



FEDERAL ELECTION COMMISSION  
WASHINGTON, D.C. 20461

9-21-67

THE FOLLOWING MATERIAL IS BEING ADDED TO THE  
PUBLIC FILE OF CLOSED MUR 2367

87040554314

JAMES DAWSON 2-87  
P. O. BOX 1084  
PEKIN, IL 61554

July 31 1987

236  
70-231  
711

PAY TO THE ORDER OF

Federal Election Commission

\$ 350.00

Three hundred Fifty Dollars & no Cents

DOLLARS

AMCORE BANK

AMCORE Bank N.A., Pekin  
111 North Sixth Street  
Pekin, Illinois 61555-0209

MEMO: Conciliation Agreement  
Payment in Full

James Dawson

⑆071102319⑆

⑆512⑆⑆472⑆ 0236

54315

MEMORANDUM

TO: DEBRA A. TRIMIEW

TO: CECILIA LIEBER

FROM: CECILIA LIEBER

FROM: DEBRA A. TRIMIEW

CHECK NO. 236 { A COPY OF WHICH IS ATTACHED } RELATING TO

NR 2367 AND NAME Friends of Jim Dawson, Jan Dawson, tras (Levin)

WAS RECEIVED ON 8/5/87. PLEASE INDICATE THE ACCOUNTS TO WHICH IT SHOULD BE DEPOSITED:

- / / BUDGET CLEARING ACCOUNT { 95F3875.16 }
- / ✓ / CIVIL PENALTIES ACCOUNT { 95-1077.160 }
- / / OTHER \_\_\_\_\_

SIGNATURE Debra A. Trimiew

DATE 8/6/87

CC# 4094

07 AUG 5 1987

RECEIVED  
OFFICE OF THE  
GENERAL COUNSEL

CCC#4094

RECEIVED AT THE FEC.

87 AUG 3 AM: 00

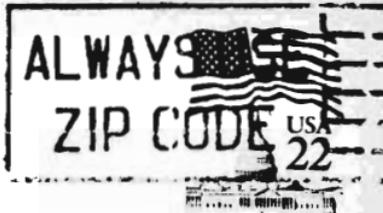
Please find the \$350.00 Fine  
For Committee for "Friends of Jim Dawson"  
This payment is in Full

Thank you  
Jim Dawson  
P.O. Box 1084  
Arlington, IL  
61554

RECEIVED  
OFFICE OF THE  
GENERAL COUNSEL  
87 AUG 5 PM: 27

87040554316

P.O. Box 1084  
Pekin, IL  
61554



870405131

ATTN  
Jonathan  
Levin

Federal Election Commission  
999 E Street  
N.W.  
Washington D.C. 20463

87 AUG 3 AID: 51

U.S. POSTAGE AND THE FEES



FEDERAL ELECTION COMMISSION  
WASHINGTON, D.C. 20463

THIS IS THE BEGINNING OF MUR # 2367  
DATE FILMED 10/2/87 CAMERA NO. 4  
CAMERAMAN GPC

87040554997

PUBLIC RECORD INDEX \_ MUR 2367

1. Memo, 11 Feb 87, John D. Gibson (Reports Analysis Division) to Charles N. Steele (General Counsel), Subj: Referral of Friends of Jim Dawson, w/atch (RAD Referral Package).
2. First General Counsel's Report, 10 Mar 87.
3. Certification of Commission Action, dtd 12 Mar 87.
4. Ltr, 18 Mar 87, Scott E. Thomas (Chairman, FEC) to Jean Dawson, w/encl (GC's Legal and Factual Analysis, Procedures and Designation of Counsel Statement).
5. Ltr, 26 Mar 87, JJean Dawson (Treas, Friends of Jim Dawson) to Noriega E. James (Report Analyst, RAD), w/encl (30 Day Post General Election Report for Friends of Jim Dawson).
6. General Counsel's Report, dtd 10 Apr 87.
7. Certification of Commission Action, 15 Apr 87.
8. Ltr, 17 Apr 87, Lawrence M. Noble (Acting General Counsel) to Jean Dawson.
9. Memo, 21 Apr 87, RAD to OGC, Subj: Proposed RFAI to Jean Dawson.
10. Ltr, 11 May 87, Jim & Jean Dawson to FEC.
11. Ltr, 10 Jun 87, Timothy J. Newlin to FEC.
12. G.C. Report, 15 Jun 87.
13. Certification of Commission Action, 17 Jun 87.
14. Ltr, 19 Jun 87, L.M. Noble to Timothy J. Newlin, w/encl (Executed Conciliation Agreement).

-END-

NOTE: In preparing its file for the public record, O.G.C. routinely removes those documents in which it perceives little or no public interest, and those documents, or portions thereof, which are exempt from disclosure under the Freedom of Information Act.

87040654998



FEDERAL ELECTION COMMISSION  
WASHINGTON, D.C. 20463

11 February 1987

MEMORANDUM

TO: CHARLES N. STEELE  
GENERAL COUNSEL

THROUGH: JOHN C. SUBINA  
STAFF DIRECTOR

FROM: JOHN D. GIBSON  
ASSISTANT STAFF DIRECTOR  
REPORTS ANALYSIS DIVISION

SUBJECT: REFERRAL OF FRIENDS OF JIM DAWSON

This is a referral of the Friends of Jim Dawson committee (the "Committee"). The Committee failed to file the 1986 12 Day Pre-General Report of Receipts and Disbursements as of Election Day, November 4, 1986. According to the Reports Analysis Division Review and Referral Procedures for Authorized Committees (Standard 1), further examination is required by your office.

Please note that this Committee was not required to file reports until the candidate attained candidate status as defined in 2 U.S.C. §431(2). The 1986 October Quarterly Report, filed on October 20, 1986, disclosed that "candidate status" had been reached during that reporting period. The report was not indexed in the Commission data base until October 24, 1986. The Committee was not sent a mailgram on October 24, 1986 for failure to file the 1986 12 Day Pre-General Report, and subsequently, as directed by 2 U.S.C. §437g(b), the Committee's name was not published.

The candidate lost the general election with thirty-seven percent (37%) of the vote.

If you have any questions, please contact Noriega E. James at 376-2480.

Attachment

87040654999

REPORTS ANALYSIS REFERRAL  
TO  
OFFICE OF GENERAL COUNSEL

DATE: 11 February 1987

ANALYST: Noriega E. James

I. COMMITTEE: Friends of Jim Dawson (C00207662)  
Jean Dawson, Treasurer  
525 State  
Pekin, IL 61554

II. RELEVANT STATUTE: 2 U.S.C. §434(a)(2)(A)(i)  
11 CFR 104.5(a)(1)(i)(A)

III. BACKGROUND:

Failure to File a Required Report as of Election Day

The Friends of Jim Dawson committee (the "Committee") failed to file the 1986 12 Day Pre-General Report of Receipts and Disbursements as of Election Day, November 4, 1986. Prior Notice was sent on September 29, 1986, informing the Committee that the 12 Day Pre-General Report was due on October 23, 1986 (Attachment 2). A Non-Filer Notice was sent to the Committee on January 14, 1987, regarding the failure to file the 12 Day Pre-General Report (Attachment 4).

On January 8, 1987, a Reports Analysis Division analyst attempted to telephone the candidate, Mr. Jim Dawson. There was no answer at the candidate's home telephone number (Attachment 3).

On January 14, 1987, after the analyst left a message on Mr. Dawson's answering machine, Mr. Dawson telephoned the analyst. Mr. Dawson informed the analyst that the missing report had been mailed the day before. He also stated that one reason the report was not filed earlier was that his Committee was waiting for an answer to a request for an advisory opinion (see ACR 1987-1) (Attachment 5).

