



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

THIS IS THE BEGINNING OF MUR # 3567

DATE FILMED 9/25/92 CAMERA NO. 3

CAMERAMAN EES

92040923894

REPORTS ANALYSIS REFERRAL
TO
OFFICE OF GENERAL COUNSEL

DATE: March 30, 1992

ANALYST: Kevin R. Salley

- I. COMMITTEE: Lily Chen for Congress
(C00220830)
Paul P. Chen, Treasurer
180 Aspen Oak Lane
Glendale, CA 91207
- II. RELEVANT STATUTE: 2 U.S.C. §441a(f)
11 CFR §110.1(b)(1)

III. BACKGROUND:

Receipt of Excessive Contributions

The Lily Chen for Congress committee ("the Committee") has accepted excessive contributions totalling \$10,700 during 1991. These contributions were made by four (4) individuals. To date, the Committee has refunded \$10,700 of the excessive contributions outside the sixty (60) day window.

Schedules A and C of the Committee's 1991 Mid-Year and 1988 July Quarterly Reports disclose the receipt of an \$8,200 loan received on June 4, 1991 and a \$1,000 Primary loan received on June 17, 1988 from Mr. Paul P. Chen (Attachment 2). Since the candidate, Ms. Lily Chen, lost the 1988 California Primary Election and did not participate in the General Election, individuals contributing to the Committee have a \$1,000 Primary Election limit. Mr. Chen's loan of \$8,200 on June 4, 1991 is therefore excessive and is required to be refunded in full. On October 22, 1991, a Request for Additional Information ("RFAI") was sent to the Committee (Attachment 3). The RFAI states that an individual or political committee other than a qualified multi-candidate committee may not contribute to a candidate for federal office in excess of \$1,000 per election. In addition, the RFAI states that the Commission may take further legal steps concerning the acceptance of the excessive contribution.

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On November 6, 1991, Mr. Chen contacted a Reports Analysis Division analyst to discuss the RFAI (Attachment 4). The Committee subsequently responded by letter on November 13, 1991, stating that the loan had been repaid and that the itemization would appear in the Committee's next report (Attachment 5). On November 21, 1991, a Second Notice was sent to the Committee for failure to disclose in writing the date of repayment (Attachment 6). The Committee's response of December 2, 1991 discloses the date of the refund as November 2, 1991 (Attachment 7).

A further review of the Committee's 1991 Mid-Year Report (during the preparation of this referral) revealed the receipt of apparent excessive contributions totalling \$2,500 from three (3) individuals (Attachment 8). Because these individuals had also previously contributed prior to the 1988 Primary Election, the contributions received in 1991 appeared to be excessive.

On January 22, 1992, a Request for Additional Information ("RFAI") was sent to the Committee (Attachment 9). The RFAI states that an individual or political committee other than a qualified multi-candidate committee may not contribute to a candidate for federal office in excess of \$1,000 per election. In addition, the RFAI states that the Commission may take further steps concerning the acceptance of the excessive contributions. On February 13, 1992, a Reports Analysis Division analyst contacted Mr. Chen regarding another matter. During the course of the conversation, Mr. Chen indicated that the Committee would need more time to respond to the RFAI (Attachment 10). A Second Notice was sent to the Committee the same day for failure to respond in writing to the Commission's letter (Attachment 11). The Committee's response received March 2, 1992 discloses refunds to the three (3) individuals dated February 2, 1992 (Attachment 12).

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FEDERAL ELECTION COMMISSION
1987-1988

CANDIDATE INDEX OF SUPPORTING DOCUMENTS - (E)

CANDIDATE/COMMITTEE/DOCUMENT	RECEIPTS		DISBURSEMENTS		COVERAGE DATES	# OF PAGES	MODIFIED TYPE OF FILE
	OFFICE SOUGHT/ PARTY	PRIMARY GENERAL	PRIMARY GENERAL	PRIMARY GENERAL			
CHEN, LILY	HOUSE 30 DEMOCRATIC PARTY		CALIFORNIA		1988 ELECTION	ID4 WPT480000	
1. STATEMENT OF CANDIDATE							
1988 STATEMENT OF CANDIDATE					7JAN88	1	80HSE/330/0100
2. PRINCIPAL CAMPAIGN COMMITTEE							
LILY CHEN FOR CONGRESS					ID 4000220550	HOUSE	
1988 STATEMENT OF ORGANIZATION					7JAN88	1	80HSE/331/3100
48 HOUR CONTRIBUTION NOTICE					31MAY88	2	80HSE/331/1000
STATEMENT OF ORGANIZATION - AMENDMENT					11OCT88	1	81HSE/330/3100
APRIL QUARTERLY	150,760		80,587		31MAR88	44	88HSE/349/0270
APRIL QUARTERLY - AMENDMENT	-		-		31MAR88	1	80HSE/331/2000
REQUEST FOR ADDITIONAL INFORMATION					1JAN88 - 31MAR88	6	80FEC/526/8100
PRE-PRIMARY	55,760		55,500		1APR88 - 16MAY88	17	88HSE/351/0100
PRE-PRIMARY - AMENDMENT	-		-		1APR88 - 16MAY88	1	88HSE/357/1000
PRE-PRIMARY - AMENDMENT	-		-		1APR88 - 16MAY88	1	81HSE/338/1400
REQUEST FOR ADDITIONAL INFORMATION					1APR88 - 16MAY88	4	80FEC/530/3300
REQUEST FOR ADDITIONAL INFORMATION 2ND					1APR88 - 16MAY88	1	80FEC/541/0100
JULY QUARTERLY	34,400		141,500		15MAY88 - 30JUN88	17	88HSE/354/0500
JULY QUARTERLY - AMENDMENT	-		-		15MAY88 - 30JUN88	2	80HSE/330/4070
REQUEST FOR ADDITIONAL INFORMATION					15MAY88 - 30JUN88	2	80HSE/340/0300
OCTOBER QUARTERLY		11,351		7,135	1JUL88 - 30SEP88	10	80HSE/330/4000
1/ST LETTER INFORMATIONAL NOTICE					1JUL88 - 30SEP88	1	80FEC/532/3340
YEAR-END	0		0		1OCT88 - 31DEC88	3	80HSE/378/0100
YEAR-END - AMENDMENT	-		-		1OCT88 - 31DEC88	3	80HSE/378/5420
REQUEST FOR ADDITIONAL INFORMATION					1OCT88 - 31DEC88	1	80FEC/530/1000
REQUEST FOR ADDITIONAL INFORMATION 2ND					1OCT88 - 31DEC88	2	80FEC/530/2170
TOTAL	270,559	11,351	278,101	7,135		122	TOTAL PAGES

