



FEDERAL ELECTION COMMISSION
WASHINGTON DC 20461

THIS IS THE BEGINNING OF MUR # 3652

DATE FILMED 10/29/93 CAMERA NO. 2

CAMERAMAN MC

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BEFORE THE FEDERAL ELECTION COMMISSION
OF THE UNITED STATES OF AMERICA

In the matter of:

Committee for Congressman Charlie Rose
and Alton G. Buck, Treasurer

MUR 3652

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RECEIVED
FEDERAL ELECTION COMMISSION
OFFICE

COMPLAINT

NOW COMES, the National Republican Congressional Committee by its Executive Director, Tom Cole, whose principal office is located at 320 First Street, S.E., Washington, D.C. 20003 and Robert C. Anderson of 511 Argyll Road, Fayetteville, NC 28303-5101, hereinafter collectively referred to as "Complainants" to file this Complaint pursuant to 2 U.S.C. 437g(a)(1) and 11 C.F.R. 111.4 relative to certain activity of the Committee for Congressman Charlie Rose and Alton G. Buck, Treasurer of P.O. Box 1178, Fayetteville, NC 28302 hereinafter referred to as "Rose Committee".

VIOLATION

On March 23, 1988, the Committee on Standards of Official Conduct of the U.S. House of Representatives issued a public letter of Reproval to Congressman Rose as a result of his unethical conduct with regard to his personal use of campaign funds and failure to disclose certain financial transactions on his Personal Finance Disclosure Statements. During the course of the investigation, the Ethics Committee found it necessary to determine whether an alleged \$50,000 loan, undisclosed for more than 14 years, existed as between the Rose Committee and Representative Rose. The Ethics Committee stated emphatically that the loan did not exist and that Representative Rose was not entitled to repayment. The Rose Committee has continued for more than four years after the Ethics Committee determination to list the \$50,000 loan on periodic campaign finance reports as an outstanding and current obligation owed to Representative Rose.

These actions represent intentional false reporting of campaign obligations in violation of 11 C.F.R. 104. Congressman Rose and the Rose Committee clearly intend to divert campaign funds to Congressman Rose's personal benefit in violation of 2 U.S.C. 439a.

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FACTS

Complainants pursuant to the provisions of the Federal Election Campaign Act (the Act) and the Federal Election Commission (FEC) regulations hereby state the following facts:

1. Rose Committee is the authorized principal campaign committee of Charlie Rose, III the incumbent Congressman of the 7th District of North Carolina in the U.S. House of Representatives. Representative Rose shall be individually referred to hereinafter as "Representative Rose."

2. Charles Rose, Jr. is the father of Representative Rose and will hereinafter be referred to as "Rose, Jr."

3. The basis of this complaint is the following documents:

a. Excerpts from the Report of the Committee on Standards of Official Conduct of the U.S. House of Representatives hereinafter referred to as the "Report" with regard to certain investigative procedures and final determinations that Representative Rose did, in fact, engage in improper campaign fund activities. See Exhibit A.

b. Portions of Rose Committee campaign finance statements for the period October 24, 1978 to June 30, 1992 detailing the history of alleged loans by Representative Rose to the Rose Committee as well as all pertinent correspondence from the Rose Committee to regulatory staff relative to said filings. See Exhibit B.

ETHICS COMMITTEE COMPLAINT

In the fall of 1986, a complaint was filed with the Ethics Committee alleging the following improper conduct by Representative Rose:

1. Representative Rose, on eight occasions, borrowed funds from the Rose Committee in violation of House Rule XLIII, Clause 6. House Rule XLIII states that members must maintain separate accounts for campaign and personal funds and that campaign funds cannot be used for any use other than bona fide campaign purposes.

2. Representative Rose used a Rose Committee certificate of deposit in the amount of \$75,000 as collateral for a personal loan. Such activity violates House Rule XLIII, Clause 6 which states that a Member ". . . shall convert no campaign funds to personal use in excess of reimbursement of legitimate and verifiable campaign expenditures. . ."

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3. Representative Rose incurred debts to the Rose Campaign as a result of his borrowings from the Rose Committee as described in (1) above. Representative Rose failed to list those transactions as liabilities in excess of \$10,000 on his Personal Financial Disclosure Statements for each year 1982 - 1986 as required by the Ethics in Government Act (EIGA).

4. Representative Rose also failed to report nine separate financial obligations to various financial institutions as required by the EIGA on the Personal Financial Disclosure Statements for the period 1979 - 1984.

ETHICS COMMITTEE FINDINGS

The U.S. Constitution authorizes each House of Congress to punish its Members for disorderly behavior and, with the concurrence of two thirds, to expel a Member. Art. I, Sec. 5, Cl. 2. Given the serious nature of the ultimate sanction which may be imposed as a result of the conclusions of the Ethics Committee, full investigative and procedural safeguards are carefully and routinely employed. Therefore, the Commission may rely upon the findings of the Ethics Committee with confidence they have been fully adjudicated. For a complete description of the procedures see Exhibit C.

As a result of its investigation, the Ethics Committee issued a public reproof of Representative Rose on March 23, 1988. For a full description of the findings and the public reproof, see Exhibit D.

A finding of fact made in the course of the Ethics Committee investigation provides the basis of this Complaint. The Ethics Committee found that while there were a number of financial transactions between Representative Rose and Rose, Jr., a loan in the amount of \$50,000 did not result or currently exist as between either Representative Rose or Rose, Jr., and the Rose Committee.

The existence of the loan was a key element of Representative Rose's defense against the claims of personal borrowings of Rose Committee funds. Representative Rose maintained that he had not borrowed from the Rose Committee but rather the payments to him were "repayments" of a prior undisclosed loan of \$50,000. The Report flatly rejected Representative Rose's claim that a loan existed and that he is owed \$50,000 by the Rose Committee.

Specifically, the Report stated:

The Committee takes note of the fact that the respondent repaid in full all monies borrowed from his campaign. There is no outstanding indebtedness to the campaign at this time.

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Nevertheless, the Committee reiterates its position that Representative Rose is not entitled to repayment of \$50,000 from his campaign. (emphasis supplied) Page 16.

Continued reporting by the Rose Committee of the \$50,000 obligation is a clear indication of the intent of the Rose Committee and Representative Rose to make payment in that amount to him at a future date in violation of 11 C.F.R. 104 and 2 U.S.C. 439a.

ROSE COMMITTEE PUBLIC FILINGS ARE CONFLICTING
AND SUPPORT AN INVESTIGATION BY FEC

Without resort to the Report of the Ethics Committee, sufficient conflicting documentation has been filed by the Rose Committee pursuant to the requirements of the Act to warrant an investigation into this matter. There are three particular types of transactions which support this statement.

1. The \$50,000 loan was not reported until 1987.

From the alleged incurrence of the obligation by the Rose Committee to Representative Rose in November of 1973 until January 6, 1987, reports filed with the FEC by the Rose Committee did not itemize any loan in the amount of \$50,000. Therefore, the alleged loan upon which the Rose Committee intends to repay Representative Rose remained undisclosed for a period of more than 14 years.

The position of the Commission is clear with regard to the reporting of prior undisclosed debts to the candidate. In Advisory Opinion 1977-58 [CCH 5285], the Commission addressed the issue of a candidate who sought to re-characterize his prior financial transactions as "loans" by himself for which he could seek repayment. The Commission said:

. . .your committee may not now retroactively regard the payment you made with respect to a 1974 election, originally reported as a transfer in from you to the committee, as creating a debt owed to you, which the committee may then "extinguish."

The Commission continued:

Furthermore, 2 U.S.C. 434(b)(12) requires that debts and obligations owed by the committee be continuously reported until they are extinguished. Your proposal to

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disclose the transaction as a current debt of the committee. . . would, if allowed, contravene the obvious intent of 434(b)(12) that debts and obligations be initially disclosed in a timely manner and be continually reported thereafter until extinguished. (emphasis added)

The instant situation is even more offensive to the Commission rationale. In AO 1978-58, the candidate sought after three years to re-characterize a financial transaction which had already been reported to the Commission. The Rose Committee amended its statements after more than 14 years to recognize a an alleged financial transaction of \$50,000 to Representative Rose which had never been reported to the FEC in any manner. The Rose Committee's attempt to legitimize the existence of a prior debt and its blatant disregard of the reporting requirements under 11 C.F.R. 104.11 cannot be tolerated.

2. Loans to Representative Rose Itemized on Schedule C. Even prior to the disclosure of the alleged \$50,000 loan in January of 1987, the Rose Committee was answering inquiries from regulatory staff regarding the transactions reported on Schedule C - Debts and Obligations owed to the Committee.

Beginning in November of 1978, the Rose Committee filed Schedule C - Debts owed to the Committee in support of line 9 of the Summary Page; Schedule A - Receipts; and Schedule B "Disbursements" indicating that during the period 1978 through 1985 the Rose Committee disbursed to and received from Representative Rose equal sums of \$63,995. See Exhibit B. The transactions were as follows:

<u>Date</u>	<u>Rep. Rose Payment</u>	<u>Rose Com. Disbursement</u>	<u>Description Used</u>
11/17/78		4,000	"Loan"
02/25/82		7,000	"Loan"
07/21/83		895	"Loan"
09/12/83		18,000	"Loan"
12/15/83	18,000		"Repayment"
04/01/84		10,000	"Loan"
04/17/84	10,000		"Payment"
09/05/84		5,000	"Loan"
09/28/84	5,000		"Repayment"
01/31/85		9,500	"Loan"
03/21/85	9,500		"Repayment"
08/19/85		9,600 *	"Loan"
12/31/85	9,600		"Repayment"
09/26/86	11,895		"Repayment"
TOTAL	\$ 63,995	\$ 63,995	

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On June 22, 1984, the Rose Committee in replying to the Office of the Clerk, referred to "Mr. Rose's loans" and then confirmed the information had been omitted from Schedule C and provided the necessary amendment. This characterization of the transaction is confirmed by a second letter dated January 1, 1986, from the Rose Committee to the Clerk of the House. The letter reiterated a conversation with regulatory staff who instructed all ". . . loans repaid by the Congressman should be reported on Line 14. . . rather than Line 15." (emphasis added) See Exhibit E.

3. Conflicting Amendments to Schedule C. On January 6, 1987, the Rose Committee filed amendments to the Year-End Report for 1985 and each report for 1986. The letter from the Rose committee stated that these amendments were necessary due to ". . . confusion which occurred as a result of the repeal of the Federal Corrupt Practices Act and the enactment of the 1974 Federal Election Campaign Act Amendment." This 1987 explanation is given even though the Rose Committee had been reporting under the "new" Federal Election Campaign Act provisions for more than a decade.

The letter went on to state that

". . . the 1971 FEC Act made no provision for reporting prior debts and obligations of a principal campaign committee, while later amendments to the FEC Act did provide for the assumption of a debt, by the principal campaign committee of a previous campaign, provided evidence was available to substantiate the existence of the debt."

The Rose Committee letter went on to explain \$50,000 was owed to Representative Rose as a result of a loan made to the Committee in 1973. It continued to explain that all the transactions listed above in paragraph 2 previously reported as "loans to Representative Rose" were actually repayments to Representative Rose based upon the newly reported 1973 obligation. The payments had been mischaracterized on Schedule C. According to the Rose Committee, "[t]hese loan transactions, as debts owed by the Committee to Congressman Rose, should have appeared on line 10 and not line 9 on the Summary Page, apart from any debts owed to the committee." Schedule B entries were to be amended to become "repayments of loans owed" and all Schedule A receipt entries were to become "Other/Loans to the Committee." See Exhibit F.

The Rose Committee contended payments made to Representative Rose were actually repayments and that he reloaned exactly the same amount back to the Rose Committee. Inasmuch as these transactions represent a "wash," the Rose Committee is now claiming that it is left with an obligation to Representative Rose in the amount of \$50,000. This amount has been reported continually as an obligation since the 1985 Year-end Report was filed in January, 1987. See Exhibit G.

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CONCLUSION

In summary, an investigation is warranted in this instance for the following reasons:

1. The Complaint against Representative Rose has been thoroughly adjudicated by the Committee on Standards of Official Conduct of the U.S. House of Representatives. Representative Rose has been issued a public letter of reproof. The findings and conclusions of that deliberative process provide sufficient basis to meet the "reason to believe" requirements of 2 U.S.C. 437g(a)(1) 11 C.F.R. 111.

2. In addition to the findings of the Ethics Committee or when considered independently of the findings, the inconsistencies of the reports filed by the Rose Committee provide a sufficient basis upon which to meet the "reason to believe" requirements to investigate the legitimacy of the \$50,000 loan. Initially, the Rose Committee reported undeniably that the expenditures discussed above were loans to Representative Rose. Then within less than 90 days of the filing of a Ethics Complaint in the U.S. House of Representatives, the Rose Committee proffers that the information regarding how to report prior loans heretofore a mystery for some 14 years suddenly becomes clear and coincidentally provides the principal defense for Representative Rose to the allegations of the personal use of campaign funds in the House Ethics Complaint.

The House Ethics Committee, on the weight of the evidence, did not accept this explanation. The Commission should not accept this explanation. At a minimum, the Commission should initiate its own investigation into this matter. The inconsistencies of the public record in and of themselves provide ample "reason to believe" that violations of the Act may have occurred.

3. The failure of the Commission to undertake this investigation would have serious secondary implications. Such a refusal would:

a. Allow Representative Rose to accomplish indirectly what other Members of Congress are prohibited from doing directly. Under the provisions of 2 U.S.C. 439a, certain members, including Representative Rose, who would seek to convert their excess campaign funds to personal use must make a decision to retire at the end of the current session of Congress. If they chose to take their funds, they are subject to personal income tax.

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b. Ensure that Representative Rose, once beyond the jurisdiction of the House Ethics Committee, would be in a position to seek repayment of the \$50,000 loan. Loans for bona fide campaign purposes when repaid do not create a taxable event for the recipient. Not only will he be afforded an extended period of time to serve in Congress but also receive a greater benefit than other Members in receiving these funds "tax free."

4. The actions of the Rose Committee are intentional and wilful. It is impossible for Representative Rose or the Rose Committee Treasurer, both of whom were principal participants in the House Ethics investigation, to maintain they are unaware of the findings of the Ethics Committee with regard to the non-existence of the loan transaction. Therefore, there is no plausible explanation for the continued reporting of this obligation other than the Rose Committee's knowing and wilful disregard of the findings and the intent to repay Representative Rose for this amount at some point in the future.

PRAYER FOR RELIEF

Therefore, Complainants respectfully request that the Federal Election Commission investigate this violation and determine the following conclusions of law as appropriate:

1. Rose Committee has reported a financial transaction between Representative Rose and itself which based upon the findings of the Ethics Committee or the independent investigation of the Commission is not substantiated as a legitimate debt of the Rose Committee in violation of the provisions of 11 C.F.R. 104.

2. Rose Committee may not make any repayments to Representative Rose based upon the alleged \$50,000 loan.

3. Rose Committee, even with knowledge of the findings of the House Ethics Committee, has continued for a period of more than four years after the publication of those findings to report this obligation in total disregard of the authority of the Ethics Committee and by its actions demonstrates its intention to profer repayment to Representative Rose in the amount of \$50,000.

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Therefore, Complainant further requests that the Federal Election Commission assess all appropriate penalties for said wilful and knowing violation of the above provisions in accordance with 2 U.S.C. 437g(a) (5) (B) (C).

The above statements are true and correct to the best of my knowledge, information and belief.

Respectfully submitted,

Sam C. [Signature]

National Republican Congressional Committee

District of Columbia, ss:

Subscribed and sworn before me this 5th day of October, 1992.

[Signature]

(Notary Public)

My Commission Expires:

April 30, 1997

The above statements are true and correct to the best of my knowledge, information and belief.

Respectfully submitted,

Robert C. Anderson [Signature]

Robert C. Anderson

Subscribed and sworn before me this 1st day of Oct., 1992.

Faye Taylor [Signature]

(Notary Public)

My Commission expires:

10-4-94

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EXHIBIT A

House Calendar No. 139

100TH CONGRESS
1st Session

HOUSE OF REPRESENTATIVES

REPORT
100-526

IN THE MATTER OF
REPRESENTATIVE CHARLES G. ROSE III

PH 3:55

MISSION

REPORT

OF THE

COMMITTEE ON
STANDARDS OF OFFICIAL CONDUCT
U.S. HOUSE OF REPRESENTATIVES



MARCH 23, 1988.—Referred to the House Calendar and ordered to be printed

House Calendar No. 139

100TH CONGRESS }
2d Session

HOUSE OF REPRESENTATIVES {

REPORT
100-526

IN THE MATTER OF REPRESENTATIVE CHARLES G. ROSE III

MARCH 23, 1988.—Referred to the House Calendar and Ordered to be printed

Mr. DIXON, from the Committee on Standards of Official Conduct,
submitted the following

REPORT

I. PROCEDURAL HISTORY

On October 15, 1986, the Committee on Standards of Official Conduct received a properly filed complaint against Representative Charles G. Rose, III. Pursuant to Rule 9 of the Committee's Rules of Procedure, the complaint included letters from three Members of the House of Representatives who refused, in writing, to transmit the complaint to the Committee. The three signing Members were Representative Gene Chappie of California, Representative Eldon Rudd of Arizona, and Representative David S. Monson of Utah. After the receipt of the complaint, the Committee did not meet again during the 99th Congress.

The new Committee formed for the 100th Congress held its first meeting on February 25, 1987. The Committee addressed the issue of whether a complaint filed in one Congress (99th), which included letters of refusal signed by three Members of the House, was still valid in a new Congress (100th), even though none of the signing Members were currently seated in the new Congress. The Committee adopted the position that a properly filed complaint remains valid from one Congress to a subsequent Congress. Thus, the new Committee took up the complaint at its first meeting as required by the Committee's Rules of Procedure.

The complaint alleged that Representative Rose violated House rules by converting campaign funds to personal use and by expending campaign funds not attributable to bona fide campaign purposes in eight separate transactions in 1978, 1982, 1983, 1984, and 1985. The complaint alleged that Representative Rose violated the Ethics in Government Act of 1978 (EIGA) by failing to report liabil-

ities to his campaign on his Financial Disclosure Statements in 1982, 1983, 1984, and 1985. Finally, the complaint alleged that Representative Rose failed to report, as gifts, the value of interest forgiven on loans from his campaign committee.

The Committee decided to seek information from Representative Rose relevant to the allegations raised in the complaint. Answers to specific questions would facilitate its making a decision on whether to initiate a formal Preliminary Inquiry. To this end, the Committee sent letters to Representative Rose on three occasions. In response to these inquiries, Representative Rose submitted answers with documentation. Discussion of relevant issues also took place with the congressman's counsel. Based upon these efforts, the Committee concluded that there were matters which should be pursued through a formal investigation. Thus, on June 17, 1987, the Committee adopted a Resolution to conduct a Preliminary Inquiry based on the allegations raised in the complaint. (Appendix A.)

Following the Preliminary Inquiry, the Committee agreed to, and issued, a Statement of Alleged Violations to Representative Rose on October 28, 1987. The statement, included as Appendix B, consisted of four counts. Count one alleged that Representative Rose borrowed from his campaign in eight transactions from 1978 to 1985 in violation of House Rule XLIII, clause 6. Count two alleged that Representative Rose pledged a \$75,000 certificate of deposit belonging to his campaign as collateral on a personal loan, in violation of House Rule XLIII, clause 6. Count three alleged Representative Rose violated House Rule XLIV, clause 2 (EIGA), by failing to report on his Financial Disclosure Statements, as liabilities, outstanding indebtedness to his campaign from 1982-1986. Count four alleged that Representative Rose violated House Rule XLIV, clause 2 (EIGA), by failing to report on his Financial Disclosure Statements, as liabilities, outstanding indebtedness to seven financial institutions from 1979 to 1984.

On November 16, 1987, Representative Rose, through counsel, filed an Answer of Respondent to Statement of Alleged Violations and Accompanying Memorandum of Points and Authorities. (Appendix C.) The response denied each and every allegation of count one. With respect to count two, the response admitted that, on the date in question, Representative Rose signed a paper entitled "Assignment of Southern National Bank Savings Accounts/Savings Instrument." Representative Rose denied each and every remaining allegation of count two. Representative Rose denied each and every allegation contained in count three.

As to count four, Representative Rose admitted subsection (a), March 26, 1979, Waccamaw Bank \$5,000 and \$10,000 liabilities. As to count four, subsection (b), Representative Rose denied the allegation asserting that the February 29, 1980, First Citizens Bank \$20,000 liability was inadvertently reported as a liability to First Union Bank. As to subsection (c), June 2, 1980, National Bank of Washington \$10,496 liability, Representative Rose denied this allegation. As to subsection (d), August 1, 1980, \$20,000 liability to Southern National Bank, Representative Rose admitted this allegation. As to subsection (e), February 7, 1981, Wright Patman Congressional Federal Credit Union \$13,000 liability, Representative Rose denied this allegation and asserted this information "may

have been erroneously, though inadvertently and unintentionally," submitted to the Committee. As to subsection (f), April 15, 1983, Wachovia Bank \$12,500 liability, Representative Rose admitted this allegation. As to subsection (g), September 7, 1984, and September 11, 1984, Wright Patman Congressional Federal Credit Union liabilities, in the amounts of \$500 and \$10,000, respectively, respondent admitted these allegations.

On December 7, 1987, Committee counsel filed Committee Counsel's Reply Brief to Answer of Respondent to Statement of Alleged Violations, wherein Committee counsel recommended that the Committee move to sustain counts one, two, and three. (Appendix D.) Further, Committee counsel moved to dismiss count four, subsection (b), based on respondent's explanation, and moved to sustain the remaining subsections of count four. Subsequently, the Committee sustained counts one, two, and three, and dismissed count four, subsection (b).

On December 15, 1987, counsel for respondent filed an Amended Answer of Respondent to Count Four fo the Statement of Alleged Violations, admitting count four, subsection (c). (Appendix E.) On December 16, 1987, Committee counsel moved to amend the Statement of Alleged Violations to correct count four, subsection (e), to read the National Bank of Washington, February 6, 1981, \$12,702.74. Respondent admitted this allegation. (Appendix F.)

The Committee and the respondent entered into a Post Statement of Alleged Violation Procedure agreement, in which Representative Rose waived his right to phase one of a Rule 16 disciplinary hearing, should the Committee vote to go forward with such a hearing. (See Appendix H.) The agreement provided that counsel for the respondent and Committee counsel would enter into a stipulation agreement identifying issues of fact both parties agreed on, which would be submitted to the Committee. The agreement also provided that both counsel would present oral argument to the Committee on the issues in the Statement of Alleged Violations, in lieu of testimony from witnesses at a hearing. Committee Chairman Julian C. Dixon and Ranking Minority Member Floyd D. Spence approved and signed the Post Statement of Alleged Violation Procedure agreement on December 2, 1987. The respondent, Representative Rose, approved and signed the agreement on December 8, 1987, and counsel for respondent, William C. Oldaker, signed the agreement on December 10, 1987. The respondent and his counsel also signed a Waiver of Phase One of Rule 16 Disciplinary Hearing on the corresponding dates. (See Appendix H.)

The Stipulations agreement between counsel was signed on December 15, 1987. (See Appendix G.)

On December 16, 1987, the Committee heard oral arguments on the allegations in the Statement of Alleged Violations from Committee counsel and respondent's counsel. Following deliberations, the Committee sustained all counts by unanimous vote. On February 18, 1988, the Committee formally notified Representative Rose of its decision that all four counts had been proved.

By letter dated February 19, 1988, Representative Rose formally notified the Committee that he waived his right to phase two of the disciplinary hearing. (Appendix I.) Rule 16(f) of the Committee's Rules of Procedure explains that in phase two Committee counsel

and counsel for the respondent may make a written and/or oral submission to the Committee on the issue of sanction.

II. CONDUCT OF INVESTIGATION

A. METHODOLOGY

The Committee proceeded with a number of investigative techniques during the Preliminary Inquiry phase. Among them were written interrogatories; the use of subpoena power to obtain various financial institution documents; requests for various public documents—Federal Election Campaign Act (FECA) reports, EIGA filings, and North Carolina Corrupt Practices Act filings; depositions from Alton Buck, Charles G. Rose, Jr., and Anthony Rand. The Committee also contracted for the services of the certified public accounting firm of Laventhol & Horwath. The respondent voluntarily testified, under oath, before the Committee.

The depositions in this case were taken in executive session pursuant to the rules of the House of Representatives and this Committee. Consequently, they are not included in this report in their entirety. Only the excerpts contained in the Committee Counsel's Reply Brief to Answer of Respondent to Statement of Alleged Violations are included herein. The report gives certain factual information that may be attributable to the deponents. The deposition of the individual should be viewed as one of the sources of this information.

The information obtained from all sources was considered in adopting this report.

B. SCOPE

The Resolution adopted June 17, 1987, defined the scope of this investigation. This definition included violations of clause 6 of House Rule XLIII by failing to keep campaign funds separate from personal funds, converting campaign funds to personal use, and expending campaign funds not attributable to bona fide campaign purposes; violations of the EIGA by failing to report liabilities in excess of \$10,000; and EIGA violations by failing to report the forbearance of interest on loans from his campaign. The Committee undertook to investigate alleged violations in these areas.

The allegation in count two, while not specifically included as a part of the complaint, fell within the parameters of violations of clause 6 of House Rule XLIII during the relevant time period and was discovered during the regular course of investigation in the Preliminary Inquiry phase. The Committee, therefore, included this information as a basis for an allegation in its Statement of Alleged Violations.

C. FINDINGS OF FACT

The Committee adopted the December 15, 1987, Stipulations (Appendix G) signed by Committee counsel and counsel for the respondent as its findings of fact.

III. HIGHLIGHTS

A. COUNT ONE

Count one alleged that on eight occasions Representative Rose borrowed money from his campaign in violation of House Rule XLIII, clause 6. This rule provides, in part, that a Member—

. . . shall keep his campaign funds separate from his personal funds. . . and he shall expend no funds from his campaign account not attributable to bona fide campaign purposes.

The borrowings occurred from 1978 to 1985, and ranged in amount from \$895 to \$18,000.

Representative Rose argued as a defense that the withdrawals from his campaign were not borrowings. Rather, he argued that they were repayments to him for money loaned to his campaign in 1972. Only \$9,500, however, was actually loaned by the congressman himself. Mr. Charles G. Rose, Jr., the congressman's father, contributed \$16,400 and also paid a bank note of \$20,000. Representative Rose explained that he reimbursed his father in 1975 with the proceeds of a \$50,000 bank loan, in addition to property transfers in 1978 and 1980. Thus, Representative Rose argued he replaced his father as a creditor of the campaign and was entitled to the withdrawals as repayments.

The Committee concludes that the evidence did not support Representative Rose's theory. The lack of documentation made at the time of the alleged loans to the campaign, the carrying of the disbursements as loans to Representative Rose on FECA and Clerk of the House of Representatives (Clerk) reports from 1978 until 1986, the characterization as repayments of loan of deposits back to the campaign on FECA reports, and the failure to establish a valid entitlement to funds the campaign may have owed his father, were significant factors which caused the Committee to hold that the withdrawals from his campaign were indeed borrowings by Representative Rose.

Finally, and perhaps most importantly, the Committee adopts two key positions: (1) a Member may not borrow money from his campaign; and (2) a Member's withdrawal of funds from his campaign as repayment to himself of prior unreported campaign loans will be construed as borrowings, in violation of House Rule XLIII, clause 6. It should be stressed, however, that these two positions did not govern either the Committee's findings or disposition in this case.

B. COUNT TWO

Count two alleged that Representative Rose used a certificate of deposit belonging to his campaign as collateral for a personal loan during the years 1985 and 1986.

House Rule XLIII, clause 6, states that a Member of the House of Representatives—

. . . shall convert no campaign funds to personal use in excess of reimbursement for legitimate and verifiable campaign expenditures. . . .

Additionally, House Rule XLIII, clause 2, states:

A Member, officer, or employee of the House of Representatives shall adhere to the spirit and the letter of the Rules of the House of Representatives and to the rules of duly constituted committees thereof.

The argument and evidence presented established that Representative Rose did indeed use his campaign's funds for personal benefit by pledging the certificate of deposit on his own loan.

Representative Rose did not dispute that he signed an assignment of his campaign's certificate of deposit. He argued, however, that, since he had no legal authority to make this assignment, it was not valid and, therefore, no House rule was violated. Representative Rose testified before the Committee that the purpose of executing the assignment was to receive a lower interest rate on the loan in question, and that he had indeed received a lower interest rate.

The Committee rejected Representative Rose's position for several reasons. First, a strong argument could be made that the assignment was enforceable because it had been validated by a letter sent to Southern National Bank by the Assistant Campaign Treasurer, Mr. Alton G. Buck, four days before the transaction was entered into, which stated that Representative Rose's campaign funds were his to do with as he pleased. Secondly, the Committee concluded that Representative Rose violated the spirit of Rule XLIII, clause 6, by attempting to assign the certificate of deposit, regardless of whether the assignment would have been legally enforceable had the bank attempted to seize the collateral. And, Members are required by House Rule XLIII, clause 2, to adhere to the spirit and the letter of the rules. Finally, the Committee noted that the bank had accepted the certificate of deposit as collateral, in that no alternative collateral was ever requested and, in fact, the bank lowered Representative Rose's interest rate on the loan because of it. Using the campaign's funds to obtain a lower loan interest rate on a personal loan constituted personal use in violation of the rule.

For these reasons, the Committee concluded that Representative Rose received a personal benefit from the use of the funds and, therefore, violated Rule XLIII, clause 6. The attempt to accomplish something which may not be legally enforceable is not recognized as a valid defense to violations of House rules. A violation of the spirit of the rule in this case constitutes a violation of the rule.

C. COUNT THREE

Count three alleged that Representative Rose failed to report, in the liabilities section of his Financial Disclosure Statements, the indebtedness incurred to his campaign for the years 1982 through 1986, resulting from the borrowings alleged in count one. EIGA requires that Members report obligations over \$10,000. A finding on this count is inextricably tied to the finding in count one. Given that Representative Rose denied borrowing from his campaign, his concomitant argument was that he had no reportable liability to his campaign.

Committee counsel and counsel for the respondent stated in the Stipulations that the Committee's finding with respect to count one

would result in a like finding as to count three. The Committee found that the evidence presented supported a finding that count one had been proved—Representative Rose borrowed money from his campaign on eight occasions from 1978 to 1985. The concomitant finding then, was that count three also had been proved in that Representative Rose's Financial Disclosure Statements for the years in which his indebtedness exceeded \$10,000, 1982 through 1986, did not disclose these liabilities to his campaign.

D. COUNT FOUR

Count four alleged that Representative Rose failed to report, as liabilities on his Financial Disclosure Statements, obligations to various financial institutions. The respondent admitted most of the allegations, explaining that the omissions were unintentional. He promptly filed amendments to his Financial Disclosure Statements. The amendments were filed at the Member's own initiative without the request of the Committee. The two-pronged test to establish a presumption of good faith set out in the April 23, 1986, memorandum to Members, officers, and employees of the House of Representatives (Appendix N) does not apply to circumstances where the amendments are filed after a Statement of Alleged Violations has been issued. Here, the respondent is merely taking appropriate corrective action.

Subsection (b) of count four was dismissed by the Committee. In his Response to the Statement of Alleged Violations, Representative Rose informed the Committee that an effort was made to disclose this loan. Erroneously, the loan was reported as an obligation to First Union Bank, not First Citizens Bank. The Committee accepted this explanation and dismissed this subsection of the count.

IV. RESULTS OF INVESTIGATION

A. COUNT ONE—REPRESENTATIVE ROSE BORROWED FROM HIS CAMPAIGN

Count one alleged that Representative Rose borrowed from his campaign on eight occasions from 1978 to 1985, in violation of House Rule XLIII, clause 6. The rule states:

A Member of the House of Representatives shall keep his campaign funds separate from his personal funds. He shall convert no campaign funds to personal use in excess of reimbursement for legitimate and verifiable prior campaign expenditures and he shall expend no funds from his campaign account not attributable to bona fide campaign purposes.

The Committee began by trying to determine what evidence existed that would bear on whether the eight campaign disbursements to Representative Rose were actually loans to the congressman as alleged in the complaint, or whether the disbursements were repayments of prior loans to the campaign attributable to Representative Rose. The evidence considered included campaign reports filed with the Clerk of the House of Representatives (Clerk) in 1972; FECA reports filed with the Clerk from 1978 through 1987; campaign reports filed with the Secretary of State of North Carolina pursuant to the North Carolina Corrupt Practices Act; cam-

paign checks written to Representative Rose; checks from Representative Rose to the campaign; check stubs from the campaign checkbook; a promissory note executed April 21, 1987; letters between Mr. Alton G. Buck, Assistant Campaign Treasurer, and the Office of the Clerk; two loan transactions between Representative Rose and North Carolina National Bank (NCNB); a loan transaction between Mr. Charles G. Rose, Jr. and First Citizens Bank; and two property transfers between Representative Rose and his father. All evidence was considered in light of what it appeared to show on its face, the surrounding circumstances, and the explanation of events as put forward by Representative Rose. It is, therefore, necessary to understand the explanation and defense put forward by Representative Rose.

1. Representative Rose's Explanation

Representative Rose asserted that the disbursements to him were not loans but, rather, payments to him of prior loans made to his campaign. The explanation began in 1972 when, during his first successful run for Congress, Representative Rose and his father contributed \$45,900 to the campaign. The contributions consisted of six separate "seed money" loans (hereinafter referred to as seed money loans) and are reflected in 1972 filings with the Secretary of State of North Carolina under the North Carolina Corrupt Practices Act and the federal campaign report filed with the Clerk. Information provided by Representative Rose from those documents indicated contributions as follows:

Date of loan	Source of contribution	Amount of contribution	Total contribution
Apr. 7, 1972	C.G. Rose, Jr.	\$8,750	\$8,750
Apr. 20, 1972	C.G. Rose III	7,500	16,250
May 5, 1972	C.G. Rose, Jr.	5,150	21,400
May 23, 1972	First Citizens Bank	20,000	41,400
June 2, 1972	C.G. Rose III	2,000	43,400
June 2, 1972	C.G. Rose, Jr.	2,500	45,900

As the chart shows, campaign reports indicated that Representative Rose contributed \$9,500 of his personal funds, although he testified to the Committee that the original source of this money may also have been from his father, Mr. Rose, Jr. In addition, the records show the campaign borrowed \$20,000 from First Citizens Bank (the note was later discharged by Mr. Rose, Jr.), and the remaining \$16,400 was contributed by Mr. Rose, Jr. (Campaign law at that time did not limit the amount of contribution a family member could make.) It was Representative Rose's contention that these monies were intended, at the time they were made, to be loans to the campaign.

The next element of the respondent's defense rested on the repayment arrangement for the so-called loans. Representative Rose asserted that, at the time the loans/contributions were made to the campaign, he and his father entered into an oral agreement wherein the congressman agreed to personally reimburse his father for any money he (father) loaned to the campaign. Thus, by virtue of this oral agreement, the congressman contended he made himself,

not the campaign, liable to his father. As a result, the campaign's liability was to the congressman, not his father, for all the seed money contributions.

The defense explained that the Congressman's father consolidated or made a benchmark of the seed money debt owed to him resulting from his campaign contributions, by borrowing \$50,000 from First Citizens Bank in November 1973. Although the six seed money contributions from 1972 totaled only \$45,900, the additional \$4,100 represented interest from 1972 to the time of the 1973 consolidation loan, at 6 percent, the legal rate of interest at that time. Thus, under Representative Rose's theory, a \$50,000 obligation, stemming from 1972 campaign contributions, accrued to the campaign in favor of Representative Rose.

Representative Rose asserted that he did, in fact, repay his father the \$50,000 and was, therefore, entitled to receive disbursements of this amount from the campaign. The repayment occurred in January 1975 when he borrowed \$50,000 from NCNB. In addition, the Congressman said he transferred property he owned in the State of Alaska to his father in satisfaction of all debts between them.

The final part of his defense stated that his payments to the campaign, which appeared to be repayments of his borrowings from the campaign and which were reported as such on FECA filings, were, in fact, reloans made by him to the campaign. He stated, under oath, to the Committee that he felt these loans were necessary to keep his campaign balances high. The net effect of these reloans was that the campaign currently still owes the respondent \$50,000, and a promissory note evidencing this was executed in April 1987.

2. Committee Analysis of the Evidence

After considering Representative Rose's explanation, the Committee then examined it in light of all available evidence.

a. Seed Money Loans

The evidence supports the fact that contributions totaling \$45,900 were put into the campaign in 1972 by Representative Rose and his father. The campaign filings with the Clerk and with the Secretary of State of North Carolina clearly indicate these transactions occurred. (Exhibit 1 of Appendix D.) These documents do not, however, justify the conclusion that the entire amount was *loaned* to the campaign and repayment was expected.

Examining first the North Carolina filings, Representative Rose correctly asserted that the North Carolina Corrupt Practices Act filing procedure did not require that a distinction be made between contributions intended as gifts/donations to the campaign and those intended as loans. Both categories of receipts were reported as contributions. The reports indicate Representative Rose contributed \$9,500 and Mr. Rose, Jr. contributed \$16,400. The \$20,000 loan from First Citizens Bank was not reported on these forms. Thus, the face of these documents did not conclusively establish that \$45,900 in seed money contributions were *loaned* to the campaign.

The next set of reports examined on this issue was the campaign reports filed in 1972 with the Office of the Clerk. (See Exhibits 3

and 4 of Appendix D.) The Federal Election Campaign Act became effective April 7, 1972. As of that date, all congressional candidates were required to file campaign reports with the Clerk, which included information on receipts and expenditures up to and including April 7. These reports provided a separate schedule for the reporting of loans. Thus, unlike the North Carolina filings, there should have been no ambiguity about which contributions were intended as loans and which were intended as gifts/donations.

The separate loan schedule included in Representative Rose's filing with the Clerk did not indicate loans of \$45,900 to the campaign. Only two loans were disclosed—one on May 23, 1972, for \$20,000 from First Citizens Bank, and one for \$5,150 from Mr. Rose, Jr. on May 5, 1972.

Respondent's counsel offered, in submissions to the Committee, that the instructions for reporting to the Clerk did not require the reporting of loans which were not evidenced in writing. Counsel argued that, since no written loan agreements were executed contemporaneously between the campaign and Representative Rose, nor were any executed between the campaign and the Congressman's father, no obligation existed to report any of these loans on the separate schedule.

The instructions on the face of the report read:

Every debt incurred, or a contract, agreement, or promise to make a contribution or expenditure entered into on or after April 7, 1972, *which is in writing and exceeds the amount of \$100, shall be reported in separate schedules on the reporting forms prescribed by the Clerk. . . .* (Emphasis supplied.)

The respondent urged that the emphasized language supported his position of not having included the entire \$45,900 on the separate schedule. None of the seed money loans to the campaign from the respondent and his father were in writing. The oral nature of the loans made them exempt from the reporting requirement under the respondent's theory.

The Committee did not take a position on the proper interpretation of instructions. FECA law and the instructions for completing the reports promulgated by the Clerk's office are not within the jurisdiction of this Committee. Instead, the Committee chose to look at the surrounding circumstances in determining what the face of the reports, as filed, meant. The Committee noted that, notwithstanding the arguments put forth by respondent's counsel, the campaign did report at least two of the seed money loans on the separate schedule. The fact that these loans also were not evidenced in writing strongly suggested that the filer was not under the impression that only loans in writing had to be reported on the loan schedule. Rather, it suggested these two contributions were the only ones considered as loans at that time.

Further, respondent's counsel argued that the beginning cash-on-hand balance of \$14,428.12 shown on the 1972 Clerk filing included the April 7, 1972, seed money loan/contribution of \$8,750. However, all loans made *on or after April 7, 1972*, were required to be reported separately, not as part of the start up cash-on-hand balance. Representative Rose's North Carolina campaign filing clearly indi-

cates August 7, 1972, as the date of the \$81,000 contribution. Thus, according to the instructions, the contributions should not have been reported as part of the cash on hand. The contribution should have been itemized separately, either as a regular contribution or as a loan. Again, the evidence, on its face, does not support the conclusion that this contribution was a loan.

Representative Rose did put forth a promissory note in the amount of \$50,000 as evidence of the loan obligation to him. (Exhibit 1 of Appendix C.) The note was executed on behalf of the campaign by Assistant Campaign Treasurer Buck and made payable to Charles G. Rose, III. The respondent alleged that the note represents the campaign's indebtedness to him resulting from the 1972 seed money loans and the agreement with his father. The note recites an interest rate of zero and is due on April 20, 1988. The note was not executed contemporaneously with the loans made to the campaign in 1972. The date of the note was April 21, 1987.

A note executed fifteen years after the transactions giving rise to the indebtedness was not sufficient as conclusive evidence of the nature of the original transactions. The signatory, Mr. Buck, testified during his deposition (Exhibit 11 of Appendix D.) that he was not an officer of the campaign in 1972 when the transactions took place, and that he had no independent, personal knowledge of whether or not the contributions were intended to be loans at the time they were made. Mr. Buck stated he relied on three things in executing the promissory note in 1987 (as well as amending the FECA reports to reflect repayments to the Congressman and loans to the campaign): (1) a conversation with Mr. I.B. Julian, a former official of the First Citizens Bank; (2) a bank ledger card evidencing a \$50,000 loan from the bank to Mr. Rose, Jr. in November 1973; and (3) North Carolina Corrupt Practices Act filings with the Secretary of State.

The Committee was not satisfied that these factors were conclusive evidence that the contributions were loans. The statement of Mr. Julian, a former official of First Citizens Bank, said that he recalled Mr. Rose, Jr. coming to the bank in November 1973 to apply for a \$50,000 loan. (See Appendix J.) He recalled that Mr. Rose, Jr. said that the purpose of the loan was for his son's campaign debts.

The bank was not able to produce any loan records which showed the purpose of the loan. Due to the passage of time, these records are no longer available. The Committee does not question the best intentions of Mr. Julian's statement. However, the numerous business transactions with the bank that Mr. Rose, Jr. had over the last two decades required stronger evidence than recollection to establish that the purpose of this particular loan in November 1973 was related to campaign debts of Charles G. Rose, III.

