



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

THIS IS THE BEGINNING OF MUR # 3793

DATE FILMED 6/15/94 CAMERA NO. 2

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FEDERAL ELECTION COMMISSION

JUN 11 12 34 PM '93

ERNST EPSTEIN, M.D., INC.
DERMATOLOGY
100 SOUTH ELLSWORTH AVENUE, SUITE 707
SAN MATEO, CALIFORNIA 94401
TELEPHONE (415) 348-1242

June 7, 1993

Office of General Counsel
Federal Election Commission
999 E. Street, N.W.
Washington, DC 20463

Dear F.E.C. Counsel:

This letter deals with what I believe to be a significant violation of F.E.C. regulation by Miguel Martinez, the former treasurer of the San Mateo County Democratic Party F.E.C. campaign account.

Mr. Miguel Martinez resigned as treasurer of the F.E.C. account of the San Mateo County Democratic Party on October 4, 1992. His letter is enclosed as Enclosure A. Without the authorization of knowledge of the Chair of the Party or of any members of the Executive Board, on October 8, 1992, Miguel Martinez purchased computer components in the sum of \$2,290.24, using a check drawn on the San Mateo County Democratic party's FEC account. A copy of the invoice from Comp USA (the firm from which the computer components were purchased) showed that the computer components had been sold to Latinos for Better Government at 470 Fernwood Drive, San Bruno, California. This is the home address of Miguel Martinez.

This transaction came to the attention of the Chair of the Central Committee (Jan Epstein) and the new treasurer of the F.E.C. account (Gregory Wild) upon going over the October bank statements from the F.E.C. bank account. This information was made available to the members of the Central Committee at their meeting on November 19, 1992. A copy of that hand-out is enclosed as Enclosure B. While the check was dated October 4, the computer purchase transaction was apparently carried out on October 8, 1992.

The Executive Board of the San Mateo County Democratic Party Central Committee, with the exception of Miguel Martinez, considered this an unauthorized transfer of campaign funds and demanded that Miguel Martinez return the money. Miguel Martinez and others maintained that this was a legal transfer of funds to an organization dedicated to Latino outreach. At the time this transaction came to light--early November, 1992--the F.E.C. account treasurer, Gregory Wild, was unable to find any information on Latinos for Better Government. Miguel Martinez did not provide information as to when this organization was organized, who the officers were or its legal status. Subsequently, it was learned that Latinos for Better Government had filed organization with the State of California on December 9, two months after they acknowledged receiving the computer contribution purchased by Miguel Martinez. A copy of their organization filing is enclosed as Enclosure C. In other words, Latinos for Better Government had no documented or legal status at the time of the transaction of October 8, 1992.

Subsequently, at the California State Democratic Party Convention in Sacramento on April 2 and April 3, 1993, Miguel Martinez and others circulated a flyer regarding Latinos for Better Government and soliciting contributions. According to this flyer (copy attached as Enclosure D) Latinos for Better Government is a 501(C)(3) organization. On my personal initiative, and using my own personal funds, I asked a well known corporate law firm to provide me some

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FEDERAL ELECTION COMMISSION

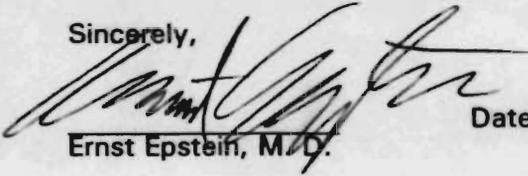
background information as to Latinos for Better Government and their claim of being a "501(C)(3) organization". This letter by Mrs. Penelope C. Greenberg of the firm of Carr, McLellan, Ingersoll, Thompson, Horn is enclosed as Enclosure E. According to this letter "Latinos for Better Government is not a tax exempt organization under IRC #501(C)3 regulations". The letter also points out certain "discrepancies" between the actions of Latinos for Better Government and state regulations.

Attached to this letter is a summary (Enclosure F) of the accounts controlled by the San Mateo County Democratic Party. Two of these are campaign accounts, the third is the Central Committee's operating account. Also attached as Enclosure G are the names and addresses of the chair, vice chairs, and treasurers of the San Mateo County Democratic Party Central Committee. Also listed is the name of Mrs. Ann Benner, on the staff of Congressman Tom Lantos, San Mateo office, 400 S. El Camino Real, Suite 820, San Mateo, CA 94402, telephone number (415)342-0300. Mrs. Benner is Congressman Lantos' designated representative to the San Mateo County Central Democratic Party Central Committee, and is a source for background information.

Why am I writing you? I am the husband of Jan Epstein, Chair of the San Mateo County Democratic Party. I am a life-long Democrat and have financially supported numerous Democratic candidates. I am a physician in active private practice in San Mateo, have no political ambitions and have never run for office. The Central Committee is composed of volunteers eager to do political work; they are not equipped to deal with violations of the law. Hence my writing you.

I wish to emphasize that this letter is being written on my own initiative. Neither my wife, Jan, nor any other officer or any other member of the San Mateo County Democratic Party Central Committee has read or seen this letter. I have tried to present the facts in an objective manner with proper documentation. Please feel free to contact me, either by mail or by phone at (415) 348-1242 if further clarification is needed. As requested by your staff, my signature on this letter is being certified by a Notary Public.

Sincerely,



Ernst Epstein, M.D.

Date: 6-8-43

EE:mm

Enclosures

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ALL-PURPOSE ACKNOWLEDGMENT

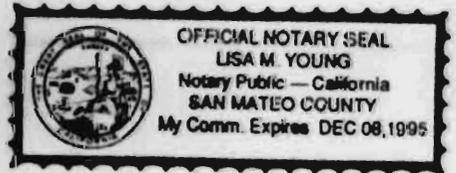
NO 204

State of California
 County of San Mateo }

On June 8, 1993 before me, Lisa M. Young, Notary Public
DATE NAME, TITLE OF OFFICER - E.G., JANE DOE, NOTARY PUBLIC

personally appeared Ernest Epstein, MD
NAME(S) OF SIGNER(S)

personally known to me - OR - proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



Witness my hand and official seal.

Lisa M. Young
SIGNATURE OF NOTARY

CAPACITY CLAIMED BY SIGNER

- INDIVIDUAL(S)
- CORPORATE OFFICER(S) _____ TITLE(S) _____
- PARTNER(S)
- ATTORNEY-IN-FACT
- TRUSTEE(S)
- SUBSCRIBING WITNESS
- GUARDIAN/CONSERVATOR
- OTHER: _____

SIGNER IS REPRESENTING:
NAME OF PERSON(S) OR ENTITY(IES)

ATTENTION NOTARY: Although the information requested below is **OPTIONAL**, it could prevent fraudulent attachment of this certificate to unauthorized document.

**THIS CERTIFICATE
 MUST BE ATTACHED
 TO THE DOCUMENT
 DESCRIBED AT RIGHT:**

Title or Type of Document Letter
 Number of Pages 2 pages Date of Document 6-8-93
 Signer(s) Other Than Named Above None

9 4 0 4 3 5 2 3 8 9 5

Mu~~el~~ Martinez

Copy

Enclosure A

October 4, 1992

Federal Election Commission
999 E Street, NW
Washington, DC 20463

Dear Commission Officer,

Please accept this notice of resignation as treasurer of the San Mateo County Democratic Party, FEC # C00258061, effective on the above date.

I felt it was necessary to resign because my expressed concerns over the handling of Cash at satellite campaign headquarters were consistently ignored by the chairwoman of the San Mateo County Democratic Party.

Concerning the upcoming campaign report due October 15, since the Chair of the San Mateo County Democratic Party has been issuing check payments and prescribed the Cash controls, the Chair shall be responsible for submitting the October 15 report and appropriate forms.

I have requested an audit report be made. If you deem it necessary, I will be happy to forward a copy of that audit report to your office.

Thank you for your cooperation in past filings.

Sincerely,

cc: Ms. Ruthellen Harris, California Democratic Party Regional Chair
Ms. Jan Epstein, San Mateo County Democratic Party Chair

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Handout for November 19, 1992

This is a copy of a check written by Miguel Martinez for \$2,290.34 to Comp USA, a computer firm. This check was written on October 4, 1992, the day Miguel resigned from his stewardship of the campaign accounts. The expenditure was not authorized or discussed with me or any other member of the Executive Committee.

The Executive Committee has tried to ascertain the purpose of this expenditure. Greg Wild was able to get a copy of the invoice for this purchase which you see attached on the following page.

Jan Epstein, Chair
SMCDCC

COPY

94043523897

Enclosure

COMPUSA - SAN BRUNO, CA
BEST PRICE - SELECTION - SERVICE
SATISFACTION GUARANTEED
LATINOS FOR BETTER GOVERNMENT 00030194
470 FERNWOOD DR

33204

SAN BRUNO, CA 94066

***** FINAL SCA PAYMENT *****

***** TICKET REPRINT *****

TKT NO DATE CLR REG TYPE PAID BY
25429 11/10/92 CP 15 SALE CASH

3:10APM

CODE	DESCRIPTION	QTY	PRICE
918975	CMPD SUPER I/O	1	20.92
919124	CMPD SNAP SHELL	1	159.92
898693	SIMM 1MX9 70NS	4	32.98
653384	CMPD .28NI 1024 X 76	1	299.87
951214	CARE TEST & ASSY CA	1	49.00
404084	CMPD HYDRA FLOPPY DR	1	117.92
756444	CMPD WHIPLASH WINN/A	1	179.96
833272	QUAN 240MB IDE DRIVE	1	475.00
919453	CMPD 486DX/33 MOTHER	1	644.92
179880	MS WINDOWS 3.1 UPGD	1	30.00
806505	CMPD SERIAL MOUSE	1	10.00

=====
 MDSE TOTAL 2119.43
 SALES TAX 170.81
 TOTAL 2290.24
 PREVIOUS PMTS 2290.24
 THIS PMT 0.00
 AMOUNT DUE 0.00

CASH 0.00
 AMT TENDERED 0.00
 CHANGE

ORDER# 33204

**** RETURNED MERCHANDISE POLICY ****
 30 DAY MONEY BACK GUARANTEE on all
 items except where noted (with
 original receipt and full packaging).
 Defective software may be exchanged
 for same item only. Laser printers are
 covered under manufacturer's warranty.

THANK YOU FOR SHOPPING COMPUSA!!

33204
 11-24-92
 121000
 WELLS FARGO BANK
 183
 SAN MATEO COUNTRY DEMOCRATIC PARTY
 700 SOUTH B STREET
 SAN MATEO, CA 94001
 550024
 550024
 10/4/92
 \$2250.24
 100
 1210002181: 183 0295 0687 5311
 41089022902111

COPY

Enclosure C



Secretary of State
Political Reform Division
P.O. Box 1467
Sacramento, CA 95812-1467

The city or county filing officer, with whom the committee must file its original campaign disclosure statements.

RECEIVED AND FILED
In the office of the Secretary of State of the State of California
DEC 11 1992
MARCHIONO EU, Secretary of State
PM 12-9-92

Form 410
1990

STATEMENT OF ORGANIZATION (RECIPIENT COMMITTEE)

923491

(Government Code Sections 84101-84103)

R-41
L

IF AMENDMENT
ENTER I.D. NUMBER

(Type or Print in Ink)

FULL NAME OF COMMITTEE: **LATINOS FOR BETTER GOVERNMENT**

STREET ADDRESS OF COMMITTEE: (NOT P.O. BOX) NO. AND STREET CITY STATE ZIP CODE COUNTY:
510 CYPRESS SAN BRUNO CA 94066 SAN MATEO

MAILING ADDRESS (IF DIFFERENT): NO. AND STREET (OR P.O. BOX) CITY STATE ZIP CODE
SAN MATEO

DATE QUALIFIED AS COMMITTEE (MO., DAY, YR.) AREA CODE PHONE NUMBER A FOR OFFICIAL USE ONLY B FOR OFFICIAL USE ONLY
NOT AS YET QUALIFIED 415 952 1625

I TREASURER AND OTHER PRINCIPAL OFFICERS

POSITION	NAME	MAILING ADDRESS, IF DIFFERENT THAN ABOVE	(AREA CODE)	DAY TIME PHONE NO.
TREASURER	JOHNNY ANGUIANO	510 CYPRESS, SAN BRUNO	415	952 1625
EXECUTIVE DIRECTOR	MIGUEL MARTINEZ	470 FERNWOOD, SAN BRUNO	415	894 1863
LEGAL COUNSEL	GONZALO R. TORRES	1070 Gilman Dr. Colma CA	415	756 4683

Attach additional information on appropriately labeled continuation sheets

8 IS THIS COMMITTEE CONTROLLED BY A CANDIDATE, OFFICEHOLDER OR STATE MEASURE PROPONENT? (See definition and important information on reverse.)

3 YES (Complete the following) NO

2 IF THIS COMMITTEE IS CONTROLLED BY AN OFFICEHOLDER OR A CANDIDATE, THE NAME OF THE CONTROLLING CANDIDATE OR OFFICEHOLDER, THE ELECTIVE OFFICE SOUGHT OR HELD AND DISTRICT NUMBER, IF ANY, MUST BE LISTED

5 IF THIS IS A BALLOT MEASURE COMMITTEE CONTROLLED BY MORE THAN ONE CANDIDATE, THE NAME OF EACH CONTROLLING CANDIDATE MUST BE LISTED.

3 IF THIS COMMITTEE IS CONTROLLED BY A STATE MEASURE PROPONENT, THE NAME OF THE STATE MEASURE PROPONENT MUST BE LISTED

4 IF THIS COMMITTEE ACTS JOINTLY WITH ANOTHER CONTROLLED COMMITTEE, YOU MUST LIST THE NAME AND IDENTIFICATION NUMBER OF THE OTHER CONTROLLED COMMITTEE.

10 IF THIS IS A BROAD BASED COMMITTEE (see definition and important information on reverse), AND YOU WISH TO MAKE CONTRIBUTIONS TO CANDIDATES IN EXCESS OF THE \$2,500 CONTRIBUTION LIMIT, YOU MUST CHECK THE BOX BELOW AND ENTER THE DATE ON OR BEFORE WHICH THE COMMITTEE QUALIFIED AS A BROAD BASED POLITICAL COMMITTEE. YOU MUST COMPLETE THIS SECTION BEFORE MAKING CONTRIBUTIONS OVER THE \$2,500 LIMIT. (If the committee is not a broad based committee, or does not wish to make contributions in excess of the \$2,500 limit, do not complete this section.)

THIS COMMITTEE IS A BROAD BASED POLITICAL COMMITTEE. THE COMMITTEE QUALIFIED AS A BROAD BASED POLITICAL COMMITTEE ON OR BEFORE:

(month) (day) (year)

Attach additional information on appropriately labeled continuation sheets

YOU MUST COMPLETE THE VERIFICATION ON PAGE 2

For information required to be provided to you pursuant to the Information Practices Act of 1977, see Information Manual on Campaign Disclosure Provisions of the Political Reform Act.

COPY

IV IS THIS A SPONSORED COMMITTEE? (Refer to the instructions on the reverse side for definitions and rules regarding the name of a sponsored committee.)

- NO
- YES (Provide name and address of sponsor. If the committee has more than one sponsor, provide the name and address of each sponsor on an appropriately labeled attachment.)

Name of Sponsor: _____
 Address of Sponsor: _____

V IF PRIMARILY FORMED TO SUPPORT OR OPPOSE SPECIFIC CANDIDATES OR MEASURES, LIST SPECIFIC CANDIDATES OR MEASURES SUPPORTED OR OPPOSED.

CANDIDATE'S NAME/MEASURE'S FULL TITLE INCLUDING BALLOT NUMBER OR LETTER	SUPPORT	OPPOSE	OFFICE SOUGHT OR HELD BY CANDIDATE OR MEASURE'S JURISDICTION (Include district number, city or county, as applicable.)

Attach additional information on appropriately labeled continuation sheets.

VI COMMITTEE'S PRINCIPAL ACTIVITY IF NOT SUPPORTING OR OPPOSING SPECIFIC CANDIDATES OR MEASURES - PLEASE CHECK BOX TO INDICATE THE COMMITTEE'S LEVEL OF ACTIVITY: CITY COUNTY STATE

VOTER REGISTRATION, VOTER EDUCATION,
GET-OUT-THE-VOTE AS A NON-PARTISAN ORGANIZATION
TARGETED TO THE LATINO COMMUNITY. TO INCREASE VOTER
PARTICIPATION OF THE LATINO COMMUNITY AND TO ACHIEVE PARITY
REGISTRATION AND VOTER TURNOUT RATES.

Attach additional information on appropriately labeled continuation sheets.

VII YOU MUST SPECIFY WHAT DISPOSITION WILL BE MADE OF SURPLUS FUNDS IN THE EVENT OF TERMINATION.

DONATED TO A LATINO NON PROFIT ORGANIZATION

VERIFICATION

I HAVE USED ALL REASONABLE DILIGENCE IN PREPARING THIS STATEMENT. I HAVE REVIEWED THE STATEMENT AND TO THE BEST OF MY KNOWLEDGE THE INFORMATION CONTAINED HEREIN IS TRUE AND COMPLETE.

I CERTIFY UNDER PENALTY OF PERJURY UNDER THE LAWS OF THE STATE OF CALIFORNIA THAT THE FOREGOING IS TRUE AND CORRECT.

EXECUTED ON 8 Dec 92 AT San Bruno CA BY [Signature]
(DATE) (CITY AND STATE) (SIGNATURE OF TREASURER)

EXECUTED ON _____ AT _____ BY _____
(DATE) (CITY AND STATE) (SIGNATURE OF CONTROLLING CANDIDATE, OFFICEHOLDER OR STATE MEASURE PROPONENT)

EXECUTED ON _____ AT _____ BY _____
(DATE) (CITY AND STATE) (SIGNATURE OF CONTROLLING CANDIDATE, OFFICEHOLDER OR STATE MEASURE PROPONENT)

EXECUTED ON _____ AT _____ BY _____
(DATE) (CITY AND STATE) (SIGNATURE OF CONTROLLING CANDIDATE, OFFICEHOLDER OR STATE MEASURE PROPONENT)

②
LATINOS FOR BETTER GOVERNMENT

MEMBERSHIP APPLICATION

Enclosed is my contribution to mobilize **LATINOS FOR BETTER GOVERNMENT**. (Please make check payable to: **LATINOS FOR BETTER GOVERNMENT**.)

- \$25.
 \$50.
 \$100.
 Other

Enclosure D

Additional ways I will contribute:

- Hold a fundraiser
 Contact friends
 Make phone calls
 Contact businesses
 Office work
 Computer work
 Volunteer Bilingual skills
 Walk precincts
 Other

Name _____

Address _____

City _____ State _____ Zip _____

Occupation _____

Employer _____

The above information is required to comply with state political laws.

Please return application to:

LATINOS FOR BETTER GOVERNMENT
510 Cypress Avenue
San Bruno, CA 94066



③
LATINOS FOR BETTER GOVERNMENT (LBG) is a nonpartisan, not-for-profit 501(c)(3), organization. LBG's goal is to increase Latino voter participation by achieving parity registration and increasing voter turnout rates. Understanding that registration alone does not guarantee high voter turnout rates, LBG has designed Latino voter outreach programs.

LBG designs, develops and implements voting programs: bilingual Vote-By-Mail, voter registration, and Latino voter resource information hot-lines. LBG is a Latino voter clearinghouse. LBG provides research papers and voter literature on the issues and candidates, newsletters and magazines. LBG generates press releases and feature articles for the media, and develops bilingual public service announcements about voting rights. Moreover, LBG works to create outreach programs into Latino communities, creates networks between various Latino social and political organizations, and develops ethnic channels of coalition politics.

The purpose of increasing Latino voter participation is to access political empowerment and affiliations; to protect and promote the political and economic interest of the Latino community, informing and educating political leaders, legislators and government officials on key Latino issues, and providing media and grassroots support as necessary. Because of the ever changing social and political climate, U.S. Latino citizens and foreign nationals living in the U.S. must take a greater role in shaping the way society views their contributions. For these reasons, it is vital to the well-being of Latino communities to educate immigrants in the importance of becoming involved with local government, schools and taxing agencies. Achieving these objectives requires voter education and outreach, recruitment and organizational support for coordinated Latino efforts.

LATINOS FOR BETTER GOVERNMENT MISSION STATEMENT
The result of LBG's mission is political empowerment through responsive political representation of the Latino community. LBG acknowledges political empowerment as one of the most significant differences in improving the quality of life for Latinos and their families. LBG defines

political empowerment as strong and effective Latino leadership; increased levels of Latino participation in the electoral, political and policy arenas; and unity among Latinos.

LBG GENERAL STRATEGY

To accomplish the goal of educating, registering and increasing turnout of Latino voters, **LATINOS FOR BETTER GOVERNMENT** undertakes the following:

- Identifies Latino geographic and demographic areas in which to concentrate voter education, registration and voter turnout. Utilizes current census data and voter registration rolls and voter turnout information to reach targeted areas for phone campaign, event, and door-to-door activities.
- Registers Latinos to vote.
- Educates Latinos on the importance of registering and voting.
- Introduces Latinos to the political process. Establish the importance of being educated on business issues, environmental consequences, community safety, proposed social solutions and taxation effects. Compare candidates and ballot measures to what is best for the Latino community and the community at-large.
- Educates Latinos on the power of the vote to improve their community's social, economic and civic well-being.
- Implements the bilingual Vote-by-Mail and Get-Out-the-Vote campaigns to visibly exercise the power of the Latino swing vote. The Latino vote will be a visible bloc of votes in each political office and ballot issue race.

Political empowerment is based on increased levels of participation in the electoral, political and policy arenas. Empowerment consists of participating in the electoral process; by utilizing the Vote-by-Mail program to generate 100% Latino voter participation, the Latino voting bloc is created and visibly exposed. The Latino voter will be clearly recognized as an important voting group that determines the outcome of all elections.

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**LATINOS FOR BETTER GOVERNMENT
FOUNDERS & OFFICERS**

CELESTE GORE

I am proud to be a founding member of LATINOS FOR BETTER GOVERNMENT because I see it as a healthy vehicle which empowers citizens of this country to finally be heard. By educating people of the right and need to vote, we create a voice for people addressing the issues that directly affect them and their community. Politicians listen to votes. LBG is more than a voice for the Latino community. LBG is inclusive, not exclusive. It is an organization that leads the way by offering its expertise to African Americans, women, Filipinos, Asians, and all identifiable groups. We hope to bridge the founding skeleton of this nation with one common thread. Vote your voice. LBG's Vote-By-Mail program provides the best opportunity for identifiable group votes to promote their political strength."

- Member of the politically active Gore family
- Longtime community activist and fund raiser
- Founder and chair of San Mateo County's Redwood City Headquarters Outreach Program. Targeted campaign program increased voter turnout of San Mateo county's ethnic groups.

MIGUEL MARTINEZ

Mr. Martinez has served a major oil company in computer technology and community liaison. He is past president and board member of a Stanford University Alumni Chicano/Latino club, board member of the National Hispanic University President's Blue Ribbon Committee and treasurer for various political committees.

JOHNNY J. ANGUIANO, MPA

Mr. Anguiano is the founder of Prima Ventura Consulting, a public affairs group. Past work experience includes the California Coastal Commission, the California Judicial Council and the California Food and Agriculture Department.

LATINOS FOR BETTER GOVERNMENT

GONZALO "SAL" TORRES, Esq.

Mr. Torres is an associate with Gutierrez & Associates, the largest Latino owned law firm in Northern California. Past work experience includes working as staff attorney for the California School Employee's Association. He has chaired various political committees and coordinated educational organizations and conferences.

FERNANDO VEGA

Being retired gives Mr. Vega the time to be a full-time political activist for the Latino community. His accomplishments include:

- Redwood City Council member, 1976-1978
- Redwood City Elementary School District Board Member, 1972-1976
- Founding Member, Hispanos Unidos
- San Mateo County Grand Jury member
- Two-term Chairman, Salvation Army Advisory Board
- Selected Democrat of the Year for San Mateo County, 1983
- Stanford Urban Coalition Board Member
- San Mateo County Democratic Central Committee, 8 terms
- Small business owner

CARLOS GERARD VARGAS

Mr. Vargas is the editing and desktop publishing consultant for Prima Ventura Consulting, a public affairs group. He has worked as a freelance writer and reporter, an editor and desktop publisher for small business and non-profit and community organizations for the past 10 years.

QUESTION & ANSWER

- Q. Can LBG assist other ethnic groups seeking to advance high voter turnout rates in their community?
- A. YES! LBG's Vote-By-Mail targets identifiable groups and gets them to vote. Vote-By-Mail is the only program that provides full opportunity for every identifiable group voter to cast their ballot.

LATINOS FOR BETTER GOVERNMENT
510 Cypress Avenue
San Bruno, CA 94066

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Enclosure E

CARR, McCLELLAN, INGERSOLL, THOMPSON & HORN
PROFESSIONAL CORPORATION

ATTORNEYS AT LAW

216 PARK ROAD, POST OFFICE BOX 513
BURLINGAME, CALIFORNIA 94011-0513

TELEPHONE (415) 342-9600

FACSIMILE (415) 342-7685

April 14, 1993

STEVEN D. ANDERSON
LINDA R. BECK
JAMES F. BLOOD
KENNETH M. HURLEY
JEREMY W. KATZ
JANETTE G. LEONIDOU
CAROL L. MUSSMAN
TERESE M. RADDIE
LISA H. STALTERI
MOIRA C. WALSH
JOHN T. WALTHIER
D. KENT WESTERBERG

A. ROBERT ROSIN
SPECIAL COUNSEL

SAN FRANCISCO OFFICE
TWENTY-SECOND FLOOR
ONE CALIFORNIA STREET
SAN FRANCISCO
CALIFORNIA 94111
TELEPHONE (415) 362-1400
FACSIMILE (415) 362-5149

ALBERT J. HORN
DAVID C. CARR
ARTHUR H. BREDEBECK
NORMAN I. BOOK, JR.
QUENTIN L. COOK
ROBERT A. NEBRIG
MARION L. BROWN
RICHARD C. BERRA
L. MICHAEL TELLEEN
LAGE E. ANDERSEN
KEITH P. BARTEL
MARK A. CASSANEGO
LAURENCE M. MAY
PAUL V. SIMPSON
DAVID M. MCKIM

MICHAEL J. McQUAID
FENELOPE C. GREENBERG
ROBERT W. PAYNE
KRISTI COTTON SPENCE
MARK D. HUDAK
JAMES R. CODY
JORDAN W. CLEMENTS
PAUL A. AHERNE
EDWARD J. WILLIG III
SARAH J. DIBOISE
W. GEORGE WAILES
RONALD F. GARRITY
CAROL B. SCHWARTZ
LAURA E. INNES
LORI A. LUTZKER

Via - Facsimile # (415) 348-0788
and U.S. Mail

Ernst Epstein, M.D.
100 So. Ellsworth, Suite 707
San Mateo, CA 94401

COPY

Dear Dr. Epstein:

In response to your inquiry yesterday about "Latinos for Better Government," I reviewed various IRS and FPPC (Fair Political Practices Commission) materials and spoke with the California Secretary of State's Office (916/322-4884), the San Mateo County Elections Office (Sharon Thaofelis 415/599-1218), the Los Angeles IRS Office (Barbara Cowans 213/894-2336), and our paralegal did a computer search of California corporations records.

We have determined that "Latinos for Better Government" (hereafter referred to as the "Committee") is not a tax-exempt organization (either under IRC §501(c)(3) or any other section of the tax code) and is not a California corporation. Instead, it is a political committee, specifically a general purpose recipient committee, registered with the California Secretary of State's office and with the County of San Mateo's Office of Elections.

The Committee filed with the California Secretary of State's office a "Statement of Organization" dated December 9, 1993, (date stamped December 11, 1993, by the Secretary of State's office), with a copy to the County, listing the following information:

Executive Director: Miguel Martinez
470 Fernwood
San Bruno, CA
(415) 894-1863

Treasurer: Johnny Anguiano
510 Cypress
San Bruno, CA
(415) 952-1625

94043523903

Legal Counsel: Gonzalo R. Torres
1070 Gilman Drive
Colma, CA
(415) 756-4683

Purpose: Voter registration and education. Get out the vote as a nonpartisan organization targeted to the Latino community to increase voter participation of the Latino community and to achieve parity registration and voter turnout rates.

Not organized to support or oppose specific candidates or ballot measures.

Intent is to operate at a statewide level.

Disposition of surplus funds: To Latino nonprofit organizations

The Statement of Organization filed by the Committee last December, as described above, indicated that the Committee was "not yet qualified," meaning that the Committee had not yet raised as much as \$1,000 as of December 9, 1992. However, on the 1992 Campaign Disclosure form subsequently filed by the Committee, listing contributions, expenditures, and related financial information, the Committee reported a nonmonetary contribution worth \$2,290 in the form of a computer given to the Committee on October 8, 1992, by the San Mateo County Democratic Party.

Within ten days after a committee receives \$1,000 or more in contributions, a committee is supposed to file a Statement of Organization with the Secretary of State. A contribution includes "the transfer of anything of value that a committee received from another committee, unless full and adequate consideration is received." (Campaign Disclosure Information Manual C 1992/93 page 4)

Thus, it appears that the Committee should have filed its Statement of Organization by October 18, 1992, rather than December 9, 1992, and that the Statement should have indicated that the Committee was "qualified" as of October 8, 1992. Furthermore, the 1992 Campaign Disclosure form, which the Committee filed with the County, may not have been filed with the State, as it should have been. (Since the Committee checked the "State Committee" box on the Statement of Organization, the

94043523904

Ernst Epstein, M.D.
April 14, 1993
Page 3

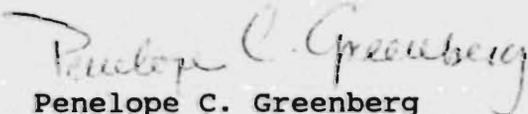
Committee should be filing its Campaign Disclosure forms with the State (with a copy to the County if the Committee wishes). Neither of the people in the Secretary of State's office with whom I spoke mentioned the existence of the 1992 Campaign Disclosure form and both of them seemed to be under the impression that as of December 9, 1992, the Committee still had not received contributions of \$1,000 or more.)

I have not asked the State about any of these apparent discrepancies both because I do not know precisely what your issues are and because I believe that any such questions should first be put to the Committee's legal counsel, Mr. Torres. There may be other facts which cast a different light on the matter.

Probably the Committee's next filing will be the semiannual Campaign Disclosure form due by July 31, 1993, covering the first six months (January through June) of 1993. This form should be filed with the State with a copy to the County if the Committee wishes. The Committee should also file an amended Statement of Organization indicating that it is "qualified."

I hope this is helpful. If you need anything further, please do not hesitate to call.