The Committee filed a 30 Day Post-General Report on January 20, 1987, which contained coverage dates that included the reporting period of the 12 Day Pre-General Report (Attachment 6).

IV. OTHER PENDING MATTERS INITIATED BY RAD:

None.

3704055000

8 7 0 4 0 6 5 5 0 0 1

FEDERAL ELECTION COMMISSION  
1985-1986  
CANDIDATE INDEX OF SUPPORTING DOCUMENTS - (E)

DATE 10FEB87

PAGE 1

CANDIDATE/COMMITTEE/DOCUMENT	OFFICE SOUGHT/	PARTY	RECEIPTS		DISBURSEMENTS		COVERAGE DATES	# OF PAGES	MICROFILM LOCATION
			PRIMARY	GENERAL	PRIMARY	GENERAL			
DANSON, JAMES			HOUSE 18 DEMOCRATIC PARTY		ILLINOIS		1986 ELECTION ID# H61L18062		
1. STATEMENT OF CANDIDATE									
1986 STATEMENT OF CANDIDATE						6JUN86		1	86HSE/305/1274
2. PRINCIPAL CAMPAIGN COMMITTEE									
FRIENDS OF JIM DANSON						ID# C00207662	HOUSE		
1986 STATEMENT OF ORGANIZATION						6JUN86		1	86HSE/305/1275
JULY QUARTERLY			2,175		415	30JUN86		5	86HSE/306/4350
OCTOBER QUARTERLY			8,000		5,063	30SEP86		6	86HSE/320/2909
OCTOBER QUARTERLY - AMENDMENT			-		-	1JUL86 - 30SEP86		1	86HSE/328/0953
1'ST LETTER INFORMATIONAL NOTICE						1JUL86 - 30SEP86		2	86FEC/444/1655
NOTICE OF FAILURE TO FILE						10CT86 - 15OCT86		1	87FEC/454/1702
POST-GENERAL			3,426		6,471	10CT86 - 24NOV86		5	87HSE/329/2096
NOTICE OF FAILURE TO FILE						16OCT86 - 24NOV86		1	86FEC/451/3041
TOTAL			0	13,601	0	11,949		22	TOTAL PAGES
3. AUTHORIZED COMMITTEES									
4. JOINT FUNDRAISING COMMITTEES AUTHORIZED BY THE CAMPAIGN									

All reports above have been reviewed.  
Outstanding debts as of 11/24/86: \$2,000  
Ending cash-on-hand as of 11/24/86: (\$756.16)

Attachment 1

# REPORT NOTICE

## FEDERAL ELECTION COMMISSION

CANDIDATE COMMITTEES

September 29, 1986

**WHO MUST FILE**

The principal campaign committees authorized by candidates<sup>1/</sup> who are seeking election in the 1986 general elections must file the pre-election report due October 23, 1986, and the post-election report due December 4, 1986.

**WHAT MUST BE REPORTED**

The pre-election report must disclose all financial activity that occurred from the close of books for the last report through October 15, 1986. (Political committees that are filing a report for the first time should report all financial activity through October 15, 1986.)<sup>2/</sup> The post-election report must cover all financial activity of the campaign from October 16 through November 24, 1986. (See the chart on the reverse side.) NOTE: In addition, if the campaign has more than one committee, the principal campaign committee must also file a consolidated report, (FEC Form 32). For details, see page 14 of the Campaign Guide For Congressional Candidates and Committees or 11 CFR 104.3(f).

**WHEN TO FILE**

Pre-election reports sent by registered or certified mail must be postmarked no later than midnight October 20, 1986. Reports hand delivered or mailed first class must be received no later than close of business October 23, 1986.

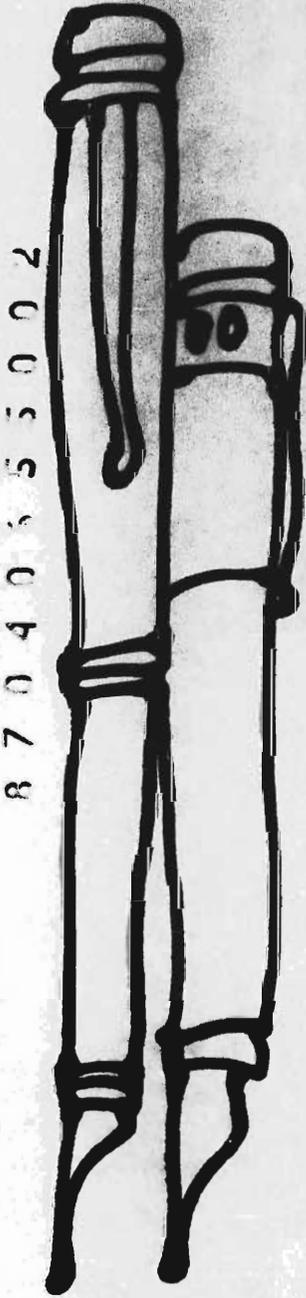
Post-election reports sent by registered or certified mail must be postmarked no later than midnight December 4, 1986. Reports hand delivered or mailed first class must be received no later than close of business December 4, 1986.

**WHERE AND HOW TO FILE**

Committees should consult the instructions on the enclosed FEC Form 3, for details.

<sup>1/</sup>Individuals should refer to the enclosed brochure for the definition of the term "candidate," and the registration requirements. If you determine that you meet the definition of candidate, your principal campaign committee should comply with the above reporting requirements. Appropriate forms are enclosed.

<sup>2/</sup>The first report filed by a committee shall include all amounts received or disbursed prior to reaching the threshold for candidate registration. See 11 CFR 101.3; 104.3(a) and (b). Activity which occurred prior to 1986, however, should be disclosed separately.



**REPORTS DUE DURING OCTOBER, DECEMBER AND JANUARY**

<u>Name of Report</u>	<u>Period Covered</u>	<u>Reg/Cert. Mailing Date</u>	<u>Filing Date</u>
Oct. Quarterly	07/01* - 09/30/86	10/15/86	10/15/86
Pre-General	10/01 - 10/15/86	10/20/86	10/23/86
Post-General	10/16 - 11/24/86	12/04/86	12/04/86
Year-End	11/25 - 12/31/86	01/31/87	01/31/87

**NOTE: Last Minute Contributions**

Last minute contributions of \$1,000 or more received, by authorized committees, between two and 20 days before the general election, must be reported within 48 hours of their receipt. (See 11 CFR 104.5(f)).

**COMPLIANCE**

Political committees are fully liable for failure to file any report required under the Act. Failure to file in a timely fashion is a serious violation. Offending committees are subject to enforcement action. Committees must also submit legible reports which can be clearly reproduced. Illegible reports which can not be clearly reproduced and reports submitted on non-FEC forms will not be accepted, and committees filing such documents will be required to refile.

\*Committees should use this date, the closing date of the last report filed, or the date of candidate status, whichever is later. See also footnote 2 on page 1.

**FOR INFORMATION CALL: Information Services Division  
800/424-9530 or 202/376-3120**

87040555003

**MEMORANDUM FOR FILES: TELECON**

**SUBJECT: Failure to file the 12 Day Pre-General and 30 Day Post-General Reports**

**FROM: Noriega E. James, RAD Analyst**

**TO: Jim Dawson, Candidate**

**NAME OF COMMITTEE: Friends of Jim Dawson**

**DATE: January 8, 1987**

---

On January 8, 1987, I attempted to contact Mr. Jim Dawson by using a telephone number I obtained from directory assistance ((309) 346-7714). I did not receive an answer.

