



FEDERAL ELECTION COMMISSION
WASHINGTON, DC 20463

THIS IS THE BEGINNING OF MUR # 2770

DATE FILMED 4/17/90 CAMERA NO. 4

CAMERAMAN A.S.

00040774610

REPORTS ANALYSIS REFERRAL

TO

OFFICE OF GENERAL COUNSEL

DATE: 14 September 1988

ANALYST: Robin Kelly

I. COMMITTEE: Alexander Campaign Committee
(C00054536)
Ronnie Richardson, Treasurer
P.O. Box 1700
Jonesboro, AR 72403¹/₁

II. RELEVANT STATUTE: 2 U.S.C. §434(a)(2)(B)(i) and (ii)
2 U.S.C. §434(a)(2)(A)(iii)
11 CFR 104.5(a)(2)(i)(A) and (B)
11 CFR 104.5(a)(1)(iii)(A)

III. BACKGROUND:

Failure to Timely File Reports

The Alexander Campaign Committee ("the Committee") has failed to file three (3) Reports of Receipts and Disbursements in a timely manner for the 1987-1988 election cycle (see Chart on Page 2). The reports were filed between ten (10) and twenty-seven (27) calendar days late.

On February 17, 1988, a Chronic Late Filer Notice was sent to the Committee for failing to file the 1987 Mid-Year and 1987 Year End Reports in a timely manner (Attachment 7). The Notice advised the Committee that any additional late filing of reports may result in legal enforcement action. Subsequent to the receipt of the Chronic Late Filer Notice, the Committee failed to file the 1988 July Quarterly Report in a timely manner.

IV. OTHER PENDING MATTERS INITIATED BY RAD:

None.

¹/ The 1987 Year End Report, received February 10, 1988 (See Attachment 9), noted that the campaign address has been change.

900407/4611

9 0 0 4 0 7 7 4 6 1 2

LATE FILING HISTORY

REPORT TYPE	PRIOR NOTICE	DATE DUE	NON-FILER NOTICE	DATE FILED
1987 Mid-Year Report (1/1/87-6/30/87)	7/07/87 (Attachment 2)	7/31/87	Not Sent	8/11/87 (Attachment 3)
1987 Year End Report (7/1/87-12/31/87)	12/18/87 (Attachment 4)	1/31/88	2/5/88 (Attachment 5)	2/10/88 (Attachment 6)
1988 July Quarterly (4/1/88-6/30/88)	6/21/88 (Attachment 8)	7/15/88	8/05/88 (Attachment 9)	8/11/88 (Attachment 10)

FEDERAL ELECTION COMMISSION
1987-1988
CANDIDATE INDEX OF SUPPORTING DOCUMENTS - (E)

DATE 98EP88

PAGE 1

CANDIDATE/COMMITTEE/DOCUMENT	RECEIPTS		DISBURSEMENTS		COVERAGE DATES	# OF PAGES	MICROFILM LOCATION TYPE OF FILER
	OFFICE SOUGHT/ PARTY	PRIMARY GENERAL	PRIMARY GENERAL	GENERAL			
ALEXANDER, WILLIAM VOLLIE JR	HOUSE 01 DEMOCRATIC PARTY				1988 ELECTION	ID# H6AR01023	
1. STATEMENT OF CANDIDATE							
1987 DISAVOWAL NOTICE					8SEP87		1 87FEC/484/4902
STATEMENT OF CANDIDATE					17SEP87		1 87HSE/338/1244
2. PRINCIPAL CAMPAIGN COMMITTEE							
ALEXANDER CAMPAIGN COMMITTEE [AR/01]					ID #C00054536	HOUSE	
1987 MID-YEAR REPORT		225,318		217,725	1JAN87 -30JUN87		70 87HSE/337/3571
MID-YEAR REPORT - AMENDMENT		-		-	1JAN87 -30JUN87		7 87HSE/339/1782
MID-YEAR REPORT - AMENDMENT		-		-	1JAN87 -30JUN87		9 87HSE/339/1980
REQUEST FOR ADDITIONAL INFORMATION					1JAN87 -30JUN87		3 87FEC/485/1265
REQUEST FOR ADDITIONAL INFORMATION 2ND					1JAN87 -30JUN87		4 87FEC/486/3808
YEAR-END		159,549		114,658	1JUL87 -31DEC87		70 88HSE/344/2455
NOTICE OF FAILURE TO FILE					31DEC87		1 88FEC/509/0724
REQUEST FOR ADDITIONAL INFORMATION					1JUL87 -31DEC87		2 88FEC/509/3472
REQUEST FOR ADDITIONAL INFORMATION 2ND					1JUL87 -31DEC87		3 88FEC/512/3688
1988 MISCELLANEOUS NOTICE FROM FEC					17FEB88		1 88FEC/509/3661
PRE-PRIMARY		24,525		60,203	1JAN88 -17FEB88		17 88HSE/345/0571
APRIL QUARTERLY		129,729		115,132	18FEB88 -31MAR88		32 88HSE/349/1569
APRIL QUARTERLY - AMENDMENT		-		-	18FEB88 -31MAR88		2 88HSE/351/4007
REQUEST FOR ADDITIONAL INFORMATION					18FEB88 -31MAR88		1 88FEC/525/3233
REQUEST FOR ADDITIONAL INFORMATION 2ND					18FEB88 -31MAR88		2 88FEC/528/5090
JULY QUARTERLY			58,889		1APR88 -30JUN88		32 88HSE/357/4827
NOTICE OF FAILURE TO FILE					1APR88 -30JUN88		1 88FEC/541/0510
TOTAL		539,121	58,889	507,718	97,910		257 TOTAL PAGES
3. AUTHORIZED COMMITTEES							
4. JOINT FUNDRAISING COMMITTEES AUTHORIZED BY THE CAMPAIGN							

All above reports have been reviewed, except the 1988 July Quarterly Report

Ending cash-on-hand as of 6/30/88: \$921

Outstanding debts and obligations as of 6/30/88: \$33,831

SEMI-ANNUAL

REPORT NOTICE

FEDERAL ELECTION COMMISSION

CONGRESSIONAL COMMITTEES

July 7, 1987

WHO MUST FILE

ALL PRINCIPAL CAMPAIGN COMMITTEES must file a Mid-Year Report. Note that committees file on a semiannual schedule in 1987, rather than a quarterly schedule.

REPORTING DATES

The Mid-Year Report is due July 31 and must disclose all financial activity that occurred from January 1 through June 30, 1987. Committees which have previously filed 1987 reports should only report activity from the closing date of the last report filed.

WHAT MUST BE REPORTED

A report must disclose all receipts and disbursements (not previously reported) that occurred during the reporting period. A newly registered committee filing its first report should also include all amounts received and expended prior to the candidate's attaining candidate status. The committee must report 1986 activity separately. See 11 CFR 101.3; 104.3. For more detailed information on reporting, see the Campaign Guide for Congressional Candidates and Committees.

NOTE: If the campaign has more than one authorized committee, the principal campaign committee must also file a consolidated report (FEC Form 32). For details, see page 14 of the Campaign Guide, and 11 CFR 104.3(f).

WHERE AND HOW TO FILE

Committees should consult the instructions on the enclosed FEC FORM 3 for details. Affix the peel-off label from the envelope to line 1 of the report. Any corrections should be made directly on the label.

COMPLIANCE

Political committees are responsible for filing all reports required under the Act in a timely fashion. Committees and treasurers who file late reports, or fail to file reports, are subject to enforcement action. Illegible reports and reports submitted on non-FEC forms will not be accepted; committees filing such documents will be required to refile.

(over)



**1987 FILING SCHEDULE
CONGRESSIONAL COMMITTEES**

Be sure to retain this information for future reference. The report notice for the Year-End Report will be sent December 18, 1987.