Sincerely,


Penelope C. Greenberg

PCG:jh

94043523905

Enclosure

DESCRIPTION OF BANK ACCOUNTS CONTROLLED THE BY SAN MATEO COUNTY
DEMOCRATIC CENTRAL COMMITTEE

The Democratic Central Comm of San Mateo County controls 3 different bank accounts. One of these is for Central Committee business, and the other two are campaign accounts. One campaign account is responsible to the FEC (Federal Election Commission), the other is supervised by the FPPC (California State Fair Political Practices Commission). The law requires these two separate campaign accounts.

Miguel Martinez resigned as treasurer for both the FEC and FPPC campaign accounts. Following his resignation, each of these two accounts was closed and the balance of each account transferred to new accounts with the same bank.

1. The Central Committees business account:

Title of account: San Mateo County Democratic Central Committee
Bank: Great Western Bank
Account Number: 323-802737-3
Responsible for ongoing Central Committee business

2. The FPPC account. A campaign account. FPPC # 901900

Title of account: San Mateo County Democrats for Democrats
Bank: Great Western Bank
Old Account Number: 062-809446-1
On October 8, 1992 the above account was closed and the ending balance was transferred to:
New Account Number: 363-807821-6
Responsible for receipts and disbursements for specific state related campaigns

3. The FEC account. A campaign account. FEC # C00258061

Title of account: San Mateo County Democratic Party
Bank: Wells Fargo Bank
Old Account Number: 0295 068753
On October 5, 1992 the above account was closed and the ending balance was transferred to:
New Account Number: 0520 113416
Responsible for receipts and expenditures for specific federal related campaigns.

94043523906

Enclosure

G

NAMES AND ADDRESSES OF PERSONS MENTIONED IN LETTER

1. Miguel Martinez, Currently treasurer of Central Committee's Operating Account
470 Fernwood Avenue
San Bruno, CA 94066
2. Jan Epstein, Chair
420 Edgewood Road
San Mateo, CA 94402
3. Mrs. Ruth Ellen Harris, Northern Vice Chair
720 Hillsborough Boulevard
Hillsborough, CA 94010
4. Mr. Gregory Wild, Treasurer
1118 Grand Teton Drive
Pacifica, CA 94044

Congressman Tom Lantos' Representative to the Central Committee

Mrs. Ann Benner
400 S. El Camino Real
Suite 820
San Mateo, CA 94402

94043523907



FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

JUNE 17, 1993

Ernst Epstein, M.D.
100 South Ellsworth Avenue
Suite 707
San Mateo, CA 94401

Dear Dr. Epstein:

This is to acknowledge receipt on June 11, 1993, of your letter dated June 7, 1993. The Federal Election Campaign Act of 1971, as amended ("the Act") and Commission Regulations require that the contents of a complaint meet certain specific requirements. One of these requirements is that a complaint be sworn to and signed in the presence of a notary public and notarized. Your letter was not properly sworn to.

In order to file a legally sufficient complaint, you must swear before a notary that the contents of your complaint are true to the best of your knowledge and the notary must represent as part of the jurat that such swearing occurred. The preferred form is "Subscribed and sworn to before me on this ___ day of ___, 19__." A statement by the notary that the complaint was sworn to and subscribed before her also will be sufficient. We are sorry for the inconvenience that these requirements may cause you, but we are not statutorily empowered to proceed with the handling of a compliance action unless all the statutory requirements are fulfilled. See 2 U.S.C. § 437g.

Enclosed is a Commission brochure entitled "Filing a Complaint." I hope this material will be helpful to you should you wish to file a legally sufficient complaint with the Commission.

If you have any questions concerning this matter, please contact me at (202) 219-3410.

Sincerely,

Retha Dixon
Docket Chief

Enclosure

cc: Miguel Martinez

94043523908

ERNST EPSTEIN, M.D., INC.
DERMATOLOGY
100 SOUTH ELLEWORTH AVENUE, SUITE 707
SAN MATEO, CALIFORNIA 94401
TELEPHONE (415) 348-1242

June 7, 1993

MUR 3793

Office of General Counsel
Federal Election Commission
999 E. Street, N.W.
Washington, DC 20463

Dear F.E.C. Counsel:

This letter deals with what I believe to be a significant violation of F.E.C. regulation by Miguel Martinez, the former treasurer of the San Mateo County Democratic Party F.E.C. campaign account.

Mr. Miguel Martinez resigned as treasurer of the F.E.C. account of the San Mateo County Democratic Party on October 4, 1992. His letter is enclosed as Enclosure A. Without the authorization or knowledge of the Chair of the Party or of any members of the Executive Board, on October 8, 1992, Miguel Martinez purchased computer components in the sum of \$2,290.24, using a check drawn on the San Mateo County Democratic party's FEC account. A copy of the invoice from Comp USA (the firm from which the computer components were purchased) showed that the computer components had been sold to Latinos for Better Government at 470 Fernwood Drive, San Bruno, California. This is the home address of Miguel Martinez.

This transaction came to the attention of the Chair of the Central Committee (Jan Epstein) and the new treasurer of the F.E.C. account (Gregory Wild) upon going over the October bank statements from the F.E.C. bank account. This information was made available to the members of the Central Committee at their meeting on November 19, 1992. A copy of that hand-out is enclosed as Enclosure B. While the check was dated October 4, the computer purchase transaction was apparently carried out on October 8, 1992.

The Executive Board of the San Mateo County Democratic Party Central Committee, with the exception of Miguel Martinez, considered this an unauthorized transfer of campaign funds and demanded that Miguel Martinez return the money. Miguel Martinez and others maintained that this was a legal transfer of funds to an organization dedicated to Latino outreach. At the time this transaction came to light--early November, 1992--the F.E.C. account treasurer, Gregory Wild, was unable to find any information on Latinos for Better Government. Miguel Martinez did not provide information as to when this organization was organized, who the officers were or its legal status. Subsequently, it was learned that Latinos for Better Government had filed organization with the State of California on December 9, two months after they acknowledged receiving the computer contribution purchased by Miguel Martinez. A copy of their organization filing is enclosed as Enclosure C. In other words, Latinos for Better Government had no documented or legal status at the time of the transaction of October 8, 1992.

Subsequently, at the California State Democratic Party Convention in Sacramento on April 2 and April 3, 1993, Miguel Martinez and others circulated a flyer regarding Latinos for Better Government and soliciting contributions. According to this flyer (copy attached as Enclosure D) Latinos for Better Government is a 501(C)(3) organization. On my personal initiative, and using my own personal funds, I asked a well known corporate law firm to provide me some

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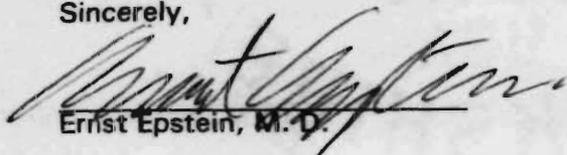
background information as to Latinos for Better Government and their claim of being a "501(C)(3) organization". This letter by Mrs. Penelope C. Greenberg of the firm of Carr, McLellan, Ingersoll, Thompson, Horn is enclosed as Enclosure E. According to this letter "Latinos for Better Government is not a tax exempt organization under IRC #501(C)3 regulations". The letter also points out certain "discrepancies" between the actions of Latinos for Better Government and state regulations.

Attached to this letter is a summary (Enclosure F) of the accounts controlled by the San Mateo County Democratic Party. Two of these are campaign accounts, the third is the Central Committee's operating account. Also attached as Enclosure G are the names and addresses of the chair, vice chairs, and treasurers of the San Mateo County Democratic Party Central Committee. Also listed is the name of Mrs. Ann Benner, on the staff of Congressman Tom Lantos, San Mateo office, 400 S. El Camino Real, Suite 820, San Mateo, CA 94402, telephone number (415)342-0300. Mrs. Benner is Congressman Lantos' designated representative to the San Mateo County Central Democratic Party Central Committee, and is a source for background information.

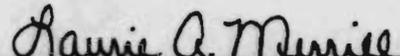
Why am I writing you? I am the husband of Jan Epstein, Chair of the San Mateo County Democratic Party. I am a life-long Democrat and have financially supported numerous Democratic candidates. I am a physician in active private practice in San Mateo, have no political ambitions and have never run for office. The Central Committee is composed of volunteers eager to do political work; they are not equipped to deal with violations of the law. Hence my writing you.

I wish to emphasize that this letter is being written on my own initiative. Neither my wife, Jan, nor any other officer or any other member of the San Mateo County Democratic Party Central Committee has read or seen this letter. I have tried to present the facts in an objective manner with proper documentation. Please feel free to contact me, either by mail or by phone at (415) 348-1242 if further clarification is needed. As requested by your staff, my signature on this letter is being certified by a Notary Public.

Sincerely,


Ernst Epstein, M.D.

{ State of California
County of San Mateo *Jan*


Notary Public, for said County and State

Subscribed and sworn to before me on
this 22nd day of June, 1993

EE:mm

Enclosures



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OAC 9227

RECEIVED
FEDERAL ELECTION
COMMISSION
MAIL ROOM

JUN 23 12 00 PM '93

ERNST EPSTEIN, M.D., INC.
DERMATOLOGY
100 SOUTH ELLSWORTH AVENUE, SUITE 707
SAN MATEO, CALIFORNIA 94401
TELEPHONE (415) 348-1242

RECEIVED
FEDERAL ELECTION
COMMISSION
93 JUN 23 PM 3:34

June 22, 1993

Ms. Retha Dixon
Docket Chief
Federal Election Commission
999 E. Street, N.W.
Washington, DC 20463

Dear Ms. Dixon:

Thank you for your letter of June 17, 1993 (photocopy enclosed), in regard to my complaint not having the proper form of notarization. Thank you for explaining this to me and enclosing the brochure on filing a complaint.

I do wish to file a legally sufficient complaint, and therefore am submitting the same letter of June 7, 1993, with the proper "subscribed and sworn to before me on this _____ day of _____, 1993", notarization. I checked with your staff and "Elaine" told me that it would not be necessary to also submit all the enclosures; if I simply made reference to the fact that they were already in your files. Consequently, this time there are no enclosures.

Again, I thank you for promptly notifying me that my complaint was not in the correct format required by law so I can correct this.

Sincerely,



Ernst Epstein, M. D.

EE:mm

Enclosure

94043523911



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

JUNE 28, 1993

Ernst Epstein, M.D.
100 South Ellsworth Avenue
Suite 707
San Mateo, California 94401

RE: MUR 3793

Dear Dr. Epstein:

This letter acknowledges receipt on June 23, 1993, of your complaint alleging possible violations of the Federal Election Campaign Act of 1971, as amended ("the Act"), by Miguel Martinez, Latinos for Better Government, San Mateo County Democratic Party and Gregory Wild, as treasurer. The respondents will be notified of this complaint within five days.

You will be notified as soon as the Federal Election Commission takes final action on your complaint. Should you receive any additional information in this matter, please forward it to the Office of the General Counsel. Such information must be sworn to in the same manner as the original complaint. We have numbered this matter MUR 3793. Please refer to this number in all future communications. For your information, we have attached a brief description of the Commission's procedures for handling complaints.

Sincerely,

Abigail A. Shaine (by AAW)

Abigail A. Shaine
Assistant General Counsel

Enclosure
Procedures

94043523912



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

JUNE 28, 1993

Miguel Martinez
470 Fernwood Drive
San Bruno, California 94066

RE: MUR 3793

Dear Mr. Martinez:

The Federal Election Commission received a complaint which indicates that you may have violated the Federal Election Campaign Act of 1971, as amended ("the Act"). A copy of the complaint is enclosed. We have numbered this matter MUR 3793. Please refer to this number in all future correspondence.

Under the Act, you have the opportunity to demonstrate in writing that no action should be taken against you in this matter. Please submit any factual or legal materials which you believe are relevant to the Commission's analysis of this matter. Where appropriate, statements should be submitted under oath. Your response, which should be addressed to the General Counsel's Office, must be submitted within 15 days of receipt of this letter. If no response is received within 15 days, the Commission may take further action based on the available information.

This matter will remain confidential in accordance with 2 U.S.C. § 437g(a)(4)(B) and § 437g(a)(12)(A) unless you notify the Commission in writing that you wish the matter to be made public. If you intend to be represented by counsel in this matter, please advise the Commission by completing the enclosed form stating the name, address and telephone number of such counsel, and authorizing such counsel to receive any notifications and other communications from the Commission.

94043523913

Miguel Martinez
Page 2

If you have any questions, please call (202) 219-3690 and ask to speak with a member of the Central Enforcement Docket (CED). For your information, we have enclosed a brief description of the Commission's procedures for handling complaints.

Sincerely,

Abigail A. Shaine (by AAW)

Abigail A. Shaine
Assistant General Counsel

Enclosures

1. Complaint
2. Procedures
3. Designation of Counsel Statement

94043523914



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

JUNE 28, 1993

Gonzalo R. Torres, Esquire
Latinos for Better Government
510 Cypress
San Bruno, California 94066

RE: MUR 3793

Dear Mr. Torres:

The Federal Election Commission received a complaint which indicates that Latinos for Better Government may have violated the Federal Election Campaign Act of 1971, as amended ("the Act"). A copy of the complaint is enclosed. We have numbered this matter MUR 3793. Please refer to this number in all future correspondence.

Under the Act, you have the opportunity to demonstrate in writing that no action should be taken against Latinos for Better Government in this matter. Please submit any factual or legal materials which you believe are relevant to the Commission's analysis of this matter. Where appropriate, statements should be submitted under oath. Your response, which should be addressed to the General Counsel's Office, must be submitted within 15 days of receipt of this letter. If no response is received within 15 days, the Commission may take further action based on the available information.

This matter will remain confidential in accordance with 2 U.S.C. § 437g(a)(4)(B) and § 437g(a)(12)(A) unless you notify the Commission in writing that you wish the matter to be made public. If you intend to be represented by counsel in this matter, please advise the Commission by completing the enclosed form stating the name, address and telephone number of such counsel, and authorizing such counsel to receive any notifications and other communications from the Commission.

94043523915

Gonzalo R. Torres, Esquire
Latinos for Better Government
Page 2

If you have any questions, please call (202) 219-3690 and ask to speak with a member of the Central Enforcement Docket (CED). For your information, we have enclosed a brief description of the Commission's procedures for handling complaints.

Sincerely,

Abigail A. Shaine (by AAW)

Abigail A. Shaine
Assistant General Counsel

Enclosures

1. Complaint
2. Procedures
3. Designation of Counsel Statement

94043523916



FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

JUNE 28, 1993

Gregory Wild, Treasurer
San Mateo County Democratic Party
703 South B Street
San Mateo, California 94401

RE: MUR 3793

Dear Mr. Wild:

The Federal Election Commission received a complaint which indicates that the San Mateo County Democratic Party ("Committee") and you, as treasurer, may have violated the Federal Election Campaign Act of 1971, as amended ("the Act"). A copy of the complaint is enclosed. We have numbered this matter MUR 3793. Please refer to this number in all future correspondence.

Under the Act, you have the opportunity to demonstrate in writing that no action should be taken against the Committee and you, as treasurer, in this matter. Please submit any factual or legal materials which you believe are relevant to the Commission's analysis of this matter. Where appropriate, statements should be submitted under oath. Your response, which should be addressed to the General Counsel's Office, must be submitted within 15 days of receipt of this letter. If no response is received within 15 days, the Commission may take further action based on the available information.

This matter will remain confidential in accordance with 2 U.S.C. § 437g(a)(4)(B) and § 437g(a)(12)(A) unless you notify the Commission in writing that you wish the matter to be made public. If you intend to be represented by counsel in this matter, please advise the Commission by completing the enclosed form stating the name, address and telephone number of such counsel, and authorizing such counsel to receive any notifications and other communications from the Commission.

94043523917

Gregory Wild, Treasurer
San Mateo County Democratic Party
Page 2

If you have any questions, please call (202) 219-3690 and ask to speak with a member of the Central Enforcement Docket (CED). For your information, we have enclosed a brief description of the Commission's procedures for handling complaints.

Sincerely,

Abigail A. Shaine (by AAW)

Abigail A. Shaine
Assistant General Counsel

Enclosures

1. Complaint
2. Procedures
3. Designation of Counsel Statement

94043523918

OAC 9414

RECEIVED
FEDERAL ELECTION
COMMISSION
MAIL ROOM

ERNST EPSTEIN, M.D., INC.
DERMATOLOGY
100 SOUTH ELLSWORTH AVENUE, SUITE 707
SAN MATEO, CALIFORNIA 94401
TELEPHONE (415) 348-1242

JUL 12 2 53 PM '93

July 9, 1993

Ms. Abigail A. Shaine
Assistant General Counsel
Federal Election Commission
999 E Street, N.W.
Washington, DC 20463

RECEIVED
FEDERAL ELECTION COMMISSION
93 JUL 12 PM 3:31

RE: MUR 3793

Dear Ms. Shaine:

This letter is in regard to your letter of June 28, 1993 (photocopy enclosed) dealing with my letter of complaint (MUR 3739). My letter of complaint described possible violations of FEC regulations by Miguel Martinez the former treasurer of the San Mateo County Democratic Party FEC campaign account. A copy of my original letter of complaint is also enclosed.

In paragraph 1 of your letter you state that I complained of alleged possible violations of the Federal Election Campaign Act of 1971 by "...Miguel Martinez, Latinos for Better Government, San Mateo County Democratic Party and Gregory Wild, as treasurer." This is wrong. I have NOT lodged any complaint against Mr. Gregory Wild who is the current treasurer of the San Mateo County Democratic Party FEC campaign account and was not involved with the previous treasurer's actions. The allegations dealt entirely with actions by Mr. Miguel Martinez the former treasurer of the FEC account who abruptly resigned on October 4, 1992. Mr. Wild is an experienced certified public accountant who has spent much time in order to file accurate FEC reports.

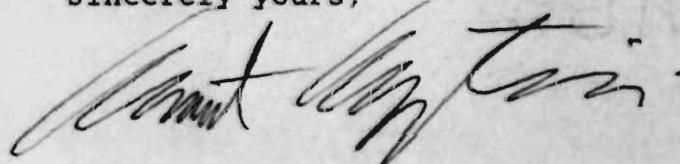
I am well aware of the damage that even a false accusation can cause. That is why I carefully documented my letter and was very specific. Consequently I am deeply distressed that you have wrongly stated I have filed a complaint against Mr. Wild, and you are asking him to respond to charges that do NOT exist. I assume that some sort of administrative error occurred; I note your letter was not personally signed by you.

94043523919

Also please note that "Latinos for Better Government" is NOT part of the San Mateo County Democratic Party but a completely separate non-partisan organization. Mr. Martinez is an elected member of the San Mateo County Democratic Party Central Committee and is treasurer of the San Mateo County Democratic Party's business account. Until he resigned on October 4, 1992 from the FEC and FPPC campaign accounts, he was treasurer of all three accounts. The details of the 3 bank accounts controlled by the San Mateo County Democratic Party are outlined in Enclosure F of my original letter.

To me it is a great wrong to falsely accuse an innocent person. I did NOT make any allegations against Gregory Wild or the San Mateo County Democratic Party Central Committee.

Sincerely yours,



Ernst Epstein, M.D.

Enclosures: 2

94043523920



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

COPY

JUNE 28, 1993

93 JUL 12 PM 3:32
RECEIVED
FEDERAL ELECTION COMMISSION

Ernst Epstein, M.D.
100 South Ellsworth Avenue
Suite 707
San Mateo, California 94401

RE: MUR 3793

Dear Dr. Epstein:

This letter acknowledges receipt on June 23, 1993, of your complaint alleging possible violations of the Federal Election Campaign Act of 1971, as amended ("the Act"), by Miguel Martinez, Latinos for Better Government, San Mateo County Democratic Party and Gregory Wild, as treasurer. The respondents will be notified of this complaint within five days.

You will be notified as soon as the Federal Election Commission takes final action on your complaint. Should you receive any additional information in this matter, please forward it to the Office of the General Counsel. Such information must be sworn to in the same manner as the original complaint. We have numbered this matter MUR 3793. Please refer to this number in all future communications. For your information, we have attached a brief description of the Commission's procedures for handling complaints.

Sincerely,

Abigail A. Shaine (by AAW)

Abigail A. Shaine
Assistant General Counsel

Enclosure
Procedures

94043523921

COPY

ERNST EPSTEIN, M.D., INC.
DERMATOLOGY
100 SOUTH ELLSWORTH AVENUE, SUITE 707
SAN MATEO, CALIFORNIA 94401
TELEPHONE (415) 348-1242

June 7, 1993

93 JUN 12 11 3: 32

Office of General Counsel
Federal Election Commission
999 E. Street, N.W.
Washington, DC 20463

Dear F.E.C. Counsel:

This letter deals with what I believe to be a significant violation of F.E.C. regulation by Miguel Martinez, the former treasurer of the San Mateo County Democratic Party F.E.C. campaign account.

Mr. Miguel Martinez resigned as treasurer of the F.E.C. account of the San Mateo County Democratic Party on October 4, 1992. His letter is enclosed as Enclosure A. Without the authorization of knowledge of the Chair of the Party or of any members of the Executive Board, on October 8, 1992, Miguel Martinez purchased computer components in the sum of \$2,290.24, using a check drawn on the San Mateo County Democratic party's FEC account. A copy of the invoice from Comp USA (the firm from which the computer components were purchased) showed that the computer components had been sold to Latinos for Better Government at 470 Fernwood Drive, San Bruno, California. This is the home address of Miguel Martinez.

This transaction came to the attention of the Chair of the Central Committee (Jan Epstein) and the new treasurer of the F.E.C. account (Gregory Wild) upon going over the October bank statements from the F.E.C. bank account. This information was made available to the members of the Central Committee at their meeting on November 19, 1992. A copy of that hand-out is enclosed as Enclosure B. While the check was dated October 4, the computer purchase transaction was apparently carried out on October 8, 1992.

The Executive Board of the San Mateo County Democratic Party Central Committee, with the exception of Miguel Martinez, considered this an unauthorized transfer of campaign funds and demanded that Miguel Martinez return the money. Miguel Martinez and others maintained that this was a legal transfer of funds to an organization dedicated to Latino outreach. At the time this transaction came to light--early November, 1992--the F.E.C. account treasurer, Gregory Wild, was unable to find any information on Latinos for Better Government. Miguel Martinez did not provide information as to when this organization was organized, who the officers were or its legal status. Subsequently, it was learned that Latinos for Better Government had filed organization with the State of California on December 9, two months after they acknowledged receiving the computer contribution purchased by Miguel Martinez. A copy of their organization filing is enclosed as Enclosure C. In other words, Latinos for Better Government had no documented or legal status at the time of the transaction of October 8, 1992.

Subsequently, at the California State Democratic Party Convention in Sacramento on April 2 and April 3, 1993, Miguel Martinez and others circulated a flyer regarding Latinos for Better Government and soliciting contributions. According to this flyer (copy attached as Enclosure D) Latinos for Better Government is a 501(C)(3) organization. On my personal initiative, and using my own personal funds, I asked a well known corporate law firm to provide me some

24043523922

background information as to Latinos for Better Government and their claim of being a "501(C)(3) organization". This letter by Mrs. Penelope C. Greenberg of the firm of Carr, McLellan, Ingersoll, Thompson, Horn is enclosed as Enclosure E. According to this letter "Latinos for Better Government is not a tax exempt organization under IRC #501(C)3 regulations". The letter also points out certain "discrepancies" between the actions of Latinos for Better Government and state regulations.

Attached to this letter is a summary (Enclosure F) of the accounts controlled by the San Mateo County Democratic Party. Two of these are campaign accounts, the third is the Central Committee's operating account. Also attached as Enclosure G are the names and addresses of the chair, vice chairs, and treasurers of the San Mateo County Democratic Party Central Committee. Also listed is the name of Mrs. Ann Benner, on the staff of Congressman Tom Lantos, San Mateo office, 400 S. El Camino Real, Suite 820, San Mateo, CA 94402, telephone number (415)342-0300. Mrs. Benner is Congressman Lantos' designated representative to the San Mateo County Central Democratic Party Central Committee, and is a source for background information.

Why am I writing you? I am the husband of Jan Epstein, Chair of the San Mateo County Democratic Party. I am a life-long Democrat and have financially supported numerous Democratic candidates. I am a physician in active private practice in San Mateo, have no political ambitions and have never run for office. The Central Committee is composed of volunteers eager to do political work; they are not equipped to deal with violations of the law. Hence my writing you.

I wish to emphasize that this letter is being written on my own initiative. Neither my wife, Jan, nor any other officer or any other member of the San Mateo County Democratic Party Central Committee has read or seen this letter. I have tried to present the facts in an objective manner with proper documentation. Please feel free to contact me, either by mail or by phone at (415) 348-1242 if further clarification is needed. As requested by your staff, my signature on this letter is being certified by a Notary Public.

Sincerely,

Date: _____

Ernst Epstein, M. D.

EE:mm

Enclosures

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2

Miguel Martinez

RECEIVED
FEDERAL ELECTION COMMISSION

93 JUL 14 PM 1:55

July 14, 1993

Mr. Eric Brown
Paralegal Specialist
Office of General Counsel
Federal Election Commission
Washington, DC 20463

Dear Mr. Brown,

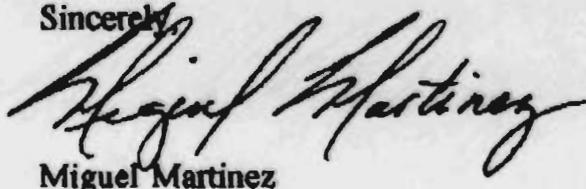
As per our phone conversation on the morning of July 14, 1993, I am writing this letter at your request. In regard to complaint MUR 3793, we must, unfortunately, request your leniency in adhering to the 15-day response period.

The complaint was received on July 2, 1993. During this period the Fourth of July Holiday occurred, the general counsel for Latinos for Better Government was on vacation in Mexico until July 7, then the general counsel rushed to Los Angeles to spend three days at his father's side who was admitted at the hospital for emergency surgery.

We are requesting you grant an extension of the response period to July 21, 1993.

Thank you for considering our request.

Sincerely,


Miguel Martinez

470 Fernwood Drive • San Bruno, CA 94066 • 415/589-5998

94043523924



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

VIA FACSIMILE

July 14, 1993

Miguel Martinez
470 Fernwood Drive
San Bruno, CA 94066

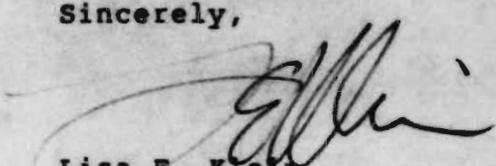
RE: MUR 3793

Dear Mr. Martinez:

This is in response to your letter by facsimile dated July 14, 1993, which we received on July 14, 1993, requesting an extension until July 21, 1993 to respond to MUR 3793. After considering the circumstances presented in your letter, the Office of the General Counsel has granted the requested extension. Accordingly, your response is due by the close of business on July 21, 1993.

If you have any questions, please contact a member of the Central Enforcement Docket staff at (202) 219-3400.

Sincerely,


Lisa E. Klein
Assistant General Counsel

94043523925

Miguel Martinez

OAC 9522

RECEIVED
FEDERAL ELECTION
COMMISSION
MAIL ROOM

JUL 22 10 54 AM '93

July 20, 1993

Ms. Abigail A. Shaine
Assistant General Counsel
Federal Elections Commission
Washington, DC 20463

RE: MUR 3793

Dear Ms. Shaine,

As per your request, I, Miguel Martinez am responding to the Federal Elections Commission complaint MUR 3793. Attached are factual and legal documents that will substantiate no wrong doing on the part of Miguel Martinez and that Miguel Martinez complied with all Federal Election Campaign Act rules and regulations.

Therefore, I am requesting that the Federal Elections Commission dismiss complaint MUR 3793 and take no action against Miguel Martinez in this matter.

In my response, I shall present what I believe are more accurate facts than was in the complainant's material. The following information shall show that Miguel Martinez made no unauthorized transfers of campaign funds, and acted within the scope of the treasurer's duties for the San Mateo County Democratic Party. (Note: In some documents the South County Group is named. The South County Group was also known as the Redwood City Headquarters Group.)

Furthermore, within the scope of duties as treasurer of the San Mateo County Democratic Party, I, Miguel Martinez correctly acted on a request by a duly authorized officer of the San Mateo County Democratic Party to disburse a check from the FEC campaign account for the purposes of purchasing a computer. It was the decision of a duly authorized officer of the San Mateo County Democratic Party, not Miguel Martinez, that title and possession of the computer transfer to Latinos for Better Government as an in-kind payment for services provided to the Redwood City Headquarters Group, a group that opened and funded a satellite campaign headquarters established on behalf of the San Mateo County Democratic Party.

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Moreover, I shall show that Miguel Martinez's resignations as treasurer of the FEC and FPPC campaign accounts were based on his professional opinion that irregular accounting practices performed by others exposed him to possible criminal and/or civil liabilities.

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FACTS

In mid-August of the 1992 general election, a group of community leaders and concerned citizens contacted the San Mateo County Democratic Party chair, Mrs. Jan Epstein, with a request to establish a Democratic Party campaign office in south San Mateo County, California.

According to the California elections code, Division 7, Part 2, chapter 4, Article 4 - County Central Committee, section 8940 - Party Campaign:

A [county central] committee shall have charge of the party campaign under general direction of the state central committee or of the executive committee selected by the state central committee.

To establish a Democratic Party campaign headquarters in south San Mateo County, the San Mateo County Democratic Party Central Committee chair, Mrs. Epstein, required the Redwood City Headquarters Group to obtain her approval.

At Miguel Martinez's request, the Redwood City Headquarters Group met with Mrs. Epstein, Miguel Martinez, and at least five members of the San Mateo County Democratic Central Committee on August 19, 1992. Procedural and financial requirements were discussed. The Redwood City Headquarters Group agreed the south county campaign office would support all Democratic Party candidates appearing on the San Mateo County ballot. Also agreed upon, at the request of Mrs. Epstein, was the stipulation that the Redwood City Headquarters Group would use the banking services of the San Mateo County Democratic Party Central Committee campaign accounts for the deposit and withdrawal of funds raised by the Redwood City Headquarters Group.

Mrs. Epstein verbally agreed that the Redwood City Headquarters Group would be credited with all the funds they raised. Mrs. Epstein also verbally agreed that the Redwood City Headquarters Group had the full authority to make expenditures. However, Mrs. Epstein stated that before the Redwood City Headquarters Group could incur each expenditure, the group must have funds in the bank to cover the expenditure.

On August 26, 1992, under the authority as chair of the San Mateo County Democratic Central Committee and chair of the San Mateo County Democratic Party state and federal campaigns, Mrs. Epstein issued a memorandum to the Redwood City Headquarters Group and Miguel Martinez that provided the requirements to establish the Redwood City campaign office (Exhibit A). Nothing in Mrs. Epstein's memorandum contradicted statements she made at the August 19 meeting, the memorandum was, therefore, accepted by Miguel Martinez and the Redwood City Headquarters Group.