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3. AUTHORIZED COMMITTEES
4. OTHER FUNDRAISING COMMITTEES AUTHORIZED BY THE CAMPAIGN

All reports have been received.
Cash-On-Hand as of 12-21-88 \$103.00
Debts and obligations owed by the Committee as of 12-31-88: \$76,733.66

SCHEDULE A

ITEMIZED RECEIPTS

Use separate columns for each category of the Detailed Summary Page

PAGE 1 OF 1
FOR LINE NUMBER 13 (b)

Any information copied from such Reports and Statements may not be used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

LILY CHEN for CONGRESS

9171457.3899

Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Cash Receipt this Period
PAUL P. CHEN 180 Aspen Oak Lane Glendale, CA 91207 Receipt For: <input checked="" type="checkbox"/> Other (specify) Pay Comm. Ac. Debt	None Retired	6-4-91	8,200. ⁰⁰
Aggregate Year-to-Date > \$ 8,200			
B Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Cash Receipt this Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Occupation		
Aggregate Year-to-Date > \$			
C Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Cash Receipt this Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Occupation		
Aggregate Year-to-Date > \$			
D Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Cash Receipt this Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Occupation		
Aggregate Year-to-Date > \$			
E Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Cash Receipt this Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation		
Aggregate Year-to-Date > \$			
F Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Cash Receipt this Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation		
Aggregate Year-to-Date > \$			
G Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Cash Receipt this Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation		
Aggregate Year-to-Date > \$			

K5

SUBTOTAL of Receipts This Page (optional)

This Period (last page this line number)

8,200

SCHEDULE C
Dividend 3/801

LOANS

For each loan, enter the amount of the loan at the beginning of the period and the amount at the end of the period.

No. of Loans (in Full) **LILY CHEN for CONGRESS**

A. Full Name, Mailing Address and ZIP Code of Loan Source PAUL P CHEN 180 Aspen Oak Lane Glendale, CA 91207 Election <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Original Amount of Loan \$2,200.-	Cumulative Payments To Date 0	Balance Outstanding at Close of This Period \$2,200.-
Terms: Date Incurred 6-4-91 Date Due Open Interest Rate 0 (Year) <input type="checkbox"/> Secured			

List All Endorsers or Guarantors (if any) to Item A

1. Full Name, Mailing Address and ZIP Code	Name of Employer Occupation Amount Guaranteed Outstanding: 0
2. Full Name, Mailing Address and ZIP Code	Name of Employer Occupation Amount Guaranteed Outstanding: 0
3. Full Name, Mailing Address and ZIP Code	Name of Employer Occupation Amount Guaranteed Outstanding: 0

B. Full Name, Mailing Address and ZIP Code of Loan Source Election <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Original Amount of Loan	Cumulative Payments To Date	Balance Outstanding at Close of This Period
Terms: Date Incurred _____ Date Due _____ Interest Rate _____ (Year) <input type="checkbox"/> Secured			

List All Endorsers or Guarantors (if any) to Item B

1. Full Name, Mailing Address and ZIP Code	Name of Employer Occupation Amount Guaranteed Outstanding: 0
2. Full Name, Mailing Address and ZIP Code	Name of Employer Occupation Amount Guaranteed Outstanding: 0
3. Full Name, Mailing Address and ZIP Code	Name of Employer Occupation Amount Guaranteed Outstanding: 0

SUBTOTALS This Period This Page (Include)	\$2,200.-
TOTALS This Period (All pages in this report)	\$2,200.-

9171477071

KRS

SCHEDULE A

ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

PAGE 1 OF 1
FOR LINE NUMBER 13 (b)

Any information printed from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions, or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

LILY CHEN FOR CONGRESS

92040923901

Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
PAUL P CHEN 179 BARRANCA DR MONTEREY PARK, CA 91754 Receipt For: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General (Other specify)	N/A Occupation: Retired Aggregate Year-to-Date > \$ 1,000.-	6-17-88	1,000.- KRS
B. Full Name, Mailing Address and ZIP Code Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General (Other specify)	Name of Employer Occupation Aggregate Year-to-Date > \$	Date (month, day, year)	Amount of Each Receipt this Period
C. Full Name, Mailing Address and ZIP Code Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General (Other specify)	Name of Employer Occupation Aggregate Year-to-Date > \$	Date (month, day, year)	Amount of Each Receipt this Period
D. Full Name, Mailing Address and ZIP Code Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General (Other specify)	Name of Employer Occupation Aggregate Year-to-Date > \$	Date (month, day, year)	Amount of Each Receipt this Period
E. Full Name, Mailing Address and ZIP Code Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General (Other specify)	Name of Employer Occupation Aggregate Year-to-Date > \$	Date (month, day, year)	Amount of Each Receipt this Period
F. Full Name, Mailing Address and ZIP Code Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General (Other specify)	Name of Employer Occupation Aggregate Year-to-Date > \$	Date (month, day, year)	Amount of Each Receipt this Period
G. Full Name, Mailing Address and ZIP Code Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General (Other specify)	Name of Employer Occupation Aggregate Year-to-Date > \$	Date (month, day, year)	Amount of Each Receipt this Period

SUBTOTAL of Receipts This Page (optional)

TOTAL This Period (last page this line number only)

1,000.-

SCHEDULE C
(Revised 3/80)

LOANS

Page 1 of 1 for
LOAN NUMBER 113 (b)
Use separate schedules
for each numbered loan

