



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

THIS IS THE BEGINNING OF MUR # 2266

DATE FILMED 2/2/89 CAMERA NO. 4

CAMERAMAN AS

89040754858



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

January 11, 1989

MEMORANDUM

TO: LAWRENCE M. NOBLE
GENERAL COUNSEL

THROUGH: JOHN C. SURINA *JCS*
STAFF DIRECTOR

FROM: JOHN D. GIBSON *JDG*
ASSISTANT STAFF DIRECTOR
REPORTS ANALYSIS DIVISION

SUBJECT: REFERRAL OF UNAUTHORIZED COMMITTEES FOR LATE/NON-
FILING OF THE 1988 OCTOBER QUARTERLY REPORT

Attached is a listing of eleven (11) unauthorized committees which failed to file the 1988 October Quarterly Report by Election Day, November 8, 1988.

In accordance with the 1987-1988 RAD Review and Referral Procedures for Unauthorized Committees, this matter requires further examination by your office.

For your information, each committee was sent prior notice of the due date of the report on September 21, 1988 (Attachment 12). Non-filer notices were sent to the committees on November 8, 1988 (Attachment 13).

If you have any questions, please contact Lisa Stolaruk at 376-2480.

Attachment

89040754859

Attachment 1, page 4

C00177204: Massachusetts Freeze Voter

89NF-12

Prior referral

-#88NF-40: referred September 9, 1988 for failure to file the 1988 July Quarterly Report

-A Chronic Late Filer Notice was sent November 18, 1988 for the 1987-1988 election cycle.

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Attach. 1. page 6

89NF-12
(MUR 2866)

Massachusetts Freeze Voter
(Attachments 7a - 7c)

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Attach. 1, p. 33

FEDERAL ELECTION COMMISSION
1987-1988
COMMITTEE INDEX OF DISCLOSURE DOCUMENTS - (C)

ATTACHMENT 7a
(Page 1 of 2)
DATE 5DEC88

PAGE 2

COMMITTEE	DOCUMENT	RECEIPTS	DISBURSEMENTS	COVERAGE DATES	# OF PAGES	MICROFILM LOCATION
						TYPE OF FILER
MASSACHUSETTS FREEZE VOTER						ID #C00177204 NON-PARTY NON-QUALIFIED
CONNECTED ORGANIZATION: NONE						
	1987 MID-YEAR REPORT	2,486	1,567	1JAN87 -30JUN87	6	87FEC/481/5055
	MID-YEAR REPORT - AMENDMENT	2,486	1,567	1JAN87 -30JUN87	7	87FEC/493/3861
	REQUEST FOR ADDITIONAL INFORMATION			1JAN87 -30JUN87	1	87FEC/492/3208
	YEAR-END	174	170	1JUL87 -31DEC87	4	88FEC/502/2222
	1988 MISCELLANEOUS NOTICE FROM FEC			18NOV88	1	88FEC/565/2705
	APRIL QUARTERLY	0	47	1JAN88 -31MAR88	4	88FEC/521/1144
	NOTICE OF FAILURE TO FILE			1APR88 -30JUN88	1	88FEC/541/0473
	NOTICE OF FAILURE TO FILE			1JUL88 -30SEP88	1	88FEC/564/5418
	TOTAL	2,660	0 1,784		0	25 TOTAL PAGES

All reports have been reviewed.
Ending cash-on-hand as of 3/31/88: \$997
Outstanding debts owed to or by the committee as-of 3/31/88: \$0

89040 / 54862

Attach. 1, p.34

FEDERAL ELECTION COMMISSION
1985-1986
COMMITTEE INDEX OF DISCLOSURE DOCUMENTS - (C)