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TREASURER'S GUIDELINES

As treasurer, I, Miguel Martinez, issue payments by check only after the appropriate campaign office chairperson, Central Committee Executive Board, or Central Committee membership has made a decision to incur expenses or has made a decision to approve payment of expenses.

As it pertains to this matter, the chairperson of the Redwood City Headquarters Group made the decision to incur the expense of the computer. As I, in good faith, understood Ms. Celeste Gore-Schreck was authorized as chairperson of the Redwood City Headquarters Group to make a request that I, as treasurer of the FEC account where the Redwood City Headquarters Group's funds were located, was duly obligated to follow her instructions to write a check to COMPUSA for \$2,290.24 for the purchase of a computer.

At no time during the campaign did Mrs. Epstein state that the Redwood City Headquarters Group's expenditures required her approval prior to Miguel Martinez issuing checks.

During Miguel Martinez's term as treasurer of the 1992 general election campaign, the San Mateo and Redwood City campaign offices combined to make over 160 expense transactions. The standard operating procedure in issuing payment for all these expenditures was to receive approval for payment from the chairperson of the campaign office generating the expense. In the case of this computer, the chairperson of the Redwood City Headquarters campaign office, Ms. Celeste Gore-Schreck, requested, authorized, and approved payment for the purchase of the said computer. Since the appropriate chairperson approved the purchase of the computer, I as treasurer was obligated to issue a check for payment of said purchase. This was standard operating procedure. (See SMC [San Mateo County Democratic Party] FEC Campaign Summary and Transactions, RWC [Redwood City Campaign Office] FEC Campaign Summary and Transactions, SMC [San Mateo County Democratic Party] FPPC Campaign Summary and Transactions, RWC [Redwood City Campaign Office] FPPC Campaign Summary and Transactions. (Exhibits B through E))

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Analysis

- August 19, 1992, the chair of the San Mateo County Democratic Central Committee and of the San Mateo County Democratic Party, Mrs. Jan Epstein (complainant's wife), met with individuals who formed the Redwood City Headquarters Group. The Redwood City Headquarters Group was formed in order to create and fund a south San Mateo County campaign office. In establishing this campaign office, Mrs. Epstein verbally stated the Redwood City Headquarters Group had full control and responsibility over the expenditure of funds the Redwood City Headquarters Group raised.

Furthermore, Mrs. Epstein never verbally stated or put into writing that the San Mateo County Democratic Party nor the San Mateo County Democratic Central Committee had any control over, or would control, funds raised by the Redwood City Headquarters Group. (See August 26, 1992 Memorandum from P. Schwartz, P. Connelly, J. Epstein (Exhibit A). See "The Next 61 Days" memorandum from Tim [Bergreen] to the Redwood City Headquarters Group's Executive Committee. (Exhibit F))

- The "Letter of Understanding" was generated and signed during October 1992 to refute misstatements between Mrs. Epstein, chair of the San Mateo County Democratic Party, and Ms. Celeste Gore-Schreck, chair of the Redwood City Headquarters Group. After mid-October 1992, Mrs. Epstein verbally stated she had never granted the Redwood City Headquarters Group full authority and control over the expenditure of funds the Group raised. (See "Letter of Understanding." (Exhibit G))

All signatories to the "Letter of Understanding" attended several meetings where Mrs. Epstein appeared and verbally stated that the Redwood City Headquarters Group had sole control of the expenditure of funds the Redwood City Headquarters Group raised. Of the 10 signatories, four signatories were executive officers of the Redwood City Headquarters Group, one was a Redwood City Headquarters Group volunteer, and five were current members of the San Mateo County Democratic Party Central Committee. The five San Mateo County Democratic Party Central Committee members who signed the "Letter of Understanding" were:

- Gonzalo "Sal" Torres
- Miguel Martinez
- Fernando Vega
- Roosevelt M. Cox, Jr.
- Tom Sagehorn

- On October 3, 1992, the chair of the Redwood City Headquarters Group, Ms. Gors-Schreck, requested the San Mateo County Democratic Party treasurer, Mr. Martinez, purchase a computer with a check written against funds the Redwood City Headquarters Group had on deposit in the San Mateo County Democratic Party campaign account.
- The purchase of the computer from COMPUSA took place on October 4, 1992. Mr. Epstein misstates that the sale occurred on October 8, 1993. The purchase of the computer took place prior to Mr. Martinez's resignation as treasurer of the FEC account. Mr. Martinez wrote the check to COMPUSA while still in capacity as treasurer of the San Mateo County Democratic Party.
- At the end of the working day on October 4, 1992, Mr. Martinez resigned as treasurer of the Federal Election Campaign account due to deposit and contribution irregularities caused by someone other than himself. Subsequent to the closing and the transfer of the accounting ledgers, an independent audit was performed. The audit supports Mr. Martinez contention, that while he was treasurer of the San Mateo County Democratic Party, contributions and/or deposit receipts were not forwarded to him. (See resignation letters to California Democratic Party Regional Director, Federal Elections Commission, and Fair Political Practices Commission. See audit report requested and paid for by the San Mateo County Democratic Central Committee. (Exhibits H through K))
- Mr. Martinez's resignation as treasurer of the FEC account is unrelated to the purchase of the computer.

However, it is understandable how confusion could arise since Miguel Martinez was the treasurer of the San Mateo County Democratic Party Central Committee, treasurer of the San Mateo County Democratic Party campaign accounts, and an executive officer of Latinos for Better Government. To clear the confusion, it is important to realize Mr. Martinez was operating in a unique capacity during each event.

- The computer's main location is, and always has been, at Latinos for Better Government's registered address, 510 Cypress Way, San Bruno, CA 94066. Furthermore, Mr. Martinez personally owns two personal computers, two personal computer printers, and a number of software systems. The database software used by Latinos for Better Government was donated, free of charge, by Mr. Martinez. Therefore, Mr. Martinez has not received any personal material benefit from the purchase of said computer for Latinos for Better Government.
- From November to the present, the San Mateo County Democratic Central Committee has discussed the issue of ownership of the computer and Miguel Martinez's role as the San Mateo County Democratic Party treasurer.

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- On February 28, 1992, a special session of the San Mateo County Democratic Central Committee took place with all Central Committee members and all Redwood City Headquarters Group members invited to participate in a discussion led by facilitators from the Peninsula Conflict Resolution Center of San Mateo, California. It was agreed that:

There was a serious misunderstanding over the agreement between Central Committee leadership and the organizers of the South San Mateo County Democratic Headquarters [the Redwood City Headquarters Group] concerning the establishment and operation of the Redwood City office. Differing interpretations of the agreement resulted in a dispute over the expenditure of funds. (See Memorandum from Steve Toben to Ruthellen Harris. (Exhibit L))

- At the May 20, 1993 regular meeting of the San Mateo County Democratic Central Committee, the issue of ownership of said computer arose. It was agreed upon and voted upon by the San Mateo County Democratic Central Committee members that Latinos for Better Government "retain the computer equipment purchased during the recent campaign." (See San Mateo County Democratic Central Committee Minutes for May 20, 1993. (Exhibit M))
- Presently, Latinos for Better Government is not formally registered as a 501(c)(3) organization by the Internal Revenue Service. However, bylaws, articles of incorporation, and the appropriate forms for requesting 501(c)(3) status for Latinos for Better Government are in the process of being filed.
- According to Internal Revenue Service Instructions, Form 1023, "Application for Recognition of Exemption Under Section 501(c)(3) of the Internal Revenue Code," *an organization has 15 months after the end of the month in which it was formed to apply for 501(c)(3) status.* Counsel for claimant neglected to include this information in her response to Mr. Epstein. (See Internal Revenue Service Instructions for Form 1023. (Exhibit N))
- Mr. Epstein erroneously contends that on April 2 and 3 at the California State Democratic Party Convention, "Miguel Martinez and others circulated a flyer regarding Latinos for Better Government and soliciting contributions." Latinos for Better Government was not soliciting contributions, rather it was seeking memberships.
- Mrs. Ann Benner, a member of Congressman Tom Lantos' district staff, has not contacted any members of Latinos for Better Government to discuss any issues or events related to the 1992 general election. However, Mrs. Benner has had a long term relationship with Mr. Ernst Epstein and Mrs. Jan Epstein, and is, therefore, considered a biased source for background information

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- Several prominent members of the Latino community have requested Congressman Lantos investigate the activities of the San Mateo County Democratic Central Committee Executive Board as it regards this matter. To date, Congressman Lantos nor any of his staff have talked with the authors of these letters.

Following this letter are the documents that substantiate and reinforce that correct procedures were followed and show that Miguel Martinez did not violate any Federal Election Campaign Act rules or regulations.

Given the information in this documentation, I, Miguel Martinez, believe the Federal Elections Commission should find no reason to believe that the complaint sets forth a possible violation of the Federal Election Campaign Act of 1971, as amended. Accordingly, the Commission should close the file in this matter, dismiss complaint MUR 3793, and take no action against myself in response to this complaint.

If there are additional questions or there is a need for additional information, you are welcome to contact me by mail or by phone. The phone number where I can be reached during the day is 415/894-1863.

Sincerely,



Miguel Martinez

This documentation sent by fax due to time constraints. The original documentation will follow by U.S. Mail. Latinos for Better Government

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SAN MATEO COUNTY DEMOCRATIC PARTY

MEMORANDUM

DA: 8.26.92
TO: C. Gore, T. Bergreen, B. Lewis, S. Lewis, M. Martinez
FR: P. Schwartz, P. Connelly, J. Epstein
RE: August 30th Event in RWC & Plan Thereafter

The purpose of this memo is to clarify our mutual understanding of your responsibilities regarding the opening of the RWC office. Beyond the mere opening of the office, we are interested in your/our vision of the ongoing work of the Democratic campaigns.

FINANCIAL COMMITMENTS

- 1. \$ 5,000 in hand to give to M. Martinez
- 2. Plan for raising \$15,000/mo.

ORGANIZATIONAL PLAN

- 1. Plan for permanent full-time paid staff person (mutually acceptable to all parties).
- 2. Coordination of vols. Who will be in charge?
- 3. Voter outreach plans -- to include:
 - a. voter reg. program
 - b. phonebanks
 - c. precinct organization
 - d. GOTV operation
 - e. volunteer mobilization (including upcoming September 5th mobe)
 - f. geographical focus
 - g. how outreach plans will mesh with extant ground operations

ADDITIONAL INFO.

Larry Tramatoia is in charge of the N. California field efforts for Clinton/Gore, Boxer, Feinstein. The chain of command runs from Tramatoia to the San Mateo and Santa Clara County Coordinated Campaigns. Alongside this effort rests the distinct field operations for both Anna Eshco's congressional campaign (14th CD), and Byron Sher's assembly race (21st AD).

The outcome for both candidates is likely to be very close. Both campaigns depend on very close coordination and positive working relations with Clinton/Gore and Coordinated Democratic Campaign operations. It is to the benefit of all if we can come up with a plan that works to support the whole ticket. The plans we come up with must mesh to take maximum advantage of limited resources.

703 South "B" St., San Mateo, CA 94401

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SMC FEC CAMPAIGN - SUMMARY
6/ 1/92 Through 10/ 5/92

1992-92SMCPARTY CHK
7/18/93

Category Description	6/ 1/92- 10/ 5/92

INCOME/EXPENSE	
INCOME	
Demo Fund Group	62.50
Deposited by Jan	12,719.39
Event Activity	3,395.38
Non-Event Contribution	10,789.95
RWC Non-event	4,178.86

TOTAL INCOME	31,146.08
EXPENSES	
Bank Charge	53.60
Buttons et al Outside Ad	11,085.90
Buttons-Outside Adv	875.70
Fund Raising Exp	27.30
Jan Wrote Check	34.80
Newspaper & Periodical Ad	390.47
Office Exp-GenOperations	3,812.51
Outside Advertising	1,210.41
Phone Charges	2,535.72
Postal Service Exp	897.21
Rent Paid - Gen Ovrhd	5,770.07
RWC Office Exp-Gen Operat	2,707.60
RWC Outside Ad - GenOp	590.00
RWC Phone Charges	1,137.10
Staff Salary	5,150.00
Unissued Check	-2,702.80
Utilities & Garbage	424.95
Voter Reg	30.00

TOTAL EXPENSES	34,030.54

TOTAL INCOME/EXPENSE	-2,884.46
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SMC FEC CAMPAIGN TRANSACTIONS
6/ 1/92 Through 10/ 5/92

1992-92SMCPARTY CHK
7/18/93

Date	Num	Payee	Memo	Category	Clr	Amount
INCOME/EXPENSE						
INCOME						
Demo Fund Group						
9/21	DEP	DEPOSIT		Demo Circle	X	62.50
Total Demo Fund Group						62.50
Deposited by Jan						
8/15	DEP	DEPOSIT		Jan Deposited	X	190.00
8/19	DEP	DEPOSIT		Jan Deposited	X	149.00
8/25	DEP	DEPOSIT		Jan Deposited	X	305.50
9/ 1	DEP	DEPOSIT - CASH		Jan Deposited	X	1,358.00
9/ 2	DEP	DEPOSIT - CASH		Jan Deposited	X	588.00
9/ 4	DEP	DEPOSIT - CASH		Jan Deposited	X	250.00
9/ 5	DEP	DEPOSIT - CASH		Jan Deposited	X	200.00
9/ 8	DEP	DEPOSIT - CASH		Jan Deposited	X	889.00
9/ 9	DEP	DEPOSIT - CASH		Jan Deposited	X	875.00
9/10	DEP	DEPOSIT - CASH		Jan Deposited	X	560.00
9/14	DEP			Jan Deposited	X	1,125.00
9/16	DEP			Jan Deposited	X	509.00
9/21	DEP	DEPOSIT		Jan Deposited	X	1,550.00
9/21	DEP			Jan Deposited	X	651.00
9/21	DEP			Jan Deposited	X	904.00
9/22	DEP			Jan Deposited	X	385.89
9/24	DEP	DEPOSIT - CASH		Jan Deposited		1,350.00
9/28	DEP	DEPOSIT		Jan Deposited		880.00
Total Deposited by Jan						12,719.39
Event Activity						
7/20	DEP	DEPOSIT	HQ Opening	Event	X	82.00
7/29	DEP	DEPOSIT - CASH	HQ Opening	Event	X	1,103.00
8/24	DEP	DEPOSIT - CASH	Auction	Event	X	116.00
8/24	DEP	DEPOSIT - CASH	Auction	Event	X	545.88
9/ 1	DEP	DEPOSIT	Auction	Event	X	389.50
9/ 1	DEP	DEPOSIT	Auction	Event	X	155.00
9/ 1	DEP	DEPOSIT	Check missing	Event	X	-23.00
9/ 9	DEP	DEPOSIT - CASH	Auction	Event	X	1,004.00
9/11	DEP	DEPOSIT	Auction	Event	X	23.00
Total Event Activity						3,395.38

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SMC FEC CAMPAIGN TRANSACTIONS

6/ 1/92 Through 10/ 5/92

1992-92SMCPARTY CHK
7/18/93

Date	Num	Payee	Memo	Category	Clr	Amount
----- Non-Event Contribution -----						
7/29	DEP	DEPOSIT		Non-event	X	1,000.00
8/ 3	DEP	DEPOSIT		Non-event	X	82.50
8/ 3	DEP	DEPOSIT		Non-event	X	320.00
8/ 5	DEP	DEPOSIT - CASH		Non-event	X	18.00
8/ 5		DEPOSIT - CASH		Non-event	X	1,116.00
8/ 7	DEP	DEPOSIT - CASH		Non-event	X	208.00
8/10	DEP	DEPOSIT - CASH		Non-event	X	197.45
8/14	DEP	DEPOSIT		Non-event	X	1,009.00
8/14	DEP	DEPOSIT		Non-event	X	1,606.00
8/24	DEP	DEPOSIT		Non-event	X	700.00
9/ 1	DEP	DEPOSIT		Non-event	X	102.00
9/ 4	DEP	DEPOSIT - CASH		Non-event	X	674.00
9/ 4	DEP	DEPOSIT		Non-event	X	473.00
9/ 4	DEP	DEPOSIT		Non-event	X	480.00
9/ 4	ADJ	DEPOSIT	Deposit made o	Non-event	X	-480.00
9/ 4	ADJ	DEPOSIT	Deposit made o	Non-event	X	-473.00
9/ 4	ADJ	DEPOSIT	Deposit made o	Non-event	X	-75.00
9/ 4	ADJ	DEPOSIT - CASH		Non-event	X	-674.00
9/11	DEP	DEPOSIT		Non-event	X	1,377.00
9/11	DEP	DEPOSIT		Non-event	X	606.00
9/11	DEP	DEPOSIT		Non-event	X	473.00
9/11	DEP	DEPOSIT		Non-event	X	480.00
9/21	DEP	DEPOSIT		Non-event	X	463.00
9/21	DEP	DEPOSIT		Non-event	X	373.00
9/28	DEP	DEPOSIT		Non-event		626.00
9/28	DEP	DEPOSIT		Non-event		108.00
Total Non-Event Contribution						10,789.95
----- RWC Non-event -----						
8/17	DEP	DEPOSIT - CASH	RWC	RWC Non-event	X	646.00
9/ 1		DEPOSIT	RWC	RWC Non-event	X	385.00
9/ 2	DEP	DEPOSIT	RWC	RWC Non-event	X	394.00
9/ 2	DEP	DEPOSIT - CASH	RWC	RWC Non-event	X	275.86
9/ 4	DEP	DEPOSIT	RWC	RWC Non-event	X	75.00
9/11	DEP	DEPOSIT	RWC	RWC Non-event	X	75.00
9/21	DEP	DEPOSIT	RWC	RWC Non-event	X	482.00
9/21	DEP	DEPOSIT	RWC	RWC Non-event	X	515.00
9/21	DEP	DEPOSIT	RWC	RWC Non-event	X	30.00
9/21	DEP	DEPOSIT - CASH	RWC	RWC Non-event	X	38.00
9/28	DEP	DEPOSIT	RWC	RWC Non-event		70.00
9/28	DEP	DEPOSIT	RWC	RWC Non-event		60.00
10/ 2	DEP	DEPOSIT	RWC	RWC Non-event		326.00

SMC FEC CAMPAIGN TRANSACTIONS
6/ 1/92 Through 10/ 5/92

1992-92SMCPARTY CHK
7/18/93

Page 3

Date	Num	Payee	Memo	Category	Clr	Amount
10/ 2	DEP	DEPOSIT	RWC	RWC Non-event		525.00
10/ 2	DEP	DEPOSIT - CASH	RWC	RWC Non-event		282.00
Total RWC Non-event						4,178.86
TOTAL INCOME						31,146.08
EXPENSES						
Bank Charge						
7/21		Service Charge		BnkChg-GenOvrhd	X	-10.00
8/21		Service Charge		BnkChg-GenOvrhd	X	-10.00
8/21		EXCESS DEPOSIT CHAR		BnkChg-GenOvrhd	X	-3.60
9/22		EXCESS DEPOSIT CHAR		BnkChg-GenOvrhd	X	-30.00
Total Bank Charge						-53.60
Buttons et al Outside Ad						
8/ 8	123	GOLDEN GATE DISTRIB		ButtnsEtc-OutAd	X	-2,121.50
8/17	129	MILLER TOMBACK		ButtnsEtc-OutAd	X	-1,082.50
8/24	130	HOWARD H SLATER WES		ButtnsEtc-OutAd	X	-602.38
9/ 3	162	GOLDEN GATE DISTRIB		ButtnsEtc-OutAd	X	-596.15
5/ 3	163	GOLDEN GATE DISTRIB		ButtnsEtc-OutAd	X	-1,105.11
9/15	174	HOWARD H SLATER WES	Invoice 2618	ButtnsEtc-OutAd	X	-935.13
9/15	175	GOLDEN GATE DISTRIB	Invoice 10430	ButtnsEtc-OutAd	X	-1,130.68
9/17	137	MILLER TOMBACK		ButtnsEtc-OutAd	X	-1,623.75
9/18	138	SAN FRANCISCO DEMOC		ButtnsEtc-OutAd		-90.00
9/18	139	GOLDEN GATE DISTRIB		ButtnsEtc-OutAd		-1,061.26
9/21	142	HOWARD H SLATER WES	Invoice	ButtnsEtc-OutAd		-637.44
9/22	145	CASH		ButtnsEtc-OutAd		-100.00
Total Buttons et al Outside Ad						-11,085.90
Buttons-Outside Adv						
7/30	113	IDEAS TO GO		Buttons-OutAdv	X	-215.70
7/30	127	BERGREEN, TIM		Buttons-OutAdv	X	-240.00
8/26	131	CALIFORNIA DEMOCRAT		Buttons-OutAdv	X	-420.00
Total Buttons-Outside Adv						-875.70
Fund Raising Exp						
8/13	152	JAN EPSTEIN		Fund Raising	X	-27.30

SMC FEC CAMPAIGN TRANSACTIONS
6/ 1/92 Through 10/ 5/92

1992-92SMCPARTY CHK
7/18/93

Page 4

Date	Num	Payee	Memo	Category	Clr	Amount
Total Fund Raising Exp						-27.30
Jan Wrote Check						
9/14	133	UNKOWN		Jan Wrote Chk	X	-34.80
Total Jan Wrote Check						-34.80
Newspaper & Periodical Ad						
7/ 8	107	PENINSULA TIMES TRI		Nwsper & Period	X	-164.43
7/ 8	109	SAN MATEO TIMES		Nwsper & Period	X	-226.04
Total Newspaper & Periodical Ad						-390 47
Office Exp-GenOperations						
7/30	112	PIP PRINTING		Office-GenOp	X	-3.29
7/31	115	PIP PRINTING		Office-GenOp	X	-12.99
7/31	116	PIP PRINTING		Office-GenOp	X	-6.75
8/ 3	121	HASTY PAINTING		Office-GenOp	X	-129.84
8/13	153	ALPHA PRESS, INC.		Office-GenOp	X	-485.57
8/13	154	JAN EPSTEIN		Office-GenOp	X	-111.38
8/13	155	PATRICE CONNELLY		Office-GenOp		-30.89
8/13	156	SAN MATEO STATIONER	Invoice 374	Office-GenOp	X	-22.08
8/13	157	SAN MATEO STATIONER	Invoice 550	Office-GenOp	X	-21.83
8/13	158	DEAN HUTH		Office-GenOp		-37.98
9/ 3	166	JAN EPSTEIN		Office-GenOp	X	-277.46
9/13	134	JCK COMMUNICATIONS		Office-GenOp	X	-56.70
9/15	172	MR. TELEPHONE MAN	Invoice 1499	Office-GenOp		-22.00
9/21	184	LAWRENCE SHANE		Office-GenOp		-2,193.75
9/22	143	PETTY CASH		Office-GenOp	X	-100.00
9/28	188	TIM VINE		Office-GenOp		-300.00
Total Office Exp-GenOperations						-3,812.51
Outside Advertising						
6/ 3	102	IDEAS TO GO		Outside Adv	X	-264.00
7/ 7	108	LOU GREEN		Outside Adv	X	-159.26
7/13	110	FIDELITY PRINTING		Outside Adv	X	-100.00
7/20	111	FIDELITY PRINTING		Outside Adv	X	-487.15
9/15	173	BEST SIGNS	Invoice 4305	Outside Adv	X	-200.00
Total Outside Advertising						-1,210.41

SMC FEC CAMPAIGN TRANSACTIONS
6/ 1/92 Through 10/ 5/92

1992-92SMCPARTY CHK
7/18/93

Page 5

Date	Num	Payee	Memo	Category	Clr	Amount

Phone Charges						

6/20	103	PACIFIC BELL		Phone-GenOper	X	-403.00
8/ 8	124	PACIFIC BELL		Phone-GenOper	X	-321.66
8/13	151	THE TELEPHONE		Phone-GenOper	X	-202.86
9/ 3	161	PACIFIC BELL		Phone-GenOper	X	-209.81
9/ 3	165	PACIFIC BELL		Phone-GenOper	X	-72.07
9/14	135	PACIFIC BELL		Phone-GenOper	X	-378.95
9/14	135	PACIFIC BELL		Phone-GenOper	X	-378.95
9/21	140	PACIFIC BELL		Phone-GenOper		-568.42

Total Phone Charges						-2,535.72
Postal Service Exp						

7/ 7	106	US POSTAL SERVICE		Postage-GenOvr	X	-500.00
8/ 3	117	US POSTAL SERVICE		Postage-GenOvr	X	-32.21
8/13	128	US POSTAL SERVICE		Postage-GenOvr	X	-232.00
9/ 3	167	US POSTAL SERVICE		Postage-GenOvr	X	-58.00
9/10	171	US POSTAL SERVICE		Postage-GenOvr	X	-75.00

Total Postal Service Exp						-897.21
Rent Paid - Gen Ovrhd						

6/20	104	LAWRENCE SHANE		Rent-Gen Ovrhd	X	-2,193.75
6/20		LAWRENCE SHANE		Rent-Gen Ovrhd	X	-2,193.00
6/21	105	LAWRENCE SHANE		Rent-Gen Ovrhd	X	-315.00
8/ 3	122	LAWRENCE SHANE		Rent-Gen Ovrhd	X	-686.73
8/31	169	LAWRENCE SHANE		Rent-Gen Ovrhd	X	-381.59

Total Rent Paid - Gen Ovrhd						-5,770.07
RWC Office Exp-Gen Operat						

9/18	176	CG INCORPORATED	RWC - Photocop	RWCOffice-GenOp		-300.00
9/21	177	SOFTWARE CENTER	RWC	RWCOffice-GenOp		-17.36
9/22	144	PETTY CASH	RWC	RWCOffice-GenOp	X	-100.00
10/ 4	183	COMPUSA	RWC	RWCOffice-GenOp		-2,290.24

Total RWC Office Exp-Gen Operat						-2,707.60
RWC Outside Ad - GenOp						

9/ 9	170	MASTERCARD	RWC campaign m	RWCotsdeAd-GnOp	X	-590.00

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SMC FEC CAMPAIGN TRANSACTIONS
6/ 1/92 Through 10/ 5/92

1992-92SMCPARTY CHK
7/18/93

Page 6

Date	Num	Payee	Memo	Category	Clr	Amount
Total RWC Outside Ad - GenOp						-590.00
RWC Phone Charges						
9/ 1	CC	PACIFIC BELL	RWC - DEPOSIT	RWCPhone-GenOp	X	-600.00
9/ 1	CC	PACIFIC BELL	RWC - INSTALLA	RWCPhone-GenOp	X	-310.25
9/ 1	ADJ	PACIFIC BELL	RWC - Adjustme	RWCPhone-GenOp	X	0.25
9/23	181	PACIFIC BELL	RWC	RWCPhone-GenOp		-227.10
Total RWC Phone Charges						-1,137.10
Staff Salary						
7/30	114	PATRICE CONNELLY		StaffSlry-GenOp	X	-925.00
8/28	160	PATRICE CONNELLY		StaffSlry-GenOp	X	-1,910.00
9/15	136	ROMANO, PETER		StaffSlry-GenOp	X	-315.00
9/21	185	PATRICE CONNELLY		StaffSlry-GenOp		-2,000.00
Total Staff Salary						-5,150.00
Unissued Check						
6/20	ADJ	LAWRENCE SHANE	6/20, Check 14	Voided Check	X	2,193.75
5/20	ADJ	LAWRENCE SHANE	6/20, Check 14	Voided Check	X	-2,193.75
6/20	ADJ	VOID LAWRENCE SHANE	No check issue	Voided Check	X	2,193.00
8/ 8	124	PACIFIC BELL		Voided Check	X	321.66
8/ 8	125	VOIDED CHECK		Voided Check	X	0.00
9/ 3	VOID	PG & E	Void Check 164	Voided Check	X	188.14
9/21	186	VOIDED CHECK		Voided Check		0.00
9/21	187	VOIDED CHECK		Voided Check		0.00
9/23	178	VOIDED CHECK		Voided Check	X	0.00
10/ 4	182	VOIDED CHECK		Voided Check		0.00
Total Unissued Check						2,702.80
Utilities & Garbage						
8/ 3	118	PG & E		Utilities-GenOp	X	-94.50
8/ 3	119	BROWNING FERRIS IND		Utilities-GenOp	X	-15.41
8/10	120	CALIFORNIA WATER SE		Utilities-GenOp	X	-9.04
8/13	159	PG & E		Utilities-GenOp	X	-53.14
9/ 3	164	PG & E		Utilities-GenOp	X	-188.14
9/ 3	168	PG & E		Utilities-GenOp	X	-176.91
9/14	135	PG & E	Reversal of Du	Utilities-GenOp	X	378.95
9/23	179	CALIFORNIA WATER SE		Utilities-GenOp		-10.78

SMC FEC CAMPAIGN TRANSACTIONS
 6/ 1/92 Through 10/ 5/92

1992-92SMCPARTY CHK
 7/18/93

Date	Num	Payee	Memo	Category	Clr	Amount
9/23	180	PG & E		Utilities-GenOp		-255.98
		Total Utilities & Garbage				-424.95
		Voter Reg				
8/27	132	CALIFORNIA DEMOCRAT		Vtr Reg-Literat	X	-30.00
		Total Voter Reg				-30.00
		TOTAL EXPENSES				-34,030.54
		TOTAL INCOME/EXPENSE				-2,884.46

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RWC FEC CAMPAIGN - SUMMARY
6/ 1/92 Through 10/ 5/92

1992-RWC FEC ACCT
7/18/93

Category Description	6/ 1/92- 10/ 5/92

INCOME/EXPENSE	
INCOME	
Non-Event Contribution	4,178.86

TOTAL INCOME	4,178.86
EXPENSES	
Office Exp-GenOperations	2,707.60
Outside Advertising	590.00
Phone Charges	1,137.10

TOTAL EXPENSES	4,434.70
TOTAL INCOME/EXPENSE	-255.84
BALANCE FORWARD	
RWC FEC ACCT	0.00

TOTAL BALANCE FORWARD	0.00
OVERALL TOTAL	-255.84
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RWC FEC CAMPAIGN TRANSACTIONS
6/ 1/92 Through 10/ 5/92