87040655004

1



FEDERAL ELECTION COMMISSION  
WASHINGTON, DC 20543

EQ-7

MM 1 4 1987

John Dawson, Treasurer  
Friends of Jim Dawson  
813 State  
Pekin, IL 61554

Identification Number: C00207662

Reference: 12 Day Pre-General Report (10/1/86-10/15/86)

Dear Mr. Dawson:

It has come to the attention of the Federal Election Commission that you may have failed to file the above referenced Report of Receipts and Disbursements as required by the Federal Election Campaign Act. You were previously notified of the due date for this report.

It is important that you file this report immediately with the Clerk of the House, Office of Records and Registration, 1036 Longworth House Office Building, Washington, DC 20515, or the Secretary of the Senate, 232 Hart Senate Office Building, Washington, DC 20510, as appropriate. A copy of the report should also be filed with the Secretary of State or equivalent state officer of your state.

The failure to file this report may result in an audit or legal enforcement action.

If you have any questions regarding this matter, please contact Noriega E. James on our toll-free number (800) 424-9530. Our local number is (202) 376-2480.

Sincerely,

John D. Gibson  
Assistant Staff Director  
Reports Analysis Division

1703451702

1

**MEMORANDUM FOR FILES: TELECON**

**SUBJECT:** Failure to file the 12 Day Pre-General and 30 Day Post-General Reports

**FROM:** Noriega E. James, RAD Analyst

**TO:** Jim Dawson, Candidate

**NAME OF COMMITTEE:** Friends of Jim Dawson

**DATE:** January 14, 1987

---

On January 14, 1987, I attempted to telephone Mr. Dawson. The telephone was answered by an automatic answering machine. I left a message asking Mr. Dawson to telephone me.

On January 14, 1987, I received a telephone call from Mr. Jim Dawson. He stated that my call to him was probably in regards to the reports his committee had failed to file. He stated that the missing report, "was mailed the day before". I told him that there were two (2) reports not filed (12 Day Pre-General Report and the 30 Day Post-General Report). He stated that the report the Commission would receive covers the two reporting periods. He also stated that one reason the report was not filed earlier was that his committee was waiting for an answer to a request for an advisory opinion.

87040655006

/

Summary Page

1. Name of Committee (do not use "Friends of" or "Supporters of")  
Friends of Jim Down  
 Address Number and Street  
525 State  
 City, State and Zip Code  
Altoona, PA 15557

2. FEC Identification Number  
120611  
 YES  NO

Check if address is different than previously reported

RECEIVED  
 FEB 20 11 12 AM '08  
 FEDERAL ELECTION COMMISSION

3. TYPE OF REPORT

- April 15 Quarterly Report  Twelfth day report preceding \_\_\_\_\_ (1 year of Election)
- July 15 Quarterly Report  Twelfth day report following the General Election on \_\_\_\_\_ at the State of \_\_\_\_\_
- October 15 Quarterly Report  Thirtieth day report following the General Election on \_\_\_\_\_ at the State of \_\_\_\_\_
- January 31 Year End Report  Termination Report
- July 31 Mid Year Report (Non election Year Only)

This report contains activity for -  Primary Election  General Election  Special Election  Runoff Election

SUMMARY		COLUMN A This Period	COLUMN B Calendar Year to Date
4. Covering Period	<u>October 1, 1987 through November 27, 1987</u>		
5. Net Contributions (other than loans)			
(a) Total Contributions (other than loans) (From Line 11 (a))		3426.50	
(b) Total Contribution Refunds (From Line 20 (a))		0	0
(c) Net Contributions (other than loans) (subtract Line 5 (b) from 5 (a))		3426.50	
6. Net Operating Expenditures			
(a) Total Operating Expenditures (From Line 17)		6471.11	
(b) Total Offsets to Operating Expenditures (From Line 14)		0	0
(c) Net Operating Expenditures (subtract Line 6 (b) from 6 (a))		6471.11	
7. Cash on Hand at Close of Reporting Period (From Line 27)		Deficit: 756.16	
8. Debts and Obligations Owed TO The Committee (From (a) on Schedule C or Schedule D)		0	
9. Debts and Obligations Owed BY The Committee (From (a) on Schedule C or Schedule D)			

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

For further information, contact:  
 Federal Election Commission  
 435 E Street, N.W.  
 Washington, D.C. 20540  
 Toll Free 800-426-6800  
 Local 202-576-6100

JEAN DAWSON  
 Title or Print Name of Treasurer

Jean Dawson  
 Signature of Treasurer

LS-87  
 Date

NOTE: Submission of false erroneous or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. 5417c

All previous versions of FEC FORM 3 and FEC FORM 3a are obsolete and should no longer be used.

FEC FORM 3 '80

1

FEDERAL ELECTION COMMISSION  
999 E Street, N.W.  
Washington, D.C. 20463

FIRST GENERAL COUNSEL'S REPORT

RAD Referral 87NF 42  
Staff: Jonathan Levin

SOURCE OF MUR: INTERNALLY GENERATED  
RESPONDENTS NAMES: Friends of Jim Dawson  
Jean Dawson, as treasurer  
RELEVANT STATUTE: 2 U.S.C. § 434(a)(2)(A)(i)  
INTERNAL REPORTS CHECKS: Public Records  
FEDERAL AGENCIES CHECKED: None

GENERATION OF MATTER

The Report Analysis Division ("RAD") referred Friends of Jim Dawson ("the Committee") to the Office of the General Counsel on February 11, 1987, for the failure to file the 1986 12 Day Pre-General Report in a timely manner.

FACTUAL AND LEGAL ANALYSIS

On September 29, 1986, a notice was sent informing the Committee that the 1986 12 Day Pre-General Report was due on October 23, 1986. On January 14, 1987, RAD sent a letter to the Committee noting the failure to file the Pre-General Report and informing the Committee that the "failure to file this report may result in an audit or legal enforcement action." On January 20, 1987, the Clerk of the House of Representatives received a 30 Day Post-General Report which covered the reporting period for the 12 Day Pre-General Report as well as the 30 Day Post-General Report.

RECEIVED  
27 MAR 1987  
A 9:31  
FEDERAL ELECTION COMMISSION

87040655008

According to 2 U.S.C. § 434(a)(2)(A)(i), during an election year, a political committee that is a principal campaign committee of a candidate for the House of Representatives is required to file a pre-election report, which shall be filed no later than the 12th day before (or posted by registered or certified mail no later than the 15th day before) any election in which such candidate is seeking election, or nomination for election, and which shall be complete as of the 20th day before such election.

It appears that the Committee did not file the 1986 12 Day Pre-General Report until 89 days after October 23. Because of the apparent failure to file the 12 Day Pre-General Report in a timely manner, this Office recommends that the Commission open a Matter Under Review and find reason to believe that the Committee and Jean Dawson, as treasurer, violated 2 U.S.C.

§ 434(a)(2)(A)(i).<sup>2/</sup>

<sup>2/</sup> On January 14, 1987, in a telephone conversation between the candidate and a RAD analyst, the candidate stated that the report had been mailed "the day before." The candidate maintained that one reason the report was not filed earlier was that the Committee was awaiting the Commission's response to a request for an advisory opinion.

The Committee sent a request to the Commission for an advisory opinion on December 4, 1986, and sent a clarification of this request on January 12, 1987. The request pertained to a plan to have the Committee pay \$7,000 to the candidate for lost wages and to hold a fundraiser in order to retire the Committee's debt and to compensate the candidate. It appears, therefore, that this request was filed well after the due date for the 12 Day Pre-General Report and that the request was unrelated to the ability of the Committee to file the report earlier than mid-January.