Name of Committee (or Full)			
LILY CHEN FOR CONGRESS			
Full Name, Mailing Address and ZIP Code of Loan Source		Original Amount of Loan	Balance Outstanding at Close of This Period
PAUL P. CHEN 179 Barranca Dr. Monterey Park, CA 91754		1,000.00	1,000.00
Election <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):			
Terms Date Incurred <u>6-17-88</u> Date Due <u>open</u> Interest Rate <u>None</u> % (per) <input type="checkbox"/> Secured			
List All Endorsers or Guarantors (if any) to Item A <u>None</u>			
1 Full Name, Mailing Address and ZIP Code		Name of Employer	
		Occupation	
		Amount Guaranteed Outstanding \$	
2 Full Name, Mailing Address and ZIP Code		Name of Employer	
		Occupation	
		Amount Guaranteed Outstanding \$	
3 Full Name, Mailing Address and ZIP Code		Name of Employer	
		Occupation	
		Amount Guaranteed Outstanding \$	
B Full Name, Mailing Address and ZIP Code of Loan Source		Original Amount of Loan	Balance Outstanding at Close of This Period
Election <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):			
Terms Date Incurred _____ Date Due _____ Interest Rate _____ % (per) <input type="checkbox"/> Secured			
List All Endorsers or Guarantors (if any) to Item B			
1 Full Name, Mailing Address and ZIP Code		Name of Employer	
		Occupation	
		Amount Guaranteed Outstanding \$	
2 Full Name, Mailing Address and ZIP Code		Name of Employer	
		Occupation	
		Amount Guaranteed Outstanding \$	
3 Full Name, Mailing Address and ZIP Code		Name of Employer	
		Occupation	
		Amount Guaranteed Outstanding \$	
SUBTOTALS (This Period This Page (optional)			
TOTALS (This Period (last page in)			1,000.00

KRS



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20543

89-3
OCT 22 1991

Paul P. Chen, Treasurer
Lily Chen for Congress
180 Aspen Oak Lane
Glendale, CA 91207

Identification Number: C00220830

Reference: Mid-Year Report (1/1/91-6/30/91)

Dear Mr. Chen:

This letter is prompted by the Commission's preliminary review of the report(s) referenced above. The review raised questions concerning certain information contained in the report(s). An itemization follows:

-Schedule A of your report (pertinent portion attached) discloses a contribution(s) which appears to exceed the limits set forth in the Act. An individual or a political committee other than a qualified multicandidate committee may not make a contribution to a candidate for federal office in excess of \$1,000 per election. The term "contribution" includes any gift, subscription, loan, advance, or deposit of money or anything of value made by any person for the purpose of influencing any election for federal office. (2 U.S.C. §441a(a) and (f); 11 CFR §110.1(b), (e) and (h))

If the contribution(s) in question was incompletely or incorrectly disclosed, you should amend your original report with the clarifying information. If the contribution(s) you received exceeds the limits, you should either refund to the donor the amount in excess of \$1,000 or get the donor to redesignate and/or reattribute the contribution in writing. All refunds, redesignations, and reattributions must be made within sixty days of the treasurer's receipt of the contribution. Copies of refund checks and copies of letters reattributing or redesignating the contributions in question may be used to respond to this letter. Refunds are reported on Line 20 of the Detailed Summary Page and on Schedule B of the report covering the period in which they are made. Redesignations and reattributions are reported as memo entries on Schedule A of the report covering the period in which the authorization for the redesignation and/or reattribution is received. (11 CFR §104.6(d)(2), (3) and (4))

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Although the Commission may take further legal steps, prompt action by you to refund or seek redesignation and/or reattribution of the excessive amount will be taken into consideration.

-For future reports, please be advised that debt payments should be itemized as operating expenditures and reported on Line 17 of the Detailed Summary Page.

A written response or an amendment to your original report(s) correcting the above problem(s) should be filed with the Clerk of the House of Representatives, 1036 Longworth House Office Building, Washington, DC 20515 within fifteen (15) days of the date of this letter. If you need assistance, please feel free to contact me on our toll-free number, (800) 424-9530. My local number is (202) 219-3580.

Sincerely,

Kevin R. Salley

Kevin R. Salley
Reports Analyst
Reports Analysis Division

21037145107

9 2 0 4 D 4 3 2 2 3 1 9 5 0 2 5

WELLS FARGO BANK 1003
DATE: FEB 2 1992
PAY TO THE ORDER OF Elizabeth Y. Y. Chen \$1,000.00
One thousand and no/100
BY: Paul P. Chen
TRANSFER

WELLS FARGO BANK 1004
DATE: FEB 2 1992
PAY TO THE ORDER OF Valant Chen \$500.00
Five hundred and no/100
BY: Paul P. Chen
TRANSFER

WELLS FARGO BANK 1005
DATE: FEB 2 1992
PAY TO THE ORDER OF Alethea Hu \$1,000.00
One thousand and no/100
BY: Paul P. Chen
TRANSFER

RECEIVED
F.E.C.
SECRETARIAT

FEDERAL ELECTION COMMISSION
999 E Street, N.W.
Washington, D.C. 20463

92 JUN 29 AM 11:16

SENSITIVE

FIRST GENERAL COUNSEL'S REPORT

RAD Referral 92L-8
STAFF MEMBERS George F. Rishel
Jeffrey D. Long

SOURCE: INTERNALLY GENERATED

RESPONDENTS: Lily Chen for Congress and Paul
Chen, as treasurer

RELEVANT STATUTES: 2 U.S.C. § 441a(a)(1)(A)
2 U.S.C. § 441a(f)

INTERNAL REPORTS CHECKED: Disclosure Reports

FEDERAL AGENCIES CHECKED: None

I. GENERATION OF MATTER

This matter was generated by a referral from the Reports Analysis Division ("RAD") on March 30, 1992, regarding the receipt of \$10,700 in excessive contributions by Lily Chen for Congress and Paul Chen, as treasurer ("Respondents"). Lily Chen was a candidate for Congress in 1988 Democratic primary election for the Thirtieth Congressional District of California, which she lost.

II. FACTUAL AND LEGAL ANALYSIS

The campaign ended with the committee reporting a substantial amount of unpaid debts. The apparent excessive contributions were received in 1991 in an effort by the Respondents to raise funds to pay its debts. As of December 31, 1991, the Committee was reporting cash on hand of \$2,903 and debts owed of

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\$73,733.66.¹ The Committee reported total receipts of \$21,000 in 1991 and total disbursements of \$18,200. These figures include the receipt of the \$10,700 in apparent excessive contributions and the refund of \$8,200 of these excessive contributions.

The Federal Election Campaign Act of 1971, as amended ("the Act"), provides that no person shall make contributions to any candidate for federal office that aggregate more than \$1,000 per election. 2 U.S.C. § 441a(a)(1)(A). The Act further prohibits any candidate or political committee from knowingly accepting any contribution in excess of these limits. 2 U.S.C. § 441a(f).

Commission regulations permit a committee to receive contributions to retire debts to the extent that it has net debts outstanding with regard to the election in question. 11 C.F.R. § 110.1(b)(3). Such contributions must, however, be aggregated with other contributions from the same contributors made with respect to the election in question. 11 C.F.R. § 110.1(b)(5); see also, FEC v. Haley, 852 F.2d 1111 (9th Cir. 1988).