1992-RWC FEC ACCT
7/18/93

Date	Num	Payee	Memo	Category	Clr Amount
INCOME/EXPENSE					
INCOME					
Non-Event Contribution					
8/17	DEP	DEPOSIT - CASH	RWC	Non-event	646.00
9/ 1	DEP	DEPOSIT	RWC	Non-event	385.00
9/ 2	DEP	DEPOSIT	RWC	Non-event	394.00
9/ 2	DEP	DEPOSIT - CASH	RWC	Non-event	275.86
9/ 4	DEP	DEPOSIT	RWC	Non-event	75.00
9/11	DEP	DEPOSIT	RWC	Non-event	75.00
9/21	DEP	DEPOSIT	RWC	Non-event	30.00
9/21	DEP	DEPOSIT	RWC	Non-event	515.00
9/21	DEP	DEPOSIT	RWC	Non-event	482.00
9/21	DEP	DEPOSIT - CASH	RWC	Non-event	38.00
9/28	DEP	DEPOSIT	RWC	Non-event	70.00
9/28	DEP	DEPOSIT	RWC	Non-event	60.00
10/ 2	DEP	DEPOSIT - CASH	RWC	Non-event	282.00
10/ 2	DEP	DEPOSIT	RWC	Non-event	326.00
10/ 2	DEP	DEPOSIT	RWC	Non-event	525.00
Total Non-Event Contribution					4,178.86
TOTAL INCOME					4,178.86
EXPENSES					
Office Exp-GenOperations					
9/18	176	CG INCORPORATED	RWC - Photocop	Office-GenOp	-300.00
9/21	177	SOFTWARE CENTER	RWC	Office-GenOp	-17.36
9/22	144	PETTY CASH	RWC	Office-GenOp	-100.00
10/ 4	183	COMPUSA	RWC - In-kind	Office-GenOp	-2,290.24
Total Office Exp-GenOperations					-2,707.60
Outside Advertising					
9/ 9	170	MASTERCARD		Outside Adv	-590.00
Total Outside Advertising					-590.00
Phone Charges					
9/ 1	CC	PACIFIC BELL	RWC - Cashier	Phone-GenOper	-600.00
9/ 1	CC	PACIFIC BELL	RWC - Cashier	Phone-GenOper	-310.25

RWC FEC CAMPAIGN TRANSACTIONS
6/ 1/92 Through 10/ 5/92

1992-RWC FEC ACCT
7/18/93

Date	Num	Payee	Memo	Category	Clr Amount
9/ 1	ADJ	PACIFIC BELL	RWC - ADJUSTME	Phone-GenOper	0.25
9/23	181	PACIFIC BELL	RWC	Phone-GenOper	-227.10
Total Phone Charges					-1,137.10
TOTAL EXPENSES					-4,434.70
TOTAL INCOME/EXPENSE					-255.84
BALANCE FORWARD					
RWC FEC ACCT					
8/16		Opening Balance		[RWC FEC ACCT]	X 0.00
TOTAL RWC FEC ACCT					0.00
TOTAL BALANCE FORWARD					0.00
OVERALL TOTAL					-255.84

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SMC FPPC CAMPAIGN - SUMMARY
6/ 1/92 Through 10/ 5/92

1992-92DEMO CHECK
7/18/93

Category Description	6/ 1/92- 10/ 5/92

INCOME/EXPENSE	
INCOME	
Demo Fund Group	1,125.00
Event Activity	1,990.42
Monthly Bank Interest	7.46
Non-Event Contribution	5,668.00
RWC Non-event	13,900.00

TOTAL INCOME	22,690.88
EXPENSES	
Bank Charge	32.00
Fund Raising Exp	76.01
Literature Prdtn & Dist	50.00
Newspaper & Periodical Ad	229.33
Office Exp-GenOperations	1,995.86
Outside Advertising	746.04
Phone Charges	1,121.08
Postal Service Exp	432.25
Rent Paid - Gen Ovrhd	3,502.68
RWC Fund Raising Exp	540.89
RWC Office Exp-Gen Operat	941.00
RWC Voter Reg	1,007.71
Staff Salary	1,850.00
Unissued Check	-81.34
Utilities & Garbage	371.57
Voter Reg	243.00

TOTAL EXPENSES	13,058.08

TOTAL INCOME/EXPENSE	9,632.80
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SMC FPPC CAMPAIGN TRANSACTIONS
6/ 1/92 Through 10/ 5/92

1992-92DEMO CHECK
7/18/93

Date	Num	Payee	Memo	Category	Clr	Amount
INCOME/EXPENSE						
INCOME						
Demo Fund Group						
6/ 1	DEP	DEPOSIT		Demo Circle	X	62.50
9/21	DEP	DEPOSIT		Demo Circle		250.00
9/28	DEP	DEPOSIT		Demo Circle		812.50
Total Demo Fund Group						1,125.00
Event Activity						
7/20	DEP	DEPOSIT	HQ Opening	Event	X	50.00
7/20	DEP	DEPOSIT - CASH	HQ Opening	Event		402.42
7/20	DEP	DEPOSIT - CASH	HQ Opening	Event		231.00
7/27	DEP	DEPOSIT	HQ Opening	Event	X	740.00
8/24	DEP	DEPOSIT	Auction	Event	X	90.00
8/24	DEP	DEPOSIT - CASH		Event	X	309.00
8/24	DEP	DEPOSIT - CASH	Auction	Event		168.00
Total Event Activity						1,990.42
Monthly Bank Interest						
6/12		Interest Earned		BnkInt-Misc Inc	X	1.10
7/14		Interest Earned		BnkInt-Misc Inc	X	0.53
8/14		Interest Earned		BnkInt-Misc Inc	X	1.88
9/15		Interest Earned		BnkInt-Misc Inc	X	3.95
Total Monthly Bank Interest						7.46
Non-Event Contribution						
7/22	DEP	DEPOSIT		Non-event	X	900.00
8/ 3	DEP	DEPOSIT		Non-event	X	1,223.00
8/ 7	DEP	DEPOSIT		Non-event	X	193.00
8/10	DEP	DEPOSIT - CASH		Non-event	X	200.00
8/10	DEP	DEPOSIT - CASH		Non-event	X	115.00
8/14	DEP	DEPOSIT		Non-event	X	750.00
8/24	DEP	DEPOSIT		Non-event	X	500.00
9/16	DEP	DEPOSIT		Non-event		900.00
9/21	DEP	DEPOSIT		Non-event		230.00
9/21	DEP	DEPOSIT		Non-event		6.00
9/28	DEP	DEPOSIT		Non-event		651.00

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SMC FPPC CAMPAIGN TRANSACTIONS
6/ 1/92 Through 10/ 5/92

1992-92DEMO CHECK
7/18/93

Page 2

Date	Num	Payee	Memo	Category	Clr	Amount
Total Non-Event Contribution						5,668.00
RWC Non-event						
9/ 2	DEP	DEPOSIT	RWC	RWC Non-event	X	3,450.00
9/21	DEP	DEPOSIT	RWC	RWC Non-event		450.00
10/ 2	DEP	DEPOSIT	RWC	RWC Non-event		10,000.00
Total RWC Non-event						13,900.00
TOTAL INCOME						22,690.88
EXPENSES						
Bank Charge						
6/12		Service Charge		BnkChg-GenOvrhd	X	-8.00
7/14		Service Charge		BnkChg-GenOvrhd	X	-8.00
8/14		Service Charge		BnkChg-GenOvrhd	X	-8.00
8/15		Service Charge		BnkChg-GenOvrhd	X	-8.00
Total Bank Charge						-32.00
Fund Raising Exp						
7/10	121	CASH - ABC LICENSE	Liquor License	Fund Raising	X	-30.00
8/ 4	151	CASH - ABC LICENSE		Fund Raising	X	-30.00
8/13	164	JAN EPSTEIN		Fund Raising	X	-16.01
Total Fund Raising Exp						-76.01
Literature Prdtn & Dist						
9/ 1	120	KUSTOM PRODUCTIONS		Literature	X	-50.00
Total Literature Prdtn & Dist						-50.00
Newspaper & Periodical Ad						
7/ 1	118	SAN MATEO TIMES		Nwsper & Period	X	-132.76
7/ 1	119	TIMES TRIBUNE NEWSP		Nwsper & Period	X	-96.57
Total Newspaper & Periodical Ad						-229.33
Office Exp-GenOperations						

SMC FPPC CAMPAIGN TRANSACTIONS
6/ 1/92 Through 10/ 5/92

1992-92DEMO CHECK
7/18/93

Page 3

Date	Num	Payee	Memo	Category	Clr	Amount	
6/21	116	CALIFORNIA WATER SE		Office-GenOp	X	-25.00	
7/22	122	OFFICE CLUB		Office-GenOp	X	-61.69	
7/22	123	COMPUSA		Office-GenOp	X	-15.13	
8/ 3	159	HASTY PAINTING		Office-GenOp	X	-76.25	
8/12	162	PROFESSIONAL COPY &		Office-GenOp	X	-2.44	
8/13	163	MR. TELEPHONE MAN		Office-GenOp	X	-119.14	
8/13	165	ALPHA PRESS INC.		Office-GenOp	X	-285.17	
8/13	166	JAN EPSTEIN		Office-GenOp	X	-65.41	
8/13	167	PATRICE CONNELLY		Office-GenOp		-18.14	
8/13	168	SAN MATEO STATIONER	Invoice 374	Office-GenOp	X	-12.97	
8/13	169	SAN MATEO STATIONER	Invoice 550	Office-GenOp	X	-12.82	
8/13	170	DEAN HUTH		Office-GenOp		-22.31	
8/14		Balance Adjustment		Office-GenOp	X	10.17	
9/ 3	178	JAN EPSTEIN		Office-GenOp		-136.67	
9/10	187	PROFESSIONAL COPY &		Office-GenOp	X	-42.05	
9/15	190	MR. TELEPHONE MAN	Invoice 1499	Office-GenOp		-300.00	
9/15	192	CG INCORPORATED	Invoice S-5007	Office-GenOp		-69.83	
9/15	193	ALPHA PRESS INC.	Invoice - 9395	Office-GenOp		-130.98	
9/15	194	ALPHA PRESS INC.	Invoice - 9396	Office-GenOp		-261.97	
9/15	195	ALPHA PRESS INC.	Invoice - 9397	Office-GenOp		-323.13	
9/16	197	PATRICK & CO.		Office-GenOp		-24.93	
2						Total Office Exp-GenOperations	-1,995.86
5						Outside Advertising	
3						-----	
6/ 3	113	IDEAS TO GO USA	Potholders	Outside Adv	X	-264.67	
7/ 7	117	LOU GREEN		Outside Adv	X	-93.54	
9/15	191	BEST SIGNS	Invoice 4305	Outside Adv		-387.83	
4						Total Outside Advertising	-746.04
2						Phone Charges	

8/ 6	152	PACIFIC BELL		Phone-GenOper	X	-107.58	
8/ 8	161	PACIFIC BELL		Phone-GenOper	X	-81.34	
8/10	128	PACIFIC BELL		Phone-GenOper	X	-70.25	
9/ 3	175	PACIFIC BELL		Phone-GenOper		-103.34	
9/ 3	177	PACIFIC BELL		Phone-GenOper		-35.51	
9/13	132	JCK COMMUNICATIONS		Phone-GenOper		-33.30	
9/14	133	PACIFIC BELL	Deposit + Inst	Phone-GenOper		-222.55	
9/21	135	PACIFIC BELL		Phone-GenOper		-333.83	
9/23	206	PACIFIC BELL		Phone-GenOper		-133.38	
2						Total Phone Charges	-1,121.08

SMC FPFC CAMPAIGN TRANSACTIONS
6/ 1/92 Through 10/ 5/92

1992-92 DEMO CHECK
7/18/93

Date	Num	Payee	Memo	Category	Clr	Amount
----- Postal Service Exp -----						
7/31	125	US POSTAL SERVICE		Postage-GenOvr	X	-27.04
9/ 8	182	US POSTAL SERVICE		Postage-GenOvr	X	-60.59
9/ 9	184	US POSTAL SERVICE		Postage-GenOvr	X	-9.62
9/10	188	US POSTAL SERVICE		Postage-GenOvr	X	-75.00
9/10	189	US POSTAL SERVICE		Postage-GenOvr		-260.00

Total Postal Service Exp						-432.25
----- Rent Paid - Gen Ovrhd -----						
6/ 3	114	LAWRENCE SHANE	Security Depos	Rent-Gen Ovrhd	X	-185.00
8/ 3	160	LAWRENCE SHANE		Rent-Gen Ovrhd	X	-1,506.27
9/ 3	180	LAWRENCE SHANE		Rent-Gen Ovrhd	X	-1,811.41

Total Rent Paid - Gen Ovrhd						-3,502.68
----- RWC Fund Raising Exp -----						
9/ 9	186	TONY'S RESTAURANT	RWC - HQ Openi	RWCFund Raising	X	-260.00
9/19	199	MANDICH, REBECCA	RWC - HQ Openi	RWCFund Raising		-20.89
2/28	203	TONY'S RESTAURANT	RWC - HQ Openi	RWCFund Raising		-260.00

Total RWC Fund Raising Exp						-540.89
----- RWC Office Exp-Gen Operat -----						
9/ 9	185	MASTERCARD	RWC - Fax mach	RWCOffice-GenOp		-540.17
9/18	198	CG INCORPORATED	RWC - Photcopi	RWCOffice-GenOp		-300.00
9/25	202	SOFTWARE CENTER	RWC	RWCOffice-GenOp		-100.83

Total RWC Office Exp-Gen Operat						-941.00
----- RWC Voter Reg -----						
9/ 4	181	COUNTY CLERK	RWC - Prec Lis	RWCVtr Reg-Lit	X	-15.50
9/ 9	183	VISA	RWC - Prec Map	RWCVtr Reg-Lit		-34.64
9/19	200	AMERICAN DATA INC.	RWC	RWCVtr Reg-Lit		-730.69
9/22	201	PROFESSIONAL COPY &	RWC - Applicat	RWCVtr Reg-Lit		-226.88

Total RWC Voter Reg						-1,007.71
----- Staff Salary -----						
7/30	124	PATRICE CONNELLY		StaffSlry-GenOp	X	-1,575.00

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SMC FPPC CAMPAIGN TRANSACTIONS
6/ 1/92 Through 10/ 5/92

1992-92DEMO CHECK
7/18/93

Date	Num	Payee	Memo	Category	Clr	Amount
8/28	174	PATRICE CONNELLY		StaffSlry-GenOp		-90.00
9/15	134	PETER ROMANO		StaffSlry-GenOp		-185.00
Total Staff Salary						-1,850.00
Unissued Check						
8/ 3	153	VOIDED CHECK		Voided Check	X	0.00
8/ 3	154	VOIDED CHECK		Voided Check	X	0.00
8/ 3	156	VOIDED CHECK		Voided Check	X	0.00
8/10	161	VOIDED CHECK		Voided Check	X	81.34
8/13	171	UNISSUED CHECK		Voided Check	X	0.00
8/13	173	UNISSUED CHECK		Voided Check	X	0.00
Total Unissued Check						81.34
Utilities & Garbage						
8/ 3	155	PG & E		Utilities-GenOp	X	-55.50
8/ 3	157	BROWNING FERRIS IND		Utilities-GenOp	X	-8.72
8/10	158	CALIFORNIA WATER SE		Utilities-GenOp	X	-5.30
8/13	172	PG & E		Utilities-GenOp	X	-31.21
9/ 3	176	VOID PG & E		Utilities-GenOp	X	0.00
9/ 3	179	PG & E		Utilities-GenOp	X	-103.91
9/15	196	BROWNING FERRIS IND	Invoice 920800	Utilities-GenOp		-16.58
9/23	205	PG & E		Utilities-GenOp		-150.35
Total Utilities & Garbage						-371.57
Voter Reg						
9/20	126	BAKER GRAPHICS		Vtr Reg-Literat	X	-243.00
Total Voter Reg						-243.00
TOTAL EXPENSES						-13,058.08
TOTAL INCOME/EXPENSE						9,632.80

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RWC FPPC CAMPAIGN - SUMMARY
6/ 1/92 Through 10/ 5/92

1992-RWC FPPC ACCT
7/18/93

Category Description	6/ 1/92- 10/ 5/92

INCOME/EXPENSE	
INCOME	
Non-Event Contribution	13,900.00

TOTAL INCOME	13,900.00
EXPENSES	
Fund Raising Exp	540.89
Office Exp-GenOperations	941.00
Voter Reg	1,007.71

TOTAL EXPENSES	2,489.60

TOTAL INCOME/EXPENSE	11,410.40
BALANCE FORWARD	
RWC FPPC ACCT	0.00

TOTAL BALANCE FORWARD	0.00

OVERALL TOTAL	11,410.40
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RWC FPPC CAMPAIGN TRANSACTIONS
6/ 1/92 Through 10/ 5/92

1992-RWC FPPC ACCT
7/18/93

Page 1

Date	Num	Payee	Memo	Category	Clr	Amount
INCOME/EXPENSE						
INCOME						
Non-Event Contribution						
9/ 2	DEP	DEPOSIT	RWC	Non-event		3,450.00
9/21	DEP	DEPOSIT	RWC	Non-event		450.00
10/ 2		DEPOSIT	RWC - A. ZAFFA	Non-event		10,000.00
Total Non-Event Contribution						13,900.00
TOTAL INCOME						13,900.00
EXPENSES						
Fund Raising Exp						
9/ 9	186	TONY'S RESTAURANT	RWC - HQ Openi	Fund Raising		-260.00
9/19	199	MANDICH, REBECCA	RWC - HQ Openi	Fund Raising		-20.89
9/28	203	TONY'S RESTAURANT	RWC - HQ OPENI	Fund Raising		-260.00
Total Fund Raising Exp						-540.89
Office Exp-GenOperations						
9/ 9	185	MASTERCARD	RWC - Fax mach	Office-GenOp		-540.17
9/18	198	CG INCORPORATED	RWC - Photocop	Office-GenOp		-300.00
9/25	202	SOFTWARE CENTER	RWC	Office-GenOp		-100.83
Total Office Exp-GenOperations						-941.00
Voter Reg						
9/ 4	181	COUNTY CLERK	RWC - Prec lis	Vtr Reg-Literat		-15.50
9/ 9	183	VISA	RWC - Prec Map	Vtr Reg-Literat		-34.64
9/19	200	AMERICAN DATA	RWC	Vtr Reg-Literat		-730.69
9/22	201	PROFESSIONAL COPY &	RWC - Applicat	Vtr Reg-Literat		-226.88
Total Voter Reg						-1,007.71
TOTAL EXPENSES						-2,489.60
TOTAL INCOME/EXPENSE						11,410.40

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RWC FPPC CAMPAIGN TRANSACTIONS
 6/ 1/92 Through 10/ 5/92

1992-RWC FPPC ACCT
 7/18/93

Date	Num	Payee	Memo	Category	Clr	Amount
		BALANCE FORWARD				
		RWC FPPC ACCT				
9/ 1		Opening Balance		[RWC FPPC ACCT]	X	0.00
		TOTAL RWC FPPC ACCT				0.00
		TOTAL BALANCE FORWARD				0.00
		OVERALL TOTAL				11,410.40

94043523954

TO: Executive Committee
FROM: Tim
RE: The Next 61 Days

Time is short. Two months from today we will be celebrating a Clinton victory (touch wood) and congratulating ourselves on a job well done. We do not have a day to waste. What follows is a blueprint for the time remaining between now and the election.

OFFICE-CENTERED ACTIVITIES

OFFICE SETUP including more phones, phone lines, furniture, materials, and decorations should be completed by September 11. By this date most (if not all) glitches in the operation of the office should be worked out. The office should be staffed by at least two people between the hours of 10:00 - 8:00 PM (M-F), and 9:00 - 5:00 (wknds). If possible a Spanish-speaker should be here at all times.

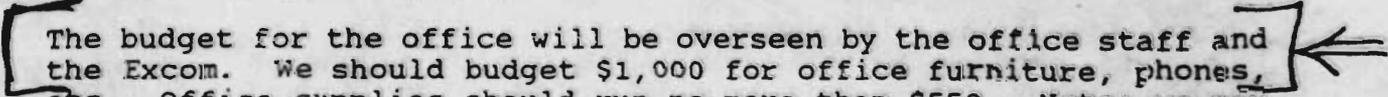
The office will be the center of all of our activities. All campaign mail and fax transmissions should be routed through the office to allow for prompt attention by the office staff, and the timeliest dissemination to the appropriate parties. Meetings, whenever possible, should be held in the office. It is important that members of this committee be a visible and active force in the office whenever possible. Nothing dampens the enthusiasm of a campaign volunteer faster than the thought that he or she is not only laboring uncompensated, but unappreciated as well.

The budget for the office will be overseen by the office staff and the Excom. We should budget \$1,000 for office furniture, phones, etc. Office supplies should run no more than \$550. Note: we may have to rent a fax and/or a copier, which could add \$500 -\$600. (This should act as an incentive for us to find one ASAP. Phone bills will be huge. For argument's sake - \$1300. We also need to budget \$3500 for additional phone lines. Add in \$600 in rent and \$500 for our utilities, \$500 for insurance, \$870 for postage, plus \$800 for unforeseen needs. TOTAL: \$7,785. Note: These figures are deliberately conservative.

OPEN HOUSE is scheduled for Saturday, September 12 from 3:00 - 5:00 PM. The office will need to be decorated, food and beverages procured, or, if we choose, the neighboring restaurant hired out for the afternoon. For argument's sake let's budget the event at \$600 and add \$100 for incidentals. TOTAL: \$700. If we get a good turnout we may be able to raise more than that, but let's treat it as a full loss for now.

PRE-ELECTION RALLY should be scheduled for either October 31, or November 1. We should try to get as many candidates or surrogates as possible. Format and cost should be similar to the Open House depending on the candidate turnout. This should be scheduled ASAP and notices should be mailed to campaigns. TOTAL: \$700.

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OTHER OFFICE FUNCTIONS including debate parties, meetings, panel discussions, etc. should be relatively inexpensive. \$500 should be sufficient. The election night party will also be relatively cheap if we hold it in the office. I suggest that we make a deal with a restaurant in the area. We would have the whole restaurant on election night along with free hors d'oeuvres and light food. The restaurant would keep the bar take. Tuesday night (especially election night) is not a big party night, so we should be able to find a willing restaurateur. This would keep our costs to under \$100 (decorations). However, let's leave a safety margin of \$500. TOTAL: \$1100.

CONTINGENCY FUND: We should anticipate at least one major oversight, screwup, or Act of God (i.e. a visit from one of the Big Four: Bill, Hillary, Al, or Tipper) and allow an extra \$1500 for office expenses.

PROJECTED OFFICE BUDGET - \$13,320.

VOTER REGISTRATION AND TABLING OPERATIONS

PHASE I: September 3 - 11. Existing voter reg and tabling operations will continue in slightly expanded form this weekend. We should be able to attract volunteers who did not want to travel to San Mateo to pick up materials. We need to ensure that people currently operating out of the San Mateo office are absorbed into our system. This may take until early next week.

PHASE II: September 12 - October 4. Starting on September 12th we will begin to target specific areas for concentrated voter registration drives. Members of this committee should form a working group with representatives from East Palo Alto and Redwood City and representatives of local candidates to join forces in these focused drives. We must target those areas with perennially low registration rates and low voter turnout. We should aim to have residents of the targeted areas be as involved as possible in actually registering voters. The voter outreach people will have to get their contacts in those areas to round up volunteers. There will be four weekends during Phase II. I suggest that the Voter Outreach people in conjunction with the working group identify eight areas for one day drives.

In addition, we must maintain a presence in other parts of our area of responsibility. Farmers' markets in Palo Alto, Redwood City, and Menlo park should be covered on a weekly basis. In addition, we should explore the possibility of hitting Caltrain stations on a weeknight, or two. If we can we should also try to put people in front of supermarkets on weekday evenings.

⇒ [Aside from registering voters we should have literature available (in both English and Spanish) about Clinton and the rest of the democratic ticket. Solicitation of volunteers, especially with the] ←

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manpower requirements of both the precinct and phone-banking operations, will also be a crucial aspect of both Phase I and Phase II. Finally, voter reg provides an excellent opportunity to fund-raise and to sell buttons and other campaign paraphernalia. This will enable us to maximize visibility in the community and to raise a not inconsequential sum of money at the same time. We should also begin to distribute lawn signs - both at the office and at tables throughout the area.

PHASE III: October 5 - November 2. Tabling will be continued with an emphasis on visibility, and undecided voters. While we will continue to solicit volunteers and to try to get donations, there will be a greater emphasis on campaign literature and articles which we copy from newspapers and magazines. If we can afford it we will begin to give-away far more visibility items in the 21 days prior to the election in order to saturate southern San Mateo County with Clinton/Gore.

BUDGETARY REQUIREMENTS FOR VOTER REG/TABLING OPERATIONS will not be overly high. We should invest in at least ten card tables and folding metal chairs (which can also be used in the office). We will also need to purchase at least thirty clipboards to hold voter reg forms and literature. TOTAL: \$700. I am adding a contingency fund of \$500 as a safety margin. Projected materials costs are discussed in the materials section below.

PROJECTED TABLING BUDGET - \$1200

MATERIALS

LITERATURE, from whatever source, will be given away at no charge. This is both a principled stand for the free exchange of ideas; and a prudent measure to avoid any copyright problems. The one exception to this will be the potential sale of autographed copies of Al Gore's environmental opus. We will probably receive brochures from the campaigns and from the party, as well as some from outside interest groups supporting the ticket. An excom member should approve all literature not issued by a campaign, or the Democratic party. This will ensure that no irresponsible material is disseminated by this office.

Interesting articles from the English and Spanish press should be clipped and copied. Catchy headlines are important, as is a preference for article that can be copied onto one single, or double-sided page. Articles should be cleared with an excom member before distribution. This is to prevent our office from distributing anything too venomous, or of dubious veracity. We should strive to keep our literature as issues-oriented as possible (subject to revision based on the tone of the campaign).

BUDGETARY REQUIREMENTS FOR LITERATURE should not exceed \$600 and will consist mostly of duplication costs. However, I am allocating

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an extra \$1,000 for contingencies.

CAMPAIGN ITEMS, buttons, bumper stickers, t-shirts, lawn signs, etc. will be both a major expense and a major source of income for the office. For example, we must do a buy of 1000 buttons, bumpers, and lawn signs immediately. This would cost approximately \$600. However, even if we give the lawn signs away with stakes and assume a 20% "attrition" of buttons and bumpers we would still make \$900 "profit" and heightened Clinton's visibility as well.

BUDGETARY REQUIREMENTS FOR CAMPAIGN ITEMS will probably exceed \$3,500 and could approach \$4,000, depending on what types of items we buy and in what quantity we buy them.

PROJECTED MATERIALS BUDGET - \$4,600 [Using my formula outlined above this outlay would result in a net profit of approximately \$1,994.].

PHONEBANKING OPERATIONS

PHASE I: September 3 - 12. The primary function of phonebanking in this phase will be to alert people to our presence and to invite them to the open house. Phonebanking can also be used to solicit donations and to secure new volunteers. Emphasis, if possible should be on known Clinton supporters (e.g. people who signed up to volunteer at a table, but were unable to make it to Monday's meeting).

PHASE II: September 12 - October 13. Aside from continued assessment of community support for Clinton et al., phonebanking will be devoted to securing volunteers (especially precinct-walkers), and donations. This will require close coordination with those overseeing the precinct operations and the local candidates. We will be working off lists, that we will be given by the state and local parties. I hope that we do not have to pay for them; they are expensive.

PHASE III: October 14 - October 25. Phonebanking operations during this phase will concentrate on areas in which we do not have a large precinct operations presence on the ground. Phonebanking will be used to reinforce precinct-walking in these areas.

PHASE IV: October 26 - November 3. GET OUT THE VOTE. Every possible volunteer will use all available phones to call as many voters as possible.

BUDGETARY AND SPACE REQUIREMENTS. We will need to use law firms and other businesses to volunteer their offices as phonebanks. This saves us money and allows us to reach more people. Assuming that we do not have to pay for lists, I anticipate a total financial

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outlay of \$400 to pay for refreshments for the phonebankers. Telephone costs will be absorbed in the office expenses.

PROJECTED PHONEBANKING BUDGET - \$400

PRECINCT OPERATIONS

PHASE I: September 3 - 30. The precinct ops people, in conjunction with the community outreach committee, and other campaigns, will identify precinct captains in all precincts. Captains will be advised to begin to build their own organizational structure based on a model given to them by this office. If possible, all precinct captains will receive training at the office on September 25 and 26. Remaining captains will be trained during Phase II with the lieutenants and precinct walkers. Some voter reg precinct walking should take place in this phase, especially in targeted areas, if possible.

PHASE II: October 1 - 13. Lieutenants and precinct walkers will be trained at the office, or at sites in each precinct. Precinct teams will be trained together whenever possible to build cohesion and so that the team members recognize each other and their leaders.

PHASE III: October 14 - 25. Precinct teams will walk precincts to drop as much literature as possible and to try to identify wavering voters.

PHASE IV: October 26 - November 2. Precinct teams will pay follow-up visits to undecided voters ID'ed in Phase III.

BUDGETARY REQUIREMENTS FOR PRECINCT OPERATIONS should break down as follows: Training (mostly refreshments) - \$300; clipboards and materials - \$500 and a contingency fund of \$1,000.

PROJECTED PRECINCT OPERATIONS BUDGET - \$1,800

PUBLIC RELATIONS OPERATIONS.

PHASE I: September 3 - 12. PR team will work to ensure plentiful and positive coverage of the Open House event. The event will allow them to cultivate relationships with local political reporters. The PR team will work with Celeste Gore to make sure that she is not over-exposed too early.

PHASE II: September 12 - November 3. PR people will continue to publicize local events and will act as a conduit for press releases, etc. from Little Rock, Washington, and San Francisco. The PR committee will also coordinate a letter writing campaign to local papers. The Clinton campaign is doing this all over the country. Note: it is important that the letter writers identify themselves ONLY as individuals.

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BUDGETARY REQUIREMENTS FOR PUBLIC RELATIONS should be about \$400. This is for copies and contingencies.

PROJECTED PUBLIC RELATIONS OPERATIONS BUDGET - \$400

SPECIAL EVENTS.

HOUSE PARTIES should be arranged, especially around the debates and to view Clinton videos. The Special Events people will help to arrange these parties by supplying videos, literature and campaign items. We can use the house parties to raise money by selling things and passing the hat. These should begin ASAP and build in frequency as election day approaches.

OFFICE EVENTS will also be coordinated by the Special events people who will work with the office staff.

BUDGETARY REQUIREMENTS FOR SPECIAL EVENTS should be \$300 for contingency purposes.

PROJECTED SPECIAL EVENTS BUDGET - \$300

FUND RAISING.

PHASE I: September 3 - 12. Fund raising efforts will center around the open house on the 12th. Those who can should be hit up. I believe, however, that we should plan a high dollar event at the end of September and not go all out for the event next week. An open house should be just that - open. Fundraising people should begin to identify possible high dollar donors.

PHASE II: September 12 - 30. This phase will center around a high-dollar event at the end of the September. The event should be at somebody's house and feature Celeste and at least one VIP or candidate.

PHASE III: October 1 - 25. This phase will culminate with a high dollar fundraiser on the 25th. It will be an event similar to the September event.