8704065509

**RECOMMENDATIONS**

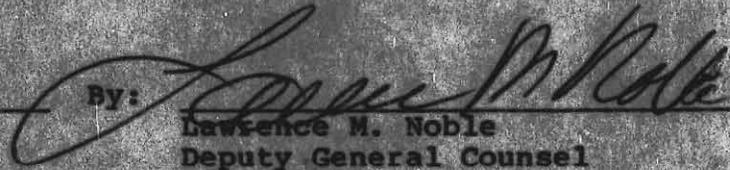
1. Open a Matter Under Review.
2. Find reason to believe that Friends of Jim Dawson and Jean Dawson, as treasurer, violated 2 U.S.C. § 434(a)(2)(A)(i).
3. Approve the attached letter and General Counsel's Factual and Legal Analysis.

Charles N. Steele  
General Counsel

Date

3/9/87

By:



Lawrence M. Noble  
Deputy General Counsel

**Attachments**

1. RAD Referral
2. Proposed letter and analysis

87040655010

Attachment(s) 1, 2  
to First General Counsel's Report  
have been removed from this  
position in Public Record File.  
See Index Item(s) 1, 4

87040555011

**FEDERAL ELECTION COMMISSION  
GENERAL COUNSEL'S FACTUAL AND LEGAL ANALYSIS**

**RESPONDENTS:** Friends of Jim Dawson                      MUR NO.  
Jean Dawson, as treasurer

**SUMMARY OF ALLEGATIONS**

Friends of Jim Dawson ("the Committee") and Jean Dawson, as treasurer, failed to file the 1986 12 Day Pre-General Report in a timely manner in violation of 2 U.S.C. § 434(a)(2)(A)(i).

**FACTUAL BASIS AND LEGAL ANALYSIS**

On September 29, 1986, a notice was sent informing the Committee that the 1986 12 Day Pre-General Report was due on October 23, 1986. On January 14, 1987, the Reports Analysis Division ("RAD") sent a letter to the Committee noting the failure to file the Pre-General Report and informing the Committee that the "failure to file this report may result in an audit or legal enforcement action." On January 20, 1987, the Clerk of the House of Representatives received a 30 Day Post-General Report which covered the reporting period for the 12 Day Pre-General Report as well as the 30 Day Post-General Report.

According to 2 U.S.C. § 434(a)(2)(A)(i), during an election year, a political committee that is a principal campaign committee of a candidate for the House of Representatives is required to file a pre-election report, which shall be filed no later than the 12th day before (or posted by registered or certified mail no later than the 15th day before) any election in which such candidate is seeking election, or nomination for election, and which shall be complete as of the 20th day before such election.

2 - p. 3 of 4

87040655012

It appears that the Committee did not file the 1986 12 Day Pre-General Report until 89 days after October 23. Because of the apparent failure to file the 12 Day Pre-General Report in a timely manner, this Office recommends that the Commission open a Matter Under Review and find reason to believe that the Committee and Jean Dawson, as treasurer, violated 2 U.S.C. § 434(a)(2)(A)(1).<sup>\*/</sup>

<sup>\*/</sup> On January 14, 1987, in a telephone conversation between the candidate and a RAD analyst, the candidate stated that the report had been mailed "the day before." The candidate maintained that one reason the report was not filed earlier was that the Committee was awaiting the Commission's response to a request for an advisory opinion.

The Committee sent a request to the Commission for an advisory opinion on December 4, 1986, and sent a clarification of this request on January 12, 1987. The request pertained to a plan to have the Committee pay \$7,000 to the candidate for lost wages and to hold a fundraiser in order to retire the Committee's debt and to compensate the candidate. It appears, therefore, that this request was filed well after the due date for the 12 Day Pre-General Report and that the request was unrelated to the ability of the Committee to file the report earlier than mid-January.

87040555013

2 - p. 4 of 4

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of )  
Friends of Jim Dawson )  
Jean Dawson, as treasurer )

RAD Ref. 87NF-42

(MUR 2367)

CERTIFICATION

I, Marjorie W. Emmons, Secretary of the Federal Election Commission, do hereby certify that on March 12, 1987, the Commission decided by a vote of 6-0 to take the following actions in RAD Ref. 87NF-42:

1. Open a Matter Under Review.
2. Find reason to believe that Friends of Jim Dawson and Jean Dawson, as treasurer, violated 2 U.S.C. § 434(a)(2)(A)(i).
3. Approve the letter and General Counsel's Factual and Legal Analysis, as recommended in the First General Counsel's Report signed March 9, 1987.

Commissioners Aikens, Elliott, Josefiak, McDonald, McGarry, and Thomas voted affirmatively for the decision.

Attest:

*Marjorie W. Emmons*

Marjorie W. Emmons  
Secretary of the Commission

3-12-87

Date

87040655014



FEDERAL ELECTION COMMISSION  
WASHINGTON, D.C. 20463

March 18, 1987

Jean Dawson, Treasurer  
Friends of Jim Dawson  
525 State Street  
Pekin, Illinois 61554

Re: MUR 2367  
Friends of Jim Dawson  
Jean Dawson, as treasurer

Dear Ms. Dawson:

On March 12, 1987, the Federal Election Commission determined that there is reason to believe Friends of Jim Dawson ("the Committee") and you, as treasurer, violated 2 U.S.C. § 434(a)(2)(A)(i), a provision of the Federal Election Campaign Act of 1971, as amended ("the Act"). The General Counsel's Factual and Legal Analysis, which formed a basis for the Commission's finding, is attached for your information.

Under the Act, you have an opportunity to demonstrate that no action should be taken against the Committee and you, as treasurer. You may submit any factual or legal materials which you believe are relevant to the Commission's consideration of this matter. Please submit any such materials within fifteen days of your receipt of this letter.

In the absence of any additional information which demonstrates that no further action should be taken against the Committee and you, as treasurer, the Commission may find probable cause to believe that a violation has occurred and proceed with conciliation.

If you are interested in pursuing pre-probable cause conciliation, you should so request in writing. See 11 C.F.R. § 111.18(d). Upon receipt of the request, the Office of the General Counsel will make recommendations to the Commission either proposing an agreement in settlement of the matter or recommending declining that pre-probable cause conciliation be pursued. The Office of the General Counsel may recommend that pre-probable cause conciliation not be entered into at this time so that it may complete its investigation of the matter. Further, requests for pre-probable cause conciliation will not be entertained after briefs on probable cause have been mailed to the respondent.

87040555013

Letter to Jean Dawson  
Page 2

Requests for extensions of time will not be routinely granted. Requests must be made in writing at least five days prior to the due date of the response and specific good cause must be demonstrated. In addition, the Office of the General Counsel is not authorized to give extensions beyond 20 days.

If you intend to be represented by counsel in this matter, please advise the Commission by completing the enclosed form stating the name, address, and telephone number of such counsel, and authorizing such counsel to receive any notifications and other communications from the Commission.

The investigation now being conducted will be confidential in accordance with 2 U.S.C. §§ 437g(a)(4)(B) and 437g(a)(12)(A), unless you notify the Commission in writing that you wish the investigation to be made public.

For your information, we have attached a brief description of the Commission's procedures for handling possible violations of the Act. If you have any questions, please contact Jonathan Levin, the attorney assigned to this matter, at (202) 376-5690.

Sincerely,



Scott E. Thomas  
Chairman

Enclosures

General Counsel's Factual and Legal Analysis  
Procedures  
Designation of Counsel Statement

87040555016

REC'D  
OFFICE  
GENERAL

RECEIVED AT THE FEC

QCC 2968  
87 MAR 26 AM: 32

MMR 2367

87 MAR 26 AM: 35

March 23, 1987

Federal Election Commission  
c/o Noriega E. James  
Reports Analyst  
Reports Analysis Division  
Washington, D.C. 20463

Re: Friends of Jim Dawson Report

Dear Noriega:

Enclosed please find amendment to the original 30 Day Post-General Report, 10/1/86 - 11/24/86, filed by Friends of Jim Dawson. I hope that this answers all of your questions. If it does not, in the alternative, I am requesting pre-probable cause conciliation in order that we can reach an agreement in settlement of the matter.

