



FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

THIS IS THE BEGINNING OF MUR # 2780

DATE FILMED 2/17/89 CAMERA NO. 3

CAMERAMAN AS

99040733495



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

September 9, 1988

MEMORANDUM

TO: LAWRENCE M. NOBLE
GENERAL COUNSEL

THROUGH: JOHN C. SURINA
STAFF DIRECTOR

FROM: JOHN D. GIBSON *JDG*
ASSISTANT STAFF DIRECTOR
REPORTS ANALYSIS DIVISION

SUBJECT: REFERRAL OF THE DEMOCRATIC STATE CENTRAL COMMITTEE
OF LOUISIANA GENERAL FECA ACCOUNT

3 9 0 4 0 7 3 4 9 0

This is a referral of the Democratic State Central Committee of Louisiana General FECA Account ("the Committee"). The Committee has failed to file three (3) reports in a timely manner during the 1987-1988 election cycle. According to the RAD Review and Referral Procedures for Unauthorized Committees (Standard 4), further examination is required by your office.

For your information, the Committee was referred to your office on April 7, 1988 for failure to file the 1987 Year End Report, and on June 23, 1988 for failure to file the 1988 April Quarterly Report on a timely basis (see RAD Referral 88 NF-4 and 88 NF-24 respectively, now MUR 2658).

If you have any questions on this matter, please contact Mike Tangney at 376-2480.

Attachment

REPORTS ANALYSIS REFERRAL

TO

OFFICE OF GENERAL COUNSEL

DATE: 9 September 1988

ANALYST: Mike Tangney

I. COMMITTEE: Democratic State Central Committee
of Louisiana General FECA Account
(C00071365)
Charlotte S. Cantwell, Treasurer
3050 Teddy Drive, Suite B
Baton Rouge, LA 70809

II. RELEVANT STATUTE: 2 U.S.C. §434(a)(4)(A)(i)
and (iv)
11 CFR 104.5(c)(1)(i)(A)
11 CFR 104.5(c)(2)(i)(B)

III. BACKGROUND:

Failure to Timely File Reports

The Democratic State Central Committee of Louisiana General FECA Account ("the Committee") failed to file three (3) reports in a timely manner for the 1987-1988 election cycle (see Chart). The reports were filed between seventeen (17) and one hundred thirty four (134) calendar days late.

On March 11, 1988, the Reports Analysis Division ("RAD") analyst called the Committee headquarters and was referred to Ms. Mary Williams. The RAD analyst inquired if the Year End Report had been sent and was advised by Ms. Williams that it was about to be filed. In addition, Ms. Williams stated that she would contact the person responsible for preparing the report to ensure that it would be filed promptly (Attachment 4).

On May 17, 1988, a Chronic Late Filer Notice was sent to the Committee for failure to file the 1987 Year End and 1988 April Quarterly Reports (Attachment 7). The Notice advised the Committee that any additional late filing of reports could result in legal enforcement action.

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DEMOCRATIC STATE CENTRAL COMMITTEE
OF LOUISIANA GENERAL FECA ACCOUNT
REPORTS ANALYSIS OGC REFERRAL
PAGE 2

On May 31, 1988, the RAD analyst called the treasurer of the Committee, Ms. Charlotte Cantwell. She advised the RAD analyst to contact Mr. James Brady, the Chairman of the Committee, or Mr. Philip Jones, the Executive Director, because they were more involved in the preparation of the reports. The RAD analyst called Mr. Jones and was told that he was unavailable but would return the call. When Mr. Jones returned the call he was advised by the RAD analyst of the need to file the 1988 April Quarterly Report as well as the 1987 Year End Report. Mr. Jones stated that the reports would be filed promptly (Attachment 8).

Subsequent to sending the Chronic Late Filer Notice, the Committee filed the 1988 July Quarterly Report late (Attachment 12).

IV. OTHER PENDING MATTERS INITIATED BY RAD:

None.

9 9 0 4 0 7 3 5 4 9 8

LATE FILING HISTORY

REPORT TYPE	PRIOR NOTICE	DATE DUE	NON-FILER NOTICE	DATE FILED
1987 Year End (7/1/87-12/31/87)	12/18/87 (Attachment 2)	01/31/88	02/19/88 (Attachment 3)	06/13/88 (Attachment 9)
1988 April Quarterly ^{1/} (3/28/88-3/31/88)	03/22/88 (Attachment 5)	04/15/88	05/06/88 (Attachment 6)	08/01/88 (Attachment 11)
1988 July Quarterly ^{2/} (5/7/88-6/30/88)	06/21/88 (Attachment 10)	07/15/88	N/A	08/01/88 (Attachment 12)

^{1/} A 12 Day Pre-Special General Report was filed August 1, 1988 covering January 1 through March 27, 1988.

^{2/} A 30 Day Post-Special General Report was filed August 1, 1988 covering April 1 through May 6, 1988.

FEDERAL ELECTION COMMISSION
1987-1988
COMMITTEE INDEX OF DISCLOSURE DOCUMENTS - (C)