Report	Period Covered 1/	Reg./Cert. Mailing Date 2/	Filing Date 2/
Mid-Year	01/01 - 06/30	07/31/87	07/31/87
Year-End	07/01 - 12/31	01/31/88	01/31/88

1/ Newly registered committees should refer to "WHAT MUST BE REPORTED," on the reverse side.

2/ Reports sent by registered or certified mail must be postmarked by the mailing date. Reports mailed first class or hand delivered must be received by the filing date.

FOR INFORMATION, Call: Information Services Division
202/376-3120 or 800/424-9530

20040774615

PAID DELIVERED

NAME OF CANDIDATE OR COMMITTEE
Alexander Lincoln Kennel

ADDRESS (Number and street) Street & different than previously reported
P O Box 9000

CITY, STATE and ZIP CODE
Jonesboro AR 72403

FEDERAL ELECTIONS NUMBER
HR-045899

IS THIS REPORT AN AMENDMENT?
 YES NO

4. TYPE OF REPORT

April 15 Quarterly Report
 July 15 Quarterly Report
 October 15 Quarterly Report
 January 31 Year End Report
 July 31 Mid-Year Report (Pre-election Year Only)

Twelfth day report preceding election on _____ in the State of _____
 Thirtieth day report following the General Election _____ in the State of _____
 Termination Report

This report contains activity for:
 Primary Election General Election Special Election Runoff Election

SUMMARY

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
5. Covering Period <u>Jan 1, 1987</u> through <u>June 30, 1987</u>		
6. Net Contributions (other than loans)		
(a) Total Contributions (other than loans) (from Line 11(a))	190,190.58	190,190.58
(b) Total Contribution Refunds (from Line 11(b))	- 0 -	- 0 -
(c) Net Contributions (other than loans) (subtract Line 6(b) from 6(a))	190,190.58	190,190.58
7. Net Operating Expenditures		
(a) Total Operating Expenditures (from Line 17)	142,725.78	142,725.78
(b) Total Offsets to Operating Expenditures (from Line 14)	128.02	128.02
(c) Net Operating Expenditures (subtract Line 7(b) from 7(a))	142,597.76	142,597.76
8. Cash on Hand at Close of Reporting Period (from Line 27)	16,133.28	
9. Debts and Obligations Owed TO the Committee (burden of on Schedule C and/or Schedule D)	- 0 -	
10. Debts and Obligations Owed BY the Committee (burden of on Schedule C and/or Schedule D)	43,000.00	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Les Richards

Signature of Treasurer [Signature] Date 7-24-87

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. 5479.

877001433735310

RECEIVED
FEDERAL ELECTIONS COMMISSION
JUL 11 AM 9 24
OFFICE OF THE CLERK
WASHINGTON, D.C.

REPORT NOTICE

FEDERAL ELECTION COMMISSION

CONGRESSIONAL COMMITTEES

December 18, 1987

WHO MUST FILE

ALL PRINCIPAL CAMPAIGN COMMITTEES must file a Year-End Report.

REPORTING DATES

The Year-End Report is due January 31, 1988, and must disclose all financial activity that occurred from July 1 through December 31, 1987. Committees which have previously filed 1987 reports should only report activity from the closing date of the last report filed.

WHAT MUST BE REPORTED

A report must disclose all receipts and disbursements (not previously reported) that occurred during the reporting period. A newly registered committee filing its first report should also include all amounts received and expended prior to the candidate's attaining candidate status. The committee must report 1986 activity separately. See 11 CFR 101.3; 104.3. For more detailed information on reporting, see the Campaign Guide for Congressional Candidates and Committees.

NOTE: If the campaign has more than one authorized committee, the principal campaign committee must also file a consolidated report (FEC Form 3Z). For details, see page 14 of the Campaign Guide, and 11 CFR 104.3(f).

WHERE AND HOW TO FILE

Committees should consult the instructions on the enclosed FEC Form 3 for details. Affix the peel-off label from your envelope to line 1 of your report. Any corrections in the information should be made directly on the label.

COMPLIANCE

Political committees are responsible for filing all reports required under the Act in a timely fashion. Committees and treasurers who file late reports, or fail to file reports, are subject to enforcement action. Illegible reports and reports submitted on non-FEC forms will not be accepted; committees filing such documents will be required to refile.

(over)



**1988 FILING SCHEDULE
CONGRESSIONAL COMMITTEES**

Political committees should file reports on a quarterly schedule during 1988. Be sure to retain this information for future reference. The next report notice will be sent March 21, 1988.

<u>REPORT 1/</u>	<u>PERIOD COVERED 2/</u>	<u>REG./CERT. MAILING DATE 3/</u>	<u>FILING DATE</u>
April Quarterly	01/01 - 03/31	04/15/88	04/15/88
July Quarterly	04/01 - 06/30	07/15/88	07/15/88
October Quarterly	07/01 - 09/30	10/15/88	10/15/88
Pre-General	10/01 - 10/19	10/24/88	10/27/88
Post-General	10/20 - 11/28	12/08/88	12/08/88
Year-End	11/29 - 12/31	01/31/89	01/31/89

1/An authorized committee must also file a pre-election report prior to its State primary or nominating convention. A separate notice will be sent explaining that additional reporting obligation.

2/Newly registered committees should refer to "WHAT MUST BE REPORTED," reverse side.

3/Reports sent by registered or certified mail will be considered filed on the date of the U.S. postmark. Reports hand delivered or mailed first class must be received by the filing date.

FOR INFORMATION, Call: Information Services Division
202/376-3120 or 800/424-9530

000407/4618

20-7

(This is a copy of the text of a mailgram sent to the committee listed below on February 5, 1988.)

Bonnie Richardson, Treasurer
Alexander Campaign Committee
P.O. Box 5096
Jonesboro, AR 72403

Identification Number: C00054536

Reference: YEAR END REPORT (Through 12/31/87)

It has come to the attention of the Federal Election Commission that you may have failed to file the 1987 Year End Report of receipts and expenditures as required by the Federal Election Campaign Act. You were previously notified of the due date for this report.

You will be allowed four (4) business days from the date of this notice to file the Year End Report. The report should be filed with the Clerk of the House, Office of Records and Registration, 1036 Longworth HOB, Washington, D.C. 20515, or the Secretary of the Senate, Office of Public Records, 332 Hart Senate Office Building, Washington, D.C. 20510, as appropriate. A Copy of the report should also be filed with the appropriate Secretary of State or equivalent state officer.

If you have filed the report timely by certified or registered mail, please notify us immediately, in writing, of the certified or registered number and the date that the report was sent. The failure to file this report may result in publication, audit or legal enforcement action.

If you have any questions regarding this matter, please contact the Reports Analysis Division on our toll free number (800) 424-9530 or on our local number (202) 376-2480.