I am not aware of any factual or legal materials which I would need to submit in response to the March 12, 1987 letter from Scott E. Thomas, Chairman of the Federal Election Commission, other than the amendment to the report enclosed herein.

Please give us an extension of time, if necessary.

I will be filing an amended January 31 Year End Report as soon as I receive the forms from you.

Thank you very much.

Sincerely,

Jean Dawson  
Treasurer  
Friends of Jim Dawson

Enclosure

cc: Scott E. Thomas, Chairman of Fed. Elec. Comm.  
Jonathon Levin, Fed. Elec. Comm.

87040655017

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REPORTS OF RECEIPTS AND DISBURSEMENTS  
For Authorized Committee

RECEIVED AT THE FEC

(Summary Page)

87 MAR 26 A 6: 28

ALIGN AREA		ALIGN AREA
1. Name of Committee (in Full) <i>Friends of Jim Dawson</i>		2. FEC Identification Number <i>120651</i>
Address (Number and Street) <i>525 State</i>		3. Is this Report an Amendment? <input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
City, State and Zip Code <i>Pekin, IL 61554</i>		<input type="checkbox"/> Check if address is different than previously reported.

TYPE OF REPORT

April 15 Quarterly Report       Twelfth day report preceding \_\_\_\_\_ (Type of Election) election on \_\_\_\_\_ in the State of \_\_\_\_\_  
 July 15 Quarterly Report  
 October 15 Quarterly Report       Thirtieth day report following the General Election on *November 4, 1986* in the State of *Illinois*  
 January 31 Year End Report  
 July 31 Mid Year Report (Non-election Year Only)       Termination Report

This report contains activity for -  Primary Election  General Election  Special Election  Runoff Election

SUMMARY		COLUMN A This Period	COLUMN B Calendar Year-to-Date
5. Covering Period	<i>October 1, 1986 through November 24, 1986</i>		
6. Net Contributions (other than loans)			
(a) Total Contributions (other than loans) (From Line 11 (e))		<i>2033</i>	<i>10,208.00</i>
(b) Total Contribution Refunds (from Line 20 (d))		<i>0</i>	<i>0</i>
(c) Net Contributions (other than loans) (subtract Line 6 (b) from 6 (a))		<i>2033</i>	<i>10,208.00</i>
7. Net Operating Expenditures			
(a) Total Operating Expenditures (from Line 17)		<i>6471.11</i>	<i>11,949.57</i>
(b) Total Offsets to Operating Expenditures (from Line 14)		<i>0</i>	<i>0</i>
(c) Net Operating Expenditures (Subtract Line 7 (b) from 7 (a))		<i>6471.11</i>	<i>11,949.57</i>
8. Cash on Hand at Close of Reporting Period (from Line 27)		<i>258.43</i>	
9. Debts and Obligations Owed TO The Committee (Itemize all on Schedule C or Schedule D)		<i>0</i>	
10. Debts and Obligations Owed BY The Committee (Itemize all on Schedule C or Schedule D)		<i>2000.00</i>	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

For further information, contact:  
Federal Election Commission  
Toll Free 800-424-9530  
Local 202-523-4068

JEAN DAWSON  
Type or Print Name of Treasurer

*JEAN DAWSON*  
SIGNATURE OF TREASURER

*3-24-87*  
Date

NOTE: Submission of false erroneous or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

All previous versions of FEC FORM 3 and FEC FORM 3a are obsolete and should no longer be used.

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FEC FORM 3 (3/80)

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**DETAILED SUMMARY PAGE  
of Receipts and Disbursements  
(Page 2, FEC FORM 3)**

Name of Committee (in Full)

Report Covering the Period:

From:

To:

**I. RECEIPTS**

**11. CONTRIBUTIONS (other than loans) FROM:**

- (a) Individuals/Persons Other Than Political Committees 400  
(Memo Entry Unitemized \$ 713)
- (b) Political Party Committees
- (c) Other Political Committees
- (d) The Candidate
- (e) **TOTAL CONTRIBUTIONS (other than loans (add 11(a), 11(b), 11(c) and 11(d)).**

**12. TRANSFERS FROM OTHER AUTHORIZED COMMITTEES**

**13. LOANS:**

- (a) Made or Guaranteed by the Candidate
- (b) All Other Loans
- (c) **TOTAL LOANS (add 13 (a) and 13 (b)).**

**14. OFFSETS TO OPERATING EXPENDITURES (Refunds, Rebates, etc.)**

**15. OTHER RECEIPTS (Dividends, Interest, etc.)**

**16. TOTAL RECEIPTS (add 11 (a), 12, 13 (c), 14 and 15)**

**II. DISBURSEMENTS**

**17. OPERATING EXPENDITURES**

**18. TRANSFERS TO OTHER AUTHORIZED COMMITTEES**

**19. LOAN REPAYMENTS:**

- (a) Of Loans Made or Guaranteed by the Candidate
- (b) Of All Other Loans
- (c) **TOTAL LOAN REPAYMENTS (add 19 (a) and 19 (b)).**

**20. REFUNDS OF CONTRIBUTIONS TO:**

- (a) Individuals/Persons Other Than Political Committees
- (b) Political Party Committees
- (c) Other Political Committees
- (d) **TOTAL CONTRIBUTION REFUNDS (add 20 (a), 20 (b), and 20 (c))**

**21. OTHER DISBURSEMENTS**

**22. TOTAL DISBURSEMENTS (add 17, 18, 19 (c), 20 (d) and 21)**

**III. CASH SUMMARY**

**23. CASH ON HAND AT BEGINNING OF REPORTING PERIOD**

**24. TOTAL RECEIPTS THIS PERIOD (From Line 16)**

**25. SUBTOTAL (Add Line 23 and Line 24)**

**26. TOTAL DISBURSEMENTS THIS PERIOD (From Line 22)**

**27. CASH ON HAND AT CLOSE OF THE REPORTING PERIOD (Subtract Line 26 from 25)**

	<b>COLUMN A Total This Period</b>	<b>COLUMN B Calendar Year-to-Date</b>	
	<u>1123</u>	<u>2358</u>	11 (a)
	<u>0</u>	<u>0</u>	11 (b)
	<u>850</u>	<u>7850</u>	11 (c)
	<u>0</u>	<u>0</u>	11 (d)
	<u>2033</u>	<u>10208</u>	11 (e)
	<u>0</u>	<u>0</u>	12
	<u>0</u>	<u>2000</u>	13 (a)
	<u>0</u>	<u>0</u>	13 (b)
	<u>0</u>	<u>2000</u>	13 (c)
	<u>0</u>	<u>0</u>	14
	<u>0</u>	<u>0</u>	15
	<u>2033</u>	<u>12208</u>	16
	<u>6471.11</u>	<u>11949.57</u>	17
	<u>0</u>	<u>0</u>	18
	<u>0</u>	<u>0</u>	19 (a)
	<u>0</u>	<u>0</u>	19 (b)
	<u>0</u>	<u>0</u>	19 (c)
	<u>0</u>	<u>0</u>	20 (a)
	<u>0</u>	<u>0</u>	20 (b)
	<u>0</u>	<u>0</u>	20 (c)
	<u>0</u>	<u>0</u>	20 (d)
	<u>0</u>	<u>0</u>	21
	<u>6471.11</u>	<u>11949.57</u>	22