DATE 29SEP88

PAGE 1

COMMITTEE	DOCUMENT	RECEIPTS	DISBURSEMENTS	COVERAGE DATES	# OF PAGES	MICROFILM LOCATION
						TYPE OF FILER
DEMOCRATIC STATE CENTRAL COMMITTEE OF LOUISIANA GENERAL FECA ACCOUNT				ID #C00071365 PARTY QUALIFIED		
CONNECTED ORGANIZATION: DSCC LA-VOTER REGISTRATION ACCOUNT						
1987	MID-YEAR REPORT	11,588	12,203	1JAN87 -30JUN87	10	87FEC/482/1319
	REQUEST FOR ADDITIONAL INFORMATION			1JAN87 -30JUN87	3	88FEC/498/2402
	REQUEST FOR ADDITIONAL INFORMATION 2ND			1JAN87 -30JUN87	4	88FEC/507/3489
	REQUEST FOR ADDITIONAL INFORMATION			1JAN87 -30JUN87	1	88FEC/516/0370
	REQUEST FOR ADDITIONAL INFORMATION 2ND			1JAN87 -30JUN87	2	88FEC/523/5359
	YEAR-END	173,228	173,562	1JUL87 -31DEC87	17	88FEC/528/2971
	YEAR-END - AMENDMENT	-	-	1JUL87 -31DEC87	2	88FEC/540/4115
	NOTICE OF FAILURE TO FILE			31DEC87	1	88FEC/510/2892
	REQUEST FOR ADDITIONAL INFORMATION			1JUL87 -31DEC87	1	88FEC/532/0756
	REQUEST FOR ADDITIONAL INFORMATION 2ND			1JUL87 -31DEC87	2	88FEC/538/3272
1988	MISCELLANEOUS NOTICE FROM FEC			17MAY88	1	88FEC/525/3130
	APRIL QUARTERLY	3,123	0	28MAR88 -31MAR88	5	88FEC/540/4133
	NOTICE OF FAILURE TO FILE			1JAN88 -31MAR88	1	88FEC/524/4821
	JULY QUARTERLY	400	17,100	7MAY88 -30JUN88	5	88FEC/540/4149
	PRE-GENERAL	22,645	20,122	1JAN88 -27MAR88	14	88FEC/540/4116
	POST-GENERAL	31,262	21,008	1APR88 - 6MAY88	9	88FEC/540/4139
	TOTAL	242,246	0 243,995		78	TOTAL PAGES

8 3 0 4 0 7 3 6 7 0 0 0 0

All reports have been reviewed

Debts and obligations owed to the committee as of 6/30/88: \$188,524

Debts and obligations owed by the committee as of 6/30/88: \$0

Cash on hand as of 6/30/88: \$285

YEAR-END REPORT NOTICE

FEDERAL ELECTION COMMISSION

PARTIES AND PACS

December 18, 1987

WHO MUST FILE

ALL PARTY COMMITTEES and PACs (NON-CONNECTED COMMITTEES AND SEPARATE SEGREGATED FUNDS) must file a Year End Report in January.

REPORTING DATES

Semiannual. The Year End Report is due January 31, 1988, and must disclose financial activity that occurred from July 1 through December 31, 1987. Committees which have previously filed 1987 reports should only report activity from the closing date of the last report filed.

Monthly. Committees that choose to file on a monthly basis must file the Year End Report due January 31, 1988. The report must disclose financial activity from December 1 through December 31, 1987. All other monthly reports are due by the 20th of each month and cover all financial activity of the previous month.

WHAT MUST BE REPORTED

A report must disclose all receipts and disbursements that occurred during the reporting period. A newly registered political committee filing its first report should also include all amounts received and expended before the committee registered. See 11 CFR 104.3. For more detailed information on reporting instructions, consult your Campaign Guide.

WHERE AND HOW TO FILE

Committees should consult the instructions on the enclosed FEC Form 3X for details. Affix the peel-off label from the envelope to line 1 of the report. Any corrections in the information should be made directly on the label.

CHANGE IN FILING FREQUENCY

Committees that wish to change their reporting schedule (for example, from semiannual to monthly) must notify the Commission by sending a letter with the next report due under their current reporting schedule. Committees may change their filing frequency only once a year.

COMPLIANCE

Political committees are responsible for filing all reports required under the Act in a timely fashion. Committees and treasurers who file late reports, or fail to file reports, are subject to enforcement action. Illegible reports and reports submitted on non-FEC forms will not be accepted; committees filing such documents will be required to refile.

FOR INFORMATION, Call: Information Services Division
202/376-3120 or 800/424-9530





FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

RQ-7

February 19, 1988

Charlotee S. Cantwell, Treasurer
Democratic State Central Committee
of Louisiana General FECA Account
3050 Teddy Drive, Suite B
Baton Rouge, LA 70809

Identification Number: C00071365

Reference: Year End Report (through 12/31/87)

Dear Ms. Cantwell:

It has come to the attention of the Federal Election Commission ("the Commission") that your committee may be in violation of 2 U.S.C. §434(a) for failing to file the above referenced Report of Receipts and Disbursements. You were notified previously of the due date for this report.

It is important that you file this report immediately with the Federal Election Commission, 999 E Street, NW, Washington, DC 20463 (or with the Clerk of the House or the Secretary of the Senate, as appropriate). A copy of the report or the relevant portions should also be filed with the Secretary of State or equivalent State officer. See 2 U.S.C. §439.

Although the Commission may initiate an audit or legal enforcement action concerning this matter, your prompt response and a letter of explanation will be taken into consideration.

If you have any questions, please contact Mike Tangney on our toll-free number (800) 424-9530. Our local number is (202) 376-2480.

Sincerely,

John D. Gibson
Assistant Staff Director
Reports Analysis Division

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TELECON

ANALYST: Mike Tangney

CONVERSATION WITH: Mary Williams

COMMITTEE: Democratic State Central Committee Of Louisiana General FECA Account
(C00071365)

DATE: March 11, 1988

SUBJECT(S): Filing of the 1988 Year End Report

I asked Ms. Williams if the Year End Report had been sent to the Commission yet. She stated that it was about to be filed and that she would contact the person responsible for preparing the report to make sure that it would be filed promptly.

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QUARTERLY REPORT NOTICE

FEDERAL ELECTION COMMISSION

PARTIES AND PACS

March 22, 1988

REPORT	REPORTING PERIOD	REG./CERT.	FILING
		MAILING DATE*	DATE
April Quarterly	01/01/88**-03/31/88	04/15/88	04/15/88

WHO MUST FILE

PARTY COMMITTEES AND PACs (NONCONNECTED COMMITTEES AND SEPARATE SEGREGATED FUNDS) filing on a quarterly basis must file their next report in April.

WHAT MUST BE REPORTED

All financial activity (not previously reported) that occurred during the reporting period..

REPORTING FORMS

Party committees and PACs use Form 3X (enclosed).

WHERE TO FILE

Consult the instructions on the back of the Form 3X Summary Page. Note state filing requirements also.

LABEL

Committees should affix the peel-off label from the envelope to Line 1 of the report. Corrections should be made on the label.