Sincerely,

John D. Gibson
Assistant Staff Director
Reports Analysis Division

88053090724

HAND DELIVERED

REPORT OF RECEIPTS AND DISBURSEMENTS

ATTACHMENT 6

For the Reporting Committee
(Summary Page)

USE FEC MAILING LABEL
OR
TYPE OR PRINT

1. NAME OF COMMITTEE (in full)
Alexander Campaign Committee

ADDRESS (number and street) Check if different from previously reported.
P. O. Box 1700

CITY, STATE and ZIP CODE
Jonesboro, AR 72403

2. FEC IDENTIFICATION NUMBER
045-19

3. IS THIS REPORT AN AMENDMENT?
 YES NO

4. TYPE OF REPORT

April 15 Quarterly Report

July 15 Quarterly Report

October 15 Quarterly Report

January 31 Year End Report

July 31 Mid-Year Report (Non-election Year Only)

Twelfth day report preceding _____ (Type of Election) election on _____ in the State of _____

Twelfth day report following the General Election on _____ in the State of _____

Termination Report

This report contains activity for Primary Election General Election Partial Election Runoff Election

SUMMARY

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
5. Covering Period <u>7-1-87</u> through <u>12-31-87</u>		
6. Net Contributions (other than loans)		
(a) Total Contributions (other than loans) (from Line 11(a))	158,927.48	348,918.04
(b) Total Contribution Refunds (from Line 20(a))	1,000.00	1,000.00
(c) Net Contributions (other than loans) (subtract Line 6(b) from 6(a))	157,927.48	347,918.04
7. Net Operating Expenditures		
(a) Total Operating Expenditures (from Line 17)	77,658.00	220,383.78
(b) Total Offsets to Operating Expenditures (from Line 14)	630.90	758.92
(c) Net Operating Expenditures (subtract Line 7(b) from 7(a))	77,027.10	219,624.86
8. Cash on Hand at Close of Reporting Period (from Line 27)	61,025.00	
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	- 0 -	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	8,000.00	

For further information contact:
Federal Election Commission
600 E Street, NW
Washington, DC 20463
Toll Free 800-424-9630
Local 202-376-3120

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer
Ronnie Richardson, CPA

Signature of Treasurer  Date **1-31-88**

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. 9437g.

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FEC FORM 3
(revised 4/87)

990131425
 000407796305



FEDERAL ELECTION COMMISSION
WASHINGTON, DC 20463 MS-2

FEB 17 1988

Ronnie Richardson, Treasurer
Alexander Campaign Committee
P.O. Box 1700
Jonesboro, AR 72403

Identification Number: C00054536

Reference: 1987-1988 Election Cycle Reports

Dear Mr. Richardson:

This letter is prompted by the Commission's review of the reports required to be filed by your committee. The following is a list of reports your committee apparently has failed to file in a timely manner.

<u>Report Type</u>	<u>Due Date</u>	<u>Date Filed</u>
Mid-Year	7/31/87	8/11/87
Year End	1/31/88	2/10/88

Timely filing is a specific requirement of the Federal Election Campaign Act and is essential to fulfilling the public disclosure concept embodied in that law. The Commission views failure to timely file reports as a serious violation of the Act. This communication is to advise you that, notwithstanding any matters which may be pending with the Commission, any additional failure to timely file a required report by your committee may result in the Commission initiating legal enforcement or audit action.

If you need assistance or have any questions regarding this matter, please feel free to contact me on our toll-free number, (800) 424-9530. My local number is (202) 376-2480.

Sincerely,

Peter Kell Jr.
Chief, Authorized Branch
Reports Analysis Division

3803507936371

REPORT NOTICE

FEDERAL ELECTION COMMISSION

CONGRESSIONAL CANDIDATES

June 21, 1988

I. CANDIDATE ACTIVE IN 1988 ELECTIONS - QUARTERLY

REPORT	REPORTING PERIOD	REG./CERT.	FILING
		MAILING DATE*	DATE
JULY QUARTERLY	04/01/88**-06/30/88	07/15/88	07/15/88

II. CANDIDATE NOT ACTIVE IN 1988 ELECTIONS - SEMIANNUALLY

REPORT	REPORTING PERIOD	REG./CERT.	FILING
		MAILING DATE*	DATE
MID-YEAR	01/01/88**-06/30/88	07/31/88	07/31/88

WHO MUST FILE

QUARTERLY. Principal campaign committees of Congressional "candidates" who seek election in 1988 must file a July Quarterly Report. These committees must continue to file quarterly throughout 1988, regardless of the primary outcome, unless they file a valid termination report.

SEMIANNUALLY. Principal campaign committees of candidates not active in the 1988 elections (i.e., committees active in past or future elections) report semiannually.

WHAT MUST BE REPORTED

All financial activity (not previously reported) that occurred during the reporting period.

REPORTING FORMS

Candidate committees use FORM 3 (enclosed). If the campaign has more than one authorized committee, the principal campaign committee must also file a consolidated report on FORM 32.

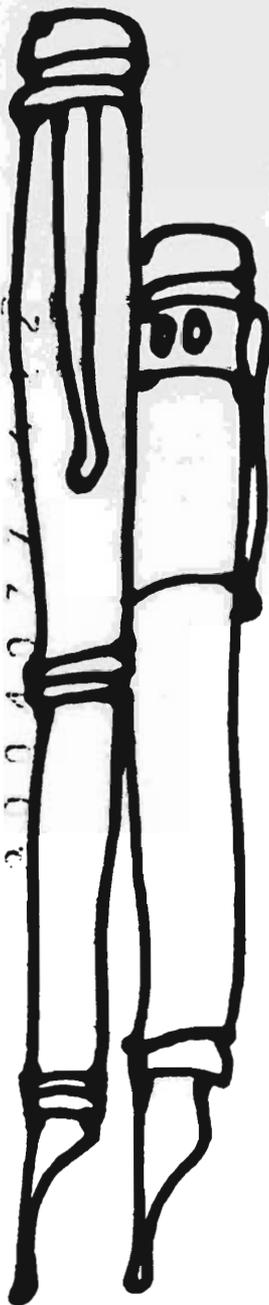
LAST-MINUTE CONTRIBUTIONS

Committees which receive a contribution of \$1,000 or more, after the 20th day, but not more than 48 hours before an election, must report it within 48 hours of its receipt.

*Reports sent by registered or certified mail must be postmarked by the mailing date. Otherwise, they must be received by the filing date.

**From the date of registration, or the close of books of the last report filed, whichever is later.

(over)



LABEL

Committees should affix the peel-off label from the envelope to Line 1 of the report. Corrections should be made on the label.

COMPLIANCE

TREASURERS OF POLITICAL COMMITTEES ARE RESPONSIBLE FOR FILING ALL REPORTS ON TIME. FAILURE TO DO SO IS SUBJECT TO ENFORCEMENT ACTION. COMMITTEES FILING ILLEGIBLE REPORTS OR USING NON-FEC FORMS WILL BE REQUIRED TO REFILE.

00040774623

REPORT OF RECEIPTS AND DISBURSEMENTS

For An Authorized Committee
(Summary Page)

RECEIVED
U.S. DEPT. OF JUSTICE

AUG 11 AM 9 03

USE FEC MAILING LABEL
OR PRINT
TYPE OR PRINT

1. NAME OF COMMITTEE (in full)
ALEXANDER CAMPAIGN COMMITTEE

ADDRESS (number and street) Check if different than previously reported.
P.O. BOX 1700

CITY, STATE and ZIP CODE **JONESBORO, AR 72401**

2. FEC IDENTIFICATION NUMBER
NR-045349

3. IS THIS REPORT AN AMENDMENT?
 YES NO

4. TYPE OF REPORT

April 15 Quarterly Report Twelfth day report preceding _____ (Type of Election) election on _____ in the State of _____

July 15 Quarterly Report Thirtieth day report following the General Election on _____ in the State of _____

October 15 Quarterly Report Termination Report

January 31 Year End Report

July 31 Mid-Year Report (Non-election Year Only)

This report contains activity for Primary Election General Election Special Election Runoff Election

SUMMARY

5. Covering Period	COLUMN A This Period	COLUMN B Calendar Year-to-Date
4-1-88 through 6-30-88		
6. Net Contributions (other than loans)		
(a) Total Contributions (other than loans) (from Line 11(c))	57,897.50	161,630.50
(b) Total Contribution Refunds (from Line 20(d))	- - -	- - -
(c) Net Contributions (other than loans) (subtract Line 6(b) from 6(a))	57,897.50	161,630.50
7. Net Operating Expenditures		
(a) Total Operating Expenditures (from Line 17)	52,910.58	228,446.94
(b) Total Offsets to Operating Expenditures (from Line 14)	789.91	802.68
(c) Net Operating Expenditures (subtract Line 7(b) from 7(a))	52,120.67	227,644.26
8. Cash on Hand at Close of Reporting Period (from Line 27)	921.72	
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	- - -	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	33,830.63	

For further information contact:
Federal Election Commission
660 E Street, NW
Washington, DC 20463
Toll Free 800-424-9630
Local 202-376-3130

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer
RONIE RICHARDSON, CPA

Signature of Treasurer  Date **7-31-88**

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. 9437g.

