FEDERAL ELECTION COMMISSION

1325 K STREET N.W.
WASHINGTON, D.C. 20463

THIS IS THE END OF MUR # 2096

Date Filmed 9/15/86 Camera No. --- 2

Cameraman AS

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ALM

FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

August 14, 1986

Thomas D. Flanagan
1258 Lake Shore Drive
Chicago, IL 60610

RE: MUR 2096
Thomas D. Flanagan

Dear Mr. Flanagan:

This is to advise you that the entire file in this matter has now been closed and will become part of the public record within thirty days. Should you wish to submit any legal or factual materials to be placed on the public record in connection with this matter, please do so within 10 days.

Should you have any questions, contact Frances B. Hagan, the staff member assigned to this matter, at (202) 376-8200.

Sincerely,

Charles N. Steele
General Counsel

By: Lawrence M. Noble
Deputy General Counsel

3604051279 5

Oldm

FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

August 14, 1986

Robert B. Glade
211 W. Water Street
Kankakee, IL 60901

RE: MUR 2096
Robert B. Glade

Dear Mr. Glade:

This is to advise you that the entire file in this matter has now been closed and will become part of the public record within thirty days. Should you wish to submit any legal or factual materials to be placed on the public record in connection with this matter, please do so within 10 days.

Should you have any questions, contact Frances B. Hagan, the staff member assigned to this matter, at (202) 376-8200.

Sincerely,

Charles N. Steele
General Counsel

By: Lawrence M. Noble
Deputy General Counsel

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alm

FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

August 14, 1986

Thomas J. Garvey
101 Brianwood Lane
Oak Brook, IL 60521

RE: MUR 2096
Thomas J. Garvey

Dear Mr. Garvey:

This is to advise you that the entire file in this matter has now been closed and will become part of the public record within thirty days. Should you wish to submit any legal or factual materials to be placed on the public record in connection with this matter, please do so within 10 days.

Should you have any questions, contact Frances B. Hagan, the staff member assigned to this matter, at (202) 376-8200.

Sincerely,

Charles N. Steele
General Counsel

By: Lawrence M. Noble
Deputy General Counsel

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Pelm

FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

August 14, 1986

Mr. Frank Giglio
1326 Mackinaw Avenue
Calumet City, IL 60409

RE: MUR 2096
Frank Giglio

Dear Mr. Giglio:

This is to advise you that the entire file in this matter has now been closed and will become part of the public record within thirty days. Should you wish to submit any legal or factual materials to be placed on the public record in connection with this matter, please do so within 10 days.

Should you have any questions, contact Frances B. Hagan, the staff member assigned to this matter, at (202) 376-8200.

Sincerely,

Charles N. Steele
General Counsel

By: Lawrence M. Noble
Deputy General Counsel

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ahm

FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

August 14, 1986

William J. Gilligan
3400 N. Adams Road
Oak Brook, IL 60421

RE: MUR 2096
William J. Gilligan

Dear Mr. Gilligan:

This is to advise you that the entire file in this matter has now been closed and will become part of the public record within thirty days. Should you wish to submit any legal or factual materials to be placed on the public record in connection with this matter, please do so within 10 days.

Should you have any questions, contact Frances B. Hagan, the staff member assigned to this matter, at (202) 376-8200.

Sincerely,

Charles N. Steele
General Counsel

By: Lawrence M. Noble
Deputy General Counsel

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dlm

FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

August 14, 1986

Martin K. Henslee
1344 Sanview Lane
Winnetka, IL 60093

RE: MUR 2096
Martin K. Henslee

Dear Mr. Henslee:

This is to advise you that the entire file in this matter has now been closed and will become part of the public record within thirty days. Should you wish to submit any legal or factual materials to be placed on the public record in connection with this matter, please do so within 10 days.

Should you have any questions, contact Frances B. Hagan, the staff member assigned to this matter, at (202) 376-8200.