DATE 5DEC88

PAGE 2

COMMITTEE	DOCUMENT	RECEIPTS	DISBURSEMENTS	COVERAGE DATES	# OF MICROFILM	
					PAGES	LOCATION
				TYPE OF FILER		
MASSACHUSETTS FREEZE VOTER				ID #C00177204 NON-PARTY NON-QUALIFIED		
CONNECTED ORGANIZATION: NONE						
1985	STATEMENT OF ORGANIZATION - AMENDMENT			31JUL85	2	85FEC/385/0044
	MID-YEAR REPORT	4,723	11,220	1JAN85 -30JUN85	19	85FEC/385/0046
	MID-YEAR REPORT - AMENDMENT	4,948	11,220	1JAN85 -30JUN85	5	86FEC/397/1406
	1'ST LETTER INFORMATIONAL NOTICE			1JAN85 -30JUN85	1	85FEC/393/0847
	YEAR-END	20,552	21,077	1JUL85 -31DEC85	19	86FEC/400/0789
1986	APRIL QUARTERLY	13,077	14,142	1JAN86 -31MAR86	16	86FEC/410/0673
	1'ST LETTER INFORMATIONAL NOTICE			1JAN86 -31MAR86	1	86FEC/416/1694
	JULY QUARTERLY	25,778	17,577	1APR86 -30JUN86	24	86FEC/423/2869
	OCTOBER QUARTERLY	8,859	15,462	1JUL86 -30SEP86	17	86FEC/439/2838
	POST-GENERAL	3,609	5,504	1OCT86 -24NOV86	19	86FEC/447/0167
	YEAR-END	1,358	2,176	25NOV86 -31DEC86	6	87FEC/459/0680
	TOTAL	78,181	0 87,158		0	129 TOTAL PAGES

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All reports have been reviewed
Ending cash-on-hand as of 12/31/86: \$121
Outstanding debts owed to or by the committee as of 12/31/86: \$0

Attach. 1, p. 35

REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee
(Summary Page)

99 FEB 17 11:23

USE FEC MAILING LABEL OR TYPE OR PRINT

1. NAME OF COMMITTEE (in full) <u>Massachusetts Freeze Voter</u>	
ADDRESS (number and street) <input type="checkbox"/> Check if different than previously reported <u>16 Millers Court Rd.</u>	2. FEC IDENTIFICATION NUMBER <u>C00177204</u>
CITY, STATE and ZIP CODE <u>Lincoln, Mass. 01773</u>	3. <input type="checkbox"/> This committee qualified as a multicandidate committee DURING THIS Reporting Period on _____ (date).

4. TYPE OF REPORT

- (a) April 15 Quarterly Report
- July 15 Quarterly Report
- October 15 Quarterly Report
- January 31 Year End Report
- July 31 Mid Year Report (Non-election Year Only)
- Termination Report
- Monthly Report Due On:
- February 20 June 20 October 20
- March 20 July 20 November 20
- April 20 August 20 December 20
- May 20 September 20 January 31
- Twelfth day report preceding _____ (Type of Election) election on _____ in the State of _____
- Thirtieth day report following the General Election on _____ in the State of _____
- (b) Is this Report an Amendment? YES NO

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	COLUMN A This Period	COLUMN B Calendar Year-to-Date
5. SUMMARY Covering Period <u>July 1, 1988</u> through <u>Sept 30, 1988</u>		
6. (a) Cash on Hand January 1, 19 <u>88</u>		\$1044.63
(b) Cash on Hand at Beginning of Reporting Period	\$ 949.84	
(c) Total Receipts (from Line 18)	\$ 0	\$ 0
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	\$ 949.84	\$ 1044.63
7. Total Disbursements (from Line 28)	\$ 48.96	\$ 143.75
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	\$ 900.88	\$ 900.88
9. Debts and Obligations Owed TO the Committee (itemize all on Schedule C and/or Schedule D)	\$ 0	
10. Debts and Obligations Owed BY the Committee (itemize all on Schedule C and/or Schedule D)	\$ 0	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer <u>Paul Cartman</u>	
Signature of Treasurer <u>Paul Cartman</u>	Date <u>2/15/89</u>

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. 437g.