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SCHEDULE A

ITEMIZED RECEIPTS

Page        of        for  
 LINE NUMBER         
 (Use separate schedule(s) for each  
 category of the Detailed  
 Summary Page)

Any information copied from such Reports or Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

Name of Committee (In Full)			
Friends of Jim Bowen			
A. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
C.O.P.E. AFL-CIO 815 16th N.W. Washington, D.C. 20006		10/19/86	\$850.00
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	Aggregate Year-to-Date—\$	
B. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Ford Roiser 2901 Hill 2120 S.W. Adams Aurora, IL 60605		10/10/86	\$783.60
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	Aggregate Year-to-Date—\$	
C. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
William Knappel 124 West Market Houma, IL 62644		10/24/86	\$400.60
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	Aggregate Year-to-Date—\$	
D. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	Aggregate Year-to-Date—\$	
E. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	Aggregate Year-to-Date—\$	
F. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	Aggregate Year-to-Date—\$	
G. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	Aggregate Year-to-Date—\$	
SUBTOTAL of Receipts This Page (optional)			
TOTAL This Period (last page this line number only)			2033

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SCHEDULE B

ITEMIZED DISBURSEMENTS

Page \_\_\_\_ of \_\_\_\_ for  
 LINE NUMBER \_\_\_\_  
 (Use separate schedule(s) for each  
 category of the Detailed  
 Summary Page)

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

Name of Committee (in Full)  
*Friends of Jim Dawson*

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
<i>WGLD Radio Pekin, IL 61554</i>	<i>Publicity - Advertising</i> Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify):	<i>10/6/86</i>	<i>394.50</i>
<i>WIRL Radio 3335 W. Glen Street Peoria, IL 61614</i>	<i>Publicity - Advertising</i> Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify):	<i>10/6/86</i>	<i>380.80</i>
<i>WMBO Radio 2131 N. University Peoria, IL 61604</i>	<i>Publicity - Advertising</i> Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify):	<i>10/6/86</i>	<i>749.70</i>
<i>Food for OAU Hall 2120 S.W. Adams Peoria, IL 61605</i>	<i>Show Appreciation To Supporters</i> Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify):	<i>10/14/86</i>	<i>325.00</i>
<i>Dick Blick Co.</i>	<i>Sign Paint</i> Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify):	<i>10/15/86</i>	<i>233.49</i>
<i>WYUJ T.V. 500 N. Stewart Coeur d'Alene, ID.</i>	<i>Publicity - Advertising</i> Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify):	<i>10/29/86</i>	<i>260.00</i>
<i>WMBO TV 3131 N. University Peoria, IL 61604</i>	<i>Publicity - Advertising</i> Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify):	<i>10/29/86</i>	<i>1000.00</i>
<i>Illinois Bell Telephone Co. Peoria, IL.</i>	<i>Canvassing for Support</i> Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify):	<i>10/24/86</i>	<i>266.38</i>
I. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement  Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period

SUBTOTAL of Disbursements This Page (optional) .....

TOTAL This Period (last page this line number only) ..... *3609.87*

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**SCHEDULE C**  
(Revised 3/80)

**LOANS**

Page \_\_\_\_ of \_\_\_\_ for  
LINE NUMBER \_\_\_\_  
(Use separate schedules  
for each numbered line)

Name of Committee (in Full)				
A. Full Name, Mailing Address and ZIP Code of Loan Source		Original Amount of Loan	Cumulative Payment To Date	Balance Outstanding at Close of This Period
James Dawson 525 State Pekin, IL 61554 Election: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify):		\$1000.	0	\$1000.
Terms: Date Incurred <u>5/24/86</u> Date Due _____ Interest Rate <u>0</u> %(apr) <input type="checkbox"/> Secured				
List All Endorsers or Guarantors (if any) to Item A				
1. Full Name, Mailing Address and ZIP Code		Name of Employer		
		Occupation		
		Amount Guaranteed Outstanding: \$		
2. Full Name, Mailing Address and ZIP Code		Name of Employer		
		Occupation		
		Amount Guaranteed Outstanding: \$		
3. Full Name, Mailing Address and ZIP Code		Name of Employer		
		Occupation		
		Amount Guaranteed Outstanding: \$		
B. Full Name, Mailing Address and ZIP Code of Loan Source		Original Amount of Loan	Cumulative Payment To Date	Balance Outstanding at Close of This Period
James Dawson 525 State Pekin, IL 61554 Election: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify):		\$1000.	0	\$1000.
Terms: Date Incurred <u>7/30/86</u> Date Due _____ Interest Rate <u>0</u> %(apr) <input type="checkbox"/> Secured				
List All Endorsers or Guarantors (if any) to Item B				
1. Full Name, Mailing Address and ZIP Code		Name of Employer		
		Occupation		
		Amount Guaranteed Outstanding: \$		
2. Full Name, Mailing Address and ZIP Code		Name of Employer		
		Occupation		
		Amount Guaranteed Outstanding: \$		
3. Full Name, Mailing Address and ZIP Code		Name of Employer		
		Occupation		
		Amount Guaranteed Outstanding: \$		
SUBTOTALS This Period This Page (optional) .....				
TOTALS This Period (last page in this line only) .....				\$2000
Carry outstanding balances only to LINE 3, Schedule D, for this line. If no Schedule D, carry forward to appropriate line of Summary.				

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**SENSITIVE**

68:010 P3:39

REC-11  
FEB 11 1987

In the Matter of )  
Friends of Jim Dawson ) MUR 2367  
Jean Dawson, as treasurer )

**GENERAL COUNSEL'S REPORT**

**I. BACKGROUND**

On March 12, 1987, the Commission found reason to believe that Friends of Jim Dawson ("the Committee") and Jean Dawson, as treasurer, violated 2 U.S.C. § 434(a)(2)(A)(i) by failing to file the 1986 12 Day Pre-General Report in a timely manner. On March 26, the Commission received a request from the Committee treasurer for pre-probable cause conciliation. (See Attachment 1.)

**II. DISCUSSION OF CONCILIATION PROVISIONS AND CIVIL PENALTY**

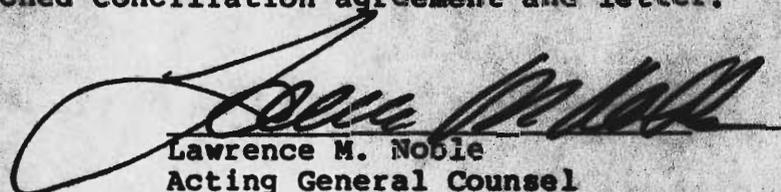
87040655023

\*/ When the Committee filed the report, it filed a combined 12 Day Pre-General and 30 Day Post-General Report. The receipt and disbursement figures in the chart are based on the receipt and disbursement figures in the report minus the receipts and disbursements itemized for dates after the coverage period of the Pre-General Report (i.e., October 1 through October 15).

**III. RECOMMENDATIONS**

1. Enter into conciliation prior to a finding of probable cause to believe with Friends of Jim Dawson and Jean Dawson, as treasurer.
2. Approve the attached conciliation agreement and letter.

Date 4/10/87

  
Lawrence M. Noble  
Acting General Counsel

**Attachments**

1. Letter from the Committee received on March 26, 1987
2. Proposed letter and conciliation agreement

87040555024

Attachment(s) 1, 2  
to General Councils Report  
have been removed from this  
position in Public Record File.  
See Index Item(s) 5, 8

87040655025

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of )  
Friends of Jim Dawson ) MUR 2367  
Jean Dawson, as treasurer )

CERTIFICATION

I, Marjorie W. Emmons, Secretary of the Federal Election Commission, do hereby certify that on April 15, 1987, the Commission decided by a vote of 6-0 to take the following actions in MUR 2367:

- 1. Enter into conciliation prior to a finding of probable cause to believe with Friends of Jim Dawson and Jean Dawson, as treasurer, as recommended in the General Counsel's Report signed April 10, 1987.
- 2. Approve the conciliation agreement and letter, as recommended in the General Counsel's Report signed April 10, 1987.