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FEC FORM 3
(revised 4/87)

8301430577/A 8275

SENSITIVE

RECEIVED
FEDERAL ELECTION COMMISSION
SECRETARIAT

FEDERAL ELECTION COMMISSION
999 E Street, N.W.
Washington, D.C. 20463
OCT 20 AM 11:21

FIRST GENERAL COUNSEL'S REPORT

RAD REFERRAL 88NF-44
Staff Member: Beverly Kraner

SOURCE: INTERNALLY GENERATED

EXECUTIVE SESSION

RESPONDENTS: Alexander Campaign Committee
Ronnie Richardson, as treasurer

NOV 01 1988

RELEVANT STATUTES: 2 U.S.C. § 434(a)(2)(A)(iii)
2 U.S.C. § 434(a)(2)(B)(i) and (ii)
11 C.F.R. § 104.5(a)(1)(iii)(A)
11 C.F.R. § 104.5(a)(2)(i)(A) and (B)

INTERNAL REPORTS CHECKED: Referral Materials
Public Record

FEDERAL AGENCIES CHECKED: None

I. GENERATION OF MATTER

The Reports Analysis Division referred the Alexander Campaign Committee ("the Committee") to the Office of the General Counsel on September 14, 1988. ^{1/} The basis for the attached referral is the Committee's failure to file three (3) reports of receipts and disbursements in a timely manner.

II. FACTUAL AND LEGAL ANALYSIS

The Federal Election Campaign Act of 1971, as amended ("the Act"), provides that during an election year authorized committees of House and Senate candidates are required to file quarterly reports which must be filed no later than the 15th

^{1/} The Committee is the principal campaign committee of William Alexander, Jr., a candidate for election in 1988 to the U.S. House of Representatives from Arkansas' 1st congressional district.

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day after the last day of each calendar quarter. 2 U.S.C. § 434(a)(2)(A)(iii) and 11 C.F.R. § 104.5(a)(1)(iii)(A). The Act further provides that during a non-election year such committees are required to file semi-annual reports. The first report ("the Mid-Year Report") must cover January 1 through June 30 and must be filed no later than July 31. The second report ("the Year End Report") must cover July 1 through December 31 and must be filed no later than January 31 of the following year. 2 U.S.C. § 434(a)(2)(B)(i) and (ii), 11 C.F.R. § 104.5(a)(2)(i)(A) and (B).

The Committee failed to timely file three reports during the 1987-1988 election cycle. Listed below are the reports filed late, the date each was due and the date each report was filed.

<u>Report Type</u>	<u>Due Date</u>	<u>Date Filed</u>
1987 Mid-Year Report	7/31/87	8/11/87
1987 Year End Report	1/31/88	2/10/88
1988 July Quarterly Report	7/15/88	8/11/88

Before the due dates of the above reports the Commission sent general notices advising the Committee of the filing requirements for these reports. Attachments at 5, 8 and 13. After the due dates of these reports, the Commission sent notices to the Committee informing them of their failure to file and advising them to file their reports immediately. Attachments at 10, 12 and 15.

Based on the foregoing, the Office of the General Counsel recommends that the Commission open a matter under review and find reason to believe that the Committee and Ronnie Richardson,

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as treasurer, violated 2 U.S.C. § 434(a)(2)(A)(iii) and § 434(a)(2)(B)(i) and (ii).

III. DISCUSSION OF CONCILIATION AND CIVIL PENALTY

900407/4628

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IV. RECOMMENDATIONS

1. Open a Matter Under Review.
2. Find reason to believe that the Alexander Campaign Committee and Ronnie Richardson, as treasurer, violated 2 U.S.C. § 434(a)(2)(A)(iii) and § 434(a)(2)(B)(i) and (ii).
3. Enter into conciliation with the Alexander Campaign Committee and Ronnie Richardson, as treasurer, prior to a finding of probable cause to believe.
4. Approve the attached letter, factual and legal analysis and proposed conciliation agreement.

Lawrence M. Noble
General Counsel

Date

10/19/86

By:


Lois G. Lerner
Associate General Counsel

Attachments

1. Referral Materials
2. Letter, factual and legal analysis and conciliation agreement

Staff Member: Beverly Kramer

90040774630



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

MEMORANDUM

TO: LAWRENCE M. NOBLE
GENERAL COUNSEL

FROM: MARJORIE W. EMMONS / JOSHUA MCFADDEN
COMMISSION SECRETARY

DATE: OCTOBER 24, 1988

SUBJECT: OBJECTIONS TO RAD Ref. 88NF-44: FIRST G.C. REPORT
SIGNED OCTOBER 19, 1988

The above-captioned document was circulated to the
Commission on Thursday, October 20, 1988 at 4:00 p.m.

Objection(s) have been received from the Commissioner(s)
as indicated by the name(s) checked below:

Commissioner Aikens	_____
Commissioner Elliott	_____
Commissioner Josefiak	_____
Commissioner McDonald	_____ X _____
Commissioner McGarry	_____
Commissioner Thomas	_____ X _____

This matter will be placed on the meeting agenda
for November 1, 1988.

Please notify us who will represent your Division before the
Commission on this matter.

00040774631

BEFORE THE FEDERAL ELECTION COMMISSION

11/2/88
277

In the Matter of)
Alexander Campaign Committee) RAD Referral 88NF-44
Ronnie Richardson, as treasurer)

CERTIFICATION

I, Marjorie W. Emmons, recording secretary for the Federal Election Commission executive session of November 1, 1988, do hereby certify that the Commission decided by a vote of 6-0 to take the following actions with respect to RAD Referral 88NF-44:

1. Open a Matter Under Review.
2. Find reason to believe that the Alexander Campaign Committee and Ronnie Richardson, as treasurer, violated 2 U.S.C. § 434(a) (2) (A) (iii) and §§ 434(a) (2) (B) (i) and (ii).
3. Enter into conciliation with the Alexander Campaign Committee and Ronnie Richardson, as treasurer, prior to a finding of probable cause to believe.
4. Approve the letter, factual and legal analysis, and proposed conciliation agreement attached to the General Counsel's report dated October 19, 1988.

Commissioners Aikens, Elliott, Josefiak, McDonald, McGarry, and Thomas voted affirmatively for the decision.

Attest:

Nov. 2, 1988
Date

Marjorie W. Emmons
Marjorie W. Emmons
Secretary of the Commission

2004074632

flm



FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

November 9, 1988

Mr. Ronnie Richardson, Treasurer
Alexander Campaign Committee
P.O. Box 1700
Jonesboro, AR 72403

RE: MUR 2770
Alexander Campaign
Committee and Ronnie
Richardson, as
treasurer

Dear Mr. Richardson:

On November 1, 1988, the Federal Election Commission found that there is reason to believe the Alexander Campaign Committee ("Committee") and you, as treasurer, violated 2 U.S.C. § 434(a)(2)(A)(iii) and § 434(a)(2)(B)(i) and (ii), provisions of the Federal Election Campaign Act of 1971, as amended ("the Act"). The Factual and Legal Analysis, which formed a basis for the Commission's finding, is attached for your information.

Under the Act, you have an opportunity to demonstrate that no action should be taken against the Committee and you, as treasurer. You may submit any factual or legal materials that you believe are relevant to the Commission's consideration of this matter. Please submit such materials to the General Counsel's Office within 15 days of your receipt of this letter. Where appropriate, statements should be submitted under oath.