PHASE IV: October 26 - November 2. If we're short of cash we will beg.

BUDGETARY REQUIREMENTS FOR FUND RAISING will be \$2,000 to help with the two high dollar events.

PROJECTED FUND-RAISING BUDGET - \$2000

GENERAL CONTINGENCY FUND - \$4000

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BUDGET TOTALS

PROJECTED OFFICE BUDGET -	\$13,320
PROJECTED TABLING BUDGET -	\$ 1,200
PROJECTED MATERIALS BUDGET -	\$ 4,600
PROJECTED PRECINCT OPERATIONS BUDGET -	\$ 1,800
PROJECTED PHONEBANKING BUDGET -	\$ 400
PROJECTED SPECIAL EVENTS BUDGET -	\$ 300
PROJECTED FUND-RAISING BUDGET -	\$ 2,000
GENERAL CONTINGENCY FUND -	\$ 4,000
TOTAL PROJECTED BUDGET -	\$27,620

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Letter of Understanding
for the formation of the
Redwood City Headquarters

EXHIBIT G

Prior to the formation of the Redwood City Headquarters, initial meetings were held with San Mateo County Democratic Party Chair Jan Epstein. The purpose of the meetings was to establish financial and campaign guidelines to be adhered to by the Redwood City Headquarters organizers.

Prior to the formation of the Redwood City Headquarters, the San Mateo County Democratic Party chair met with the Redwood City Headquarters group, chaired by Celeste Gore, at the home of Brian and Sarah Lewis. At this and subsequent meetings the Redwood City Headquarters heard the same information from the San Mateo County chair.

The following were the campaign guidelines which the San Mateo County Democratic Party chair and the Redwood City Headquarters agreed to follow:

- Support all Democratic Party candidates appearing on the San Mateo County ballot.
- Follow all Federal Election Commission (FEC) and Fair Political Practices Commission (FPPC) guidelines.
- While the San Mateo County Democratic Party acknowledges that the main effort of the Redwood City Headquarters is to perform Democratic Party campaign outreach and bilingual information dissemination to the Latino community, the Redwood City Headquarters shall also be available to all volunteers wishing to support Democratic Party candidates appearing on the San Mateo County ballot - without requiring them to participate in the Latino community outreach.

The following were the financial guidelines which the Redwood City Headquarters agreed to follow:

- The Redwood City Headquarters must raise five thousand dollars prior to opening a campaign headquarters.
- Prior to expenses being incurred by the Redwood City Headquarters, the Redwood City Headquarters must raise and have funds on hand in a San Mateo County Democratic Party checking account.
- The Redwood City Headquarters may raise funds by receiving donations for campaign paraphernalia distributed during the course of normal campaign activities.
- As long as there are ample funds raised by the Redwood City Headquarters to cover costs, the Redwood City Headquarters has discretion in the creation of campaign expenses. These expenses must be in compliance with FEC and FPPC guidelines.
- All funds raised by the Redwood City Headquarters are under the control of the Redwood City Headquarters.

The undersigned agree this is the consistent information and message stated to the Redwood City Headquarters by the San Mateo County Democratic Party chair.

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Signatories

Gonzalo "Sal" Torres
Gonzalo "Sal" Torres

Fernando Vega
Fernando Vega

Miguel Martinez
Miguel Martinez

Roosevelt M. Cox, Jr.
Roosevelt Cox

Rebekah Finer
Rebekah Finer

Tom Sagehorn
Tom Sagehorn

Celeste Bore
Celeste Bore

Rebecca Vitale-Mandich
Rebecca Vitale-Mandich

Tim Bergreen
Tim Bergreen

Ann Danelski
Ann Danelski

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Miguel Martinez

EXHIBIT H

COPY

October 4, 1992

Ms. Ruthellen Harris
Regional Director
California Democratic Party
720 Hillsborough Blvd.
Hillsborough, CA 94010

Dear Ms. Harris,

This is a notice of resignation as treasurer of the San Mateo County Democrats for Democrats and the San Mateo County Democratic Party campaign accounts. Attached are copies of letters which informed the FEC and FPPC of my resignation as treasurer of the two accounts.

I did not volunteer to be treasurer of the two campaign accounts. However the Chair of the San Mateo County Democratic Party, Jan Epstein, strongly requested I continue my four year's of service as treasurer of the Party's accounts. Out of friendship I reluctantly agreed to continue my duties. However, during the course of this campaign, the harassment and demonstrated prejudices by Ms. Epstein toward me far outweigh any friendship.

During the course of my treasurer's duties during this campaign, an independent group of Democrats and Republicans sought to form a campaign group to concentrate their efforts in the South of San Mateo County and target the Latino community for get out the vote activities. Heretofore, the San Mateo County Democratic Party nor the California State Party staff brought into San Mateo County have ever implemented an active campaign strategy in the Latino community. However, Ms. Epstein expressed concern this group would run their campaign efforts as an independent group. She requested I talk with the group's founder, Celeste Gore Schreck, and overly stress the accounting and filing difficulties presented by FEC and FPPC regulations.

The intent of the conversation with Ms. Schreck was to make the reporting requirements so cumbersome that the south county group drop their efforts to establish an independent committee and either come under the control of the San Mateo County Party or disband. The south county group decided to enlarge their original intent of supporting the top of the Democratic Party ticket only, and embrace the San Mateo County Party's combined campaign efforts.

As treasurer of the San Mateo County Party's two campaign accounts, it was my duty to enforce all FPPC and FEC regulations. As such, and as a promise made by Ms Epstein to the south county group, I was instructed to create additional accounting spreadsheets so deposits and

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disbursements of the Redwood City-South County headquarters could be properly posted and reported. Yet, against my continuing advice, Ms. Epstein appears to have instructed the California Democratic Party staff member at the south county headquarters to commingle the cash funds with the cash funds of the San Mateo office. This commingling breaks a promise Ms. Epstein made to the south county supporters, violates my understanding of FEC and FPPC regulations, and deprives the south county office of contributions it has generated.

The south county executive committee has purchased bumper stickers, t-shirts, buttons, and signs from sources independent of the San Mateo County Party. The contributions generated through the distribution of these campaign items was intended to pay for the expenditures generated by the south county headquarters. As an agreement between Ms. Epstein and the south county executive committee, the south county headquarters must raise its own funds prior to assuming responsibility for expenditures. The purchase of campaign items for generation of contributions was one of the fund raising means the south county executive committee utilized to meet the agreement.

The commingling of south county headquarter funds with San Mateo headquarters funds not only deprives the south county of contributions, it violates an agreement between Ms. Epstein and the south county group and it is a confiscation of funds which reimburses the south county group for purchase of campaign materials.

Additionally, this confiscation of funds and commingling of the south county headquarters funds with the San Mateo headquarters funds is in violation of FPPC and FEC guidelines.

A number of times I have tried to mediate a solution. Several solutions have been agreed to by both the south county group and Ms. Epstein. While the south county group has consistently kept to their promises, there has been consistent violation by the acts and duties requested by Ms. Epstein.

There are additional incidents which demonstrate a sabotaging of the south county group's efforts to work with the San Mateo headquarters. While state party staff at other headquarters were directed to phone bank to promote the bus tour of stars, people have told me the state party staff at the south county headquarters were told to monopolize the phones and prevent phone banking to promote the bus tour of stars stop in Redwood City. Furthermore, the only headquarters which was forbidden to hold the event at their location was the south county headquarters - the only headquarters which is in the center of the Latino community.

To date, the state party staff has continued to monopolize phone lines and sabotage efforts by the Latinos and south county residents to reach the Latino community - the only group in San Mateo County which has a program to reach into the Latino and African-American communities.

As contrast to the state party and local personnel efforts to thwart the south county group's objectives, the drive to produce and distribute bilingual absentee ballot applications has proved to be very successful. Rod Kennedy-Minot has had Santa Cruz County organizers call for bilingual absentee ballot applications. Latinos from the nine Bay Area counties have called to receive bilingual absentee ballot applications. The spanish language news media, in addition to

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the Peninsula Times Tribune and San Jose Mercury News, has embraced the efforts to increase voting among Latinos by promoting the use of the bilingual absentee ballot applications.

Or is this base of the problem - Latinos voting is a major concern for the San Mateo Democratic Party? Though the San Mateo headquarters is near a major Latino community and knows of the existence of the bilingual absentee ballot application, no one from the San Mateo headquarters has asked to become informed, involved, or even requested use of the bilingual forms.

There are a myriad of serious questions which the Latino and south county community is asking concerning the attitudes and demonstrated actions of San Mateo County Democratic Party and state Democratic Party officials and individuals. They demonstrate contentiousness, unfriendliness, uncooperativeness, and malevolence towards the people and goals of the south county group.

Therefore, the actions demonstrated against me requires I protect my integrity by resigning, in protest, from the office of treasurer of the campaign funds.

Sincerely,

A handwritten signature in black ink, appearing to be "M. J. ...", written over a horizontal line.

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Miguel Martinez

EXHIBIT I

October 4, 1992

Federal Election Commission
999 E Street, NW
Washington, DC 20463

Dear Commission Officer,

Please accept this notice of resignation as treasurer of the San Mateo County Democratic Party, FEC # C00258061, effective on the above date.

I felt it was necessary to resign because my expressed concerns over the handling of Cash at satellite campaign headquarters were consistently ignored by the chairwoman of the San Mateo County Democratic Party.

Concerning the upcoming campaign report due October 15, since the Chair of the San Mateo County Democratic Party has been issuing check payments and prescribed the Cash controls, the Chair shall be responsible for submitting the October 15 report and appropriate forms.

I have requested an audit report be made. If you deem it necessary, I will be happy to forward a copy of that audit report to your office.

Thank you for your cooperation in past filings.

Sincerely,



cc: Ms. Ruthellen Harris, California Democratic Party Regional Chair
Ms. Jan Epstein, San Mateo County Democratic Party Chair

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Miguel Martinez

October 4, 1992

Mr. Bob Steele,
Chief
Political Reform Division
California State Fair Political Practices Commission
1230 J Street
P.O. Box 1467
Sacramento, CA 95812-1467

EXHIBIT J

Dear Mr. Steele,

Please accept this notice of resignation as treasurer of the San Mateo County Democrats for Democrats, FPPC # 901900, effective on the above date.

I felt it was necessary to resign because my expressed concerns over the handling of Cash at satellite campaign headquarters were consistently ignored by the chairwoman of the San Mateo County Democratic Party.

Concerning the upcoming campaign report due October 5, since the Chair of the San Mateo County Democratic Party has been issuing check payments and prescribed the Cash controls, the Chair shall be responsible for submitting the October 5 report and appropriate forms.

I have requested an audit report be made. If you deem it necessary, I will be happy to forward a copy of that audit report to your office.

Thank you for your cooperation in past filings.

Sincerely,



cc: Ms. Ruthellen Harris, California Democratic Party Regional Chair
Ms. Jan Epstein, San Mateo County Democratic Party Chair

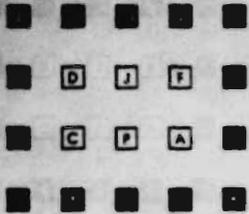


EXHIBIT K

DAVID J. FLYNN
CERTIFIED PUBLIC ACCOUNTANT
A Professional Corporation

December 15, 1992

Mr. Miguel Martinez, Treasurer
San Mateo County
Democrat Party
470 Fernwood Drive
San Bruno, CA 94066

Dear Miguel;

Thank you for asking us to audit San Mateo County Democratic Party, but it cannot be audited because no receipts were issued to individuals who made cash contributions. After reviewing the records, the following suggestions are offered on improving your internal controls.

1. A prenumbered receipt should be given to all who make a monetary contribution.
2. All expenses of the organization should be paid by check and two signatures should be required on all disbursements.
3. If the disbursement is shared by another organization or P.A.C., then the percentage of the total billed amount should be indicated when the bill is paid.
4. After looking through the Wells Fargo Bank Statements, deposits were made but no deposits slips can be found. Also these deposits should have detailed backup indicating if anyone contributed more than \$200.00. If the Federal Election Commission were to audit, the Treasurer would be subject to fees and fines.

If you have any questions regarding these suggestions, please don't hesitate to call.

Sincerely,


David J. Flynn, CPA

DJF/dem

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EXHIBIT L

THE WILLIAM AND FLORA HEWLETT FOUNDATION

MEMORANDUM

TO: Ruthellen Harris
FROM: Steve Toben
SUBJECT: Notes from Meeting of 2/28
DATE: March 15, 1993

On Friday you requested a summary of my notes from the meeting of 2/28. The following provides my reading of the meeting, it is possible that others may have a different interpretation of the meeting

The meeting had three substantive topics: (1) consideration of means to avoid a repeat of the fall controversy; (2) acknowledgment of regret for accusations of wrongdoing; (3) consideration of ways to resolve the disagreement over the status of the computer, funds claimed by the Redwood City Organizing Committee (RWOC), and other contested matters.

As to the first topic, there was broad consensus around a paper (attached) developed by the pre-mediation participants that lists points of agreement and a recommendation asking the By-Laws Committee to formulate procedures designed to avert a future problem. There was some disagreement as to specific suggestions contained in the recommendation, but it was emphasized that these are suggestions only, and that the By-Laws Committee is responsible for the formulation of actual proposed amendments to the by-laws. Several people expressed concern about the need for cognizance of all legal requirements in developing by-laws amendments.

As to the second topic, Jan Epstein apologized for the accusations she made last November against Miguel Martinez. She added that she still has serious questions about the accounting.

There was no agreement as to the third topic. Miguel Martinez proposed that amounts that the RWOC believes are owed to it by the Central Committee could be treated as a loan from the Redwood City group, to be paid off in quarterly installments. Sandra Lang described a different outcome, whereby the Central Committee would release its claim for return of the computer and other items, while the RWOC would release its claim for further reimbursements from the Central Committee. There was much discussion but no agreement on a solution.

At the end of the meeting, a motion was made and carried to designate a committee of five (two representing the interests of the Redwood City Organizing Committee, two representing the interests of the E-Board majority, and one to be mutually designated) to examine the accounting pertaining to the operations of the Redwood City office. That committee will make a report to the Central Committee.

cc. Miguel Martinez

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AGREED

There was a serious misunderstanding over the agreement between Central Committee leadership and the organizers of the South San Mateo County Democratic Headquarters concerning the establishment and operation of the Redwood City office. Differing interpretations of the agreement resulted in a dispute over the expenditure of funds.

In relying on differing and conflicting interpretations of the agreement, all parties sincerely believed that they were acting in accordance with the agreement as they understood it.

The parties regret the bad feeling that resulted from the misunderstanding.

RECOMMENDATION:

That the By-Laws Committee develop proposed by-law amendments designed to avert any future situation like that which arose last fall concerning the establishment and operation of the Redwood City office. The By-Laws Committee might consider the following:

- A. Specific provisions governing the terms for establishing and operating satellite office.
- B. Creation of the position of general counsel to the Central Committee.
- C. Any Memoranda of Understanding from the Chair or Treasurer to be circulated to all Central Committee members.
- D. Creation of an conflict resolution mechanism within the Central Committee for handling disputes that arise. Such an internal procedure (e.g., mediation by general counsel) would have to be exhausted before resort to mailings, statements to the press, etc.

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SAN MATEO COUNTY DEMOCRATIC PARTY

San Mateo County Democratic Central Committee
Minutes May 20, 1993

The regular monthly meeting of the San Mateo County Democratic Central Committee was held at 300 7th Ave., San Mateo. Meeting was called to order at 7:40 pm by Chair Jan Epstein. Roll call was taken by Secretary Sandra Lang. Secretary read names of committee members and alternates who had been notified of non-compliance with attendance requirements set forth in the By-Laws. Motion to remove names from roster as read passed unanimously.

Chair introduced guests Warren Eggly, second alternate to Perry Schuckman and Tim Dayonot, guest Parliamentarian and lecturer on Parliamentary procedure.

Agenda was adopted as presented. Agenda altered without objection to hear Ernst Epstein who offered a contribution to the SMCDemocratic Central Committee and Latinos for Better Government. Receipt of this contribution would be contingent upon receipt of documents prior to contribution.

Dayonot's presentation of Parliamentary procedure dealt with its' history, advantages and disadvantages and an overview of basic procedures for the body and the Chair.

Minutes were approved as mailed.

Chair gave Chair's report and an Executive Board Report. Chair reported the Executive Board's recommendation to send a letter in support of the Ward Valley resolution. Motion to send letter to the Secretary of the Interior, Bruce Babbitt passed unanimously. Chair reported Executive Board's recommendation that the SMCD Central Committee approve a Fair Booth for the County Fair this summer. Motion approving SMCD Central Committee's Fair Booth passed unanimously. Chair reported the Executive Board's recommendation that the Central Committee make a contribution to the Cesar Chavez Non-Violent Action Fund in memory of Cesar Chavez. Miquel Martinez moved that the SMCDCC contribute \$100.00 to the Cesar Chavez Non-Violent Action Fund. Motion passed unanimously.

Chair reviewed motion from the Feb. 28th meeting calling for a select committee to prepare a campaign finance review. Chair introduced Bernie Plotnikoff, SMCDCC member, who reported for the Committee of Five. (Financial Review Committee) Plotnikoff read the recommendation of the Committee of Five. Plotnikoff recommended that the Central

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Committee adopt the commendation that Latinos for Better Government retain the computer equipment purchased during the recent campaign and that income from future fundraising efforts by the Central Committee be fairly apportioned throughout San Mateo County. Plotnikoff moved that the recommendation be approved. Weise' motion to table discussion of recommendation failed. During lengthy discussion on the main motion Chair ruled that some of the discussion by Miquel Martinez was not relevant. Martinez' motion to challenge Chair's ruling failed. Following more discussion on the main motion: May Gee moved to amend main motion to require deletion of last sentence, referring to future funds. Motion to strike last sentence failed on a division of the assembly. Motion to limit debate on main motion passed unanimously. Chair ruled that limitation also apply to amendments.

Ruthellen Harris moved to amend recommendation to indicate that RWOC and Latinos for Better Government relinquish all claims on the Central Committee , FEC and FPPC accounts. Motion as amended passed by majority vote on a division of the assembly

Donna Patterson moved that both Treasurers be present at next review by the Committee. of Five. Motion passed unanimously.

Treasurer reported a SMCDCC balance of \$1,615.43. Expenses during period 4/16/93 to 5/20/93, \$542.30; income for same period, \$369.00. Martinez moved that Executive Board pay these expenses. Motion passed unanimously. Treasurer read names of members notified for non-compliance with dues requirements specified in By-Laws. Following corrections, to original readings ^{corrections} were made and noted. Motion to remove members from list as corrected passed unanimously.

Campaign Treasurer's report not given. There were no objections.

Maxine Gonsalves moved to endorse Ted Lempert for County Supervisor. Motion passed unanimously. Gonsalves moved to endorse Don Horsely for Sheriff. Motion passed unanimously.

Acting Chair directed that the minutes indicate that the Public Relations Committee submit news of these endorsements to the press on behalf of the SMCDCC.

Judy Bloom reported new legislation introduced by Assemblymember Speier.

Miguel Martinez introduced an amendment to the newly revised By-Laws. Motion to consider this amendment at next meeting passed unanimously. Acting Chair directed that a copy of this amendment be mailed with minutes.

Agenda amended, without objection as hour was late, to hear announcements. At this time Judith Chapman circulated a sign-up sheet for the Fund Raising Committee. Sal Torres reported on the Public Relations Committee's plans for publicity.

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Chair announced State Executive Board in Los Angeles ,
June 12, 13, 1993. Noreene Koan announced that Nadia
Holober was recently appointed to the County Planning Board.
Sandra Lang announced that Assemblymember Speier would
address the 19th AD Committee, June 9th at 7:30pm. 1511
Rollins Road (Machinists Hall) Burlingame; Bake Sale held
same evening, proceeds to Benefit Battered Women's Shelter.
Pacifica Democrats announced their annual Champagne Brunch,
Sat. July 17, 1993, 10am-noon, Nick's Restaraunt, Pacifica.
Motion to adjourn passed unanimously.
Meeting adjourned at 11:18pm.

Respectfully submitted,

Sandra Lang

Sandra Lang

Reminder: SMCDC next regular meeting
June 17, 1993, 7:30 pm.

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Instructions for Form 1023

(Revised September 1990)

Application for Recognition of Exemption Under Section 501(c)(3) of the Internal Revenue Code

(Section references are to the Internal Revenue Code unless otherwise noted.)

Retain a copy of the completed Form 1023 in the organization's permanent records. See General Instruction G regarding public inspection of approved applications.

General Information

Paperwork Reduction Act Notice.—We ask for the information on this form to carry out the Internal Revenue laws of the United States. If you want to be recognized as tax exempt by IRS, you are required to give us this information. We need it to determine whether you meet the legal requirements for tax-exempt status.

The time needed to complete and file these forms will vary depending on individual circumstances. The estimated average times are:

Form	Recordkeeping	Learning about the law or the form	Preparing, and sending the form to IRS
1023 Parts I to IV	54 hrs., 17 min.	4 hrs., 53 min.	9 hrs., 34 min.
1023 Sch. A	7 hrs., 10 min.	0 min.	7 min.
1023 Sch. B	4 hrs., 47 min.	30 min.	36 min.
1023 Sch. C	5 hrs., 1 min.	35 min.	43 min.
1023 Sch. D	4 hrs., 4 min.	42 min.	47 min.
1023 Sch. E	9 hrs., 20 min.	1 hr., 5 min.	1 hr., 17 min.
1023 Sch. F	2 hrs., 38 min.	2 hrs., 53 min.	3 hrs., 3 min.
1023 Sch. G	2 hrs., 38 min.	0 min.	21 min.
1023 Sch. H	1 hr., 55 min.	42 min.	46 min.
1023 Sch. I	3 hrs., 35 min.	0 min.	4 min.
872-C	1 hr., 12 min.	24 min.	26 min.

If you have comments concerning the accuracy of these time estimates or suggestions for making these forms more simple, we would be happy to hear from you. You can write to both the Internal Revenue Service, Washington, DC 20224, Attention: IRS Reports Clearance Officer, T:FP; and the Office of Management and Budget, Paperwork Reduction Project (1545-0056), Washington, DC 20503. DO NOT send the tax form to either of these offices. Instead, see the instructions below for information on where to file.

General Instructions

User Fee.—The Revenue Act of 1987 requires payment of a user fee with determination letter requests submitted to the Internal Revenue Service. Form 8718, User Fee for Exempt Organization Determination Letter Request, must be submitted with this application along with the appropriate fee as stated on Form 8718. Form 8718 may be obtained through your local IRS office or by calling the telephone number given below for obtaining forms and publications.

Helpful Information.—For additional information see Publication 557, Tax-Exempt Status for Your Organization; Publication 578, Tax Information for Private Foundations and Foundation Managers; and Publication 598, Tax on Unrelated Business Income of Exempt Organizations. You may also call 1-800-554-4477 (after October 1, 1990, call 1-800-829-4477); ask for Topics #109 and #110 (a push-button telephone is required). For additional forms and publications, call 1-800-424-3676 (after October 1, 1990, call 1-800-829-3676).

A. Purpose of Form

1. Completed Form 1023 required for section 501(c)(3) exemption.—Unless it meets either of the exceptions in item 2

below, or notifies the IRS that it is applying for recognition of section 501(c)(3) exempt status, no organization formed after October 9, 1969, will be considered tax exempt under section 501(c)(3).

An organization "notifies" IRS by filing a completed Form 1023. Form 1023 also solicits the information that IRS needs to determine if the organization is a private foundation.

2. Organizations that are not required to file Form 1023.—The following organizations will be considered tax exempt under section 501(c)(3) even if they do not file Form 1023: (a) Churches, their integrated auxiliaries, and conventions or associations of churches, or (b) Any organization which is not a private foundation (as defined in section 509(a)) and the gross receipts of which in each taxable year are normally not more than \$5,000.

Even if these organizations are not required to file Form 1023 to be tax exempt, they may wish to file Form 1023 and receive a determination letter of IRS recognition of their section 501(c)(3) status in order to obtain certain incidental benefits such as: public recognition of their tax-exempt status; exemption from certain state taxes; advance assurance to donors of deductibility of contributions; exemption from certain Federal excise taxes; non-profit mailing privileges, etc.

3. Other organizations.—In applying for a determination letter, cooperative service organizations, described in section 501(e) and (f), and child care organizations, described in section 501(k), use Form 1023 and are treated as section 501(c)(3) organizations.

4. Group exemption letter.—Note: Generally, Form 1023 is NOT used to apply for a group exemption letter. For information on how to apply for a group exemption letter, see Publication 557.

B. What To File

- All organizations—Pages 1 through 9, Form 1023 and additional schedules, if applicable
- Churches—Schedule A
- Schools—Schedule B
- Hospitals or Medical Research—Schedule C
- Supporting Organizations (509(a)(3))—Schedule D
- Private Operating Foundations—Schedule E
- Home for the Aged or Handicapped—Schedule F
- Child Care—Schedule G
- Scholarship Benefits or Student Aid—Schedule H
- If your organization has taken over or will take over a "for profit" institution—Schedule I.

Attachments.—Every attachment should state that it relates to Form 1023 and identify the applicable part and line item number. The attachments should also show the organization's name, address, and employer identification number and be on 8½" x 11" paper.

In addition to the required documents and statements, you should file any additional information citing court decisions, rulings, opinions, etc., that will expedite processing of the application. Generally, attachments in the form of tape recordings are not acceptable unless accompanied by a transcript.

C. When To File

An organization formed after October 9, 1969, must file Form 1023 to be recognized as an organization described in section 501(c)(3). Generally, if an organization files its application within 15 months after the end of the month in which it was formed, and if IRS approves the application, the effective date of the organization's section 501(c)(3) status will be the date it was organized.

Generally, if an organization does not file its application (Form 1023) within 15 months after the end of the month in which it was formed, it will not qualify for exempt status during the period before the date of its application.

D. Where To File

File the completed application, and all information required, with the key district office for your principal place of business or office as listed below. As soon as possible after the complete application is received, you will be advised of IRS's determination and of the annual returns (if any) that the organization will be required to file.

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Olc 9523

Latinos for Better Government

July 19, 1993

Ms. Abigail A. Shaine
Assistant General Counsel
Federal Elections Commission
Washington, DC 20463

RECEIVED
FEDERAL ELECTION
COMMISSION
MAIL ROOM
JUL 22 10 54 AM '93

RE: MUR 3793

Dear Ms. Shaine,

As per your request, Latinos for Better Government is responding to the Federal Elections Commission complaint MUR 3793. Attached are factual and legal documents that will substantiate no wrong doing on our part and our compliance with all Federal Election Campaign Act rules and regulations.

Therefore, we are requesting that the Federal Elections Commission dismiss complaint MUR 3793 and take no action against Latinos for Better Government in this matter.

We shall show that Latinos for Better Government:

- is a viable nonpartisan, not-for-profit, 501(c)(3) organization in its formative stages
- is in compliance with Internal Revenue Service regulations governing nonprofit, 501 (c)(3), organizations
- received the computer in question as compensation for goods and services provided to the San Mateo County Democratic Party for use during the 1992 general election
- fully complied with all FEC rules and regulations and that the transactions mentioned in this inquiry fully complied with all FEC and California Fair Political Practices Commission rules and regulations.

NOTE: In some documents the South County Group is named. The South County Group was also known as the Redwood City Headquarters Group.

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RECEIVED
FEDERAL ELECTION
COMMISSION
JUL 22 11 31 AM '93

FACTS

In mid-August of the 1992 general election, a group of community leaders and concerned citizens contacted the San Mateo County Democratic Party chair, Mrs. Jan Epstein, with a request to establish a Democratic Party campaign office in south San Mateo County, California.

According to the California elections code, Division 7, Part 2, chapter 4, Article 4 - County Central Committee, section 8940 - Party Campaign:

A [county central] committee shall have charge of the party campaign under general direction of the state central committee or of the executive committee selected by the state central committee.

To establish a Democratic Party campaign headquarters in south San Mateo County, the San Mateo County Democratic Party Central Committee chair, Mrs. Epstein, required the Redwood City Headquarters Group to obtain her approval.

At Miguel Martinez's request, the Redwood City Headquarters Group met with Mrs. Epstein, Miguel Martinez, and at least five members of the San Mateo County Democratic Central Committee on August 19, 1992. Procedural and financial requirements were discussed. The Redwood City Headquarters Group agreed the south county campaign office would support all Democratic Party candidates appearing on the San Mateo County ballot. Also agreed upon, at the request of Mrs. Epstein, was the stipulation that the Redwood City Headquarters Group would use the banking services of the San Mateo County Democratic Party Central Committee campaign accounts for the deposit and withdrawal of funds raised by the Redwood City Headquarters Group.

Mrs. Epstein agreed that the Redwood City Headquarters Group would be credited with all the funds they raised. Mrs. Epstein also agreed that the Redwood City Headquarters Group had the full authority to make expenditures. However, Mrs. Epstein stated that before the Redwood City Headquarters Group could incur each expenditure, the group must have funds in the bank to cover the expenditure. Mrs. Epstein did not state at any time that the Redwood City Group's expenditures required her prior approval.

On August 26, 1992, under the authority as chair of the San Mateo County Democratic Central Committee and chair of the San Mateo County Democratic Party state and federal campaigns, Mrs. Epstein issued a memorandum to the Redwood City Headquarters Group and Miguel Martinez that provided the requirements to establish the Redwood City campaign office (Exhibit A). Nothing in Mrs. Epstein's memorandum contradicted statements she made at the August 19 meeting, the memorandum was, therefore, accepted by Miguel Martinez and the Redwood City Headquarters Group.

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Analysis

- Latinos for Better Government commenced to transact business as a nonpartisan, not-for-profit, 501(c)(3) organization under this name on August 22, 1992. (See Latinos for Better Government Minutes of August 22, 1992 Meeting (Exhibit B) and Fictitious Business Name Statement File Number 121215. (Exhibit C))
- August 23, 1992, Latinos for Better Government made a Latino voter outreach program presentation to the chair of the Redwood City Headquarters Group, Mrs. Celeste Gore-Schreck. Latinos for Better Government stated it would provide the Redwood City Headquarters Group with bilingual vote-by-mail applications (absentee ballot applications), media publicity to support use of the bilingual vote-by-mail applications, and customized walking and phone lists of all Latinos registered to vote in San Mateo County. Mrs. Gore-Schreck, chair of the Redwood City Headquarters Group, agreed to purchase Latino for Better Government's services and requested that Latinos for Better Government begin preparing a tailored Latino voter outreach program. It was agreed that Latinos for Better Government would negotiate charges for goods and services promoting Latino voter outreach as they provided said goods and services to the Redwood City Headquarters Group on behalf of the San Mateo County Democratic Party.