Commissioners Aikens, Elliott, Josefiak, McDonald, McGarry, and Thomas voted affirmatively for the decision.

Attest:

4-15-87  
Date

Marjorie W. Emmons  
Marjorie W. Emmons  
Secretary of the Commission

Received in the Office of Commission Secretary: Fri., 4-10-87, 3:39  
Circulated on 48 hour tally basis: Mon., 4-13-87, 11:00  
Deadline for vote: Wed., 4-15-87, 11:00

87040655026



FEDERAL ELECTION COMMISSION  
WASHINGTON, D.C. 20463

April 17, 1987

Jean Dawson, Treasurer  
Friends of Jim Dawson  
525 State Street  
Pekin, Illinois 61554

RE: MUR 2367  
Friends of Jim Dawson  
Jean Dawson, as treasurer

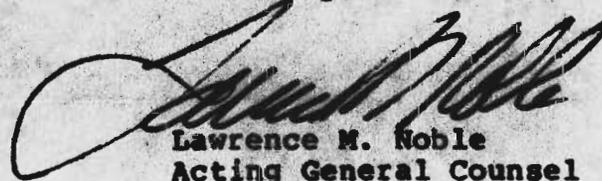
Dear Ms. Dawson:

On March 12, 1987, the Federal Election Commission found reason to believe that Friends of Jim Dawson and Jean Dawson, as treasurer, violated 2 U.S.C. § 434(a)(2)(A)(i). At your request, on April 15, 1987, the Commission determined to enter into negotiations directed towards reaching a conciliation agreement in settlement of this matter prior to a finding of probable cause to believe.

Enclosed is a conciliation agreement that the Commission has approved in settlement of this matter. If you agree with the provisions of the enclosed agreement, please sign and return it, along with the civil penalty, to the Commission. In light of the fact that conciliation negotiations prior to a finding of probable cause to believe are limited to a maximum of 30 days, you should respond to this notification as soon as possible.

If you have any questions or suggestions for changes in the agreement, or if you wish to arrange a meeting in connection with a mutually satisfactory conciliation agreement, please contact Jonathan Levin, the attorney assigned to this matter, at (202) 376-5690.

Sincerely,



Lawrence M. Noble  
Acting General Counsel

Enclosure  
Conciliation Agreement

8

87040655027



FEDERAL ELECTION COMMISSION  
WASHINGTON, D.C. 20463

April 21, 1987

MEMORANDUM

TO: LAWRENCE M. NOBLE  
ACTING GENERAL COUNSEL

ATTENTION: JONATHAN LEVIN

FROM: OSCELYN A. ANDERSON *aa*  
COMPLIANCE CLERK  
COMPLIANCE BRANCH, REPORTS ANALYSIS DIVISION

SUBJECT: MUR 2367

Please review the attached Request for Additional Information which is to be sent to Friends of Jim Dawson for the Year End Report. If no response or an inadequate response is received, a Second Notice will be sent.

Any comments which you may have must be forwarded to RAD in writing by 12:00 noon on Thursday, April 23, 1987.

If comments are not received in writing by the above date and time, the RFAI notice will be sent.

If you have any questions, please contact Oscelyn A. Anderson at 376-2490. Thank you.

COMMENTS:

Attachment

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FEDERAL ELECTION COMMISSION  
WASHINGTON, D.C. 20463

RQ-2

Jean Dawson, Treasurer  
Friends of Jim Dawson  
525 State P.O. Box 1084  
Pekin, IL 61554

Identification Number: C00207662

Reference: Year End Report (11/25/86-12/31/86)

Dear Ms. Dawson:

This letter is prompted by the Commission's preliminary review of the report(s) referenced above. The review raised questions concerning certain information contained in the report(s). An itemization follows:

-Column B figures for the Summary and Detailed Summary Pages should equal the sum of the Column B figures of your previous report and the Column A figures of this report. Please amend your report to correct the Column B discrepancies for the Summary Page of your report. Note that Column B should reflect the year-to-date totals for calendar year 1986 only.

-Please amend your report to include a Detailed Summary Page.

-Commission Regulations require the continuous reporting of all outstanding loans. This report omits the loan(s) itemized on your previous report(s). Please amend your report(s) to indicate the current status of the following loan(s): James Dawson - \$1,000 (incurred 5/26/86), and James Dawson - \$1,000 (incurred 9/30/86). (11 CFR 104.3(d) and 104.11)

An amendment to your original report(s) correcting the above problem(s) should be filed with the Clerk of the House of Representatives, 1036 Longworth House Office Building, Washington, DC 20515 within fifteen (15) days of the date of this letter. If you need assistance, please feel free to contact me on our toll-free number, (800) 424-9530. My local number is (202) 376-2480.

Sincerely,

Moriega E. James  
Reports Analyst  
Reports Analysis Division

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# DAWSON FOR CONGRESS

18TH CONGRESSIONAL DISTRICT



"A Better Way"

MAY 8 - 1987

CCC# 3342

RECEIVED AT THE FED

87 MAY 11



MAY 22 1987

MR. LEVIN,

Please be advised that Attorney Tim Newlin  
OF 800 Lehmann Building Represents "Friends of  
PEORIA, ILL 61602  
Jim Dawson.

MR. NEWLIN I AM AWARE HAS TALKED TO YOU  
ON SEVERAL OCCASIONS. PLEASE BE ADVISED I  
WANT THE COMMITTEE CLOSED OUT. WE HAVE  
NO MONEY, AND IN DEBT \$2000.00.

Jim Dawson

Jean Dawson

87 MAY 11 P 3 44

RECEIVED  
OFFICE OF THE  
GENERAL COUNSEL

87040555030

10

RECEIVED AT THE FEC  
Ccc#3567

87 JUN 10 09:16

*Tim 2/267*

LAW OFFICES  
**CASSIDY & MUELLER**

800 LEHMANN BUILDING

PEORIA, ILLINOIS 61602

(309) 676-0591

JOHN E. (JACK) CASSIDY, JR.  
DAVID B. MUELLER  
JOHN E. CASSIDY, III  
TIMOTHY J. CASSIDY  
MICHAEL T. CONROY

JOHN E. CASSIDY, SR.  
(1996-1994)

THOMAS W. KEHR  
OF COUNSEL

87 JUN 10 10:16

RECEIVED  
OFFICE OF THE  
GENERAL COUNSEL

June 4, 1987

Mr. Jonathon Levin  
Federal Election Commission  
Washington, D.C. 20463

Dear Jonathon:

Please be advised that the signature "J. Dawson" on the agreement to pay the \$350.00 fine was that of Jim Dawson, the candidate.

We apologize for any confusion.

Sincerely,

Timothy J. Newlin

TJC:jcs

87040555031

//

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of )  
Friends of Jim Dawson ) MUR 2367  
Jean Dawson, as treasurer )

**CONFIDENTIAL**  
97 JUN 15 AID: 46  
cc: [unclear]  
[unclear]

**GENERAL COUNSEL'S REPORT**

**I. BACKGROUND**

Attached is a conciliation agreement which has been signed by Jim Dawson, the candidate, on behalf of Friends of Jim Dawson and Jean Dawson, as treasurer. 1/ (See Attachment 1.)