The ledger card relied on by Mr. Buck in creating the promissory note also was insufficient. (See Exhibit 5 of Appendix D.) A bank ledger card did reveal that Mr. Rose, Jr. received a \$50,000 loan from First Citizens Bank in November 1973. The ledger card does not prove, however, that the loan was related to the campaign debts of the respondent. As explained, Mr. Rose, Jr. had numerous transactions with First Citizens Bank.

The final evidence relied on by Assistant Campaign Treasurer Buck was the North Carolina campaign reports listing contribu-

tions from the respondent and his father. As explained above, however, these reports merely raise the possibility that the contributions may have been loans. The Committee recognizes that the reports leave open the possibility that the contributions were donations. However, they do not resolve the issue.

Although Assistant Campaign Treasurer Buck felt there was sufficient evidence to support the execution of a \$50,000 promissory note, fifteen years after the fact, the Committee viewed the available evidence as too sparse to substantiate using the document to verify the existence of prior loans. Thus, the promissory note was not persuasive evidence on the issue of whether the respondent was responsible for \$50,000 in campaign loans in 1972. The Committee is firmly convinced that the respondent is not entitled to collect on the note.

b. The Benchmark or Consolidation Transaction

The respondent explained the purpose of the November 1973 \$50,000 loan from First Citizens Bank to his father was to make a benchmark in one place of the money owed to him as a result of his seed money contributions. Recall that the \$9,500 listed from the respondent was also said to come from Mr. Rose, Jr., so that the campaign's indebtedness to him, with interest, was \$50,000. The money was also alleged to have been borrowed to consolidate and retire the campaign's debt from 1972. Examination of campaign records, including FECA reports and bank records revealed that, in fact, no true consolidation occurred. The \$50,000 was not deposited into the campaign account and paid out to creditors, nor was it used to retire the \$20,000 note at First Citizens Bank.¹ The congressman testified that his father simply kept the money as repayment. Mr. Rose, Jr. testified in deposition (Exhibit 7 of Appendix D.) that he recalled giving the money to the campaign. The confusing and contradictory testimony on this point did not aid in resolving the issue of whether the seed money was intended as loans. The Committee concluded that the evidence established that Mr. Rose, Jr. did receive a \$50,000 loan in November 1973. But, the purpose of the loan and ultimate use of the money was unclear.

c. Payment to Charles G. Rose, Jr.

In response to questions, Representative Rose explained that he repaid his father the \$50,000 seed money obligation. The Committee was interested in this as a key to the respondent's theory of entitlement to campaign funds.

The respondent argued that he repaid his father the \$50,000 with the proceeds of a loan from NCNB in January 1975. As evidence of the transaction, respondent produced a copy of the nonnegotiable portion of a NCNB bank draft made payable to him. (See Exhibit 9 of Appendix D.) The Committee was unable to obtain any other evidence of the transaction. Bank records for this time period are no longer available. Neither the respondent nor his father recalls whether the payment was made by endorsing the bank draft over to the elder Rose, by depositing it into the respondent's account

¹ Although the \$20,000 note was eventually retired by Charles G. Rose, Jr., that did not occur until May 17, 1976.

and writing a check, or otherwise. As in the case of the November 1973 loan to Mr. Rose, Jr., the Committee again concluded that the evidence supported the fact that a loan of \$50,000 was made. However, it is unclear what the purpose of the loan was and whether it related to any campaign transactions.

The Committee asked the certified public accounting firm of Laventhol & Horwath to use all available bank records, and other documentation submitted by the respondent, to determine how the proceeds of the \$50,000 may have been used. The firm's final report traces the transactions of the respondent through several years, and concludes that there is strong evidence to support that the January 1975 \$50,000 loan from NCNB was used to satisfy a December 1974 obligation of \$50,000 to People's Bank. The transactions leading up to this were illustrated in a flow chart included in the firm's report. A complete analysis required the firm of Laventhol & Horwath to examine numerous personal transactions of the respondent not directly related to the issues before this Committee in preparing its report. For this reason, only excerpts from the final report are included. The report stated:

It is our position, based on the documentation made available to us, and after reviewing all relevant aspects of these transactions, that Rep. Rose then obtained the subject \$50,000 loan from NCNB in January 1975 to satisfy the People's loan. . . . We are unable to reconcile this [Representative Rose's] assertion with contemporaneous documentation, facts and circumstances surrounding these events. . . .

Absent further documentation from the respondent, the Committee finds the position of Laventhol & Horwath persuasive.

However, in addition to this payment, Representative Rose explained that he transferred two parcels of Alaska land to his father in May 1978 and April 1980 in satisfaction of the debt. The land was purchased with the proceeds of a \$100,000 loan from NCNB by Charles Rose, III and guaranteed by Mr. Rose, Jr. in December 1975. Fifty thousand dollars of that loan were used to retire the \$50,000 January 1975 NCNB note. The remaining fifty thousand dollars were used to purchase the Alaska property.

After unsuccessfully attempting to sell the Alaska property, Representative Rose conveyed it to his father. The evidence obtained by the Committee indicated that the respondent had invested approximately \$91,535 of his personal funds into the land at the time of the first conveyance. The congressman's father took over the notes on the property at some time after the conveyance. Later, Mr. Rose, Jr. sold the property at a substantial profit. Both father and son acknowledged that the property transfer satisfied all debts between them, including debts not related to the campaign. However, neither could put a dollar figure on how much the respondent owed.

Thus, the Committee concluded that it is impossible to determine if the property transfer was adequate to repay all previous debts between father and son, as well as the \$50,000 campaign obligation. Further, the Committee's position that the evidence failed to substantiate that \$45,900 was actually loaned to the campaign in 1972,

necessarily means that any repayment by the respondent to his father would not legitimize the withdrawals the congressman made from his campaign.

d. Use of Campaign Funds for Personal Purposes

The respondent began withdrawing funds from his campaign in November 1978 and continued with seven other withdrawals through 1985. House Rule XLIII, clause 6, requires that all campaign expenditures must be for bona fide campaign purposes. Representative Rose has not asserted that he used the money for campaign purposes because he relies on the fact that he was entitled to the funds as repayments of prior loans. Consequently, however, if he were not entitled to the withdrawals, then the money would have to have been used for campaign purposes in order to avoid a violation.

The Committee's investigation revealed that at least two of the withdrawals were used for personal purposes. In one instance, the respondent used funds borrowed from his campaign to purchase property in New Hanover County, North Carolina, and, in another instance, an automobile was purchased. On September 15, 1983, Representative Rose's joint account with his wife was credited with \$18,000 according to a Statement of Account from Wright Patman Congressional Federal Credit Union for that time period. Records from Southern National Bank in Fayetteville indicate that on September 20, 1983, the respondent's campaign account was debited for \$18,000. On September 23, 1983, a check for \$15,000 cleared the respondent's account completing the transaction. (Exhibit 18 of Appendix D.)

A copy of the check indicated that it was written on July 27, 1983, to Gleason Allen, the trustee of the property, as a downpayment. The back of the check revealed that it apparently was held until September 21 when it was deposited into the realty company's account. Thus, the sequence of events was as follows: Representative Rose wrote a check for the property in July. In mid-September, the campaign loaned the Congressman \$18,000. He deposited the money into his Credit Union account. The check which had been held since July was deposited into the realty company's account. The Committee is satisfied the money from the campaign was used to purchase the property.

Similarly, the Committee has traced the source of the funds for the purchase of an automobile to the respondent's campaign account. The campaign check to Representative Rose for \$9,600 is dated August 19, 1985. (Exhibit 19 of Appendix D.) The notation on the bottom left corner of the check says "loan". The check is endorsed by the Congressman's wife and deposited into the Credit Union account. On August 21, 1985, the Congressman wrote a check on the Credit Union account for \$9,600 to Michael Gavlak for a 1984 Jeep Station Wagon. (Exhibit 20 of Appendix D.)

These two transactions evidence personal use of campaign funds in violation of the rule.

e. Deposits into the Campaign

Six deposits went from Representative Rose into the campaign account. Four of these deposits corresponded exactly to amounts

withdrawn from the campaign within a relatively short period of time. The final deposit of \$11,895 made in September 1987 was the total of the three withdrawals made in 1978, 1982, and 1983, which had not been matched with identical deposits within a short period of time.

FECA reports filed from 1978 to 1985 characterized these deposits from the Congressman as repayments of loan. (Exhibit 2 of Appendix D.) The respondent explained that FECA reports filed from 1978 to 1985 were in error. On January 6, 1987, all of these FECA statements were amended, so that they currently reflect that the disbursements to the respondent from the campaign from 1978 to 1986 were repayments to him of loans and the deposits from the Congressman to the campaign were reloans to the campaign.

f. FECA Amendments

The Committee holds that the FECA amendments filed in 1987 are not supported by the evidence. Alton Buck prepared and signed the original filings which characterized transactions between the campaign and the respondent as loans and repayments of loans. The communications from his office suggest he believed this was the correct characterization at the time he prepared the reports. In an affidavit submitted to the Committee, however, he stated he was unaware of how to obtain advice from the Federal Election Commission in preparing the reports and, therefore, mistakenly characterized the transactions. Later, in 1986, when confronted with what he believed correct information, he amended his reports.

One communication between Mr. Buck and the Clerk of the House dated May 18, 1982, read:

In response to your letter of May 13, 1982 to Mr. Rand concerning the April 15 report of receipts and disbursements, and more particularly, items that should be included on Line 13a of the report, your letter indicates that you are under the impression that the committee has borrowed money during this reporting period. This is not the case. The line-by-line instructions for FEC Form 3 directs that loans made to the committee during the reporting period are to be reported on this line. There were no loans made to the committee during this period.

The candidate did receive a loan from the committee during this period and this has been reported in the disbursement section, i.e., Line 17 "Operating Expenditures". We were instructed by FEC personnel to report this loan expenditure on Line 17. (Exhibit 12 of Appendix D; emphasis supplied.)

A second letter, in June of 1984, also confirmed that the disbursements were loans to the Congressman:

Although all of the information relevant to *Mr. Rose's loan* was disclosed in our Pre-primary report, we failed to list the information again on supporting Schedule C. Page 2 of 2, Schedule C has been amended and is enclosed for your records. (Exhibit 13 of Appendix D; emphasis supplied.)

Finally, a letter signed by an employee of Alton Buck on January 21, 1986, read:

Enclosed are amended pages to the July 31, 1985 Mid-Year Report. After a telephone conversation today with Mr. Stuart Herscheld, Reports Analyst, we were informed that *loans repaid by the Congressman* should be reported on Line 14—"Offset to Operating Expenditures" rather than Line 15—"Other Receipts".

We have included all amended pages to the report applicable to this amendment for your records. (Exhibit 14 of Appendix D; emphasis supplied.)

The Committee took into consideration the FECA reports as originally filed, the FECA reports as amended, the close proximity in time of the withdrawals and deposits, checks written to the campaign, letters from the office of Alton Buck to the Clerk of the House, and all evidence relating to the seed money contributions. These factors cause the Committee to conclude that the transactions between Representative Rose and his campaign were loans from and repayments to the campaign, notwithstanding the amendments.

The Committee takes note of the fact that the respondent repaid in full all monies borrowed from his campaign. There is no outstanding indebtedness to the campaign at this time. Nevertheless, the Committee iterates its position that Representative Rose *is not entitled to repayment of \$50,000 from his campaign.*

B. COUNT TWO—REPRESENTATIVE ROSE USED A CAMPAIGN CERTIFICATE OF DEPOSIT AS COLLATERAL ON A PERSONAL LOAN

Count two alleged that on or about March 26, 1985, Representative Rose violated House Rule XLIII, clause 6, in that he converted campaign funds to personal use. The Statement of Alleged Violations charged that Representative Rose used a campaign certificate of deposit as collateral on a personal loan. Specifically, the evidence showed that Representative Rose had an existing loan of \$56,277.77 at Southern National Bank (SNB) in Fayetteville, North Carolina. The respondent's campaign committee also did its banking at this financial institution. The campaign had a \$75,000 certificate of deposit with the bank which was used to secure the \$56,277.77 loan. The purpose of the collateral was to obtain a lower rate of interest.

1. The Nature of the Loan—Personal or Campaign

The first issue was whether the loan was actually a personal one for Representative Rose or whether the loan actually was a campaign loan. Obviously, if the loan were for campaign purposes, there was no impropriety in pledging the campaign's certificate of deposit as collateral. A violation could only lie if the loan were personal.

During the investigation, respondent's counsel raised the point that the loan may have been a campaign loan. A March 26, 1985, credit memo in the bank's loan file for the respondent, lists the certificate as collateral, and states that the purpose of the loan was to "regroup campaign expenses and secure." (See Exhibit 21 of Ap-

pendix D.) A review of all available bank records and FECA reports led to the conclusion that, indeed, the loan was personal.

The Committee asked the private accounting firm of Laventhol & Horwath to assist in this aspect of the investigation. In its final report to the Committee, the conclusion of the firm, after tracing the financial transactions giving rise to the \$56,277.77 loan, was that the loan to Representative Rose was "obtained to satisfy precedent personal liabilities of Representative Rose and resulted in a commingling of personal and campaign obligations." Recall that the collateral was pledged on an existing loan of \$56,277.77 from SNB. This loan represented a consolidation and/or refinancing of two prior outstanding personal loans—a June 1982 loan for \$40,000 and a December 1983 loan for \$16,000. The report of Laventhol & Horwath concluded:

Based on a loan analysis provided by Representative Rose and confirmed to the fullest extent possible through the documentation made available to us, we constructed the loan flow analysis . . . detailing the relationship of . . . precedent loans to the March 1985 borrowing. In view of this summary, it is clear from the relevant loan documentation that at least [some] of the . . . precedent loans were for personal use. Assuming that if a given loan was for personal use, any subsequent loan used to satisfy that debt would carry that personal use "taint", it is clear that each path to the aforementioned \$40,000 loan from SNB in June 1982 passed through a personal use juncture.

The report to the Committee included a loan flow analysis illustrating this point.

Under House Rule XLIII, clause 6, commingling of personal and campaign money is also prohibited. Although some of the money may have been borrowed to repay the campaign for prior withdrawals, this did not constitute a true campaign obligation. Since the original borrowing from the campaign was for personal purpose, notwithstanding the source, the repayment loan was also a personal obligation. In addition, the campaign's FECA reports did not reflect a \$56,277.77 liability to the bank. This should have been the case if the loan was a campaign obligation.

The Committee accepts the finding of Laventhol & Horwath that the loan was a personal loan to the respondent and not a campaign loan, in that it resulted from commingling of funds.

2. Evidence of a Violation of House Rule XLIII, Clause 6

After determining that the loan in question was a personal loan, the Committee turned to the issue of whether a violation of House Rule XLIII, clause 6, occurred by converting campaign funds to personal use.

The evidence presented included a document entitled "Assignment of Southern National Bank Savings Accounts/Savings Instruments" signed by the respondent. The assignment read:

The *undersigned* warrant(s) and represent(s) that the above described savings account(s) instrument(s) is (are) owned solely by *undersigned* and is (are) free and clear of

all liens and encumbrances and *the undersigned has (have) full power, right and authority to execute and deliver this assignment.* (See Exhibit 21 of Appendix D; emphasis supplied.)

The document, dated March 25, 1985, recites the identification number of the collateral instrument used to secure a \$56,277.77 loan to Representative Rose, and the amount of the security is listed as \$75,000.

The March 26, 1985, credit memo notes the respondent's existing \$56,277.77 loan is secured by a \$75,000 "SNB certificate." The identification number shown on the face of the certificate matches the number listed on the assignment instrument. The name listed on the certificate is "Committee for Congressman Charlie Rose."

Respondent acknowledged that he signed what purported to be an assignment for use of a certificate of deposit as collateral on a loan. He also acknowledged that the certificate of deposit was property of the campaign. His defense centered around the legal argument that, although he had endorsed the assignment for use of the campaign's certificate of deposit as collateral, the assignment was legally ineffective because he did not have the authority to sign on behalf of the campaign. The bank's signature card for the campaign's certificate listed Alton G. Buck as the authorized signatory for the account. Consequently, respondent argued the assignment was invalid and no actual converting to personal use in violation of House rules could have occurred.

Southern National Bank submitted to the Committee a letter dated October 29, 1987, which included an opinion from the bank's counsel. (Exhibit N of Appendix C.) Counsel's opinion, after reviewing the signature card and the assignment, was that the assignment endorsed by Representative Rose was invalid.²

Regarding the assertion that the assignment was invalid, the Committee notes that a letter was sent from Assistant Campaign Treasurer and Campaign Accountant Buck to Southern National Bank on March 22, 1985, 4 days *prior* to the date of the assignment. (See Exhibit 21 of Appendix D.) The letter appeared to have been written in response to a previous bank inquiry regarding propriety of the respondent's use of the campaign's certificate of deposit. Mr. Buck responded:

In regard to the use of the Committee for Congressman Charlie Rose's Certificate of Deposit with Southern National Bank as collateral for his loan, this would be permissible [sic]. Since Congressman Rose was elected to Congress prior to 1980, he may use any campaign funds he has raised in any manner in which he sees fit. He, of course, would have to pay income tax if he makes personal use of the funds other than to carry out the objectives of the election committee.

I hope this answers your question—if not, please do not hesitate to call.

² A second letter from the bank's counsel to the Committee dated December 12, 1987, states that a March 22, 1985, Buck letter was also considered in their legal opinion.

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The letter indicated that the individual, who did have authority to sign for use of the certificate of deposit, was aware of the respondent's intended use of the campaign's savings instrument and had no objection to it.

In the Committee's view, by endorsing the assignment, the respondent showed an intent to obtain personal benefit from the use of the campaign's certificate. In addition, the respondent stated under oath that he did, in fact, receive a lower interest rate on the loan as a result of pledging the certificate of deposit. (See Appendix L, at p. 27; see, also, Appendix M, at p. 102.) Thus, not only did the respondent have an intent to obtain a personal benefit, he actually received such a benefit from the use of the campaign's money.

In response to the argument that the assignment was invalid, the Committee notes that this fact would be irrelevant, unless the loan was in default and the bank decided to seize the collateral in satisfaction of the loan. The bank's attempt to seize the collateral would fail in a court of law should the campaign contest the action. This does not change the fact that the certificate was encumbered while the loan was outstanding.

House Rule XLIII, clause 2, states:

A Member, officer, or employee of the House of Representatives shall adhere to the spirit and the letter of the Rules of the House of Representatives and to the rules of duly constituted committees thereof.

In its Advisory Opinion No. 4 dated April 6, 1977, the Select Committee on Ethics of the 95th Congress cited this provision to show that a narrow technical reading of a House rule should not overcome its "spirit" and the intent of the House in adopting the particular rule. Although the original purpose of the rule, as described in the report of the Select Committee on Standards of Official Conduct for the 90th Congress, was to deal with questions of decorum and legislative practice, this application has been expanded to include other provisions of the Code of Official Conduct (House Rule XLIII) and House rules. Thus, as evidenced by his endorsement of the assignment, the mere attempt by Representative Rose to use the certificate as collateral was improper and tantamount to a violation, even though he may have failed to meet the legal requirements to accomplish this task.

Finally, the bank accepted the assignment as valid at the time the transaction occurred. No additional or alternative collateral was ever requested by the bank. The bank's counsel did not render an opinion rejecting the validity of the assignment until recently reviewing the records, probably as a result of the Committee's investigation. The campaign funds, therefore, remained encumbered during a portion of the time that the loan was pending. The campaign could not have used those funds during that time.

The Committee believes the evidence, viewed in its totality, best supports a finding that a violation of House Rule XLIII, clause 6, did occur. The assignment document endorsed by the respondent clearly purports to pledge a \$75,000 certificate of deposit on what has been established as a personal loan. The certificate was the property of the campaign. The bank accepted the collateral, and the respondent received a personal benefit from the use of the

funds. The Committee finds these factors satisfy the elements of a violation. While it may not have been the respondent's intention to violate the rules of the House, it was his intention to use the campaign's funds to secure a lower interest rate for himself. The Committee charges every Member of the House with knowledge of House rules.

C. COUNT THREE—REPRESENTATIVE ROSE FAILED TO DISCLOSE ON HIS FINANCIAL DISCLOSURE STATEMENTS LIABILITIES TO HIS CAMPAIGN

Count three alleged that Representative Rose failed to report in the liabilities section of his Financial Disclosure Statements, the indebtedness incurred to his campaign resulting from the borrowings alleged in count one.

Members of the House of Representatives are required, under the Ethics in Government Act of 1978, to disclose liabilities over \$10,000. (Public Law 95-521, as amended, at section 102(a)(4).) These provisions have been adopted by the House in the form of House Rule XLIV, clause 2. The indebtedness referred to in this count was the obligation incurred by the respondent to his campaign resulting from his borrowings as alleged in count one. The Committee found count one has been proved.

An analysis of the borrowings and repayments in count one reveals that for calendar years 1982, 1983, 1984, 1985, and 1986, respondent owed his campaign in excess of \$10,000.

Date	Disbursement to Congressman	Deposit to committee	Total owed by Rose
Nov. 17, 1978	\$4,000	0	\$4,000
Feb. 25, 1982	7,000	0	11,000
July 21, 1983	895	0	11,895
Sept. 12, 1983	18,000		29,895
Dec. 15, 1983		18,000	11,895
Apr. 1, 1984	10,000		21,895
Apr. 17, 1984		10,000	11,895
Sept. 5, 1984	5,000		16,895
Sept. 28, 1984		5,000	11,895
Jan. 31, 1985	9,500		21,395
Mar. 21, 1985		9,500	11,895
Aug. 19, 1985	9,600		21,495
Dec. 31, 1985		*9,600	11,895
Sept. 26, 1986		11,895	0

*The congressman wrote a check to the campaign for \$10,100 of which \$500 was for an unrelated transaction.

A look at the Financial Disclosure Statements for the relevant years show that these obligations were not reported. (See Appendix K.) Neither the statute nor the House rule exempt from disclosure indebtedness to the campaign of the filer. In the Stipulations signed by respondent's counsel and the Committee's counsel, it was agreed that a finding against the respondent on count one would result in a finding against the respondent on this count as well.

In adopting the Stipulations as agreed to by both counsel, the Committee accepted the view that the sufficiency of the evidence to support a finding against the respondent on count one, coupled with the omission of the liability information on the respondent's Financial Disclosure Statements, support a finding against the respondent on count three.

D. COUNT FOUR—REPRESENTATIVE ROSE FAILED TO DISCLOSE ON HIS FINANCIAL DISCLOSURE STATEMENTS LIABILITIES TO FINANCIAL INSTITUTIONS

Count four alleged that Representative Rose failed to report, as liabilities on his Financial Disclosure Statements, obligations to various financial institutions. The count included subsections (a) through (g). Representative Rose responded to each count as follows:

1. *Subsection (a)*

Waccamaw Bank—March 26, 1979—\$5,000, \$10,000.
Admitted.

Respondent stated that these were two distinct loans owed to two separate branches of Waccamaw Bank in two separate cities in North Carolina. His staff was unaware these should have been reported. The omission was inadvertent and unintentional.

Action Taken: Financial Disclosure Statements appropriately amended. (See Exhibit 22 of Appendix D.)

2. *Subsection (b)*

First Citizens Bank—February 29, 1980—\$20,000.
Denied.

Respondent stated that this loan was disclosed on the 1980 Financial Disclosure Statements, but was erroneously and inadvertently typed as a liability to First Union Bank.

Action Taken: The Committee accepted this explanation and dismissed this subsection of the count.

3. *Subsection (c)*

National Bank of Washington—June 2, 1980—\$10,496.
Admitted.

The respondent explained that this was a 6-month salary advance from the Office of the Sergeant at Arms of the House of Representatives to which he believed no reporting requirement attached.

Action Taken: On December 15, 1987, Representative Rose filed with this Committee his Amended Answer of Respondent to Count Four of the Statement of Alleged Violations, wherein he admitted obtaining a 6-month salary advance from the Office of the Sergeant at Arms which was not contained in his Financial Disclosure Statements. (Appendix E.) The amended answer states that the omission was inadvertent and unintentional, in that he, nor his staff, was aware that such a salary advance was subject to disclosure.

4. *Subsection (d)*

Southern National Bank—August 1, 1980—\$20,000.
Admitted.

Action Taken: Financial Disclosure Statements appropriately amended. (See Exhibit 22 of Appendix D.)

5. *Subsection (e)*

Wright Patman Congressional Federal Credit Union—February 7, 1981—\$13,000.

Denied.

Respondent stated that, even though his counsel may have provided this information to Committee staff in a previous submission, his records show no evidence of this liability. Committee counsel, in its Reply brief to the Answer of Respondent to Statement of Alleged Violations, stated it had no other evidence of this obligation beyond the earlier submission of respondent's counsel. (See Exhibit 25 of Appendix D.)

Action Taken: Subsequently, on December 16, 1987, the Committee filed an Amendment to Statement of Alleged Violations as to count four, subsection (e), to reflect Washington National Bank—February 6, 1981—\$12,702.74. (Appendix F.) The respondent admitted this allegation.

6. *Subsection (f)*

Wachovia Bank—April 15, 1983—\$12,500.

Admitted.

Respondent states any omission was inadvertent and unintentional.

Action Taken: Financial Disclosure Statements appropriately amended. (See Exhibit 22 of Appendix D.)

7. *Subsection (g)*

Wright Patman Congressional Federal Credit Union—September 7, 1984—\$500; September 11, 1984—\$10,000.

Admitted.

Action Taken: Financial Disclosure Statements appropriately amended. (See Exhibit 22 of Appendix D.)

With respect to count four, the Committee accepted the admissions of the respondent as to subsections (a), (c), (d), (e), as amended, and subsection (f), and dismissed subsection (b). The Committee also took note of the respondent's self-initiated action to promptly amend his Financial Disclosure Statements. The Committee recognizes, however, that the amendments were not timely as described in its Memorandum of April 23, 1986, to all Members, officers, and employees of the House, and reprinted as Appendix F to the Instructions for Completing Financial Disclosure Statement. (Appendix N.) Thus, the respondent does not escape a finding of a violation. The Committee does not believe the amendments were an attempt to "paper over" a violation, since the amendments were submitted in direct response to a Statement of Alleged Violations.

Rather, the Committee views the respondent's filings, together with his Answer to the Statement of Alleged Violations, as admissions and appropriate corrective action. The two-pronged test to establish a presumption of good faith, as set out in the April 23, 1986, memorandum, applies to amendments filed prior to the issuance of a Statement of Alleged Violations. Such amendments are an attempt to avoid a charge related to disclosure. The action taken in this case, following an admission to a Statement of Alleged Violations, is viewed as a positive gesture toward correcting his Financial Disclosure Statements.

V. LEGAL ANALYSIS

A. HOUSE RULE XLIII, CLAUSE 6, AND COUNT ONE

1. A Member of the House of Representatives May Not Borrow From His Campaign

The allegations in count one stem from the respondent's withdrawals from his campaign from 1978 through 1985. The Committee found that these withdrawals constituted borrowings and thereby violated House Rule XLIII, clause 6. The Committee has dealt with the issue of Representatives borrowing from their campaign committees most recently in two reports—*Investigation of Financial Transactions of Representative James Weaver with his Campaign Organization*, House Report 99-933 (Weaver report) and *In the Matter of Representative Richard H. Stallings*, House Report 100-382 (Stallings report). The rule states:

A Member of the House of Representatives shall keep his campaign funds separate from his personal funds. He shall convert no campaign funds to personal use in excess of reimbursement for legitimate and verifiable prior campaign expenditures and he shall expend no funds from his campaign account not attributable to bona fide campaign purposes.

Borrowing from the campaign violates the rule's prohibition against expending campaign funds not attributable to bona fide campaign purposes. In the Weaver report, the Committee stated:

When a candidate borrows money from his own campaign, a presumption is raised that a candidate is receiving a personal benefit—i.e., the use of the money. This presumption can be overcome by demonstrating that, notwithstanding the appearance of personal benefit, the purpose for which the funds are borrowed is a bona fide campaign purpose—i.e., a political objective.

Representative Rose made no assertion that the withdrawals were for bona fide campaign purposes. Rather, his defense was that the withdrawals were not borrowings at all, but repayments to him of prior loans to the campaign. The Committee rejected this explanation, due to a lack of sufficient evidence to substantiate that the 1972 seed money contributions were indeed loans.

The Committee, in the Weaver report and, again, in the Stallings report, stated that "a bona fide campaign purpose is not established merely because the use of campaign money might result in a campaign benefit as incident to benefits personally realized by the recipient of such funds. . . ." The Committee feels that there is no circumstance in which a Member could borrow from his campaign and satisfy the requirement that the use of the funds would exclusively and solely benefit the campaign. Therefore, the Committee takes the firm position that a Member may not borrow funds from his campaign. The act of borrowing shall be construed as a violation of the provision of House Rule XLIII, clause 6, which requires that all campaign expenditures must be for a bona fide campaign expense.

2. A Member of the House of Representatives May Not Collect for Prior Unreported Loans to His Campaign

Representative Rose's defense rested on the proposition that he was entitled to collect from his campaign committee repayment for loans made to it in 1972. These seed money contributions were not carried forward as obligations on FECA filings. No liability to the congressman was shown.

The Committee takes the firm position that there is a presumption that a Member has borrowed from his campaign in violation of House Rule XLIII, clause 6, when funds are withdrawn under the guise of repayment of prior unreported loans to the campaign. In the case of Representative Rose, the Committee found that the alleged seed money loans in 1972 had not been carried forward as campaign obligations on FECA reports. This raised a presumption that the withdrawals were borrowings in violation of House Rule XLIII, clause 6. The fact that no loan agreements were contemporaneously executed further reinforced the established presumption.

The Committee does accept the premise that a Member may legitimately loan money to his campaign, and does not want to discourage such activity. The appropriate course of action, however, must be complied with if the Member intends to be repaid. The obligation should be properly reported on FECA reports and should continue to be carried forward as long as the obligation exists. Such action would avoid the presumption against receiving repayment. The Member should also execute a written loan document which recites all essential terms of the loan.

The intent of the Committee, in construing the withdrawals as borrowings in violation of the rule, is to prohibit Members from resurrecting a prior unreported loan to his campaign. The Committee feels strongly that the integrity of the institution is weakened when questions arise due to the withdrawal of funds from campaign accounts when no tangible evidence of the underlying obligation supports such a withdrawal.

B. HOUSE RULE XLIII, CLAUSE 6, AND COUNT TWO

Representative Rose endorsed an assignment document which purported to use a \$75,000 certificate of deposit belonging to the campaign as collateral on a personal loan. The relevant portion of the rule reads:

He shall convert no campaign funds to personal use in excess of reimbursement for legitimate and verifiable prior campaign expenditures. . . .

Pledging the certificate in this manner constituted converting to personal use in violation of the rule.

The Committee finds that Representative Rose attempted to commit an act which, if completed, would have been a clear violation of a rule of this body. Putting his signature on a document which was intended to assign campaign funds as collateral on a personal note constituted an attempt to violate the rule. The cornerstone of the defense was the document's invalidity, which resulted from the respondent's lack of authority to sign it.

The defense failed, however, when viewed in the context of House Rule XLIII, clause 2, which compels Members to adhere to the spirit of the rules. To hold otherwise would permit a Member to circumvent the rule through fraud. This Committee has long said Rule XLIII, clause 2, stands for the proposition that a Member may not do indirectly what he cannot do directly. In this instance, the attempt to use campaign funds must be recognized as a violation of the spirit of the rules, much the same way as an attempt in the criminal code has been recognized as a criminal code violation, e.g., burglary and attempted burglary.

The Committee finds the argument even more powerful here, in that the act accomplished its desired purpose through the bank's acceptance of the document and actual lowering of the respondent's interest rate. The Committee holds that such a violation of the spirit of the rule in this instance is also a violation of the rule itself.

VI. CONCLUSION

The Committee concludes that—

(A) Representative Rose borrowed from his campaign on eight separate occasions from 1978 to 1985 in violation of House Rule XLIII, clause 6, as follows:

- (1) \$4,000 on November 17, 1978
- (2) \$7,000 on February 25, 1982
- (3) \$895 on July 21, 1983
- (4) \$18,000 on September 12, 1983
- (5) \$10,000 on April 1, 1984
- (6) \$5,000 on September 5, 1984
- (7) \$9,500 on January 31, 1985
- (8) \$9,600 on August 19, 1985

(B) Representative Rose pledged a \$75,000 certificate of deposit belonging to his campaign on a personal loan at Southern National Bank in Fayetteville, North Carolina, on March 26, 1985, in violation of House Rule XLIII, clause 6.

(C) Representative Rose failed to list as liabilities to his campaign the borrowings referred to in subparagraph (A) above on his Financial Disclosure Statements for 1982, 1983, 1984, 1985, and 1986, in violation of the Ethics in Government Act of 1978 and House Rule XLIV, clause 2.

(D) Representative Rose failed to list liabilities to certain financial institutions on his Financial Disclosure Statements, in violation of the Ethics in Government Act of 1978, as follows:

Bank	Date	Amount
(1) Waccamaw Bank	Mar. 26, 1979	\$5,000.00
(2) National Bank of Washington	June 2, 1980	10,496.00
(3) Southern National Bank	Aug. 1, 1980	20,000.00
(4) National Bank of Washington	Feb. 6, 1981	12,702.74
(5) Wachovia Bank	Apr. 15, 1983	12,500.00
(6) Wright Patman Congressional Federal Credit Union	Sept. 7, 1984	500.00
	Sept. 11, 1984	10,000.00

VII. RECOMMENDATION

The Committee recommends that Representative Charles G. Rose, III, be issued a formal and public letter of reproof from this Committee. (Appendix O.) While we recognize that violations have occurred, the Committee believes that there are mitigating circumstances which prevent these violations from rising to the level of a recommendation of sanction to the full House of Representatives.

The letter serves as a public rebuke for the violations, while condoning the positive action taken by Representative Rose which served as mitigation. The Committee adopts and incorporates the letter as part of this report.

This report was adopted on March 23, 1988, by a vote of 9 yeas, 3 nays.

STATEMENT UNDER RULE XI, CLAUSE 2(1)(3)(A), OF THE RULES OF THE
HOUSE OF REPRESENTATIVES

The Committee's oversight findings and recommendation are as stated above.

ONE HUNDRETH CONGRESS
ALAN C. BROWN, CALIFORNIA, CHAIRMAN
WILLIAM H. ROBERTS, MISSOURI
ROBERT W. BYRD, WEST VIRGINIA
ALAN B. WATSON, MISSISSIPPI
JAMES H. HAYNES, KENTUCKY
CHRISTOPHER D. DODD, CONNECTICUT
DODD 122-7102

APPENDIX A

ALVIN D. SPENCER, SOUTH CAROLINA
JAMES V. BRADY, ILLINOIS
JAMES V. HANCOCK, IOWA
CHARLES ROSENTHAL, JR., CALIFORNIA
THOMAS S. PETE, WISCONSIN
LARRY E. DAVIS, OHIO
RALPH L. ABRAHAM, MISSOURI

U.S. House of Representatives
Committee on Standards of Official Conduct
Suite DC-2, U.S. Capitol
Washington, DC 20515

June 17, 1987

RESOLUTION

WHEREAS, a complaint has been properly filed with the Committee on Standards of Official Conduct alleging that Representative Charles Rose violated (1) clause 6 of Rule XLIII of the House of Representatives by failing to keep campaign funds separate from personal funds, converting campaign funds to personal use, and expending campaign funds not attributable to bona fide campaign purposes in eight transactions in 1978, 1982, 1983, 1984 and 1985; (2) the requirements of Section 102(a)(4) of the Ethics in Government Act (EIGA) in 1982, 1983, 1984 and 1985 by failing to report obligations to his campaign committee and to an unrelated individual in excess of \$10,000; and (3) the requirements of Section 102(a)(2)(B) of the EIGA by failing to report the forbearance of interest on loans from his campaign committee in each of the years 1978-1985,

NOW, THEREFORE, BE IT RESOLVED that the Committee determines, pursuant to Committee Rule 10(b), that violations alleged in the complaint are within the jurisdiction of the Committee and merit further inquiry; and

BE IT FURTHER RESOLVED that this Committee conduct a Preliminary Inquiry, pursuant to Committee Rule 11(a), to determine whether such violations have occurred; and

BE IT FURTHER RESOLVED, that the Chairman and Ranking Minority Member may authorize and issue subpoenas, either for the taking of depositions or the production of records, and that all testimony taken by deposition or things produced by deposition or otherwise shall be deemed to have been taken, produced, or furnished in Executive Session; and

BE IT FURTHER RESOLVED, that Representative Rose be immediately notified of this action and informed of his rights pursuant to the Rules of this Committee.

DETAILED SUMMARY OF RECEIPTS AND EXPENDITURES
(Page 2, FEC FORM 3)

NAME OF CANDIDATE OR COMMITTEE	REPORT COVERING THE PERIOD	
Committee for Congressman Charlie Rose	FROM: October 24, 1978 TO: November 27, 1978	
RECEIPTS	Column A This Period	Column B Calendar Year-To-Date
14. Contributions from individuals (including contributions in-kind):		
(a) Itemized (use Schedule A)	\$ 850.00	
(b) Unitemized	\$ 1,020.00	
(c) Sales and collections included above List by event on memo Schedule D (S -0-)	\$ -0-	
(d) Subtotal of contributions from individuals	\$ 1,870.00	\$ 17,946.00
15. Transfers from Political Committees		
(a) Funds from affiliated/authorized committee (itemize on Schedule A regardless of amount)	\$ -0-	
(b) Funds from other committees (itemize on Schedule A regardless of amount)	\$ 6,400.00	
(c) Contributions in-kind from political committees (itemize on Schedule A regardless of amount)	\$ -0-	
(d) Subtotal of transfers in and contributions in-kind from political committees	\$ 6,400.00	\$ 79,280.00
16. Other Income		
(a) Itemized (use Schedule A)	\$ -0-	
(b) Unitemized	\$ -0-	
(c) Subtotal of other income	\$ -0-	\$ -0-
17. Loans and Loan Repayments Received		
(a) Itemized (use Schedule A)	\$ -0-	
(b) Unitemized	\$ -0-	
(c) Subtotal of loans and loan repayments received	\$ -0-	\$ -0-
18. Refunds, Rebates, Returns of Deposits		
(a) Itemized (use Schedule A)	\$ 986.00	
(b) Unitemized	\$ -0-	
(c) Subtotal of refunds, rebates, returns of deposits	\$ 986.00	\$ 986.75
19. Total Receipts	\$ 9,256.00	\$ 58,212.75
EXPENDITURES		
20. Operating Expenditures		
(a) Itemized (use Schedule B)	\$ 12,566.51	
(b) Unitemized	\$ -0-	
(c) Subtotal of operating expenditures	\$ 12,566.51	\$ 39,373.46
21. Loans, Loan Repayments, and Contribution Refunds Made		
(a) Itemized (use Schedule B)	\$ 4,000.00	
(b) Unitemized	\$ -0-	
(c) Subtotal of loans and loan repayments made and contribution refunds	\$ 4,000.00	\$ 4,000.00
22. Transfers Out to Political Committees		
(a) To affiliated/authorized committee (itemize on Schedule B regardless of amount)	\$ -0-	
(b) To other committees (itemize on Schedule B regardless of amount)	\$ 3,000.00	
(c) Contributions in-kind to other committees (itemize on Schedule B regardless of amount)	\$ -0-	
(d) Subtotal of transfers out	\$ 3,000.00	\$ 5,000.00
23. Independent Expenditures (use Schedule E)	\$ -0-	\$ -0-
24. Coordinated Expenditures Made by Political Committees (2 U.S.C. 441a(d)) (itemize on Schedule F)	\$ -0-	\$ -0-
25. Total Expenditures	\$ 19,566.51	\$ 46,373.46
RECEIPTS AND EXPENDITURES, NET OF TRANSFERS TO AND FROM AFFILIATED COMMITTEES		
26. Total Receipts (from line 19)	\$ 9,256.00	
27. Transfers In (from line 15(d))	\$ -0-	
28. Net Receipts (Subtract line 27 from line 26)	\$ 9,256.00	
29. Total Expenditures (from line 25)	\$ 19,566.51	
30. Transfers Out (from line 22(d))	\$ -0-	
31. Net Expenditures (Subtract line 30 from line 29)	\$ 19,566.51	

23040291997

FEC FORM 3
 REVISED
 January, 1978
 Federal Election Commission
 1325 K Street, N.W.
 Washington, D.C. 20463

CERTIFIED
JAN 25 1979

**REPORT OF RECEIPTS AND EXPENDITURES
 FOR A CANDIDATE OR COMMITTEE
 SUPPORTING CANDIDATE(S) FOR
 NOMINATION OR ELECTION TO FEDERAL OFFICE**

(Except for Candidates or Committees Receiving Federal Matching Funds)

[Handwritten checkmark and scribbles]

Note: Committees authorized by a candidate to receive contributions and make expenditures in connection with more than one election must maintain separate records with respect to each election.

1 Committee for Congressman Charlie Rose 2 I D No 034118
 Name of Candidate or Committee (in full) Candidate/Committee
P. O. Box 1891 3 U.S. House of Representatives NC/7
 Address (number and street) Office Sought, State/District (if applicable)
Fayetteville, North Carolina 28302
 City, State and ZIP Code Check if address is different than previously reported Year of Election 1978

4 Type of Report (check appropriate boxes):

April 10 Quarterly Report Tenth day report preceding _____ election (primary, general or convention) Termination Report

July 10 Quarterly Report on _____ in the State of _____ Amendment for _____

October 10 Quarterly Report Thirtieth day report following _____ election (which report)

January 31 Annual Report Monthly Report _____ on _____ in the State of _____ (month) (date)

This is a report for Primary Election General Election Primary and General Other (special, runoff, etc.)

SUMMARY OF RECEIPTS AND EXPENDITURES
 (Figures may be rounded to nearest dollar.)