DETAILED SUMMARY PAGE

of Receipts and Disbursements
(Page 2, FEC FORM 3X)

Name of Committee (in full)	Report Covering the Period	
Massachusetts Freecycle	From: July 1, 1988	To: Sept 30, 1988
I. RECEIPTS	COLUMN A Total This Period	COLUMN B Calendar Year-To-Date
11. CONTRIBUTIONS (other than loans) FROM:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A)	0	0
(ii) Unitemized		
(iii) Total of contributions from individuals		1
(b) Political Party Committees		
(c) Other Political Committees (such as PACs)		
(d) TOTAL CONTRIBUTIONS (add 11(a)(iii), (b), and (c))		
12. TRANSFERS FROM AFFILIATED/OTHER PARTY COMMITTEES		
13. ALL LOANS RECEIVED		
14. LOAN REPAYMENTS RECEIVED		
15. OFFSETS TO OPERATING EXPENDITURES (Refunds, Rebates, etc.)		
16. REFUNDS OF CONTRIBUTIONS MADE TO FEDERAL CANDIDATES AND OTHER POLITICAL COMMITTEES		
17. OTHER RECEIPTS (Dividends, Interest, etc.)		
18. TOTAL RECEIPTS (add 11(d), 12, 13, 14, 15, 16 and 17)	0	0
II. DISBURSEMENTS		
19. OPERATING EXPENDITURES	48.96	143.75
20. TRANSFERS TO AFFILIATED/OTHER PARTY COMMITTEES	0	0
21. CONTRIBUTIONS TO FEDERAL CANDIDATES AND OTHER POLITICAL COMMITTEES		
22. INDEPENDENT EXPENDITURES (use Schedule E)		
23. COORDINATED EXPENDITURES MADE BY PARTY COMMITTEES (2 U.S.C. 441a(d)) (use Schedule F)		
24. LOAN REPAYMENTS MADE		=
25. LOANS MADE		
26. REFUNDS OF CONTRIBUTIONS TO:		
(a) Individuals/Persons Other Than Political Committees		
(b) Political Party Committees		
(c) Other Political Committees (such as PACs)		
(d) TOTAL CONTRIBUTION REFUNDS (add 26(a), (b), and (c))	0	0
27. OTHER DISBURSEMENTS		
28. TOTAL DISBURSEMENTS (add 19, 20, 21, 22, 23, 24, 25, 26(d) and 27)	48.96	143.75
III. NET CONTRIBUTIONS/OPERATING EXPENDITURES		
29. TOTAL CONTRIBUTIONS (other than loans) (from Line 11(d))	0	0
30. TOTAL CONTRIBUTION REFUNDS (from Line 26(d))	0	0
31. NET CONTRIBUTIONS (other than loans) (subtract Line 30 from 29)	0	0
32. TOTAL OPERATING EXPENDITURES (from Line 19)	48.96	143.75
33. OFFSETS TO OPERATING EXPENDITURES (from Line 15)		0
34. NET OPERATING EXPENDITURES (subtract Line 33 from 32)	48.96	143.75

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Attach. 1, p. 38

TELECON

ANALYST: ELIZABETH JONES

COMMITTEE: MASSACHUSETTS FREEZE VOTER

SPOKE WITH: TELEPHONE OPERATOR

DATE: 12/7/68

SUBJECT: MASSACHUSETTS FREEZE VOTER

The Directory Assistance Operator said that there was no listing for Massachusetts Freeze Voter, but he had a listing for Paul Castleman, the treasurer of record. I tried to reach Mr. Castleman via the number given to me by the operator, but received no answer.

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Attach. 1, p. 36

SCHEDULE B

ITEMIZE DISBURSEMENTS

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Massachusetts Freeze Voter

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
N. E. Telephone Peabody, Mass 01064	Telephone Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	9/15/88	48.96
B. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
C. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
D. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
E. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
F. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
G. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
H. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
I. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		

SUBTOTAL of Disbursements This Page (optional)	48.96
TOTAL This Period (last page this line number only)	48.96

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Attach, p. 39

FEDERAL RECEIVED

FEDERAL ELECTION COMMISSION
999 E STREET, NW 89 APR 18 PM 3:33
WASHINGTON, D.C. 20463

EXECUTIVE SESSION
~~SENSITIVE~~

FIRST GENERAL COUNSEL'S REPORT

RAD REFERRAL # 89NF 06-16 MAY 02 1989
STAFF MEMBER: Jeffrey Long

SOURCE: INTERNALLY GENERATED

RESPONDENTS:

89040754869

~~Massachusetts Freeze Voter and Paul Castellano,~~
as treasurer (89NF-12)

RELEVANT STATUTE: 2 U.S.C. 5 434(a)(4)(A)(i)

INTERNAL REPORTS CHECKED: Disclosure Reports

FEDERAL AGENCIES CHECKED: None

I. GENERATION OF MATTER

The Reports Analysis Division ("RAD") referred the above eleven committees to the Office of the General Counsel on January 12, 1989. The basis for the attached referral is the committees' failure to file the 1988 October Quarterly Reports, or to do so in a timely fashion.

