questions or if further action is required by the John Lewis for Congress Committee, please contact me.

Sincerely,

Gordon D. Giffin

Gordon D. Giffin

GDG/jjp
enclosure

24043561002



JOHN LEWIS
FOR CONGRESS COMMITTEE
P O BOX 2323
ATLANTA, GA 30301

2674

64-7/610
BRANCH 807

4/5 1994

Pay to the Order of The Federal Election Commission \$ 4,500.00
Four thousand, five hundred Dollars



12-87

For Civil penalty to the FEC

William Lewis

⑆002674⑆ ⑆061000078⑆ 62 275 11⑆

94043561003

OGC 1002

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FEDERAL ELECTION
COMMISSION
OFFICE OF GENERAL
COUNSEL

APR 18 2 27 PM '94



FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

April 16, 1994

TWO WAY MEMORANDUM

TO: OGC, Docket

FROM: Philomena Brooks
Accounting Technician

SUBJECT: Account Determination for Funds Received

We recently received a check from John Lewis for Congress Committee, check number 2674, dated April 5, 1994, and in the amount of \$4,500.00. Attached is a copy of the check and any correspondence that was forwarded. Please indicate below the account into which it should be deposited, and the MUR number and name.

TO: Philomena Brooks
Accounting Technician

FROM: OGC, Docket JOB by AK

In reference to the above check in the amount of \$ 4,500, the MUR number is 3846 and in the name of John Lewis for Congress Committee. The account into which it should be deposited is indicated below:

- Budget Clearing Account (OGC), 95F3875.16
- Civil Penalties Account, 95-1099.160
- Other: _____

John D. Carson
Signature

4/18/94
Date

24043561004