In the absence of any additional information demonstrating that no further action should be taken against the Committee and you, as treasurer, the Commission may find probable cause to believe that a violation has occurred and proceed with conciliation.

In order to expedite the resolution of this matter, the Commission has also decided to offer to enter into negotiations directed towards reaching a conciliation agreement in settlement of this matter prior to a finding of probable cause to believe. Enclosed is a conciliation agreement that the Commission has approved.

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Mr. Ronnie Richardson, Treasurer
Page 2

If you are interested in expediting the resolution of this matter by pursuing preprobable cause conciliation and if you agree with the provisions of the enclosed agreement, please sign and return the agreement, along with the civil penalty, to the Commission. In light of the fact that conciliation negotiations, prior to a finding of probable cause to believe, are limited to a maximum of 30 days, you should respond to this notification as soon as possible.

Requests for extensions of time will not be routinely granted. Requests must be made in writing at least five days prior to the due date of the response and specific good cause must be demonstrated. In addition, the Office of the General Counsel ordinarily will not give extensions beyond 20 days.

If you intend to be represented by counsel in this matter, please advise the Commission by completing the enclosed form stating the name, address, and telephone number of such counsel, and authorizing such counsel to receive any notifications and other communications from the Commission.

This matter will remain confidential in accordance with 2 U.S.C. §§ 437g(a)(4)(B) and 437g(a)(12)(A), unless you notify the Commission in writing that you wish the investigation to be made public.

For your information, we have attached a brief description of the Commission's procedures for handling possible violations of the Act. If you have any questions, please contact Beverly Kramer, the staff member assigned to this matter, at (202) 376-8200.

Sincerely,


Thomas J. Josefiak
Chairman

Enclosures

Factual and Legal Analysis
Procedures
Designation of Counsel Form
Conciliation Agreement

000407/4634



FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20461

December 15, 1988

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Mr. Ronnie Richardson
1823 Grant Street
Suite B
Jonesboro, Arkansas 72401

RE: MUR 2770
Alexander Campaign Committee
and Ronnie Richardson, as
treasurer

Dear Mr. Richardson:

On November 9, 1988, a letter was sent to you informing you that the Commission had found reason to believe on November 1, 1988 that the Alexander Campaign Committee ("Committee") and you, as treasurer, violated 2 U.S.C. § 434(a)(2)(A)(iii) and § 434(a)(2)(B)(i) and (ii), provisions of the Federal Election Campaign Act of 1971, as amended (the "Act"). The Factual and Legal Analysis was forwarded with the said letter.

In telephone conversations with a member of our staff, you stated that the letter was never received by you at the original address, i.e., P.O. Box 1700, Jonesboro, Arkansas 72403.

We have enclosed a copy of the previous letter along with the Factual and Legal Analysis and proposed conciliation agreement for your information and consideration. You are hereby afforded an additional 15 days in which to respond.

Please be advised that if you fail to submit a response within 15 days of your receipt of this notification, we will proceed to the next phase of the enforcement procedure. If you

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plan

Ronnie Richardson
Page 2

have any questions, please contact Thomas J. Whitehead, the
attorney assigned to this matter, at (202) 376-8200.

Sincerely,

Lawrence M. Noble
General Counsel



By: Lois G. Lerner
Associate General Counsel

Enclosures

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89 FEB 16 AM 9:05



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

February 16, 1989

Mr. Ronnie Richardson, Treasurer
Alexander Campaign Committee
P.O. Box 1700
Jonesboro, AR 72403

RE: MUR 2770
Alexander Campaign Committee
and Ronnie Richardson, as
treasurer

Dear Mr. Richardson:

Based on information ascertained in the normal course of carrying out its supervisory responsibilities, on November 1, 1988, the Federal Election Commission found reason to believe that the Alexander Campaign Committee ("Committee") and you, as treasurer, violated 2 U.S.C. § 434(a)(2)(A)(iii) and § 434(a)(2)(B)(i) and (ii), and instituted an investigation in this matter.

After considering all the evidence available to the Commission, the Office of the General Counsel is prepared to recommend that the Commission find probable cause to believe that violations have occurred.

The Commission may or may not approve the General Counsel's recommendation. Submitted for your review is a brief stating the position of the General Counsel on the legal and factual issues of the case. Within 15 days of your receipt of this notice, you may file with the Secretary of the Commission a brief (ten copies if possible) stating your position on the issues and replying to the brief of the General Counsel. (Three copies of such brief should also be forwarded to the Office of the General Counsel, if possible.) The General Counsel's brief and any brief which you may submit will be considered by the Commission before proceeding to a vote of whether there is probable cause to believe a violation has occurred.

If you are unable to file a responsive brief within 15 days, you may submit a written request for an extension of time. All requests for extensions of time must be submitted in writing five days prior to the due date, and good cause must be demonstrated. In addition, the Office of the General Counsel ordinarily will not give extensions beyond 20 days.

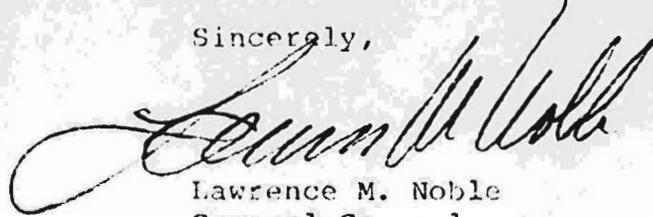
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Ronnie Richardson
Page 2

A finding of probable cause to believe requires that the Office of the General Counsel attempt for a period of not less than 30, but not more than 90 days, to settle this matter through a conciliation agreement.

Should you have any questions, please contact Thomas J. Whitehead, the attorney assigned to this matter, at (202) 376-8200.

Sincerely,



Lawrence M. Noble
General Counsel

Enclosure
Brief

00040774638

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
)
Alexander Campaign Committee and) MUR 2770
Ronnie Richardson, as treasurer)
)

GENERAL COUNSEL'S BRIEF

I. STATEMENT OF THE CASE

This matter was initiated by the Federal Election Commission ("Commission") based on information ascertained in the ordinary course of carrying out its supervisory responsibilities. The Alexander Campaign Committee ("Committee") is a political committee registered with the Commission. Ronnie Richardson is its treasurer.

As an authorized committee of a candidate, the Committee in an election year is required to file quarterly reports no later than the 15th day after the last day of each calendar quarter. 2 U.S.C. § 434(a)(2)(A)(iii). See also 11 C.F.R. § 104.5(a)(1)(iii)(A). The Committee is further required to file semi-annual reports during a non-election year; the first report ("the Mid-Year Report") includes the period from January 1, through June 30 and must be filed no later than July 31st, the second report ("the Year End Report") includes the period from July 1 and December 31 and must be filed no later than January 31st of the following year. 2 U.S.C. § 434(a)(2)(B)(i) and (ii), see also 11 C.F.R. § 104.5(a)(2)(i)(A) and (B).

During the 1987-1988 election cycle, the Committee failed to timely file three required reports. The Committee's 1987 Mid-Year Report was due on July 31, 1987, its 1987 Year End Report

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was due on January 31, 1988, and its 1988 July Quarterly Report was due on July 15, 1988. The reports were filed on August 11, 1987, February 10, 1988 and August 11, 1988 respectively.

The Committee was advised prior to each of the due date of its filing requirements and after each of the due dates was notified of its failure to file and admonished to file the reports immediately.