II. FACTUAL AND LEGAL ANALYSIS

A. Filing of Reports

The Federal Election Campaign Act of 1971, as amended ("the Act"), provides that for unauthorized committees filing quarterly, such committees shall file quarterly reports in a calendar year in which a regularly scheduled general election is held. 2 U.S.C. § 434(a)(4)(A)(i). Quarterly Reports are to be filed no later than the 15th day after the last day of each calendar quarter. Thus, the due date for the October Quarterly Report was October 15, 1988. The following committees did not file the 1988 October Quarterly Report by this date:

Name of Committee

Date Report Filed

89040754870

Massachusetts Freeze Voter

February 17, 1989

89040754871

Accordingly, the Office of the General Counsel recommends that the Commission open Matters Under Review and find reason to believe with respect to the above committees and their treasurers, with the exclusion of the

B. Other Matters Involving These Committees

The Massachusetts Freeze Voter filed its 1988 October Quarterly Report on February 17, 1989, and therefore is a late

-4-

filer rather than a non-filer as stated in the RAD referral. This Committee is a respondent in MUR 2796 for failing to file timely the July 1988 Quarterly Report (RAD Referral 88NF-40). This Office will soon forward a report with a signed conciliation agreement in that matter and therefore does not recommend merger of the two matters.

III. DISCUSSION OF CONCILIATION AND CIVIL PENALTY

This Office recommends that the Commission enter into conciliation prior to finding probable cause to believe with respect to the ten referred committees. Attached for the Commission's approval are proposed conciliation agreements (Attachment 2). The proposed agreements provide for an admission of the violation, the-filing of reports if necessary, and civil penalties computed as follows:

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III. RECOMMENDATIONS

1. Open Matters Under Review with respect to the following committees and find reason to believe the following committees and their treasurers violated 2 U.S.C. § 434(a)(4)(A)(i);

89NF-12 f) Massachusetts Freeze Voter and Paul Castleman,
as treasurer

2. Enter into conciliation prior to a finding of probable cause to believe with respect to the committees and treasurers as listed in recommendation 1.

3. Approve the attached letters, Factual and Legal Analyses, and proposed conciliation agreements to the committees listed in recommendation 1.

Lawrence M. Noble
General Counsel

April 18, 1989
Date

BY:

George F. Rishel
George F. Rishel
Acting Associate General Counsel

Attachments

1. Referral Materials
2. Proposed Conciliation Agreements (10),
Letters (10), and Factual and Legal Analyses (10)

89040754874

89U40-754875

page 2

COMMITTEE ID, NAME AND ADDRESS

CONTACTS
WITH FILED ATCH

REPORTS FILED/
RESPONSES

.....
C00177204
MASSACHUSETTS FREEZE VOTER
TREASURER'S NAME: PAUL CASTLEMAN
C/O BRODZINS 15 BARBERRY RD
LEXINGTON MA 02173
.....

December 7, 1988 7c

Not yet filed

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of

RAD REFERRALS
#89NF 06-16

Massachusetts Freeze Voter and Paul
Castleman, as treasurer (89NF-12)

89040754876

(continued)

CERTIFICATION

I, Marjorie W. Emmons, recording secretary for the Federal Election Commission executive session of May 2, 1989, do hereby certify that the Commission decided by a vote of 4-2 to take the following actions with respect to the above-captioned referrals:

1. Open Matters Under Review with respect to the following committees and find reason to believe the following committees and their treasurers violated 2 U.S.C. § 434(a)(4)(A)(i):

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Federal Election Commission
Certification for RAD Referrals
#89NF 06-16
May 2, 1989 =

Page 3

89040754878

89NF-12 f) Massachusetts Freeze Voter
and Paul Castleman, as
treasurer

2. Enter into conciliation prior to a finding of probable cause to believe with respect to the committees and treasurers listed in action 1 above.