PRE-ELECTION REPORTING

Committees which make contributions or expenditures (including independent expenditures) in connection with a candidate's primary election, must also file a 12-day Pre-Election Report if the activity was not previously reported. See the January Record.

LAST-MINUTE INDEPENDENT EXPENDITURES

Committees which make an independent expenditure of \$1,000 or more, after the 20th day, but more than 24 hours before an election, must report it within 24 hours.

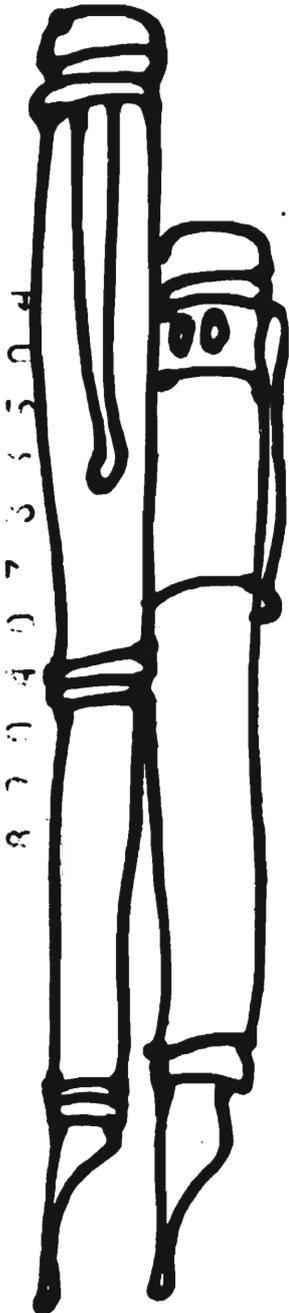
COMPLIANCE

TREASURERS OF POLITICAL COMMITTEES ARE RESPONSIBLE FOR FILING ALL REPORTS ON TIME. FAILURE TO DO SO IS SUBJECT TO ENFORCEMENT ACTION. COMMITTEES FILING ILLEGIBLE REPORTS OR USING NON-FEC FORMS WILL BE REQUIRED TO REFILE.

*Reports sent by registered or certified mail must be postmarked by the mailing date. Otherwise, they must be received by the filing date.

**From the date of registration, or the close of books of the last report filed, whichever is later.

FOR INFORMATION, Call: 202/376-3120 or 800/424-9530



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FEDERAL ELECTIC COMMISSION
WASHINGTON, D.C. 2046

RQ-7

May 6, 1988

Charlotte S. Cantwell, Treasurer
Democratic State Central Committee
of Louisiana General FECA Account
3050 Teddy Drive, Suite B
Baton Rouge, LA 70809

Identification Number: C00071365

Reference: April Quarterly Report (1/1/88-3/31/88)

Dear Ms. Cantwell:

It has come to the attention of the Federal Election Commission ("the Commission") that your committee may be in violation of 2 U.S.C. §434(a) for failing to file the above referenced Report of Receipts and Disbursements. You were notified previously of the due date for this report.

It is important that you file this report immediately with the Federal Election Commission, 999 E Street, NW, Washington, DC 20463 (or with the Clerk of the House or the Secretary of the Senate, as appropriate). A copy of the report or the relevant portions should also be filed with the Secretary of State or equivalent State officer. See 2 U.S.C. §439.

Although the Commission may initiate an audit or legal enforcement action concerning this matter, your prompt response and a letter of explanation will be taken into consideration.

If you have any questions, please contact Mike Tangney on our toll-free number (800) 424-9530. Our local number is (202) 376-2480.

Sincerely,

John D. Gibson
Assistant Staff Director
Reports Analysis Division

8803527482



FEDERAL ELECTION COMMISSION
WASHINGTON, DC 20463

MS-L

Charlotte S. Cantwell, Treasurer
Democratic State Central Committee
of Louisiana General FECA Account
3050 Teddy Drive, Suite B
Baton Rouge, LA 70809

MAY 17 1988

Identification Number: C00071365

Reference: 1987-1988 Election Cycle Reports

Dear Ms. Cantwell:

It has come to the attention of the Federal Election Commission ("the Commission") that your committee may have violated 2 U.S.C. §434(a), by failing to timely file Reports of Receipts and Disbursements. The following is a list of the reports in question.

<u>Report Type</u>	<u>Due Date</u>	<u>Date Filed</u>
Year End Report (7/1/87-12/31/87)	01/31/88	not filed
April Quarterly Report (1/1/88-3/31/88)	04/15/88	not filed

Timely filing is a specific requirement of the Federal Election Campaign Act ("the Act") and is essential to fulfilling the public disclosure concept embodied in that law. The Commission views the untimely filing of reports as a serious violation of the Act. This communication is to advise you that, notwithstanding any matters which may be pending before the Commission, any additional report which is not submitted in a timely manner by your committee may result in the Commission initiating legal enforcement or audit action.

You may submit a letter of explanation in response to this notification. If, however, you have any questions, please feel free to contact Mike Tangney on our toll-free number, (800) 424-9530. Our local number is (202) 376-2480.

Sincerely,

Lisa J. Stolaruk
Chief, Party/Non-Party Branch
Reports Analysis Division

880352531300

TELECON

ANALYST: Mike Tangney

CONVERSATION WITH: Charlotte Cantwell, Treasurer and Philip Jones, Executive Director

COMMITTEE: Democratic State Central Committee Of Louisiana General FECA Account (C00071365)

DATE: May 31, 1988

SUBJECT(S): Non Filing Of April Quarterly Report

I contacted Charlotte Cantwell the treasurer of the committee concerning the failure to file the April Quarterly Report. She advised me to call James Brady the Chairman of the committee or Philip Jones the Executive Director because they were more involved in the preparation of reports filed with the FEC. I called the Executive Director and was told that he was unavailable but would return my call. When Mr. Jones returned my call I informed him of the need to file the April Quarterly Report as well as the 1987 Year End Report. He stated that the reports would be filed promptly.