Based upon its consideration of the foregoing facts, the Commission determined on November 1, 1988, that there was reason to believe the Alexander Campaign Committee and Ronnie Richardson, as treasurer, violated 2 U.S.C. §§ 434(a)(2)(A)(iii) and § 434(a)(2)(B)(i) and (ii). Notification of the Commission's finding and a proposed conciliation agreement were mailed to the Committee on November 9, 1988. Respondents did not respond to this notification and Mr. Richardson was subsequently contacted by telephone. It was averred that the original notification was never received. Consequently, a second letter to a new address containing the Factual and Legal Analysis and a proposed conciliation agreement was sent on December 15, 1987, the return receipts showed that the second letter was received on December 27, 1987. On three separate occasions since that date, namely, January 10, 1989, January 19, 1989 and January 27, 1989, Mr. Richardson was contacted by a member of the staff of the Commission time he promised to respond to the Commission's notification. As of the date of this Brief nothing has been forthcoming.

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II. LEGAL ANALYSIS

2 U.S.C. § 434(a)(2)(A)(iii) requires an authorized committee in an election year to file quarterly reports no later than the 15th day after the last day of each calendar quarter and 2 U.S.C. § 434(a)(2)(B)(i) and (ii) require such committee in a non-election year to file semi-annual reports, the Mid-Year Report, no later than July 31, and the Year End Report, no later than January 31 of the following year.

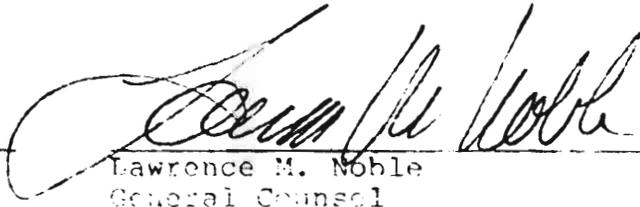
The record in this case reveals that the Committee and its treasurer failed to file three (3) reports of Receipts and Disbursements within the time frame required by the above cited statutory provisions. Inasmuch as Respondents have submitted no response to the Commission's notification, this Office recommends that the Commission find probable cause to believe that Alexander Campaign Committee, and Ronnie Richardson, as treasurer, violated 2 U.S.C. § 434(a)(2)(A)(iii) and § 434(a)(2)(B)(i) and (ii).

III. GENERAL COUNSEL'S RECOMMENDATION

1. Find probable cause to believe the Alexander Campaign Committee and Ronnie Richardson, as treasurer, violated 2 U.S.C. § 434(a)(2)(A)(iii) and § 434(a)(2)(B)(i) and (ii).

Date

2/14/87


 Lawrence M. Noble
 General Counsel

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BEFORE THE FEDERAL ELECTION COMMISSION

89 APR 11 PM 3:32

In the Matter of)
)
Alexander Campaign Committee and)
Ronnie Richardson, as treasurer)
)

MUR 2770

SENSITIVE
EXECUTIVE SESSION

APR 18 1989

GENERAL COUNSEL'S REPORT

I. BACKGROUND

On November 1, 1988, the Commission found reason to believe that the Alexander Campaign Committee ("the Committee") and Ronnie Richardson, as treasurer, violated 2 U.S.C. § 434(a)(2)(A)(iii) and § 434(a)(2)(B)(i) and (ii). Underlying the Commission's determination was information that the Committee failed to timely file its 1987 Mid-Year Report due on its 1987 Year End Report and its 1988 July Quarterly Report.

The 1987 Mid-Year Report was due July 31, 1987 and it was not filed until August 11, 1987. The 1987 Year End Report was due January 31, 1988 and it was not filed until February 10, 1988. The 1988 July Quarterly Report was due on July 15, 1988 and it was not filed until August 11, 1988.

II. ANALYSIS

The General Counsel's analysis of this matter is contained in the brief dated February 14, 1988. Despite assurances from the Committee's treasurer during phone conversations on March 13, 1988 and March 21, 1988 that a response would be forthcoming, nothing has been received. It should be noted that the treasurer also assured this Office that a response to the reason to believe finding would "be in the mails"; none was ever sent, as acknowledged by the treasurer.

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III. DISCUSSION OF CONCILIATION AND CIVIL PENALTY

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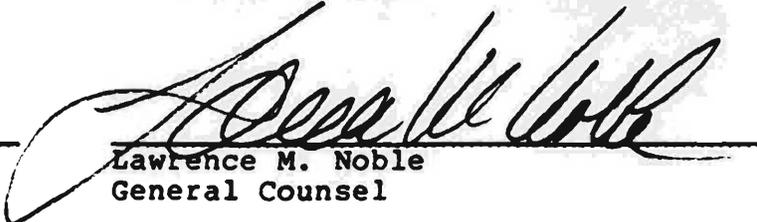
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IV. RECOMMENDATIONS

1. Find probable cause to believe the Alexander Campaign Committee and Ronnie Richardson, as treasurer, violated 2 U.S.C. § 434(a)(2)(A)(iii) and § 434(a)(2)(B)(i) and (ii).
2. Approve the attached letter and conciliation agreement.

Date

4/11/89



Lawrence M. Noble
General Counsel

Attachments

1. Letter
2. Conciliation Agreement

Staff Person: Thomas J. Whitehead

00040774645

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
)
Alexander Campaign Committee and) MUR 2770
Ronnie Richardson, as treasurer)

CERTIFICATION

I, Marjorie W. Emmons, recording secretary for the Federal Election Commission executive session of April 18, 1989, do hereby certify that the Commission decided by a vote of 6-0 to take the following actions in MUR 2770:

1. Find probable cause to believe the Alexander Campaign Committee and Ronnie Richardson, as treasurer, violated 2 U.S.C. § 434(a)(2)(A)(iii) and §§ 434(a)(2)(B)(i) and (ii).
2. Approve the letter attached to the General Counsel's report dated April 11, 1989.
3. Approve the conciliation agreement attached to the General Counsel's report dated April 11, 1989.

Commissioners Aikens, Elliott, Josefiak, McDonald, McGarry, and Thomas voted affirmatively for the decision.

Attest:

4-19-89
Date

Marjorie W. Emmons
Marjorie W. Emmons
Secretary of the Commission

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FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

April 25, 1989

Mr. Ronnie Richardson, Treasurer
P.O. Box 1700
Jonesboro, Arkansas 72401

RE: MUR 2770
Alexander Campaign Committee
and Ronnie Richardson, as
treasurer

Dear Mr. Richardson:

On April 18, 1989, the Federal Election Commission found that there is probable cause to believe that the Alexander Campaign Committee and you, as treasurer, violated 2 U.S.C. § 434(a)(2)(A)(iii) and § 434(a)(2)(B)(i) and (ii), provisions of the Federal Election Campaign Act of 1971, as amended, in connection with failing to file timely the 1988 July Quarterly Report.

The Commission has a duty to attempt to correct such violations for a period of 30 to 90 days by informal methods of conference, conciliation, and persuasion, and by entering into a conciliation agreement with a respondent. If we are unable to reach an agreement during that period, the Commission may institute a civil suit in United States District Court and seek payment of a civil penalty.

Enclosed is a conciliation agreement that the Commission has approved in settlement of this matter. If you agree with the provisions of the enclosed agreement, please sign and return it, along with the civil penalty, to the Commission within ten days. I will then recommend that the Commission accept the agreement. Please make your check for the civil penalty payable to the Federal Election Commission.

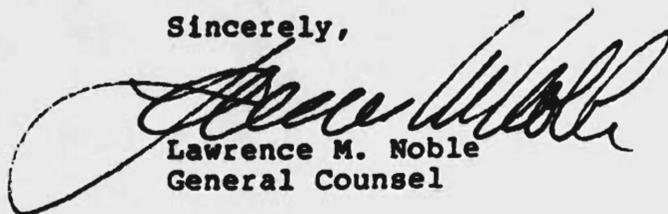
If you have any questions or suggestions for changes in the enclosed conciliation agreement, or if you wish to arrange a meeting in connection with a mutually satisfactory conciliation

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Ronnie Richardson
Page 2

agreement, please contact Thomas J. Whitehead, the attorney assigned to this matter, at (202) 376-8200.

Sincerely,

A handwritten signature in black ink, appearing to read "Lawrence M. Noble", written in a cursive style.