Commission regulations provide that contributions which exceed the applicable contribution limitations when aggregated with prior contributions to the same candidate for the same election shall be refunded if the treasurer does not obtain a redesignation or reattribution within 60 days of the receipt of the contribution. The refund must also be made within 60 days. 11 C.F.R. § 103.3(b)(3).

1. The Commission recently approved the settlement of one debt of \$17,611.48 with the payment of \$12,729. See DSP #92-9. As of June 5, 1992, the Committee had not submitted a debt settlement plan for its remaining debts.

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The reports filed by the Respondents in 1991 disclosed these contributions that when aggregated with previous contributions made by these contributors to the Chen Committee exceeded the applicable limits for the 1988 primary election:

<u>CONTRIBUTOR</u>	<u>EXCESSIVE AMOUNT</u>	<u>DATE MADE</u>	<u>DATE REFUNDED</u>	<u>NUMBER OF DAYS</u>
Paul Chen	\$8,200	6-4-91	11-2-91	151
Elizabeth Chen	\$1,000	6-30-91	2-2-92	217
Valiant Chiu	\$500	5-8-91	2-2-92	270
Alethea Hsu	\$1,000	5-5-91	2-2-92	273
TOTAL	\$10,700			

The treasurer has provided copies of the refund checks.

The above chart shows that the Respondents have knowingly accepted excessive contributions totaling \$10,700, which were not refunded within the regulatory time frames. Thus, we recommend that the Commission find reason to believe Lily Chen for Congress and Paul Chen, as treasurer, violated 2 U.S.C. § 441a(f). It also appears that Paul Chen made an excessive contribution of \$8,200 to the committee that was not refunded for 151 days. Paul Chen is also the treasurer of the committee. Thus, we also recommend that the Commission find reason to believe Paul Chen individually violated 2 U.S.C. § 441a(a)(1)(A).

Because of the size of one of the excessive contributions, the \$8,200 contribution from the treasurer, we would normally recommend offering to enter into preprobable cause conciliation in this matter. Nevertheless, we note that (1) this matter involves a 1988 primary election candidate who did not make it to

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the general election; (2) the candidate has not been active in seeking further federal elective office; (3) the committee has apparently been trying to pay off its remaining debts; and (4) the excessive contributions have been refunded, though not within the regulatory time frames. Taking all of these factors into consideration, we recommend that the Commission exercise its prosecutorial discretion and take no further action and close the file in this matter.

We are recommending a reason to believe finding rather than declining to open a MUR in order to point out the violation and to put it on the public record. Also, the letter will contain the appropriate admonishment language.

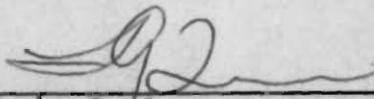
III. RECOMMENDATIONS

1. Open a MUR.
2. Find reason to believe that Lily Chen for Congress and Paul Chen, as treasurer, violated 2 U.S.C. § 441a(f).
3. Find reason to believe Paul Chen violated 2 U.S.C. § 441a(a)(1)(A).
4. Take no further action and close the file.
5. Approve the appropriate letters.

Lawrence M. Noble
General Counsel

92040923909
Date 6/26/92

BY:


Lois G. Lerner
Associate General Counsel

Attachments:
Referral Materials



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

MEMORANDUM

TO: LAWRENCE M. NOBLE
GENERAL COUNSEL

FROM: MARJORIE W. EMMONS / DONNA ROACH *DR*
COMMISSION SECRETARY

DATE: JULY 2, 1992

SUBJECT: RAD REFERRAL #92L-08 - FIRST GENERAL COUNSEL'S REPORT
DATED JUNE 26, 1992.

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The above-captioned document was circulated to the
Commission on _____.

Objection(s) have been received from the
Commissioner(s) as indicated by the name(s) checked below:

- Commissioner Aikens _____
- Commissioner Elliott _____
- Commissioner McDonald _____
- Commissioner McGarry _____
- Commissioner Potter _____
- Commissioner Thomas XXX

This matter will be placed on the meeting agenda
for TUESDAY, JULY 28, 1992.

Please notify us who will represent your Division before
the Commission on this matter.

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
Lily Chen for Congress and Paul)
Chen, as treasurer)

RAD Referral)
#92L-8)

(MUR 3567)

CERTIFICATION

I, Marjorie W. Emmons, recording secretary for the Federal Election Commission executive session on July 28, 1992, do hereby certify that the Commission decided by a vote of 5-1 to take the following actions with respect to RAD Referral #92L-8:

1. Open a MUR.
2. Find reason to believe that Lily Chen for Congress and Paul Chen, as treasurer, violated 2 U.S.C. § 441a(E).
3. Find reason to believe that Paul Chen violated 2 U.S.C. § 441a(a)(1)(A).
4. Take no further action and close the file.

(continued)

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5. Approve the appropriate letters as recommended in the General Counsel's report dated June 26, 1992.

Commissioners Aikens, Elliott, McDonald, McGarry,
and Potter voted affirmatively for the decision;
Commissioner Thomas dissented.

Attest:

7-29-92
Date

Marjorie W. Emmons
Marjorie W. Emmons
Secretary of the Commission

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FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

August 3, 1992

Paul Chen
180 Aspen Oak Lane
Glendale, California 91207

RE: MUR 3567
Paul Chen

Dear Mr. Chen:

On July 28, 1992, the Federal Election Commission found reason to believe that you violated 2 U.S.C. § 441a(a)(1)(A), a provision of the Federal Election Campaign Act of 1971, as amended ("the Act."). However, after considering the circumstances of this matter, the Commission also determined to take no further action and closed its file. The General Counsel's Report, which formed a basis for the Commission's finding, is attached for your information.

The Commission reminds you that making contributions which exceed the limitations as set forth at 2 U.S.C. § 441a, is a violation of the Act. You should take immediate steps to insure that this activity does not occur in the future.

The confidentiality provisions at 2 U.S.C. § 437g(a)(12) no longer apply and this matter is now public. In addition, although the complete file must be placed on the public record within 30 days, this could occur at any time following certification of the Commission's vote. If you wish to submit any factual or legal materials to appear on the public record, please do so as soon as possible. While the file may be placed on the public record before receiving your additional materials, any permissible submissions will be added to the public record upon receipt.

If you have any questions, please contact Jeffrey Long the staff member assigned to this matter, at (202) 219-3690.