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REPORT OF RECEIPTS AND DISBURSEMENTS AND DELIVERED

For Other Than An Authorized Committee Attachment 9
(Summary Page)

88 JUN 13 11:10:31

USE FEC MAILING LABEL OR TYPE OR PRINT

1. NAME OF COMMITTEE (in full) Democratic State Central Committee of Louisiana - General Fund Account	2. FEC IDENTIFICATION NUMBER C00071365
ADDRESS (number and street) <input type="checkbox"/> Check if different than previously reported 3050 Teddy Drive, Suite B	3. <input type="checkbox"/> This committee qualified as a multicandidate committee DURING THIS Reporting Period on _____ (date)
CITY, STATE and ZIP CODE Baton Rouge, LA 70809	

4. TYPE OF REPORT

- (a) April 15 Quarterly Report
- July 15 Quarterly Report
- October 15 Quarterly Report
- January 31 Year End Report
- July 31 Mid Year Report (Non-election Year Only)
- Termination Report
- Monthly Report Due On
- | | | |
|--------------------------------------|---------------------------------------|--------------------------------------|
| <input type="checkbox"/> February 20 | <input type="checkbox"/> June 20 | <input type="checkbox"/> October 20 |
| <input type="checkbox"/> March 20 | <input type="checkbox"/> July 20 | <input type="checkbox"/> November 20 |
| <input type="checkbox"/> April 20 | <input type="checkbox"/> August 20 | <input type="checkbox"/> December 20 |
| <input type="checkbox"/> May 20 | <input type="checkbox"/> September 20 | <input type="checkbox"/> January 31 |
- Twelfth day report preceding _____ (Type of Election) election on _____ in the State of _____
- Thirtieth day report following the General Election on _____ in the State of _____

(b) Is this Report an Amendment? YES NO

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
5. Covering Period <u>7/1/87</u> through <u>12/31/87</u>		
6. (a) Cash on Hand January 1, 19 <u>87</u>		\$ 2,034.13
(b) Cash on Hand at Beginning of Reporting Period	\$ 1,419.14	
(c) Total Receipts (from Line 18)	\$ 173,728.85	\$ 184,817.29
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	\$ 174,647.99	\$ 186,851.42
7. Total Disbursements (from Line 28)	\$ 173,562.50	\$ 185,765.93
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	\$ 1,085.49	\$ 1,085.49
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	\$	For further information contact: Federal Election Commission 999 E Street, NW Washington, DC 20463 Toll Free 800-424-9630 Local 202-376-3120
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	\$ 0.00	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Charlotte S. Cantwell	
Signature of Treasurer Charlotte S. Cantwell	Date June 2, 1988

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g

8904073371

REPORT NOTICE

FEDERAL ELECTION COMMISSION

PARTIES AND PACS

June 21, 1988

REPORT	REPORTING PERIOD	REG./CERT. MAILING DATE*	FILING DATE
July Quarterly	04/01/88**-06/30/88	07/15/88	07/15/88

WHO MUST FILE

PARTY COMMITTEES AND PACS (NONCONNECTED COMMITTEES AND SEPARATE SEGREGATED FUNDS) filing on a quarterly basis must file a quarterly report in July.

WHAT MUST BE REPORTED

All financial activity (not previously reported) that occurred during the reporting period.

REPORTING FORMS

Party committees and PACs use Form 3X (enclosed).

WHERE TO FILE

Consult the instructions on the back of the Form 3X Summary Page. Note state filing requirements also.

LABEL

Committees should affix the peel-off label from the envelope to Line 1 of the report. Corrections should be made on the label.

PRE-ELECTION REPORTING

Committees which make contributions or expenditures (including independent expenditures) in connection with a candidate's primary election, must also file a 12-day Pre-Election Report if the activity was not previously reported. See the January Record.

LAST-MINUTE INDEPENDENT EXPENDITURES

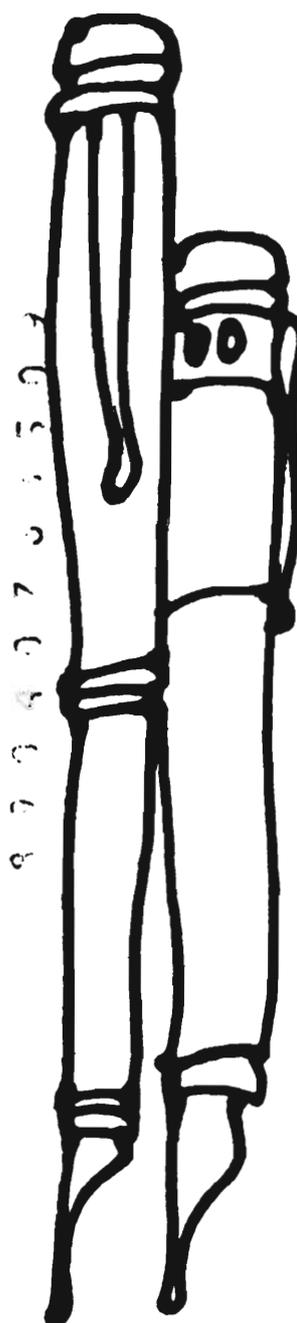
Committees which make an independent expenditure of \$1,000 or more, after the 20th day, but more than 24 hours before an election, must report it within 24 hours.

COMPLIANCE

TREASURERS OF POLITICAL COMMITTEES ARE RESPONSIBLE FOR FILING ALL REPORTS ON TIME. FAILURE TO DO SO IS SUBJECT TO ENFORCEMENT ACTION. COMMITTEES FILING ILLEGIBLE REPORTS OR USING NON-FEC FORMS WILL BE REQUIRED TO REFILE.

*Reports sent by registered or certified mail must be postmarked by the mailing date. Otherwise, they must be received by the filing date.