5 Covering Period	Column A This Period	Column B Calendar Year-To-Date
<u>November 28</u> Through <u>December 31, 1978</u>		
6 Cash on hand January 1, 1978		\$ 3,375.51
7 Cash on hand at beginning of reporting period	\$ 15,214.80	
8 Total receipts (from line 19)	\$ 3,221.95	\$ 61,434.70
(a) Subtotal (Add lines 7 and 8 for Column A and lines 6 and 8 for Column B)	\$ 18,436.75	\$ 64,810.21
9 Total expenditures (from line 25)	\$ 7,471.22	\$ 53,844.68
10 Cash on hand at close of reporting period (Subtract line 9 from line 8a)	\$ 10,965.53	\$ 10,965.53
11 Value of contributed items on hand to be liquidated (Attach itemized list) \$ -0-		
12 Debts and obligations owed to the Committee/Candidate (itemize all on Schedule C)	\$ 4,000.00	
13 Debts and obligations owed by the Committee/Candidate (itemize all on Schedule C)	\$ -0-	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

1/25/79 (Date) Anthony E. Rand (Typed Name of Treasurer or Candidate) [Signature] (Signature of Treasurer or Candidate)

Note: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. Section 437g, or Section 441j (see reverse side of form).

For further information, contact  Federal Election Commission, 1325 K Street, N.W., Washington, D.C. 20463 or call 800/424-9630 Approved by GAO 6-187620 (R0605) Expires 3-31-81

All previous versions of FEC FORM 3 are obsolete and should no longer be used.

Any information reported herein may not be copied for sale or use by any person for purposes of soliciting contributions or for any commercial purpose.

DETAILED SUMMARY OF RECEIPTS AND EXPENDITURES
(Page 2, FEC FORM 3)

NAME OF CANDIDATE OR COMMITTEE Committee for Congressman Charlie Rose	REPORT COVERING THE PERIOD FROM: November 28 TO: December 31, 1978	
RECEIPTS	Column A This Period	Column B Calendar Year-To-Date
14. Contributions from individuals (including contributions in-kind)		
(a) Itemized (use Schedule A)	\$ -0-	
(b) Unitemized	\$ 80.00	
(c) Sales and collections included above List tax event on memo Schedule D IS <u>-0-</u>		
(d) Subtotal of contributions from individuals	\$ 80.00	\$ 18,026.00
15. Transfers from Political Committees		
(a) Funds from affiliated/authorized committee (itemize on Schedule A regardless of amount)	\$ -0-	
(b) Funds from other committees (itemize on Schedule A regardless of amount)	\$ 3,000.00	
(c) Contributions in-kind from political committees (itemize on Schedule A regardless of amount)	\$ -0-	
(d) Subtotal of transfers in and contributions in-kind from political committees	\$ 3,000.00	\$ 42,280.00
16. Other Income		
(a) Itemized (use Schedule A)	\$ -0-	
(b) Unitemized	\$ -0-	
(c) Subtotal of other income	\$ -0-	\$ -0-
17. Loans and Loan Repayments Received		
(a) Itemized (use Schedule A)	\$ -0-	
(b) Unitemized	\$ -0-	
(c) Subtotal of loans and loan repayments received	\$ -0-	\$ -0-
18. Refunds, Rebates, Returns of Deposits		
(a) Itemized (use Schedule A)	\$ 141.95	
(b) Unitemized	\$ -0-	
(c) Subtotal of refunds, rebates, returns of deposits	\$ 141.95	\$ 1,128.70
19. Total Receipts	\$ 3,221.95	\$ 61,434.70
EXPENDITURES		
20. Operating Expenditures		
(a) Itemized (use Schedule B)	\$ 4,971.22	
(b) Unitemized	\$ -0-	
(c) Subtotal of operating expenditures	\$ 4,971.22	\$ 44,344.68
21. Loans, Loan Repayments, and Contribution Refunds Made		
(a) Itemized (use Schedule B)	\$ -0-	
(b) Unitemized	\$ -0-	
(c) Subtotal of loans and loan repayments made and contribution refunds	\$ -0-	\$ 4,000.00
22. Transfers Out to Political Committees		
(a) To affiliated/authorized committee (itemize on Schedule B regardless of amount)	\$ -0-	
(b) To other committees (itemize on Schedule B regardless of amount)	\$ 2,500.00	
(c) Contributions in-kind to other committees (itemize on Schedule B regardless of amount)	\$ -0-	
(d) Subtotal of transfers out	\$ 2,500.00	\$ 5,500.00
23. Independent Expenditures (use Schedule E)	\$ -0-	\$ -0-
24. Coordinated Expenditures Made by Political Committees (2 U.S.C. 441a(d)) (itemize on Schedule F)	\$ -0-	\$ -0-
25. Total Expenditures	\$ 7,471.22	\$ 53,844.68
RECEIPTS AND EXPENDITURES, NET OF TRANSFERS TO AND FROM AFFILIATED COMMITTEES		
26. Total Receipts (from line 19)	\$ 3,221.95	
27. Transfers In (from line 15(a))	\$ -0-	
28. Net Receipts (Subtract line 27 from line 26)	\$ 3,221.95	
29. Total Expenditures (from line 25)	\$ 7,471.22	
30. Transfers Out (from line 22(a))	\$ -0-	
31. Net Expenditures (Subtract line 30 from line 29)	\$ 7,471.22	

ITEMIZED EXPENDITURES
 (Operating, Transfers Out, Contributions in Kind,
 Loans, Loan Repayments and Refunds Made)
 Supporting Lines 20a, 21a, and 22a, 22b, and 22c
 of FEC FORM 3

(Use Separate Schedules for
 each numbered line)

Name of Candidate or Committee in Full

Committee for Congressman Charlie Rose

7 9 0 1 1 5 7 0 9 8 0

Full Name, Mailing Address and ZIP Code	Particulars of Expenditure	Date (month, day, year)	Amount of each expenditure this period
Charles G. Rose III 218 Cannon House Building Washington, D. C. 20515	Loan	1-17-78	4,000.00
	Expenditure for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other		
Full Name, Mailing Address and ZIP Code	Particulars of Expenditure	Date (month, day, year)	Amount of each expenditure this period
	Expenditure for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other		
Full Name, Mailing Address and ZIP Code	Particulars of Expenditure	Date (month, day, year)	Amount of each expenditure this period
	Expenditure for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other		
Full Name, Mailing Address and ZIP Code	Particulars of Expenditure	Date (month, day, year)	Amount of each expenditure this period
	Expenditure for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other		
Full Name, Mailing Address and ZIP Code	Particulars of Expenditure	Date (month, day, year)	Amount of each expenditure this period
	Expenditure for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other		
Full Name, Mailing Address and ZIP Code	Particulars of Expenditure	Date (month, day, year)	Amount of each expenditure this period
	Expenditure for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other		
Full Name, Mailing Address and ZIP Code	Particulars of Expenditure	Date (month, day, year)	Amount of each expenditure this period
	Expenditure for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other		
SUBTOTAL of expenditures this page (optional).....			\$ 4,000.00
TOTAL this period (on page this line number only).....			\$ 4,000.00

DEBTS AND OBLIGATIONS
 Supporting Line Numbers 12 and 13
 of FEC FORM 3

Name, Title, Date and Committee - If any				
Committee for Congressman Charlie Rose				
Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payments To Date	Outstanding Balance at Close of This Period
Charles G. Rose III 210 Cannon House Building Washington, D. C. 20516	11-17-78	\$4,000.00	\$ -0-	\$4,000.00
<input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other				
NATURE OF OBLIGATION (Date of Debt)				
Unsecured loan with interest.				
Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payments To Date	Outstanding Balance at Close of This Period
		\$	\$	\$
NATURE OF OBLIGATION (Date of Debt)				
Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payments To Date	Outstanding Balance at Close of This Period
		\$	\$	\$
NATURE OF OBLIGATION (Date of Debt)				
SUBTOTALS (this period this page total only)		\$	\$	\$
TOTAL (this period last page this line number only)		\$	\$	\$
Outstanding balance only to be reported on line 13.				

FEC Form 3
 1978
 Federal Election Commission
 1325 K Street, N.W.
 Washington, D.C. 20462

**REPORT OF RECEIPTS AND EXPENDITURES
 FOR A CANDIDATE OR COMMITTEE
 SUPPORTING CANDIDATE(S) FOR
 NOMINATION OR ELECTION TO FEDERAL OFFICE**

(Except for Candidates or Committees Receiving Federal Matching Funds)

3:22

Note: Committees authorized by a candidate to receive contributions and make expenditures in connection with more than one election must maintain separate records with respect to each election.

1 Committee for Congressman Charlie Boss 2 ID No. 054116
 Name of Candidate or Committee (in full) Candidate/Committee
P. O. Box 1891 3 U.S. House of Representatives NC/7
 Address (number and street) Office Sought, State/District (if applicable)
Fayetteville, North Carolina 28302
 City, State and ZIP Code Check if address is different than previously reported Year of Election 1978

4 Type of Report (check appropriate boxes)

April 10 Quarterly Report Tenth day report preceding _____ election (primary, general or convention) Termination Report

July 10 Quarterly Report on _____ in the State of _____ Amendment for: _____

October 10 Quarterly Report Thirtieth day report following _____ election (primary, general or convention) which report _____

January 31 Annual Report Monthly Report _____ on _____ in the State of _____

This is a report for Primary Election General Election Primary and General Other (specify, recall, etc.)

SUMMARY OF RECEIPTS AND EXPENDITURES
 (Figures may be rounded to nearest dollar.)

5 Covering Period <u>October 1</u> Through <u>December 31, 1979</u>	Column A This Period	Column B Calendar Year-To-Date
6 Cash on hand January 1, 1979		\$ 10,965.53
7 Cash on hand at beginning of reporting period	\$ 8,357.59	
8 Total receipts (from line 10)	\$ 1.13	\$ 1,086.13
9 Total expenditures (from line 25)	\$ 8,359.72	\$ 12,881.85
10 Cash on hand at close of reporting period (Subtract line 9 from line 8)	\$ 485.55	\$ 4,718.49
11 Value of contributed items on hand to be liquidated (Attach itemized list)	\$ -0-	
12 Debts and obligations owed to the Committee/Candidate (Report all on Schedule C)	\$ 4,000.00	
13 Debts and obligations owed by the Committee/Candidate (Report all on Schedule C)	\$ -0-	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

January 11, 1980
 (Date)

Anthony E. Reed
 (Typed Name of Treasurer or Candidate)

Anthony E. Reed
 (Signature of Treasurer or Candidate)

Note: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. Section 437a or Section 441 (see reverse side of form).

For further information, contact:

Federal Election Commission
 1325 K Street, N.W.
 Washington, D.C. 20462

or call 888-434-6886

Approved by GAO
 G-10-2000-000000
 Section 3-21-81

All previous versions of FEC FORM 3 are obsolete and should no longer be used.

Any information reported herein may not be copied for sale or use by any person for purposes of collecting contributions or for any commercial purpose.

Year End '79

TAILED SUMMARY OF RECEIPTS AND EXPENDITURES
(Page 2, FEC FORM 2)

NAME OF CANDIDATE OR COMMITTEE	REPORT COVERING THE PERIOD	
Committee for Congressman Charlie Rose	FROM: October 1, 1979 TO: December 31, 1979	
RECEIPTS	Column A This Period	Column B Calendar Year-To-Date
14. Contributions from individuals (including contributions in-kind)		
(a) Itemized (use Schedule A)	\$ -0-	
(b) Unitemized	\$ -0-	
(c) Sales and collections included above List by event on memo Schedule D (S _____)		
(d) Subtotal of contributions from individuals	\$ -0-	\$ 125.00
15. Transfers from Political Committees		
(a) Funds from affiliated/authorized committee (itemize on Schedule A regardless of amount)	\$ -0-	
(b) Funds from other committees (itemize on Schedule A regardless of amount)	\$ -0-	
(c) Contributions in-kind from political committees (itemize on Schedule A regardless of amount)	\$ -0-	
(d) Subtotal of transfers in and contributions in-kind from political committees	\$ -0-	\$ -0-
16. Other Income		
(a) Itemized (use Schedule A)	\$ 1.13	
(b) Unitemized	\$ -0-	
(c) Subtotal of other income	\$ 1.13	\$ 1.13
17. Loans and Loan Repayments Received		
(a) Itemized (use Schedule A)	\$ -0-	
(b) Unitemized	\$ -0-	
(c) Subtotal of loans and loan repayments received	\$ -0-	\$ -0-
18. Refunds, Rebates, Returns of Deposits		
(a) Itemized (use Schedule A)	\$ -0-	
(b) Unitemized	\$ -0-	
(c) Subtotal of refunds, rebates, returns of deposits	\$ -0-	\$ 1,500.00
19. Total Receipts	\$ 1.13	\$ 1,626.13
EXPENDITURES		
20. Operating Expenditures		
(a) Itemized (use Schedule B)	\$ 485.55	
(b) Unitemized	\$ -0-	
(c) Subtotal of operating expenditures	\$ 485.55	\$ 4,718.49
21. Loans, Loan Repayments, and Contribution Refunds Made		
(a) Itemized (use Schedule B)	\$ -0-	
(b) Unitemized	\$ -0-	
(c) Subtotal of loans and loan repayments made and contribution ref	\$ -0-	\$ -0-
22. Transfers Out to Political Committees		
(a) To affiliated/authorized committee (itemize on Schedule B regardless of amount)	\$ -0-	
(b) To other committees (itemize on Schedule B regardless of amount)	\$ -0-	
(c) Contributions in-kind to other committees (itemize on Schedule B regardless of amount)	\$ -0-	
(d) Subtotal of transfers out	\$ -0-	\$ -0-
23. Independent Expenditures (use Schedule E)	\$ -0-	\$ -0-
24. Coordinated Expenditures Made by Political Committees (2 U.S.C. 4612d (itemize on Schedule F))	\$ -0-	\$ -0-
25. Total Expenditures	\$ 485.55	\$ 4,718.49
RECEIPTS AND EXPENDITURES, NET OF TRANSFERS TO AND FROM AFFILIATED COMMITTEES		
26. Total Receipts (from line 19)	\$ 1.13	
27. Transfers In (from line 15(d))	\$ -0-	
28. Net Receipts (Subtract line 27 from line 26)	\$ 1.13	
29. Total Expenditures (from line 25)	\$ 485.55	
30. Transfers Out (from line 22(a))	\$ -0-	
31. Net Expenditures (Subtract line 30 from line 29)	\$ 485.55	

80011710775

Year End '79

SCHEDULE C
 REVISED
 January, 1978
 Federal Election Commission
 1225 K Street, N.W.
 Washington, D.C. 20463

DEBTS AND OBLIGATIONS
 Supporting Line Numbers 12 and 13
 of FEC FORM 3

Page 1 of 1 for
 Line Number 12

(Use Separate Schedules for
 each numbered line)

(Indicate Primary or General Election for each Entry)

Name of Candidate and Committee in Full				
Committee for Congressman Charlie Rose				
Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
Charles G. Rose III 2436 Rayburn Building Washington, D. C. 20515	11-17-78	\$ 4,000.00	\$ -0-	\$ 4,000.00
<input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other				
NATURE OF OBLIGATION (Details of Debt)				
Unsecured loan with interest.				
Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
		\$	\$	\$
<input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other				
NATURE OF OBLIGATION (Details of Debt)				
Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
		\$	\$	\$
<input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other				
NATURE OF OBLIGATION (Details of Debt)				
SUBTOTALS (the period this page covers)		\$ 4,000.00	\$ -0-	\$ 4,000.00
TOTAL (the period (last page this line number only)		\$ 4,000.00	\$ -0-	\$ 4,000.00
Carry outstanding balance only to appropriate line of summary				

0001171077

Year End '79

AN 21 1981
 REEFED MAIL

REPORT OF RECEIPTS AND DISBURSEMENTS
 For an Authorized Committee

(Summary Page)

Name of Committee (in Full) Committee for Congressman Charlie Rose
 1. FEC Identification Number 034118
 Address (Number and Street) P.O. Box 1891
 2. Is this Report an Amendment?
 YES NO
 City, State and ZIP Code Fayetteville, North Carolina 28302
 Check if address is different than previously reported

TYPE OF REPORT

- April 15 Quarterly Report
 July 15 Quarterly Report
 October 15 Quarterly Report
 January 31 Year End Report
 July 31 Mid Year Report (Non-election Year Only)
- Twelfth day report preceding _____ (Type of Election)
 election on _____ in the State of _____
 Thirtieth day report following the General Election
 on _____ in the State of _____
 Termination Report

This report contains activity for - Primary Election General Election Special Election Runoff Election

11 9 30 40 99 2005

SUMMARY

	Column A This Period	Column B Calendar Year-to-Date
5. Covering Period <u>11/25/80</u> Through <u>12/31/80</u>		
6. Net Contributions (other than loans):		
(a) Total Contributions (other than loans) (from Line 11e)	\$ 1,260.00	\$ 37,960.00
(b) Total Contribution Refunds (from Line 20d)	\$ -0-	\$ -0-
(c) Net Contributions (other than loans) (Subtract Line 6b from 6a)	\$ 1,260.00	\$ 37,960.00
7. Net Operating Expenditures:		
(a) Total Operating Expenditures (from Line 17)	\$ 593.42	\$ 53,327.39
(b) Total Offsets to Operating Expenditures (from Line 14)	\$ 22.73	\$ 720.96
(c) Net Operating Expenditures (Subtract Line 7b from 7a)	\$ 570.75	\$ 53,206.43
8. Cash on Hand at Close of Reporting Period (from Line 27)	\$ 36,398.29	
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C or Schedule D)	\$ 4,000.00	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C or Schedule D)	\$ -0-	

I certify that I have examined this Report and to the best of my knowledge and belief
 it is true, correct and complete.

For further information, contact:
 Federal Election Commission
 Toll Free 800-424-9630
 Local 202-633-4068

Anthony E. Rand

Type or Print Name of Treasurer

Anthony E. Rand
 SIGNATURE OF TREASURER

1/31/81
 Date

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

All previous versions of FEC FORM 3 and FEC FORM 3a are obsolete and should no longer be used.

Year End
 '80

**DETAILED SUMMARY PAGE
of Receipts and Disbursements
(Page 2, FEC FORM 3)**

Name of Committee (in Full)	Report Covering the Period	
	From 11/22/80	To 12/31/80
<u>Committee for Congressman Charlie Ross</u>	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
I. RECEIPTS		
11 CONTRIBUTIONS (other than loans) FROM:		
(a) Individuals/Persons Other Than Political Committees	760.00	25,880.00
Memo Entry Unitemized \$ <u>760.00</u>	-0-	-0-
(b) Political Party Committees	00.00	52,100.00
(c) Other Political Committees	-0-	-0-
(d) The Candidate	1,600.00	27,380.00
(e) TOTAL CONTRIBUTIONS (other than loans) (add 11a, 11b, 11c and 11d)	-0-	-0-
12 TRANSFERS FROM OTHER AUTHORIZED COMMITTEES		
13 LOANS		
(a) Made or Guaranteed by the Candidate	-0-	-0-
(b) All Other Loans	-0-	-0-
(c) TOTAL LOANS (add 13a and 13b)	02.73	20.96
14 OFFSETS TO OPERATING EXPENDITURES (Refunds, Rebates, etc.)	153.82	1,186.55
15 OTHER RECEIPTS (Dividends, Interest, etc.)	1,436.55	89,387.51
16 TOTAL RECEIPTS (Add 11a, 12, 13c, 14 and 15)	593.48	53,927.39
II. DISBURSEMENTS		
17 OPERATING EXPENDITURES	-0-	-0-
18 TRANSFERS TO OTHER AUTHORIZED COMMITTEES		
19 LOAN REPAYMENTS		
(a) Of Loans Made or Guaranteed by the Candidate	-0-	-0-
(b) Of All Other Loans	-0-	-0-
(c) TOTAL LOAN REPAYMENTS (add 19a and 19b)	-0-	-0-
20 REFUNDS OF CONTRIBUTIONS TO:		
(a) Individuals/Persons Other Than Political Committees	-0-	-0-
(b) Political Party Committees	-0-	-0-
(c) Other Political Committees	-0-	-0-
(d) TOTAL CONTRIBUTION REFUNDS (add 20a, 20b and 20c)	-0-	6,935.00
21 OTHER DISBURSEMENTS	593.48	60,862.39
22 TOTAL DISBURSEMENTS (Add 17, 18, 19c, 20d and 21)		
III. CASH SUMMARY		
23 CASH ON HAND AT BEGINNING OF THE REPORTING PERIOD		\$ 36,055.22
24 TOTAL RECEIPTS THIS PERIOD (From Line 16)		\$ 1,436.55
25 SUBTOTAL (Add Line 23 and Line 24)		\$ 37,491.77
26 TOTAL DISBURSEMENTS THIS PERIOD (From Line 22)		\$ 593.48
27 CASH ON HAND AT CLOSE OF THE REPORTING PERIOD (Subtract Line 26 from 25)		\$ 36,898.29

Year End 80

LOANS

Name of Committee or Full Committee for Congressman Charlie Rose			
A Full Name, Mailing Address and ZIP Code of Loan Source	Original Amount of Loan	Cumulative Payments To Date	Balance Outstanding at Close of This Period
Charlie Rose 2435 Rayburn Office Building Washington, D. C. 20515	\$4,000.00	\$--	\$4,000.00
Function: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (Specify) _____ Terms: Date Incurred 11-17-78 Date Due None Interest Rate 1 % <input type="checkbox"/> Secured			
List All Employers or Guarantors (Refer to Item A)			
1. Name, Mailing Address and ZIP Code	Name of Employer		
	Occupation		
	Amount Guaranteed Outstanding		
	\$		
2. Name, Mailing Address and ZIP Code	Name of Employer		
	Occupation		
	Amount Guaranteed Outstanding		
	\$		
3. Name, Mailing Address and ZIP Code	Name of Employer		
	Occupation		
	Amount Guaranteed Outstanding		
	\$		
B Full Name, Mailing Address and ZIP Code of Loan Source	Original Amount of Loan	Cumulative Payments To Date	Balance Outstanding at Close of This Period
Function: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (Specify) _____ Terms: Date Incurred _____ Date Due _____ Interest Rate _____ % <input type="checkbox"/> Secured			
List All Employers or Guarantors (Refer to Item B)			
1. Name, Mailing Address and ZIP Code	Name of Employer		
	Occupation		
	Amount Guaranteed Outstanding		
	\$		
2. Name, Mailing Address and ZIP Code	Name of Employer		
	Occupation		
	Amount Guaranteed Outstanding		
	\$		
3. Name, Mailing Address and ZIP Code	Name of Employer		
	Occupation		
	Amount Guaranteed Outstanding		
	\$		
Subtotal This Period This Page (Include)			4,000.00
Subtotal This Period Last Page of This Schedule			4,000.00

11011716

Year End '80

APR 13 1982
CERTIFIED MAIL

REPORT OF RECEIPTS AND DISBURSEMENTS
For an Authorized Committee
(Summary Page)

0.34118
RECEIVED

1 Name of Committee (in Full)
Committee for Congressman Charlie Hunt

Address (Number and Street)
P. O. Box 1891

City, State and ZIP Code
Fayetteville, North Carolina 28402

2 F.E.C. Identification Number
198/NTN 19 CM 10:45

3 Election Report on Appointment
 Primary Election
 General Election
 Special Election
 Runoff Election

Check if address is different than previously reported

4 TYPE OF REPORT

April 15 Quarterly Report
 July 15 Quarterly Report
 October 15 Quarterly Report
 January 31 Year End Report
 July 31 Mid Year Report (Non-election Year Only)

Twelfth day report preceding election on _____ in the State of _____
 Thirtieth day report following the General Election on _____ in the State of _____
 Termination Report

This report contains activity for - Primary Election General Election Special Election Runoff Election

SUMMARY		Column A This Period	Column B Calendar Year-to-Date
5	Covering Period <u>1/1/82</u> Through <u>3/31/82</u>		
6	Net Contributions (other than loans):		
	(a) Total Contributions (other than loans) (from Line 11e)	\$ 33,012.00	\$ 33,012.00
	(b) Total Contribution Refunds (from Line 20d)	\$ -0-	\$ -0-
	(c) Net Contributions (other than loans) (Subtract Line 6b from 6a)	\$ 33,012.00	\$ 33,012.00
7	Net Operating Expenditures:		
	(a) Total Operating Expenditures (from Line 17)	\$ 36,207.98	\$ 36,207.98
	(b) Total Offsets to Operating Expenditures (from: Line 14)	\$ 50.00	\$ 50.00
	(c) Net Operating Expenditures (Subtract Line 7b from 7a)	\$ 36,157.98	\$ 36,157.98
8	Cash on Hand at Close of Reporting Period (from Line 27)	\$ 42,346.18	
9	Debts and Obligations Owed TO the Committee (Itemize all on Schedule C or Schedule D)	\$ 11,000.00	
10	Debts and Obligations Owed BY the Committee (Itemize all on Schedule C or Schedule D)	\$ -0-	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

For further information, contact

Anthony E. Rand
Type or Print Name of Treasurer

Anthony E. Rand
SIGNATURE OF TREASURER

Federal Election Commission
Toll Free 800-424-9530
Local 202-623-4088

4-5-82
Date

BUCK & DePIETRO
CERTIFIED PUBLIC ACCOUNTANTS
P.O. BOX 1178
FAYETTEVILLE, N.C. 28302

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g

All previous versions of FEC FORM 1 and FEC FORM 3a are obsolete and should no longer be used.

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LOANS

Name of Committee (if Full)			
Committee for Congressman Charlie Rose			
A. Full Name, Mailing Address and ZIP Code of Loan Source	Original Amount of Loan	Cumulative Payment To Date	Balance Outstanding at Close of This Period
Charlie Rose 2435 Rayburn HOE Washington, D. C. 20515	\$7,000.00	-0-	\$7,000.00
Section <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):			
Terms: Date Incurred <u>2-25-82</u> Date Due <u>None</u> Interest Rate <u>1</u> % (apr) <input type="checkbox"/> Secured			
List All Endorsers or Guarantors (if any) to Item A			
1. Full Name, Mailing Address and ZIP Code	Name of Employer		
	Occupation		
	Amount Guaranteed Outstanding: \$		
2. Full Name, Mailing Address and ZIP Code	Name of Employer		
	Occupation		
	Amount Guaranteed Outstanding: \$		
3. Full Name, Mailing Address and ZIP Code	Name of Employer		
	Occupation		
	Amount Guaranteed Outstanding: \$		
B. Full Name, Mailing Address and ZIP Code of Loan Source			
Section <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):			
Terms: Date Incurred _____ Date Due _____ Interest Rate _____ % (apr) <input type="checkbox"/> Secured			
List All Endorsers or Guarantors (if any) to Item B			
1. Full Name, Mailing Address and ZIP Code	Name of Employer		
	Occupation		
	Amount Guaranteed Outstanding: \$		
2. Full Name, Mailing Address and ZIP Code	Name of Employer		
	Occupation		
	Amount Guaranteed Outstanding: \$		
3. Full Name, Mailing Address and ZIP Code	Name of Employer		
	Occupation		
	Amount Guaranteed Outstanding: \$		
SUBTOTALS This Period This Page (optional)			7,000.00
TOTALS This Period (last page in this line only)			11,000.00
Carry outstanding balances only to LINE 3, Schedule D, for this line. If no Schedule D, carry forward to appropriate line of Summary.			

JUN 14 1992

CERTIFIED

REPORT OF RECEIPTS AND DISBURSEMENTS
For an Authorized Committee

(Summary Page)

1. Name of Committee (in Full) Committee for Congressman Charlie Rose

2. FEC Identification Number 734118

Address (Number and Street) P.O. Box 1891

City, State and ZIP Code Fayetteville, North Carolina 28302

3. Is this Report an Amendment? YES NO

Check if address is different than previously reported.

4. TYPE OF REPORT

April 15 Quarterly Report

July 15 Quarterly Report

October 15 Quarterly Report

January 31 Year End Report

July 31 Mid Year Report (Non-election Year Only)

Twelfth day report preceding election on June 29th in the State of North Carolina

Thirtieth day report following the General Election on _____ in the State of _____

Termination Report

This report contains activity for - Primary Election General Election Special Election Runoff Election

02012173997

SUMMARY		Column A This Period	Column B Calendar Year-to-Date
5. Covering Period	<u>4/1/92</u> Through <u>6/9/92</u>		
6. Net Contributions (other than loans):			
(a) Total Contributions (other than loans) (from Line 11e)		\$ 37,069.45	\$ 70,281.45
(b) Total Contribution Refunds (from Line 20a)		\$ -0-	\$ -0-
(c) Net Contributions (other than loans) (Subtract Line 6b from 6a)		\$ 37,069.45	\$ 70,281.45
7. Net Operating Expenditures:			
(a) Total Operating Expenditures (from Line 17)		\$ 12,313.48	\$ 48,527.46
(b) Total Offsets to Operating Expenditures (from Line 14)		\$ 20.14	\$ 70.14
(c) Net Operating Expenditures (Subtract Line 7b from 7a)		\$ 12,299.34	\$ 48,457.32
8. Cash on Hand at Close of Reporting Period (from Line 27)		\$ 67,591.74	
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C or Schedule D)		\$ 11,000.00	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C or Schedule D)		\$ -0-	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

For further information, contact

Anthony E. Band
Type or Print Name of Treasurer

Anthony E. Band
Signature of Treasurer

Federal Election Commission
Toll Free 800-426-6900
Local 202-693-4000

June 11, 1992
Date

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

All previous versions of FEC FORM 3 and FEC FORM 3a are obsolete and should no longer be used.

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FEC FORM 3 (1988)

**DETAILED SUMMARY PAGE
of Receipts and Disbursements
(Page 2, FEC FORM 3)**

Name of Committee (in Full) Committee for Congressman Charlie Rose Report Covering the Period From 1/1/82 To 6/9/82

52012173798

I. RECEIPTS

	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. CONTRIBUTIONS (other than loans) FROM:		
a) Individuals/Persons Other Than Political Committees	1,144.45	75,181.45
Memo Entry Unitemized \$ <u>6,534.45</u>		
b) Political Party Committees	-0-	-0-
c) Other Political Committees	14,100.00	14,100.00
d) The Candidate	-0-	-0-
e) TOTAL CONTRIBUTIONS (other than loans) (add 11a, 11b, 11c and 11d)	15,244.45	89,281.45
12. TRANSFERS FROM OTHER AUTHORIZED COMMITTEES	-0-	-0-
13. LOANS:		
a) Made or Guaranteed by the Candidate	-0-	-0-
b) All Other Loans	-0-	5,000.00
c) TOTAL LOANS (add 13a and 13b)	-0-	5,000.00
14. OFFSETS TO OPERATING EXPENDITURES (Refunds, Rebates, etc.)	70.14	70.14
15. OTHER RECEIPTS (Dividends, Interest, etc.)	475.45	1,316.79
16. TOTAL RECEIPTS (Add 11a, 12, 13c, 14 and 15)	37,565.04	76,348.38

II. DISBURSEMENTS

17. OPERATING EXPENDITURES	12,319.48	48,527.46
18. TRANSFERS TO OTHER AUTHORIZED COMMITTEES	-0-	-0-
19. LOAN REPAYMENTS:		
a) Of Loans Made or Guaranteed by the Candidate	-0-	-0-
b) Of All Other Loans	-0-	-0-
c) TOTAL LOAN REPAYMENTS (add 19a and 19b)	-0-	-0-
20. REFUNDS OF CONTRIBUTIONS TO:		
a) Individuals/Persons Other Than Political Committees	-0-	-0-
b) Political Party Committees	-0-	-0-
c) Other Political Committees	-0-	-0-
d) TOTAL CONTRIBUTION REFUNDS (add 20a, 20b and 20c)	-0-	-0-
21. OTHER DISBURSEMENTS	-0-	-0-
22. TOTAL DISBURSEMENTS (Add 17, 18, 19c, 20d and 21)	12,319.48	48,527.46

III. CASH SUMMARY

23. CASH ON HAND AT BEGINNING OF THE REPORTING PERIOD	\$ 42,346.18
24. TOTAL RECEIPTS THIS PERIOD (From Line 16)	\$ 37,565.04
25. SUBTOTAL (Add Line 23 and Line 24)	\$ 79,911.22
26. TOTAL DISBURSEMENTS THIS PERIOD (From Line 22)	\$ 12,319.48
27. CASH ON HAND AT CLOSE OF THE REPORTING PERIOD (Subtract Line 26 from 25)	\$ 67,591.74

SCHEDULE C
(Revised 3/80)

LOANS

Page 1 of 1 for
LINE NUMBER 9
Use separate schedules
for each numbered line.

Name of Congress (in Full)			
Committee for Congressman Charlie Rose			
A. Full Name, Mailing Address and ZIP Code of Loan Source		Original Amount of Loan	Balance Outstanding at Close of This Period
Charlie Rose 2435 Rayburn HOB Washington, D. C. 20515		\$4,000.00	\$4,000.00
Election: Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):		Collective Payments To Date	
Terms: Date Incurred <u>11-17-78</u> Date Due <u>None</u>		\$-0-	
List All Endorsers or Guarantors (if any) to item A			
1. Full Name, Mailing Address and ZIP Code		Name of Employer	Secured
		Occupation	
		Amount Guaranteed Outstanding	
2. Full Name, Mailing Address and ZIP Code		Name of Employer	
		Occupation	
		Amount Guaranteed Outstanding	
3. Full Name, Mailing Address and ZIP Code		Name of Employer	
		Occupation	
		Amount Guaranteed Outstanding	
B. Full Name, Mailing Address and ZIP Code of Loan Source		Original Amount of Loan	Balance Outstanding at Close of This Period
Charlie Rose 2435 Rayburn HOB Washington, D. C. 20515		\$7,000.00	\$7,000.00
Election: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):		Collective Payments To Date	
Terms: Date Incurred <u>2-25-82</u> Date Due <u>None</u>		\$-0-	
Interest Rate <u>1</u> %			
List All Endorsers or Guarantors (if any) to item B			
1. Full Name, Mailing Address and ZIP Code		Name of Employer	Secured
		Occupation	
		Amount Guaranteed Outstanding	
2. Full Name, Mailing Address and ZIP Code		Name of Employer	
		Occupation	
		Amount Guaranteed Outstanding	
3. Full Name, Mailing Address and ZIP Code		Name of Employer	
		Occupation	
		Amount Guaranteed Outstanding	
SUBTOTALS This Period This Page (Section A)			\$11,000.00
TOTALS This Period (See page 1 of this file only)			\$11,000.00
Carry outstanding balance only to Schedule B, for this line. If no Schedule B, carry forward to appropriate line of Schedule C.			

001217401

CONFIDENTIAL

REPORTS OF RECEIPTS AND DISBURSEMENTS
For Authorized Committee

JAN 31 1984

(Summary Page)

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED

1 Name of Committee
Committee for Congressman Charlie Rose

2 FEC Identification Number
034118

3 Is this Report an Amendment?

YES NO

P. O. Box 1891

This is address is different than previously reported

Fayetteville, North Carolina 28302

RECEIVED
FEB - 3 1984
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

4 TYPE OF REPORT

- April 15 Quarterly Report
- July 15 Quarterly Report
- October 15 Quarterly Report
- January 31 Year End Report
- July 31 Mid Year Report (Non election Year Only)
- Twelfth day report preceding election on _____ in the State of _____
- Thirtieth day report following the General Election on _____ in the State of _____
- Termination Report

This report contains activity for Primary Election General Election Special Election Runoff Election

SUMMARY

5 Covering Period 7-1-83 through 12-31-83

6 Net Contributions (other than loans)

(a) Total Contributions (other than loans) (From Line 11 (a))

(b) Total Contribution Refunds (from Line 20 (d))

(c) Net Contributions (other than loans) (subtract Line 6 (b) from 6 (a))

7 Net Operating Expenditures

(a) Total Operating Expenditures (from Line 17)

(b) Total Offsets to Operating Expenditures (from Line 14)

(c) Net Operating Expenditures (subtract Line 7 (b) from 7 (a))

8 Cash on Hand at Close of Reporting Period (from Line 27)

9 Debts and Obligations Owed TO The Committee (Itemize all on Schedule C or Schedule D)

10 Debts and Obligations Owed BY The Committee (Itemize all on Schedule C or Schedule D)

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6 (a)	50,760.00	80,530.00
6 (b)	100.00	100.00
6 (c)	50,660.00	80,430.00
7 (a)	19,399.64	50,191.01
7 (b)	180.00	254.00
7 (c)	19,219.64	49,937.01
8	92,775.64	
9	11,895.00	
10	-0-	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

For further information, contact:
Federal Election Commission
Toll Free 800-424-9630
Local 202-423-4880

Herbert G. Stiles, Sr.

Type or Print Name of Treasurer

Herbert G. Stiles, Sr.
SIGNATURE OF TREASURER

1-31-84
Date

NOTE: Submission of false erroneous or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

All previous versions of FEC FORM 3 and FEC FORM 3a are obsolete and should no longer be used.

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FEC FORM 3 (1983)

93040922013

DETAILED SUMMARY PAGE
of Receipts and Disbursements
(PAGE 2, FEC FORM 3)

Name of Committee (in Full) **Committee for Congressman Charlie Rose 034118** Report Covering the Period: From: **7-1-83** To: **12-31-83**

I. RECEIPTS		COLUMN A Total This Period	COLUMN B Calendar Year-to-Date	
11	CONTRIBUTIONS (other than loans) FROM			
(a)	Individuals/Persons Other Than Political Committees (Memo Entry Unitemized \$ <u>8,240.00</u>)	32,940.00	43,160.00	11 (a)
(b)	Political Party Committees	5,000.00	5,000.00	11 (b)
(c)	Other Political Committees	12,820.00	32,370.00	11 (c)
(d)	The Candidate	-0-	-0-	11 (d)
(e)	TOTAL CONTRIBUTIONS (other than loans (add 11(a), 11(b), 11(c), and 11(d)))	50,760.00	80,530.00	11 (e)
12	TRANSFERS FROM OTHER AUTHORIZED COMMITTEES	-0-	-0-	12
13	LOANS			
(a)	Made or Guaranteed by the Candidate	-0-	-0-	13 (a)
(b)	All Other Loans	-0-	-0-	13 (b)
(c)	TOTAL LOANS (add 13 (a) and 13 (b))	-0-	-0-	13 (c)
14	OFFSETS TO OPERATING EXPENDITURES (Refunds, Retates, etc.)	100.00	254.00	14
15	OTHER RECEIPTS (Dividends, Interest, etc.)	21,188.54	23,519.62	15
16	TOTAL RECEIPTS (add 11 (e), 12, 13 (c), 14 and 15)	72,128.54	104,303.62	16
II. DISBURSEMENTS				
17	OPERATING EXPENDITURES	18,504.64	49,296.01	17
18	TRANSFERS TO OTHER AUTHORIZED COMMITTEES	-0-	-0-	18
19	LOAN REPAYMENTS			
(a)	Of Loans Made or Guaranteed by the Candidate	-0-	-0-	19 (a)
(b)	Of All Other Loans	-0-	-0-	19 (b)
(c)	TOTAL LOAN REPAYMENTS (add 19 (a) and 19 (b))	-0-	-0-	19 (c)
20	REFUND OF CONTRIBUTIONS TO:			
(a)	Individuals/Persons Other Than Political Committees	100.00	100.00	20 (a)
(b)	Political Party Committees	-0-	-0-	20 (b)
(c)	Other Political Committees	-0-	-0-	20 (c)
(d)	TOTAL CONTRIBUTION REFUNDS (add 20 (a), 20 (b), and 20 (c))	100.00	100.00	20 (d)
21	OTHER DISBURSEMENTS	19,920.00	19,920.00	21
22	TOTAL DISBURSEMENTS (add 17, 18, 19 (c), 20 (d) and 21)	38,524.64	69,316.01	22
III. CASH SUMMARY				
23	CASH ON HAND AT BEGINNING OF REPORTING PERIOD	\$ 59,171.74		23
24	TOTAL RECEIPTS THIS PERIOD (From Line 16)	\$ 72,128.54		24
25	SUBTOTAL (Add Line 23 and Line 24)	\$ 131,300.28		25
26	TOTAL DISBURSEMENTS THIS PERIOD (From Line 22)	\$ 38,524.64		26
27	CASH ON HAND AT CLOSE OF THE REPORTING PERIOD (Subtract Line 26 from 25)	\$ 92,775.64		27

93040922014

SCHEDULE A

ITEMIZED RECEIPTS

See instructions on the back of this schedule for details of the limited liability rules.

Any information copied from such Reports or Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

Name of Committee (in Full)
Committee for Congressman Charlie Rose 034118

A. Full Name, Mailing Address and ZIP Code Charles G. Rose, III 2230 Rayburn Building Washington, D. C. 20515	Name of Employer N/A	Date (month, day, year)	Amount of Cash Receipt This Period
	Occupation N/A	12-15-81	18,000.00
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify): Repayment of loan of 9-12-81			
Aggregate Year-to-Date-\$		18,000.00	

B. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Cash Receipt This Period
	Occupation		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):			
Aggregate Year-to-Date-\$			

C. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Cash Receipt This Period
	Occupation		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):			
Aggregate Year-to-Date-\$			

D. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Cash Receipt This Period
	Occupation		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):			
Aggregate Year-to-Date-\$			

E. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Cash Receipt This Period
	Occupation		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):			
Aggregate Year-to-Date-\$			

F. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Cash Receipt This Period
	Occupation		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):			
Aggregate Year-to-Date-\$			

G. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Cash Receipt This Period
	Occupation		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):			
Aggregate Year-to-Date-\$			

SUBTOTAL of Receipts This Page (optional) 18,000.00

TOTAL This Period (last page this line number only) 18,000.00

73040992015

SCHEDULE B

ITEMIZED DISBURSEMENTS

See also page 1 for
 Line Itemed 21
 This amount is included for each
 category of the Detailed
 Summary Page

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such contributors.