(continued)

Federal Election Commission
Certification for RAD Referrals
#89NF 06-16
May 2, 1989

Page 4

3. Approve the letters, Factual and Legal Analyses, and proposed conciliation agreements to the committees listed in action 1 above, as recommended in the General Counsel's report dated April 18, 1989.

Commissioners Aikens, Josefiak, McDonald, and McGarry voted affirmatively for the decision; Commissioners Elliott and Thomas dissented.

Attest:

May 2, 1989
Date

Marjorie W. Emmons

Marjorie W. Emmons
Secretary of the Commission

89040754879



FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

May 10, 1989

Paul Castleman, Treasurer
Massachusetts Freeze Voter
c/o Sarah Mattes
Conant Road
Lincoln, MA 01773

RE: MUR 2866
Massachusetts Freeze
Voter
Paul Castleman,
as treasurer

Dear Mr. Castleman:

On May 2, 1989, the Federal Election Commission found that there is reason to believe Massachusetts Freeze Voter ("Committee") and you, as treasurer, violated 2 U.S.C. § 434(a)(4)(A)(i), a provision of the Federal Election Campaign Act of 1971, as amended ("the Act"). The Factual and Legal Analysis, which formed a basis for the Commission's finding, is attached for your information.

Under the Act, you have an opportunity to demonstrate that no action should be taken against the Committee and you, as treasurer. You may submit any factual or legal materials that you believe are relevant to the Commission's consideration of this matter. Please submit such materials to the General Counsel's Office within 15 days of your receipt of this letter. Where appropriate, statements should be submitted under oath.

In the absence of any additional information demonstrating that no further action should be taken against the Committee and you, as treasurer, the Commission may find probable cause to believe that a violation has occurred and proceed with conciliation.

In order to expedite the resolution of this matter, the Commission has also decided to offer to enter into negotiations directed towards reaching a conciliation agreement in settlement of this matter prior to a finding of probable cause to believe. Enclosed is a conciliation agreement that the Commission has approved.

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Paul Castleman, Treasurer
Page 2

If you are interested in expediting the resolution of this matter by pursuing preprobable cause conciliation, and if you agree with the provisions of the enclosed agreement, please sign and return the agreement, along with the civil penalty, to the Commission. In light of the fact that conciliation negotiations, prior to a finding of probable cause to believe, are limited to a maximum of 30 days, you should respond to this notification as soon as possible.

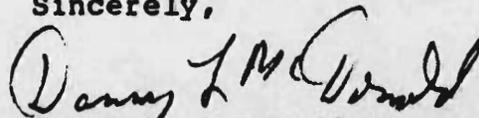
Requests for extensions of time will not be routinely granted. Requests must be made in writing at least five days prior to the due date of the response and specific good cause must be demonstrated. In addition, the Office of the General Counsel ordinarily will not give extensions beyond 20 days.

If you intend to be represented by counsel in this matter, please advise the Commission by completing the enclosed form stating the name, address, and telephone number of such counsel, and authorizing such counsel to receive any notifications and other communications from the Commission.

This matter will remain confidential in accordance with 2 U.S.C. §§ 437g(a)(4)(B) and 437g(a)(12)(A), unless you notify the Commission in writing that you wish the investigation to be made public.

For your information, we have attached a brief description of the Commission's procedures for handling possible violations of the Act. If you have any questions, please contact Keith Morgan, the attorney assigned to this matter, at (202) 376-8200.

Sincerely,


Danny L. McDonald
Chairman

Enclosures
Factual and Legal Analysis
Procedures
Designation of Counsel Form
Conciliation Agreement

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89 JUN 13 AM 9:39

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
) MUR 2866
Massachusetts Freeze Voter)
and Paul Castleman,)
as treasurer)

GENERAL COUNSEL'S REPORT

I. BACKGROUND

On May 2, 1989, the Commission found reason to believe that Massachusetts Freeze Voter (the "Committee") and Paul Castleman, as treasurer, violated 2 U.S.C. § 434(a)(4)(A)(i) by failing to file its 1988 October Quarterly Report in a timely manner.