**From the date of registration, or the close of books of the last report filed, whichever is later.



97990700503

REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee
(Summary Page)

USE FEC MAILING LABEL
OR
TYPE OR PRINT

1. NAME OF COMMITTEE (in full)
Dist. of Louisiana - Grand FFA Account
 ADDRESS (number and street) Check if different than previously reported
3050 Tech Drive, Suite B
 CITY, STATE and ZIP CODE
Baton Rouge, LA 70809

2. FEC IDENTIFICATION NUMBER
C00071365
 3 This committee qualified as a multicandidate committee DURING THIS Reporting Period on _____ (date)

4. TYPE OF REPORT

- (a) April 15 Quarterly Report
 July 15 Quarterly Report
 October 15 Quarterly Report
 January 31 Year End Report
 July 31 Mid Year Report (Non-election Year Only)
 Termination Report
- Monthly Report Due On:
 February 20 June 20 October 20
 March 20 July 20 November 20
 April 20 August 20 December 20
 May 20 September 20 January 31
- Twelfth day report preceding _____ (Type of Election)
 election on _____ in the State of _____
- Thirtieth day report following the General Election on _____ in the State of _____
- (b) Is this Report an Amendment? YES NO

SUMMARY

5. Covering Period	COLUMN A This Period	COLUMN B Calendar Year-to-Date
3/22/88 through 7/31/88		
6. (a) Cash on Hand January 1, 1988		\$ 1,085.49
(b) Cash on Hand at Beginning of Reporting Period	\$ 3,607.99	
(c) Total Receipts (from Line 18)	\$ 3,123.81	\$ 25,765.61
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	\$ 6,731.80	\$ 26,854.30
7. Total Disbursements (from Line 28)	\$ 0.00	\$ 20,122.50
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	\$ 6,731.80	\$ 6,731.80
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	\$ 185,657.01	For further information contact: Federal Election Commission 999 E Street, NW Washington, DC 20463 Toll Free 800-424-9530 Local 202-376-3120
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	\$ 0.00	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete

Type or Print Name of Treasurer
Charlotte S. Cartwell
 Signature of Treasurer
Charlotte S. Cartwell
 Date
7-29-88

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

33006174133
89040703511

REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee
(Summary Page)

83 FEB - 1 12:55

USE FEC MAILING LABEL OR TYPE OR PRINT

1. NAME OF COMMITTEE (in full) State Comm.	2. FEC IDENTIFICATION NUMBER C-7122
ADDRESS (number and street) <input type="checkbox"/> Check if different than previously reported 210	3. <input type="checkbox"/> This committee qualified as a multicandidate committee DURING THIS Reporting Period on _____ (date)
CITY, STATE and ZIP CODE A.	

4. TYPE OF REPORT

- (a) April 15 Quarterly Report
- July 15 Quarterly Report
- October 15 Quarterly Report
- January 31 Year End Report
- July 31 Mid Year Report (Non-election Year Only)
- Termination Report
- Monthly Report Due On
- February 20 June 20 October 20
- March 20 July 20 November 20
- April 20 August 20 December 20
- May 20 September 20 January 31
- Twelfth day report preceding _____ (Type of Election) election on _____ in the State of _____
- Thirtieth day report following the General Election on _____ in the State of _____

(b) Is this Report an Amendment? YES NO

SUMMARY		COLUMN A This Period	COLUMN B Calendar Year-to-Date
5	Covering Period <u>4/1/02</u> through <u>3/31/02</u>		
6	(a) Cash on Hand January 1, 19__		\$ 1,035.49
	(b) Cash on Hand at Beginning of Reporting Period	\$ 6,731.80	
	(c) Total Receipts (from Line 10)	\$ 31,262.00	\$ 57,090.81
	(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	\$ 37,993.80	\$ 58,116.30
7	Total Disbursements (from Line 20)	\$ 21,078.00	\$ 41,130.50
8	Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	\$ 16,985.80	\$ 16,985.90
9	Debts and Obligations Owed TO the Committee (Name all on Schedule C and/or Schedule D)	\$ 184,257.05	For further information contact: Federal Election Commission 600 E Street, NW Washington, DC 20463 Toll Free 800 424-9620 Local 202 376 3120
10	Debts and Obligations Owed BY the Committee (Name all on Schedule C and/or Schedule D)	\$ 0.00	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer: _____

Signature of Treasurer: Charlotte S. Cantwell Date: 7-29-88

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

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REPORT OF RECEIPTS AND DISBURSEMENTS

#2 of Attachment 12

For Other Than An Authorized Committee
(Summary Page)

USE FEC MAILING LABEL OR TYPE OR PRINT

1. NAME OF COMMITTEE (in full) <i>Central Int'l Central Cong. of Econ. 920 - Comm. Sec. Account</i> ADDRESS (number and street) <input type="checkbox"/> Check if different than previously reported 3050 North My Drive, Suite B CITY, STATE and ZIP CODE Baton Rouge, LA 70519	68110-1 11:12:50 2. FEC IDENTIFICATION NUMBER C00071365 3. <input type="checkbox"/> The committee qualified as a multicandidate committee DURING THIS Reporting Period on _____ (date).
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4. TYPE OF REPORT

- (a) April 15 Quarterly Report
 July 15 Quarterly Report
 October 15 Quarterly Report
 January 31 Year End Report
 July 31 Mid Year Report (Non-election Year Only)
 Termination Report
- Monthly Report Due On:
 February 20 June 20 October 20
 March 20 July 20 November 20
 April 20 August 20 December 20
 May 20 September 20 January 31
- Twelfth day report preceding _____ (Type of Election) election on _____ in the State of _____
- Thirtieth day report following the General Election on _____ in the State of _____
- (b) Is this Report an Amendment? YES NO

SUMMARY

5. Covering Period	COLUMN A This Period	COLUMN B Calendar Year-to-Date
5 7 '88 through 6/30 '88		
6. (a) Cash on Hand January 1, 1988		\$ 1,085.49
(b) Cash on Hand at Beginning of Reporting Period	\$ 16,985.80	
(c) Total Receipts (from Line 18)	\$ 400.00	\$
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	\$ 17,385.80	\$
7. Total Disbursements (from Line 20)	\$ 17,100.00	\$
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	\$ 285.80	\$
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	\$ 188,524.78	For further information contact: Federal Election Commission 950 E Street, NW Washington, DC 20463 Toll Free 800-424-6530 Local 202-376-3120
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	\$ 0.00	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

Charlotte S. Cartwell

Signature of Treasurer

Charlotte S. Cartwell

Date

7-29-88

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

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FEC FORM 3X
(revised 4/87)