Name of Committee (in Full)

Committee for Congressman Charlie Rose 034118

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Cash Disbursement This Period
Charlie Rose 2230 Rayburn Building Washington, D. C. 20515	loan Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	7-21-83	895.00
B. Full Name, Mailing Address and ZIP Code Charlie Rose 2230 Rayburn Building Washington, D. C. 20515	loan Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	9-17-83	18,000.00
C. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Date (month, day, year)	Amount of Cash Disbursement This Period
D. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Date (month, day, year)	Amount of Cash Disbursement This Period
E. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Date (month, day, year)	Amount of Cash Disbursement This Period
F. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Date (month, day, year)	Amount of Cash Disbursement This Period
G. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Date (month, day, year)	Amount of Cash Disbursement This Period
H. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Date (month, day, year)	Amount of Cash Disbursement This Period
I. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Date (month, day, year)	Amount of Cash Disbursement This Period
SUBTOTAL of Disbursements This Page (optional)			18,895.00
TOTAL This Period (last page this line number only)			18,895.00

93040992016

LOANS

Page 1 of 2
LINE NUMBER 2
Use separate schedules
for each numbered line

A		Original Amount of Loan	Completed Payments To Date	Balance Outstanding at Close of This Period
1. Full Name, Mailing Address and ZIP Code of Loan Recipient Rayburn Building Washington, D. C. 20515 Election: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) _____ Terms: Date Incurred <u>11-21-78</u> Date Due <u>Demand</u> Interest Rate <u>N/A</u> <input type="checkbox"/> Secured		\$4,000.00	-0-	\$4,000.00
List All Endorsers or Guarantors (if any) to Item A				
1. Full Name, Mailing Address and ZIP Code	Name of Employer			
	Occupation			
	Amount Guaranteed Outstanding \$			
2. Full Name, Mailing Address and ZIP Code	Name of Employer			
	Occupation			
	Amount Guaranteed Outstanding \$			
3. Full Name, Mailing Address and ZIP Code	Name of Employer			
	Occupation			
	Amount Guaranteed Outstanding \$			
B		Original Amount of Loan	Completed Payments To Date	Balance Outstanding at Close of This Period
Full Name, Mailing Address and ZIP Code of Loan Source Charlie Rose 2230 Rayburn Building Washington, D. C. 20515 Election: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) _____ Terms: Date Incurred <u>2-25-82</u> Date Due <u>Demand</u> Interest Rate <u>N/A</u> <input type="checkbox"/> Secured		\$7,000.00	-0-	\$7,000.00
List All Endorsers or Guarantors (if any) to Item B				
1. Full Name, Mailing Address and ZIP Code	Name of Employer			
	Occupation			
	Amount Guaranteed Outstanding \$			
2. Full Name, Mailing Address and ZIP Code	Name of Employer			
	Occupation			
	Amount Guaranteed Outstanding \$			
3. Full Name, Mailing Address and ZIP Code	Name of Employer			
	Occupation			
	Amount Guaranteed Outstanding \$			
SUBTOTALS This Period This Page (optional)				11,000.00
TOTALS This Period (last page in this line only)				
Carry outstanding balance only to LINE 3, Schedule D, for this line. If no Schedule D, carry forward to appropriate line of Summary.				

93040992017

Name of Committee (in Full) Committee for Congressman Charlie Rose 034118			
A. Full Name, Mailing Address and ZIP Code of Loan Source ... Building Washington, D. C. 20515	Original Amount of Loan 895.00	Cumulative Payments To Date -0-	Balance Outstanding at Close of This Period 895.00
Election: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) _____ Terms: Date Incurred <u>7-21-83</u> Date Due <u>Demand</u> Interest Rate <u>N/A</u> (Mpr) <input type="checkbox"/> Secured			
List All Endorsers or Guarantors (if any) to Item A			
1. Full Name, Mailing Address and ZIP Code	Name of Employer		
	Occupation		
	Amount Guaranteed Outstanding: \$		
2. Full Name, Mailing Address and ZIP Code	Name of Employer		
	Occupation		
	Amount Guaranteed Outstanding: \$		
3. Full Name, Mailing Address and ZIP Code	Name of Employer		
	Occupation		
	Amount Guaranteed Outstanding: \$		
B. Full Name, Mailing Address and ZIP Code of Loan Source			
		Original Amount of Loan	Cumulative Payments To Date
			Balance Outstanding at Close of This Period
Election: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) _____ Terms: Date Incurred _____ Date Due _____ Interest Rate _____ (Mpr) <input type="checkbox"/> Secured			
List All Endorsers or Guarantors (if any) to Item B			
1. Full Name, Mailing Address and ZIP Code	Name of Employer		
	Occupation		
	Amount Guaranteed Outstanding: \$		
2. Full Name, Mailing Address and ZIP Code	Name of Employer		
	Occupation		
	Amount Guaranteed Outstanding: \$		
3. Full Name, Mailing Address and ZIP Code	Name of Employer		
	Occupation		
	Amount Guaranteed Outstanding: \$		
SUBTOTALS This Period This Page (optional)			895.00
TOTALS This Period (last page in this line only)			11,895.00
Carry outstanding balance only to LINE 2, Schedule D, for this line. If no Schedule D, carry forward to appropriate line of Summary.			

93040992018

APR 19 1984

Summary Report

Name of Committee (or Ballot
Committee) **Committee for Congressman Charlie Rose**
Address, Number and Street
P. O. Box 1891
City, State and Zip Code
Fayetteville, North Carolina 28302

3. FEC Form Number
034118
4. Is this Report an Amendment?
 Yes No

Check if address is different than previously reported

TYPE OF REPORT

- April 15 Quarterly Report
- July 15 Quarterly Report
- October 15 Quarterly Report
- January 31 Year End Report
- July 31 Mid Year Report (for Election Year Only)
- Twelfth day report preceding **Primary** election on **May 8, 1984** in the State of **N.C.**
- Thirtieth day report following the General Election on _____ in the State of _____
- Termination Report

This report contains activity for: Primary Election General Election Special Election Runoff Election

SUMMARY		COLUMN A	COLUMN B
		This Period	Calendar Year-to-Date
5	Reporting Period 4-1-84 through 4-18-84		
6	Net Contributions (rather than loans)		
(a)	Total Contributions (rather than loans) (From Line 11 last)	15,680.00	47,835.00
(b)	Total Contribution Refunds (From Line 20 last)	-0-	-0-
(c)	Net Contributions (rather than loans) (Subtract Line 6 (b) from 6 (a))	15,680.00	47,835.00
7	Net Operating Expenditures		
(a)	Total Operating Expenditures (From Line 17)	7,127.39	16,937.48
(b)	Total Offsets to Operating Expenditures (From Line 18)	-0-	2.51
(c)	Net Operating Expenditures (Subtract Line 7 (b) from 7 (a))	7,127.39	16,934.97
8	Cash on Hand at Close of Reporting Period (From Line 27)	125,568.20	
9	Debts and Obligations Owed TO The Committee (Itemize all on Schedule C or Schedule D)	11,895.00	
10	Debts and Obligations Owed BY The Committee (Itemize all on Schedule C or Schedule D)	2,122.60	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

For Further Information, Contact:
Federal Election Commission
Toll Free 800-426-6530
202-523-4088

Herbert G. Stiles, Sr.

Type or Print Name of Treasurer

Herbert G. Stiles, Sr.
SIGNATURE OF TREASURER

Date

NOTE: It is a crime to knowingly furnish false or incomplete information to the person signing this Report to the penalties of 2 U.S.C. 5437.

Versions of FEC FORM 3 and FEC FORM 3a are obsolete and should no longer be used.

FEC FORM 3 (1984)

84010500545

Name of Committee (or Fund)		Report Covering the Period		
		From: 4-1-84	To: 4-18-84	
Receipts		COLUMN A Total This Period	COLUMN B Calendar Year-to-Date	
I. RECEIPTS				
11	CONTRIBUTIONS FROM			
(a)	Individuals/Persons Other Than Political Committees	8,710.00	16,890.00	11 (a)
	(Amount Entered on Schedule B) \$ 1,960.00			
(b)	Political Party Committees	-0-	250.00	11 (b)
(c)	Other Political Committees	6,970.00	30,695.00	11 (c)
(d)	The Candidate	-0-	-0-	11 (d)
(e)	TOTAL CONTRIBUTIONS (other than loans (add 11(a), 11(b), 11(c) and 11(d))	15,680.00	47,835.00	11 (e)
12	TRANSFERS FROM OTHER AUTHORIZED COMMITTEES	-0-	-0-	12
13	LOANS			
(a)	Made or Guaranteed by the Candidate	-0-	-0-	13 (a)
(b)	All Other Loans	-0-	-0-	13 (b)
(c)	TOTAL LOANS (add 13 (a) and 13 (b))	-0-	-0-	13 (c)
14	OFFSETS TO OPERATING EXPENDITURES (Refunds, Rebates, etc.)	-0-	2.51	14
15	OTHER RECEIPTS (Dividends, Interest, etc.)	10,740.30	12,217.53	15
16	TOTAL RECEIPTS (add 11 (e), 12, 13 (c), 14 and 15)	25,720.30	60,055.04	16
II. DISBURSEMENTS				
17	OPERATING EXPENDITURES	7,127.39	16,937.48	17
18	TRANSFERS TO OTHER AUTHORIZED COMMITTEES	-0-	-0-	18
19	LOAN REPAYMENTS			
(a)	Of Loans Made or Guaranteed by the Candidate	-0-	-0-	19 (a)
(b)	Of All Other Loans	-0-	-0-	19 (b)
(c)	TOTAL LOAN REPAYMENTS (add 19 (a) and 19 (b))	-0-	-0-	19 (c)
20	REFUNDS OF CONTRIBUTIONS TO			
(a)	Individuals/Persons Other Than Political Committees	-0-	-0-	20 (a)
(b)	Political Party Committees	-0-	-0-	20 (b)
(c)	Other Political Committees	-0-	-0-	20 (c)
(d)	TOTAL CONTRIBUTION REFUNDS (add 20 (a), 20 (b), and 20 (c))	-0-	-0-	20 (d)
21	OTHER DISBURSEMENTS	10,000.00	10,225.33	21
22	TOTAL DISBURSEMENTS (add 17, 18, 19 (c), 20 (d) and 21)	17,127.39	27,262.49	22
III. CASH SUMMARY				
23	CASH ON HAND AT BEGINNING OF PERIOD		114,877.00	23
24	NET CHANGE IN CASH (From Line 16 and Line 22)		32,792.55	24
25	CASH ON HAND AT END OF PERIOD (Add Line 23 and Line 24)		147,669.55	25
26	TOTAL DISBURSEMENTS THIS PERIOD (From Line 22)			26

1 2030.45099521820

LOANS

Name of Candidate for Full Term: **Mem. for Congressman Charlie Rose 834118**

A Full Name, Mailing Address and ZIP Code of Loan Source	Original Amount of Loan	Cumulative Payment To Date	Balance Outstanding at Close of This Period
NAME 2230 Rayburn Building Washington, D. C. 20515	4,000.00	-0-	4,000.00
Category: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) _____ Terms: <input type="checkbox"/> Demand <input type="checkbox"/> <u>11-17-78</u> <input type="checkbox"/> <u>11-17-78</u> <input type="checkbox"/> <u>11-17-78</u> <input type="checkbox"/> <u>11-17-78</u>	N/A	None	Secured

List All Endorsers or Guarantors of any to item A

1 Full Name, Mailing Address and ZIP Code	Name of Employer	Occupation	Amount Guaranteed Outstanding \$

B Full Name, Mailing Address and ZIP Code of Loan Source	Original Amount of Loan	Cumulative Payment To Date	Balance Outstanding at Close of This Period
Charlie Rose 2230 Rayburn Building Washington, D. C. 20515	7,000.00	-0-	7,000.00
Category: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) _____ Terms: <input type="checkbox"/> Demand <input type="checkbox"/> <u>2-25-82</u> <input type="checkbox"/> <u>2-25-82</u> <input type="checkbox"/> <u>2-25-82</u> <input type="checkbox"/> <u>2-25-82</u>	N/A	None	Secured

List All Endorsers or Guarantors of any to item B

1 Full Name, Mailing Address and ZIP Code	Name of Employer	Occupation	Amount Guaranteed Outstanding \$

2021
 05555
 010105

By each endorsing bank

Name of Committee to which
Committee for Congressman Charlie Rose 04118

A. Full Name, Mailing Address and ZIP Code of Loan Source Charlie Rose 2230 Rayburn Building Washington, D. C. 20515 Election <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Original Amount of Loan 895.00	Cumulative Payments To Date -0-	Balance Outstanding at Close of This Period 895.00
--	--	---	--

Terms: 4-1-84 Date Due Demand Interest Rate 22% Simple Other

List All Endorsers or Guarantors (if any) to Item A

1. Full Name, Mailing Address and ZIP Code	Name of Employer Occupation Amount Guaranteed Outstanding \$	
2. Full Name, Mailing Address and ZIP Code	Name of Employer Occupation Amount Guaranteed Outstanding \$	
3. Full Name, Mailing Address and ZIP Code	Name of Employer Occupation Amount Guaranteed Outstanding \$	

B. Full Name, Mailing Address and ZIP Code of Loan Source Charlie Rose 2230 Rayburn Building Washington, D. C. 20515 Election <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Original Amount of Loan 10,000.00	Cumulative Payments To Date 10,000.00	Balance Outstanding at Close of This Period -0-
--	---	---	---

Terms: 4-1-84 Date Due Demand Interest Rate N/A Simple Other

List All Endorsers or Guarantors (if any) to Item B

1. Full Name, Mailing Address and ZIP Code	Name of Employer Occupation Amount Guaranteed Outstanding \$	
2. Full Name, Mailing Address and ZIP Code	Name of Employer Occupation Amount Guaranteed Outstanding \$	
3. Full Name, Mailing Address and ZIP Code	Name of Employer Occupation Amount Guaranteed Outstanding \$	

SUBTOTALS This Period (This Page Represents)
 TOTALS This Period (All Pages in this File)

34012615069

**DETAILED SUMMARY PAGE
of Receipts and Disbursements
(Page 2, FEC FORM 3)**

"funded"

Name of Committee (in Full)		Report Covering the Period		
		From	To	
Committee for Congressman Charlie Rose		7-1-84	9-30-84	
		COLUMN A	COLUMN B	
		Total This Period	Calendar Year-to-Date	
I. RECEIPTS				
11	CONTRIBUTIONS (other than loans) FROM			
	(a) Individuals/Persons Other Than Political Committees			11 (a)
	(Memo Entry Unitemized \$ <u>1,155.00</u>)	2,605.00	35,500.00	
	(b) Political Party Committees	1,000.00	5,000.00	11 (b)
	(c) Other Political Committees	29,085.00	80,443.60	11 (c)
	(d) The Candidate	- 0 -	- 0 -	11 (d)
	(e) TOTAL CONTRIBUTIONS (other than loans (add 11(a), 11(b), 11(c) and 11(d)))	43,690.00	120,943.60	11 (e)
12	TRANSFERS FROM OTHER AUTHORIZED COMMITTEES	- 0 -	- 0 -	12
13	LOANS			
	(a) Made or Guaranteed by the Candidate	- 0 -	- 0 -	13 (a)
	(b) All Other Loans	- 0 -	- 0 -	13 (b)
	(c) TOTAL LOANS (add 13 (a) and 13 (b))	- 0 -	- 0 -	13 (c)
14	OFFSETS TO OPERATING EXPENSES (Refunds, Rebates, etc.)	14.00	16.51	14
15	OTHER RECEIPTS (Dividends, Interest, etc.)	6,968.43	22,044.92	15
16	TOTAL RECEIPTS (add 11 (e), 12, 13 (c), 14 and 15)	50,672.43	143,005.03	16
II. DISBURSEMENTS				
17	OPERATING EXPENDITURES	39,093.39	73,533.01	17
18	TRANSFERS TO OTHER AUTHORIZED COMMITTEES	- 0 -	- 0 -	18
LOAN REPAYMENTS:				
	(a) Of Loans Made or Guaranteed by the Candidate	- 0 -	- 0 -	18 (a)
	(b) Of All Other Loans	- 0 -	- 0 -	18 (b)
	(c) TOTAL LOAN REPAYMENTS (add 18 (a) and 18 (b))	- 0 -	- 0 -	18 (c)
REFUNDS OF CONTRIBUTIONS TO:				
	(a) Individuals/Persons Other Than Political Committees	- 0 -	400.00	20 (a)
	(b) Political Party Committees	- 0 -	- 0 -	20 (b)
	(c) Other Political Committees	- 0 -	- 0 -	20 (c)
	(d) TOTAL CONTRIBUTION REFUNDS (add 20 (a), 20 (b), and 20 (c))	- 0 -	400.00	20 (d)
21	OTHER DISBURSEMENTS	5,000.00	15,325.00	21
22	TOTAL DISBURSEMENTS (add 17, 18, 19 (c), 20 (d) and 21)	44,093.39	89,258.01	22
III. CASH SUMMARY				
23	CASH ON HAND AT BEGINNING OF REPORTING PERIOD	\$	139,943.62	23
24	TOTAL RECEIPTS THIS PERIOD (From Line 16)	\$	50,672.43	24
25	SUBTOTAL (Add Line 23 and Line 24)	\$	190,616.05	25
26	TOTAL DISBURSEMENTS THIS PERIOD (From Line 22)	\$	44,093.39	26
27	CASH ON HAND AT CLOSE OF THE REPORTING PERIOD (Subtract Line 26 from 25)	\$	146,522.66	27

SCHEDULE A

Any information copied from such Reports or Statements may not be added or used by any person for the purpose of a subsequent campaign for commercial purposes, other than other political parties and officers of the political committee to which such funds have been contributed.

Name of Committee in Full
Committee for Congressman Charlie Rose 034118

28340409880345

A. Full Name, Mailing Address and ZIP Code	Name of Employer Occupation	Date Month, day, year	Amount of Cash Receipts This Period
Charlie Rose 2230 Rayburn HOB Washington, D. C. 20515 Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (Specify): Payment in full of 9-5-04 loan	N/A N/A	9-28-04 Aggregate Year-to-Date—\$	5,000.00
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (Specify):	Name of Employer Occupation Aggregate Year-to-Date—\$	Date Month, day, year	Amount of Cash Receipts This Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (Specify):	Name of Employer Occupation Aggregate Year-to-Date—\$	Date Month, day, year	Amount of Cash Receipts This Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (Specify):	Name of Employer Occupation Aggregate Year-to-Date—\$	Date Month, day, year	Amount of Cash Receipts This Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (Specify):	Name of Employer Occupation Aggregate Year-to-Date—\$	Date Month, day, year	Amount of Cash Receipts This Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (Specify):	Name of Employer Occupation Aggregate Year-to-Date—\$	Date Month, day, year	Amount of Cash Receipts This Period
TOTAL of Receipts This Page (optional)			5,000.00
TOTAL This Period (see page 416 line number only)			5,000.00

EXHIBIT B

Any information reported from each Report and Statement may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political organization to solicit contributions from such organization.

Name of Committee or Fund

Committee for Congressman Charlie Rose 034110

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date Month, day, year	Amount of Cash Disbursement This Period
Charlie Rose 2230 Rayburn HOB Washington, D. C. 20515	LOAN Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	9-5-04	5,000.00
B. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Date Month, day, year	Amount of Cash Disbursement This Period
C. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Date Month, day, year	Amount of Cash Disbursement This Period
D. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Date Month, day, year	Amount of Cash Disbursement This Period
E. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Date Month, day, year	Amount of Cash Disbursement This Period
F. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Date Month, day, year	Amount of Cash Disbursement This Period
G. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Date Month, day, year	Amount of Cash Disbursement This Period
H. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Date Month, day, year	Amount of Cash Disbursement This Period
I. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Date Month, day, year	Amount of Cash Disbursement This Period
TOTAL of Disbursements This Page (optional)			5,000.00
TOTAL This Period (see page 416 line number only)			5,000.00

8701430902107216

Name of Committee (in Full)
Committee for Congressman Charlie Rose (034118)

A. Full Name, Mailing Address and ZIP Code of Loan Recipient	Original Amount of Loan	Committed Payments To Date	Balance Outstanding at Close of This Period
Charlie Rose 2230 Rayburn HOB Washington, D. C. 20515	4,000.00	-0-	4,000.00

Station Primary General Other (Specify):
 Terms: Date Invoiced 11-17-70 Date Due Delayed Interest Rate N/A Report Secured

List All Endorsers or Guarantors (if any) to Item A

1. Full Name, Mailing Address and ZIP Code	Name of Employer		
	Occupation		
	Amount Guaranteed Outstanding		
2. Full Name, Mailing Address and ZIP Code	Name of Employer		
	Occupation		
	Amount Guaranteed Outstanding		
3. Full Name, Mailing Address and ZIP Code	Name of Employer		
	Occupation		
	Amount Guaranteed Outstanding		

B. Full Name, Mailing Address and ZIP Code of Loan Recipient	Original Amount of Loan	Committed Payments To Date	Balance Outstanding at Close of This Period
Charlie Rose 2230 Rayburn HOB Washington, D. C. 20515	7,000.00	-0-	7,000.00

Station Primary General Other (Specify):
 Terms: Date Invoiced 2-25-71 Date Due Delayed Interest Rate N/A Report Secured

List All Endorsers or Guarantors (if any) to Item B

1. Full Name, Mailing Address and ZIP Code	Name of Employer		
	Occupation		
	Amount Guaranteed Outstanding		
2. Full Name, Mailing Address and ZIP Code	Name of Employer		
	Occupation		
	Amount Guaranteed Outstanding		
3. Full Name, Mailing Address and ZIP Code	Name of Employer		
	Occupation		
	Amount Guaranteed Outstanding		

SUBTOTALS This Period This Page (optional) **11,000.00**

TOTALS This Period (see page 1 of this file for totals)

Every outstanding balance only to LINE 8, Schedule B, for this tax. If no Schedule B, they should be reported on the 1041.

2304099,2,0,2,7
 3401;7,1,2,0,2,7

OMB No. 1545-0047

Name of Committee or Full Name of Committee **Committee for Congressman Charlie Rose 03418**

A. Full Name, Mailing Address and ZIP Code of Loan Source	Original Amount of Loan	Cumulative Payments To Date	Balance Outstanding at Close of This Period
Charlie Rose 2230 Rayburn HOB Washington, D. C. 20515 <small>Station <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):</small>	895.00	-0-	895.00

Terms: Date Incurred 7-21-83 Date Due Demanded Interest Rate N/A Mktg Secured

Let All Endorsers or Guarantors of any 1 to Item A

1. Full Name, Mailing Address and ZIP Code	Name of Employer	Occupation	Amount Guaranteed Outstanding
2. Full Name, Mailing Address and ZIP Code	Name of Employer	Occupation	Amount Guaranteed Outstanding
3. Full Name, Mailing Address and ZIP Code	Name of Employer	Occupation	Amount Guaranteed Outstanding

B. Full Name, Mailing Address and ZIP Code of Loan Source	Original Amount of Loan	Cumulative Payments To Date	Balance Outstanding at Close of This Period
Charlie Rose 2230 Rayburn HOB Washington, D. C. 20515 <small>Station <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):</small>	5,000.00	5,000.00	-0-

Terms: Date Incurred 9-5-84 Date Due Demanded Interest Rate N/A Mktg Secured

Let All Endorsers or Guarantors of any 1 to Item B

1. Full Name, Mailing Address and ZIP Code	Name of Employer	Occupation	Amount Guaranteed Outstanding
2. Full Name, Mailing Address and ZIP Code	Name of Employer	Occupation	Amount Guaranteed Outstanding
3. Full Name, Mailing Address and ZIP Code	Name of Employer	Occupation	Amount Guaranteed Outstanding

SUBTOTALS This Period This Page (optional) **895.00**

TOTALS This Period (last page in this file only) **11,895.00**

Carry outstanding balance only to LINE 8, Schedule G, for this tax. If no Schedule G, carry forward to appropriate line of return.

23040999.20.208

DETAILED SUMMARY PAGE
 of Receipts and Disbursements
 (Page 2, FEC FORM 3)

Report Period: 1-1-85 to 6-30-85
 No: T.1111-14 1

Report Covering the Period		From 1-1-85	To 6-30-85	
		COLUMN A Total This Period	COLUMN B Calendar Year to Date	
I. RECEIPTS				
1	CONTRIBUTIONS (other than loans) FROM:			
a)	Individuals/Persons Other Than Political Committees (Memo Entry Unitemized \$ 1,025.00)	8,725.00	8,725.00	11 (a)
b)	Political Party Committees	-0-	-0-	11 (b)
c)	Other Political Committees	40,965.00	40,965.00	11 (c)
d)	The Candidate			11 (d)
e)	TOTAL CONTRIBUTIONS (other than loans (add 11 (a), 11 (b), 11 (c) and 11 (d))	49,690.00	49,690.00	11 (e)
12	TRANSFERS FROM OTHER AUTHORIZED COMMITTEES	-0-	-0-	12
13	LOANS:			
a)	Made or Guaranteed by the Candidate	-0-	-0-	13 (a)
b)	All Other Loans	-0-	-0-	13 (b)
c)	TOTAL LOANS (add 13 (a) and 13 (b))	-0-	-0-	13 (c)
14	OFFSETS TO OPERATING EXPENDITURES (Refunds, Rebates, etc.)	9,719.00	9,719.00	14
15	OTHER RECEIPTS (Dividends, Interest, etc.)	7,308.16	7,308.16	15
16	TOTAL RECEIPTS (add 11 (e), 12, 13 (c), 14 and 15)	66,717.16	66,717.16	16
II. DISBURSEMENTS				
17	OPERATING EXPENDITURES	17,156.36	17,156.36	17
18	TRANSFERS TO OTHER AUTHORIZED COMMITTEES	-0-	-0-	18
19	LOAN REPAYMENTS:			
a)	Of Loans Made or Guaranteed by the Candidate	-0-	-0-	19 (a)
b)	Of All Other Loans	-0-	-0-	19 (b)
c)	TOTAL LOAN REPAYMENTS (add 19 (a) and 19 (b))	-0-	-0-	19 (c)
20	REFUNDS OF CONTRIBUTIONS TO:			
a)	Individuals/Persons Other Than Political Committees	-0-	-0-	20 (a)
b)	Political Party Committees	-0-	-0-	20 (b)
c)	Other Political Committees	1,500.00	1,500.00	20 (c)
d)	TOTAL CONTRIBUTION REFUNDS (add 20 (a), 20 (b), and 20 (c))	1,500.00	1,500.00	20 (d)
21	OTHER DISBURSEMENTS	10,945.00	10,945.00	21
22	TOTAL DISBURSEMENTS (add 17, 18, 19 (c), 20 (d) and 21)	29,601.36	29,601.36	22
III. CASH SUMMARY				
23	CASH ON HAND AT BEGINNING OF REPORTING PERIOD	\$	156,616.55	23
24	TOTAL RECEIPTS THIS PERIOD (From Line 16)	\$	66,717.16	24
25	SUBTOTAL (Add Line 23 and Line 24)	\$	223,333.71	25
26	TOTAL DISBURSEMENTS THIS PERIOD (From Line 22)	\$	29,601.36	26
27	CASH ON HAND AT CLOSE OF THE REPORTING PER (Subtract Line 26 from 25)	\$	193,732.35	27

UNCLASSIFIED

Any information reported here with respect to the activities of any individual or organization is confidential unless otherwise indicated by the reporting source.

Committee for Congressman Charlie Ross (D-NC)

Source of Contribution	Name of Contributor	Date Recd.	Amount of Contribution
A. P. Quinn, 2230 Indiana St. N.W., Washington, DC 20037	John Quinn	1-25-65	1,200.00
B. National Legislative Education Foundation	William W. Quinn	2-13-65	400.00
C. Citizens County Class Community Council, 126 Green Street, Fayetteville, NC 28401	William W. Quinn	2-13-65	25.00
D. The Democratic Party of N. C., P. O. Box 12196, Raleigh, NC 27605	William W. Quinn	4-4-65	120.00
E. Committee for Congressman Robin Ehrlich, P. O. Box 222, Greensboro, NC 27402	William W. Quinn	4-20-65	200.00
F. N. C. Legislative Black Caucus, 539 Legislative Office Building, Raleigh, NC 27603	William W. Quinn	5-20-65	400.00
G. [Source]	William W. Quinn	[Date]	[Amount]
H. [Source]	William W. Quinn	[Date]	[Amount]
I. [Source]	William W. Quinn	[Date]	[Amount]
TOTAL of Contributions This Year Reported			19,000.00
TOTAL of Contributions This Year (Estimated)			19,000.00

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SCHEDULE C
(Revised 3/80)

LOANS

Page 1 of 2 for
Line 11, Schedule C
Also complete schedule
for each numbered line

Name of Committee (in Full)			
Committee for Congressman Charlie Rose: 014118			
A. Full Name, Mailing Address and ZIP Code of Loan Source Charlie Rose 2230 Rayburn HOB Washington, D.C. 20515	Original Amount of Loan 4,000.00	Committed Payment to Date -0-	Balance Outstanding at Close of This Period 4,000.00
Election: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):			
Terms: Date Incurred <u>11-17-78</u> Date Due <u>Demand</u> Interest Rate <u>N/A</u> <input type="checkbox"/> Secured			
List All Endorsers or Guarantors (if any) to Item A			
1. Full Name, Mailing Address and ZIP Code	Name of Employer		
	Occupation		
	Amount Guaranteed Outstanding \$		
2. Full Name, Mailing Address and ZIP Code	Name of Employer		
	Occupation		
	Amount Guaranteed Outstanding \$		
3. Full Name, Mailing Address and ZIP Code	Name of Employer		
	Occupation		
	Amount Guaranteed Outstanding \$		
B. Full Name, Mailing Address and ZIP Code of Loan Source Charlie Rose 2230 Rayburn HOB Washington, D.C. 20515	Original Amount of Loan 7,000.00	Committed Payment to Date -0-	Balance Outstanding at Close of This Period 7,000.00
Election: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):			
Terms: Date Incurred <u>2-25-82</u> Date Due <u>Demand</u> Interest Rate <u>N/A</u> <input type="checkbox"/> Secured			
List All Endorsers or Guarantors (if any) to Item B			
1. Full Name, Mailing Address and ZIP Code	Name of Employer		
	Occupation		
	Amount Guaranteed Outstanding \$		
2. Full Name, Mailing Address and ZIP Code	Name of Employer		
	Occupation		
	Amount Guaranteed Outstanding \$		
3. Full Name, Mailing Address and ZIP Code	Name of Employer		
	Occupation		
	Amount Guaranteed Outstanding \$		
SUBTOTALS This Period (This Page Section)			11,000.00
TOTALS This Period (See page in this line only)			11,000.00

Carry outstanding balance only to LINE 2, Schedule D, for this line. If no Schedule D, carry forward to appropriate line of Summary.

930409920313

LOANS

Name of Committee (in Full)			
Committee for Congressman Charlie Rose (114118)			
A. Full Name, Mailing Address and ZIP Code of Loan Source	Original Amount of Loan	Completions Payments To Date	Balance Outstanding at Close of This Period
Charlie Rose 2230 Rayburn HOE Washington, D.C. 20515	\$995.00	-0-	\$995.00
Election <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)			
Terms Date Incurred <u>7-21-83</u> Date Due <u>Demint</u> Interest Rate <u>N/A</u> <input type="checkbox"/> Secured			
List All Endorsers or Guarantors (if any) to Item A			
1. Full Name, Mailing Address and ZIP Code	Name of Employer		
	Occupation		
	Amount Guaranteed Outstanding \$		
2. Full Name, Mailing Address and ZIP Code	Name of Employer		
	Occupation		
	Amount Guaranteed Outstanding \$		
3. Full Name, Mailing Address and ZIP Code	Name of Employer		
	Occupation		
	Amount Guaranteed Outstanding \$		
B. Full Name, Mailing Address and ZIP Code of Loan Source	Original Amount of Loan	Completions Payments To Date	Balance Outstanding at Close of This Period
Election <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)			
Terms Date Incurred _____ Date Due _____ Interest Rate _____ <input type="checkbox"/> Secured			
List All Endorsers or Guarantors (if any) to Item B			
1. Full Name, Mailing Address and ZIP Code	Name of Employer		
	Occupation		
	Amount Guaranteed Outstanding \$		
2. Full Name, Mailing Address and ZIP Code	Name of Employer		
	Occupation		
	Amount Guaranteed Outstanding \$		
3. Full Name, Mailing Address and ZIP Code	Name of Employer		
	Occupation		
	Amount Guaranteed Outstanding \$		
SUBTOTALS This Period This Page (optional)			895.00
TOTALS This Period (Start page in this line only)			1,095.00
Carry outstanding balance only to LINE 2, Schedule B, for this item. If on Schedule B, carry forward to appropriate line of Form			

JAN 28 1986
 CERTIFIED

REPORTS OF RECEIPTS AND DISBURSEMENTS
 For Authorized Committee

(Summary Page)

Herbert G. Stiles, Sr.
 1111 S. ...
 Raleigh, North Carolina 27601

034118
 YES NO

TYPE OF REPORT

- April 15 Quarterly Report
- July 15 Quarterly Report
- October 15 Quarterly Report
- January 31 Year End Report
- July 31 Mid Year Report (Non election Year Only)
- Termination Report

This report contains activity for: Primary Election General Election Special Election Runoff Election

SUMMARY		COLUMN A This Period	COLUMN B Calendar Year-to-Date
5	Covering Period 7-1-85 through 12-31-85		
6	Net Contributions (other than loans)		
	(a) Total Contributions (other than loans) (from Line 11 (a))	23,775.00	73,465.00
	(b) Total Contribution Refunds (from Line 20 (a))	-0-	1,400.00
	(c) Net Contributions (other than loans) (subtract Line 6 (b) from 6 (a))	23,775.00	71,965.00
7	Net Operating Expenditures		
	(a) Total Operating Expenditures (from Line 12)	26,792.68	43,949.04
	(b) Total Offsets to Operating Expenditures (from Line 14)	9,600.00	19,319.00
	(c) Net Operating Expenditures (Subtract Line 7 (b) from 7 (a))	17,192.68	24,630.04
8	Cash on Hand at Close of Reporting Period (from Line 27)	197,217.42	
9	Debts and Obligations Owed TO The Committee (Itemize all on Schedule C or Schedule D)	11,895.00	
10	Debts and Obligations Owed BY The Committee (Itemize all on Schedule C or Schedule D)	-0-	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

For further information, contact
 Federal Election Commission
 Toll Free 800-424-9530
 Local 202-573-4088

Herbert G. Stiles, Sr.
 Title or Print Name of Treasurer

Herbert G. Stiles, Sr.
 SIGNATURE OF TREASURER

1/23/86
 Date

NOTE: Submission of false erroneous or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. 5437g

All previous versions of FEC FORM 3 and FEC FORM 3a are obsolete and should no longer be used.

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DETAILED SUMMARY PAGE
of Receipts and Disbursements
(Page 2, F.E.C. Form 3)

Committee (in Full) Member for Congressman Charlie Rose #114118

Reporting the Period

From 7-1-85

To 12-31-85

COLUMN A
Total This Period

COLUMN B
Calendar Year to Date

I. RECEIPTS

11	CONTRIBUTIONS (other than loans) FROM			
(a)	Individuals/Persons Other Than Political Committees	8,775.00	17,500.00	11 (a)
	(Memo Entry Unitemized \$ <u>5,475.00</u>)			
(b)	Political Party Committees	0-	0-	11 (b)
(c)	Other Political Committees	17,000.00	55,965.00	11 (c)
(d)	The Candidate	0-	0-	11 (d)
(e)	TOTAL CONTRIBUTIONS (other than loans (add 11(a), 11(b), 11(c), and 11(d)))	24,775.00	73,465.00	11 (e)
12	TRANSFERS FROM OTHER AUTHORIZED COMMITTEES	0-	0-	12
13	LOANS			
(a)	Made or Guaranteed by the Candidate	0-	0-	13 (a)
(b)	All Other Loans	0-	0-	13 (b)
(c)	TOTAL LOANS (add 13 (a) and 13 (b))	0-	0-	13 (c)
14	OFFSETS TO OPERATING EXPENDITURES (Refunds, Rebates, etc.)	11,600.00	19,319.00	14
15	OTHER RECEIPTS (Dividends, Interest, etc.)	7,822.17	15,130.33	15
16	TOTAL RECEIPTS (add 11 (e), 12, 13 (c), 14 and 15)	41,197.17	107,914.33	16

II. DISBURSEMENTS

17	OPERATING EXPENDITURES	26,742.68	43,949.04	17
18	TRANSFERS TO OTHER AUTHORIZED COMMITTEES	0-	0-	18
19	LOAN REPAYMENTS			
(a)	Of Loans Made or Guaranteed by the Candidate	0-	0-	19 (a)
(b)	Of All Other Loans	0-	0-	19 (b)
(c)	TOTAL LOAN REPAYMENTS (add 19 (a) and 19 (b))	0-	0-	19 (c)
20	REFUNDS OF CONTRIBUTIONS TO:			
(a)	Individuals/Persons Other Than Political Committees	0-	0-	20 (a)
(b)	Political Party Committees	0-	0-	20 (b)
(c)	Other Political Committees	0-	1,500.00	20 (c)
(d)	TOTAL CONTRIBUTION REFUNDS (add 20 (a), 20 (b), and 20 (c))	0-	1,500.00	20 (d)
21	OTHER DISBURSEMENTS	10,919.42	21,864.42	21
22	TOTAL DISBURSEMENTS (add 17, 18, 19 (c), 20 (d) and 21)	37,712.10	67,313.46	22

III. CASH SUMMARY

23	CASH ON HAND AT BEGINNING OF REPORTING PERIOD	\$	193,732.35	23
24	TOTAL RECEIPTS THIS PERIOD (From Line 16)	\$	41,197.17	24
25	SUBTOTAL (Add Line 23 and Line 24)	\$	234,929.52	25
26	TOTAL DISBURSEMENTS THIS PERIOD (From Line 22)	\$	37,712.10	26
27	CASH ON HAND AT CLOSE OF THE REPORTING PERIOD (Subtract Line 26 from 25)	\$	197,217.42	27

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SCHEDULE A

ITEMIZED RECEIPTS

Page 1 of 1 for
 Line 7145000
 This amount calculated for each
 category of the Detailed
 Summary Page

Any information copied from such Reports or Statements may not be sold or used by any person for the purpose of obtaining contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such sources.

Name of Committee (in Full)

Committee for Congressman Charlie Rose #014118

A. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Congressman Charlie Rose 2230 Rayburn HOB Washington, TX 70715	N/A	12-31-85	9,600.00
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify): Loan of 8-19-85 repaid in full	Occupation N/A	Aggregate Year-to-Date—\$ 19,100.00	
B. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	Aggregate Year-to-Date—\$	
C. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	Aggregate Year-to-Date—\$	
D. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	Aggregate Year-to-Date—\$	
E. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	Aggregate Year-to-Date—\$	
F. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	Aggregate Year-to-Date—\$	
G. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	Aggregate Year-to-Date—\$	
SUBTOTAL of Receipts This Page (sept. only)			9,600.00
TOTAL This Period (next page this line number only)			9,600.00

930409920336
940129336

SCHEDULE B

ITEMIZED DISBURSEMENTS

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

Name of Committee (in Full)
 Committee for Congressman Charlie Rose #014118

9304099323643

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Congressman Charlie Rose 2230 Rayburn HOB Washington, DC 20515	Reimb. for travel expenses trip to N.C. 10/11/85 Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify)	10-11-85	16.00
The Lafayette Society, Inc. 611 Westmont Drive Fayetteville, NC 28305	Contribution Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify)	7-11-85	100.00
Congressman Charlie Rose 2230 Rayburn HOB Washington, DC 20515	Tax Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify)	8-19-85	1,600.00
Congressional Black Caucus Foundation 1004 Penn. Ave., S.E. Washington, DC 20004	11th Annual Legislative Weekend Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify)	8-22-85	50.00
George Tatum Fundraiser c/o Bobby Murphy, Treasurer P. O. Box 53474 Fayetteville, NC 28305	Contribution Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify)	9-17-85	100.00
7th Congressional District Black Caucus, c/o Mrs. E. R. Turner 306 Lincoln Ave. Lumberton, NC 28358	Contribution Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify)	10-1-85	200.00
Southern National Bank P. O. Box 969 Fayetteville, NC 28302	Bank charge Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify)	10-3-85	8.00
Will Rehder Florist, Inc. P. O. Box 3156 Wilmington, NC 28406	Flowers for constituent Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify)	10-9-85	41.80
Young's Furniture P. O. Box 5005 High Point, NC 27262	Office Table Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify)	10-28-85	412.77
SUBTOTAL of Disbursements This Page (optional)			10,528.57
TOTAL This Period (last page this line number only)			

ITEMIZED DISBURSEMENTS

Any information on such Reports and Statements may not be used to identify any person for the purpose of the Registry of the Disbursements or for any other purpose, including the name and address of any individual, unless the person has been notified.

Name: **Committee for Congressman Charlie Rife #014118**

A - Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month day year)	Amount of Each Disbursement This Period
Martin Everett 1000 Walnut Road Fayetteville, NC 28303	Flow for the event of a banquet Disbursement for Primary General (XOther (specify))	11-4-81	11.85
New Hanover Co. Democratic Party Mrs. Louise McCall P. O. Box 3416 Wilmington, NC 28406	Banquet tickets Disbursement for Primary General (XOther (specify))	11-4-81	10.00
Falcon Children's Home Foundation P. O. Box 85 Falcon, NC 28342	Two tickets for annual banquet Disbursement for Primary General (XOther (specify))	11-5-81	10.00
Democratic Senatorial Campaign Comm. 430 S. Capitol St., S.E. Washington, DC 20003	Registration fee for conference Disbursement for Primary General (XOther (specify))	11-11-81	100.00
... Exhaustion Comm. P. O. Box 25816 Raleigh, NC 27611	Contribution Disbursement for Primary General (XOther (specify))	11-4-81	100.00
... Disbursement for Primary General (Other (specify))
... Disbursement for Primary General (Other (specify))
... Disbursement for Primary General (Other (specify))
... Disbursement for Primary General (Other (specify))
... Disbursement for Primary General (Other (specify))
GRAND TOTAL This Period (last page of this form)			411.65

25010037647

Name of Lender (or issuer)
 Committee for Congressman Charlie Rose #034218

A Full Name, Mailing Address and ZIP Code of Loan Source	Original Amount of Loan	Cumulative Payment To Date	Balance Outstanding at Close of This Period
Congressman Charlie Rose 2230 Rayburn HOB Washington, DC 20515	4,000.00	-0-	4,000.00
Election Primary General (Other specify) Terms Date Incurred 11-17-78 Date Due Demand Interest Rate N/A % (ap) <input type="checkbox"/> Secured			
List All Endorsers or Guarantors (if any) to Item A			
1 Full Name, Mailing Address and ZIP Code	Name of Employer		
	Occupation		
	Amount Guaranteed Outstanding \$		
2 Full Name, Mailing Address and ZIP Code	Name of Employer		
	Occupation		
	Amount Guaranteed Outstanding \$		
3 Full Name, Mailing Address and ZIP Code	Name of Employer		
	Occupation		
	Amount Guaranteed Outstanding \$		

B Full Name, Mailing Address and ZIP Code of Loan Source	Original Amount of Loan	Cumulative Payment To Date	Balance Outstanding at Close of This Period
Congressman Charlie Rose 2230 Rayburn HOB Washington, DC 20515	7,000.00	-0-	7,000.00
Election Primary General (Other specify) Terms Date Incurred 2-25-82 Date Due Demand Interest Rate N/A % (ap) <input type="checkbox"/> Secured			
List All Endorsers or Guarantors (if any) to Item B			
1 Full Name, Mailing Address and ZIP Code	Name of Employer		
	Occupation		
	Amount Guaranteed Outstanding \$		
2 Full Name, Mailing Address and ZIP Code	Name of Employer		
	Occupation		
	Amount Guaranteed Outstanding \$		
3 Full Name, Mailing Address and ZIP Code	Name of Employer		
	Occupation		
	Amount Guaranteed Outstanding \$		

SUBTOTALS This Period (This Page optional)	11,000.00
TOTALS This Period (Last page in this line only)	11,000.00

Carry outstanding balance only to LINE 2 Sched. for this line. If no Schedule D, carry forward to appropriate line of Summary.