**II. RECOMMENDATIONS**

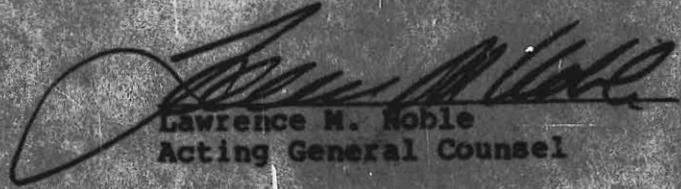
1. Accept the attached conciliation agreement with Friends of Jim Dawson and Jean Dawson, as treasurer.
2. Close the file.

1/ The agreeent is signed with the signature of "J. Dawson." This Office requested a letter from counsel for the respondents clarifying whether the agreement was signed by Jim Dawson, the candidate, or Jean Dawson, the treasurer. By letter, received on June 10, 1987, counsel stated that Jim Dawson signed the agreement. (See Attachment 2.)

87040655032

3. Approve the attached letter.

6/15/87  
Date

  
Lawrence M. Noble  
Acting General Counsel

**Attachments**

1. Conciliation Agreement
2. Letter from counsel, received on June 10, 1987
3. Proposed letter to counsel for the respondents

87040455033

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of )  
Friends of Jim Dawson ) MUR 2367  
Jean Dawson, as treasurer )

CERTIFICATION

I, Marjorie W. Emmons, Secretary of the Federal Election Commission, do hereby certify that on June 17, 1987, the Commission decided by a vote of 6-0 to take the following actions in MUR 2367:

1. Accept the conciliation agreement with Friends of Jim Dawson and Jean Dawson, as treasurer, as recommended in the General Counsel's Report signed June 15, 1987.
2. Close the file.
3. Approve the letter, as recommended in the General Counsel's Report signed June 15, 1987.

Commissioners Aikens, Elliott, Josefiak, McDonald, McGarry, and Thomas voted affirmatively for the decision.

Attest:

6-17-87

Date

*Marjorie W. Emmons*

Marjorie W. Emmons  
Secretary of the Commission

Received in the Office of Commission Secretary: Mon., 6-15-87, 10:46  
Circulated on 48 hour tally basis: Mon., 6-15-87, 4:00  
Deadline for vote: Wed., 6-17-87, 4:00

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FEDERAL ELECTION COMMISSION  
WASHINGTON, D.C. 20463

June 19, 1987

Timothy J. Newlin, Esquire  
Cassidy & Mueller  
800 Lehmann Building  
Peoria, Illinois 61602

RE: MUR 2367  
Friends of Jim Dawson  
Jean Dawson, as treasurer

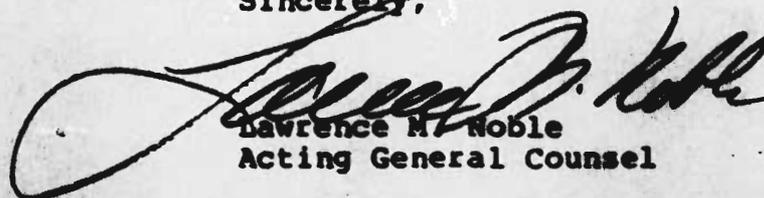
Dear Mr. Newlin:

On June 17, 1987, the Federal Election Commission accepted the signed conciliation agreement submitted on your clients' behalf in settlement of a violation of 2 U.S.C. § 434(a)(2)(A)(i), a provision of the Federal Election Campaign Act of 1971, as amended. Accordingly, the file has been closed in this matter. This matter will become a part of the public record within 30 days. If you wish to submit any factual or legal materials to appear on the public record, please do so within ten days. Such materials should be sent to the Office of the General Counsel.

Please be advised that information derived in connection with any conciliation attempt will not become public without the written consent of the respondent and the Commission. See 2 U.S.C. § 437g(a)(4)(B). The enclosed conciliation agreement, however, will become a part of the public record.

Enclosed you will find a copy of the fully executed conciliation agreement for your files. If you have any questions, please contact Jonathan Levin, the attorney assigned to this matter, at (202) 376-5690.

Sincerely,

  
Lawrence M. Noble  
Acting General Counsel

Enclosure  
Conciliation Agreement

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*John*

In the Matter of	)	
Friends of Jim Dawson	)	MUR 2367
Jean Dawson, as treasurer	)	

CONCILIATION AGREEMENT

This matter was initiated by the Federal Election Commission ("the Commission") pursuant to information ascertained in the normal course of carrying out its supervisory responsibilities. The Commission found reason to believe that Friends of Jim Dawson and Jean Dawson, as treasurer, ("Respondents") violated 2 U.S.C. § 434(a)(2)(A)(i).

NOW, THEREFORE, the Commission and the Respondents, having participated in informal methods of conciliation prior to a finding of probable cause to believe, do hereby agree as follows:

I. The Commission has jurisdiction over the Respondents and the subject matter of this proceeding, and this agreement has the effect of an agreement entered pursuant to 2 U.S.C. § 437g(a)(4)(A)(i).

II. Respondents have had a reasonable opportunity to demonstrate that no action should be taken in this matter.

III. Respondents enter voluntarily into this agreement with the Commission.

IV. The pertinent facts in this matter are as follows:

1. Respondent Friends of Jim Dawson is a political committee within the meaning of 2 U.S.C. § 431(4).
2. Respondent Jean Dawson is the treasurer of Friends of Jim Dawson.

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3. Respondents did not file the 1986 12 Day Pre-General Report until January 20, 1987.

4. Section 434(a)(2)(A)(i) of Title 2 states that, during an election year, a political committee that is a principal campaign committee of a candidate for the House of Representatives is required to file a pre-election report, which shall be filed no later than the 12th day before (or posted by registered or certified mail no later than the 15th day before) any election in which such candidate is seeking election, or nomination for election, and which shall be complete as of the 20th day before such election.

V. Respondents failed to file the 1986 Pre-General Report in a timely manner in violation of 2 U.S.C. § 434(a)(2)(A)(i).

VI. Respondents will pay a civil penalty to the Federal Election Commission in the amount of *Three Hundred Fifty Dollars (\$350)* pursuant to 2 U.S.C. § 437g(a)(5)(A).

VII. The Commission, on request of anyone filing a complaint under 2 U.S.C. § 437g(a)(1) concerning the matters at issue herein or on its own motion, may review compliance with this agreement. If the Commission believes that this agreement or any requirement thereof has been violated, it may institute a civil action for relief in the United States District Court for the District of Columbia.

VIII. This agreement shall become effective as of the date that all parties hereto have executed same and the Commission has

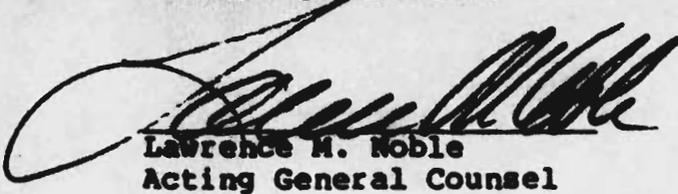
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approved the entire agreement.

IX. Respondents shall have no more than <sup>forty five (45)</sup> days from the date this agreement becomes effective to comply with and implement the requirement contained in this agreement and to so notify the Commission.

X. This Conciliation Agreement constitutes the entire agreement between the Commission and the Respondents on the matters raised herein, and no other statement, promise, or agreement, either written or oral, made by any party or by agents of any party, that is not contained in this written agreement, shall be enforceable.

FOR THE COMMISSION:

  
Lawrence M. Noble  
Acting General Counsel

6/19/87  
Date

FOR THE RESPONDENTS:

J. Dawson

May 27-87  
Date

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FEDERAL ELECTION COMMISSION  
WASHINGTON, DC 20463

THIS IS THE END OF MUR # 2367

DATE FILMED 10/2/87 CAMERA NO. 4

CAMERAMAN GRC

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