Lawrence M. Noble
General Counsel

Enclosure
Conciliation Agreement

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FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

June 19, 1989

Mr. Ronnie Richardson, Treasurer
P.O. Box 1700
Jonesboro, Arkansas 72401

RE: MUR 2770
Alexander Campaign Committee
and Ronnie Richardson, as
treasurer

Dear Mr. Richardson:

On April 25, 1989, you were notified that the Federal Election Commission found probable cause to believe that Alexander Campaign Committee and you, as treasurer, violated 2 U.S.C. § 434(a)(2)(A)(iii) and § 434(a)(2)(B)(i) and (ii). On that same date, you were sent a conciliation agreement offered by the Commission in settlement of this matter.

Please note that pursuant to 2 U.S.C. § 437g(a)(4)(A)(i), the conciliation period in this matter may not extend for more than 90 days, but may cease after 30 days. Insofar as more than 30 days have elapsed without a response from you, a recommendation concerning the filing of a civil suit will be made to the Commission by the Office of the General Counsel unless we receive a response from you within 10 days.

Should you have any questions, please contact Mary Ann Bumgarner, the attorney assigned to this matter, at (202) 376-5690.

Sincerely,

Lawrence M. Noble
General Counsel

BY: 
Lois G. Lerner
Associate General Counsel

20040714549



FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

September 27, 1989

Mr. Ronnie Richardson
P.O. Box 17700
Jonesboro, Arkansas 72401

RE: MUR 2770
Alexander Campaign Committee
and Ronnie Richardson, as
treasurer

Dear Mr. Richardson:

On April 18, 1989, you were notified that the Federal Election Commission found probable cause to believe that the Alexander Campaign Committee and you, as treasurer, violated 2 U.S.C. § 434(a)(2)(A)(iii) and § 434(a)(2)(B)(i) and (ii). On that same date you were sent a conciliation agreement offered by the Commission in settlement of this matter.

On two occasions, August 8 and September 5, 1989, you spoke with this Office about the possibility of conciliation. Please note that pursuant to 2 U.S.C. § 437g(a)(4)(A)(i), the conciliation period in this matter may not extend for more than 90 days, but may cease after 30 days. At this time, more than 90 days have elapsed without a written response from you. Unless we receive a written response within 5 days of receipt of this letter, a recommendation concerning the filing of a civil suit will be made to the Commission by the Office of the General Counsel.

Should you have any questions, please contact Mary Ann Bumgarner, the attorney assigned to this matter, at (202) 376-5690.

Sincerely,

Lawrence M. Noble
General Counsel

BY: Lois G. Lerner
Associate General Counsel

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89 OCT 18 AM 11:22

SENSITIVE

BEFORE THE FEDERAL ELECTION COMMISSION

EXECUTIVE SESSION

OCT 24 1989

In the Matter of)
)
Alexander Campaign Committee) MUR 2770
and Ronnie Richardson, as)
treasurer)

GENERAL COUNSEL'S REPORT

I. BACKGROUND

On April 18, 1989, the Federal Election Commission found there is probable cause to believe that the Alexander Campaign Committee and Ronnie Richardson, as treasurer, violated 2 U.S.C. § 434(a)(2)(A)(iii) and § 434(a)(2)(B)(i) and (ii), provisions of the Federal Election Campaign Act of 1971, as amended. The Commission's notification and proposed conciliation agreement were mailed to Respondents on April 25, 1989. No response was submitted by Respondents.

On June 19, 1989, a second letter was sent to Respondents reminding them that, pursuant to 2 U.S.C. § 437g(a)(4)(A)(i), the conciliation period in this matter may not extend for more than 90 days, but may cease after 30 days. No response was submitted by Respondents to the second letter.

This Office attempted on several occasions to notify Mr. Richardson by telephone in order to determine whether the Committee intended to respond to the probable cause findings by the Commission. This Office did reach Mr. Richardson on August 8, 1989, at which time Mr. Richardson informed this Office that

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the Committee was interested in pursuing post-probable cause conciliation and he would send in a counter-offer to the Commission's proposed civil penalty. No counter-offer was received from Respondents and once again this Office contacted Mr. Richardson by telephone on September 5, 1989. At that time, Mr. Richardson again reiterated the Committee's interest in pursuing post-probable cause conciliation; however, no response has ever been submitted by Respondents. Therefore, on September 27, 1989, this Office notified Respondents by letter that if a written response was not received within 5 days of receipt of the letter, a recommendation concerning the filing of a civil suit would be made to the Commission by this Office. Respondents have not responded to this letter.

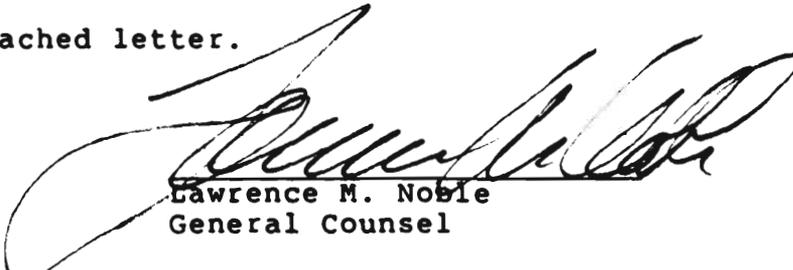
Since Respondents have made no effort to negotiate an agreement, it is recommended that the Commission authorize this Office to file a civil suit.

II. RECOMMENDATIONS

1. Authorize the Office of the General Counsel to file a civil suit for relief in United States District Court against the Alexander Campaign Committee and Ronnie Richardson, as treasurer.
2. Approve the attached letter.

Date

10/17/89


Lawrence M. Noble
General Counsel

Attachments:

1. Letter to Respondents

Staff Assigned: Mary Ann Bumgarner

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
) MUR 2770
Alexander Campaign Committee)
and Ronnie Richardson, as)
treasurer)

CERTIFICATION

I, Marjorie W. Emmons, recording secretary for the Federal Election Commission executive session on October 24, 1989, do hereby certify that the Commission decided by a vote of 5-0 to take the following actions in MUR 2770:

1. Authorize the Office of the General Counsel to file a civil suit for relief in United States District Court against the Alexander Campaign Committee and Ronnie Richardson, as treasurer.
2. Approve the letter attached to the General Counsel's report dated October 17, 1989, and direct the Office of General Counsel to CC Congressman Alexander on the letter.

Commissioners Aikens, Elliott, Josefiak, McGarry, and Thomas voted affirmatively for the decision; Commissioner McDonald was not present.

Attest:

October 25, 1989

Marjorie W. Emmons
Marjorie W. Emmons
Secretary of the Commission

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FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

October 31, 1989

Mr. Ronnie Richardson
P.O. Box 1700
Jonesboro, Arkansas 72401

RE: MUR 2770
Alexander Campaign Committee
and Ronnie Richardson, as
treasurer

Dear Mr. Richardson:

You were previously notified that on April 18, 1989, the Federal Election Commission found probable cause to believe that the Alexander Campaign Committee ("Committee") and you, as treasurer, violated 2 U.S.C. § 434(a)(2)(A)(iii) and § 434(a)(2)(B)(i) and (ii), provisions of the Federal Election Campaign Act of 1971, as amended.

As a result of our inability to settle this matter through conciliation within the allowable time period, the Commission has authorized the General Counsel to institute a civil action for relief in the United States District Court.

Should you have any questions, or should you wish to settle this matter prior to suit, please contact Ivan Rivera, Assistant General Counsel, at (202) 376-8200, within five days of your receipt of this letter.

Sincerely,

Lawrence M. Noble
General Counsel

cc: The Honorable Bill Alexander

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SENSITIVE

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
)
Alexander Campaign Committee) MUR 2770
and Ronnie Richardson, as)
treasurer)

GENERAL COUNSEL'S REPORT

I. BACKGROUND

Attached is a conciliation agreement which has been signed by Ronnie Richardson, treasurer of the Alexander Campaign Committee.