Sincerely,

Charles N. Steele
General Counsel

By: Lawrence M. Noble
Deputy General Counsel

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pkm

FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

August 14, 1986

Maxwell Riffkind
2700 Division Street
Molrose Park, IL 60160

RE: MUR 2096
Maxwell Riffkind

Dear Mr. Riffkind:

This is to advise you that the entire file in this matter has now been closed and will become part of the public record within thirty days. Should you wish to submit any legal or factual materials to be placed on the public record in connection with this matter, please do so within 10 days.

Should you have any questions, contact Frances B. Hagan, the staff member assigned to this matter, at (202) 376-8200.

Sincerely,

Charles N. Steele
General Counsel

By: Lawrence M. Noble
Deputy General Counsel

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PLM

FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

August 14, 1986

Frederick G. Novy
111 West Washington
Chicago, IL 60646

RE: MUR 2096
Frederick G. Novy

Dear Mr. Novy:

This is to advise you that the entire file in this matter has now been closed and will become part of the public record within thirty days. Should you wish to submit any legal or factual materials to be placed on the public record in connection with this matter, please do so within 10 days.

Should you have any questions, contact Frances B. Hagan, the staff member assigned to this matter, at (202) 376-8200.

Sincerely,

Charles N. Steele
General Counsel

By: Lawrence M. Noble
Deputy General Counsel

8604061283

alm

FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

August 14, 1986

John A. McDonald
1718 Del'Agier Drive
Glenview, IL 60025

RE: MUR 2096
John A. McDonald

Dear Mr. McDonald:

This is to advise you that the entire file in this matter has now been closed and will become part of the public record within thirty days. Should you wish to submit any legal or factual materials to be placed on the public record in connection with this matter, please do so within 10 days.

Should you have any questions, contact Frances B. Hagan, the staff member assigned to this matter, at (202) 376-8200.

Sincerely,

Charles N. Steele
General Counsel

By: Lawrence M. Noble
Deputy General Counsel

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DELM

FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

August 14, 1986

Leonard Sherman
222 W. Adams
Chicago, IL 60606

RE: MUR 2096
Leonard Sherman

Dear Mr. Sherman:

This is to advise you that the entire file in this matter has now been closed and will become part of the public record within thirty days. Should you wish to submit any legal or factual materials to be placed on the public record in connection with this matter, please do so within 10 days.

Should you have any questions, contact Frances B. Hagan, the staff member assigned to this matter, at (202) 376-8200.

Sincerely,

Charles N. Steele
General Counsel

By: Lawrence M. Noble
Deputy General Counsel

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pkm

FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

August 14, 1986

Walter Patelski
6500 W. Cortland
Chicago, IL 60635

RE: MUR 2096
Walter Patelski

Dear Mr. Patelski:

This is to advise you that the entire file in this matter has now been closed and will become part of the public record within thirty days. Should you wish to submit any legal or factual materials to be placed on the public record in connection with this matter, please do so within 10 days.

Should you have any questions, contact Frances B. Hagan, the staff member assigned to this matter, at (202) 376-8200.

Sincerely,

Charles N. Steele
General Counsel

By: Lawrence M. Noble
Deputy General Counsel

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FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

August 14, 1986

R. Jack Sneeden
520 Santa Rosa Street
DesPlaines, IL 60018

RE: MUR 2096
R. Jack Sneeden

Dear Mr. Sneeden:

This is to advise you that the entire file in this matter has now been closed and will become part of the public record within thirty days. Should you wish to submit any legal or factual materials to be placed on the public record in connection with this matter, please do so within 10 days.

Should you have any questions, contact Frances B. Hagan, the staff member assigned to this matter, at (202) 376-8200.

Sincerely,

Charles N. Steele
General Counsel

By: Lawrence M. Noble
Deputy General Counsel

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DELM

FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

August 14, 1986

Spitzer, Addis, Susman & Roskin
101 W. Monroe
Chicago, IL 60603

RE: MUR 2096
Spitzer, Addis, Susman
and Roskin

Dear Sir or Madam:

This is to advise you that the entire file in this matter has now been closed and will become part of the public record within thirty days. Should you wish to submit any legal or factual materials to be placed on the public record in connection with this matter, please do so within 10 days.