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II. RECOMMENDATIONS

1. Accept the attached conciliation agreement with Massachusetts Freeze Voter and Paul Castleman, as treasurer.
2. Close the file.
3. Approve the attached letter.

Lawrence M. Noble
General Counsel

6-12-89
Date

BY: 
Lois G. Lerner
Associate General Counsel

Attachments:

1. The Committee's Response
2. Conciliation Agreement
3. Photocopy of civil penalty check
4. Letter to Respondents

Staff Assigned: Keith V. Morgan

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In the Matter of
Massachusetts Freeze Voter
and Paul Castleman,
as treasurer

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)
MUR 2866

CERTIFICATION

I, Marjorie W. Emmons, Secretary of the Federal
Election Commission, do hereby certify that on June 16,
1989, the Commission decided by a vote of 6-0 to take
the following actions in MUR 2866:

1. Accept the conciliation agreement with
Massachusetts Freeze Voter and Paul
Castleman, as treasurer, as recommended
in the General Counsel's report signed
June 12, 1989.
2. Close the file.
3. Approve the letter, as recommended in the
General Counsel's report signed June 12,
1989.

Commissioners Aikens, Elliott, Josefiak, McDonald,
McGarry, and Thomas voted affirmatively for the decision.

Attest:

June 16, 1989

Date

Marjorie W. Emmons

for
Marjorie W. Emmons
Secretary of the Commission

Received in the Office of Commission Secretary: Tues., 6-13-89, 9:39
Circulated on 48 hour tally basis: Tues., 6-13-89, 4:00
Deadline for vote: Fri., 6-16-89, 4:00

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11:31

In the Matter of
Massachusetts
Paul Castleman

CONCILIATION AGREEMENT

initiated by the Federal Election Commission pursuant to information ascertained in the normal course of carrying out its supervisory responsibilities. The Commission found reason to believe that Massachusetts Freeze Voter and Paul Castleman, as treasurer ("Respondents") violated 2 U.S.C. § 434(a)(4)(A)(i).

NOW, THEREFORE, the Commission and the Respondents, having participated in informal methods of conciliation, prior to a finding of probable cause to believe, do hereby agree as follows:

I. The Commission has jurisdiction over the Respondents and the subject matter of this proceeding, and this agreement has the effect of an agreement entered pursuant to 2 U.S.C.

§ 437g(a)(4)(A)(i).

II. Respondents have had a reasonable opportunity to demonstrate that no action should be taken in this matter.

III. Respondents enter voluntarily into this agreement with the Commission.

IV. The pertinent facts in this matter are as follows:

1. Massachusetts Freeze Voter is a political committee within the meaning of 2 U.S.C. § 431(4).

2. Paul Castleman is the treasurer of Massachusetts Freeze Voter.

In the Matter of)
Massachusetts Freeze Voter and) MUR 2866
Paul Castleman, as treasurer)

CONCILIATION AGREEMENT

This matter was initiated by the Federal Election Commission ("Commission"), pursuant to information ascertained in the normal course of carrying out its supervisory responsibilities. The Commission found reason to believe that Massachusetts Freeze Voter and Paul Castleman, as treasurer ("Respondents") violated 2 U.S.C. § 434(a)(4)(A)(i).

NOW, THEREFORE, the Commission and the Respondents, having participated in informal methods of conciliation, prior to a finding of probable cause to believe, do hereby agree as follows:

I. The Commission has jurisdiction over the Respondents and the subject matter of this proceeding, and this agreement has the effect of an agreement entered pursuant to 2 U.S.C. § 437g(a)(4)(A)(i).

II. Respondents have had a reasonable opportunity to demonstrate that no action should be taken in this matter.

III. Respondents enter voluntarily into this agreement with the Commission.

IV. The pertinent facts in this matter are as follows:

1. Massachusetts Freeze Voter is a political committee within the meaning of 2 U.S.C. § 431(4).
2. Paul Castleman is the treasurer of Massachusetts Freeze Voter.