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FEDERAL ELECTION COMMISSION
999 E Street, N.W.
Washington, D.C. 20463

SENSITIVE

FIRST GENERAL COUNSEL'S REPORT

RAD Referral 88NF-41
STAFF MEMBER: Frances B. Hagan

SOURCE: I N T E R N A L L Y G E N E R A T E D

RESPONDENTS: Democratic State Central Committee of
Louisiana General FECA Account
Charlotte S. Cantwell, Treasurer

RELEVANT STATUTES: 2 U.S.C. § 434(a)(4)(A)(i), (ii) and (iii)

INTERNAL REPORTS CHECKED: Referral Materials

FEDERAL AGENCIES CHECKED: None

I. GENERATION OF MATTER

The Reports Analysis Division ("RAD") referred the Democratic State Central Committee of Louisiana General FECA Account ("the Committee") and Charlotte S. Cantwell, as treasurer, to the Office of the General Counsel on September 12, 1988. The basis for the attached referral is the Committee's repeated failure to timely file reports during the 1987-1988 election cycle.

II. FACTUAL AND LEGAL ANALYSIS

The Federal Election Campaign Act of 1971, as amended ("the Act"), provides that for unauthorized committees, such committees shall file quarterly reports--for years in which regularly scheduled elections are held--no later than the 15th day after the last day of each calendar quarter. 2 U.S.C. § 434(a)(4)(A)(i). The Act provides that such committees shall file the 12 Day Pre-Election Report no later than the 12th day before any election in which the committee makes a contribution

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or expenditure on behalf of a candidate in such election. 2 U.S.C. § 434(a)(4)(A)(ii). The Act also provides that these committees shall file a 30 Day Post-General Election Report, which shall be filed no later than the 30th day after the general election. 2 U.S.C. § 434(a)(4)(A)(iii).

The due date for the 1988 July Quarterly Report was July 15, 1988. The due date for the 12 Day Pre-General Special Election Report was April 4, 1988. The due date for the 1988 30 Day Post-General Special Election Report was May 16, 1988. The Committee filed these reports on August 1, 1988.¹ Accordingly, the Office of the General Counsel recommends that the Commission open a Matter Under Review and find reason to believe that the Committee violated 2 U.S.C. §§ 434(a)(4)(A)(i), (ii) and (iii).

III. DISCUSSION OF CONCILIATION AND CIVIL PENALTY

In accordance with revised procedures, this Office also recommends that the Commission offer to enter into pre-probable cause conciliation with the respondents. Attached for the Commission's approval is the proposed conciliation agreement. The agreement contains an admission of the violations and a civil penalty computed as follows:

1. RAD referred the Committee as a chronic late filer based on the Committee's tardiness in filing the 1987 Year End, and the 1988 April and July Quarterly Reports. In MUR 2658, this Office resolved through conciliation the filing issues concerning the Year End and April Quarterly Reports. Therefore, additional findings will not be recommended regarding these two reports.

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IV. RECOMMENDATIONS

1. Open a MUR.
2. Find reason to believe that Democratic State Central Committee of Louisiana General FECA Account and Charlotte S. Cantwell, as treasurer, violated:
 - a) 2 U.S.C. § 434(a)(4)(A)(i);
 - b) 2 U.S.C. § 434(a)(4)(A)(ii); and
 - c) 2 U.S.C. § 434(a)(4)(A)(iii).

3. Enter into conciliation with the Democratic State Central Committee of Louisiana General FECA Account and Charlotte S. Cantwell, as treasurer, prior to a finding of probable cause to believe.
4. Approve the attached letter and factual and legal analysis.
5. Approve the attached proposed conciliation agreement.

Lawrence M. Noble
General Counsel

Date

10/31/85

BY:


Lois G. Lerner
Associate General Counsel

Attachments:

- A. Referral Materials
- B. Proposed Letter and Factual and Legal Analysis
- C. Proposed Conciliation Agreement

Staff person: Frances B. Hagan

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BEFORE THE FEDERAL ELECTION COMMISSION

(Amended)
2780

In the Matter of)

Democratic State Central Committee of)
Louisiana General FECA Account)
Charlotte S. Cantwell, Treasurer)

RAD Ref. 88NF-41

CERTIFICATION

1, Marjorie W. Emmons, Secretary of the Federal Election Commission, do hereby certify that on November 3, 1988, the Commission decided by a vote of 6-0 to take the following actions in RAD Ref. 88NF-41:

1. Open a MUR.
2. Find reason to believe that Democratic State Central Committee of Louisiana General FECA Account and Charlotte S. Cantwell, as treasurer, violated:
 - a) 2 U.S.C. § 434(a)(4)(A)(i);
 - b) 2 U.S.C. § 434(a)(4)(A)(ii); and
 - c) 2 U.S.C. § 434(a)(4)(A)(iii).
3. Enter into conciliation with the Democratic State Central Committee of Louisiana General FECA Account and Charlotte S. Cantwell, as treasurer, prior to a finding of probable cause to believe.

(Continued)

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4. Approve the letter and factual and legal analysis, as recommended in the First General Counsel's report signed October 31, 1988.
5. Approve the proposed conciliation agreement, as recommended in the First General Counsel's report signed October 31, 1988.

Commissioners Aikens, Elliott, Josefiak, McDonald, McGarry, and Thomas voted affirmatively for the decision.

Attest:

Nov. 4, 1988

Date

Marjorie W. Emmons

Marjorie W. Emmons
Secretary of the Commission

Received in the Office of Commission Secretary:	Tues.,	11-01-88,	12:50
Circulated on 48 hour tally basis:	Tues.,	11-01-88,	4:00
Deadline for vote:	Thurs.,	11-03-88,	4:00

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FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20543

November 10, 1988

Charlotte S. Cantwell, Treasurer
Democratic State Central Committee
of Louisiana General FECA Account
3050 Teddy Drive, Suite B
Baton Rouge, LA 70809

RE: MUR 2780
Democratic State Central
Committee of Louisiana
General FECA Account
Charlotte S. Cantwell, as
treasurer

Dear Ms. Cantwell:

On November 3, 1988, the Federal Election Commission found that there is reason to believe the Democratic State Central Committee of Louisiana General FECA Account ("Committee") and you, as treasurer, violated 2 U.S.C. §§ 434(a)(4)(A)(i), (ii) and (iii), provisions of the Federal Election Campaign Act of 1971, as amended ("the Act"). The Factual and Legal Analysis, which formed a basis for the Commission's finding, is attached for your information.