Such goods and services included:

- Creation and production of the bilingual vote-by-mail (absentee ballot) application approved by the San Mateo County Clerk-Recorder. Latinos for Better Government produced the only bilingual vote-by-mail application in California. (See sample bilingual vote-by-mail application produced by Latinos for Better Government. (Exhibit D))
- Training of the Redwood City Headquarters campaign office volunteers on implementing the Latino voter outreach program utilizing the bilingual vote-by-mail application designed by Latinos for Better Government.
- Peninsula Times Tribune interview with Mrs. Celeste Gore-Schreck concerning the outreach program to the Latinos registered to vote and the bilingual vote-by-mail application program. (Exhibit E)
- On location news interview at the Redwood City Headquarters with Spanish language television station KDTV-San Francisco. Ms. Gore-Schreck was interviewed about the influence of the Latino vote on the general election. (See video tape of news segment.)

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- Latinos for Better Government made presentations before the Northern California Broadcasters Association, a meeting of Northern California public affairs directors and news reporters. Latinos for Better Government presented the Redwood City Headquarters campaign office's efforts in conducting a bilingual vote-by-mail program, the success of the program purchased and implemented by the Redwood City Headquarters Group, and views on the power of the Latino vote in politics.
 - September 19, 1992, Latinos for Better Government received a data disk from American Data, Inc. Said data disk contained the information of 20,000 Latinos registered to vote in San Mateo County. Mr. Martinez attempted to use his computer and software to configure the data base. Once configured, the database information would be manipulated to produce custom phone calling and precinct lists for the Redwood City Headquarters Group.
 - On September 26, 1992, as officers of Latinos for Better Government, Mr. Johnny Anguiano, Mr. Sal Torres, and Mr. Miguel Martinez met to discuss the services Latinos for Better Government was providing to the Redwood City Headquarters Group. It was determined that the services that Latinos for Better Government was to provide the Redwood City Headquarters Group could not be provided with present computer hardware personally owned by the officers of Latinos for Better Government. It was agreed upon by Mr. Anguiano, Mr. Torres, and Mr. Martinez that to provide custom phone calling and precinct lists of all Latinos registered to vote in San Mateo County, a computer with larger hard disk capacity and higher processing speed was required. Mr. Martinez's two personally owned computers, and all computers rented and utilized by Mr. Martinez, had insufficient hard disk size and insufficient processing speed to configure the Latino database.

After discussion, with Mr. Martinez abstaining from the vote, Mr. Anguiano and Mr. Torres voted to approach the Redwood City Headquarters Group with a new arrangement of payment. Instead of paying cash for goods and services provided, Latinos for Better Government would ask the Redwood City Headquarters Group to make an in-kind payment of a computer to Latinos for Better Government. The required computer must have sufficient hard disk capacity and processing speed to process the database size of Latinos registered to vote in San Mateo County. The in-kind payment of the computer was payment for all services related to the Latino outreach vote-by-mail program designed by Latinos for Better Government and implemented by the Redwood City Headquarters Group. (See Latinos for Better Government Minutes of September 26, 1992 Meeting. (Exhibit F))

- On October 1, 1992, as executive director of Latinos for Better Government, Mr. Martinez talked with the chair of the Redwood City Headquarters Group, Ms. Gore-Schreck. As executive director of Latinos for Better Government, Mr. Martinez presented the proposal for an in-kind payment of a computer to Latinos for Better

Government as compensation for goods and services provided to the Redwood City Headquarters Group. Ms. Gore-Schreck stated she would investigate the appropriateness of the in-kind payment with the Redwood City Headquarters Group's executive board.

- On October 4, 1993, as per agreement signed on October 3, 1992, the computer purchased from COMPUSA was presented to Latinos for Better Government as an in-kind payment for goods and services that Latinos for Better Government provided to the Redwood City Headquarters Group. Latinos for Better Government provided the Redwood City Headquarters Group with the creation and promotion of bilingual vote-by-mail applications and the data processing required to generate tailored lists of Latinos registered to vote in San Mateo County. (See "Letter of Agreement." (Exhibit G) See COMPUSA sales receipt and system configuration agreement. (Exhibit H and Exhibit I) NOTE: The only software that came with the purchase of the computer was Windows. Before the acquisition of this computer, Latinos for Better Government had access to and utilized database software on computers with less capacity. No additional software was purchased for the COMPUSA computer.)
- The title to the computer is, and always had been, in the name of Latinos for Better Government.
- On October 8, 1992, COMPUSA was scheduled to deliver the computer. The computer was delivered to 470 Fernwood Drive, San Bruno, California. This was where a compatible printer was connected with the computer.
- The computer's main location is, and always has been, at Latinos for Better Government's registered address, 510 Cypress Way, San Bruno, CA, 94066. Furthermore, Mr. Martinez personally owns two personal computers, two personal computer printers, and a number of software systems. The database software used by Latinos for Better Government was donated, free of charge, by Mr. Martinez. Therefore, Mr. Martinez has not received any personal material benefit from the purchase of said computer for Latinos for Better Government.
- With the new computer, on October 9, 1992, Latinos for Better Government was able to provide the Redwood City Headquarters Group with the first of the many custom lists of Latinos registered to vote in San Mateo County, pursuant to the agreement between Latinos for Better Government and the Redwood City Headquarters Group. Immediately thereafter, the Redwood City Headquarters Group campaign office's bilingual volunteers used the custom Latino outreach lists for phone calling and precinct walking for the November 3 general election.
- At the May 20, 1993 regular meeting of the San Mateo County Democratic Central Committee, the issue of ownership of said computer arose. It was agreed upon and voted upon by the San Mateo County Democratic Central Committee members that

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Latinos for Better Government "retain the computer equipment purchased during the recent campaign." (See San Mateo County Democratic Central Committee Minutes for May 20, 1993. (Exhibit J))

- Presently, Latinos for Better Government is not formally registered as a 501(c)(3) organization by the Internal Revenue Service. However, bylaws, articles of incorporation, and the appropriate forms for requesting 501(c)(3) status for Latinos for Better Government are in the process of being filed.
- According to Internal Revenue Service Instructions, Form 1023, "Application for Recognition of Exemption Under Section 501(c)(3) of the Internal Revenue Code," *an organization has 15 months after the end of the month in which it was formed to apply for 501(c)(3) status.* Counsel for claimant neglected to include this information in her response to Mr. Epstein (See Internal Revenue Service Instructions for Form 1023. (Exhibit K))
- All contributions made after August 22, 1992 (Latinos for Better Government's initial date to transact business) and given to Latinos for Better Government are eligible for classification as tax deductible contributions. To date, Latinos for Better Government has received no grants, contributions, or monies. When the Internal Revenue Service approves Latinos for Better Government's application for 501(c)(3) status, then the effective date of the 501(c)(3) status will be the initial date Latinos for Better Government began to transact business. At this time, we see no obstacle preventing Latinos for Better Government from being registered as a 501(c)(3) by the Internal Revenue Service. Again, counsel for claimant neglected to include this information in her response to Mr. Epstein. (See Internal Revenue Service Instructions for Form 1023. (Exhibit K))
- The California Fair Political Practices Commission Form 410 was filed by Latinos for Better Government on December 8, 1992. The purpose of the filing was to receive an FPPC identification number for the Latinos for Better Government's general recipient committee.
- California FPPC Form 420 was filed by Latinos for Better Government on January 26, 1993. The purpose of this filing was to disclose activity during 1992. (See FPPC Form 420. (Exhibit L))
- Upon advisement and considerable discussion with FPPC counselor Wayne Imberi, Mr. Imberi recommended Latinos for Better Government file an amendment to the FPPC Form 420 1992 end-of-year filing.

According to California Fair Political Practices Commission counselor, Mr. Wayne Imberi, and as Latinos for Better Government reasonably understood, the computer was an in-kind payment to Latinos for Better Government for goods and services

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provided to the Redwood City Headquarters Group on behalf of the San Mateo County Democratic Party. Therefore, since the Redwood City Headquarters Group received full and adequate consideration, the in-kind payment of the computer was considered a miscellaneous increase of assets, and not a contribution of value received from another committee as initially designated. On July 9, 1993, Latinos for Better Government filed an amended form that reflects the correction. (See FPPC Form 405 and FPPC Form 420. (Exhibit M))

- To maintain its 501(C)(3) status, Latinos for Better Government must be paid for goods and services it provides campaigns, candidates, and ballot issues. If Latinos for Better Government donates or receives no compensation for goods and/or services it provides to campaign organizations, candidates, or issue oriented campaigns, Latinos for Better Government would be in jeopardy of losing its 501(c)(3) status.
- Latinos for Better Government mailed Campaign Statement - Long Form, FPPC Form 420, on January 29, 1992 by U.S. Postal Service certified mail/return receipt requested. The U.S. Postal Service certified mail return receipt clearly shows that on February 1, 1993, the Secretary of State's Political Reform Division received Latinos for Better Government's FPPC Form 420 signed January 26, 1993. (See U.S. Postal Service certified receipt returned from the Secretary of State's Office, Political Reform Division. (Exhibit L))
- Once Latinos for Better Government has received at least \$1,000 in contributions, it will file an amended Statement of Organization with the California FPPC.
- Latinos for Better Government is currently preparing its information for filing the semiannual FPPC Form 420 prior to the July 31, 1993 deadline.
- To the best of our abilities, the Latinos for Better Government brochure has been designed to comply with California FPPC and Internal Revenue Service 501(c)(3) rules and regulations. (See Latinos for Better Government Brochure. (Exhibit N) NOTE: Statement reading "The above information is required to comply with state political laws.")
- Mr. Epstein erroneously contends that on April 2 and 3 at the California State Democratic Party Convention, "Miguel Martinez and others circulated a flyer regarding Latinos for Better Government and soliciting contributions." Latinos for Better Government was not soliciting contributions, rather it was seeking memberships.

Following this letter are the documents that substantiate and reinforce that correct procedures were followed and neither Miguel Martinez nor Latinos for Better Government violated any Federal Election Campaign Act rules or regulations.

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Given the above facts, the Federal Elections Commission should find no violation of the Federal Election Campaign Act of 1971, as amended. Accordingly, the Commission should close the file in the matter by dismissing complaint MUR 3793 and take no action against Latinos for Better Government in response to this complaint.

If there are additional questions or there is a need for additional information, you are welcome to contact me by mail or by phone. The phone number where I can be reached during the day is 415/398-9000.

Sincerely,



Gonzalo "Sal" Torres
General Counsel

Fax signature sent due to time constraints. The original documentation will follow by regular mail.

9 4 0 4 3 5 2 3 9 8 3



SAN MATEO COUNTY DEMOCRATIC PARTY

MEMORANDUM

DA: 8.26.92
TO: C. Gore, T. Bergreen, B. Lewis, S. Lewis, M. Martinez
FR: P. Schwartz, P. Connelly, J. Epstein
RE: August 30th Event in RWC & Plan Thereafter

The purpose of this memo is to clarify our mutual understanding of your responsibilities regarding the opening of the RWC office. Beyond the mere opening of the office, we are interested in your/our vision of the ongoing work of the Democratic campaigns.

FINANCIAL COMMITMENTS

- 1. \$ 5,000 in hand to give to M. Martinez
- 2. Plan for raising \$15,000/mo.

ORGANIZATIONAL PLAN

- 1. Plan for permanent full-time paid staff person (mutually acceptable to all parties).
- 2. Coordination of vols. Who will be in charge?
- 3. Voter outreach plans -- to include:
 - a. voter reg. program
 - b. phonebanks
 - c. precinct organization
 - d. GOTV operation
 - e. volunteer mobilization (including upcoming September 5th mobe)
 - f. geographical focus
 - g. how outreach plans will mesh with extant ground operations

ADDITIONAL INFO.

Larry Tramatoia is in charge of the N. California field efforts for Clinton/Gore, Boxer, Feinstein. The chain of command runs from Tramatoia to the San Mateo and Santa Clara County Coordinated Campaigns. Alongside this effort rests the distinct field operations for both Anna Eshco's congressional campaign (14th CD), and Byron Sher's assembly race (21st AD).

The outcome for both candidates is likely to be very close. Both campaigns depend on very close coordination and positive working relations with Clinton/Gore and Coordinated Democratic Campaign operations. It is to the benefit of all if we can come up with a plan that works to support the whole ticket. The plans we come up with must mesh to take maximum advantage of limited resources.

703 South "B" St., San Mateo, CA 94401

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Latinos for Better Government
Minutes for
August 22, 1992

The following attended this initial meeting:

- Miguel Martinez
- Sal Torres
- Johnny Anguiano

The members attending this meeting acknowledged that this was the first business meeting of Latinos for Better Government. As such, the attending members made a motion (Martinez), seconded (Torres) and voted (3 yes, 0 no) that a quorum was present.

By acclamation the following action was taken:

- Miguel Martinez became the acting chair and acting executive director
- Sal Torres became acting general counsel and acting chair of publicity
- Johnny Anguiano became acting Director of Political Affairs and acting recording secretary

Discussion was held on our role as a group in the upcoming 1992 general election.

Sal Torres made a motion to create a group called Latinos for Better Government. Latinos for Better Government, to be also known as LIBG, was to become a nonpartisan, nonprofit 501(c)(3) that promotes get-out-the-vote and the use of a bilingual vote-by-mail application in the Latino community. Johnny Anguiano seconded the motion. The motion passed unanimously.

Sal Torres made a motion to pitch the Latinos for Better Government concept of increasing the number of voters from the Latino community to Ms. Celeste Gore Schreck, the chair of the South County Group. Johnny Anguiano seconded the motion. The motion passed unanimously.

The membership directed Miguel Martinez to discuss the Latinos for Better Government concept of increasing Latino voter turnout to Ms. Schreck.

Sal Torres made a motion to formally organize Latinos for Better Government as soon as feasible. Johnny Anguiano seconded the motion. The motion passed unanimously.

Minutes for the August 22, 1992 meeting submitted by Johnny Anguiano.

Minutes for the August 22, 1992 meeting were approved for acceptance September 26, 1992.

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ABSENT VOTER BALLOT APPLICATION
SOLICITUD PARA OBTENER UNA BALOTA PARA VOTANTE AUSENTE

Please Print Registered Name
- Por favor, con letra de imprenta, escriba el nombre con el que esta registrado para votar.

Voters with specified disabilities may qualify as PERMANENT ABSENT VOTERS. Contact your local county clerk or registrar of voter for further information.

You have the legal right to mail or deliver this application directly to the local elections official where you reside.

The format used on this application must be used by all individuals, organizations, and groups who distribute absentee ballot applications.

CA Election Code 1006.1 and 29505.

Must be received by County Clerk no later than June 1, 5 PM.

First Name Middle Name Last Name Date of Birth
Nombre de Pila Segundo Nombre Apellido Fecha de nacimiento

Residence Address (DO NOT USE P.O. BOX NUMBER)
DIRECCION de RESIDENCIA (No use la direccion del apartado postal)

Number and Street - Designate N. S. E. W.
Numero y Calle - Designe N. S. E. W. si es parte de la direccion

City - Ciudad San Mateo County Zip Code
County - Condado

Mailing Address for ballot if different from above
DIRECCION donde puede recibir balota - si es diferente del de arriba

Street or Box Number - Numero y Calle o Numero del apartado postal

City - Ciudad State - Estado Zip Code

(Area Code) Daytime Telephone (Area Code) Residence Telephone
Numero de telefono durante el dia Numero telefonico residencial

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct and that I have not and will not apply for an absentee ballot by any other means.

X
Signature of applicant (DO NOT PRINT) Date
Firma de solicitante (NO USE LETRA IMPRESA) Fecha

This form was provided by:
Latinos for Better Government
415/952-1625 · 510 Cypress Avenue
San Bruno, CA 94066

ABSENT VOTER BALLOT APPLICATION
SOLICITUD PARA OBTENER UNA BALOTA PARA VOTANTE AUSENTE

Please Print Registered Name -
Por favor, con letra de imprenta, escriba el nombre con el que esta registrado para votar.

Voters with specified disabilities may qualify as PERMANENT ABSENT VOTERS. Contact your local county clerk or registrar of voter for further information.

You have the legal right to mail or deliver this application directly to the local elections official where you reside.

The format used on this application must be used by all individuals, organizations, and groups who distribute absentee ballot applications.

CA Election Code 1006.1 and 29505.

Must be received by County Clerk no later than June 1, 5 PM.

First Name Middle Name Last Name Date of Birth
Nombre de Pila Segundo Nombre Apellido Fecha de nacimiento

Residence Address (DO NOT USE P.O. BOX NUMBER)
DIRECCION de RESIDENCIA (No use la direccion del apartado postal)

Number and Street - Designate N. S. E. W.
Numero y Calle - Designe N. S. E. W. si es parte de la direccion

City - Ciudad San Mateo County Zip Code
County - Condado

Mailing Address for ballot if different from above
DIRECCION donde puede recibir balota - si es diferente del de arriba

Street or Box Number - Numero y Calle o Numero del apartado postal

City - Ciudad State - Estado Zip Code

(Area Code) Daytime Telephone (Area Code) Residence Telephone
Numero de telefono durante el dia Numero telefonico residencial

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct and that I have not and will not apply for an absentee ballot by any other means.

X
Signature of applicant (DO NOT PRINT) Date
Firma de solicitante (NO USE LETRA IMPRESA) Fecha

This form was provided by:
Latinos for Better Government
415/952-1625 · 510 Cypress Avenue
San Bruno, CA 94066

94043523987

DEMOS TARGET HISPANICS

Mark Simon
PENNSYLVANIA TIMES TRIBUNE

Drive to make sure 19,000 go to polls

A first-ever drive to get San Mateo County's 19,000 Hispanic voters to the polls Nov. 3 has been launched by south county Democratic and Hispanic leaders.

The drive includes fund raising that already has net-

ted more than \$5,000, the opening of a campaign office in the heart of southern San Mateo County's Hispanic community, bilingual campaign workers and a hierarchy composed entirely of Hispanic political activists.

The office is in the North Fair Oaks area south of Redwood City, at 3113 Middlefield Road, and will be managed by Fernando Vega, former Redwood City council member and president and co-founder of Hispanos

Unidos, a south county community organization.

"My first election was run from the patio at my house," Vega said. "This is the first time we've had a headquarters. It's a new opportunity."

Organizers of the voter

drive say their goal is to bring attention to the electoral clout of the Hispanic community, targeting Hispanics in a way that has never been done before in the county.

"Voting is the bottom line," said Miguel Martinez, a member of the San Mateo

Please see **VOTERS, A-10**

VOTERS/ Leaders seek to get out the Hispanic vote

CONTINUED FROM A-1

County Democratic Central Committee and a key organizer of the voter drive.

"We need to get our community out and voting in recognizable numbers," he said.

Martinez said there are an estimated 19,000 Hispanic people registered to vote in San Mateo County, based on a review of the names of registrants.

The project organizers anticipate contacting each of those registered voters by phone, in person or by mail, and delivering an absentee ballot to those who want them.

In the past, national and regional drives in Hispanic communities have focused on voter registration.

This one is focused on getting those who are registered to vote and to make it convenient, in particular for those whose jobs make voting difficult.

"Realistically, get-out-the-vote (efforts) on Election Day have one hour's worth of value. ... No one gets up an hour early to go to the polls on election day. If they go, they go after they get home from work and they've had dinner, in the last hour," Martinez said.

By providing absentee ballots in a concerted drive, the ballot is brought to Hispanic voters, he said.

"People are able to vote in the comfort of their own home, without intimidation. They can read the issues and make the decision," Martinez said.

The opening of the campaign office and the fund raising have been spearheaded by Celeste Gore, cousin of Democratic vice presidential nominee Albert Gore Jr.

Gore lives in Portola Valley, but was raised in Tennessee at the Gore home.

"The Latino vote is absolutely crucial for this state," Gore said. "I wanted to ensure that this headquarters was being run and organized by the Latino community. It's about giving the Latino community the respect they should have."

Martinez and Gore both described the Hispanic vote as critical to this year's elections.

But Republican leaders for several years have contended their party is a natural home for the Hispanic voter, who is socially more conservative than the political leadership of the Democratic Party.

Martinez acknowledged that Hispanics tend to be what he called "family-help oriented and conservative about family issues." He also noted that Hispanics are active in labor unions and community organizations.

As for whether the efforts in San Mateo County will result in more votes for Democrats, Martinez said, "We'll find out. The most important thing is that we'll vote."

EXHIBIT E

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Latinos for Better Government
Minutes for
September 26, 1992

The following attended this meeting:

- Miguel Martinez, acting chair
- Sal Torres
- Johnny Anguiano

Sal Torres made a motion that a quorum was present. Johnny Anguiano seconded the motion. The motion passed unanimously.

Sal Torres made a motion to accept the minutes of the August 22, 1992 meeting as written. Johnny Anguiano seconded the motion. The motion passed unanimously.

Miguel Martinez announced that Ms. Celeste Gore Schreck had accepted Latinos for Better Governments' program to promote a bilingual vote-by-mail distribution in the Latino community. The promotion would consist of producing a bilingual vote-by-mail application, media publicity, creation of customized phone calling and precinct walking lists.

Since this was a unique project undergoing its first test marketing, the agreement was verbal and payments for goods and services were to be negotiated at the time of delivery of the goods and services.

Miguel Martinez began a discussion on the difficulties he experienced in formatting and configuring the data disk from American Data. He stated he had explained one full week in attempting to format and configure the data disk of Latinos registered to vote in San Mateo County. He stated he used his own personal computers and spent his own money on rented computers.

Miguel Martinez stated the problem was the lack of hard disk capacity and the slow speed of the available computers. A discussion of alternatives and suggestions ensued.

Johnny Anguiano made the following motion:

1. Request the South County Group purchase a computer with the ability to format and configure the data disk of Latinos registered to vote in San Mateo County.
2. Request the South County Group present the computer to Latinos for Better Government as an in-kind payment in full for all goods and services that Latinos for Better Government provides the South County Group.

Sal Torres seconded the motion. The motion passed: Two yes, no nays, and Miguel Martinez abstained.

The body requested Miguel Martinez transmit this information and the motion to Ms. Celeste Gore Schreck.

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Minutes for the September 26, 1992 meeting submitted by Johnay Anguiano.

Minutes for the September 26, 1992 meeting were approved for acceptance
October 13, 1992.

94043523990

Letter of Agreement

October 3, 1992

This is a letter of agreement between the representative of the Redwood City headquarters of the San Mateo Democratic Party, Celeste Gore, and the representative of Latinos for Better Government, Miguel Martinez.

The purpose of this letter of agreement is to make clear to all those who may inquire into an in-kind contribution from the Redwood City headquarters group fund to Latinos for Better Government.

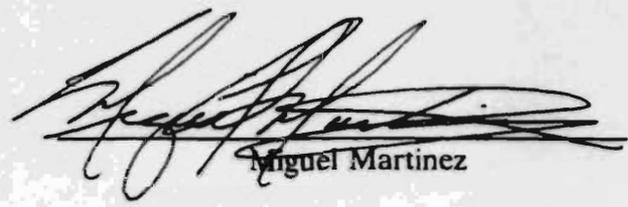
The in-kind contribution is made in lieu of fees which Latinos for Better Government could charge for the creation and promotion of the bilingual vote-by-mail application and the data processing required to generate tailored lists of Latinos registered to vote in San Mateo County. The in-kind contribution item is a computer with a large enough hard disk drive to create the tailored lists required by the Redwood City headquarters' Latino outreach group. The in-kind contribution will be utilized in the data manipulation process to generate tailored lists of Latino voters registered in San Mateo County. The exclusive users of the tailored list are the volunteers and staff working out of the Redwood City headquarters of the San Mateo County Democratic Party.

The money raised by the Redwood City headquarters for this in-kind contribution was generated from individual contributions solicited by Celeste Gore. As per the agreement between Celeste Gore and the chair of the San Mateo County Democratic Party, Jan Epstein, contributions must be raised to cover specific costs prior to those specific costs being incurred. As in all previous cases, the Redwood City headquarters group raised a sufficient amount of contributions to cover the purchase price of this in-kind contribution prior to the purchase of the item.

This agreement entered into by the representative of the Redwood City headquarters group and the representative of Latinos for Better government on the date listed above.



Celeste Gore



Miguel Martinez

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SYSTEM CONFIGURATION AGREEMENT

ITEMS WILL REMAIN AT THIS LOCATION UNTIL THE DATE OF DELIVERY

CUSTOMER NAME LATINOS FOR ESTRE GOVERNMENT		END USER'S NAME LATINOS FOR ESTRE GOVERNMENT		SALES PERSON OSCAR ALBANO		SALES NUMBER 320		DELIVERY METHOD BT	
ADDRESS LATINOS FOR ESTRE GOVERNMENT		CITY SAN BRUNO		STATE CA		ZIP 94066		DISTANCE FROM SERVICE	
PHONE 415 321-1111		EVENING PHONE		ORDER NUMBER 3322		DATE OF ORDER 10/06/92		DATE 10/05/92	
SERVICE MONTHLY BY		CONFIR. BY DANIEL POTESTA		DATE 10/06/92		DATE		DATE	
PACK & FINAL O.C. BY:		DATE		DATE		DATE		DATE	

ITEM DESCRIPTION	SERIAL NUMBER	SKU	PRICE
COMP SUPER 1000	-A01	91037	20.92
COMP QUAP SHELL		919124	100.00
STN TNC 7052		890697	131.92
COMP 2801 1024		853384	200.00
CARD TEST 2000	ERT 10-A50	90121	49.00
COMP HYDRA FLOW	11VB	40405	100.00
COMP WHIPCORD	00388K-006	75122	179.96
QUAM 240NB 100	KIT	83327	275.00
COMP 486DI	00010012147	81007	644.92
MS-WINDOWS 3.1	-3	179880	100.00
COMP SERIAL NUMBER	-A01	80237	10.00

94043523992

TOTAL CONVENTIONAL 240	TOTAL EXTENDED	TOTAL EXPANDED	COM1/IRQ	COM2/IRQ	MODEM/IRQ	LPT1/IRQ 378/17	LPT2/IRQ	HQ TYPE	USER: C/L/H/D/SPT 13 7723/51
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SLOT-GUIDE

<input type="checkbox"/> KEYBOARD	<input type="checkbox"/> DOCUMENTATION
<input type="checkbox"/> POWER CORDS	<input type="checkbox"/> PRINTER/MODEM CABLES
<input type="checkbox"/> KEYS	<input type="checkbox"/> WARRANTY STICKER
<input type="checkbox"/> DOS DISKS	<input type="checkbox"/> SERIAL NUMBERS

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CompUSA now has a CompUSA credit card.
Ask a salesman for an application today!

10/8/92

[Signature]
COMPUISA, INC. TITLE DATE

[Signature] Resident 10/8/92

CUSTOMER TITLE DATE

CUSTOMER ACKNOWLEDGES THAT HE HAS READ THIS AGREEMENT AND AGREES TO BE BOUND BY ITS TERMS AND CONDITIONS

EXHIBIT I

*Order
Sheet*

33204

COMPUSA - SAN BRUNO CA
BEST PRICE - SELECTION - SERVICE
SATISFACTION GUARANTEED
LATINOS FOR BETTER GOVERNMENT 00030194
470 FERNWOOD DR

00003897 Order# S
470 FERNWOOD DR

SAN BRUNO CA 94066 33204
***** INITIAL SCR PAYMENT *****

SAN BRUNO CA 94066

QUOTE VALID FOR 30 Days

TKT NO DATE CLR REG TYPE PAID BY
33294 10/04/92 SM 5 SALE CHECK
12:51APM

INFORMATION

Amount Tendered : 2,290.24
Total Tendered : 2,290.24
Previous Payments : 0.00
Order Amount : 2,290.24
Net Amount Due : 0.00

	REQ. QTY.	UNIT PRICE	EXTENDED PRICE
	1	684.92	684.92
	1	20.92	20.92
	1	159.92	159.92
	4	32.98	131.92
	1	299.87	299.87
	1	49.00	49.00
	1	117.92	117.92
	1	179.96	179.96
	1	475.00	475.00

ORDER# 33204

** RETURNED MERCHANDISE POLICY **
30 DAY MONEY BACK GUARANTEE on all items except where noted (with original receipt and full packaging). Defective software may be exchanged for same item only. Laser printers are covered under manufacturer's warranty. THANK YOU FOR SHOPPING COMPUSA!!

94043523993

If you have any questions regarding this quote please contact:
ACCOUNT REP: OSCAR ALBANO
PHONE #: (415) 244-4762 FAX #: (415) 244-4762

QUOTE TOTAL \$ 2,119.43

Freight Charges will be added at the time of shipment based on the weight of products shipped. Sales taxes will be added, where applicable.

THANK YOU FOR THE OPPORTUNITY TO DO BUSINESS WITH YOU!

2796.29



SAN MATEO COUNTY DEMOCRATIC PARTY

San Mateo County Democratic Central Committee
Minutes May 20, 1993

The regular monthly meeting of the San Mateo County Democratic Central Committee was held at 300 7th Ave., San Mateo. Meeting was called to order at 7:40 pm by Chair Jan Epstein. Roll call was taken by Secretary Sandra Lang. Secretary read names of committee members and alternates who had been notified of non-compliance with attendance requirements set forth in the By-Laws. Motion to remove names from roster as read passed unanimously.

Chair introduced guests Warren Eggly, second alternate to Perry Schuckman and Tim Dayonot, guest Parliamentarian and lecturer on Parliamentary procedure.

Agenda was adopted as presented. Agenda altered without objection to hear Ernst Epstein who offered a contribution to the SMCDemocratic Central Committee and Latinos for Better Government. Receipt of this contribution would be contingent upon receipt of documents prior to contribution.

Dayonot's presentation of Parliamentary procedure dealt with its' history, advantages and disadvantages and an overview of basic procedures for the body and the Chair.

Minutes were approved as mailed.

Chair gave Chair's report and an Executive Board Report. Chair reported the Executive Board's recommendation to send a letter in support of the Ward Valley resolution. Motion to send letter to the Secretary of the Interior, Bruce Babbitt passed unanimously. Chair reported Executive Board's recommendation that the SMCD Central Committee approve a Fair Booth for the County Fair this summer. Motion approving SMCD Central Committee's Fair Booth passed unanimously. Chair reported the Executive Board's recommendation that the Central Committee make a contribution to the Cesar Chavez Non-Violent Action Fund in memory of Cesar Chavez. Miquel Martinez moved that the SMCDCC contribute \$100.00 to the Cesar Chavez Non-Violent Action Fund. Motion passed unanimously.