The attached agreement contains no changes from the agreement approved by the Commission on April 18, 1989. A \$3,400.00 check for the civil penalty has been received.¹

II. RECOMMENDATIONS

1. Accept the attached conciliation agreement with the Alexander Campaign Committee and Ronnie Richardson, as treasurer.
2. Close the file.
3. Approve the attached letter.

Lawrence M. Noble
General Counsel

11-30-89
Date

By: 
Lois G. Lerner
Associate General Counsel

1. On October 24, 1989, the Commission authorized this Office to file a civil suit in this matter. However, before suit had been filed, a signed conciliation agreement and check for the civil penalty were received on October 31, 1989.

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Attachments

1. Conciliation Agreement
2. Photocopy of civil penalty check
3. Letter to Respondent

Staff Assigned: Mary Ann Bumgarner

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BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
) MUR 2770
Alexander Campaign Committee)
and Ronnie Richardson, as)
treasurer)

CERTIFICATION

I, Marjorie W. Emmons, Secretary of the Federal Election Commission, do hereby certify that on December 5, 1989, the Commission decided by a vote of 6-0 to take the following actions in MUR 2770:

1. Accept the conciliation agreement with the Alexander Campaign Committee and Ronnie Richardson, as treasurer, as recommended in the General Counsel's Report dated November 30, 1989.
2. Close the file.
3. Approve the letter, as recommended in the General Counsel's Report dated November 30, 1989.

Commissioners Aikens, Elliott, Josefiak, McDonald, McGarry and Thomas voted affirmatively for the decision.

Attest:

12/6/89
Date

Hilda Arnold
for Marjorie W. Emmons
Secretary of the Commission

Received in the Secretariat: Thurs., Nov. 30, 1989 2:36 p.m.
Circulated to the Commission: Friday, Dec. 1, 1989 12:00 p.m.
Deadline for vote: Tuesday, Dec. 5, 1989 4:00 p.m.

00040774657



FEDERAL ELECTION COMMISSION
WASHINGTON DC 20463

December 14, 1989

Mr. Ronnie Richardson, Treasurer
P.O. Box 1700
Jonesboro, Arkansas 72401

RE: MUR 2770
Alexander Campaign Committee
and Ronnie Richardson, as
treasurer

Dear Mr. Richardson:

On December 5, 1989, the Federal Election Commission accepted the signed conciliation agreement and civil penalty submitted in settlement of a violation of 2 U.S.C. § 434(a)(2)(A)(iii) and § 434(a)(2)(B)(i) and (ii), provisions of the Federal Election Campaign Act of 1971, as amended. Accordingly, the file has been closed in this matter. This matter will become a part of the public record within 30 days. If you wish to submit any factual or legal materials to appear on the public record, please do so within ten days. Such materials should be sent to the Office of the General Counsel.

Please be advised that information derived in connection with any conciliation attempt will not become public without the written consent of the respondent and the Commission. See 2 U.S.C. § 437g(a)(4)(B). The enclosed conciliation agreement, however, will become a part of the public record.

Enclosed you will find a copy of the fully executed conciliation agreement for your files. If you have any questions, please contact Mary Ann Bumgarner, the attorney assigned to this matter, at (202) 376-5690.

Sincerely,

Lawrence M. Noble
General Counsel


BY: Lois G. Lerner
Associate General Counsel

Enclosure
Conciliation Agreement

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DGC 4569

HAND DELIVERED

RECEIVED
FEDERAL ELECTION COMMISSION
MAIL ROOM

BEFORE THE FEDERAL ELECTION COMMISSION

89 NOV 16 AM 10:57

In the Matter of)	
)	
Alexander Campaign Committee)	MUR 2770
and Ronnie Richardson, as)	
treasurer)	

CONCILIATION AGREEMENT

This matter was initiated by the Federal Election Commission ("Commission"), pursuant to information ascertained in the normal course of carrying out its supervisory responsibilities. The Commission found probable cause to believe that the Alexander Campaign Committee and Ronnie Richardson, as treasurer, ("Respondents") violated 2 U.S.C. § 434(a)(2)(A)(iii) and § 434(a)(2)(B)(i) and (ii).

NOW THEREFORE, the Commission and the Respondents, having duly entered into conciliation pursuant to 2 U.S.C. § 437g(a)(4)(A)(i), do hereby agree as follows:

I. The Commission has jurisdiction over the Respondents, and the subject matter of this proceeding.

II. Respondents have had a reasonable opportunity to demonstrate that no action should be taken in this matter.

III. Respondents enter voluntarily into this agreement with the Commission.

IV. The pertinent facts in this matter are as follows:

1. Respondent, Alexander Campaign Committee (the "Committee"), is the principal campaign committee of William Alexander, Jr., a candidate for election in 1988 to the United

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States House of Representatives from Arkansas' 1st congressional district.

2. Respondent, Ronnie Richardson, is the treasurer of the Committee.

3. Section 434(a)(2)(B)(i) and (ii) of Title 2, United States Code, provides that during a non-election year, authorized committees of House and Senate candidates are required to file semi-annual reports. The first report ("the Mid-Year Report") must cover January 1 through June 30 and must be filed no later than July 31. The second report ("the Year End Report") must cover July 1 through December 31 and must be filed no later than January 3 of the following year.

4. Respondents filed the 1987 Mid-Year Report which was due July 31, 1987, on August 11, 1987. During that period Respondents received \$225,318 and disbursed \$217,725.

5. Respondents filed the 1987 Year End Report, which was due January 31, 1988, on February 10, 1988. During that period, Respondents received \$159,549 and disbursed \$114,658.

6. Section 434(a)(2)(A)(iii) of Title 2, United States Code, provides that during an election year authorized committees of House and Senate candidates must file quarterly reports which must be filed no later than the 15th day after the last day of each calendar quarter.

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7. Respondents filed the 1988 July Quarterly Report, which was due July 15, 1988, on August 11, 1988. During that period, Respondents received \$58,889 and disbursed \$97,910.

8. Respondents failed to file three (3) reports of receipts and disbursements in a timely manner, in violation of 2 U.S.C. § 434(a)(2)(A)(iii) and § 434(a)(2)(B)(i) and (ii).

V. Respondents will pay a civil penalty to the Federal Election Commission in the amount of Three Thousand Four Hundred Dollars (\$3,400.00) pursuant to 2 U.S.C. § 437g(a)(5)(A).

VI. The Commission, on request of anyone filing a complaint under 2 U.S.C. § 437g(a)(1) concerning the matters at issue herein or on its own motion, may review compliance with this agreement. If the Commission believes that this agreement or any requirement thereof has been violated, it may institute a civil action for relief in the United States District Court for the District of Columbia.

VII. This agreement shall become effective as of the date that all parties hereto have executed the same and the Commission has approved the entire agreement.

VIII. Respondents shall have no more than thirty (30) days from the date this agreement becomes effective to comply with and implement the requirements contained in this agreement and to so notify the Commission.

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IX. This Conciliation Agreement constitutes the entire agreement between the parties on the matters raised herein, and no other statement, promise, or agreement, either written or oral, made by either party or by agents of either party, that is not contained in this written agreement shall be enforceable.

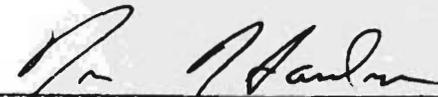
FOR THE COMMISSION:

Lawrence M. Noble
General Counsel

By: 
Lois G. Lerner
Associate General Counsel

12/11/89
Date

FOR THE RESPONDENTS:


~~Lawrence M. Noble~~ Louise Richardson
~~Associate~~ Treasurer

11-15-89
Date

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FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

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