Under the Act, you have an opportunity to demonstrate that no action should be taken against the Committee and you, as treasurer. You may submit any factual or legal materials that you believe are relevant to the Commission's consideration of this matter. Please submit such materials to the General Counsel's Office within 15 days of your receipt of this letter. Where appropriate, statements should be submitted under oath.

In the absence of any additional information demonstrating that no further action should be taken against the Committee and you, as treasurer, the Commission may find probable cause to believe that a violation has occurred and proceed with conciliation.

In order to expedite the resolution of this matter, the Commission has also decided to offer to enter into negotiations directed towards reaching a conciliation agreement in settlement of this matter prior to a finding of probable cause to believe. Enclosed is a conciliation agreement that the Commission has approved.

If you are interested in expediting the resolution of this matter by pursuing preprobable cause conciliation and if you

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Charlotte S. Cantwell, Treasurer
Page 2

agree with the provisions of the enclosed agreement, please sign and return the agreement, along with the civil penalty, to the Commission. In light of the fact that conciliation negotiations, prior to a finding of probable cause to believe, are limited to a maximum of 30 days, you should respond to this notification as soon as possible.

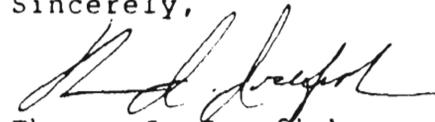
Requests for extensions of time will not be routinely granted. Requests must be made in writing at least five days prior to the due date of the response and specific good cause must be demonstrated. In addition, the Office of the General Counsel ordinarily will not give extensions beyond 20 days.

If you intend to be represented by counsel in this matter, please advise the Commission by completing the enclosed form stating the name, address, and telephone number of such counsel, and authorizing such counsel to receive any notifications and other communications from the Commission.

This matter will remain confidential in accordance with 2 U.S.C. §§ 437g(a)(4)(B) and 437g(a)(12)(A), unless you notify the Commission in writing that you wish the investigation to be made public.

For your information, we have attached a brief description of the Commission's procedures for handling possible violations of the Act. If you have any questions, please contact Frances B. Hagan, the staff member assigned to this matter, at (202) 376-8200.

Sincerely,



Thomas J. Josefiak
Chairman

Enclosures

Factual and Legal Analysis
Procedures
Designation of Counsel Form
Conciliation Agreement

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FEDERAL ELECTION COMMISSION

FACTUAL AND LEGAL ANALYSIS

RESPONDENTS: Democratic State Central Committee
of Louisiana General FECA Account
Charlotte S. Cantwell, as treasurer

MUR: 2780

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The Federal Election Campaign Act of 1971, as amended ("the Act"), provides that for unauthorized committees, such committees shall file quarterly reports--for years in which regularly scheduled elections are held--no later than the 15th day after the last day of each calendar quarter. 2 U.S.C.

§ 434(a)(4)(A)(i). The Act provides that such committees shall file the 12 Day Pre-Election Report no later than the 12th day before any election in which the committee makes a contribution or expenditure on behalf of a candidate in such election. 2 U.S.C. § 434(a)(4)(A)(ii). The Act also provides that these committees shall file a 30 Day Post-General Election Report, which shall be filed no later than the 30th day after the general election. 2 U.S.C. § 434(a)(4)(A)(iii).

The due date for the 1988 July Quarterly Report was July 15, 1988. The due date for the 12 Day Pre-General Report was April 4, 1988. The due date for 1988 30 Day Post General Report was May 16, 1988. The Committee filed these reports on August 1, 1988. Accordingly, there is reason to believe that the Committee and its treasurer violated 2 U.S.C. §§ 434(a)(4)(A)(i), (ii) and (iii).

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BEFORE THE FEDERAL ELECTION COMMISSION

SENSITIVE

In the Matter of)
 Democratic State Central) MUR 2780
 Committee of Louisiana General)
 FECA Account)
 Charlotte S. Cantwell, as)
 treasurer)

GENERAL COUNSEL'S REPORT

I. BACKGROUND

Attached is a conciliation agreement which has been signed by James Brady, chairman of the Democratic State Central Committee of Louisiana.

II. RECOMMENDATIONS

1. Accept the attached conciliation agreement with the Democratic State Central Committee of Louisiana FECA General Account and Charlotte S. Cantwell, as treasurer.
2. Close the file.

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3. Approve the attached letter.

Lawrence M. Noble
General Counsel

1-25-89
Date

BY: 
Lois G. Lerner

Attachments

- A. Conciliation Agreement
- B. Photocopy of Civil Penalty Check
- C. Letter to Respondent

Staff Assigned: Frances B. Hagan

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BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
)
Democratic State Central Committee of) MUR 2780
Louisiana General FECA Account)
Charlotte S. Cantwell, as treasurer)

CERTIFICATION

I, Marjorie W. Emmons, Secretary of the Federal Election Commission, do hereby certify that on January 30, 1989, the Commission decided by a vote of 6-0 to take the following actions in MUR 2780:

1. Accept the conciliation agreement with the Democratic State Central Committee of Louisiana FECA General Account and Charlotte S. Cantwell, as treasurer, as recommended in the General Counsel's Report signed January 25, 1989.
2. Close the file.
3. Approve the letter, as recommended in the General Counsel's Report signed January 25, 1989.

Commissioners Aikens, Elliott, Josefiak, McDonald, McGarry, and Thomas voted affirmatively for the decision.