000102011
 03011957543

LOANS

Loan for Congressman Charlie Rose #001118

Name (Last, First and ZIP Code of Loan Recipient) Original Amount of Loan Cumulative Payment To Date Balance Outstanding at Close of This Period
 Congressman Charlie Rose \$100,000 \$0 \$15,000
 2200 Jayburn HOP
 Washington, DC 20515

Category: Primary General Other (specify)

Terms: Date Incurred 7-21-83 Date Due Demand Interest Rate N/A

List All Endorsers or Guarantors (if any) to Item A

1. Full Name, Mailing Address and ZIP Code	Name of Employer	Occupation	Amount Guaranteed Outstanding \$
2. Full Name, Mailing Address and ZIP Code	Name of Employer	Occupation	Amount Guaranteed Outstanding \$
3. Full Name, Mailing Address and ZIP Code	Name of Employer	Occupation	Amount Guaranteed Outstanding \$

B. Full Name, Mailing Address and ZIP Code of Loan Recipient Original Amount of Loan Cumulative Payment To Date Balance Outstanding at Close of This Period

Category: Primary General Other (specify)
 Terms: Date Incurred Date Due Interest Rate Class Security

List All Endorsers or Guarantors (if any) to Item B

1. Full Name, Mailing Address and ZIP Code	Name of Employer	Occupation	Amount Guaranteed Outstanding \$
2. Full Name, Mailing Address and ZIP Code	Name of Employer	Occupation	Amount Guaranteed Outstanding \$
3. Full Name, Mailing Address and ZIP Code	Name of Employer	Occupation	Amount Guaranteed Outstanding \$

Subtotals This Period This Page (optional) 995.00
 Totals This Period (last page in this line only) 11,895.00

Carry outstanding balance only to LINE 3, Schedule D, for this line. If no Schedule D, carry forward to appropriate line of Summary.

25013037649

**DETAILED SUMMARY PAGE
of Receipts and Disbursements
(Page 2, POC FORM 3)**

Name of Committee (in full)

Committee for Congressman Charlie Rouse (D-11-0)

Period Covering All Months

From 7-1-85

To 9-30-85

I. RECEIPTS

- 11 CONTRIBUTIONS (other than loans) FROM
 - (a) Individuals/Persons Other Than Political Committees 9,450.00
 - (b) Political Party Committees
 - (c) Other Political Committees
 - (d) The Candidate
 - (e) TOTAL CONTRIBUTIONS (other than loans) (add 11(a) + 11(b) + 11(c) + 11(d))

12 TRANSFERS FROM OTHER AUTHORIZED COMMITTEES

- 13 LOANS
 - (a) Made or Guaranteed by the Candidate
 - (b) All Other Loans
 - (c) TOTAL LOANS (add 13(a) and 13(b))

14 OFFSETS TO OPERATING EXPENDITURES (Refunds, Rebates, etc.)

15 OTHER RECEIPTS (Dividends, Interest, etc.)

16 TOTAL RECEIPTS (add 11 (a), 12, 13 (c), 14 and 15)

II. DISBURSEMENTS

17 OPERATING EXPENDITURES

18 TRANSFERS TO OTHER AUTHORIZED COMMITTEES

- 19 LOAN REPAYMENTS
 - (a) Of Loans Made or Guaranteed by the Candidate
 - (b) Of All Other Loans
 - (c) TOTAL LOAN REPAYMENTS (add 19 (a) and 19 (b))

- 20 REFUNDS OF CONTRIBUTIONS TO
 - (a) Individuals/Persons Other Than Political Committees
 - (b) Political Party Committees
 - (c) Other Political Committees
 - (d) TOTAL CONTRIBUTION REFUNDS (add 20 (a), 20 (b), and 20 (c))

21 OTHER DISBURSEMENTS

22 TOTAL DISBURSEMENTS (add 17, 18, 19 (c), 20 (d) and 21)

III. CASH SUMMARY

- 23 CASH ON HAND AT BEGINNING OF REPORTING PERIOD
- 24 TOTAL RECEIPTS THIS PERIOD (From Line 16)
- 25 SUBTOTAL (Add Line 23 and Line 24)
- 26 TOTAL DISBURSEMENTS THIS PERIOD (From Line 22)
- 27 CASH ON HAND AT CLOSE OF THE REPORTING PERIOD (Subtract Line 26 from 25)

	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date	
11 (a)	9,450.00	20,165.00	11 (a)
11 (b)	5,000.00	5,000.00	11 (b)
11 (c)	11,450.00	84,450.66	11 (c)
11 (d)	-	-	11 (d)
11 (e)	60,425.00	159,595.66	11 (e)
12	0	0	12
13 (a)	0	0	13 (a)
13 (b)	0	0	13 (b)
13 (c)	0	0	13 (c)
14	43.00	43.00	14
15	17,141.80	25,166.38	15
16	86,609.50	184,785.04	16
17	92,119.29	128,401.30	17
18	-	-	18
19 (a)	-	-	19 (a)
19 (b)	-	-	19 (b)
19 (c)	-	-	19 (c)
20 (a)	-	-	20 (a)
20 (b)	-	-	20 (b)
20 (c)	-	-	20 (c)
20 (d)	-	-	20 (d)
21	9,750.00	11,810.00	21
22	101,869.29	140,213.30	22

732609,9,2,034535

CERTIFIED MAIL

For Further Information Contact:

(Summary Page)

JUL 13 1986

Name of Committee (in Full)
Committee for Congressman Charlie Nunn

Address (Number and Street)

P.O. Box 1178

City, State and Zip Code

Fayetteville, NC 28302

FEC Registration Number: 0-4118
Is this Report an Amendment? YES NO
1.11.86

Check if address is different than previously reported

TYPE OF REPORT

- April 15 Quarterly Report
- July 15 Quarterly Report
- October 15 Quarterly Report
- January 31 Year End Report
- July 31 Mid Year Report (Non-election Year Only)
- Twelfth day report preceding _____ (Type of Election) _____ in the State of _____
- Thirtieth day report following the General Election on _____ in the State of _____
- Termination Report

This report concerns activity for: Primary Election General Election Special Election Runoff Election

930403819203454

SUMMARY

5. Covering Period 7-1-86 through 9-30-86

6. Net Contributions (other than loans)

- (a) Total Contributions (other than loans) (From Line 11 (a))
- (b) Total Contribution Refunds (from Line 20 (a))
- (c) Net Contributions (other than loans) (subtract Line 6 (b) from 6 (a))

7. Net Operating Expenditures

- (a) Total Operating Expenditures (from Line 17)
- (b) Total Offsets to Operating Expenditures (from Line 14)
- (c) Net Operating Expenditures (subtract Line 7 (b) from 7 (a))

8. Cash on Hand at Close of Reporting Period (from Line 27)

9. Debts and Obligations Owed TO The Committee (Itemize all on Schedule C or Schedule D)

10. Debts and Obligations Owed BY The Committee (Itemize all on Schedule C or Schedule D)

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6 (a)	69,427.00	159,595.66
6 (b)	-0-	-0-
6 (c)	69,427.00	159,595.66
7 (a)	92,119.29	128,402.30
7 (b)	41.00	43.00
7 (c)	92,119.29	128,360.30
8	241,789.16	
9	-0-	
10	-0-	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

For further information, contact:
Federal Election Commission
908 F Street N.W.
Washington, D.C. 20543
Tel: 202-426-6630
Fax: 202-376-3120

Type or Print Name of Treasurer

SIGNATURE OF TREASURER

Date

NOTE: Submission of false, erroneous or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. 5437g

All previous versions of FEC FORM 3 and FEC FORM 3a are obsolete and should no longer be used.

FEC FORM 3 (3-80)

Any information reported from such Reports and Statements may not be made or used by any person for the purpose of soliciting contributions or for campaign purposes, other than using the name and address of any political committee to solicit contributions from such contributors.

NAME OF COMMITTEE OR FUND

Committee for Congressman Charlie Rose 034118

93040392034751

A. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Cash Receipts this Period
Congressman Charlie Rose 2210 Rayburn HOB Washington, DC 20515	N/A	9-26-86	11,895.00
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify): Department of Loans	Occupation: N/A	Aggregate Year-to-Date > \$ 11,895.00	
B. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Cash Receipts this Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	Aggregate Year-to-Date > \$	
C. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Cash Receipts this Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	Aggregate Year-to-Date > \$	
D. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Cash Receipts this Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	Aggregate Year-to-Date > \$	
E. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Cash Receipts this Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	Aggregate Year-to-Date > \$	
F. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Cash Receipts this Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	Aggregate Year-to-Date > \$	
G. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Cash Receipts this Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	Aggregate Year-to-Date > \$	

SUBTOTAL (if Receipts This Page (optional)	11,895.00
TOTAL This Period (last page this line number only)	17,141.60

SCHEDULE C
(Period 3/88)

LOANS
"Loans Due to the Committee"

U.S. GOVERNMENT PRINTING OFFICE: 1987 O-481-100

Name of Committee (in full)

Committee for Congressman Charlie Rose 014118

A. Full Name, Mailing Address and ZIP Code of Loan Source Congressman Charlie Rose 2230 Rayburn HOB Washington, DC 20515	Original Amount of Loan 4,000.00	Outstanding Payments To Date 4,000.00	Balance Outstanding at Close of This Period -0-
--	--	---	---

Extension: Primary General Other (specify)

Terms: Date Incurred 11-17-78 Date Due Demand Interest From N/A None Secured

List All Endorsers or Guarantors (if any) to Item A

1. Full Name, Mailing Address and ZIP Code	Name of Employer		
	Occupation		
	Amount Guaranteed Outstanding \$		
2. Full Name, Mailing Address and ZIP Code	Name of Employer		
	Occupation		
	Amount Guaranteed Outstanding \$		
3. Full Name, Mailing Address and ZIP Code	Name of Employer		
	Occupation		
	Amount Guaranteed Outstanding \$		

B. Full Name, Mailing Address and ZIP Code of Loan Source Congressman Charlie Rose 2230 Rayburn HOB Washington, DC 20515	Original Amount of Loan 7,000.00	Outstanding Payments To Date 7,000.00	Balance Outstanding at Close of This Period -0-
--	--	---	---

Extension: Primary General Other (specify)

Terms: Date Incurred 2-25-82 Date Due Demand Interest From N/A None Secured

List All Endorsers or Guarantors (if any) to Item B

1. Full Name, Mailing Address and ZIP Code	Name of Employer		
	Occupation		
	Amount Guaranteed Outstanding \$		
2. Full Name, Mailing Address and ZIP Code	Name of Employer		
	Occupation		
	Amount Guaranteed Outstanding \$		
3. Full Name, Mailing Address and ZIP Code	Name of Employer		
	Occupation		
	Amount Guaranteed Outstanding \$		

SUBTOTALS This Period This Page (optional) -0-

TOTALS This Period (next page in this line only)

Copy outstanding balances only to LOAN 2, Schedule D, for this line. If no Schedule D, copy forward to appropriate line of Summary.

23,060,892,034.061

Loans
 "Loans Owed to the Candidate"

Name of Candidate for Full Committee for Congressman Charlie Rose 034118				
A. Full Name, Mailing Address and ZIP Code of Loan Source Congressman Charlie Rose 2230 Rayburn HOP Washington, DC 20515		Original Amount of Loan 895.00	Quantitative Payment To Date 895.00	Balance Outstanding at Close of This Period -0-
Station: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):		Term: Date Incurred <u>7-21-83</u> Date Due <u>Demand</u> Interest Rate <u>N/A</u> <input type="checkbox"/> Secured		
Let All Endorsers or Guarantors (if any) to Item A				
1. Full Name, Mailing Address and ZIP Code		Name of Employer	[REDACTED]	[REDACTED]
		Occupation		
		Amount Guaranteed Outstanding \$		
2. Full Name, Mailing Address and ZIP Code		Name of Employer	[REDACTED]	[REDACTED]
		Occupation		
		Amount Guaranteed Outstanding \$		
3. Full Name, Mailing Address and ZIP Code		Name of Employer	[REDACTED]	[REDACTED]
		Occupation		
		Amount Guaranteed Outstanding \$		
B. Full Name, Mailing Address and ZIP Code of Loan Source		Original Amount of Loan	Quantitative Payment To Date	Balance Outstanding at Close of This Period
Station: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):		Term: Date Incurred _____ Date Due _____ Interest Rate _____ <input type="checkbox"/> Secured		
Let All Endorsers or Guarantors (if any) to Item B				
1. Full Name, Mailing Address and ZIP Code		Name of Employer	[REDACTED]	[REDACTED]
		Occupation		
		Amount Guaranteed Outstanding \$		
2. Full Name, Mailing Address and ZIP Code		Name of Employer	[REDACTED]	[REDACTED]
		Occupation		
		Amount Guaranteed Outstanding \$		
3. Full Name, Mailing Address and ZIP Code		Name of Employer	[REDACTED]	[REDACTED]
		Occupation		
		Amount Guaranteed Outstanding \$		
SUBTOTALS This Period This Page (personal)				-0-
TOTALS This Period (next page in this list only)				-0-

73043929203975

Carry over-riding balance only to Line 3, Schedule D, for this time. If no Schedule D, carry forward to appropriate line of Summary.

EXHIBIT C

INVESTIGATION OF THE HOUSE ETHICS COMMITTEE

The Ethics Committee investigation was conducted in the following manner:

1. A Representative Rose was represented by counsel.
2. Sworn testimony was taken from Representative Rose, Rose Committee Treasurer, and Rose, Jr.
3. Sworn affidavits and letters of explanation were also admitted from financial institution officials.
4. A full and complete investigation of documents spanning more than 15 years were reviewed through the employment of the Ethics Committee subpoena power and request for written interrogatories to acquire personal documents of Representative Rose and Rose Jr., financial documents of various financial institutions, Rose Committee campaign finance statements filed pursuant to the Federal Election Campaign Act, Representative Rose's Personal Financial Disclosure Statements filed pursuant to EIGA, North Carolina Corrupt Practices Act filings, and an audit by an independent team of auditors.
5. A full and complete record of the proceedings was maintained.
6. Representative Rose was afforded the opportunity to present evidence and respond in person as well as in writing to the inquiries and preliminary findings of the Ethics Committee.

92 OCT 14 PM 3:54

RECEIVED
FEDERAL ELECTION COMMISSION
OFFICE OF LEGAL COUNSEL

93040992048

EXHIBIT C

EXHIBIT D

ETHICS COMMITTEE FINDINGS

The Ethics Committee on March 23, 1988, issued its final report regarding its disposition of the 1986 Complaint against Representative Rose. The findings which were the basis for that reproval appear at page 25 of the Report and were as follows:

(A) Representative Rose borrowed from his campaign on eight separate occasions from 1978 to 1985 in violation of House Rule XLIII, clause 6, as follows:

- (1) \$4,000 on November 17, 1978
- (2) \$7,000 on February 25, 1982
- (3) \$895 on July 21, 1983
- (4) \$18,000 on September 12, 1983
- (5) \$10,000 on April 1, 1984
- (6) \$5,000 on September 5, 1984
- (7) \$9,500 on January 31, 1985
- (8) \$9,600 on August 19, 1985

(B) Representative Rose pledged a \$75,000 certificate of deposit belonging to his campaign on a personal loan at Southern National Bank in Fayetteville, North Carolina, on March 26, 1985, in violation of House Rule XLIII, clause 6.

(C) Representative Rose failed to list as liabilities to his campaign the borrowings referred to in subparagraph (A) above on his Financial Disclosure Statements for 1982, 1983, 1984, 1985, and 1986, in violation of the Ethics In Government Act of 1978 and House Rule XLIV, clause 2.

(D) Representative Rose failed to list liabilities to certain financial institutions on his Financial Disclosure Statements in violation of the Ethics in Government Act of 1978.

93040992049

EXHIBIT D

APPENDIX O

U.S. House of Representatives
Committee on Standards of Official Conduct
Suite 401-1, U.S. Capitol
Washington, DC 20515

March 23, 1988

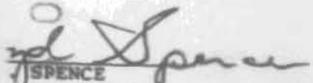
THE HONORABLE CHARLES G. ROSE, III
ALAN G. SPENCER, MINORITY MEMBER
OF THE HOUSE OF REPRESENTATIVES
ADDRESS: 2230 RAYBURN HOUSE OFFICE BUILDING
WASHINGTON, DC 20515
PHONE: 202-225-7103

ALAN G. SPENCER, MINORITY MEMBER
OF THE HOUSE OF REPRESENTATIVES
ADDRESS: 2230 RAYBURN HOUSE OFFICE BUILDING
WASHINGTON, DC 20515
PHONE: 202-225-7103

closure statements filed
tion. To this end, the
period ending at the close
during which time all
FD Statements. Again,
standpoint of when it
ent year--information
appropriateness of the
in-a-vis the rebuttable

policy is to establish a
that FD Statements and
within the same calendar
either timeliness or
scrutiny and possible
revision of the new policy
this year but also all
of the grace period

regarding this matter, please
call 225-7103.


ALAN G. SPENCER
Minority Member

The Honorable Charles G. Rose, III
United States House of Representatives
2230 Rayburn House Office Building
Washington, D. C. 20515

Dear Representative Rose:

On June 17, 1987, this Committee initiated a Preliminary Inquiry focusing on your alleged misuse of campaign funds and financial disclosure violations. Following this investigatory phase, the Committee found reason to believe that violations of House rules had occurred and, therefore, on October 28, 1987, issued a four-count Statement of Alleged Violations.

After considering the evidence presented in written and oral responses by your counsel and counsel to the Committee, the Committee determined that all four counts had been proved by clear and convincing evidence. The Committee concluded that you violated House Rule XLIII, clause 6, on eight separate occasions by borrowing funds from your campaign (count one), and that you failed to report these borrowings as liabilities on your Financial Disclosure Statements as required by House Rule XLIV, clause 2 (count three). The Committee also concluded that you violated House Rule XLIII, clause 6, by pledging a certificate of deposit from your campaign as collateral on a personal loan (count two). Finally, the Committee concluded that you violated House Rule XLIV, clause 2, by failing to report various liabilities to financial institutions on your Financial Disclosure Statements (count four).

Two of the violations, which the Committee held to have been proved, involved misuse of campaign funds. The House of Representatives adopted House Rule XLIII, the Code of Official Conduct, on April 3, 1968. Clause 6, which restricts the use of campaign funds to bona fide campaign purposes, has been a part of the Code since that time. The Committee feels this rule is crucial to maintaining public confidence in the fundraising system governing House Members. The use of your campaign funds, as alleged and proved in counts one and two of the Statement of Alleged Violations, is entirely inconsistent with this principle.

9304099200

D

The Honorable Charles G. Rose, III
March 23, 1988
Page 2

The Committee holds you responsible for being familiar with rules governing this area. Your mishandling of campaign funds, and concurrent violations of House rules in such matters, are deserving of reproach. We find that the personal benefit you received in each instance of borrowing, and the lower interest rate received from use of the campaign certificate of deposit, are the kinds of abuses the rule was designed to protect against. For this reason, the Committee instructs that you refrain from any future campaign borrowings and/or use of campaign assets as collateral.

The Committee recognizes and takes into consideration the fact that all funds borrowed were replaced in full without the insistence of this Committee, and that this action was taken by you prior to this Committee beginning a Preliminary Inquiry. Furthermore, the Committee recognizes that the campaign certificate of deposit in question is no longer encumbered, due to restrictions placed on it in connection with your personal financial dealings. While these actions could be viewed as mitigating factors or as evidence of a lack of any improper intent, the Committee emphasizes, nevertheless, the violations did occur. Although the Committee does not feel this conduct warrants a recommendation of sanction to the full House of Representatives, it is still a cause of concern.

Failure to disclose campaign borrowings on your Financial Disclosure Statements (count three) must also be viewed in light of maintaining public trust. As Members of the House, we are bound by law and House rules to publicly disclose various aspects of our financial status. The initial disclosure of the campaign borrowings in Federal Election Commission reports, which are publicly available documents, is a mitigating factor. However, this does not negate the fact that you violated House Rule XLIV, clause 2. These liabilities should have been disclosed on your Financial Disclosure Statements.

As for the liabilities to financial institutions in count four of the Statement of Alleged Violations, your failure to disclose, again, causes concern on the part of the Committee. Once informed of these deficiencies, however, you have, at your own initiative, amended your Financial Disclosure Statements to reflect the omitted information. The Committee respects your forthrightness in this area.

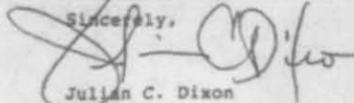
This Committee has spent much time and effort digesting and deliberating about the matters presented by this Preliminary

The Honorable Charles G. Rose, III
March 23, 1988
Page 3

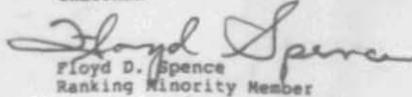
for being familiar with
ling of campaign funds,
s in such matters, are
e personal benefit you
and the lower interest
certificate of deposit,
s designed to protect
ee instructs that you
rowings and/or use of

Inquiry. The violations cause this Committee formally and
publicly to reprove you for failing to adhere to House Rule
XLIII, clause 6, and House Rule XLIV, clause 2, as described in
the Statement of Alleged Violations.

Sincerely,



Julian C. Dixon
Chairman



Floyd D. Spence
Ranking Minority Member

JS:ERT

into consideration the
ed in full without the
his action was taken by
a Preliminary Inquiry.
s that the campaign
longer encumbered, due
ion with your personal
na could be viewed as
lack of any improper
theless, the violations
not feel this conduct
to the full House of
ncern.

ings on your Financial
also be viewed in light
s of the House, we are
disclose various aspects
closure of the campaign
ion reports, which are
ating factor. However,
olated House Rule XLIV,
been disclosed on your

2
institutions in count
tions, your failure to
part of the Committee.
ever, you have, at your
disclosure Statements to
Committee respects your

nd-effort digesting and
ed by this Preliminary

93040

CHARLIE ROSE
The Chairman, House Committee

2000 Rayburn House Office Building
Washington, D.C. 20515
Phone Area Code 202, 225-2791

LEGISLATIVE OFFICE
200 Rayburn Building
Washington, D.C. 20515
Phone Area Code 202, 225-2791

210 Cannon Building
Washington, D.C. 20541
Phone Area Code 202, 225-2791



OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
WASHINGTON, D.C. 20515
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
WASHINGTON, D.C. 20515

Congress of the United States
House of Representatives
Washington, D.C. 20515

June 22, 1984

1984
CERTIFIED MAIL

RECEIVED
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
JUN 25 AM 9 46
PH 3: 54

RECEIVED
FEDERAL ELECTION COMMISSION

034115

Mr. Benjamin J. Guthrie, Clerk
Office of the Clerk
U. S. House of Representatives
Washington, D. C. 20515

Dear Sir:

As per requested in your letter dated May 30, 1984, (copy enclosed) we have corrected the one item brought to our attention by your office.

Although all of the information relevant to Mr. Rose's loan was disclosed in our Pre-primary report, we failed to list the information again on supporting Schedule C. Page 2 of 2, Schedule C has been amended and is enclosed for your records.

We apologize for this error and any inconvenience we may have caused your office.

Sincerely,

Alton G. Buck

Alton G. Buck
Assistant Treasurer
COMMITTEE FOR CONGRESSMAN CHARLIE ROSE

AGB:ch

Enclosures

cc: Mr. Alex Brock
W. C. Campaign Reporting Office
Raleigh, NC

650515104
230408607056

EXHIBIT E

JAN 21 1986
REGULAR MAIL

ALTON G. BUCH, P.A.
CERTIFIED PUBLIC ACCOUNTANT
811 FAIRWAY DRIVE • POST OFFICE BOX 1176
FAYETTEVILLE, NORTH CAROLINA 28508
919 458-8101

January 21, 1986

MEMBER OF
AMERICAN INSTITUTE OF CERTIFIED PUBLIC ACCOUNTANTS

U.S. POSTAL SERVICE
FAYETTEVILLE, NC
JAN 23 AM 11:35
OFFICE OF THE CLERK OF THE HOUSE OF REPRESENTATIVES

Clerk of the House of
Representatives
1036 Longworth HOB
Washington, DC 20515

Re: Committee for Congressman
Charlie Rose, 9034118

Dear Sir:

Enclosed are amended pages to the July 31, 1985 Mid-Year Report. After a telephone conversation today with Mr. Stuart Herschfeld, Reports Analyst, we were informed that loans repaid by the Congressman should be reported on Line 14 - "Offset to Operating Expenditures" rather than Line 15 - "Other Receipts".

We have included all amended pages to the report applicable to this amendment for your records.

Very truly yours,

COMMITTEE FOR CONGRESSMAN
CHARLIE ROSE

Cindy Bennett

Cindy Bennett
Bookkeeper

Enclosures

cc: M. C. Campaign Reporting Office
Raleigh, North Carolina

34012924368

HAND DELIVERED

ALTON G. BUCK, P.A.
Capital Public Accountant
211 FAIRWAY DRIVE • POST OFFICE BOX 1178
FAYETTEVILLE, NORTH CAROLINA 28502
919 488-8101

MEMBER OF
AMERICAN INSTITUTE OF CPAS

January 6, 1987

MEMBER OF
THE ASSOCIATION OF CPAS

Clerk of the House
1026 Longworth HOB
Washington, DC 20515

Re: Committee for Congressman
Charlie Rose

RECEIVED
OFFICE OF THE CLERK
HOUSE OF REPRESENTATIVES
JAN - 8 PM 4:00
#03116

Dear Sir:

I am submitting this letter on the advice of Ms. Rose Evans in the Reports Analysis Division regarding the proper form of amendments to previously filed FEC reports by the Committee for Congressman Charlie Rose, and other reports previously required under the FEC Act of 1971. I am also enclosing, as Ms. Evans suggested, prepared amendments to the 1985 year end report, and all reports for 1986.

These amendments report certain transactions which occurred under the 1971 Act (in November 1973). These transactions were unreported because of subsequent confusion which occurred as a result of the repeal of the Federal Corrupt Practices Act and the enactment of the 1974 Federal Election Campaign Act Amendment.

It appears that the 1971 FEC Act made no provision for reporting prior debts and obligations of a principal campaign committee, while later amendments to the FEC Act did provide for the assumption of a debt, by the principal campaign committee of a previous campaign, provided evidence was available to substantiate the existence of the debt.

For the report dated year end 1973, a loan from Congressman Rose to his campaign, in the amount of \$50,000, has been reported with the attachments. All transactions listed as loans from the committee to Congressman Rose on FEC reports, beginning in 1978, were subsequently mischaracterized on Schedule C (see attached list). These loan transactions, as debts owed by the committee to Congressman Rose, should have appeared on Line 10 and not Line 9 on the Summary Page, apart from any debts owed to the committee. Schedule A and Schedule B entries, which correspond with the incorrectly characterized loan transactions on each FEC report, should be amended as follows: Schedule A entries should be characterized as receipts "other", "loan to the committee", for either the primary or general election, as appropriate, and Schedule B entries should be characterized as "repayment of loan owed".

I hope that you will not hesitate to contact me should you have any questions.

93074109920551

EXHIBIT F

Clerk of the House
Washington, DC

January 6, 1967
Page 2

or require further clarification on any of these points.

Thanking you in advance for your attention to this matter,

Very truly yours,

COMMITTEE FOR CONGRESSMAN
CHARLIE ROSE

Alton G. Buck
ALTON G. BUCK
Assistant Treasurer

AGB:cb

Enclosures

cc: N. C. Campaign Reporting Office

73040992056

HAND DELIVERED

REPORTS OF RECEIPTS AND DISBURSEMENTS
For Authorized Committees

(Summary Page)

Name of Committee (Print)
Committee for Congressman Charles W. Whittier

Address, Number and Street
P.O. Box 1178

City, State and Zip Code
Fayetteville, North Carolina 28401

4 TYPE OF REPORT

- April 15 Quarterly Report
- July 15 Quarterly Report
- October 15 Quarterly Report
- January 31 Year-End Report
- July 31 Mid-Year Report (Non-election Year Only)
- Termination Report

This report contains activity for: Primary Election General Election Special Election Recall Election

SUMMARY		COLUMN A	COLUMN 2
Covering Period <u>1-1-86</u> through <u>3-31-86</u>		This Period	Calendar Year to-Date
5	Net Contributions (other than loans)		
(a)	Total Contributions (other than loans) (From Line 11 (a))	46,015.00	46,015.00
(b)	Total Contribution Refunds (from Line 20 (d))	-0-	-0-
(c)	Net Contributions (other than loans) (subtract Line 6 (b) from 6 (a))	46,015.00	46,015.00
7	Net Operating Expenditures		
(a)	Total Operating Expenditures (from Line 17)	17,963.57	17,963.57
(b)	Total Offsets to Operating Expenditures (from Line 14)	-0-	-0-
(c)	Net Operating Expenditures (subtract Line 7 (b) from 7 (a))	17,963.57	17,963.57
8	Cash on Hand at Close of Reporting Period (from Line 27)	228,638.87	
9	Debits and Obligations Owed TO The Committee (Itemize all on Schedule C or Schedule D)	-0-	
10	Debits and Obligations Owed BY The Committee (Itemize all on Schedule C or Schedule D)	38,105.00	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

For further information contact
Federal Election Commission
993 F Street, N.W.
Washington, D.C. 20463
Toll Free 800-424-9540
Local 202-348-7100

APRIL G. BARK
Treasurer

SIGNATURE OF TREASURER

NOTE: This report is required to be filed with the Federal Election Commission by the reporting committee.

DETAILED SUMMARY PAGE
of Receipts and Disbursements
(Page 2 PFC FORM 3)

Name of Committee or Fund: DISTRICT
Committee for Congressman Charles King

I RECEIPTS

- 11 CONTRIBUTIONS (other than loans) FROM
 - (a) Individuals/Persons Other Than Political Committees
Memo Entry Uninc'd \$ 485.00
 - (b) Political Party Committees
 - (c) Other Political Committees
 - (d) The Candidate
 - (e) TOTAL CONTRIBUTIONS (other than loans) (add 11(a), 11(b), 11(c) and 11(d)).
- 12 TRANSFERS FROM OTHER AUTHORIZED COMMITTEES
- 13 LOANS
 - (a) Made or Guaranteed by the Candidate
 - (b) All Other Loans
 - (c) TOTAL LOANS (add 13 (a) and 13 (b))
- 14 OFFSETS TO OPERATING EXPENDITURES (Refunds, Rebates, etc.)
- 15 OTHER RECEIPTS (Dividends, Interest, etc.)
- 16 TOTAL RECEIPTS (add 11 (e), 12, 13 (c), 14 and 15)

COLUMN A Year To Period	COLUMN B Calendar Year to Date
8,815.00	8,815.00
-0-	-0-
31,150.00	31,150.00
-0-	-0-
46,015.00	46,015.00
-0-	-0-
-0-	-0-
-0-	-0-
-0-	-0-
5,405.02	5,405.02
51,420.02	51,420.02
17,963.57	17,963.57
-0-	-0-
-0-	-0-
-0-	-0-
-0-	-0-
-0-	-0-
-0-	-0-
-0-	-0-
2,035.00	2,035.00
19,998.57	19,998.57

II. DISBURSEMENTS

- 17 OPERATING EXPENDITURES
- 18 TRANSFERS TO OTHER AUTHORIZED COMMITTEES
- 19 LOAN REPAYMENTS
 - (a) Of Loans Made or Guaranteed by the Candidate
 - (b) Of All Other Loans
 - (c) TOTAL LOAN REPAYMENTS (add 19 (a) and 19 (b))
- 20 REFUNDS OF CONTRIBUTIONS TO:
 - (a) Individuals/Persons Other Than Political Committees
 - (b) Political Party Committees
 - (c) Other Political Committees
 - (d) TOTAL CONTRIBUTION REFUNDS (add 20 (a), 20 (b), and 20 (c))
- 21 OTHER DISBURSEMENTS
- 22 TOTAL DISBURSEMENTS (add 17, 18, 19 (c), 20 (d) and 21)

III. CASH SUMMARY

- 23 CASH ON HAND AT BEGINNING OF REPORTING PERIOD
- 24 TOTAL RECEIPTS THIS PERIOD (from Line 16)
- 25 SUBTOTAL (add Line 23 and Line 24)
- 26 TOTAL DISBURSEMENTS THIS PERIOD (from Line 22)
- 27 CASH ON HAND AT CLOSE OF THE REPORTING PERIOD (Subtract Line 26 from 25)

197,217.42
51,420.02
248,637.44
19,998.57
228,638.87

Name of Contributor (or Full) Committee for Congressman Charlie Rose		014118	
A. Full Name, Mailing Address and ZIP Code of Loan Source Congressman Charlie Rose 422 Fort Williams Parkway Alexandria, Virginia 22304		Original Amount of Loan \$0,000.00	Balance Outstanding at End of This Period 38,105.00
Election <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		Completed Payments To Date 11,915.00	
Terms	Date Incurred 11/73 Date Due N/A Interest Rate N/A		<input type="checkbox"/> Secured
List All Endorsers or Guarantors (if any) to Item A			
1. Full Name, Mailing Address and ZIP Code		Name of Employer	
		Occupation	
		Amount Guaranteed Outstanding \$	
2. Full Name, Mailing Address and ZIP Code		Name of Employer	
		Occupation	
		Amount Guaranteed Outstanding \$	
3. Full Name, Mailing Address and ZIP Code		Name of Employer	
		Occupation	
		Amount Guaranteed Outstanding \$	
B. Full Name, Mailing Address and ZIP Code of Loan Source		Original Amount of Loan	Balance Outstanding at Close of This Period
Election <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		Completed Payments To Date	
Terms	Date Incurred Date Due Interest Rate		<input type="checkbox"/> Secured
List All Endorsers or Guarantors (if any) to Item B			
1. Full Name, Mailing Address and ZIP Code		Name of Employer	
		Occupation	
		Amount Guaranteed Outstanding \$	
2. Full Name, Mailing Address and ZIP Code		Name of Employer	
		Occupation	
		Amount Guaranteed Outstanding \$	
3. Full Name, Mailing Address and ZIP Code		Name of Employer	
		Occupation	
		Amount Guaranteed Outstanding \$	
SUBTOTALS This Period This Page (optional)			
TOTALS This Period (last page in this list only)			38,105.00
Carry outstanding balances only to LINE 3, Schedule D, for this line. If no Schedule D, carry forward to appropriate line of Summary.			

9304,0992058

REPORTS OF RECEIPTS AND DISBURSEMENTS
For Authorized Committees

HAND DELIVERED

(Summary Page)

1 Name of Committee (in Full)
Committee for Congressman Charlie Rose

2 FEC Identification No. (year)
034118

Address (Number and Street)
P.O. Box 1178

3 Is this Report an Amendment?
 YES NO

City, State and Zip Code
Fayetteville, North Carolina 28303

Check if address is different than previously reported.

OFFICE OF THE CLERK
 U.S. HOUSE OF REPRESENTATIVES
 1987 Jan - 9 PM 4 26
 RECEIVED
 CLERK A. BISHOP

TYPE OF REPORT

- April 15 Quarterly Report
- July 15 Quarterly Report
- October 15 Quarterly Report
- January 31 Year End Report
- July 31 Mid Year Report (Non-discuss Year Only)
- Twelfth day report preceding election on _____ in the State of _____
- Thirtieth day report following the General Election in the State of _____
- Termination Report

The report contains activity for -- Primary Election General Election Special Election Runoff Election

SUMMARY

- 5 Covering Period 4-1-86 through 6-30-86
- 6 Net Contributions (other than loans)
- 6a Total Contributions (other than loans) (From Line 11 list) _____
- 6b Total Contribution Refunds (from Line 20 list) _____
- 6c Net Contributions (other than loans) (subtract Line 6 b) from 6 a) _____
- 7 Net Operating Expenditures
- 7a Total Operating Expenditures (from Line 12) _____
- 7b Total Offset to Operating Expenditures (from Line 13) _____
- 7c Net Operating Expenditures (subtract Line 7 b) from 7 a) _____

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6c	44,155.66	90,170.66
6b	-0-	-0-
6c	44,155.66	90,170.66
7c	18,320.44	36,284.01
7b	-0-	-0-
7c	18,320.44	36,284.01
8	257,048.85	
9	-0-	
10	38,105.00	

I certify that I have prepared this Report and the true and correct copy of the same.

For further information, contact
Federal Election Commission
520 E Street, N.W.
Washington, D.C. 20543
Tel. (202) 426-6930
Lo. # 707 170 3120

A. G. Burk

A. G. Burk
Director of Campaigns

1/6/87

NOTE: Submission of false information is a crime and may subject the person signing this Report to the penalties of 18 U.S.C. 1001.

A copy of an edition of FEC FORM 2 and FEC FORM 3 is available and should be made available to you.

93040992060

Name of Committee (in Full) **034118**
Committee for Congressman Charlie Rose

Period Covering the Period:
 From: **4-1-86** To: **6-30-86**

COLUMN A
 Total This Period

COLUMN B
 Calendar Year-to-Date

I. RECEIPTS

- 11 CONTRIBUTIONS (other than loans) FROM:
 - (a) Individuals/Persons Other Than Political Committees
 Memo Entry Unitemized \$ 7,905.00
 - (b) Political Party Committees
 - (c) Other Political Committees
 - (d) The Candidate
 - (e) TOTAL CONTRIBUTIONS (other than loans) (add 11(a), 11(b), 11(c) and 11(d))
- 12 TRANSFERS FROM OTHER AUTHORIZED COMMITTEES
- 13 LOANS
 - (a) Made or Guaranteed by the Candidate
 - (b) All Other Loans
 - (c) TOTAL LOANS (add 13(a) and 13(b))
- 14 OFFSETS TO OPERATING EXPENDITURES (Refunds, Returns, etc.)
- 15 OTHER RECEIPTS (Dividends, Interest, etc.)
- 16 TOTAL RECEIPTS (add 11(e), 12, 13(c), 14 and 15)

	15,305.00	37,170.00
	-0-	-0-
	15,950.46	52,000.46
	-0-	-0-
	44,150.46	90,170.46
	-0-	-0-
	2,200.76	2,200.76
	46,351.22	92,371.22

II. DISBURSEMENTS

- 17 OPERATING EXPENDITURES
- 18 TRANSFERS TO OTHER AUTHORIZED COMMITTEES
- 19 LOAN REPAYMENTS
 - (a) Of Loans Made or Guaranteed by the Candidate
 - (b) Of All Other Loans
 - (c) TOTAL LOAN REPAYMENTS (add 19(a) and 19(b))
- 20 PAYMENTS OF CONTRIBUTIONS TO:
 - (a) Individuals/Persons Other Than Political Committees
 - (b) Political Party Committees
 - (c) Other Political Committees
 - (d) TOTAL CONTRIBUTIONS REPAYED (add 20(a), 20(b) and 20(c))
- 21 OTHER DISBURSEMENTS
- 22 TOTAL DISBURSEMENTS (add 17, 18, 19(c), 20(d) and 21)

	15,305.00	15,305.00
	-0-	-0-
	2,200.76	2,200.76
	-0-	-0-
	25.00	2,200.00
	18,345.44	38,344.01

III. CASH SUMMARY

- 23 CASH ON HAND AT BEGINNING OF REPORTING PERIOD
- 24 TOTAL RECEIPTS FOR THIS PERIOD (add 16)
- 25 SUBTOTAL (add 23 and 24)
- 26 TOTAL DISBURSEMENTS FOR THIS PERIOD (add 22)
- 27 CASH ON HAND AT CLOSE OF THE REPORTING PERIOD (subtract 26 from 25)

	220,630.01
	46,155.42
	275,394.29
	18,345.44
	257,048.85

73040992061

SCHEDULE C
 (Revised 3/88)

Name of Committee or Full Committee for Congressman Charlie Rose		03/78	
A. Full Name, Mailing Address and ZIP Code of Loan Source Congressman Charlie Rose 622 Fort Williams Parkway Alexandria, Virginia 22304		Original Amount of Loan 50,000.00	Payments Made to Date 11,895.00
		38,105.00	
Station: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):			
Terms: Date Invoiced <u>11/73</u> Date Due <u>N/A</u>		Interest Rate <u>N/A</u> %	<input type="checkbox"/> Secured
List All Endorsers or Guarantors (if any) to Form A			
1. Full Name, Mailing Address and ZIP Code		Name of Employer	
		Occupation	
		Amount Guaranteed Outstanding	
2. Full Name, Mailing Address and ZIP Code		Name of Employer	
		Occupation	
		Amount Guaranteed Outstanding	
3. Full Name, Mailing Address and ZIP Code		Name of Employer	
		Occupation	
		Amount Guaranteed Outstanding	
B. Full Name, Mailing Address and ZIP Code of Loan Source		Original Amount of Loan	Payments Made to Date
Station: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):			
Terms: Date Invoiced _____ Date Due _____		Interest Rate _____ %	<input type="checkbox"/> Secured
List All Endorsers or Guarantors (if any) to Form B			
4. Full Name, Mailing Address and ZIP Code		Name of Employer	
		Occupation	
		Amount Guaranteed Outstanding	
5. Full Name, Mailing Address and ZIP Code		Name of Employer	
		Occupation	
		Amount Guaranteed Outstanding	
6. Full Name, Mailing Address and ZIP Code		Name of Employer	
		Occupation	
		Amount Guaranteed Outstanding	
C. Full Name, Mailing Address and ZIP Code		Name of Employer	
		Occupation	
		Amount Guaranteed Outstanding	
D. Full Name, Mailing Address and ZIP Code		Name of Employer	
		Occupation	
		Amount Guaranteed Outstanding	
E. Full Name, Mailing Address and ZIP Code		Name of Employer	
		Occupation	
		Amount Guaranteed Outstanding	
SUPPLEMENTAL This Period This Page Reported SUPPLEMENTAL This Period Not Reported to this form only		38,105.00	
Carry outstanding balance only to LINE 5, Schedule D, for this tax. If on Schedule C, carry forward to appropriate line of Schedule.			