Sincerely,

Joan D. Aikens

Joan D. Aikens
Chairman

Enclosure
GC Report

92040923913



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

August 3, 1992

Paul Chen, Treasurer
Lily Chen for Congress
180 Aspen Oak Lane
Glendale, California 91207

RE: MUR 3567
Lily Chen for Congress and
Paul Chen, as treasurer

Dear Mr. Chen:

On July 28, 1992, the Federal Election Commission found reason to believe that Lily Chen for Congress ("Committee") and you, as treasurer, violated 2 U.S.C. § 441a(f), a provision of the Federal Election Campaign Act of 1971, as amended ("the Act."). However, after considering the circumstances of this matter, the Commission also determined to take no further action and closed its file. The General Counsel's Report, which formed a basis for the Commission's finding, is attached for your information.

The Commission reminds you that accepting contributions which exceed the limitations as set forth at 2 U.S.C. § 441a, is a violation of the Act. You should take immediate steps to insure that this activity does not occur in the future.

The confidentiality provisions at 2 U.S.C. § 437g(a)(12) no longer apply and this matter is now public. In addition, although the complete file must be placed on the public record within 30 days, this could occur at any time following certification of the Commission's vote. If you wish to submit any factual or legal materials to appear on the public record, please do so as soon as possible. While the file may be placed on the public record before receiving your additional materials, any permissible submissions will be added to the public record upon receipt.

If you have any questions, please contact Jeffrey Long the staff member assigned to this matter, at (202) 219-3690.

Sincerely,

Joan D. Aikens

Joan D. Aikens
Chairman

Enclosure
GC Report

92040923914

MEMORANDUM FOR FILES: TELECON

SUBJECT: EXCESSIVE LOAN/CONTRIBUTION

FROM: PAUL P. CHEN, TREASURER

TO: KEVIN R. SALLEY, REPORTS ANALYST

NAME OF COMMITTEE: LILY CHEN FOR CONGRESS

DATE: NOVEMBER 6, 1991

92040923915

Today, Mr. Chen informed me that he had prepared a response to our RFAI. He said that he was not aware that his loan to the Committee was excessive since it was used to pay off existing campaign debts. I told Mr. Chen that individuals other than the candidate have a \$1,000 limit per election. I explained that in his situation, he could only give \$1,000 because the candidate was only involved in the 1988 Primary election. I pointed out that he had made a previous loan to the Committee of \$1,000 on 6/17/88, and therefore, the total amount of his current loan (\$8,200) was considered to be excessive. Mr. Chen stated that the Committee would refund the contribution immediately, and that the written response would be mailed tomorrow.

REGULAR MAIL

NOV 6 1991

NOV 13 1991

Nov. 4, 1991

Mr. Kevin R. Salley
Reports Analyst
Reports Analysis Div.
Federal Election Commission
Washington, DC 20463

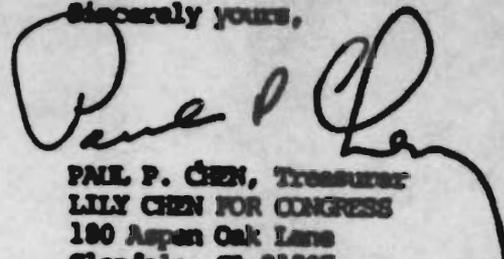
RE: LILY CHEN FOR CONGRESS (ID #124656), Mid-Year Report (1/1/91 - 6/30/91).
Your letter dated 10/22/91 with ID #C00220830.

Dear Mr. Salley:

This is to respond to your letter of 10/22/91. At the time (6/4/91) the \$8,200.00 loan was made by me, I was not aware that such a loan is considered as a contribution even though the purpose of the loan is to reduce Committee debts incurred in 1998 and not to influence any on-going or future federal elections.

As a corrective action, the Committee has followed your instructions, and has repaid the \$8,200.00 loan, or refunded same to the donor. This refund transaction will be reported in the Committee's year-end report covering period 7/1/91 to 12/31/91.

Sincerely yours,



PAUL P. CHEN, Treasurer
LILY CHEN FOR CONGRESS
180 Aspen Oak Lane
Glendale, CA 91207
Tel: 818-500-8890

cc: Mr. Donald K. Anderson, Clerk
U.S. House of Representatives



FEDERAL ELECTION COMMISSION

WASHINGTON, DC 20541

RQ-3

November 21, 1991

Paul P. Chen, Treasurer
Lily Chen for Congress
180 Aspen Oak Lane
Glendale, CA 91207

Identification Number: C00220830

Reference: Mid-Year Report (1/1/91-6/30/91)

Dear Mr. Chen:

On October 22, 1991, you were notified that a review of the above-referenced report(s) raised questions as to specific contributions and/or expenditures, and the reporting of certain information required by the Federal Election Campaign Act.

Your November 4, 1991 response is incomplete because you have not provided all the requested information. For this response to be considered adequate, the following information is still required.

-You stated in your response that the \$8,200 loan from Mr. Paul P. Chen was refunded. In order to be considered in compliance with the provisions of the Federal Election Campaign Act, please provide the date the refund was made.

A photocopy of a refund check may be used to respond to this letter. In addition, refunds are reported on Line 20 of the Detailed Summary Page and on Schedule B of the report covering the period in which they are made. 11 CFR §104.8(a)(4)

Although the Commission may take further legal steps, prompt action by you to refund the excessive amount will be taken into consideration.

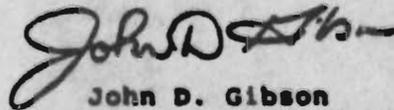
If this information is not received by the Commission within fifteen (15) days from the date of this notice, the Commission may choose to initiate audit or legal enforcement action.

If you should have any questions related to this matter,

91237203936

please contact Kevin Salley on our toll-free number (800) 424-9330
or our local number (202) 219-3580.

Sincerely,



John D. Gibson
Assistant Staff Director
Reports Analysis Division

91037203937

REGULAR MAIL
NOV 29 1991

91 DEC -2 PM 12:05

November 29, 1991

John D. Gibson
Assistant Staff Director
Report Analysis Division
FEDERAL ELECTION COMMISSION
Washington, D.C. 20463

Ref: Mid-year Report (7/1/91 - 6/30/91), LILY CHEN for CONGRESS (ID#124656)
Your letter dated 11/21/91 (ID#00220830)

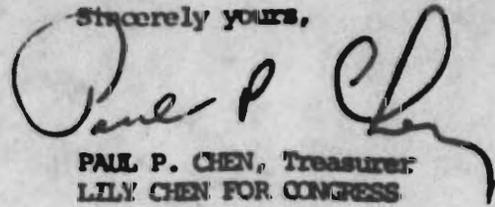
Dear Mr. Gibson:

The requested information specified in your letter of 11/21/91 is provided as follows:

- 1) The \$8,200.00 contribution refund, or loan repayment, was made on November 2, 1991.
- 2) A copy of the cancelled refund check is attached herewith.