3. Section 434(a)(4)(A)(i) of Title 2 provides that unauthorized committees filing quarterly shall file quarterly reports in a calendar year in which a regularly scheduled election is held. Quarterly reports are to be filed no later than the 15th day after the last day of each calendar quarter.

4. Respondents were required to file the 1988 October Quarterly Report no later than October 15, 1988. Respondents did not file the October Quarterly Report until February 17, 1989, 122 days late, disclosing \$0 in receipts and \$48.96 in disbursements.

V. Respondents failed to file the 1988 October Quarterly Report in a timely fashion in violation of 2 U.S.C. § 434(a)(4)(A)(i).

VI. 1. Respondents will pay a civil penalty to the Federal Election Commission in the amount of two hundred and fifty dollars (\$250.00), pursuant to 2 U.S.C. § 437g(a)(5)(A).

VII. The Commission, on request of anyone filing a complaint under 2 U.S.C. § 437g(a)(1) concerning the matters at issue herein or on its own motion, may review compliance with this agreement. If the Commission believes that this agreement or any requirement thereof has been violated, it may institute a civil action for relief in the United States District Court for the District of Columbia.

VIII. This agreement shall become effective as of the date that all parties hereto have executed same and the Commission has approved the entire agreement.

IX. Respondents shall have no more than 30 days from the date this agreement becomes effective to comply with and implement the requirements contained in this agreement and to so notify the Commission.

X. This Conciliation Agreement constitutes the entire agreement between the parties on the matters raised herein, and no other statement, promise, or agreement, either written or oral, made by either party or by agents of either party, that is not contained in this written agreement shall be enforceable.

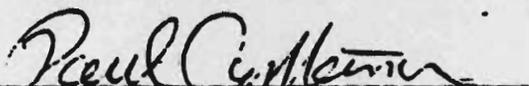
FOR THE COMMISSION:

Lawrence M. Noble
General Counsel

BY: 
Lois G. Lerner,
Associate General Counsel

6-22-89
Date

FOR THE RESPONDENTS:


(Name)
(Position) Treasurer
Massachusetts Fragile
Date 5/25/87



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

June 22, 1989

Paul Castleman, Treasurer
Massachusetts Freeze Voter
c/o Sarah Mattes
Conant Road
Lincoln, MA 01773

MUR: 2866
Massachusetts Freeze Voter and
Paul Castleman, as treasurer

Dear Mr. Castleman:

On June 16, 1989, the Federal Election Commission accepted the signed conciliation agreement and civil penalty submitted by you in settlement of a violation of 2 U.S.C. § 434(a)(4)(A)(i), a provision of the Federal Election Campaign Act of 1971, as amended (the "Act"). Accordingly, the file in this matter has been closed. This matter will become a part of the public record within 30 days. If you wish to submit any factual or legal materials to appear on the public record, please do so within ten days. Such materials should be sent to the Office of the General Counsel.

Please be advised that information derived in connection with any conciliation attempt will not become public without the written consent of the respondent and the Commission. See 2 U.S.C. § 437g(a)(4)(B). The enclosed conciliation agreement, however, will become a part of the public record.

Enclosed you will find a copy of the fully executed conciliation agreement for your files. If you have any questions, please contact Keith V. Morgan, the attorney assigned to this matter, at (202) 376-8200.

Sincerely,

Lawrence M. Noble
General Counsel

BY: Lois G. Lerner
Associate General Counsel

Enclosure
Conciliation Agreement

89040754885

06-22914

BEFORE THE FEDERAL ELECTION COMMISSION 89 MAY 30 AM 9:11

In the Matter of)	
Massachusetts Freeze Voter and)	MUR 2866
Paul Castleman, as treasurer)	

CONCILIATION AGREEMENT

This matter was initiated by the Federal Election Commission ("Commission"), pursuant to information ascertained in the normal course of carrying out its supervisory responsibilities. The Commission found reason to believe that Massachusetts Freeze Voter and Paul Castleman, as treasurer ("Respondents") violated 2 U.S.C. § 434(a) (4) (A) (i).