Should you have any questions, contact Frances B. Hagan, the staff member assigned to this matter, at (202) 376-8200.

Sincerely,

Charles N. Steele
General Counsel

By: Lawrence M. Noble
Deputy General Counsel

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FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

August 14, 1986

Brian P. Sullivan
1005 Bonnie Brae
River Forest, IL 60305

RE: MUR 2096
Brian P. Sullivan

Dear Mr. Sullivan:

This is to advise you that the entire file in this matter has now been closed and will become part of the public record within thirty days. Should you wish to submit any legal or factual materials to be placed on the public record in connection with this matter, please do so within 10 days.

Should you have any questions, contact Frances B. Hagan, the staff member assigned to this matter, at (202) 376-8200.

Sincerely,

Charles N. Steele
General Counsel

By: Lawrence M. Noble
Deputy General Counsel

8604061289

pelm

FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

August 14, 1986

Thomas J. Duffy
10045 Bell
Chicago, IL 60643

RE: MUR 2096
Thomas J. Duffy

Dear Mr. Duffy:

This is to advise you that the entire file in this matter has now been closed and will become part of the public record within thirty days. Should you wish to submit any legal or factual materials to be placed on the public record in connection with this matter, please do so within 10 days.

Should you have any questions, contact Frances B. Hagan, the staff member assigned to this matter, at (202) 376-8200.

Sincerely,

Charles N. Steele
General Counsel

By: Lawrence M. Noble
Deputy General Counsel

3604051810

FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

August 14, 1986

Ronald F. Bartkowicz
2135 N. 78th Avenue
Elmwood Park, IL 60635

RE: MUR 2096
Ronald F. Bartkowicz

Dear Mr. Bartkowicz:

This is to advise you that the entire file in this matter has now been closed and will become part of the public record within thirty days. Should you wish to submit any legal or factual materials to be placed on the public record in connection with this matter, please do so within 10 days.

Should you have any questions, contact Frances B. Hagan, the staff member assigned to this matter, at (202) 376-8200.

Sincerely,

Charles N. Steele
General Counsel

By: Lawrence M. Noble
Deputy General Counsel

8604051281

ORLM

FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

August 14, 1986

David D. Embry
Route 1
Greenville, IL 62246

RE: MUR 2096
David D. Embry

Dear Mr. Embry:

This is to advise you that the entire file in this matter has now been closed and will become part of the public record within thirty days. Should you wish to submit any legal or factual materials to be placed on the public record in connection with this matter, please do so within 10 days.

Should you have any questions, contact Frances B. Hagan, the staff member assigned to this matter, at (202) 376-8200.

Sincerely,

Charles N. Steele
General Counsel

By: Lawrence M. Noble
Deputy General Counsel

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pelm

FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

August 14, 1986

Cecil D. Clay, Treasurer
Sheet Metal Workers International
Association Political Action Leaque
1750 New York Avenue, N.W.
Washington, D.C. 20006

RE: MUR 2096
Sheet Metal Workers
International Association
PAL, Cecil D. Clay, as
treasurer

Dear Mr. Clay:

This is to advise you that the entire file in this matter has now been closed and will become part of the public record within thirty days. Should you wish to submit any legal or factual materials to be placed on the public record in connection with this matter, please do so within 10 days.

Should you have any questions, contact Frances B. Hagan, the staff member assigned to this matter, at (202) 376-8200.

Sincerely,

Charles N. Steele
General Counsel

By: Lawrence M. Noble
Deputy General Counsel

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pl/m

FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

August 14, 1986

Donald Deporter
Regional Vice President
Hyatt Regency Chicago
151 E. Wacker Drive
Chicago, IL 60601

RE: MUR 2096
Donald Deporter

Dear Mr. Deporter:

This is to advise you that the entire file in this matter has now been closed and will become part of the public record within thirty days. Should you wish to submit any legal or factual materials to be placed on the public record in connection with this matter, please do so within 10 days.