This transaction will be reported in the Committee's year-end report covering period 7/1/91 - 12/31/91.

Sincerely yours,



PAUL P. CHEN, Treasurer
LILY CHEN FOR CONGRESS
180 Aspen Oak Lane
Glendale, CA 91207
Tel: 818-500-8890

91010923919

DEC -3 PM 11:46

15 JUL 89
12387

WELLS FARGO BANK 1002
3000 GLEN LANE SUITE 1000 N BRAND BOULEVARD GLENDALE CA 91201

019 Nov. 2 '91

PAUL P. CHEN \$ 8,200.⁰⁰

Eighty two thousand 100 DOLLARS

PAUL P. CHEN (BY CONGRESS)
87th DISTRICT
180 ASPEN CREEK LANE
GLENDALE CA 91207

14 Paul P. Chen

⑆0000820000⑆

⑆02020 4960 200⑆

99010923920
7101

Paul P. Chen
69788343

AMERICAN SAVINGS BANK
11 12 91
W.L.B. SF
122:8:6

NO 91 12 122000661
NO 91 13 122000247

REG. U.S. DEPT. OF TREASURY

SCHEDULE A

ITEMIZED RECEIPTS

1146

Any information reported from such Reports and Statements may not be sold or used by any person for the purpose of collecting contributions or for commercial purposes, etc. - Just using the name and address of any political organization to request contributions from such persons.

NAME OF COMMITTEE To Whom

LILY CHEN for CONGRESS

92040923921
9171430023

A. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Fong Fu Chang 418 W. Carroll St. #105 Glendora, CA 91740	Garfield Medical Ctr Occupation: Physician	3-5-91	4,000.-
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify): Pay Comm. for debt	Aggregate Year-to-Date > \$ 1,000		
B. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Alethea Hsu 412 W. Carroll St. #105 Glendora, CA 91740	Garfield Medical Center Occupation: Physician	5-5-91	1,000.-
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify): Pay Comm. for debt	Aggregate Year-to-Date > \$ 1,000		
C. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Valiant Chiu 530 S. Greenwood Ave Pasadena, CA 91107	Garfield Realty Occupation: Manager	5-8-91	1,000.-
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify): Pay Comm. for debt	Aggregate Year-to-Date > \$ 1,000		
D. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Hoa Quoc Truong 613 S. San Gabriel Bl. #109 San Gabriel, CA 91770	Hoa Quoc Insurance Co. Occupation: Owner of Co.	5-6-91	300.-
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify): Pay Comm. for debt	Aggregate Year-to-Date > \$ 300		
E. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
David T. CHEN 15403 Circle Ridge Lane Hacienda Heights, CA 91745	Calbero, Nise, & CHEN Occupation: Advertising	5-5-91	500.-
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify): Pay Comm. for debt	Aggregate Year-to-Date > \$ 500		
F. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Huo CHEN 600 N. Garfield Ave. #105 Monterey Park, CA 91754	Huo CHEN, MD A Medical Corp. Occupation: Physician	6-30-91	1,000.-
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify): Pay Comm. for debt	Aggregate Year-to-Date > \$ 1,000		
G. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Elizabeth Y.Y. CHEN 1480 Virginia Road San Marino, CA 91088	None Occupation: Housewife	6-30-91	1,000.-
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify): Pay Comm. for debt	Aggregate Year-to-Date > \$ 1,000		

SUBTOTAL of Receipts This Page (optional)	5,800.-
TOTAL This Period (last page this line number only)	5,800.-

SCHEDULE A

ITEMIZED RECEIPTS

For form category or use
Detailed Summary Page

FOR LINE INCLUSION
1126

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

LILY CHEN FOR CONGRESS

92040923922
1397137

A. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt (this Period)
Rev. J. Paige 8641 Quinn St. Downey, CA. 90241 Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Stags Products, Inc. Occupation: President Aggregate Year-to-Date > \$ 300	7-2-88	300.-
Ramada Inn & Valley Racquet Club 840 S. Indian Hill Blvd. Claremont, CA. 91711 Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	as left (not a corporation) Sole proprietorship Occupation: Inn and Club Aggregate Year-to-Date > \$ 500	1-30-88	500.-
Julie C.H. Wu 8533 Sunset Blvd. #201 Los Angeles, CA. 90069 Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Julie Wu, Inc. Occupation: BUSINESS OWNER Aggregate Year-to-Date > \$ 1,000	1-30-88	1,000.-
Maeson Young 2324 S. Pacific Gate Way Hacienda Heights, CA. 91745 Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Self Employed Occupation: Motel owner Aggregate Year-to-Date > \$ 1,000	1-30-88	1,000.-
Lily Y. Wong 808 N. Spring St. #808 Los Angeles, CA. 90012 Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Self Employed Occupation: Investor Aggregate Year-to-Date > \$ 250	1-20-88	250.-
John T. Ko 523 W. 6th St. #120 Los Angeles, CA. 90014 Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Self Employed Occupation: Attorney Aggregate Year-to-Date > \$ 300	1-20-88	300.-
Elizabeth Y.Y. Chen 1480 Virginia Rd. San Marino, CA. 91108 Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Dr. Hsu Chen's Medical Office Occupation: Office Manager Aggregate Year-to-Date > \$ 1,000	2-5-88	1,000.-

SUBTOTAL of Receipts This Page (optional) 4,350.-

TOTAL This Period (last page) (do not number only)

KRS

SCHEDULE A

ITEMIZED RECEIPTS

For each receipt of the General Fund
 Form (OMB Number) 1545-0045

Any information printed from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for another purpose, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full) **LILY CHEN for CONGRESS**