Chair reviewed motion from the Feb. 28th meeting calling for a select committee to prepare a campaign finance review. Chair introduced Bernie Plotnikoff, SMCDCC member, who reported for the Committee of Five. (Financial Review Committee) Plotnikoff read the recommendation of the Committee of Five. Plotnikoff recommended that the Central

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Committee adopt the recommendation that Latinos for Better Government retain the computer equipment purchased during the recent campaign and that income from future fundraising efforts by the Central Committee be fairly apportioned throughout San Mateo County. Plotnikoff moved that the recommendation be approved. Weise' motion to table discussion of recommendation failed. During lengthy discussion on the main motion Chair ruled that some of the discussion by Miquel Martinez was not relevant. Martinez' motion to challenge Chair's ruling failed. Following more discussion on the main motion: May Gee moved to amend main motion to require deletion of last sentence, referring to future funds. Motion to strike last sentence failed on a division of the assembly. Motion to limit debate on main motion passed unanimously. Chair ruled that limitation also apply to amendments.

Ruthellen Harris moved to amend recommendation to indicate that RWOC and Latinos for Better Government relinquish all claims on the Central Committee, FEC and FPPC accounts. Motion as amended passed by majority vote on a division of the assembly

Donna Patterson moved that both Treasurers be present at next review by the Committee of Five. Motion passed unanimously.

Treasurer reported a SMCDCC balance of \$1,615.43. Expenses during period 4/16/93 to 5/20/93, \$542.30; income for same period, \$369.00. Martinez moved that Executive Board pay these expenses. Motion passed unanimously. Treasurer read names of members notified for non-compliance with dues requirements specified in By-Laws. Following corrections, to original readings were made and noted. Motion to remove members from list as corrected passed unanimously.

Campaign Treasurer's report not given. There were no objections.

Maxine Gonsalves moved to endorse Ted Lempert for County Supervisor. Motion passed unanimously. Gonsalves moved to endorse Don Horsely for Sheriff. Motion passed unanimously.

Acting Chair directed that the minutes indicate that the Public Relations Committee submit news of these endorsements to the press on behalf of the SMCDCC.

Judy Bloom reported new legislation introduced by Assemblymember Speier.

Miguel Martinez introduced an amendment to the newly revised By-Laws. Motion to consider this amendment at next meeting passed unanimously. Acting Chair directed that a copy of this amendment be mailed with minutes.

Agenda amended, without objection as hour was late, to hear announcements. At this time Judith Chapman circulated a sign-up sheet for the Fund Raising Committee. Sal Torres reported on the Public Relations Committee's plans for publicity.

94043523995

Chair announced State Executive Board in Los Angeles ,
June 12, 13, 1993. Norene Koan announced that Nadia
Holober was recently appointed to the County Planning Board.
Sandra Lang announced that Assemblymember Speier would
address the 19th AD Committee, June 9th at 7:30pm. 1511
Rollins Road (Machinists Hall) Burlingame; Bake Sale held
same evening, proceeds to benefit Battered Women's Shelter.
Pacifica Democrats announced their annual Champagne Brunch,
Sat. July 17, 1993, 10am-noon, Nick's Restaraunt, Pacifica.
Motion to adjourn passed unanimously.
Meeting adjourned at 11:18pm.

Respectfully submitted,

Sandra Lang

Sandra Lang

Reminder: SMCDC next regular meeting
June 17, 1993, 7:30 pm.

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Instructions for Form 1023

(Revised September 1990)

Application for Recognition of Exemption Under Section 501(c)(3) of the Internal Revenue Code

(Section references are to the Internal Revenue Code unless otherwise noted.)

Retain a copy of the completed Form 1023 in the organization's permanent records. See General Instruction G regarding public inspection of approved applications.

General Information

Paperwork Reduction Act Notice.—We ask for the information on this form to carry out the Internal Revenue laws of the United States. If you want to be recognized as tax exempt by IRS, you are required to give us this information. We need it to determine whether you meet the legal requirements for tax-exempt status.

The time needed to complete and file these forms will vary depending on individual circumstances. The estimated average times are:

Form	Recordkeeping	Learning about the law or the form	Preparing, and sending the form to IRS
1023 Parts I to IV	54 hrs., 17 min.	4 hrs., 53 min.	9 hrs., 34 min.
1023 Sch. A	7 hrs., 10 min.	0 min.	7 min.
1023 Sch. B	4 hrs., 47 min.	30 min.	36 min.
1023 Sch. C	5 hrs., 1 min.	35 min.	43 min.
1023 Sch. D	4 hrs., 4 min.	42 min.	47 min.
1023 Sch. E	9 hrs., 20 min.	1 hr., 5 min.	1 hr., 17 min.
1023 Sch. F	2 hrs., 38 min.	2 hrs., 53 min.	3 hrs., 3 min.
1023 Sch. G	2 hrs., 38 min.	0 min.	21 min.
1023 Sch. H	1 hr., 55 min.	42 min.	46 min.
1023 Sch. I	3 hrs., 35 min.	0 min.	4 min.
872-C	1 hr., 12 min.	24 min.	26 min.

If you have comments concerning the accuracy of these time estimates or suggestions for making these forms more simple, we would be happy to hear from you. You can write to both: the Internal Revenue Service, Washington, DC 20224, Attention: IRS Reports Clearance Officer, T:FP; and the Office of Management and Budget, Paperwork Reduction Project (1545-0056), Washington, DC 20503. DO NOT send the tax form to either of these offices. Instead, see the instructions below for information on where to file.

General Instructions

User Fee.—The Revenue Act of 1987 requires payment of a user fee with determination letter requests submitted to the Internal Revenue Service. Form 8718, User Fee for Exempt Organization Determination Letter Request, must be submitted with this application along with the appropriate fee as stated on Form 8718. Form 8718 may be obtained through your local IRS office or by calling the telephone number given below for obtaining forms and publications.

Helpful Information.—For additional information see Publication 557, Tax-Exempt Status for Your Organization; Publication 578, Tax Information for Private Foundations and Foundation Managers; and Publication 598, Tax on Unrelated Business Income of Exempt Organizations. You may also call 1-800-554-4477 (after October 1, 1990, call 1-800-829-4477); ask for Topics #109 and #110 (a push-button telephone is required). For additional forms and publications, call 1-800-424-3676 (after October 1, 1990, call 1-800-829-3676).

A. Purpose of Form

1. Completed Form 1023 required for section 501(c)(3) exemption.—Unless it meets either of the exceptions in item 2

below, or notifies the IRS that it is applying for recognition of section 501(c)(3) exempt status, no organization formed after October 9, 1969, will be considered tax exempt under section 501(c)(3).

An organization "notifies" IRS by filing a completed Form 1023. Form 1023 also solicits the information that IRS needs to determine if the organization is a private foundation.

2. Organizations that are not required to file Form 1023.—The following organizations will be considered tax exempt under section 501(c)(3) even if they do not file Form 1023: (a) Churches, their integrated auxiliaries, and conventions or associations of churches, or (b) Any organization which is not a private foundation (as defined in section 509(a)) and the gross receipts of which in each taxable year are normally not more than \$5,000.

Even if these organizations are not required to file Form 1023 to be tax exempt, they may wish to file Form 1023 and receive a determination letter of IRS recognition of their section 501(c)(3) status in order to obtain certain incidental benefits such as: public recognition of their tax-exempt status; exemption from certain state taxes; advance assurance to donors of deductibility of contributions; exemption from certain Federal excise taxes; non-profit mailing privileges, etc.

3. Other organizations.—In applying for a determination letter, cooperative service organizations, described in section 501(e) and (f), and child care organizations, described in section 501(k), use Form 1023 and are treated as section 501(c)(3) organizations.

4. Group exemption letter.—Note: Generally, Form 1023 is NOT used to apply for a group exemption letter. For information on how to apply for a group exemption letter, see Publication 557.

B. What To File

- All organizations—Pages 1 through 9, Form 1023 and additional schedules, if applicable
- Churches—Schedule A
- Schools—Schedule B
- Hospitals or Medical Research—Schedule C
- Supporting Organizations (509(a)(3))—Schedule D
- Private Operating Foundations—Schedule E
- Home for the Aged or Handicapped—Schedule F
- Child Care—Schedule G
- Scholarship Benefits or Student Aid—Schedule H
- If your organization has taken over or will take over a "for profit" institution—Schedule I.

Attachments.—Every attachment should state that it relates to Form 1023 and identify the applicable part and line item number. The attachments should also show the organization's name, address, and employer identification number and be on 8½" x 11" paper.

In addition to the required documents and statements, you should file any additional information citing court decisions, rulings, opinions, etc., that will expedite processing of the application. Generally, attachments in the form of tape recordings are not acceptable unless accompanied by a transcript.

C. When To File

An organization formed after October 9, 1969, must file Form 1023 to be recognized as an organization described in section 501(c)(3). Generally, if an organization files its application within 15 months after the end of the month in which it was formed, and if IRS approves the application, the effective date of the organization's section 501(c)(3) status will be the date it was organized.

Generally, if an organization does not file its application (Form 1023) within 15 months after the end of the month in which it was formed, it will not qualify for exempt status during the period before the date of its application.

D. Where To File

File the completed application, and all information required, with the key district office for your principal place of business or office as listed below. As soon as possible after the complete application is received, you will be advised of IRS's determination and of the annual returns (if any) that the organization will be required to file.

EXHIBIT K

94043523997

**Recipient Committee
Campaign Statement — Long Form**

(Government Code Sections 84200-84216.5)

Type or Print in Ink.

Statement covers period from <u>1/1/92</u> through <u>12/31/92</u>	Date Stamp	CALIFORNIA 1991 FORM 420
Date of Election if applicable: (Month, Day, Year)	Page <u>1</u> of <u>3</u>	

SEE INSTRUCTIONS ON REVERSE

Check one of the following boxes to indicate the type of statement being filed:

- Pre-election Statement
- Semi-annual Statement
- Special Odd-year Campaign Report
- Supplemental Pre-election Statement (Attach a completed Form 495 to this statement)
- Termination Statement (Attach a completed Form 415 to this statement)

I Committee Information

NAME OF COMMITTEE

LATINOS FOR BETTER
GOVERNMENT

ADDRESS OF COMMITTEE (NO. AND STREET) ID NUMBER
510 CYPRESS WAY 923491

CITY STATE ZIP CODE AREA CODE/DAYTIME PHONE
SAN BRUNO CA 94066 415/592 1625

NAME OF TREASURER
JOHNNY ANGUIANO

PERMANENT ADDRESS OF TREASURER (NO. AND STREET)
510 CYPRESS WAY

CITY STATE ZIP CODE AREA CODE/DAYTIME PHONE
SAN BRUNO CA 94066 415/592 1625

(Check Boxes) See definitions and important information on reverse.

Is this a sponsored committee? Yes No

Is this a broad based political committee? Yes No

**II Primarily Formed Committee (See definition on reverse.)
List names of officer/holder(s) or candidate(s) for which this
committee is primarily formed**

NAME OF CANDIDATE(S) OR OFFICER/HOLDER(S)	OFFICE SOUGHT OR FIELD	CHECK ONE	
		SUPPORT	OPPOSE

Attach additional information on appropriately labeled continuation sheets.

III Verification

I have used all reasonable diligence in preparing this statement and to the best of my knowledge the information contained herein and in the attached schedules is true and complete. I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on 26 Jan '93 At San Bruno CA

By [Signature]
(SIGNATURE OF THE OFFICER)

Executed on _____ At _____

By _____
(SIGNATURE OF RESPONSIBLE OFFICER OF SPONSOR, IF APPLICABLE)

Summary Page

Type or Print in Ink.
Amounts may be rounded
to whole dollars.

Statement covers period
from 1/1/92
through 12/31/92

CALIFORNIA **420**
1991 FORM

Page 2 of 3

SEE INSTRUCTIONS ON REVERSE

NAME OF COMMITTEE:

LATINOS FOR BETTER GOVERNMENT

ID NUMBER

923491

Contributions Received

	Column A TOTAL THIS PERIOD (FROM ATTACHED SCHEDULES)	Column B* TOTAL PREVIOUS PERIOD (SEE NOTE BELOW)	Column C TOTAL TO DATE (ADD COLUMNS A + B)
1. Monetary Contributions Schedule A, Line 3 \$	<u>0</u>	<u>0</u>	<u>0</u>
2. Loans Received Schedule B, Line 7	<u>0</u>	}	<u>0</u>
SUBTOTAL CASH CONTRIBUTIONS Add Lines 1 + 2 \$	<u>0</u>		<u>0</u>
4. Non-monetary Contributions Schedule C, Line 3	<u>2290.00</u>		<u>2290.00</u>
5. SUBTOTAL CONTRIBUTIONS (Excluding Enforceable Promises) Add Lines 3 + 4 \$	<u>2290.00</u>		<u>2290.00</u>
6. Enforceable Promises (Exclude Loan Guarantees, Line 18 below) Schedule D, Line 7	<u>0</u>		<u>0</u>
7. TOTAL CONTRIBUTIONS RECEIVED Add Lines 5 + 6 \$	<u>2290.00</u>		<u>2290.00</u>

Expenditures Made

8. Cash Payments (Other than Loans Made) Schedule E, Line 5 \$	<u>0</u>	<u>0</u>	<u>0</u>
9. Loans Made Schedule H, Line 7	<u>0</u>	}	<u>0</u>
10. SUBTOTAL CASH PAYMENTS Add Lines 8 + 9 \$	<u>0</u>		<u>0</u>
11. Accrued Expenses (Unpaid Bills) Schedule F, Line 5	<u>0</u>		<u>0</u>
12. TOTAL EXPENDITURES MADE Add Lines 10 + 11 \$	<u>0</u>		<u>0</u>

Current Cash Statement

13. Beginning Cash Balance Previous Summary Page, Line 17 \$	<u>0</u>
14. Cash Receipts Column A, Line 3 above	<u>0</u>
15. Miscellaneous Increases to Cash Schedule I, Line 4	<u>0</u>
16. Cash Payments Column A, Line 10 above	<u>0</u>
17. ENDING CASH BALANCE Add Lines 13 + 14 + 15, then subtract Line 16 \$	<u>0</u>

*From previous Statement Summary Page, Column C. However, if this is the first report filed for the calendar year, Column B should be blank except for Loans Received (Line 2), Enforceable Promises (Line 6), Loans Made (Line 9), and Accrued Expenses (Line 11).

18. LOAN GUARANTEES RECEIVED Schedule B, Part I, Column (b) \$ 0

Cash Equivalents and Outstanding Debts

19. Cash Equivalents See instructions on reverse \$	<u>0</u>
20. Outstanding Debts Add Line 2 + Line 11 in Column C above \$	<u>0</u>

Summary for Non-controlled Committees Primarily Formed to Support or Oppose Candidates in Both June and November Elections

	1/1 thru 6/30	7/1 to Date
21. Contributions Received \$		
22. Expenditures Made \$		

94043524000

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SCHEDULE C

**Schedule C
Non-Monetary Contributions Received**

Type or Print in Ink
Amounts may be rounded
to whole dollars.

Statement covers period
from 1/1/92
through 12/31/92

420
Page 3 of 3
ID NUMBER
923491

SEE INSTRUCTIONS ON REVERSE

NAME OF COMMITTEE

LATINOS FOR BETTER GOVERNMENT

DATE RECEIVED	FULL NAME AND ADDRESS OF CONTRIBUTOR (IF COMMITTEE, IN ADDITION TO COMMITTEE'S NAME AND ADDRESS, ENTER ID NUMBER OR, IF NO ID NUMBER HAS BEEN ASSIGNED, ENTER TREASURER'S NAME & ADDRESS)	OCCUPATION AND EMPLOYER (IF SELF EMPLOYED ENTER NAME OF BUSINESS)	DESCRIPTION OF GOODS OR SERVICES	FAIR MARKET VALUE	CUMULATIVE TO DATE CALENDAR YEAR (JAN 1 - DEC 31)	CUMULATIVE TO DATE OTHER (IF APPLICABLE)
10/8	SAN MATEO COUNTY DEMOCRATIC PARTY 703 SOUTH B ST. SAN MATEO, CA	FEC# C00258061	COMPUTER	2290.00	2290.00	

SUBTOTAL \$ 2290.00

Non-Monetary Contributions Summary

- Amount received this period — non-monetary contributions of \$100 or more.
(Include all Schedule C subtotals.) \$ 2290.00
- Amount received this period — non-monetary contributions of less than \$100.
(Do not itemize.) \$ 0
- Total non-monetary contributions received this period.
(Add Lines 1 and 2. Enter here and on the Summary Page, Column A, Line 4.) **TOTAL \$ 2290.00**

Attach additional information on appropriately labeled continuation sheets.

Amendment to Campaign Disclosure Statement

Type or Print in Ink

Date Stamp

AMENDMENT

CALIFORNIA 1991 FORM **405**

A For Official Use Only

This form must be used to amend statements filed pursuant to Government Code Sections 84200-84216.5, and must be filed with all filing officers who received the statement being amended. NOTE: Do not use this form to amend a Statement of Organization, Form 410, Candidate Intention, Form 501, or a Campaign Bank Account, Form 502. Use the actual Form 410, 501 or 502, respectively, to make amendments.

The information required in Part I must correspond to the information provided on the campaign statement.

I. Name of Filer (See important information on reverse)

NAME OF FILER: LATINOS FOR BETTER GOVERNMENT		I.D. NUMBER (IF APPLICABLE): 923491
MAILING ADDRESS OF FILER (NO AND STREET): 510 CYPRESS WAY AVE		
CITY: SAN BRUNO	STATE: CA	ZIP CODE: 94066
AREA CODE (DAYTIME PHONE NUMBER): 415/592-1625		
NAME OF TREASURER OR RECIPIENT COMMITTEE: JOHNNY ANGUIANO		
PERMANENT ADDRESS OF TREASURER (IF APPLICABLE) (NO AND STREET): 510 CYPRESS WAY AVE		
CITY: SAN BRUNO	STATE: CA	ZIP CODE: 94066
AREA CODE (DAYTIME PHONE NUMBER): 415/592-1625		

II. Amendment Information

- A The following information amends campaign disclosure statement, Form No 420 executed on 1/26/93 for the period 1/1/92 through 12/31/92
- B The amended information affects items on the
 Cover Page Allocation Page Summary Page
 Schedule(s) C Part(s) _____
- C Describe the changes below. Include in detail all information you wish to become a part of your official campaign statement. Please attach a cover page, summary page and/or appropriate schedule(s) to this Form 405 if necessary for clarification. Include additional information on appropriately labeled continuation sheets. (Number of sheets attached 4)

LBG is operating as a 501(c)(3) organization in anticipation of being granted such status by the IRS. As per the advice of an FPCC counselor, the attached changes are required to maintain 501(c)(3) status

III. Verification (See important information on reverse)

I have used all reasonable diligence in preparing this statement. I have reviewed the statement and to the best of my knowledge the information furnished is true and complete. I certify under penalty of perjury under the laws of the State of California that the foregoing is true.

Executed on 6/19/93 At SAN BRUNO CA By [Signature]

Officerholder, candidate, state measure proponent, or sponsored committee responsible officer verification: I, [Signature], treasurer has used all reasonable diligence in preparing this statement. I have reviewed the statement and to the best of my knowledge the information furnished is true and complete. I certify under penalty of perjury under the laws of the State of California that the foregoing is true.

Executed on _____ At _____ City and State _____ By _____

Executed on _____ At _____ City and State _____ By _____

Executed on _____ At _____ City and State _____ By _____

PS Form 3800, June 1991

Postage		\$	
Certified Fee		\$	
Special Delivery Fee		\$	
Restricted Delivery Fee		\$	
Return Receipt Showing to Whom & Date Paid		\$	
Return Receipt Date and Address		\$	
TOTAL POSTAGE & FEES		\$	

Postpaid Date: 1993 JUL 27 11:27 AM

Postmark: ST. JOSEPH, MO 64506

Secretary of State
 Political Reform Division
 P.O. Box 1467
 Sacramento, CA 95807



P 427 925 255

EXHIBIT M

Amendment to Campaign Disclosure Statement

Type or Print in Ink

Date Stamp

AMENDMENT

CALIFORNIA
FORM

405

A For Official Use Only

This form must be used to amend statements filed pursuant to Government Code Sections 84200-84216.5, and must be filed with all filing officers who received the statement being amended. NOTE: Do not use this form to amend a Statement of Organization, Form 410, Candidate Intention, Form 501, or a Campaign Bank Account, Form 502. Use the actual Form 410, 501 or 502, respectively, to make amendments.

The information required in Part I must correspond to the information provided on the campaign statement.

I Name of Filer (See important information on reverse)

II Amendment Information

NAME OF FILER: LATINOS FOR BETTER GOVERNMENT I.D. NUMBER (IF APPLICABLE) 923491

A The following information amends campaign disclosure statement, Form No. 420 executed on 1/26/93 for the period 1/1/92 through 12/31/92

PERMANENT ADDRESS OF FILER (NO APO AND SHIP IT)
510 CYPRESS WAY #101
CITY SAN BRUNO STATE CA ZIP CODE 94066

B The amended information affects items on the
 Cover Page Allocation Page Summary Page
 Schedule(s) C Part(s)

AREA CODE (DAYTIME PHONE NUMBER)
415/592-1625

C Describe the changes below. Include in detail all information you wish to become a part of your official campaign statement. Please attach a cover page, summary page and/or appropriate schedule(s) to this Form 405 if necessary for clarification. Include additional information on appropriately labeled continuation sheets (Number of sheets attached 4)

NAME OF TREASURER IF RECIPIENT COMMITTEE:
JOHNNY ANGUIANO

LBG is operating as a 501(c)(3) organization in anticipation of being granted such status by the IRS. As per the advice of an FPCC counselor, the attached changes are required to maintain 501(c)(3) status

PERMANENT ADDRESS OF TREASURER (IF APPLICABLE) (NO APO AND SHIP IT)
510 CYPRESS WAY #101
CITY SAN BRUNO STATE CA ZIP CODE 94066
AREA CODE (DAYTIME PHONE NUMBER)
415/592-1625

III Verification (See important information on reverse)

I have used all reasonable diligence in preparing this statement. I have reviewed the statement and to the best of my knowledge the information contained herein and in the attached schedules is true and complete. I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on 6/19/93 At SAN BRUNO CA By Johnny Anguiano SIGNATURE OF THE ASSESSOR OFFICER

Officerholder, candidate, state measure proponent, or sponsored committee responsible officer verification: I have used all reasonable diligence and to the best of my knowledge the treasurer has used all reasonable diligence in preparing this statement. I have reviewed the statement and to the best of my knowledge the information contained herein is true and complete. I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on _____ At _____ City and State _____ By _____ SIGNATURE OF OFFICER OR CANDIDATE, PROPONENT, OR RESPONSIBLE OFFICER

Executed on _____ At _____ City and State _____ By _____ SIGNATURE OF OFFICER OR CANDIDATE, OR PROPONENT

Executed on _____ At _____ City and State _____ By _____ SIGNATURE OF OFFICER OR CANDIDATE, OR PROPONENT

Recipient Committee!
Campaign Statement — Long Form
 (Government Code Sections 84200-84216.5)

Type or Print in Ink.

COVER PAGE — LONG FORM

SEE INSTRUCTIONS ON REVERSE

- Check one of the following boxes to indicate the type of statement being filed:
- Pre-election Statement
 - Semi-annual Statement
 - Special Odd-year Campaign Report
 - Supplemental Pre-election Statement (Attach a completed Form 495 to this statement)
 - Termination Statement (Attach a completed Form 415 to this statement)
- AMENDMENT**

Statement covers period from <u>1/1/92</u> through <u>12/31/92</u>	Date Stamp	CALIFORNIA 1991 FORM 420 Page <u>1</u> of <u>4</u> A For Official Use Only
Date of Election if applicable: (Month, Day, Year)		

I Committee Information

II Primarily Formed Committee (See definition on reverse.)
 List names of officeholder(s) or candidate(s) for which this committee is primarily formed

NAME OF COMMITTEE:
LATINOS FOR BETTER GOVERNMENT

ADDRESS OF COMMITTEE (IND. AND STREET) I.D. NUMBER
510 CYPRESS WAY 923791

CITY STATE ZIP CODE AREA CODE/DAYTIME PHONE
SAN BRUNO CA 94066 415/592-1625

NAME OF TREASURER:
JOHNNY ANGUIANO

PERMANENT ADDRESS OF TREASURER (IND. AND STREET)
510 CYPRESS WAY

CITY STATE ZIP CODE AREA CODE/DAYTIME PHONE
SAN BRUNO CA 94066 415/592-1625

NAME OF CANDIDATE(S) OR OFFICEHOLDER(S)	OFFICE SOUGHT OR HELD	CHECK ONE	
		SUPPORT	OPPOSE

- (Check Boxes) See definitions and important information on reverse.
- Is this a sponsored committee? Yes No
- Is this a broad based political committee? Yes No

Attach additional information on appropriately labeled continuation sheets.

III Verification

I have used all reasonable diligence in preparing this statement and to the best of my knowledge the information contained herein and in the attached schedules is true and complete. I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on 6/19/93 at San Bruno, CA By J. Johnny Anguiano
DATE CITY AND STATE SIGNATURE OF TREASURER

Executed on _____ at _____ By _____
DATE CITY AND STATE SIGNATURE OF RESPONSIBLE OFFICER OR SPONSOR IF APPLICABLE

Summary Page

AMENDMENT

SUMMARY PAGE

Type or Print in Ink.
Amounts may be rounded
to whole dollars.

Statement covers period

from 1/1/92

through 12/31/92

CALIFORNIA 1991 FORM **420**

Page 2 of 4

ID NUMBER

923491

SEE INSTRUCTIONS ON REVERSE

NAME OF COMMITTEE:

LATINOS FOR BETTER GOVERNMENT

Contributions Received

		Column A TOTAL THIS PERIOD (FROM ATTACHED SCHEDULES)	Column B* TOTAL PREVIOUS PERIOD (SEE NOTE BELOW)	Column C TOTAL TO DATE (A)(X) COLUMNS A + B)
1. Monetary Contributions	Schedule A, Line 3	\$ 0	\$ 0	\$ 0
2. Loans Received	Schedule B, Line 7	\$ 0	}	\$ 0
3. TOTAL CASH CONTRIBUTIONS	Add Lines 1 + 2	\$ 0		\$ 0
4. Non-monetary Contributions	Schedule C, Line 3	\$ 0		\$ 0
5. SUBTOTAL CONTRIBUTIONS (Excluding Enforceable Promises)	Add Lines 3 + 4	\$ 0		\$ 0
6. Enforceable Promises (Exclude Loan Guarantees, Line 18 below)	Schedule D, Line 7	\$ 0		\$ 0
7. TOTAL CONTRIBUTIONS RECEIVED	Add Lines 5 + 6	\$ 0		\$ 0

Expenditures Made

8. Cash Payments (Other than Loans Made)	Schedule E, Line 5	\$ 0	}	\$ 0
9. Loans Made	Schedule H, Line 7	\$ 0		\$ 0
10. SUBTOTAL CASH PAYMENTS	Add Lines 8 + 9	\$ 0		\$ 0
11. Accrued Expenses (Unpaid Bills)	Schedule F, Line 5	\$ 0		\$ 0
12. TOTAL EXPENDITURES MADE	Add Lines 10 + 11	\$ 0		\$ 0

Ending Cash Statement

13. Beginning Cash Balance	Previous Summary Page, Line 17	\$ 0
14. Cash Receipts	Column A, Line 3 above	\$ 0
15. Miscellaneous Increases to Cash	Schedule I, Line 4	\$ 0
16. Cash Payments	Column A, Line 10 above	\$ 0
17. ENDING CASH BALANCE	Add Lines 13 + 14 + 15, then subtract Line 16	\$ 0
<i>If this is a Termination Statement, Line 17 must be zero</i>		

*From previous Statement Summary Page, Column C. However, if this is the first report filed for the calendar year, Column B should be blank except for Loans Received (Line 2), Enforceable Promises (Line 6), Loans Made (Line 9), and Accrued Expenses (Line 11).

18. LOAN GUARANTEES RECEIVED	Schedule B, Part I, Column (b)	\$ 0
------------------------------	--------------------------------	------

Cash Equivalents and Outstanding Debts

19. Cash Equivalents	See instructions on reverse	\$ 0
20. Outstanding Debts	Add Line 2 + Line 11 in Column C above	\$ 0

Summary for Non-controlled Committees Primarily Formed to Support or Oppose Candidates in Both June and November Elections

	1/1 thru 6/30	7/1 to Date
21. Contributions Received	\$ 0	\$ 0
22. Expenditures Made	\$ 0	\$ 0

94043524005

**Schedule A
Monetary Contributions Received**

Type or Print in Ink.
Amounts may be rounded
to whole dollars.

AMENDMENT

SCHEDULE A

Statement covers period from <u>1/1/92</u> through <u>12/31/92</u>	CALIFORNIA 1991 FORM 420
	Page <u>3</u> of <u>4</u>
ID NUMBER <u>923491</u>	

SEE INSTRUCTIONS ON REVERSE

NAME OF COMMITTEE:

LATINOS FOR BETTER GOVERNMENT

DATE RECEIVED	FULL NAME AND ADDRESS OF CONTRIBUTOR (IF COMMITTEE, IN ADDITION TO COMMITTEE'S NAME AND ADDRESS, ENTER ID NUMBER OR, IF NO ID NUMBER HAS BEEN ASSIGNED, ENTER TREASURER'S NAME & ADDRESS)	OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED ENTER NAME OF BUSINESS)	AMOUNT RECEIVED THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN 1 - DEC 31)	CUMULATIVE TO DATE OTHER (IF APPLICABLE)

SUBTOTAL \$

Monetary Contributions Summary

- Amount received this period — contributions of \$100 or more.
(Include all Schedule A subtotals.) \$ 0
- Amount received this period — contributions of less than \$100.
(Do not include.) \$ 0
- Total monetary contributions received this period. (Add Lines 1 and 2. Enter here and
on the Summary Page, Column A, Line 1.) **TOTAL** \$ 9443

**Schedule I
Miscellaneous Increases to Cash**

Type or Print in Ink.
Amounts may be rounded
to whole dollars.

SCHEDULE I

Statement covers period
from 1/1/92
through 12/31/92

CATEGORY
NUMBER **420**

Page 4 of 4

SEE INSTRUCTIONS ON REVERSE

NAME OF COMMITTEE:

LATINOS FOR BETTER GOVERNMENT

ID NUMBER

923491

DATE RECEIVED	FULL NAME AND ADDRESS OF SOURCE (IF COMMITTEE, IN ADDITION TO COMMITTEE'S NAME AND ADDRESS, ENTER ID NUMBER OR, IF NO ID NUMBER HAS BEEN ASSIGNED, ENTER TREASURER'S NAME & ADDRESS)	DESCRIPTION OF RECEIPT	AMOUNT OF INCREASE TO CASH
<u>10/13</u>	<u>SAN MATEO COUNTY DEMOCRATIC PARTY 703 SOUTH B STREET SAN MATEO CA 94401</u>	<u>IN KIND PAYMENT FOR CONTRACTED SERVICES RENDERED. COMPUTER</u>	<u>VALUE * \$2290.00 (FAIR MARKET VALUE - NOT CASH)</u>

Attach additional information on appropriately labeled continuation sheets.