930409920423

REPORTS OF RECEIPTS AND DISBURSEMENTS
For Authorized Committees

HAND DELIVERED

(Summary Page)

1 Name of Committee (in Full) **Committee for Congressman Charlie Rose** 2 FEC Identification Number **034118**
 Address (Number and Street) **P.O. Box 1178** 3 Is this Report an Amendment? YES NO
 City, State and Zip Code **Fayetteville, North Carolina 28303** Check if address is different than previously reported.

RECEIVED
 JAN - 8 PM 4:20
 U.S. HOUSE OF REPRESENTATIVES

4 TYPE OF REPORT

April 15 Quarterly Report Twelfth day report preceding election on _____ in the State of _____

July 15 Quarterly Report Thirtieth day report following the General Election on _____ in the State of _____

October 15 Quarterly Report Termination Report

January 31 Year End Report

July 31 Mid Year Report (Non-election Year Only)

This report contains activity for: Primary Election General Election Special Election Runoff Election

93040992063

SUMMARY		COLUMN A This Period	COLUMN B Calendar Year-to-Date
5	Covering Period <u>7-1-86</u> through <u>9-30-86</u>		
6	Net Contributions (other than loans)		
	(a) Total Contributions (other than loans) (From Line 11 (b))	69,425.00	159,595.66
	(b) Total Contribution Refunds (From Line 20 (d))	-0-	-0-
	(c) Net Contributions (other than loans) (subtract Line 6 (b) from 6 (a))	69,425.00	159,595.66
7	Net Operating Expenditures		
	(a) Total Operating Expenditures (From Line 17)	92,119.27	128,403.30
	(b) Total Offsets to Operating Expenditures (From Line 14)	43.00	43.00
	(c) Net Operating Expenditures (subtract Line 7 (b) from 7 (a))	92,076.27	128,360.30
8	Cash on Hand at Close of Reporting Period (From Line 27)	241,789.16	
9	Debits and Obligations Owed TO The Committee (Itemize on Schedule C or Schedule D)	-0-	
10	Credits and Obligations Owed BY The Committee (Itemize on Schedule C or Schedule D)	50,000.00	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

For further information, contact
 Federal Election Commission
 1200 22nd St. N.W.
 Washington, D.C. 20543
 Toll Free 800 424 9644
 Local 202 462 3100

Alton G. Buck
 Signature of Treasurer

OFFICE OF THE CLERK OF THE HOUSE OF REPRESENTATIVES
Division of Administration
Page 2, FBO FORM 31

Name of Committee (in full) 034118
Committee for Congressman Charlie Rula

Report covering the period
 From 7-1-86 To 9-30-86

- I. RECEIPTS**
11. CONTRIBUTIONS (other than loans) FROM:
- (a) Individuals/Persons Other Than Political Committees
 (Rulans Entry Unitarized \$ 3,450.00)
 - (b) Political Party Committees
 - (c) Other Political Committees
 - (d) The Candidate
 - (e) TOTAL CONTRIBUTIONS (other than loans) (add 11(a), 11(b), 11(c) and 11(d)).
12. TRANSFERS FROM OTHER AUTHORIZED COMMITTEES
13. LOANS:
- (a) Made or Guaranteed by the Candidate
 - (b) All Other Loans
 - (c) TOTAL LOANS (add 13 (a) and 13 (b)).
14. OFFSETS TO OPERATING EXPENDITURES (Refunds, Retains, etc.)
15. OTHER RECEIPTS (Dividends, Interest, etc.)
16. TOTAL RECEIPTS (add 11 (e), 12, 13 (c), 14 and 15)

Column A Total This Period	Column B Outside Year-End	
32,925.50	70,000.00	102,925.50
5,000.00	5,000.00	10,000.00
31,450.00	57,920.00	89,370.00
65,475.00	72,920.00	138,395.00
-0-	-0-	-0-
11,000.00	-0-	11,000.00
-0-	-0-	-0-
11,000.00	-0-	11,000.00
41.00	-0-	41.00
11,041.00	-0-	11,041.00
101,169.29	72,920.00	174,089.29

- II. DISBURSEMENTS**
17. OPERATING EXPENDITURES
18. TRANSFERS TO OTHER AUTHORIZED COMMITTEES
19. LOAN REPAYMENTS:
- (a) Of Loans Made or Guaranteed by the Candidate
 - (b) Of All Other Loans
 - (c) TOTAL LOAN REPAYMENTS (add 19 (a) and 19 (b)).
20. REFUNDS OF CONTRIBUTIONS TO:
- (a) Individuals/Persons Other Than Political Committees
 - (b) Political Party Committees
 - (c) Other Political Committees
 - (d) TOTAL CONTRIBUTION REFUNDS (add 20 (a), 20 (b), and 20 (c)).
21. OTHER DISBURSEMENTS
22. TOTAL DISBURSEMENTS (add 17, 18, 19 (c), 20 (d) and 21)

65,475.00	72,920.00	138,395.00
-0-	-0-	-0-
-0-	-0-	-0-
-0-	-0-	-0-
-0-	-0-	-0-
9,750.00	11,510.00	21,260.00
101,169.29	72,920.00	174,089.29

- III. CASH SUMMARY**
23. CASH ON HAND AT BEGINNING OF REPORTING PERIOD
24. TOTAL RECEIPTS THIS PERIOD (From Line 16)
25. SUBTOTAL (Add Line 23 and Line 24)
26. TOTAL DISBURSEMENTS THIS PERIOD (From Line 22)

0	<u>257,049.85</u>	25
0	<u>26,009.60</u>	26
0	<u>343,659.45</u>	27
0	<u>101,169.29</u>	28

SCHEDULE A

ITEMIZED RECEIPTS

Use appropriate code for each category of the Detailed Summary Page

FOR LINE NUMBER

13

Any information copied from such Reports and Publications may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to which contributions were such not-allowed

NAME OF COMMITTEE (in Full)

Committee for Congressman Charlie Rostenkowski

A. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Congressman Charlie Rostenkowski 2250 Rayburn HOB Washington, D.C. 20515	N/A	1/26/80	11,895.00
Receipt For: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify): Loan to Comm.	Occupation: N/A	Aggregate Year-to-Date: \$ 11,895.00	
B. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	Aggregate Year-to-Date: \$	
C. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	Aggregate Year-to-Date: \$	
D. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	Aggregate Year-to-Date: \$	
E. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	Aggregate Year-to-Date: \$	
F. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	Aggregate Year-to-Date: \$	
G. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	Aggregate Year-to-Date: \$	

SUBTOTAL of Receipts This Page (optional)

TOTAL This Period (last page (this line number only))

Statement of Financial Position
Committee for Congressman Charlie Rose 034116

A. Full Name, Mailing Address and ZIP Code of Loan Source Congressman Charlie Rose 822 Fort William Parkway Alexandria, Virginia 22304	Original Amount of Loan \$5,000.00	Outstanding Payable to Date -- 0 --	Balance Outstanding as of Reporting Date of This Period \$5,000.00
Security: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) _____			
Terms: Date Issued <u>11/73</u> Date Due <u>N/A</u> Interest Rate <u>N/A</u> <input type="checkbox"/> Secured <input type="checkbox"/> Unsecured			

List All Employees or Contractors (if any) to Item A

Full Name, Mailing Address and ZIP Code	Name of Employer	Occupation	Amount Guaranteed Outstanding

B. Full Name, Mailing Address and ZIP Code of Loan Source	Original Amount of Loan	Outstanding Payable to Date	Balance Outstanding as of Date of This Period
Security: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) _____			
Terms: Date Issued _____ Date Due _____ Interest Rate _____ <input type="checkbox"/> Secured <input type="checkbox"/> Unsecured			

List All Employees or Contractors (if any) to Item B

Full Name, Mailing Address and ZIP Code	Name of Employer	Occupation	Amount Guaranteed Outstanding

SUBTOTALS (This Period This Page (total))	\$5,000.00
TOTALS (This Period (over pages in this line only))	

...and use balance only to LINE 3 Schedule D for this line. If no Schedule D, carry forward to appropriate line of Form 706

REPORTS OF RECEIPTS AND DISBURSEMENTS
For Authorized Committees

HAND DELIVERED

(Summary Page)

1 Name of Committee in Full
Committee for Congressman Charlie Rose

2 FEC Identification Number
034118

3 Address (Number and Street)
P.O. Box 1173

4 City, State and Zip Code
Roseville, North Carolina 29302

5 Is this Report an Amendment?
 YES NO

6 Check if address is different than previously reported

7 TYPE OF REPORT

April 15 Quarterly Report

July 15 Quarterly Report

October 15 Quarterly Report

January 31 Year End Report

July 31 Mid Year Report (Non election Year Only)

Twelfth day report preceding election on _____

Thirtieth day report following the General Election in the State of _____

Terminal Report

8 This report contains activity for: Primary Election General Election Special Election Runoff Election

REC'D
 FILED
 JAN 8 11 48 AM '85
 FEDERAL ELECTION COMMISSION

93040992067

SUMMARY		COLUMN A This Period	COLUMN B Calendar Year-to-Date
9	Covering Period <u>7-1-85</u> through <u>12-31-85</u>		
10	Net Contributions (other than loans)		
	(a) Total Contributions (other than loans) (From Line 11 (a))	23,775.00	73,465.00
	(b) Total Contribution Refunds (From Line 20 (a))	-0-	1,500.00
	(c) Net Contributions (other than loans) (subtract Line 6 (b) from 6 (a))	23,775.00	71,965.00
11	Net Operating Expenditures		
	(a) Total Operating Expenditures (from Line 13)	26,792.68	43,949.04
	(b) Total Offsets to Operating Expenditures (from Line 14)	-0-	219.00
	(c) Net Operating Expenditures (subtract Line 7 (b) from 7 (a))	26,792.68	43,730.04
12	Cash on Hand at Close of Reporting Period (from Line 27)	197,217.42	
13	Debts and Obligations Owed TO The Committee (Itemize all on Schedule C or Schedule D)	-0-	
14	Debts and Obligations Owed BY The Committee (Itemize all on Schedule C or Schedule D)	38,105.00	

I certify that I have examined this Report and in the best of my knowledge and belief it is true, correct and complete.

For further information contact:
Federal Election Commission
999 E Street, N.W.
Washington, D.C. 20461
Toll Free: 800 424 9641
Local: 202 376 3100

Name or Print Name of Treasurer: Alton G. Burk

SIGNATURE OF TREASURER: Alton G. Burk DATE: 1/6/85

NOTE: Submit this report to the Federal Election Commission, 999 E Street, N.W., Washington, D.C. 20461.

DETAILED SUMMARY PAGE
of Receipts and Disbursements
(Page 2, FEC FORM 3)

Name of Committee (in Full) 031118
Committee for Congressman Charlie Rose

Report Covering the Period
From 7-1-85 to 12-31-85

93040992068

I. RECEIPTS

- 11 CONTRIBUTIONS (other than loans) FROM
 - (a) Individuals/Persons Other Than Political Committees
(Memo Entry, Unitemized \$ 5,475.00)
 - (b) Political Party Committees
 - (c) Other Political Committees
 - (d) The Candidate
 - (e) TOTAL CONTRIBUTIONS (other than loans) add 11(a), 11(b), 11(c), and 11(d)
- 12 TRANSFERS FROM OTHER AUTHORIZED COMMITTEES
- 13 LOANS
 - (a) Made or Guaranteed by the Candidate
 - (b) All Other Loans
 - (c) TOTAL LOANS (add 13 (a) and 13 (b))
- 14 OFFSETS TO OPERATING EXPENDITURES (Refunds, Rebates, etc.)
- 15 OTHER RECEIPTS (Dividends, Interest, etc.)
- 16 TOTAL RECEIPTS (add 11 (e), 12, 13 (c), 14 and 15)

	COLUMN A Total This Period	COLUMN B Calendar Year to Date	
11 (a)	8,775.00	17,500.00	11 (a)
11 (b)	-0-	-0-	11 (b)
11 (c)	5,000.00	55,965.00	11 (c)
11 (d)	-0-	-0-	11 (d)
11 (e)	23,775.00	73,465.00	11 (e)
12	-0-	-0-	12
13 (a)	9,600.00	19,100.00	13 (a)
13 (b)	-0-	-0-	13 (b)
13 (c)	9,600.00	19,100.00	13 (c)
14	-0-	214.00	14
15	7,622.17	15,130.33	15
16	41,197.17	107,914.33	16

II. DISBURSEMENTS

- 17 OPERATING EXPENDITURES
- 18 TRANSFERS TO OTHER AUTHORIZED COMMITTEES
- 19 LOAN REPAYMENTS
 - (a) Of Loans Made or Guaranteed by the Candidate
 - (b) Of All Other Loans
 - (c) TOTAL LOAN REPAYMENTS (add 19 (a) and 19 (b))
- 20 REFUNDS OF CONTRIBUTIONS TO
 - (a) Individuals/Persons Other Than Political Committees
 - (b) Political Party Committees
 - (c) Other Political Committees
 - (d) TOTAL CONTRIBUTION REFUNDS (add 20 (a), 20 (b), and 20 (c))
- 21 OTHER DISBURSEMENTS
- 22 TOTAL DISBURSEMENTS (add 17, 18, 19 (c), 20 (d) and 21)

17	26,792.68	43,949.04	17
18	-0-	-0-	18
19 (a)	9,600.00	19,100.00	19 (a)
19 (b)	-0-	-0-	19 (b)
19 (c)	9,600.00	19,100.00	19 (c)
20 (a)	-0-	-0-	20 (a)
20 (b)	-0-	-0-	20 (b)
20 (c)	-0-	1,500.00	20 (c)
20 (d)	-0-	1,500.00	20 (d)
21	1,319.42	2,764.42	21
22	37,712.10	67,313.46	22

III. CASH SUMMARY

- 23 CASH ON HAND AT BEGINNING OF REPORTING PERIOD
- 24 TOTAL RECEIPTS THIS PERIOD (From Line 16)
- 25 SUBTOTAL (Add Line 23 and Line 24)
- 26 TOTAL DISBURSEMENTS THIS PERIOD (From Line 22)
- 27 CASH ON HAND AT CLOSE OF THE REPORTING PERIOD (Subtract Line 26 from Line 25)

23	\$	193,732.35	23
24	\$	41,197.17	24
25	\$	234,929.52	25
26	\$	37,712.10	26
27	\$	197,217.42	27

AMENDMENT (1985 Year End)
SCHEDULE A **ITEMIZED RECEIPTS**

Use separate sheets for each category of the Doubled Secondary Page
 PAGE 1 of 1
 FOR LINE NUMBER 13

All information copied from such Receipts and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (or Full Name) **Committee for Congressman Charlie Rose** 034118

930409920699

A. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Congressman Charlie Rose 2230 Rayburn HOB Washington, D.C. 20515	N/A	12/31/85	9,600.00
Receipt For: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify): Loan to Committee	Occupation: N/A	Aggregate Year-to-Date > \$ 19,100.00	
B. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	Aggregate Year-to-Date > \$	
C. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	Aggregate Year-to-Date > \$	
D. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	Aggregate Year-to-Date > \$	
E. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	Aggregate Year-to-Date > \$	
F. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	Aggregate Year-to-Date > \$	
G. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	Aggregate Year-to-Date > \$	

SUBTOTAL of Receipts This Page (optional)

TOTAL This Period (last page (this line number only))

SCHEDULE B

ITEMIZED DISBURSEMENTS

Use separate schedules for each category on the Detailed Summary Page

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of raising contributions or for commercial purpose other than using the name and address of any political committee to solicit contributions from such committees.

NAME OF COMMITTEE (in Full)

Committee for Congressman Charlie Rose

034118

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Congressman Charlie Rose 2230 Rayburn HOB Washington, D.C. 20515	Reimbursement for NC Sr Citizens Assn Membr. Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify)	7/10/85	6.00
The Lafayette Society, Inc. 611 Westmont Drive Fayetteville, N.C. 28305	Contribution Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify)	7/11/85	10.00
Congressman Charlie Rose 2230 Rayburn HOB Washington, D.C. 20515	Repayment of loan used to Congressman Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify)	8-19-85	9,600.00
Congressional Black Caucus Foundation/1400 Penn.Ave.SE Washington, D.C. 20004	15th Annual Legislative Weekend Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify)	8/22/85	50.00
George Tatum Fundraiser c/o Bobby Murphy Treasurer P.O. Box 73474 Fayetteville, N.C. 28305	Contribution Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify)	8/17/85	100.00
14th Congressional District Black Caucus, c/o Mrs. E.R. Turner, 300 Lincoln Ave. Lumberton, N.C. 28358	Contribution Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify)	10/1/85	200.00
Southern National Bank P.O. Box 950 Fayetteville, N.C. 28302	Bank Charge Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify)	10/3/85	9.00
Will Render Florist, Inc. P.O. Box 386 Wilmington, N.C. 28406	Flowers for constituent Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify)	11/9/85	12.00
Young's Furniture P.O. Box 5805 High Point, N.C. 27030	Office Table Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify)	10/28/85	100.00

SUBTOTAL of Disbursements This Page (include)

TOTAL This Period (include page this line number on)

SCHEDULE C
(Revised 3/80)

AMENDMENT (1985 Year End)
LOANS

Use separate schedules for each numbered line

Name of Contributor (in Full) Committee for Congressman Charlie Rose		034118		
A Full Name, Mailing Address and ZIP Code of Loan Source Congressman Charlie Rose 622 Fort Williams Parkway Alexandria, Virginia 22304		Original Amount of Loan 50,000.00	Cumulative Payment To Date 11,895.00	Balance Outstanding at Close of This Period 38,105.00
Election <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (Specify) _____ Terms Date Incurred 11/73 Date Due N/A Interest Rate N/A <input type="checkbox"/> Step 1 <input type="checkbox"/> Secured				
List All Endorsers or Guarantors (if any) to item A				
1 Full Name, Mailing Address and ZIP Code		Name of Employer		
		Occupation		
		Amount Guaranteed Outstanding \$		
2 Full Name, Mailing Address and ZIP Code		Name of Employer		
		Occupation		
		Amount Guaranteed Outstanding \$		
3 Full Name, Mailing Address and ZIP Code		Name of Employer		
		Occupation		
		Amount Guaranteed Outstanding \$		
B Full Name, Mailing Address and ZIP Code of Loan Source		Original Amount of Loan	Cumulative Payment To Date	
			Balance Outstanding at Close of This Period	
Election <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (Specify) _____ Terms Date Incurred _____ Date Due _____ Interest Rate _____ <input type="checkbox"/> Step 1 <input type="checkbox"/> Secured				
List All Endorsers or Guarantors (if any) to item B				
1 Full Name, Mailing Address and ZIP Code		Name of Employer		
		Occupation		
		Amount Guaranteed Outstanding \$		
2 Full Name, Mailing Address and ZIP Code		Name of Employer		
		Occupation		
		Amount Guaranteed Outstanding \$		
3 Full Name, Mailing Address and ZIP Code		Name of Employer		
		Occupation		
		Amount Guaranteed Outstanding \$		
SUBTOTALS This Period This Page (optional)				
TOTALS This Period (last page in this line only)			38,105.00	
Carry outstanding balances only to LINE 3, Schedule D, for this line. If no Schedule D, carry forward to appropriate line of Summary.				

93040992071

AND DELIVERED

REPORTS OF RECEIPTS AND DISBURSEMENTS
For Authorized Committees

(Summary Page)

Name of Committee
Committee for Congressman Charlie Rose

2 PFC Identification Number
034118

Address (Include zip code)
P.O. BOX 1274

3 Is this Report on Amendments?
 YES NO

City, State and Zip Code
Waynesville, North Carolina 28389

Check if address is different than previously reported

TYPE OF REPORT

- April 15 Quarterly Report
- July 15 Quarterly Report
- October 15 Quarterly Report
- January 31 Year End Report
- July 31 Mid Year Report (Non-election Year Only)
- Twelfth day report preceding General election on **Nov. 4, 1986** in the State of **North Carol.**
- Thirtieth day report following the General Election on _____ in the State of _____
- Termination Report

This report contains activity for Primary Election General Election Special Election Runoff Election

SUMMARY

5 Covering Period **10-1-86** through **10-15-86**

6 Net Contributions (other than loans) -

- (a) Total Contributions (other than loans) (From Line 11 (a))
- (b) Total Contribution Refunds (From Line 20 (d))
- (c) Net Contributions (other than loans) (subtract Line 6 (b) from 6 (a))

7 Net Operating Expenditures

- (a) Total Operating Expenditures (From Line 17)
- (b) Total Offsets to Operating Expenditures (From Line 14)
- (c) Net Operating Expenditures (Subtract Line 7 (b) from 7 (a))

8 Cash on Hand at Close of Reporting Period (From Line 27)

9 Debts and Obligations Owed TO The Committee (Itemize all on Schedule C or Schedule D)

10 Debts and Obligations Owed BY The Committee (Itemize all on Schedule C or Schedule D)

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6 (a)	38,615.00	198,210.66
6 (b)	1,000.00	1,000.00
6 (c)	37,615.00	197,210.66
7 (a)	29,656.57	158,059.87
7 (b)	-0-	43.00
7 (c)	29,656.57	158,059.87
8	249,630.59	
9	-0-	
10	50,000.00	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Alton G. Buck

Type or Print Name of Treasurer

Alton G. Buck
SIGNATURE OF TREASURER

1/6/87
Date

For further information, contact:
Federal Election Commission
999 E Street, N.W.
Washington, D.C. 20463
Toll Free 800-424-9633
Local 202-376-3120

NOTE: Submission of false information or improper information may subject the person signing this Report to the penalties of 2 U.S.C. 1901.

All previous versions of FEC FORM 3 and Fx-C FORM 3a are obsolete and should no longer be used.

93040992072

REPORTS OF RECEIPTS AND DISBURSEMENTS
For Authorized Committee

HAND DELIVERED

(Summary Page)

1 Name of Committee (in Full) Committee for Congressman Charlie Rouse 2 P.C. Joint File # 034100
 3 Address (Number and Street) P.O. Box 1178 4 Is this Report for a Candidate? YES NO
 5 City, State and Zip Code Fayetteville, North Carolina 28401 Check if address is different than previously reported

6 TYPE OF REPORT

April 15 Quarterly Report Twelve day report (election)

July 15 Quarterly Report

October 15 Quarterly Report Thirty day report following the primary election

January 31 Year End Report 11-4-86 of the State of NORTH CAROLINA

July 31 Mid Year Report (non-election year only) Termination Report

This report contains activity for: Primary Election General Election Joint Primary Runoff Election

SUMMARY		COL. LINE A This Period	COL. LINE B Calendar Year to Date
7	Covering Period <u>10-14-86</u> thru <u>11-24-86</u>		
8	Net Contributions (other than loans):		
	a) Total Contributions (other than loans) (from Line 11)	66,801.64	165,018.30
	b) Total Contributions Refunds (from Line 20)	250.00	1,140.00
	Net Contributions (other than loans) (subtract Line 8b from 8a)	66,551.64	163,878.30
9	Net Operating Expenditures:		
	a) Total Operating Expenditures (from Line 12)	49,700.17	227,760.04
	b) Total In-house Operating Expenditures (from Line 14)	440.00	489.00
	Net Operating Expenditures (subtract Line 9b from 9a)	49,254.17	207,271.04
10	Cash on Hand at Close of Reporting Period (from Line 21)	261,780.94	
11	Debits and Obligations Owed TO The Committee (Itemize all on Schedule C or Schedule D)	-0-	
12	Debits and Obligations Owed BY The Committee (Itemize all on Schedule C or Schedule D)	50,000.00	

I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

A. M. G. BRY
 Secretary of the Committee

For further information contact:
 Federal Election Commission
 600 F Street, N.W.
 Washington, D.C. 20543
 Toll Free 1-800-424-9545
 (Area 202) 424-3500

Handwritten signature and date

SCHEDULE C
(Revised 3/80)

AMENDMENT (10-1-86 through 10-25-86)
LOANS

U.S. DEPARTMENT OF THE TREASURY
INTERNAL REVENUE SERVICE
FORM 706 (OMB 1545-0047)

Name of Committee (in Part) Committee for Congressman Charlie ROSE		034118	
A. Full Name, Mailing Address and ZIP Code of Loan Source Charlie ROSE Post Williams Parkway Arlington, Virginia 22204		Original Amount of Loan 50,000.00	Committed/Paid to Date -0-
Balance Outstanding at Close of This Period 50,000.00			
Election: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (Specify)		Interest Rate: N/A	
Terms: Date Incurred: 11/73 Date Due: N/A		<input type="checkbox"/> Secured <input type="checkbox"/> Unsecured	
List All Endorsers or Guarantors (if any) to Item A			
1. Full Name, Mailing Address and ZIP Code		Name of Employer	
		Occupation	
		Amount Guaranteed Outstanding	
2. Full Name, Mailing Address and ZIP Code		Name of Employer	
		Occupation	
		Amount Guaranteed Outstanding	
3. Full Name, Mailing Address and ZIP Code		Name of Employer	
		Occupation	
		Amount Guaranteed Outstanding	
B. Full Name, Mailing Address and ZIP Code of Loan Source		Original Amount of Loan	Committed/Paid to Date
Election: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (Specify)		Interest Rate	<input type="checkbox"/> Secured <input type="checkbox"/> Unsecured
Terms: Date Incurred: Date Due:			
List All Endorsers or Guarantors (if any) to Item B			
1. Full Name, Mailing Address and ZIP Code		Name of Employer	
		Occupation	
		Amount Guaranteed Outstanding	
2. Full Name, Mailing Address and ZIP Code		Name of Employer	
		Occupation	
		Amount Guaranteed Outstanding	
3. Full Name, Mailing Address and ZIP Code		Name of Employer	
		Occupation	
		Amount Guaranteed Outstanding	
SUBTOTAL - Period This Page Covers:			
TOTAL - This Page (see page instructions)		50,000.00	

70040992074

Carry outstanding balance only to Line 3, Schedule D, for this form. If no Schedule D, carry amount to appropriate line of Summary.

JAN 21 1986
REGULAR MAIL

ALTON G. BUCK, P.A.
CERTIFIED PUBLIC ACCOUNTANT
211 EAST WASHINGTON STREET OFFICE BOX 1178
RALEIGH, NORTH CAROLINA 27602
PH: (919) 8101

January 21, 1986

JAN 23 AM 11 35

Clerk of the House of
Representatives
1036 Longworth HOB
Washington, DC 20515

Re: Committee for Congressman
Charlie Rose, #034118

Dear Sir:

Enclosed are amended pages to the July 31, 1985 Mid-Year Report. After a telephone conversation today with Mr. Stuart Herscheld, Reports Analyst, we were informed that loans repaid by the Congressman should be reported on Line 14 - "Offset to Operating Expenditures" rather than Line 15 - "Other Receipts".

We have included all amended pages to the report applicable to this amendment for your records.

Very truly yours,

COMMITTEE FOR CONGRESSMAN
CHARLIE ROSE

Cindy Bennett

Cindy Bennett
Bookkeeper

Enclosures

cc: N. C. Campaign Reporting Office
Raleigh, North Carolina

73040992075

REPORT OF RECEIPTS AND DISBURSEMENTS

For An Authorized Committee
(Summary Page)

APR 15 1988

CERTIFIED MAIL

USE FEC MAILING LABEL OR TYPE OR PRINT

1. 000014985 NC/07 031488
ALTON G BUCK CPA
COMMITTEE FOR CONGRESSMAN CHARLIE ROUSE
PO BOX 1178
FAYETTEVILLE NC 28302

2. FEC IDENTIFICATION NUMBER: 000014985

3. IS THIS REPORT AN AMENDMENT? YES NO

4. TYPE OF REPORT

- April 15 Quarterly Report
 July 15 Quarterly Report
 October 15 Quarterly Report
 January 31 Year End Report
 July 31 Mid Year Report (Non election Year Only)
 Twelfth day report preceding election on _____ in the State of _____
 Thirteenth day report following the General Election in _____ in the State of _____
 Termination Report

This report contains activity for: Primary Election General Election Special Election Recall Election

SUMMARY

	COLUMN A This Period	COLUMN B Calendar Year to-Date
5. Covering Period <u>1/1/88</u> through <u>3/31/88</u>		
6. Net Contributions (other than loans)		
(a) Total Contributions (other than loans) (from Line 11(a))	\$1,960.00	\$1,960.00
(b) Total Contribution Refunds (from Line 20(d))	-0-	-0-
(c) Net Contributions (other than loans) (subtract Line 6(b) from 6(a))	\$1,960.00	\$1,960.00
7. Net Operating Expenditures		
(a) Total Operating Expenditures (from Line 13)	\$1,566.44	\$1,566.44
(b) Total Offsets to Operating Expenditures (from Line 14)	-	-
(c) Net Operating Expenditures (subtract Line 7(b) from 7(a))	\$1,566.44	\$1,566.44
8. Cash on Hand at Close of Reporting Period (from Line 27)	\$1,393.56	\$1,393.56
9. Debts and Obligations Owed TO the Committee (itemize all on Schedule C and/or Schedule D)	\$0.00	\$0.00
10. Debts and Obligations Owed BY the Committee (itemize all on Schedule C and/or Schedule D)	\$1,000.00	\$1,000.00

For further information contact
Federal Election Commission
950 E Street, N.W.
Washington, D.C. 20543
Tel: (202) 462-6000
Local (202) 462-6000

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

Alton G. Buck

Signature of Treasurer

Alton G. Buck

NOTE: Submission of this report in lieu of a complete information may subject the filer to the penalties of 28 U.S.C. 9604.

FEC FORM 3

930408920765

RECEIVED
FEDERAL ELECTION COMMISSION

EXHIBIT C

92 OCT 14 3:54

DETAILED SUMMARY PAGE

of Receipts and Disbursements

(Page 2, FEC FORM 3)

93040992077

Report Covering the Period		From 1/1/88 To 12/31/88	
I RECEIPTS		COLUMN A Total This Period	COLUMN B Calendar Year To Date
CONTRIBUTIONS (other than loans) FROM:			
a. Individuals (Persons Other Than Political Committees)		500.00	
b. Political Committees (Use Schedule A)		360.00	
c. Total of Contributions from Individuals		860.00	860.00
d. Political Party Committees		-0-	
e. Other Political Committees (such as PACs)		1,000.00	1,000.00
f. The Candidate		-0-	
g. TOTAL CONTRIBUTIONS (other than loans) (add 11(a)(ii), (b), (c) and (e))		1,860.00	1,860.00
TRANSFERS FROM OTHER AUTHORIZED COMMITTEES:			
a. Made or Guaranteed by the Candidate		-0-	
b. All Other Loans		-0-	
c. TOTAL TRANSFERS (add 12(a) and (b))		-0-	
OFFSETS TO OPERATING EXPENDITURES (Refunds, Rebates, etc.)			
a. From Receipts (such as interest, etc.)		11,164.26	11,164.26
b. TOTAL RECEIPTS (sum 11(a), 12, 13(c), 14 and 15)		11,364.26	11,364.26
II DISBURSEMENTS			
a. OPERATING EXPENDITURES		14,586.91	14,586.91
TRANSFERS TO OTHER AUTHORIZED COMMITTEES			
a. LOAN REPAYMENTS		-0-	-0-
b. Of Loans Made or Guaranteed by the Candidate		-0-	
c. Of All Other Loans		-0-	
d. TOTAL LOAN REPAYMENTS (add 19(a) and (b))		-0-	-0-
REFUNDS OF CONTRIBUTIONS TO:			
a. Individuals (Persons Other Than Political Committees)		-0-	
b. Political Party Committees		-0-	
c. Other Political Committees (such as PACs)		-0-	
d. TOTAL CONTRIBUTION REFUNDS (add 20(a), (b) and (c))		-0-	-0-
e. OTHER DISBURSEMENTS		200.00	200.00
f. TOTAL DISBURSEMENTS (add 17, 18, 19(c), 20(d) and 21)		56,786.91	56,786.91

III CASH SUMMARY

ASSETS AVAILABLE AT BEGINNING OF REPORTING PERIOD	\$ 323,610.00
LESS: RECEIPTS FROM PERIOD (LINE 15)	\$ 11,364.26
PLUS: OFFSETS TO OPERATING EXPENDITURES (LINE 14)	\$ 11,164.26
LESS: DISBURSEMENTS (LINE 22)	\$ 56,786.91
NET ASSETS AVAILABLE AT END OF REPORTING PERIOD (SUBTRACT LINE 22 FROM LINE 15)	\$ 323,610.00

LOANS

Full Name: Charles Reese

1. Full Name, Mailing Address and ZIP Code of Loan Source <u>Charles Reese</u> <u>112 E. Williams Pkwy</u> <u>Richmond, VA 22304</u>	Original Amount of Loan <u>50,000.00</u>	Comulative Payments To Date <u>0-</u>	Balance Outstanding at Close of This Period <u>50,000.00</u>
Election: <input type="checkbox"/> Primary, <input type="checkbox"/> General, <input type="checkbox"/> Other (specify)			
Terms: Date Incurred <u>11/73</u> Date Due <u>N/A</u> Interest Rate <u>N/A</u> <input type="checkbox"/> Unsecured <input type="checkbox"/> Secured			

List All Endorsers or Guarantors (if any) to Item A

1. Full Name, Mailing Address and ZIP Code	Name of Employer		
	Occupation		
	Amount Guaranteed Outstanding \$		
2. Full Name, Mailing Address and ZIP Code	Name of Employer		
	Occupation		
	Amount Guaranteed Outstanding \$		
3. Full Name, Mailing Address and ZIP Code	Name of Employer		
	Occupation		
	Amount Guaranteed Outstanding \$		

B Full Name, Mailing Address and ZIP Code of Loan Source	Original Amount of Loan	Comulative Payments To Date	Balance Outstanding at Close of This Period
Election: <input type="checkbox"/> Primary, <input type="checkbox"/> General, <input type="checkbox"/> Other (specify)			
Terms: Date Incurred _____ Date Due _____ Interest Rate _____ <input type="checkbox"/> Unsecured <input type="checkbox"/> Secured			

List All Endorsers or Guarantors (if any) to Item B

1. Full Name, Mailing Address and ZIP Code	Name of Employer		
	Occupation		
	Amount Guaranteed Outstanding \$		
2. Full Name, Mailing Address and ZIP Code	Name of Employer		
	Occupation		
	Amount Guaranteed Outstanding \$		
3. Full Name, Mailing Address and ZIP Code	Name of Employer		
	Occupation		
	Amount Guaranteed Outstanding \$		

Carry over the balance from Form 1081-3, Schedule B to the line of the Schedule B carry forward to appropriate line of Summary

93040992078

JAN 29 1989
CERTIFIED MAIL

REPORT OF RECEIPTS AND DISBURSEMENTS

For An Authorized Committee
(Summary Page)

1988 JAN 31 PM 2:52

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

USE FEC MAILING LABEL
OR
TYPE OR PRINT

1 NAME OF COMMITTEE (in full)
Committee for Congressman Charles Hise

2 ADDRESS (number and street) Check if different than previously reported
P.O. Box 1178

3 CITY STATE and ZIP CODE
Fayetteville, NC 28302

4 STATE DISTRICT
7th district

5 FEDERAL IDENTIFICATION NUMBER
014118

6 IS THIS REPORT AN AMENDMENT?

YES NO

4. TYPE OF REPORT

- April 15 Quarterly Report (Type of Election) (Type of Election) in the State of
- July 15 Quarterly Report (Type of Election) in the State of
- October 15 Quarterly Report Thirtieth day report following the General Election in the State of
- January 31 Year End Report in the State of
- July 31 Mid-Year Report (Non-election Year Only) Termination Report

This report contains activity for Primary Election General Election Special Election Runoff Election

SUMMARY

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
5. Covering Period <u>11/29/88</u> through <u>12/31/88</u>		
6. Net Contributions (other than loans)		
(a) Total Contributions (other than loans) (from Line 11.(a))	50.00	128,840.00
(b) Total Contribution Refunds (from Line 10.(d))	-0-	-0-
(c) Net Contributions (other than loans) (subtract Line 6.(b) from 6.(a))	50.00	128,840.00
7. Net Operating Expenditures		
(a) Total Operating Expenditures (from Line 17)	2,459.52	105,216.88
(b) Total Offsets to Operating Expenditures (from Line 14)	-0-	4,770.75
(c) Net Operating Expenditures (subtract Line 7.(b) from 7.(a))	2,459.52	105,216.88
8. Cash on Hand at Close of Reporting Period (from Line 27)	365,416.19	
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	-0-	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	50,000.00	

For further information contact
Federal Election Commission
999 E Street NW
Washington, DC 20543
Toll Free 800 424 9643
Local 202 376 1100

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete

Type or Print Name of Treasurer

Aaron G. Bunk

Signature of Treasurer

Aaron G. Bunk

Date

1/27/89

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing the Report to the penalties of

9304098293
9304098293

DETAILED SUMMARY PAGE
of Receipts and Disbursements
(Page 2, FEC FORM 3)

Name of Committee (in full) **Committee for Congressman Charlie Rose** Report Covering the Period From **10/20/88** to **11/28/88**

I. RECEIPTS

	COLUMN A Total This Period	COLUMN B Calendar Year-To-Date
11 CONTRIBUTIONS (other than loans) FROM		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A)		
(ii) Unitemized	50.00	
(iii) Total of contributions from individuals	50.00	12,340.00
(b) Political Party Committees	-0-	5,000.00
(c) Other Political Committees (such as PACs)	-0-	111,500.00
(d) The Candidate	-0-	-0-
(e) TOTAL CONTRIBUTIONS (other than loans) (add 11(a)(ii), (b), (c) and (d))	50.00	128,840.00
12 TRANSFERS FROM OTHER AUTHORIZED COMMITTEES	-0-	-0-
13 LOANS		
(a) Made or Guaranteed by the Candidate	-0-	-0-
(b) All Other Loans	-0-	-0-
(c) TOTAL LOANS (add 13(a) and (b))	-0-	-0-
14 OFFSETS TO OPERATING EXPENDITURES (Refunds, Rebates, etc.)	-0-	4,770.75
15 OTHER RECEIPTS (Dividends, Interest, etc.)	2,166.32	26,232.96
16 TOTAL RECEIPTS (add 11(e), 12, 13(c), 14 and 15)	2,216.32	159,843.71

II. DISBURSEMENTS

17 OPERATING EXPENDITURES	2,459.52	104,974.06
18 TRANSFERS TO OTHER AUTHORIZED COMMITTEES	-0-	-0-
19 LOAN REPAYMENTS		
(a) Of Loans Made or Guaranteed by the Candidate	-0-	-0-
(b) Of All Other Loans	-0-	-0-
(c) TOTAL LOAN REPAYMENTS (add 19(a) and (b))	-0-	-0-
20 REFUNDS OF CONTRIBUTIONS TO		
(a) Individuals/Persons Other Than Political Committees	-0-	-0-
(b) Political Party Committees	-0-	-0-
(c) Other Political Committees (such as PACs)	-0-	-0-
(d) TOTAL CONTRIBUTION REFUNDS (add 20(a), (b) and (c))	-0-	-0-
21 OTHER DISBURSEMENTS	-0-	7,750.00
22 TOTAL DISBURSEMENTS (add 17, 18, 19(c), 20(d) and 21)	2,459.52	117,724.06

III. CASH SUMMARY

23 CASH ON HAND AT BEGINNING OF REPORTING PERIOD	\$ 366,159.39
24 TOTAL RECEIPTS THIS PERIOD (from Line 16)	\$ 2,216.32
25 SUBTOTAL (add Line 23 and Line 24)	\$ 368,375.71
26 TOTAL DISBURSEMENTS THIS PERIOD (from Line 22)	\$ 2,459.52
27 CASH ON HAND AT CLOSE OF THE REPORTING PERIOD (subtract Line 26 from 25)	\$ 365,916.19

93040992030
07177379

LOANS

1829479729081

Name of Committee (if Full) Delegation for Congressman Charlie Rye			
A. Full Name, Mailing Address and ZIP Code of Loan Source Congressman Charlie Rye 612 Fort Williams Parkway Alexandria, VA 22304	Original Amount of Loan \$50,000.00	Cumulative Payments To Date \$0.00	Balance Outstanding at Close of This Period 50,000.00
Erection: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) _____ Terms: Date Incurred <u>11/71</u> Date Due <u>N/A</u> Interest Rate <u>N/A</u> <input type="checkbox"/> Secured			
List All Endorsers or Guarantors (if any) to Item A			
1. Full Name, Mailing Address and ZIP Code	Name of Employer		
	Occupation		
	Amount Guaranteed Outstanding \$		
2. Full Name, Mailing Address and ZIP Code	Name of Employer		
	Occupation		
	Amount Guaranteed Outstanding \$		
3. Full Name, Mailing Address and ZIP Code	Name of Employer		
	Occupation		
	Amount Guaranteed Outstanding \$		
B. Full Name, Mailing Address and ZIP Code of Loan Source			
		Original Amount of Loan	Balance Outstanding at Close of This Period
		Cumulative Payments To Date	
Erection: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) _____			
Terms: Date Incurred _____ Date Due _____ Interest Rate _____ <input type="checkbox"/> Secured			
List All Endorsers or Guarantors (if any) to Item B			
1. Full Name, Mailing Address and ZIP Code	Name of Employer		
	Occupation		
	Amount Guaranteed Outstanding \$		
2. Full Name, Mailing Address and ZIP Code	Name of Employer		
	Occupation		
	Amount Guaranteed Outstanding \$		
3. Full Name, Mailing Address and ZIP Code	Name of Employer		
	Occupation		
	Amount Guaranteed Outstanding \$		
SUBTOTALS This Period (This Page optional)			50,000.00
TOTALS This Period (last page in this line only)			50,000.00
Carry outstanding balance only to LINE 3, Schedule D, for this line. If no Schedule D, carry forward to appropriate line of Summary.			