NOW, THEREFORE, the Commission and the Respondents, having participated in informal methods of conciliation, prior to a finding of probable cause to believe, do hereby agree as follows:

I. The Commission has jurisdiction over the Respondents and the subject matter of this proceeding, and this agreement has the effect of an agreement entered pursuant to 2 U.S.C. § 437g(a) (4) (A) (i).

II. Respondents have had a reasonable opportunity to demonstrate that no action should be taken in this matter.

III. Respondents enter voluntarily into this agreement with the Commission.

IV. The pertinent facts in this matter are as follows:

1. Massachusetts Freeze Voter is a political committee within the meaning of 2 U.S.C. § 431(4).

2. Paul Castleman is the treasurer of Massachusetts Freeze Voter.

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3. Section 434(a)(4)(A)(i) of Title 2 provides that unauthorized committees filing quarterly shall file quarterly reports in a calendar year in which a regularly scheduled election is held. Quarterly reports are to be filed no later than the 15th day after the last day of each calendar quarter.

4. Respondents were required to file the 1988 October Quarterly Report no later than October 15, 1988. Respondents did not file the October Quarterly Report until February 17, 1989, 122 days late, disclosing \$0 in receipts and \$48.96 in disbursements.

V. Respondents failed to file the 1988 October Quarterly Report in a timely fashion in violation of 2 U.S.C. § 434(a)(4)(A)(i).

VI. 1. Respondents will pay a civil penalty to the Federal Election Commission in the amount of two hundred and fifty dollars (\$250.00), pursuant to 2 U.S.C. § 437g(a)(5)(A).

VII. The Commission, on request of anyone filing a complaint under 2 U.S.C. § 437g(a)(1) concerning the matters at issue herein or on its own motion, may review compliance with this agreement. If the Commission believes that this agreement or any requirement thereof has been violated, it may institute a civil action for relief in the United States District Court for the District of Columbia.

VIII. This agreement shall become effective as of the date that all parties hereto have executed same and the Commission has approved the entire agreement.

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IX. Respondents shall have no more than 30 days from the date this agreement becomes effective to comply with and implement the requirements contained in this agreement and to so notify the Commission.

X. This Conciliation Agreement constitutes the entire agreement between the parties on the matters raised herein, and no other statement, promise, or agreement, either written or oral, made by either party or by agents of either party, that is not contained in this written agreement shall be enforceable.

FOR THE COMMISSION:

Lawrence M. Noble
General Counsel

BY: (original signed)
Lois G. Lerner
Associate General Counsel

_____ Date

FOR THE RESPONDENTS:

Paul C. Minton
(Name) Treasurer
(Position) Massachusetts Freight Line

5/25/87
_____ Date

89040754880

MASS. FREEZE VOTER
723 WASHINGTON STREET - TEL. 949-6577
NEWTON, MA 02158

Re MUR 2866
1092

PAY TO THE ORDER OF Federal Election Commission 21 May 1989 57008/2110
\$ 250.00
Exactly two hundred fifty DOLLARS
Mutual Bank
Boston, MA
Memo MUR #2866 Barbara Lepsett

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OGC 2914

MEMORANDUM

TO: DEBRA A. TRIMIEW TO: CHERYL T WILLIAMS
FROM: CHERYL T WILLIAMS FROM: DEBRA A. TRIMIEW

CHECK NO. 1092 { A COPY OF WHICH IS ATTACHED } RELATING TO

MUR 2866 AND NAME Massachusetts Freeze Voter and Paul Costello,
(Morgan) as treasurer

WAS RECEIVED ON 5/31/89. PLEASE INDICATE THE ACCOUNT INTO

WHICH IT SHOULD BE DEPOSITED:

- BUDGET CLEARING ACCOUNT { 95F3875.16 }
- CIVIL PENALTIES ACCOUNT { 95-1077.160 }
- OTHER _____

SIGNATURE Debra A. Trimiew DATE 6/1/89



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

THIS IS THE END OF MUR # 2866

DATE FILMED 2/2/89 CAMERA NO. 4

CAMERAMAN AS

89040754890