SUBTOTAL \$ 2290.00 FMV*

Miscellaneous Increases to Cash Summary

- 1. Increases to cash of \$100 or more this period \$ 0
- 2. Increases to cash under \$100 this period.
(Do not itemize.) \$ 0
- 3. Total of all interest received this period on loans made to others.
(Schedule H, Part H (b).) \$ 0
- 4. Total miscellaneous increases to cash this period.
(Add Lines 1, 2, and 3. Enter here and on the Summary Page, Line 15.) 2000

* = as per advice of
. FPPC counselor

TOTAL \$ 2000 ~~9043524007~~ * 6

EXHIBIT M

LATINOS FOR BETTER GOVERNMENT

MEMBERSHIP APPLICATION

Enclosed is my contribution to mobilize **LATINOS FOR BETTER GOVERNMENT**. (Please make check payable to: **LATINOS FOR BETTER GOVERNMENT**.)

- \$25.
- \$50.
- \$100.
- Other

Additional ways I will contribute:

- Hold a fundraiser
- Contact friends
- Make phone calls
- Contact businesses
- Office work
- Computer work
- Volunteer Bilingual skills
- Walk precincts
- Other

Name _____
 Address _____
 City _____ State _____ Zip _____
 Occupation _____
 Employer _____

The above information is required to comply with state political laws.

Please return application to:

LATINOS FOR BETTER GOVERNMENT
510 Cypress Avenue
San Bruno, CA 94066



LATINOS FOR BETTER GOVERNMENT (LBG) is a nonpartisan, not-for-profit 501(c)(3), organization. LBG's goal is to increase Latino voter participation; by achieving parity registration and increasing voter turnout rates. Understanding that registration alone does not guarantee high voter turnout rates, LBG has designed Latino voter outreach programs.

LBG designs, develops and implements voting programs: bilingual Vote-By-Mail, voter registration, and Latino voter resource information hot-lines. LBG is a Latino voter clearinghouse. LBG provides research papers and voter literature on the issues and candidates, newsletters and magazines. LBG generates press releases and feature articles for the media, and develops bilingual public service announcements about voting rights. Moreover, LBG works to create outreach programs into Latino communities, creates networks between various Latino social and political organizations, and develops ethnic channels of coalition politics.

The purpose of increasing Latino voter participation is to access political empowerment and affiliations; to protect and promote the political and economic interest of the Latino community, informing and educating political leaders, legislators and government officials on key Latino issues, and providing media and grassroots support as necessary. Because of the ever changing social and political climate, U.S. Latino citizens and foreign nationals living in the U.S. must take a greater role in shaping the way society views their contributions. For these reasons, it is vital to the well-being of Latino communities to educate immigrants in the importance of becoming involved with local government, schools and taxing agencies. Achieving these objectives requires voter education and outreach, recruitment and organizational support for coordinated Latino efforts.

LATINOS FOR BETTER GOVERNMENT MISSION STATEMENT
The result of LBG's mission is political empowerment through responsive political representation of the Latino community. LBG acknowledges political empowerment as one of the most significant differences in improving the quality of life for Latinos and their families. LBG defines

political empowerment as strong and effective Latino leadership; increased levels of Latino participation in the electoral, political and policy arenas; and unity among Latinos.

LBG GENERAL STRATEGY

To accomplish the goal of educating, registering and increasing turnout of Latino voters, **LATINOS FOR BETTER GOVERNMENT** undertakes the following:

- Identifies Latino geographic and demographic areas in which to concentrate voter education, registration and voter turnout. Utilizes current census data and voter registration rolls and voter turnout information to reach targeted areas for phone campaign, event, and door-to-door activities.
- Registers Latinos to vote.
- Educates Latinos on the importance of registering and voting.
- Introduces Latinos to the political process. Establish the importance of being educated on business issues, environmental consequences, community safety, proposed social solutions and taxation effects. Compare candidates and ballot measures to what is best for the Latino community and the community at-large.
- Educates Latinos on the power of the vote to improve their community's social, economic and civic well-being.
- Implements the bilingual Vote-by-Mail and Get-Out-the-Vote campaigns to visibly exercise the power of the Latino swing vote. The Latino vote will be a visible bloc of votes in each political office and ballot issue race.

Political empowerment is based on increased levels of participation in the electoral, political and policy arenas. Empowerment consists of participation in the electoral process; by utilizing the Vote-by-Mail program to generate 100% Latino voter participation, the Latino voting bloc is created and visibly exposed. The Latino voter will be clearly recognized as an important voting group that determines the outcome of all elections.

9 4 0 4 3 5 2 4 0 0 8

**LATINOS FOR BETTER GOVERNMENT
FOUNDERS & OFFICERS**

9 4 0 4 3 5 2 4 0 0 9
LATINOS FOR BETTER GOVERNMENT

CELESTE GORE

"I am proud to be a founding member of **LATINOS FOR BETTER GOVERNMENT** because I see it as a healthy vehicle which empowers citizens of this country to finally be heard. By educating people of the right and need to vote, we create a voice for people addressing the issues that directly affect them and their community. Politicians listen to votes. **LBG** is more than a voice for the Latino community. **LBG** is inclusive, not exclusive, an organization that leads the way by offering its expertise to African Americans, women, Filipinos, Asians, and all identifiable groups. We hope to bridge the founding skeleton of this nation with one common thread. Vote your voice. **LBG's** Vote-By-Mail program provides the best opportunity for identifiable group votes to promote their political strength."

- Member of the politically active Gore family
- Longtime community activist and fund raiser
- Founder and chair of San Mateo County's Redwood City Headquarters Outreach Program. Targeted campaign program increased voter turnout of San Mateo County's ethnic groups.

MIGUEL MARTINEZ

Mr. Martinez has served a major oil company in computer technology and community liaison. He is past president and board member of a Stanford University Alumni Chicano/Latino club, board member of the National Hispanic University President's Blue Ribbon Committee and treasurer for various political committees.

JOHNNY J. ANGUIANO, MPA

Mr. Anguiano is the founder of PrimaVentura Consulting, a public affairs group. Past work experience includes the California Coastal Commission, the California Judicial Council and the California Food and Agriculture Department.

GONZALO "SAL" TORRES, Esq.

Mr. Torres is an associate with Gutierrez & Associates, the largest Latino owned law firm in Northern California. Past work experience includes working as staff attorney for the California School Employee's Association. He has chaired various political committees and coordinated educational organizations and conferences.

FERNANDO VEGA

Being retired gives Mr. Vega the time to be a full-time political activist for the Latino community. His accomplishments include:

- Redwood City Council member, 1976-1978
- Redwood City Elementary School District Board Member, 1972-1976
- Founding Member, *Hispanos Unidos*
- San Mateo County Grand Jury member
- Two-term Chairman, Salvation Army Advisory Board
- Selected Democrat of the Year for San Mateo County, 1983
- Stanford Urban Coalition Board Member
- San Mateo County Democratic Central Committee, 8 terms
- Small business owner

CARLOS GERARD VARGAS

Mr. Vargas is the editing and desktop publishing consultant for PrimaVentura Consulting, a public affairs group. He has worked as a freelance writer and reporter, an editor and desktop publisher for small business and non-profit and community organizations for the past 10 years.

QUESTION & ANSWER

- Q. Can LBG assist other ethnic groups seeking to advance high voter turnout rates in their community?**
- A. YES! LBG's** Vote-By-Mail targets identifiable groups and gets them to vote. Vote-By-Mail is the only program that provides full opportunity for every identifiable group voter to cast their ballot.

LATINOS FOR BETTER GOVERNMENT
510 Cypress Avenue
San Bruno, CA 94066



RECEIVED
FEDERAL ELECTION COMMISSION

OHC 9524

93 JUL 22 PH 3:48

SAN MATEO COUNTY DEMOCRATIC PARTY

July 18, 1993

Office of General Counsel
Federal Election Commission
999 E Street Northwest
Washington, D.C. 20463

Re: MUR 3793

Dear F.E.C. Counsel:

This letter is in response to your letter of June 28, 1993, received by me on July 8, 1993, and my telephone conversation with Eric Brown, Central Enforcement Docket, on July 12, 1993.

The June 7, 1993, letter of complaint submitted by Ernst Epstein, M.D. is a complaint against one individual only--Miguel Martinez--citing an illegal act which he personally, and to my knowledge solely, committed during the period of October 4 to October 8, 1992. It does not name me in that regard, or the San Mateo County Democratic Party ("Committee").

I did not become treasurer of the San Mateo County Democratic Party until October 11, 1992 (FEC Form 1 enclosed). I had no involvement in the "Committee's" finances prior to that time, and was totally unaware of Mr. Martinez' actions.

I can also say that no member of the Executive Board of the "Committee" other than Mr. Martinez himself knew of the action cited in the complaint. Also, to my knowledge, no member of the "Committee" in general, other than Mr. Martinez himself, knew of the action cited in the complaint.

Please call me at (415) 296-9542 if you have any further questions.

Sincerely,

Gregory Wild, Treasurer

703 South "B" St., San Mateo, CA 94401

RECEIVED
FEDERAL ELECTION
COMMISSION
MAIL ROOM
JUL 22 10 53 AM '93

94043524010

STATEMENT OF ORGANIZATION

(reverse side for instructions)

1. (a) NAME OF COMMITTEE IN FULL <input type="checkbox"/> (Check if name is changed) SAN MATED COUNTY DEMOCRATIC CENTRAL COMMITTEE	2. DATE 10-9-92
(b) Number and Street Address <input type="checkbox"/> (Check if address is changed) 703 SOUTH 'B' ST., SAN MATED, CA 94401	3. FEC IDENTIFICATION NUMBER 882509
(c) City, State and ZIP Code	4. IS THIS STATEMENT AN AMENDMENT? <input checked="" type="checkbox"/> YES <input type="checkbox"/> NO

5. TYPE OF COMMITTEE (Check one)

- (a) This committee is a principal campaign committee. (Complete the candidate information below.)
- (b) This committee is an authorized committee, and is NOT a principal campaign committee. (Complete the candidate information below.)

Name of Candidate	Candidate Party Affiliation	Office Sought	State/District

- (c) This committee supports/opposes only one candidate _____ and is NOT an authorized committee.
(name of candidate)
- (d) This committee is a subordinate committee of the Calif. Democratic Party.
(National, State or subordinate) (Democratic, Republican, etc.)
- (e) This committee is a separate segregated fund.
- (f) This committee supports/opposes more than one Federal candidate and is NOT a separate segregated fund or a party committee.

Name of Any Connected Organization or Affiliated Committee	Mailing Address and ZIP Code	Relationship
2 4 CALIFORNIA DEMOCRATIC PARTY	2424 'K' ST, SACRAMENTO SUITE 100 95816	PARENT

Type of Connected Organization

- Corporation Corporation w/o Capital Stock Labor Organization Membership Organization Trade Association Cooperative

7. Custodian of Records: Identify by name, address (phone number -- optional) and position of the person in possession of committee books and records.

Full Name

Mailing Address

Title or Position

4
 JANET JEAN EPSTEIN 420 EDGEWOOD RD., SAN MATED 94402, CHAIR
 (415) 344-4276 CHAIRPERSON

8. Treasurer: List the name and address (phone number -- optional) of the treasurer of the committee; and the name and address of any designated agent (e.g., assistant treasurer).

Full Name

Mailing Address

Title or Position

2
 GREGORY WILD 1118 GRAND TETON, PACIFICA 94044
 355-8870 TREASURER

9. Banks or Other Depositories: List all banks or other depositories in which the committee deposits funds, holds accounts, rents safety deposit boxes or maintains funds.

Name of Bank, Depository, etc.

Mailing Address and ZIP Code

WELLS FARGO BANK 100 EAST FOURTH AVE., SAN MATED 94401
 GREAT WESTERN BANK 901 SO. EL CAMINO REAL, SAN MATED 94402

I certify that I have examined this Statement and to the best of my knowledge and belief it is true, correct and complete.

TYPE OR PRINT NAME OF TREASURER	SIGNATURE OF TREASURER	DATE
Gregory Wild	<i>Gregory Wild</i>	10/10/92

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Statement to the penalties of 2 U.S.C. §437g. ANY CHANGE IN INFORMATION SHOULD BE REPORTED WITHIN 10 DAYS.

For further information contact:
 Federal Election Commission
 Toll-free 800-424-9530
 Local 202-376-3120

FEC FORM 1
(revised 4/87)

Miguel Martinez

OAC 0351

RECEIVED
FEDERAL ELECTION
COMMISSION
ADMINISTRATIVE DIVISION

Nov 4 11 32 AM '93

November 1, 1993

Ms. Abigail A. Shaine
Assistant General Counsel
Federal Elections Commission
Washington, DC 20463

RE: MUR 3793

Dear Ms. Shaine,

The person who initiated the above FEC complaint, Mr. Ernst Epstein, submitted an identical complaint to the California Fair Political Practices Commission (FPPC). The FPPC investigated all allegations made by Mr. Epstein against Latinos for Better Government and Miguel Martinez and closed all allegations without enforcement action.

The FPPC has sent me a letter, a Case Closed Memo, and Disposition Sheet comments in regard to Mr. Epstein's complaint. They are attached for your review.

The FPPC determined that I, Miguel Martinez, received "no material financial effect" (Disposition Sheet, page 2, last paragraph, next to last sentence) and that a "warning letter is appropriate to advise Mr. Martinez of the law in this matter" (Disposition Sheet, page 2, last paragraph, last sentence).

The FPPC also found that the purchase of the computer for Latinos for Better Government "did not result in a substantial personal benefit to Mr. Martinez and was used for a political purpose in that Latinos for Better Government is a political committee and provided a service to the [San Mateo County Democratic] central committee in the form of a mailing list" (Disposition Sheet, page 3, paragraph three - COMMENTS, last sentence).

Lastly, the FPPC determined that the issue of late filings for Latinos for Better Government was inconsequential in nature. Latinos for Better Government has filed all appropriate political action committee statements with the FPPC. The FPPC has issued no penalties or warnings in this matter. (Disposition Sheet, page 3, last paragraph).

In addition, in early October Latinos for Better Government filed its articles of incorporation with the California Secretary of State and filed a request for exemption from state tax with the California Franchise Tax Board. These filings are the necessary prerequisites to

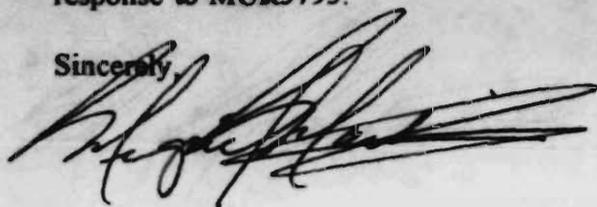
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requesting a 501(c)(3) status from the Federal Internal Revenue Service.

Please incorporate the attached material to my response and Latino for Better Government's response to MUR3793.

Sincerely,

A handwritten signature in black ink, appearing to be "Rafael Lopez", written over the word "Sincerely,".

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California Fair Political Practices Commission

October 19, 1993

Miguel Martinez
Executive Director, Latinos for Better Government
470 Fernwood Drive
San Bruno, Ca. 94066

Warning Letter: Re: FPPC 93/228, Miguel Martinez

Dear Mr. Martinez:

This office is in receipt of a complaint concerning alleged violations of the Political Reform Act. After investigation of the allegations, a determination was made that you violated the Political Reform Act and a decision was made to issue this warning letter.

The enclosed "disposition sheet" contains an outline of our findings and a description of the violations. This letter is intended to notify you of our findings and inform you that future violations of the Political Reform Act will result in an enforcement proceeding against you.

I can be reached at 916/322-6441 for questions.

Most Cordially,

A handwritten signature in dark ink, appearing to read "Donald R. McCormick".

Donald R. McCormick
Investigator
Enforcement Division

enclosures

cc Johnny Anguiano
Treasurer, Latinos for Better Government
510 Cypress
San Bruno, Ca. 94066

94043524014

CASE CLOSURE MEMO

DATE: 8/17/93

CASE NUMBER: 93/228

CASE NAME: Miguel Martinez

ATTORNEY: N/A

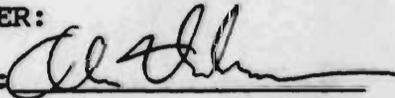
INVESTIGATOR: McCormick

DATE COMPLAINT REC'D: 7/7/93

ATTACHMENTS:

- DISPOSITION SHEET(S)
- LETTER TO COMPLAINANT(S)
- LETTER TO RESPONDENT(S)

OTHER:

APPROVAL: 

DATE: 10/19/93

94043524015

DISPOSITION SHEET
FPPC NO. 93/228

RESPONDENT: Miguel Martinez

POSITION: Treasurer, San Mateo County Democrats for Democrats Committee, San Mateo County Democratic Central Committee and San Mateo County Democratic Party Committee.

ALLEGATION NO: 1

CODE/REGULATION: §89515

DESCRIPTION: That Mr. Martinez loaned \$10,000 from the San Mateo Democratic Party Democrats for Democrats Committee to a fictitious nonprofit organization named South County for Change.

DISPOSITION: Closed with warning letter.

COMMENTS: Investigation revealed that Mr. Martinez, as Treasurer of the San Mateo County Democrats for Democrats Committee, transferred \$10,000.00 from the committee bank account on October 5, 1992, into a bank account labeled "South County for Change". This transfer occurred one day after Mr. Martinez submitted his resignation as treasurer of this committee. The South County account was opened by Mr. Martinez, with the \$10,000, in the same bank branch where the central committee account is held. There were no transactions on the account other than the opening deposit and the closing withdrawal when Mr. Martinez returned the \$10,000.00, five days later, to the San Mateo Democrats for Democrats Committee account where the funds had originated from.

Mr. Martinez intended to "gain leverage" over the central committee to support causes related to Latino issues in the Southern half of San Mateo County by temporarily removing the money from the Democrats for Democrats committee control. South County for Change was not a registered campaign committee or bona fide charitable, educational, civic, religious, or similar tax-exempt, nonprofit organization at the time the deposit was made into the account. Mr. Martinez only used the name, South County for Change, to open a bank account in which he had sole signature authority.

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§89515 provides that campaign funds may be used to make donations or loans to bona fide charitable, educational, civic, religious, or similar tax-exempt, nonprofit organization, where no substantial part of the proceeds will have a material financial effect on the candidate, elected officer, campaign treasurer, or any individual with authority to approve the expenditure of campaign funds held by a committee, or member of his or her immediate family, and where the donation or loan bears a reasonable relation to a political, legislative, or governmental purpose.

The transfer of the \$10,000 to South County for Change may be considered as a loan, since the funds were returned without any transactions on the account during the five day lapse. There was no evidence to show that Mr. Martinez or South County for Change intended to keep or use the funds. There is no evidence that the transfer of funds had a material financial effect on Mr. Martinez.

Since Mr. Martinez had resigned the day before the transfer, he may not have been the legal treasurer and may not have had legal authority to authorize the transfer. For bank purposes, he was still on the signature card for the account and he remained as the treasurer of the San Mateo Central Committee business account. He may have been the constructive legal treasurer of Democrats for Democrats on the day the transfer occurred because no other person had been designated either locally or at state level.

The transfer (loan) may be construed to bear a "reasonable relation to a political legislative, or governmental purpose" because Mr. Martinez was attempting to gain more attention and priority for the San Mateo County Latino citizens for voter registration and political participation. No definitional, regulatory standard has been established as to what constitutes a reasonable relation to a political purpose.

Nonetheless, South County for Change was not a bona fide charitable, educational, civic, religious, or similar nonprofit, organization. The mere removal of the funds from Democrats for Democrats for the five day period, in effect, was a loan to a fictitious organization in violation of §89515. Enforcement action is not warranted because there is minimal public harm, no loss of funds, and no material financial effect on Mr. Martinez. A warning letter is appropriate to advise Mr. Martinez of the law in this matter.

94043524017

RESPONDENT: Miguel Martinez

POSITION: Treasurer, San Mateo County Democratic Party

ALLEGATION NO: 2

CODE/REGULATION: §89512.5

DESCRIPTION: That Mr. Martinez expended \$2290.24 from the Democratic Central Committee on computer equipment that benefited a nonexistent campaign committee and therefore, himself, at the time of the transfer. The nonexistent committee later filed a statement of organization and qualified as a committee.

DISPOSITION. Closed, not sustained

COMMENTS: Investigation revealed that Mr. Martinez spent \$2,290.24 on October 4, 1992, for computer equipment to support a committee named "Latinos for Better Government". The money came from the "federal account" of the San Mateo County Democratic Committee. The money did not result in a substantial personal benefit to Mr. Martinez and was used for a political purpose in that Latinos for Better Government is a political committee and provided a service to the central committee in the form of a mailing list.

RESPONDENT: Miguel Martinez

POSITION: Executive Director, Latinos for Better Government

ALLEGATION NO: 3,4

CODE/REGULATION: §84101

DESCRIPTION: The Latinos for Better Government qualified as a committee on or about October 15, 1992, but did not file a statement of organization until two months later.

DISPOSITION: Closed, de minimis

COMMENTS:

The Latinos for Better Government filed a statement of organization on 12/11/92 which was more than 10 days after they qualified as a committee. §84101 requires committees to file a statement of organization within 10 days of qualifying as a committee. The reason given for the delay was that the committee was worried about jeopardizing their status as a nonprofit foundation under IRS 501(c)(3).

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ERNST EPSTEIN, M.D., INC.
DERMATOLOGY
100 SOUTH ELLESWORTH AVENUE, SUITE 707
SAN MATEO, CALIFORNIA 94401
TELEPHONE (415) 348-1242

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December 13, 1993

Ms. Abigail A. Shaine
Assistant General Counsel
Federal Election Commission
999 E Street, N.W.
Washington, DC 20463

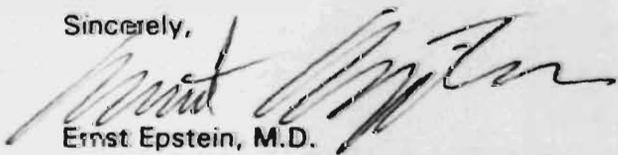
RE: MUR 3793

Dear Ms. Shaine:

In early June, 1993, I lodged a complaint with the FEC which was acknowledged in your letter of June 28, 1993 (copy enclosed). The complaint was straightforward and adequately documented. It has been more than 5 months, and I have not heard regarding the final action of the FEC.

When may I expect a report?

Sincerely,



Ernst Epstein, M.D.

EE:mm

Enclosure

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FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

December 30, 1993

Ernst Epstein, M.D.
100 South Ellsworth Ave., Suite 707
San Mateo, CA 94401

RE: MUR 3793

Dear Dr. Epstein:

This is in response to your letter dated December 13, 1993, in which you requested information pertaining to the complaint you filed on June 23, 1993, with the Federal Election Commission.

The Federal Election Campaign Act of 1971, as amended ("the Act") prohibits any person from making public the fact of any notification or investigation by the Commission, prior to closing the file in the matter, unless the parties being investigated have agreed in writing that the matter be made public. See 2 U.S.C. § 437g(a)(4)(B) and § 437g(a)(12)(A). Because there have been no written agreements that the matter be made public, we are not in a position to release any information at this time.

As you were informed by letter dated June 28, 1993, we will notify you as soon as the Commission takes final action on your complaint.

Sincerely,

Mary Taksar

Mary L. Taksar, Attorney
Central Enforcement Docket

94043524020

GENERAL COUNSEL'S REPORT, DATED APRIL 5, 1994,
AND CERTIFICATION OF COMMISSION VOTE, DATED MAY 5, 1994,
ARE FILED AT THE FOLLOWING MICROFILM LOCATION:

MUR ROLL: 352

FRAMES: 2832-2847

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FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

June 6, 1994

Ernst Epstein, M.D.
100 South Ellsworth Avenue, Suite 707
San Mateo, CA 94401

RE: MUR 3793

Dear Dr. Epstein:

On June 23, 1993, the Federal Election Commission received your complaint alleging certain violations of the Federal Election Campaign Act of 1971, as amended ("the Act").

After considering the circumstances of this matter, the Commission has determined to exercise its prosecutorial discretion and to take no action against the respondents. See attached narrative. Accordingly, the Commission closed its file in this matter on June 3, 1994. This matter will become part of the public record within 30 days.

The Act allows a complainant to seek judicial review of the Commission's dismissal of this action. See 2 U.S.C. § 437g(a)(8).

Sincerely,

Mary L. Taksar

Mary L. Taksar
Attorney

Attachment
Narrative

94043524022

MUR 3793

MIGUEL MARTINEZ

Ernst Epstein, M.D., filed a complaint alleging that Miguel Martinez, the former treasurer of the San Mateo County Democratic Committee (federal account), made an unauthorized expenditure in the amount of \$2,290.24 to purchase computer equipment for Latinos for a Better America after he resigned as treasurer. In his response to the complaint, Mr. Martinez states that at the time of the expenditure, he was the treasurer of the Committee and the expenditure was authorized by an officer of the Committee.

This matter involves less significant issues relative to the other issues pending before the Commission and does not involve a substantial amount of money. This matter had minimal impact on the process.

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FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

June 6, 1994

Miguel Martinez
470 Fernwood Drive
San Bruno, CA 94066

RE: MUR 3793
Miguel Martinez

Dear Mr. Martinez:

On June 28, 1993 the Federal Election Commission notified you of a complaint alleging certain violations of the Federal Election Campaign Act of 1971, as amended. A copy of the complaint was enclosed with that notification.

After considering the circumstances of this matter, the Commission has determined to exercise its prosecutorial discretion and to take no action against you. See attached narrative. Accordingly, the Commission closed its file in this matter on June 3, 1994.

The confidentiality provisions of 2 U.S.C. § 437g(a)(12) no longer apply and this matter is now public. In addition, although the complete file must be placed on the public record within 30 days, this could occur at any time following certification of the Commission's vote. If you wish to submit any factual or legal materials to appear on the public record, please do so as soon as possible. While the file may be placed on the public record prior to receipt of your additional materials, any permissible submissions will be added to the public record when received.

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Miguel Martinez
MUR 3793
Page 2

If you have any questions, please contact Joan McEnery at
(202) 219-3690.

Sincerely,

Mary L. Taksar

Mary L. Taksar
Attorney

Attachment
Narrative

94043524025

MUR 3793
MIGUEL MARTINEZ

Ernst Epstein, M.D., filed a complaint alleging that Miguel Martinez, the former treasurer of the San Mateo County Democratic Committee (federal account), made an unauthorized expenditure in the amount of \$2,290.24 to purchase computer equipment for Latinos for a Better America after he resigned as treasurer. In his response to the complaint, Mr. Martinez states that at the time of the expenditure, he was the treasurer of the Committee and the expenditure was authorized by an officer of the Committee.

This matter involves less significant issues relative to the other issues pending before the Commission and does not involve a substantial amount of money. This matter had minimal impact on the process.

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FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

June 6, 1994

Gonzalo R. Torres, Esq.
Latinos for a Better Government
510 Cypress Avenue
San Bruno, CA 94066

RE: MUR 3793
Latinos for a Better Government

Dear Mr. Torres:

On June 28, 1993, the Federal Election Commission notified Latinos for a Better Government ("Committee") of a complaint alleging certain violations of the Federal Election Campaign Act of 1971, as amended. A copy of the complaint was enclosed with that notification.

After considering the circumstances of this matter, the Commission has determined to exercise its prosecutorial discretion and to take no action against the Committee. See attached narrative. Accordingly, the Commission closed its file in this matter on June 3, 1994.

The confidentiality provisions of 2 U.S.C. § 437g(a)(12) no longer apply and this matter is now public. In addition, although the complete file must be placed on the public record within 30 days, this could occur at any time following certification of the Commission's vote. If you wish to submit any factual or legal materials to appear on the public record, please do so as soon as possible. While the file may be placed on the public record prior to receipt of your additional materials, any permissible submissions will be added to the public record when received.

94043524027

Gonzalo R. Torres, Esq.
MUR 3793
Page 2

If you have any questions, please contact Joan McEnery at
(202) 219-3690.

Sincerely,

Mary L. Taksar

Mary L. Taksar
Attorney

Attachment
Narrative

94043524028

MUR 3793
MIGUEL MARTINEZ

Ernst Epstein, M.D., filed a complaint alleging that Miguel Martinez, the former treasurer of the San Mateo County Democratic Committee (federal account), made an unauthorized expenditure in the amount of \$2,290.24 to purchase computer equipment for Latinos for a Better America after he resigned as treasurer. In his response to the complaint, Mr. Martinez states that at the time of the expenditure, he was the treasurer of the Committee and the expenditure was authorized by an officer of the Committee.

This matter involves less significant issues relative to the other issues pending before the Commission and does not involve a substantial amount of money. This matter had minimal impact on the process.

94043524029



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

June 6, 1994

Gregory Wild, Treasurer
San Mateo County Democratic Party
703 South B Street
San Mateo, CA 94401

RE: MUR 3793
San Mateo County Democratic Party

Dear Mr. Wild:

On June 28, 1993, the Federal Election Commission notified the San Mateo County Democratic Party ("Committee") and you, as treasurer, of a complaint alleging certain violations of the Federal Election Campaign Act of 1971, as amended. A copy of the complaint was enclosed with that notification.

After considering the circumstances of this matter, the Commission has determined to exercise its prosecutorial discretion and to take no action against the Committee and you, as treasurer. See attached narrative. Accordingly, the Commission closed its file in this matter on June 3, 1994.

The confidentiality provisions of 2 U.S.C. § 437g(a)(12) no longer apply and this matter is now public. In addition, although the complete file must be placed on the public record within 30 days, this could occur at any time following certification of the Commission's vote. If you wish to submit any factual or legal materials to appear on the public record, please do so as soon as possible. While the file may be placed on the public record prior to receipt of your additional materials, any permissible submissions will be added to the public record when received.

94043524030

Gregory Wild, Treasurer
MUR 3793
Page 2

If you have any questions, please contact Joan McEnery at
(202) 219-3690.

Sincerely,

Mary L. Taksar

Mary L. Taksar
Attorney

Attachment
Narrative

94043524031

MUR 3793
MIGUEL MARTINEZ

Ernst Epstein, M.D., filed a complaint alleging that Miguel Martinez, the former treasurer of the San Mateo County Democratic Committee (federal account), made an unauthorized expenditure in the amount of \$2,290.24 to purchase computer equipment for Latinos for a Better America after he resigned as treasurer. In his response to the complaint, Mr. Martinez states that at the time of the expenditure, he was the treasurer of the Committee and the expenditure was authorized by an officer of the Committee.

This matter involves less significant issues relative to the other issues pending before the Commission and does not involve a substantial amount of money. This matter had minimal impact on the process.

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FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

THIS IS THE END OF MUR # 3793

DATE FILMED 6/15/94 CAMERA NO. 2

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