REGULAR MAIL REPORT OF RECEIPTS AND DISBURSEMENTS
JAN 31 1990
 For An Authorized Committee
 (Summary Page)

USE FEC MAILING LABEL OR TYPE OR PRINT

1. NAME OF COMMITTEE (in full)
 Committee for Congressman Charlie Rose
 ADDRESS (number and street) Check if different than previously reported.
 P.O. Box 1178
 CITY, STATE and ZIP CODE
 Fayetteville, NC 28302

2. FEC ID NUMBER
 014118
 IS THIS REPORT AN AMENDMENT?
 YES NO

4. TYPE OF REPORT

April 15 Quarterly Report
 July 15 Quarterly Report
 October 15 Quarterly Report
 January 31 Year End Report
 July 31 Mid-Year Report (Non-election Year Only)
 Termination Report

This report contains activity for Primary Election General Election Special Election Runoff Election

SUMMARY

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
5. Covering Period <u>07/01/89</u> through <u>12/31/89</u>		
6. Net Contributions (other than loans)		
(a) Total Contributions (other than loans) (from Line 11(e))	1,950.00	37,110.00
(b) Total Contribution Refunds (from Line 20(d))	-0-	-0-
(c) Net Contributions (other than loans) (subtract Line 6(b) from 6(a))	1,950.00	37,110.00
7. Net Operating Expenditures		
(a) Total Operating Expenditures (from Line 17)	2,116.58	40,741.58
(b) Total Offsets to Operating Expenditures (from Line 14)	300.00	156.58
(c) Net Operating Expenditures (subtract Line 7(b) from 7(a))	1,816.58	39,585.00
8. Cash on Hand at Close of Reporting Period (from Line 27)	118,720.00	
9. Debts and Obligations Owed TO the Committee (itemize all on Schedule C and/or Schedule D)	-0-	
10. Debts and Obligations Owed BY the Committee (itemize all on Schedule C and/or Schedule D)	0.000.00	

For further information contact:
 Federal Election Commission
 999 E Street, NW
 Washington, DC 20463
 Toll Free 800 424 9510
 Local 202 376 3120

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer
 Alton G. Buck
 Signature of Treasurer
Alton G. Buck

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 25 U.S.C. 3041.

93040992082

DETAILED SUMMARY PAGE
of Receipts and Disbursements
(Page 2, FEC FORM 3)

Name of Committee (in full)	Report covering the period	
	From 07/01/89	To 12/31/89
Committee for Congressman Charlie Rose		
I. RECEIPTS	COLUMN A	COLUMN B
	Total This Period	Calendar Year To Date
11 CONTRIBUTIONS (other than loans) FROM		
(a) Individuals Persons Other Than Political Committees		
(i) Itemized (use Schedule A)	2,000.00	
(ii) Unitemized	4,000.00	
(iii) Total of contributions from individuals	6,000.00	19,510.00
(b) Political Party Committees		
(c) Other Political Committees (such as PACs)		
(d) The Candidate	27,600.00	27,600.00
(e) TOTAL CONTRIBUTIONS (other than loans) (add 11(a)(i)-(iii) and (d))	33,600.00	47,110.00
12 TRANSFERS FROM OTHER AUTHORIZED COMMITTEES	0-	0-
13 LOANS		
(a) Made or Guaranteed by the Candidate		
(b) All Other Loans		
(c) TOTAL LOANS (add 13(a) and (b))	0-	0-
14 OFFSETS TO OPERATING EXPENDITURES (Refunds, Rebates, etc.)	100.00	356.58
15 OTHER RECEIPTS (Dividends, Interest, etc.)	18,811.45	31,136.95
16 TOTAL RECEIPTS (add 11(e), 12, 13(c), 14 and 15)	52,411.45	88,603.53
II. DISBURSEMENTS		
17 OPERATING EXPENDITURES	17,008.42	45,274.13
18 TRANSFERS TO OTHER AUTHORIZED COMMITTEES	0-	0-
19 LOAN REPAYMENTS		
(a) Of Loans Made or Guaranteed by the Candidate		
(b) Of All Other Loans		
(c) TOTAL LOAN REPAYMENTS (add 19(a) and (b))	0-	0-
20 REFUNDS OF CONTRIBUTIONS TO		
(a) Individuals Persons Other Than Political Committees		
(b) Political Party Committees		
(c) Other Political Committees (such as PACs)		
(d) TOTAL CONTRIBUTION REFUNDS (add 20(a), (b) and (c))	0-	0-
21 OTHER DISBURSEMENTS	15.00	525.00
22 TOTAL DISBURSEMENTS (add 17, 18, 19(c), 20(d) and 21)	17,023.42	45,799.13

III. CASH SUMMARY

23 CASH IN HAND AT BEGINNING OF REPORTING PERIOD	\$ 432,372.36
24 SUBTOTAL (add Line 23 and Line 24)	\$ 584,784.01
25 DISBURSEMENTS FOR THE PERIOD (from Line 22)	\$ 45,799.13

93040992083

SCHEDULE C
(Revised 3/80)

LOANS

Page 1 of 1 for
LINE NUMBER 10
Use separate schedules
for each numbered line

Name of Committee (in Full) Committee for Congressman Charlie Rose			
A. Full Name, Mailing Address and ZIP Code of Loan Source Congressman Charlie Rose 622 Port Williams Parkway Alexandria, VA 22304	Original Amount of Loan 50,000.00	Cumulative Payments To Date (1)	Balance Outstanding at Close of This Period 50,000.00
Election: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)			
Terms: Date Incurred <u>11/73</u> Date Due <u>N/A</u> Interest Rate <u>N/A</u> % (apri) <input type="checkbox"/> Secured			
List All Endorsers or Guarantors (if any) to Item A			
1. Full Name, Mailing Address and ZIP Code	Name of Employer	Occupation	Amount Guaranteed Outstanding \$
2. Full Name, Mailing Address and ZIP Code	Name of Employer	Occupation	Amount Guaranteed Outstanding \$
3. Full Name, Mailing Address and ZIP Code	Name of Employer	Occupation	Amount Guaranteed Outstanding \$
B. Full Name, Mailing Address and ZIP Code of Loan Source			
Election: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)			
Terms: Date Incurred _____ Date Due _____ Interest Rate _____ % (apri) <input type="checkbox"/> Secured			
List All Endorsers or Guarantors (if any) to Item B			
1. Full Name, Mailing Address and ZIP Code	Name of Employer	Occupation	Amount Guaranteed Outstanding \$
2. Full Name, Mailing Address and ZIP Code	Name of Employer	Occupation	Amount Guaranteed Outstanding \$
3. Full Name, Mailing Address and ZIP Code	Name of Employer	Occupation	Amount Guaranteed Outstanding \$

93040992084

CERTIFIED MAIL REPORT OF RECEIPTS AND DISBURSEMENTS

APR - 9 1991

For An Authorized Committee
(Summary Page)

RECEIVED
FBI APR 17 AM 9 34

USE FEC MAILING LABEL OR PRINT

1. NAME OF COMMITTEE IN FULL
Committee For Congressman Charlie Rose

ADDRESS (number and street) Check if different than previously reported
P.O. Box 117A

CITY STATE and ZIP CODE **Fayetteville, NC 28302**

STATE/DISTRICT

2. FEED IDENTIFICATION NUMBER
03411A

3. IS THIS REPORT AN AMENDMENT?
 YES NO

4. TYPE OF REPORT

April 15 Quarterly Report

July 15 Quarterly Report

October 15 Quarterly Report

January 31 Year End Report

July 31 Mid-Year Report (Non-election Year Only)

Termination Report

Twelfth day report preceding _____ (Type of Election) election on _____ in the State of _____

Thirtieth day report following the General Election on _____ in the State of _____

This report contains activity for: Primary Election General Election Special Election Runoff Election

SUMMARY

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
5. Covering Period <u>11/27/90</u> through <u>12/31/90</u>		
6. Net Contributions (other than loans)		
(a) Total Contributions (other than loans) (from Line 11(a))	-0-	164,460.35
(b) Total Contribution Refunds (from Line 20(d))	-0-	3,250.00
(c) Net Contributions (other than loans) (subtract Line 6(b) from 6(a))	-0-	161,210.35
7. Net Operating Expenditures		
(a) Total Operating Expenditures (from Line 17)	3,489.94	82,049.73
(b) Total Offsets to Operating Expenditures (from Line 14)	-0-	4,764.07
(c) Net Operating Expenditures (subtract Line 7(b) from 7(a))	3,489.94	77,285.66
8. Cash on Hand at Close of Reporting Period (from Line 27)	540,833.14	
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	-0-	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	50,000.00	

For further information contact:
Federal Election Comm.
999 E Street, NW
Washington, DC 20463
Toll Free 800-424-9630
Local 202-376-3120

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

Alton G. Buck

Signature of Treasurer

Alton G. Buck

Date

4/9/91

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437c

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FEC FORM
(revised 4)

91714092303805

DETAILED SUMMARY PAGE
of Receipts and Disbursements
(Page 2, FEC FORM 2)

	Report Showing the Period	
	From 11/27/90	To 12/31/90
	COLUMN A Total Yr-to-Date	COLUMN B Calendar Year-To-Date
I. RECEIPTS		
11. CONTRIBUTIONS (other than loans) FROM:		
(a) Individuals/Persons Other Than Political Committees:		
(i) Bonded (use Schedule A)		
(ii) Unbonded		
(iii) Total of contributions from individuals	-	32,688.15
(b) Political Party Committees		2,717.00
(c) Other Political Committees (such as PACs)		129,055.20
(d) The Candidate		
(e) TOTAL CONTRIBUTIONS (other than loans) (add 11(a)(i), (ii), (iii) and (d))	-	164,460.35
12. TRANSFERS FROM OTHER AUTHORIZED COMMITTEES		-0-
13. LOANS:		
(a) Made or Guaranteed by the Candidate		
(b) All Other Loans		
(c) TOTAL LOANS (add 13(a) and (b))	-0-	-0-
14. OFFSETS TO OPERATING EXPENDITURES (Refunds, Rebates, etc.)	-0-	4,764.07
15. OTHER RECEIPTS (Dividends, Interest, etc.)	8,349.67	40,407.86
16. TOTAL RECEIPTS (add 11(e), 12, 13(c), 14 and 15)	8,349.67	209,632.28
II. DISBURSEMENTS		
17. OPERATING EXPENDITURES	3,489.94	82,049.73
18. TRANSFERS TO OTHER AUTHORIZED COMMITTEES	-0-	-0-
19. LOAN REPAYMENTS:		
(a) Of Loans Made or Guaranteed by the Candidate		
(b) Of All Other Loans		
(c) TOTAL LOAN REPAYMENTS (add 19(a) and (b))	-0-	-0-
20. REFUNDS OF CONTRIBUTIONS TO:		
(a) Individuals/Persons Other Than Political Committees		
(b) Political Party Committees		
(c) Other Political Committees (such as PACs)		
(d) TOTAL CONTRIBUTION REFUNDS (add 20(a), (b) and (c))	-0-	3,250.00
21. OTHER DISBURSEMENTS	-0-	22,220.00
22. TOTAL DISBURSEMENTS (add 17, 18, 19(c), 20(d) and 21)	3,489.94	107,519.73

III. CASH SUMMARY

23. CASH ON HAND AT BEGINNING OF REPORTING PERIOD	\$ 535,973.41
24. TOTAL RECEIPTS THIS PERIOD (from Line 16)	\$ 8,349.67
25. SUBTOTAL (add Line 23 and Line 24)	\$ 544,323.08
26. TOTAL DISBURSEMENTS THIS PERIOD (from Line 22)	\$ 3,489.94
27. CASH ON HAND AT CLOSE OF THE REPORTING PERIOD (subtract Line 26 from 25)	\$ 540,833.14

LOANS

Name of Committee (in Full) Committee for Congressman Charlie Rose				
A. Full Name, Mailing Address and ZIP Code of Loan Source Congressman Charlie Rose 622 Fort Williams Parkway Alexandria, VA 22304	Original Amount of Loan 50,000.00	Cumulative Payments To Date -0-	Balance Outstanding at Close of This Period 50,000.00	
Election: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):				
Terms: Date Incurred <u>11/73</u> Date Due <u>N/A</u> Interest Rate _____ % (apr) <input type="checkbox"/> Secured				
List All Endorsers or Guarantors (if any) to Item A				
1. Full Name, Mailing Address and ZIP Code	Name of Employer			
	Occupation			
	Amount Guaranteed Outstanding: \$			
2. Full Name, Mailing Address and ZIP Code	Name of Employer			
	Occupation			
	Amount Guaranteed Outstanding: \$			
3. Full Name, Mailing Address and ZIP Code	Name of Employer			
	Occupation			
	Amount Guaranteed Outstanding: \$			
B. Full Name, Mailing Address and ZIP Code of Loan Source		Original Amount of Loan	Cumulative Payments To Date	Balance Outstanding at Close of This Period
Election: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):				
Terms: Date Incurred _____ Date Due _____ Interest Rate _____ % (apr) <input type="checkbox"/> Secured				
List All Endorsers or Guarantors (if any) to Item B				
1. Full Name, Mailing Address and ZIP Code	Name of Employer			
	Occupation			
	Amount Guaranteed Outstanding: \$			
2. Full Name, Mailing Address and ZIP Code	Name of Employer			
	Occupation			
	Amount Guaranteed Outstanding: \$			
3. Full Name, Mailing Address and ZIP Code	Name of Employer			
	Occupation			
	Amount Guaranteed Outstanding: \$			
SUBTOTALS This Period This Page (optional)			\$ 50,000.00	
TOTALS This Period Next page in this line only!			\$ 50,000.00	

APR 15 1992
CERTIFIED MAIL

REPORT OF RECEIPTS AND DISBURSEMENTS
 For An Authorized Committee
 (Summary Page)

nc/pj

USE FEC MAILING LABEL OR PRINT

1. NAME OF COMMITTEE (in full) Committee For Congressman Charlie Rose		2. FEC IDENTIFICATION NUMBER 034118
ADDRESS (number and street) <input type="checkbox"/> Check if different than previously reported. P.O. Box 1178		3. IS THIS REPORT AN AMENDMENT? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
CITY, STATE and ZIP CODE Fayetteville, N.C. 28302	STATE/DISTRICT 7th North Carolina	

4. TYPE OF REPORT

April 15 Quarterly Report
 July 15 Quarterly Report
 October 15 Quarterly Report
 January 31 Year End Report
 July 31 Mid-Year Report (Non-election Year Only)
 Termination Report

Twelfth day report preceding _____ (Type of Election)
 election on _____ in the State of _____
 Thirtieth day report following the General Election on _____
 in the State of _____

This report contains activity for Primary Election General Election Special Election Runoff Election

SUMMARY

5. Covering Period	COLUMN A This Period	COLUMN B Calendar Year-to-Date
4/16/92 through 6/30/92		
6. Net Contributions (other than loans)		
(a) Total Contributions (other than loans) (from Line 11(a))	95,285.66	114,020.66
(b) Total Contribution Refunds (from Line 20(d))		
(c) Net Contributions (other than loans) (subtract Line 6(b) from 6(a))	95,285.66	114,020.66
7. Net Operating Expenditures		
(a) Total Operating Expenditures (from Line 17)	22,336.44	36,071.33
(b) Total Offsets to Operating Expenditures (from Line 14)		
(c) Net Operating Expenditures (subtract Line 7(b) from 7(a))	22,336.44	36,071.33
8. Cash on Hand at Close of Reporting Period (from Line 27)	697,254.02	
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)		
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	50,000.00	

For further information contact:
 Federal Election Commission
 999 E Street, NW
 Washington, DC 20463
 Toll Free 800-424-9530
 Local 202-376-3123

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer
 Alton G. Buck
 Signature of Treasurer
Alton G. Buck
 Date
 7/15/92

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437c.

FEC FORM 3
 (revised 4/87)

93040992088

DETAILED SUMMARY PAGE
of Receipts and Disbursements
(Page 2, FEC FORM 3)

Name of Committee (in full) **Committee For Congressman Charlie Rose** Report Covering the Period: From **4/16/92** To **6/30/92**

I. RECEIPTS

	COLUMN A Total This Period	COLUMN B Calendar Year-To-Date	
11. CONTRIBUTIONS (other than loans) FROM:			
(a) Individuals/Persons Other Than Political Committees			
(i) Itemized (use Schedule A)	30,535.00		11(a)(i)
(ii) Unitemized			11(a)(ii)
(iii) Total of contributions from individuals	30,535.00	35,270.00	11(a)(iii)
(b) Political Party Committees			11(b)
(c) Other Political Committees (such as PACs)	64,750.66	78,750.66	11(c)
(d) The Candidate			11(d)
(e) TOTAL CONTRIBUTIONS (other than loans) (add 11(a)(i), (b), (c) and (d))	95,285.66	114,020.66	11(e)

12. TRANSFERS FROM OTHER AUTHORIZED COMMITTEES 12

13. LOANS:

(a) Made or Guaranteed by the Candidate			13(a)
(b) All Other Loans			13(b)
(c) TOTAL LOANS (add 13(a) and (b))			13(c)

14. OFFSETS TO OPERATING EXPENDITURES (Refund, Rebates, etc.) 14

15. OTHER RECEIPTS (Dividends, Interest, etc.) 15

	9,098.71	14,951.09	15
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16. TOTAL RECEIPTS (add 11(e), 12, 13(c), 14 and 15) 16

	103,384.37	129,871.75	16
--	------------	------------	----

II. DISBURSEMENTS

17. OPERATING EXPENDITURES 17

	77,336.44	76,071.33	17
--	-----------	-----------	----

18. TRANSFERS TO OTHER AUTHORIZED COMMITTEES 18

19. LOAN REPAYMENTS:

(a) Of Loans Made or Guaranteed by the Candidate			19(a)
(b) Of All Other Loans			19(b)
(c) TOTAL LOAN REPAYMENTS (add 19(a) and (b))			19(c)

20. REFUNDS OF CONTRIBUTIONS TO:

(a) Individuals/Persons Other Than Political Committees			20(a)
(b) Political Party Committees			20(b)
(c) Other Political Committees (such as PACs)			20(c)
(d) TOTAL CONTRIBUTION REFUNDS (add 20(a), (b) and (c))			20(d)

21. OTHER DISBURSEMENTS 21

		100.00	21
--	--	--------	----

22. TOTAL DISBURSEMENTS (add 17, 18, 19(c), 20(d) and 21) 22

	77,336.44	76,171.33	22
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III. CASH SUMMARY

23. CASH ON HAND AT BEGINNING OF REPORTING PERIOD	\$	616,206.09	23
24. TOTAL RECEIPTS THIS PERIOD (from Line 16)	\$	103,384.37	24
25. SUBTOTAL (add Line 23 and Line 24)	\$	719,590.46	25
26. TOTAL DISBURSEMENTS THIS PERIOD (from Line 22)	\$	77,336.44	26
27. CASH ON HAND AT CLOSE OF THE REPORTING PERIOD (subtract Line 26 from 25)	\$	642,254.02	27

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Name of Committee (in Full)			
Committee for Congressman Charlie Rose			
A. Full Name, Mailing Address and ZIP Code of Loan Source	Original Amount of Loan	Committed Payment To Date	Balance Outstanding at Close of This Period
Congressman Charlie Rose 622 Ft. William Pkwy Alexandria, Va. 22304	50,000.00	-0-	50,000.00
Section: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):			
Terms: Date Invoiced _____ Date Due _____ Interest Rate _____ % (per) <input type="checkbox"/> Secured			
List All Endorsers or Guarantors (if any) to Item A			
1. Full Name, Mailing Address and ZIP Code	Name of Employer		
	Occupation		
	Amount Guaranteed Outstanding		
2. Full Name, Mailing Address and ZIP Code	Name of Employer		
	Occupation		
	Amount Guaranteed Outstanding		
3. Full Name, Mailing Address and ZIP Code	Name of Employer		
	Occupation		
	Amount Guaranteed Outstanding		
B. Full Name, Mailing Address and ZIP Code of Loan Source	Original Amount of Loan	Committed Payment To Date	Balance Outstanding at Close of This Period
Section: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):			
Terms: Date Invoiced _____ Date Due _____ Interest Rate _____ % (per) <input type="checkbox"/> Secured			
List All Endorsers or Guarantors (if any) to Item B			
1. Full Name, Mailing Address and ZIP Code	Name of Employer		
	Occupation		
	Amount Guaranteed Outstanding		
2. Full Name, Mailing Address and ZIP Code	Name of Employer		
	Occupation		
	Amount Guaranteed Outstanding		
3. Full Name, Mailing Address and ZIP Code	Name of Employer		
	Occupation		
	Amount Guaranteed Outstanding		
SUBTOTALS This Period This Page (optional)			50,000.00
TOTALS This Period (See page 1b for line 1b)			50,000.00

9 3 0 14 10 39 89 42 30 69 10



FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20461

October 16, 1992

Tom Cole, Executive Director
National Republican Congressional Committee
320 First Street, S.E.
Washington, DC 20003

RE: MUR 3652

Dear Mr. Cole:

This letter acknowledges receipt on October 14, 1992, of your complaint alleging possible violations of the Federal Election Campaign Act of 1971, as amended ("the Act"), by Congressman Charles G. Rose, III, the Committee for Congressman Charlie Rose and Alton G. Buck, as treasurer. The respondents will be notified of this complaint within five days.

You will be notified as soon as the Federal Election Commission takes final action on your complaint. Should you receive any additional information in this matter, please forward it to the Office of the General Counsel. Such information must be sworn to in the same manner as the original complaint. We have numbered this matter MUR 3652. Please refer to this number in all future correspondence. For your information, we have attached a brief description of the Commission's procedures for handling complaints.

Sincerely,

Lisa Klein JB

Lisa E. Klein
Assistant General Counsel

Enclosure
Procedures

93040992091



FEDERAL ELECTION COMMISSION
WASHINGTON, DC 20461

October 16, 1992

Alton G. Buck, Treasurer
Committee for Congressman Charlie Rose
P.O. Box 1178
Fayetteville, NC 28302

RE: MUR 3652

Dear Mr. Buck:

The Federal Election Commission received a complaint which indicates that the Committee for Congressman Charlie Rose ("Committee") and you, as treasurer, may have violated the Federal Election Campaign Act of 1971, as amended ("the Act"). A copy of the complaint is enclosed. We have numbered this matter MUR 3652. Please refer to this number in all future correspondence.

Under the Act, you have the opportunity to demonstrate in writing that no action should be taken against the Committee and you, as treasurer, in this matter. Please submit any factual or legal materials which you believe are relevant to the Commission's analysis of this matter. Where appropriate, statements should be submitted under oath. Your response, which should be addressed to the General Counsel's Office, must be submitted within 15 days of receipt of this letter. If no response is received within 15 days, the Commission may take further action based on the available information.

This matter will remain confidential in accordance with 2 U.S.C. § 437g(a)(4)(B) and § 437g(a)(12)(A) unless you notify the Commission in writing that you wish the matter to be made public. If you intend to be represented by counsel in this matter, please advise the Commission by completing the enclosed form stating the name, address and telephone number of such counsel, and authorizing such counsel to receive any notifications and other communications from the Commission.

93040992092

Alton G. Buck, Treasurer
Committee for Congressman Charlie Rose
Page 2

If you have any questions, please contact Noriega James, the staff member assigned to this matter, at (202) 219-3400. For your information, we have enclosed a brief description of the Commission's procedures for handling complaints.

Sincerely,

Lisa Klein JB

Lisa E. Klein
Assistant General Counsel

Enclosures

1. Complaint
2. Procedures
3. Designation of Counsel Statement

93040992093



FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20461

October 16, 1992

Congressman Charles G. Rose, III
Committee on House Administration
Attn. Eric Kleinfeld
H326 Capitol
Washington, DC 20515-6157

RE: MUR 3652

Dear Mr. Rose:

The Federal Election Commission received a complaint which indicates that you may have violated the Federal Election Campaign Act of 1971, as amended ("the Act"). A copy of the complaint is enclosed. We have numbered this matter MUR 3652. Please refer to this number in all future correspondence.

Under the Act, you have the opportunity to demonstrate in writing that no action should be taken against you in this matter. Please submit any factual or legal materials which you believe are relevant to the Commission's analysis of this matter. Where appropriate, statements should be submitted under oath. Your response, which should be addressed to the General Counsel's Office, must be submitted within 15 days of receipt of this letter. If no response is received within 15 days, the Commission may take further action based on the available information.

This matter will remain confidential in accordance with 2 U.S.C. § 437g(a)(4)(B) and § 437g(a)(12)(A) unless you notify the Commission in writing that you wish the matter to be made public. If you intend to be represented by counsel in this matter, please advise the Commission by completing the enclosed form stating the name, address and telephone number of such counsel, and authorizing such counsel to receive any notifications and other communications from the Commission.

93040992094

Congressman Charles G. Rose, III
Page 2

If you have any questions, please contact Noriega James, the staff member assigned to this matter, at (202) 219-3400. For your information, we have enclosed a brief description of the Commission's procedures for handling complaints.

Sincerely,

Lisa Klein *JB*

Lisa E. Klein
Assistant General Counsel

Enclosures

1. Complaint
2. Procedures
3. Designation of Counsel Statement

93040992095



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

October 21, 1992

Robert C. Anderson
511 Argyll Road
Fayetteville, NC 28303-5101

RE: MUR 3652

Dear Mr. Cole:

This letter acknowledges receipt on October 14, 1992, of your complaint alleging possible violations of the Federal Election Campaign Act of 1971, as amended ("the Act"), by Congressman Charles G. Rose, III, the Committee for Congressman Charlie Rose and Alton G. Buck, as treasurer. The respondents will be notified of this complaint within five days.

You will be notified as soon as the Federal Election Commission takes final action on your complaint. Should you receive any additional information in this matter, please forward it to the Office of the General Counsel. Such information must be sworn to in the same manner as the original complaint. We have numbered this matter MUR 3652. Please refer to this number in all future correspondence. For your information, we have attached a brief description of the Commission's procedures for handling complaints.

Sincerely,

Lisa E. Klein
Assistant General Counsel

Enclosure
Procedures

93040992096

STATEMENT OF DESIGNATION OF COUNSEL

06C 7240

MUR 3652

NAME OF COUNSEL: Eric F. Kleinfeld William C. Oldaker
ADDRESS: Heidi M. Pender Manatt, Phelps, et al.
H-326, The Capitol 1200 New Hampshire Ave., #200
Washington, DC 20515 Washington, DC 20036
TELEPHONE: 202/225-2061 202/463-4362

RECEIVED
FEDERAL ELECTORAL COMMISSION
92 NOV -2 PM 4:05

The above-named individual is hereby designated as my counsel and is authorized to receive any notifications and other communications from the Commission and to act on my behalf before the Commission.

10/23/92
Date

Alton S. Buck
Signature

RESPONDENT'S NAME: Committee for Congressman Charlie Rose
ADDRESS: Alton Buck, Treasurer
P.O. Box 1178
Fayetteville, N.C. 28302
HOME PHONE: (919) 425-4918
BUSINESS PHONE: (919) - -8101

93040992097

STATEMENT OF DESIGNATION OF COUNSEL

MUR 3652

NAME OF COUNSEL: Eric F. Kleinfeld William C. Oldaker

ADDRESS: Heidi M. Pender Manatt, Phelps, et al.
H-326, The Capitol 1200 New Hampshire Avenue, #200
Washington, DC 20515 Washington, DC 20036

TELEPHONE: (202) 225-2061 (202) 463-4362

The above-named individual is hereby designated as my
counsel and is authorized to receive any notifications and other
communications from the Commission and to act on my behalf before
the Commission.

10-28-92
Date

Charlie Rose
Signature

RESPONDENT'S NAME: Charlie Rose

ADDRESS: Committee on House Administration
H-326, The Capitol
Washington, DC 20515

HOME PHONE: _____

BUSINESS PHONE: (202) 225-2061

93040992098

MANATT, PHELPS, PHILLIPS & KANTOR

A PARTNERSHIP INCLUDING PROFESSIONAL CORPORATIONS

ATTORNEYS AT LAW

1200 NEW HAMPSHIRE AVENUE, N.W.

SUITE 200

WASHINGTON, D.C. 20036-6889

LOS ANGELES

1355 WEST OLYMPIC BOULEVARD
LOS ANGELES, CALIFORNIA 90064-1504
(310) 382-4000

TELEPHONE (202) 463-4300
FAX (202) 463-4394
(202) 463-4395

November 2, 1992

Lawrence M. Noble, General Counsel
Federal Election Commission
999 E Street, N.W.
6th Floor
Washington, D.C. 20463

Re: MUR 3652 Committee for Congressman
Charlie Rose, Alton S. Buck, as
Treasurer, and Congressman Charlie Rose

92 NOV -2 PM 14 US

RECEIVED
FEDERAL ELECTION COMMISSION

Dear Mr. Noble:

This is the response of the Committee for Congressman Charlie Rose ("Committee") and Alton S. Buck, as Treasurer, and Congressman Charlie Rose to the complaint filed by the National Republican Congressional Committee and Robert Anderson. For the reasons stated below, the Committee respectfully requests that the Federal Election Commission ("Commission") find no reason to believe that any provisions of the Federal Election Campaign Act of 1971, as amended, or the Commission's regulations have been violated, dismiss the complaint, and close the file in this matter.

In short, the complaint alleges that the Committee's reports of receipts and disbursements have misreported a \$50,000 debt owed by the Committee to the candidate since 1988. As demonstrated below, the Committee's Treasurer, based on evidence which he reviewed, conclusively determined that a debt existed and should be disclosed. Moreover, the Treasurer relied upon the contemporaneous advice given by the Commission as to the propriety of disclosing this particular debt.

In addition, the complaint alleges that the Committee will, sometime in the future, use campaign funds for the candidate's personal benefit. Neither the Committee nor the candidate has the intention of using campaign funds for personal use in the future. In fact, the candidate has already publicly stated that, should he be re-elected on November 3, 1992, he will seek the Commission's advice as to how to forgive the loan and make it a contribution to the Committee. Additionally, this allegation

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being entirely prospective, will not give rise to a violation of the Act or regulations.

The origin of the debt, 1970-1973

In his first two campaigns for the House of Representatives in 1970 and 1972, Congressman Charlie Rose borrowed a variety of sums from commercial banks and his father to provide the seed money for those campaigns. His initial run in 1970 against an incumbent proved to be unsuccessful, but two years later, in a race for an open seat, he was elected to his first term in Congress.

In 1972, Congressman Rose and his father loaned a total of \$45,900 to the Congressman's campaign. This money was a combination of personal funds and loans obtained from banks in the seventh district of North Carolina. See Affidavits of Charles G. Rose, III and Charles G. Rose, Jr.

Shortly thereafter, in 1973, the Congressman's father, who was primarily responsible for financial advice and management (both personal and campaign) to the Congressman, determined that the best course of action, at that time, would be to satisfy the debts remaining from the 1972 campaign. This was accomplished by borrowing \$50,000 from First Citizens Bank. See Affidavits of Charles G. Rose, III and Charles G. Rose, Jr.

The proceeds of the loan were used to pay 1972 campaign debts. Because Congressman Charlie Rose was in his first term and was personally unable to borrow the money, his father became the principal on the loan. However, the clear and stated, albeit oral, understanding at the time was that the campaign was responsible for paying this sum to the Congressman, who, in turn was responsible for paying his father. See Affidavits of Charles G. Rose, III and Charles G. Rose, Jr. Essentially, because the money was initially loaned to the campaign, it was expected that the campaign would satisfy this loan.

Reporting of the loan, 1972-1974

Contemporaneous reports filed by the campaign, under the laws as they existed at that time, verify the existence of all loans. See Committee reports attached hereto. All loans were reported either on federal reports submitted to the Clerk of the House, pursuant to the Act, or on state reports submitted to the North Carolina Secretary of State, as required by the North Carolina Corrupt Practices Act, N.C. Gen. Stat. 163.259 - 163.268 (repealed in 1975).

Congressman Rose's federal filings account for \$33,900 in

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loans to his campaign.¹ In addition, loans of \$7,500 and \$2,000 by the Congressman and a loan of \$2,500 by the Congressman's father are separately disclosed on the state filings², for a total campaign indebtedness of \$45,900.³

In addition, on the notarized federal Statement of Organization filed by the Committee in 1974, the Committee indicated that debts remained outstanding from the 1972 election, in response to question 9:

9. In the event of dissolution, what disposition will be made of residual funds?

Repay outstanding debts from 1972 campaign.

See Committee for Congressman Charlie Rose 1974 Federal Statement of Organization at 2.

Reporting of the loan, 1987

When questions were raised by the Republican Party of North Carolina just prior to the 1986 election, the Committee on Standards of Official Conduct of the House began an exhaustive review of the Congressman's personal and campaign finances,

¹ Congressman Rose's federal reports show a direct bank loan of \$20,000 from First Citizens Bank of Fayetteville, North Carolina, and a \$5,150 contribution from the Congressman's father. The latter, as the sworn testimony provided to the Committee on Standards indicated, was a loan made pursuant to an oral agreement (oral loans were permitted under the Act at this time).

In addition, Congressman Rose's initial federal report in 1972 reflected a beginning cash-on-hand balance of \$14,428.12. This amount included an oral loan from the Congressman's father of \$8,750 and which was separately reported on the Congressman's North Carolina filing. (The effective date of the Act of 1971 was April 7, 1972. Until that date, even though candidates were raising funds for the 1972 primaries, they incurred no federal reporting requirements. As of April 7, 1972, candidates were required to complete federal reports including a start-up balance of cash-on-hand comprising previously raised contributions.)

² North Carolina state law in effect at that time required federal candidates to file reports on North Carolina forms. Those forms contained no separate schedule for the reporting of loans; loans were reported as contributions under state law.

³ The indebtedness grew to \$50,000 in 1973, through interest charged by the Congressman's father.

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dating from 1970 to 1987. The Committee on Standards employed outside auditors to review those finances, and the Congressman did so as well.

During the initial stages of that review, the Committee's Treasurer uncovered evidence of the loan. See Affidavit of Alton S. Buck. Even though the loan had not been carried on the Committee's reports, the Treasurer, in carrying out his duties and responsibilities under the Act, determined that conclusive evidence of a loan existed and that such a loan should be disclosed on the Committee's reports. See Affidavit of Alton S. Buck. As a result, the loan has been carried as a debt of the Committee to the Congressman.

The evidence included the federal and state filings of the campaign, the bank ledger card, and the statements of campaign officials. See Affidavit of Alton S. Buck. After reviewing the evidence, the Treasurer had a note executed to memorialize the loan.

Advice of the Commission to disclose the loan

In addition, after discussions with the Treasurer, as to his conclusion that the loan should be listed on the Committee's reports, one of the counsel to the Committee contacted the then General Counsel of the Commission. See Affidavit of Heidi M. Pender. After hearing an explanation of the facts, the General Counsel stated that it would be proper and legal to list the loan on the Committee's reports. See Affidavit of Heidi M. Pender.

While the Committee recognizes that no formal Advisory Opinion was requested, the discussions with the General Counsel in 1987 led the Committee to conclude that no provision of statute or regulation would be violated by listing the loan on the Committee's reports and to rely upon this advice.

This contemporaneous informal advice was reported in the North Carolina press at that time. See Wilmington Star News, August 12, 1988, attached hereto. The Committee believes that nothing has changed in the intervening years to alter the advice which was offered and the conclusions which were given.

Committee on Standards findings

The Commission should note that the complainants' characterization of the Standards Committee findings is blatantly misleading and wrong. Primarily, the assertion that the Committee on Standards stated that the loan did not exist was invented by the complainants, most probably to mislead the Commission into making a finding prematurely.

The Committee on Standards never stated that the money was

93040992102

not loaned initially to the campaign.

The Committee on Standards never stated that the loan did not exist in 1987.

The Committee on Standards did "accept the premise that a Member may legitimately loan money to his campaign". See Report of the Committee on Standards of Official Conduct ("Report"), No. 100-526, 100th Cong. 2d sess., at 24. Standards also recognized that the obligation "should continue to be carried forward as long as the obligation exists". See Report at 24.

Importantly, the Standards Committee recognized that Alton "Buck felt there was sufficient evidence to support the execution of a \$50,000 promissory note". See Report at 12.

In fact, complainants confuse money owed by the Congressman to the campaign with money owed by the campaign to the Congressman. The Committee on Standards did state that the Congressman did not owe any money to the campaign. Standards did not state that the campaign did not owe any money to the Congressman.⁴

Conclusions of law

1. Reporting of loan

Complainants allege a violation of 11 cfr part 104, without citing a specific section of the regulations and also without citing the reporting provisions of the Act. Their allegations of false reporting is unsubstantiated by the facts and, more specifically, is contradicted by the sworn statements attached to this response.

Section 104.14 gives the Treasurer responsibility for the accuracy of the reports. As the Treasurer stated in his sworn affidavit, he concluded that verification of a valid loan existed and that it should be reported. That conclusion was confirmed by the informal advice of the Commission.

Complainants reliance upon Advisory Opinion ("AO") 1977-58 (CCH 5285) is misplaced. This is not a case of retroactive designation. More relevant is Advisory Opinion 1982-64 (CCH 5705). In that opinion, a candidate consolidated pre-existing personal debts, i.e., those that predated his candidacy for the House, with a bank loan. The Commission concluded, that to the extent that the outstanding loan balance represented debt incurred with respect to the individual's candidate status, the

⁴ The Standards Committee did state that the Congressman was not entitled to repayment.

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loan may be assigned to the Committee.⁵

The loans incurred in this matter in 1972 and 1973 were all campaign loans. Thus, under reasoning similar to AO 1982-64, the Committee could be made responsible for the repayment.

Accordingly, the Commission should find that there is no reason to believe that any violation of the Act or regulations has occurred with respect to the reporting of this transaction.

2. Future use of funds

Complainants also allege a potential violation of 2 U.S.C. section 439a by claiming that sometime, in the future, campaign funds will be used for personal purposes. Congressman Rose has clearly stated his intentions in his attached affidavit. Congressman Rose has also publicly stated that, should he be re-elected on November 3, 1992, he will seek the Commission's advice as to how to forgive the loan.

More importantly, Congressman Rose stated his intention not to seek repayment to the Committee on Standards before that Committee released its report. See Statement of Congressman Charlie Rose Before the Committee on Standards of Official Conduct, November 5, 1987, made part of Report at 345-6.

Accordingly, the Commission should find that there is no reason to believe that any violation of the Act or regulations has occurred with respect to the prospective use of Committee funds.

Conclusion

For the reasons stated above, respondents respectfully request that the Commission find no reason to believe that any violation of the Act or regulations has occurred, to dismiss the complaint, and to close the file in this matter.

Sincerely,



William C. Oldaker
for Respondents

Attachments

⁵ The requestor was then expressly permitted to retire the debt with contributions that were solicited, received and reported in accordance with the limits, prohibitions and disclosure requirements of the Act and Commission regulations.

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Attachments

1. Affidavit of Congressman Charlie Rose
2. Affidavit of Alton Buck
3. Affidavit of Heidi M. Pender
4. Affidavit of Charles G. Rose, Jr.
5. Copy of Promissory Note
6. Wilmington Star News Article
7. Statement of Congressman Charlie Rose
Before the Committee on Standards
(excerpts)
8. 1972 Federal and State Reports
9. 1974 Statement of Organization

93040992105

BEFORE THE FEDERAL ELECTION COMMISSION

AFFIDAVIT OF CHARLES G. ROSE, III

Charles G. Rose, III, first being duly sworn, deposes and says:

1. I am a duly elected Member of the House of Representatives from the 7th Congressional District of North Carolina.

2. In connection with my 1972 campaign, the Committee for Congressman Charlie Rose ("Committee") received \$45,900 in loans, as explained below in paragraphs 3-5.

3. In 1972, I made two loans to my campaign: One on April 20, 1972 in the amount of \$7,500 and one on June 2, 1972 in the amount of \$2,000. I obtained these loans from banking institutions in the regular course of business, and both were duly reported on the North Carolina Corrupt Practices Act filings as prepared by Herbert G. Stiles, Campaign Finance Manager for the Rose for Congress Committee in 1972.

4. On May 23, 1972 my campaign secured and I guaranteed a \$20,000 note from First Citizens Bank in Fayetteville, North Carolina. This loan was reported on June 16, 1972 to the Clerk of the House of Representatives. I assumed financial responsibility for this campaign debt because the campaign was without sufficient funds to repay the note.

5. In 1972, I entered in to an oral agreement with my father, Charles G. Rose, Jr. Under the terms of this Agreement, Charles G. Rose, Jr. made three loans to my campaign: On April 7, 1972 in the amount of \$5,750, on May 5, 1972, in the amount of \$5,150 and on June 2, 1972, in the amount of \$2,500. I guaranteed and assumed financial responsibility for the repayment of those campaign debts, until such time as I believed the Committee was financially and politically able to repay me.

6. Because of the difficulty in making payments on the loans from the 1972 race as they were due, I sought help from my father, Charles G. Rose, Jr., in obtaining funds to repay these loans. In my recollection, I caused to be executed a \$50,000 note on November 21, 1973 for all outstanding 1972 campaign debts. I assumed financial responsibility for the repayment of this debt until such time as the Committee was financially and politically able to repay me.

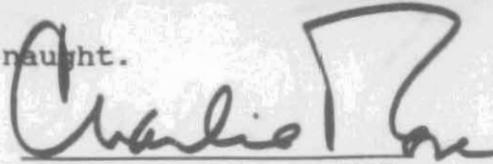
7. I fully expected the campaign to repay me for the loan when it was financially and politically able to do so. Moreover, I believed that my campaign, specifically, Herbert G. Stiles and Anthony E. Rand, fully understood its obligation to repay me for the loan when it was financially and politically able to do so.

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8. When this matter was reviewed by the House Committee on Standards of Official Conduct, my accountant examined the available documentation and concluded that the loan should be reported on the Committee's report of receipts and disbursements. The loan has been carried on the Committee's reports to date.