92040923923
 9171430

A. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Fong Fu Chang 412 W. Carroll St. #105 Glendora, CA 91740	Garfield Medical Ctr.	5-5-91	4,000.-
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify): Pay Committee Debt	Occupation: Physician	Aggregate Year-to-Date: > \$ 1,000	
Alethea Hsu 412 W. Carroll St. #105 Glendora, CA 91740	Garfield Medical Center	5-5-91	1,000.-
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify): Pay Commite Debt	Occupation: Physician	Aggregate Year-to-Date: > \$ 1,000	
Valiant Chiu 520 S. Greenwood Ave Pasadena, CA 91107	Garfield Realty	5-8-91	1,000.-
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify): Pay Commite Debt	Occupation: Manager	Aggregate Year-to-Date: > \$ 1,000	KRS
Hoa Quoc Truong 633 S. San Gabriel Bl. 56109 San Gabriel, CA 91796	Hoa Quoc Insurance Co.	5-5-91	300.-
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify): Pay Commite Debt	Occupation: Owner of Co.	Aggregate Year-to-Date: > \$ 300	
David T. CHEN 15403 Circle Ridge Lane Hacienda Heights, CA 91745	Calders, Morse, & CHEN	5-5-91	500.-
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify): Pay Commite Debt	Occupation: Advertising	Aggregate Year-to-Date: > \$ 500	
Huo CHEN 600 N. Garfield Ave. #105 Monterey Park, CA 91754	Huo CHEN, M.D. A medical Corp.	6-30-91	1,000.-
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify): Pay Commite Debt	Occupation: Physician	Aggregate Year-to-Date: > \$ 1,000	
Elizabeth Y.Y. CHEN 1480 Virginia Road San Marino, CA 9108	None	6-30-91	1,000.-
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify): Pay Commite Debt	Occupation: Housewife	Aggregate Year-to-Date: > \$ 1,000	

TOTAL of Receipts This Page (optional)	5,800.-
TOTAL This Period (last page this line number only)	5,800.-

SCHEDULE A ITEMIZED RECEIPTS

Detailed Summary Page FOR LINE NUMBER 11a (4)

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)
LILY CHEN for CONGRESS

92040923924

A. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt (this Period)
Robert Chow 125 S. Chandler #A Monterey Park, CA. 91754	Self Employed	4-18-88	300.-
Receipt For: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation Business	Aggregate Year-to-Date > \$ 300.-	
Fong Fu Chang 500 N. Garfield Ave. Monterey Park, CA 91754	Self Employed	4-12-88	300.-
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation Medical Doctor	Aggregate Year-to-Date > \$ 300.-	
Lin Chou 205 S. San Gabriel Bl. San Gabriel, CA 91776	VIP Investment	4-12-88	300.-
Receipt For: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation President	Aggregate Year-to-Date > \$ 300.-	
Miranda Chow 688 Barham Way Monterey Park, CA 91754	N/A	4-15-88	400.-
Receipt For: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation Housewife	Aggregate Year-to-Date > \$ 400.-	
Sun Bih Chen 902 N. First St. Alhambra, CA 91801	Self Employed	4-12-88	400.-
Receipt For: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation Import Export	Aggregate Year-to-Date > \$ 400.-	
Valiant Chiu 425 Winthrop Rd. San Marlo, CA. 91108	Garfield Investment	4-18-88	500.-
Receipt For: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation Partner	Aggregate Year-to-Date > \$ 500.-	KRS
Roger M. Wang 1101 E. Garvey Av. #108 Monterey Park, CA 91754		4-10-88	500.-
Receipt For: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	Aggregate Year-to-Date > \$ 500.-	

SUBTOTAL of Receipts This Page (optional)	2,700.-
TOTAL This Period (last page this line number only)	

SCHEDULE A

ITEMIZED RECEIPTS

For each receipt, check the appropriate box:
 For the receipt of a political contribution
 For the receipt of a contribution to a political committee
 For the receipt of a contribution to a political party

Any information stated from such Reports and Statements may not be sold or used by any person for the purpose of influencing the outcome of any election, or for any other purpose, but may be used for the purpose of determining the political opinions of the contributors.

NAME OF COMMITTEE (in Full) **LILY CHEN for CONGRESS**

92040923925
9171430028

A. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt (this Period)
Feng Fu Chong 412 W. Carroll St. #105 Glendora, CA 91740	Garfield Medical Ctr.	5-5-91	4,000.-
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify): Pay Comm. for debt	Occupation: Physician	Aggregate Year-to-Date: > \$ 1,000	
Alethea Hsu 412 W. Carroll St. #105 Glendora, CA 91740	Garfield Medical Center	5-5-91	1,000.-
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify): Pay Comm. for debt	Occupation: Physician	Aggregate Year-to-Date: > \$ 1,000	KRS
Valiant Chiu 530 S. Greenwood Ave Pasadena, CA 91107	Garfield Realty	5-8-91	1,000.-
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify): Pay Comm. for debt	Occupation: Manager	Aggregate Year-to-Date: > \$ 1,000	
Hoa Quoc Truong 683 S. San Gabriel Bl. St. 109 San Gabriel, CA 91790	Hoa Quoc Insurance Co.	5-6-91	300.-
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify): Pay Comm. for debt	Occupation: Owner of Co.	Aggregate Year-to-Date: > \$ 300	
David T. CHEN 15403 Circle Ridge Lane Hacienda Heights, CA 91745	Cabero, Mess, & CHEN	5-5-91	500.-
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify): Pay Comm. for debt	Occupation: Advertising	Aggregate Year-to-Date: > \$ 500	
Huo CHEN 600 N. Garfield Ave. #105 Monterey Park, CA 91754	Huo CHEN, M.D. A medical Corp.	6-30-91	1,000.-
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify): Pay Comm. for debt	Occupation: Physician	Aggregate Year-to-Date: > \$ 1,000	
Elizabeth Y.Y. CHEN 1480 Virginia Road San Marino, CA 91108	None	6-30-91	1,000.-
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify): Pay Comm. for debt	Occupation: Housewife	Aggregate Year-to-Date: > \$ 1,000	

SUBTOTAL of Receipts (This Page) (optional)	5,800.-
TOTAL (This Period) (next page this line number only)	5,800.-

Any information copied from such reports and documents may not be sold or used by the public for any purpose, other than using the name and address of any political committee to which contributions have been made.