Attest:

Jan 31, 1989
Date

Marjorie W. Emmons
Marjorie W. Emmons
Secretary of the Commission

Received in the Office of Commission Secretary: Thurs., 01-26-89, 10:36
Circulated on 48 hour tally basis: Thurs., 01-26-89, 4:00
Deadline for vote: Mon., 01-30-89, 4:00

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FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

February 3, 1989

Charlotte S. Cantwell, Treasurer
Democratic State Central Committee
of Louisiana General FECA Account
3050 Teddy Drive, Suite B
Baton Rouge, LA 70809

RE: MUR 2780
Democratic State Central
Committee of Louisiana General
FECA Account
Charlotte S. Cantwell,
as treasurer

Dear Ms. Cantwell:

On January 30, 1989, the Federal Election Commission accepted the signed conciliation agreement and civil penalty submitted on behalf of the Democratic State Central Committee of Louisiana General FECA Account and you, as treasurer, in settlement of a violation of 2 U.S.C. §§ 434(a)(4)(A)(i), (ii), and (iii), provisions of the Federal Election Campaign Act of 1971, as amended. Accordingly, the file has been closed in this matter. This matter will become a part of the public record within 30 days. If you wish to submit any factual or legal materials to appear on the public record, please do so within ten days. Such materials should be sent to the Office of the General Counsel.

Please be advised that information derived in connection with any conciliation attempt will not become public without the written consent of the respondent and the Commission. See 2 U.S.C. § 437g(a)(4)(B). The enclosed conciliation agreement, however, will become a part of the public record.

Enclosed you will find a copy of the fully executed conciliation agreement for your files. If you have any

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Charlotte S. Cantwell, Treasurer
Page 2

questions, please contact Frances B. Hagan, the staff member
assigned to this matter, at (202) 376-8200.

Sincerely,

Lawrence M. Noble
General Counsel



BY: Lois G. Lerner
Associate General Counsel

Enclosure
Conciliation Agreement

8974070527

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
)
Democratic State Central Committee) MUR 2780
of Louisiana General FECA Account)
Charlotte S. Cantwell, as treasurer)

CONCILIATION AGREEMENT

This matter was initiated by the Federal Election Commission ("Commission"), pursuant to information ascertained in the normal course of carrying out its supervisory responsibilities. The Commission found reason to believe that the Democratic State Central Committee of Louisiana General FECA Account and Charlotte S. Cantwell, as treasurer, ("Respondents") violated 2 U.S.C. § 434(a)(4)(A)(i), (ii), and (iii).

NOW, THEREFORE, the Commission and the Respondents, having participated in informal methods of conciliation prior to a finding of probable cause to believe, do hereby agree as follows:

I. The Commission has jurisdiction over the Respondents and the subject matter of this proceeding, and this agreement has the effect of an agreement entered pursuant to 2 U.S.C.

§ 437g(a)(4)(A)(i).

II. Respondents have had a reasonable opportunity to demonstrate that no action should be taken in this matter.

III. Respondents enter voluntarily into this agreement with the Commission.

IV. The pertinent facts in this matter are as follows:

1. Democratic State Central Committee of Louisiana General FECA Account, is a political committee within the meaning

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of 2 U.S.C. § 431(4).

2. Charlotte S. Cantwell, is the treasurer of the respondent Committee.

3. Section 434(a)(4)(A)(ii) of Title 2 provides that unauthorized committees filing quarterly shall file a 12 Day Pre-Election Report no later than the 12th day before any election in which the committee makes a contribution or expenditure on behalf of a candidate in such election.

4. Respondents were required to file the 1988 Pre-General Special Election Report by April 4, 1988. Respondents filed the report on August 1, 1988.

5. Section 434(a)(4)(A)(iii) of Title 2 provides that unauthorized committees shall file a 30 Day Post-General Election Report, which shall be filed no later than the 30th day after the general election.

6. Respondents were required to file the 1988 Post-General Special Election Report by May 16, 1988. Respondents filed the report on August 1, 1988.

7. Section 434(a)(4)(A)(i) of Title 2 provides that unauthorized committees shall file quarterly reports--for years in which regularly scheduled elections are held--no later than the 15th day after the last day of each calendar quarter.

8. Respondents were required to file the 1988 July Quarterly Report by July 15, 1988. Respondents filed the report on August 1, 1988.

V. Respondents failed to file the 1988 Pre- and Post-General Special Election Reports and the 1988 July Quarterly

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Report in a timely fashion, in violation of 2 U.S.C. §§ 434(a)(4)(A)(ii), 434(a)(4)(A)(iii), and 434(a)(4)(A)(i).

VI. Respondents will pay a civil penalty to the Federal Election Commission in the amount of three thousand dollars (\$3,000), pursuant to 2 U.S.C. § 437g(a)(5)(A).

VII. The Commission, on request of anyone filing a complaint under 2 U.S.C. § 437g(a)(1) concerning the matters at issue herein or on its own motion, may review compliance with this agreement. If the Commission believes that this agreement or any requirement thereof has been violated, it may institute a civil action for relief in the United States District Court for the District of Columbia.

VIII. This agreement shall become effective as of the date that all parties hereto executed same and the Commission has approved the entire agreement.

IX. Respondents shall have no more than 30 days from the date this agreement becomes effective to comply with and implement the requirement contained in this agreement and to so notify the Commission.

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X. This Conciliation Agreement constitutes the entire agreement between the parties on the matters raised herein, and no other statement, promise, or agreement, either written or oral, made by either party or by agents of either party, that is not contained in this written agreement shall be enforceable.

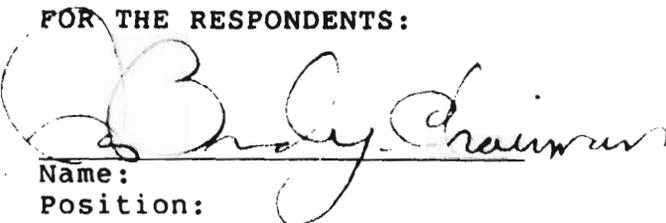
FOR THE COMMISSION:

Lawrence M. Noble
General Counsel

BY: 
Lois G. Lerner
Associate General Counsel

2/2/89
Date

FOR THE RESPONDENTS:


Name:
Position:

1-6-89.
Date

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FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

THIS IS THE END OF MUR # 2780

DATE FILMED 2/17/89 CAMERA NO. 3

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