9. In accordance with the Report of the Committee on Standards of Official Conduct, No. 100-528, 100th Congress, 2d Sess., I do not intend to seek or accept repayment of the loan from the Committee.

10. Further, Affiant sayeth naught.



Charles G. Rose, III

Subscribed and sworn to before me this 24th day of October, 1992.



Notary Public

My Commission expires: Aug 14, 1994

93040992107

BEFORE THE FEDERAL ELECTION COMMISSION

AFFIDAVIT OF ALTON G. BUCK

Alton G. Buck, first being duly sworn, deposes and says:

1. I am currently a retired Certified Public Accountant residing in Fayetteville, N.C. To the best of my recollection, I have served as the accountant for the Committee for Congressman Charlie Rose ("Committee") from about 1974 to 1991.

2. Prior to the time I became accountant for the Committee, the campaign books and records were not kept in an orderly or complete fashion. As a result, I was unable to see all prior campaign filings. Further, I did not have in my possession any of the prior filings except for the last filing made prior to my assumption of the accountant position.

3. I did not see any of the 1970 or 1972 filings made under the North Carolina Corrupt Practices Act.

4. As the campaign's accountant, I became aware of a debt the campaign owed the Congressman, however, I had no actual knowledge of the transaction which gave rise to the debt. I gained this knowledge through discussions with campaign officials, Anthony R. Rand and Herbert G. Stiles, as well as with Congressman Rose.

5. I was aware that Charles G. Rose, Jr. had lent money to the campaign; that Congressman Rose assumed financial responsibility for those loans; and that the campaign would have to repay Congressman Rose for the loans when it was financially and politically able to do so.

6. Because I was unable to find any records of the loan transactions I was concerned about reporting a past loan for which no records were available. Thereafter, I learned that records were available which would help me correctly characterize the transaction in question. I learned what the early filings contained with respect to loans made by the Congressman and his father. Further, I saw the bank ledger card of the November, 1973 \$50,000 loan.

7. The documentation I reviewed, as well as the discussions I had, in my opinion, established a valid loan of \$50,000 from Congressman Rose to his principal campaign committee. I authorized a note to be executed memorializing the loan.

8. Accordingly, having reviewed the documentation establishing a valid obligation of the Committee, I believed that it was my duty under the Federal Election Campaign Act. I consulted with counsel to the Committee who informed me that they were informed by the Federal Election Commission's General Counsel that it would be proper and legal to list the loan on the

93040992108

Committee's next report.

9. Further, Alliant sayeth naught.

Alton G. Buck
Alton G. Buck

Subscribed and sworn to before me this 23 day of
October, 1992.

Therese O'Neil
Notary Public

My Commission expires: 4-18-94

93040992109

BEFORE THE FEDERAL ELECTION COMMISSION

AFFIDAVIT OF HEIDI M. PENDER

Heidi M. Pender, first being duly sworn, deposes and says:

1. I am currently Special Counsel to the Chairman of the Committee on House Administration.

2. During 1986 and for the duration of the House Committee on Standards of Official Conduct review of Congressman Charlie Rose's personal and campaign finances, I served as one of the counsel to Mr. Rose and to his principal campaign committee, the Committee for Congressman Charlie Rose ("Committee").

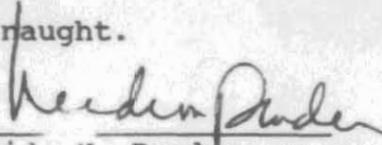
3. During this review, I had discussions with the Committee's accountant, Alton Buck, who informed me that he had reviewed certain evidence which led him to conclude that the Committee owed Congressman Rose \$50,000, as repayment for loans made in 1972. Further, the Committee's accountant was under the impression that the Federal Election Campaign Act of 1971, as amended, ("Act") required him to list the loan on the Committee's disclosure reports.

4. Having been asked by Mr. Buck for advice as to the propriety of reporting the loan, I placed a call to the General Counsel of the Federal Election Commission, Charles Steele. Mr. Steele and I were already acquainted with each other through previous discussions of unrelated matters pertaining to the Act and the Federal Election Commission.

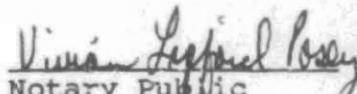
5. In my telephone discussion with Mr. Steele, I informed him as to the specific facts of this matter involving the debt owed by the Committee to Congressman Rose. Mr. Steele informed me that it would be both proper and legal to list the loan on the Committee's report.

6. I relayed Mr. Steele's advice to the campaign accountant, who in reliance thereon, reported the loan.

7. Further affiant sayeth naught.


Heidi M. Pender

Subscribed and sworn to before me this 24th day of October, 1992.


Notary Public

My Commission expires: Aug 14 1994

93040992110

BEFORE THE HOUSE COMMITTEE
ON STANDARDS OF OFFICIAL CONDUCT

AFFIDAVIT OF CHARLES G. ROSE, JR.

Charles G. Rose, Jr., first being duly sworn, deposes
and says:

1. I reside in Fayetteville, North Carolina and am
the father of Charles G. Rose, III, a Member of the House of
Representatives. I am a partner in the law firm of Rose, Rand,
Ray, Winfrey & Gregory of Fayetteville, North Carolina.

2. In 1972, I entered into an oral agreement with
my son, Charles G. Rose, III, to make three loans to his
campaign: One on April 7, 1972 in the amount of \$8,750; one on
May 5, 1972, in the amount of \$5150; and one on June 2, 1972 in
the amount of \$2500. Under this agreement, my son was to repay
me for the sums lent to the campaign.

3. In November 1973, I assisted my son by obtaining
a loan in the amount of \$50,000 from First Citizens Bank and
Trust Company of Fayetteville, North Carolina, for the purpose
of consolidating outstanding campaign loans. In my opinion, it
was necessary to obtain this financing because the campaign was
without sufficient funds to repay the loans, and my son was
still unable to repay primary debts from 1970.

4. Further, I had an oral agreement with my son
that he was to make all payments and be financially responsible
for this \$50,000 loan to consolidate campaign debts.

93040992111.

5. Further, Affiant sayeth naught.

Charles G. Rose, Jr.
Charles G. Rose, Jr.

Subscribed and sworn to before me this 21st day of April, 1987.



Lucille E. Franklin
Notary Public

My Commission expires: 5-23-87

93040992112

PROMISSORY NOTE

¢ 50,000.00

April 21, 19 87

Twelve (12) months after date, for value received, I promise
to pay the order of Charles G. Rose, III the sum
of Fifty Thousand and no/100 dollars,
at Fayetteville, N.C. with interest at the rate of 0
centum per annum until paid; said interest payable annually

No 1

Committee for Congressman Charlie Rose

By: *Alton D. Buck*
Assistant Treasurer

Due April 20, 1988

Address P.O. Box 1178
Fayetteville, N.C. 28302

9 3 0 4 0 9 9 2 1 3

Rose still lists debt to self as disclosure

Aug 12 1988

By Paul M. Rodriguez
States News Service

WASHINGTON — Although he can't collect it, Rep. Charles G. Rose, III, D-N.C., continues to list a \$50,000 debt to himself from his campaign committee.

Rose's latest filings with the Federal Elections Commission show a hefty campaign war chest of \$331,596. In contrast, his Republican opponent, George Thompson, a Federal Express courier from Lumberton, did not file an FEC report, which is required only if a candidate raises or spends more than \$5,000.

The \$50,000 loan Rose lists with the FEC was rejected by the House ethics committee in March when it reproved Rose for using campaign funds for personal finances. The ethics committee said Rose had not properly documented the loan.

This week, Rose explained that the \$50,000 is listed on his campaign financial disclosure forms because of FEC regulations that differ from those administered by the House ethics committee.

"Under FEC rules, it's proper" to list the \$50,000 as a debt owed by the campaign committee, Rose said. "But under the House rules, I'm not allowed to collect it."

On March 23, the House Committee on Standards of Official Conduct found Rose guilty of violating House rules for use of campaign funds. The committee reproved Rose in a public letter — the mildest form of punishment the House can impose on a congressman.

The committee found that Rose "is not entitled to repayment of \$50,000 from his campaign."

In defending himself from charges of taking campaign money for personal use, Rose claimed that the money was repayment for \$50,000 he loaned his campaign in 1973. He amended previous FEC reports in January 1987, making the first public mention of what he claimed was a 14-year-old loan.

An FEC official said there did not appear to be any conflicts in listing the \$50,000 debt with the agency. What the House ethics panel allows or doesn't allow is beyond the purview of FEC, the spokesman said.

Kincita Hutchins-Taylor, counsel to the House ethics panel, agreed. "FEC has one set of rules and the House ethics committee has another set."

The latest campaign report, which covers the period from April to June, shows that Rose continues to build campaign funds, collecting more than \$52,000 for a total of \$331,596.43.

Earlier this summer, Rose said he continues to raise money despite his considerable war chest and despite his opponent's lack of experience. "The peace of mind and as a deterrent to potential opponents."

"In campaign funding, if you wait until the last minute, particularly in North Carolina, you probably can't raise what you need," he said. He added that it is his strategy to steadily increase his re-election account from year to year.

Even though Rose is barred from collecting the \$50,000 loan he claims, his work in building up his campaign fund may pay off later.

Under FEC and House ethics rules, when Rose leaves office he can take with him any money remaining in campaign funds.

During the last reporting period, Rose collected \$7,500 from 21 people. Only two of them had North Carolina addresses and both contributed \$250. They were Morton L. Union, president of Union Corrugating Co., and Charles Whitley, a consultant for the Tobacco Institute who retired in 1986 as a Democratic congressman from North Carolina.

Almost 85 percent of the money collected came from political action committees. Two PACs gave \$5,000 at the maximum allowed. They are R.J. Reynolds Industries and the Committee on Letter Carriers Political Education.

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NAME: NS03090

APPENDIX L

AGE 1

1 RPTS CANTOR

2 DCMM MILTON

3

4 PENDING BUSINESS

5 EXECUTIVE SESSION

6 Thursday, November 5, 1987

7

8 House of Representatives,

9 Committee on Standards of Official Conduct,

10 Washington, D. C.

11

12 The committee met, pursuant to call, at 10:15 a.m., in
 13 Room H-310, The Capitol, Hon. Julian C. Dixon [chairman of
 14 the committee] presiding.

15 Present: Representatives Dixon, Spence, Fazio, Myers,
 16 Dwyer, Hansen, Hellehan, Pashayan, Gaydos, Petri, Atkins and
 17 Craig.

18 Staff present: Ralph L. Lotkin, Chief Counsel; Jan
 19 Loughry, Administrative Assistant; Keith Giese, Counsel;
 20 Elaine Hutchins-Taylor, Counsel; Mark J. Davis, Counsel;
 21 Richard J. Powers, Investigator; Linda E. Shealy, Secretary;
 22 and Lee Ho, GAO accountant.

23 Also present: Representative Charles G. Rose, accompanied
 24 by counsel: William Oldaker, Eric Kleinfeld, and Heidi
 25 Pender.

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NAME: NS0309000

PAGE 45

1002 Mr. SPENCE. All the debts I owe, my father.
1003 Mr. ROSE. Yes, sir.
1004 Mr. SPENCE. No amount, just all the debts.
1005 Mr. ROSE. Yes, sir.
1006 Mr. SPENCE. That's all I have.
1007 The CHAIRMAN. Mr. Fazio.
1008 Mr. FAZIO. Charlie. I want to take a slightly different
1009 approach. You have a note from your campaign committee
1010 saying that you are owed \$50,000. as you have said, you
1011 hope the committee would accept that or at least some lesser
1012 amount, but there is clearly a good deal of confusion
1013 surrounding this or we wouldn't be here. Would it be
1014 possible for you to tell the committee, in order to clear
1015 the air, that you would be willing to cancel that note now
1016 that it has legally been tendered to you? Is it possible
1017 that you would in fact be willing to say that in fact that
1018 money is not something that you have any desire to claim in
1019 the future?
1020 Mr. ROSE. I would--I have told you earlier that I felt
1021 like this has been a rather punishing experience that I have
1022 come through. It would be considerably further punishment
1023 to be not allowed to have this additional money. More than
1024 I want to receive a repayment from my committee, I want to
1025 clear up the question about count number 1.
1026 Yes, I would certainly be willing to say that I am not

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NAME: HSO309000

PAGE 46

1027 interested in receiving money from my campaign committee and
1028 this committee to not set a precedent for the future for
1029 things like this can clearly say that anybody who waits as
1030 long as I do to change the record in a situation like this
1031 is not entitled to recover.
1032 Mr. FAZIO. Thank you, Mr. Chairman. Thank you.

93040992117

HAND DELIVERED

Office of the Cler.
Washington, D.C.

Item # 2; see p. 2

1974 MAR -7 PM 3:38

REGISTRATION FORM AND STATEMENT OF ORGANIZATION
FOR A
POLITICAL COMMITTEE

New In- State
 Registration Amendment

034118

SEE REVERSE SIDE FOR ADDITIONAL INSTR.
ALL QUESTIONS MUST BE ANSWERED FOR NEW REGISTRATIONS

1. Full name of committee: Committee for Congressman Charlie Rose
Mailing address and ZIP code: Post Office Box 1891, Fayetteville, N. C. 28301
Telephone number: _____

2. Affiliated or connected organizations:

Name of affiliated or connected organization	Mailing address and ZIP code	Relationship

3. Area, scope and jurisdiction of the committee:

- (a) Will this committee operate in more than one State? no
- (b) Will it operate on a statewide basis in one State? no
- (c) Will it primarily support candidates seeking State or local office? no
- (d) Will it support a candidate for the U.S. House of Representatives in an aggregate amount in excess of \$1,000 during the calendar year? yes

4. (a) If the committee is supporting individual candidates for the U.S. House of Representatives, list each candidate by name, address, office sought, and party affiliation:

Full names of candidates	Mailing address and ZIP code	State and Congressional District	Party
Congressman Charles G. Rose, III	1724 Longworth Building Washington, D. C. 20515	North Carolina 7th District	Democrat

(b) List by name, address, office sought, and party affiliation, any candidate for other Federal or public office that this committee is supporting:

Full names of candidates	Mailing address and ZIP code	Office sought	Party

5. If this committee is supporting the entire ticket of a party, give name of party: _____

*Submit additional information on separate continuation sheets appropriately labeled and attached to this Statement of Organization. Indicate in the appropriate box above when information is continued on separate page(s).
Revised January 1974

H.R. ELECTION FORM 1

UNITED STATES HOUSE OF REPRESENTATIVES

Office of the Clerk
Washington, D.C.

1972 JUN 16 AM 8:56

REPORT OF RECEIPTS AND EXPENDITURES

FOR A

CANDIDATE

FOR NOMINATION OR ELECTION TO THE U.S. HOUSE OF REPRESENTATIVES

Charles G. Ross, III
(Full Name of Candidate)
2802 Millbrook Rd.
(Street)
Fayetteville, N.C.
(City, State, ZIP code)

North Carolina - VII
(District and State of Candidate)
Democratic
(Party Affiliation)

TYPE OF REPORT

(Check Appropriate Box and Complete, If Applicable)

- March 10 report.
- June 10 report.
- September 10 report.
- January 31 report.
- Fifteen-day report preceding _____ election on _____
(Primary, general, special, runoff, caucus, or convention) (Date)
- Fifth day report preceding _____ election on _____
(Primary, general, special, runoff, caucus, or convention) (Date)
- Termination report.

VERIFICATION BY OATH OR AFFIRMATION

State of North Carolina

County of Cumberland

H. G. Stiles, Finance Chairman for

I, Charles G. Ross, III for Congress -NC-VII, being duly sworn, depose (affirm) and say

(Full Name of Candidate)

that this Report of Receipts and Expenditures is complete, true, and correct.

H. G. Stiles Chairman

(Signature of Candidate)

Subscribed and sworn to (affirmed) before me this _____ day of _____, A.D. 19____

(Notary Public)

[SEAL]

My commission expires _____, 19____

RETURN COMPLETED REPORT AND ATTACHMENTS TO:
The Clerk, U.S. House of Representatives
Office of Records and Registration
350 Longworth House Office Building
Washington, D.C. 20540

U.S. ELECTION FORM 2

93040992120

200011107

SCHEDULE A

ITEMIZED RECEIPTS—CONTRIBUTIONS, TICKET PURCHASES, LOANS, AND TRANSFERS

Charles J. Ross, III
(Full Name of Candidate or Committee)

Part No. 3
(Use for itemizing Part 1, 2, 3, 4, or 5)

SEE REVERSE SIDE FOR INSTRUCTIONS
(Use separate page(s) for each numbered Part)

Date (mo, day, year)	Full Name, Mailing Address, and ZIP Code (occupation and principal place of business, if any)	Aggregate Year-to-date (complete if applicable)	Amount of Receipt This Period
5-23-72	First Citizens Bank Fayetteville, N.C.	Aggregate Year-to-date \$	20,000.00
		Aggregate Year-to-date \$	

TOTAL THIS PERIOD \$20,000.00
(Last page of this Part only)

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'2000111110

Continuation of Campaign Contributions for Charles Rose III

NAME	ADDRESS	DATE	AMOUNT
Lewis Wilson	Fayetteville, N.C.	4-4-72	
Ernest Freeman	Stedman, N.C.	4-3-72	200.00
Henry Clark	Rt. 5, Fayetteville, N.C.	4-16-72	175.00
Earl Faircloth	Rt. 1, Roseboro, N.C.	4-21-72	150.00
Curtis Dowd	Rt. 5, Fayetteville, N.C.	4-18-72	200.00
Clifton McNeil	Rt. 1, Hope Mills, N.C.	4-3-72	100.00
Gordon Newton	Rt. 3, Fayetteville, N.C.	4-4-72	160.00
Johnny Evans	Rt. 5, Fayetteville, N.C.	4-3-72	80.00
W.L. McDonald	Rt. 5, Fayetteville, N.C.	4-6-72	110.00
R.C. Pugh	Rt. 5, Fayetteville, N.C.	4-11-72	125.00
Luke Hales	Rt. 1, Roseboro, N.C.	4-9-72	75.00
A.G. Cooper, Jr.	Falcon, N.C.	4-18-72	95.00
Charles Rose, III	Fayetteville, N.C.	4-20-72	117.00
Charles Rose, Jr.	Fayetteville, N.C.	4-7-72	7500.00
Misc unidentified Contributions			8750.00
			112.00
			<u>\$24,594.00</u>

Name of Contributor

SUMMARY REPORT COVERING PERIOD

RECEIPTS

Part 1. Individual contributions

a. Taxable (use schedule A) _____ \$0.00

b. Un Taxable _____ \$0.00

Total individual contributions _____ \$0.00

Part 2. Pension and annuities

a. Taxable (use schedule B) _____ \$0.00

b. Un Taxable _____ \$0.00

Part 3. Income received

a. Taxable (use schedule A) _____

b. Un Taxable _____

Part 4. Other receipts (miscellaneous, interest, dividends)

a. Taxable (use schedule B) _____

b. Un Taxable _____

Part 5. Transfers in

Describe all (use schedule D) _____

SECTION B - EXPENDITURES

Part 6. Contributions

Describe all (use schedule A) _____

Part 7. Expenditures for interest

a. Taxable (use schedule B) _____

b. Un Taxable _____

Part 8. Loans made

a. Taxable (use schedule D) _____

b. Un Taxable _____

Part 9. Other expenditures

a. Taxable (use schedule C) _____

b. Un Taxable _____

Part 10. Transfers out

Describe all (use schedule D) _____

TOTAL EXPENDITURES _____

SECTION C - CASH BALANCES

Cash on hand at beginning of reporting period _____

Add total receipts (section A above) _____

Subtotal _____

Subtract total expenditures (section B above) _____

Cash on hand at close of reporting period _____

*Schedules are to be used only when contribution is reported. Use each schedule for reporting Part 1, the total of any amounts for that Part to be entered as a lump sum on the Summary Report. The word "None" should be entered on any line of the Summary Report where no amount is being reported.

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6

1st FIRST REPORT REQ. UNDER FEED

GENERAL INSTRUCTIONS

1. Statements of Contributions and Expenditures must be filed with the Secretary of State by every candidate primary for federal, State or district office or the State Senate in a district composed of more than one county except where there is a rotation agreement in effect. Such statements should be signed by the candidate and verified before an officer authorized to administer oaths.

2. Campaign committees covering more than one county in any primary, general or special election are required to file like statements with the Secretary of State. Such statements should be signed by the chairman or treasurer of the committee and verified before an officer authorized to administer oaths.

3. The first statement is required 10 days before the election. The second statement is required within 20 days after the election.

(Detailed requirements of law are printed on back of this form.)

TO THE SECRETARY OF STATE, RALEIGH, N. C.

The following itemized statement of contributions and expenditures is made in compliance with Article 22, Chapter 163, General Statutes of North Carolina by Charles G. Rose, III

in the Primary election for Congressman - 7th District
(Primary, General or Special) (Office)

CONTRIBUTIONS

Name of Contributor	Address	Date	Amount
Balance previously reported			\$27,659.00
Charles Rose, III	Fayetteville, N.C.	4-26-72	900.00
Charles Rose, Jr.	Fayetteville, N.C.	5-5-72	5,150.00
A. Rand	Fayetteville, N.C.	5-12-72	1,250.00
I. Thorpe	Fayetteville, N.C.	5-12-72	1,250.00
I. G. Stiles	Fayetteville, N.C.	5-12-72	150.00
I. Bailey	Fayetteville, N.C.	5-16-72	1,000.00
Mary Smith	Fayetteville, N.C.	5-16-72	450.00
Albert McCauley	Fayetteville, N.C.	5-16-72	300.00
Mrs. Peter McKay Cromartie	Fayetteville, N.C.	5-16-72	200.00
I. Coleman	Fayetteville, N.C.	5-16-72	140.00
I. Williams	Fayetteville, N.C.	5-14-72	200.00
Wilton Buck	Fayetteville, N.C.	5-13-72	500.00
Hugh Cannon	Raleigh, N.C.	5-10-72	1,000.00
Wendy Eubank	Charleston, S.C.	5-10-72	1,000.00
W. Popkin	Jacksonville, N.C.	5-15-72	450.00
W. Stein	Jacksonville, N.C.	5-15-72	200.00
W. G. Stiles	Fayetteville, N.C.	5-16-72	1,000.00
W. S. Radosevich	Fayetteville, N.C.	5-16-72	60.00

Total Contributions \$42,859.00

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Address
Sales previously reported
Office & Worker Salaries
Worker's Expenses
Advertising

Date

Purpose

Amount
\$23,481.88
141.50
168.51
498.00

83040992125

Total \$ 24,289.89

EXPENDITURES MADE BY OTHERS ON BEHALF OF CANDIDATE
OR CAMPAIGN COMMITTEE

When Made	Address	Date	Purpose	Amount
-----------	---------	------	---------	--------

93040992126

Total \$NONE

Total Expenditures \$24,289.89

Charles L. Rose M
Signature of Candidate or Person Filing for Campaign Committee

STATE OF NORTH CAROLINA
COUNTY OF Cumberland

This is to certify that on this 26th day of May, 1972,
personally appeared before me _____ who
being duly sworn, declared that he signed the foregoing Statement of Contributions and Expenditures and that
the facts contained therein are true.

Judith P. Czajkowski
Officer Authorized to Administer Oath

UNITED STATES HOUSE OF REPRESENTATIVES

Office of the Clerk
Washington, D.C.

REPORT OF RECEIPTS AND EXPENDITURES
FOR A
CANDIDATE

FOR NOMINATION OR ELECTION TO THE U.S. HOUSE OF REPRESENTATIVE

Charles G. Rose III North Carolina VII
2802 Millbrook Rd. Democratic
Fayetteville, N. C.
(City, State, ZIP code)

TYPE OF REPORT

- March 10 report
- June 10 report
- September 10 report
- January 31 report
- Fifteenth day report preceding election on (Date) (Primary, general, special, runoff, caucus, or convention)
- Fifteenth day report preceding runoff election on (Date) (Primary, general, special, runoff, caucus, or convention)
- Termination report

VERIFICATION BY OATH OR AFFIRMATION

State of North Carolina

County of Cumberland

H. G. Stiles for
Charles G. Rose III

that this Report of Receipts and Expenditures is complete, true, and correct.
I, Charles G. Rose III, Finance Manager
Rose for Congress
Subscribed and sworn to (affirmed) before me this 16 day of June, 1972 A.D.

(Notary Public)
My commission expires 1973

RETURN COMPLETED REPORT AND ATTACHMENTS TO:
The Clerk, U.S. House of Representatives
Office of Records and Registration
1836 Longworth House Office Building
Washington, D.C. 20515

GENERAL INSTRUCTIONS

1. Statements of Contributions and Expenditures must be filed with the Secretary of State by every candidate in any primary for federal, State or district office or for the State Senate in a district composed of more than one county except where there is a rotation agreement in effect. Such statements should be signed by the candidate and verified before an officer authorized to administer oaths.

2. Campaign committees covering more than one county in any primary, Federal or special election are required to file like statements with the Secretary of State. Such statements should be signed by the chairman or treasurer of the committee and verified before an officer authorized to administer oaths.

3. The first statement is required 10 days before the election. The second statement is required within 2 days after the election.

(Detailed requirements of law are printed on back of this form.)

TO THE SECRETARY OF STATE, RALEIGH, N. C.

The following itemized statement of contributions and expenditures is made in compliance with Article 22, Chapter 163, General Statutes of North Carolina by Charles G. Rose, III

In the Primary election for Congressman
(Primary, General or Special) (Name of candidate or campaign committee) (Office)

CONTRIBUTIONS

Name of Contributor	Address	Date	Amount
Wayne Collier	Rt. 1, Linden, N.C.	1-25-72	
Dr. S.L. Elfrson	117 Stedman St., Fay., N.C.	2-29-72	20.00
Floyd Ammons	First Citizens Bldg Fay, N.C.	4-1-72	25.00
Ed David	1942 Forest Hill Dr., Fay, N.C.	4-1-72	100.00
W.G. Sullivan	Rt.1, Wirmahaus, N.C.	4-1-72	250.00
Sam Noble	211 By-Pass, Lumberton, N.C.	3-21-72	50.00
Earl's Jewelers	413 Elm St., Lumberton, N.C.	3-27-72	50.00
Bruce Cameron	2219 Blythe Rd., Wilmington, N.C.	3-27-72	50.00
Norman Suttles	Union St., Fay., N.C.	3-31-72	100.00
Bruce Riley	Fayetteville, N.C.	2-15-72	100.00
Mel Thompson	Box 1540, Fayetteville, N.C.	2-21-72	100.00
John P. Manos	Fayetteville, N.C.	3-15-72	50.00
Ira S. Meisselman	Fayetteville, N.C.	3-15-72	75.00
Ivan Popkin	Jacksonville, N.C.	3-15-72	100.00
H.G. Stiles	126 Northview, Fayetteville, N.C.	4-3-72	500.00
John C. Pate	Box 1540, Fayetteville, N.C.	4-3-72	1500.00
Norman Bellamy	Shalotte, N.C.	4-4-72	200.00
W.C. Tripp	Fayetteville, N.C.	4-5-72	500.00
Henry Rankin Jr.	Fayetteville, N.C.	4-5-72	25.00
H. Lacy Godwin	Fayetteville, N.C.	4-24-72	200.00
Billy Hunt	Fayetteville, N.C.	4-24-72	100.00
Harold Arnette	Fayetteville, N.C.	4-19-72	100.00
Mr. & Mrs. George Vossler	Fayetteville, N.C.	4-19-72	75.00
John Wyatt	Summertime Dr., Fay., N.C.	4-17-72	
Burney Rivenbark	541 Lennox Dr., Fay., N.C.	4-5-72	50.00
Arthur Wilkins	Fayetteville, N.C.	4-20-72	350.00
Mitchell Nance	Fayetteville, N.C.	4-6-72	10.00
K.T. Bellamy	Shalotte, N.C.	4-19-72	25.00
Regell Hewett	Rt.2, Shalotte, N.C.	4-4-72	75.00
Harry K. Bennett	Little River, S.C.	4-3-72	40.00
Jessie Simmons	Shalotte, N.C.	4-4-72	50.00
Palmer Bellamy	Shalotte, N.C.	4-5-72	10.00
Mr. John Holden	Supply, N.C.	4-4-72	10.00
Mr. Hubert Bellamy	Shalotte, N.C.	4-5-72	100.00
Mr. Robert Bellamy	Shalotte, N.C.	4-4-72	10.00
Fred Duckworth	Norfolk, Va.	4-4-72	25.00
Riddick Revelle	Fayetteville, N.C.	4-15-72	20.00
William Zimmer	Wilmington, N.C.	4-20-72	200.00
George Caplan	Wilmington, N.C.	4-10-72	20.00
Sam Mendlesohn	Fayetteville, N.C.	4-10-72	50.00
Frances Rankin	Fayetteville, N.C.	4-15-72	50.00
Billy Horne	Fayetteville, N.C.	4-17-72	25.00
John Koester	Fayetteville, N.C.	4-10-72	50.00
Gerald Beard	Vander, N.C.	4-17-72	150.00
Leon Horne	Fayetteville, N.C.	4-18-72	100.00
Johnny Wood	Spring Lake, N.C.	4-20-72	175.00
Victor Tally, Jr.	Fayetteville, N.C.	4-15-72	200.00
Alex Bethune	Linden, N.C.	4-14-72	200.00
David Blalock	Linden, N.C.	4-10-72	180.00
		4-8-72	65.00
			135.00

Total Contributions \$ 24,594.00

(continued on attached sheet)

(Over)

GENERAL INSTRUCTIONS

1. Statements of Contributions and Expenditures must be filed with the Secretary of State by every candidate in any primary for federal, State or district office or for the State Senate in a district composed of more than one county except where there is a rotation agreement in effect. Such statements should be signed by the candidate and verified before an officer authorized to administer oaths.

2. Campaign committees covering more than one county in any primary, general or special election are required to file like statements with the Secretary of State. Such statements should be signed by the chairman or treasurer of the committee and verified before an officer authorized to administer oaths.

3. The first statement is required 10 days before the election. The second statement is required within 2 days after the election.

(Detailed requirements of law are printed on back of this form.)

TO THE SECRETARY OF STATE, RALEIGH, N. C.

The following itemized statement of contributions and expenditures is made in compliance with Article 21 Chapter 163, General Statutes of North Carolina by CHARLES G. ROSE, III
 (Name of candidate or campaign committee)
 in the Second Primary election for Congressman - 7th. District
 (Primary, General or Special) (Office)

CONTRIBUTIONS

Name of Contributor	Address	Date	Amount
Balance previously reported			\$ 42,859.00
Hugh Cannon	Raleigh, N. C.	5-23-72	500.00
Manley Bubank	Raleigh, N. C.	5-23-72	500.00
J. A. Bouknight	Fayetteville, N. C.	5-24-72	25.00
J. O. Tally	"	5-24-72	100.00
L. Stein	Jacksonville, N. C.	5-24-72	275.00
L. Radosevich	Fayetteville, N. C.	5-24-72	390.00
Jesse Champion	"	5-28-72	15.00
Mrs. S. C. Rankin	"	"	50.00
Mrs. Claude Rankin, Sr.	"	"	25.00
John C. Pate	"	"	100.00
A. Buck	"	"	500.00
D. White	Pinhurst, N. C.	"	200.00
A McCauley	Fayetteville, N. C.	5-26-72	200.00
Wm. Fitzgerald	"	"	325.00
F. Ammons	"	"	100.00
Gene Merritt	Wilmington, N. C.	"	100.00
H. Greene	"	"	1,000.00
John Wyatt	Fayetteville, N. C.	5-26-72	350.00
George Purvis, Jr.	"	"	500.00
B. Rivenbark	"	"	150.00
W. Coleman	"	"	10.00
H. Coleman	"	"	40.00
W. H. White	Pinhurst, N. C.	6-1-72	1,000.00
H. G. Stiles	Fayetteville, N. C.	6-1-72	1,000.00
Charles Rose, III	"	6-2-72	2,000.00
Charles Rose, Jr.	"	"	2,500.00
Miscellaneous		6-6-72	160.00

Total Contributions \$ 54,974.00

MANATT, PHELPS, PHILLIPS & KANTOR

A PARTNERSHIP INCLUDING PROFESSIONAL CORPORATIONS

ATTORNEYS AT LAW

1200 NEW HAMPSHIRE AVENUE, N.W.

SUITE 200

WASHINGTON, D.C. 20036-6889

RECEIVED
FEDERAL ELECTION
COMMISSION
MAIN COPY ROOM

Nov 4 11 41 AM '92
LOS ANGELES

TELEPHONE (202) 463-4300
FAX (202) 463-4394
(202) 463-4395

1355 WEST OLYMPIC BOULEVARD
LOS ANGELES, CALIFORNIA 90064-6644
(310) 382-4000

92 NOV -4 PM 4:07

RECEIVED
FEDERAL ELECTION COMMISSION
OFFICE OF THE CLERK

November 3, 1992

Lisa Klein, Assistant General Counsel
Craig Reffner, Esquire
Office of the General Counsel
Federal Election Commission
999 E Street, N.W.
6th Floor
Washington, D.C. 20463

Re: MUR 3652, Committee for Congressman
Charlie Rose, Alton S. Buck, as
Treasurer, and Congressman Charlie Rose

Dear Ms. Klein and Mr. Reffner:

Enclosed is the executed affidavit of Charles G. Rose, Jr.
Please add this to the response submitted in the above-captioned
MUR.

If you should have any questions, please do not hesitate to
call.

Sincerely,



William C. Oldaker
Counsel for Respondents

Enclosure

93040992131

100% COTTON
RECYCLED FIBER

BEFORE THE FEDERAL ELECTION COMMISSION

AFFIDAVIT OF CHARLES G. ROSE, JR.

Charles G. Rose, Jr. first being duly sworn, deposes and says:

1. I am a resident of Fayetteville, North Carolina and the father of Charles G. Rose, III, a duly elected member of the House of Representatives.

2. In 1972, I made loans to my son's campaign committee, which, to the best of my recollection, were obtained from banking institutions. At the time the loans were made, my son became liable to me for the principal and accrued interest on these loans.

3. In November 1973, I assisted my son by obtaining a loan in the amount of \$50,000 from First Citizens Bank and Trust Company of Fayetteville, North Carolina, for the purpose of paying off outstanding campaign loans. In my opinion, it was necessary to obtain this financing because the campaign was without sufficient funds to repay the loans, and my son was still unable to repay primary debts from 1970.

4. Further, I had an oral agreement with my son that he was to make all payments and be financially responsible for this \$50,000 loan for campaign debts.

5. Further affiant sayeth naught.

Charles G. Rose Jr.
Charles G. Rose, Jr.

Subscribed and sworn before me this 28th day of October, 1992.

Kimberly L. Chapman
Notary Public

My Commission expires: 4.12.97

93040992132

MUR # 3652

ADDITIONAL DOCUMENTS WILL BE ADDED TO THIS FILE AS THEY
BECOME AVAILABLE. PLEASE CHECK FOR ADDITIONAL MICROFILM
LOCATIONS.

93040992133



FEDERAL ELECTION COMMISSION
WASHINGTON, DC 20461

THIS IS THE End of MUR# 3652

DATE FILMED 10/29/93 CAMERA NO. 2

CAMERAMAN MC

93040992134



FEDERAL ELECTION COMMISSION
WASHINGTON DC 20463

Microfilm
 Public Records
 Press

THE FOLLOWING DOCUMENTATION IS ADDED TO

THE PUBLIC RECORD IN CLOSED MUR 3652.

12/10/93

23043543396

**THE READER IS REFERRED TO ADDITIONAL MICROFILM LOCATIONS
FOR THE FOLLOWING DOCUMENTS PERTINENT TO THIS CASE**

1. Memo, General Counsel to the Commission, dated September 22, 1992, Subject: Priority System Report. See Reel 354, pages 1590-94.
2. Memo, General Counsel to the Commission, dated April 14, 1993, Subject: Enforcement Priority System. See Reel 354, pages 1595-1620.
3. Certification of Commission vote, dated April 28, 1993. See Reel 354, pages 1621-22.
4. General Counsel's Report, In the Matter of Enforcement Priority, dated December 3, 1993. See Reel 354, pages 1623-1740.
5. Certification of Commission vote, dated December 9, 1993. See Reel 354, pages 1741-1746.

23043543397



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

DEC 10 1993

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Robert C. Anderson
511 Argyll Road
Fayetteville, NC 28303-5101

RE: MUR 3652

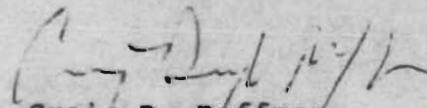
Dear Mr. Anderson:

On October 14, 1992, the Federal Election Commission received your complaint alleging certain violations of the Federal Election Campaign Act of 1971, as amended ("the Act").

After considering the circumstances of this matter, the Commission has determined to exercise its prosecutorial discretion and to take no action against the Honorable Charles G. Rose, III and the Committee for Congressman Charlie Rose and Alton G. Buck, as treasurer. See attached narrative. Accordingly, the Commission closed its file in this matter. This matter will become part of the public record within 30 days.

The Act allows a complainant to seek judicial review of the Commission's dismissal of this action. See 2 U.S.C. § 437g(a)(8).

Sincerely,


Craig D. Reffner
Attorney

Attachment
Narrative

Date the Commission voted to close the file: DEC 09 1993

23043543398

MUR 3652
COMMITTEE FOR CONGRESSMAN CHARLIE ROSE

The National Republican Congressional Committee filed this complaint alleging that the Committee began disclosing a \$50,000 loan in 1987 that was received from the Congressman in 1972. Complainant points to a 1988 report issued by the House of Representative's Committee on Standards of Official Conduct stating that the loan does not exist. Complainant thus alleges that disclosure of the non-existent loan is a reporting error and that repayment of the loan would result in a violation of 2 U.S.C. § 439a. Respondents note that the Congressman has publicly stated that he will not seek repayment of the loan and disclosure materials confirm that the loan remains outstanding.

This matter presents no significant issues relative to the other issues pending before the Commission, had little impact on the process and evidences no serious intent to violate the FECA.

23043543399



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

DEC 10 1993

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Maria Cino, Executive Director
National Republican Congressional Committee
320 First Street, S.E.
Washington, DC 20003

RE: MUR 3652

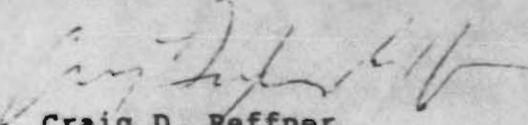
Dear Ms. Cino:

On October 14, 1992, the Federal Election Commission received your complaint alleging certain violations of the Federal Election Campaign Act of 1971, as amended ("the Act").

After considering the circumstances of this matter, the Commission has determined to exercise its prosecutorial discretion and to take no action against the Honorable Charles G. Rose, III or the Committee for Congressman Charlie Rose and Alton G. Buck, as treasurer. See attached narrative. Accordingly, the Commission closed its file in this matter. This matter will become part of the public record within 30 days.

The Act allows a complainant to seek judicial review of the Commission's dismissal of this action. See 2 U.S.C. § 437g(a)(8).

Sincerely,


Craig D. Reffner
Attorney

Attachment
Narrative

Date the Commission voted to close the file: DEC 09 1993

23043543400

MUR 3652
COMMITTEE FOR CONGRESSMAN CHARLIE ROSE

The National Republican Congressional Committee filed this complaint alleging that the Committee began disclosing a \$50,000 loan in 1987 that was received from the Congressman in 1972. Complainant points to a 1988 report issued by the House of Representative's Committee on Standards of Official Conduct stating that the loan does not exist. Complainant thus alleges that disclosure of the non-existent loan is a reporting error and that repayment of the loan would result in a violation of 2 U.S.C. § 439a. Respondents note that the Congressman has publicly stated that he will not seek repayment of the loan and disclosure materials confirm that the loan remains outstanding.

This matter presents no significant issues relative to the other issues pending before the Commission, had little impact on the process and evidences no serious intent to violate the FECA.

23043543401



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

DEC 10 1992

William C. Oldaker, Esquire
Manatt, Phelps, Phillips & Kantor
1200 New Hampshire Avenue, N.W.
Suite 200
Washington, DC 20036-6889

RE: MUR 3652
The Honorable Charles G. Rose, III
Committee for Congressman
Charlie Rose, and
Alton S. Buck, as treasurer

Dear Mr. Oldaker:

On October 16, 1992, the Federal Election Commission notified your clients of a complaint alleging certain violations of the Federal Election Campaign Act of 1971, as amended. A copy of the complaint was enclosed with that notification.

After considering the circumstances of this matter, the Commission has determined to exercise its prosecutorial discretion and to take no action against Charles G. Rose, III and the Committee for Congressman Charlie Rose and Alton S. Buck, as treasurer. See attached narrative. Accordingly, the Commission closed its file in this matter.

The confidentiality provisions of 2 U.S.C. § 437g(a)(12) no longer apply and this matter is now public. In addition, although the complete file must be placed on the public record within 30 days, this could occur at any time following certification of the Commission's vote. If you wish to submit any factual or legal materials to appear on the public record, please do so as soon as possible. While the file may be placed on the public record prior to receipt of your additional materials, any permissible submissions will be added to the public record when received.

If you have any questions, please contact me at (202) 219-3400.

Sincerely,


Craig D. Reffner
Attorney

Attachment
Narrative

Date the Commission voted to close the file: DEC 9 1992

23043543402

MUR 3652
COMMITTEE FOR CONGRESSMAN CHARLIE ROSE

The National Republican Congressional Committee filed this complaint alleging that the Committee began disclosing a \$50,000 loan in 1987 that was received from the Congressman in 1972. Complainant points to a 1988 report issued by the House of Representative's Committee on Standards of Official Conduct stating that the loan does not exist. Complainant thus alleges that disclosure of the non-existent loan is a reporting error and that repayment of the loan would result in a violation of 2 U.S.C. § 439a. Respondents note that the Congressman has publicly stated that he will not seek repayment of the loan and disclosure materials confirm that the loan remains outstanding.

This matter presents no significant issues relative to the other issues pending before the Commission, had little impact on the process and evidences no serious intent to violate the FECA.

2 3 0 4 3 5 4 3 4 0 3