NAME OF COMMITTEE (In Full)

LILY CHEN FOR CONGRESS

A. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Alethea T. Hsu, M.D. 500 Garfield Ave, Ste 105 Menlo Park, CA. 94025	Self-Employed Occupation: Medical Doctor Aggregate Year-to-Date: \$ 1,000.	5-3-87	1,000.00
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):			
Eric T. Wu 204 Marguerita Ave. Santa Monica, CA. 90402	Century Pacific Finance Corp. Occupation: President Aggregate Year-to-Date: \$ 1,000.	5-3-87	1,000.00
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):			
Anne S. Wu 204 Marguerita Ave. Santa Monica, CA. 90402	Arcus Restaurant Occupation: Co-owner Aggregate Year-to-Date: \$ 1,000.	5-3-87	1,000.00
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):			
C. C. Chang 4200 Curritos Ave. Long Beach, CA. 90807	Peking Restaurant Occupation: Owner Aggregate Year-to-Date: \$ 500.	5-2-87	500.00
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):			
Pui Yuk Dorothy Ko 1030 Piel Fuente Street Menlo Park, CA. 94025	NA Occupation: Housewife Aggregate Year-to-Date: \$ 1,000.	6-1-87	1,000.00
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):			
Ben John Chow 221 Centre Street New York, N.Y. 10013	Ben John & Co. (Import & Export) Occupation: Owner Aggregate Year-to-Date: \$ 1,000.	6-4-87	1,000.00
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):			
George H. Liu 7 Dix Hills Rd. Halesite, N.Y. 11743	Dragon Gate Import Export Co. Occupation: Owner Aggregate Year-to-Date: \$ 1,000.	6-4-87	1,000.00
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):			

SUBTOTAL of Receipts This Page (optional)

6,500.00

TOTAL This Period (For page this line number only)

9204072071
 38013190271



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

RQ-2

Paul P. Chen, Treasurer
Lily Chen for Congress
180 Aspen Oak Lane
Glendale, CA 91207

JAN 22 1992

Identification Number: C00220830

Reference: Mid-Year Report (1/1/91-6/30/91)

Dear Mr. Chen:

This letter is prompted by a further review of the report(s) referenced above. The review raised questions concerning certain information contained in the report(s). An itemization follows:

-Schedule A of your report (pertinent portion attached) discloses a contribution(s) which appears to exceed the limits set forth in the Act. An individual or a political committee other than a qualified multicandidate committee may not make a contribution to a candidate for federal office in excess of \$1,000 per election. The term "contribution" includes any gift, subscription, loan, advance, or deposit of money or anything of value made by any person for the purpose of influencing any election for federal office. (2 U.S.C. §441a(a) and (f); 11 CFR §110.1(b), (e) and (k))

If the contribution(s) in question was incompletely or incorrectly disclosed, you should amend your original report with the clarifying information. If the contribution(s) you received exceeds the limits, you should refund to the donor the amount in excess of \$1,000. All refunds must be made within sixty days of the treasurer's receipt of the contribution. Copies of refund checks may be used to respond to this letter. Refunds are reported on Line 20 of the Detailed Summary Page and on Schedule B of the report covering the period in which they are made. (11 CFR §104.8(d)(4))

Although the Commission may take further legal steps, prompt action by you to refund the excessive amount will be taken into consideration.

A written response or an amendment to your original report(s) correcting the above problem(s) should be filed with the Clerk of the House of Representatives, 1036 Longworth House Office

92303728828

Building, Washington, DC 20515 within fifteen (15) days of the date of this letter. If you need assistance, please feel free to contact me on our toll-free number, (800) 424-9530. My local number is (202) 219-3580.

Sincerely,

Kevin R. Salley

Kevin R. Salley
Reports Analyst
Reports Analysis Division

97 22 00 43 07 92 28 3J 97 20 89

CO0220830 - CA

MEMORANDUM FOR FILES: T E L E C O N

SUBJECT: DEBT SETTLEMENT PLAN AMENDMENT

FROM: KEVIN R. SALLEY, REPORTS ANALYST

TO: PAUL P. CHEN, TREASURER

NAME OF COMMITTEE: LILY CHEN FOR CONGRESS

DATE: FEBRUARY 13, 1992

92040923929

I spoke with Mr. Chen after reading a letter from the Committee, dated 2/8/92, which stated that a "personal loan" would be obtained to repay the amount indicated in the Debt Settlement Plan. I explained the difference between "personal loan" and "personal funds" to Mr. Chen; who stated that "personal funds" was the intended meaning. I asked him to submit another statement for further clarification. Mr. Chen asked that it be noted, that the Committee was working on an amendment to our latest RFAI(mailed 1/22/92), but needed a few more days to complete it.



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

RQ-3

February 13, 1992

Paul P. Chen, Treasurer
Lily Chen for Congress
180 Aspen Oak Lane
Glendale, CA 91207

Identification Number: C00220830

Reference: Mid-Year Report (1/1/91-6/30/91)

Dear Mr. Chen:

This letter is to inform you that as of February 12, 1992, the Commission has not received your response to our request for additional information, dated January 22, 1992. That notice requested information essential to full public disclosure of your federal election financial activity and to ensure compliance with provisions of the Federal Election Campaign Act (the Act). A copy of our original request is enclosed.

If no response is received within fifteen (15) days from the date of this notice, the Commission may choose to initiate audit or legal enforcement action.

If you should have any questions related to this matter, please contact Kevin Salley on our toll-free number (800) 424-9530 or our local number (202) 219-3580.

Sincerely,

John D. Gibson
Assistant Staff Director
Reports Analysis Division

Enclosure

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REGULAR MAIL

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COMMUNICATIONS DIVISION

U.S. HOUSE OF REPRESENTATIVES

February 21, 1992

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John D. Gibson
Assistant Staff Director
Report Analysis Division
FEDERAL ELECTION COMMISSION
Washington, D.C. 20463

RE: Mid-Year Report (1/1/91 - 6/30/91). Identification No. CC0220830.

Dear Mr. Gibson:

This letter is to respond to your letter of 2/13/92 regarding the excess contribution in question.

The 3 contributions pointed out by Mr. Kevin R. Salley are indeed exceeding the \$1,000 limit when they are considered for the same election as the 87 and 88 contributions are for. The Committee has refunded the excess amounts to the 3 donors in question. Copies of the refund checks are attached herewith for your reference.

These transactions are going to be reported in the Committee's '92 Mid-year report.

Sincerely yours,

PAUL P. CHEN, Treasurer
LILY CHEN FOR CONGRESS
180 Aspen Oak Lane
Glendale, CA 91207
Tel: 818-500-8890

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U.S. HOUSE OF REPRESENTATIVES



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

THIS IS THE END OF MUR # 3567

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