Should you have any questions, contact Frances B. Hagan, the staff member assigned to this matter, at (202) 376-8200.

Sincerely,

Charles N. Steele
General Counsel

By: Lawrence M. Noble
Deputy General Counsel

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FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

August 14, 1986

John Bernard Cashion
1020 Mount Pleasant Road
Winnetka, IL 60093

RE: MUR 2096
John Bernard Cashion

Dear Mr. Cashion:

This is to advise you that the entire file in this matter has now been closed and will become part of the public record within thirty days. Should you wish to submit any legal or factual materials to be placed on the public record in connection with this matter, please do so within 10 days.

Should you have any questions, contact Frances B. Hagan, the staff member assigned to this matter, at (202) 376-8200.

Sincerely,

Charles N. Steele
General Counsel

By: Lawrence M. Noble
Deputy General Counsel

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pdm

FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

August 14, 1986

William Smith, Jr.
29 S. LaSalle
Chicago, IL 60603

RE: MUR 2096
William Smith, Jr.

Dear Mr. Smith:

This is to advise you that the entire file in this matter has now been closed and will become part of the public record within thirty days. Should you wish to submit any legal or factual materials to be placed on the public record in connection with this matter, please do so within 10 days.

Should you have any questions, contact Frances B. Hagan, the staff member assigned to this matter, at (202) 376-8200.

Sincerely,

Charles N. Steele
General Counsel

By: Lawrence M. Noble
Deputy General Counsel

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pdm

FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

August 14, 1986

David A. Epstein, Treasurer
Democratic Party of Chicago
and Cook County
55 E. Monroe Street
Suite 4444
Chicago, IL 60603

RE: MUR 2096
Democratic Party of
Chicago and Cook County
Howard W. Carroll, as
treasurer

Dear Mr. Epstein:

This is to advise you that the entire file in this matter has now been closed and will become part of the public record within thirty days. Should you wish to submit any legal or factual materials to be placed on the public record in connection with this matter, please do so within 10 days.

Should you have any questions, contact Frances B. Hagan, the staff member assigned to this matter, at (202) 376-8200.

Sincerely,

Charles N. Steele
General Counsel

By: Lawrence M. Noble
Deputy General Counsel

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FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

August 14, 1986

Daniel R. Fusco, Treasurer
Rock for Senate Committee
Rock, Fusco, Reynolds and Heneghan
29 South LaSalle Street
Chicago, IL 60603

RE: MUR 2096
Rock for Senate
Daniel R. Fusco, as
treasurer

Dear Mr. Fusco:

This is to advise you that the entire file in this matter has now been closed and will become part of the public record within thirty days. Should you wish to submit any legal or factual materials to be placed on the public record in connection with this matter, please do so within 10 days.

Should you have any questions, contact Frances B. Hagan, the staff member assigned to this matter, at (202) 376-8200.

Sincerely,

Charles N. Steele
General Counsel

By: Lawrence M. Noble
Deputy General Counsel

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ALM

FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

August 14, 1986

James R. Gill
9444 Avers Avenue
Evanston, IL 60204

RE: MUR 2096
James R. Gill

Dear Mr. Gill:

This is to advise you that the entire file in this matter has now been closed and will become part of the public record within thirty days. Should you wish to submit any legal or factual materials to be placed on the public record in connection with this matter, please do so within 10 days.

Should you have any questions, contact Frances B. Hagan, the staff member assigned to this matter, at (202) 376-8200.

Sincerely,

Charles N. Steele
General Counsel

By: Lawrence M. Noble
Deputy General Counsel

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BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of	)	
	)	MUR 2096
Jack C. Leahy	)	

CERTIFICATION

I, Marjorie W. Emmons, Secretary of the Federal Election Commission, do hereby certify that on August 12, 1986, the Commission decided by a vote of 4-0 to take the following actions in MUR 2096:

1. Accept the conciliation agreement, as recommended in the General Counsel's Report signed August 7, 1986.

2. Close the file.

3. Send the letter, as recommended in the General Counsel's Report signed August 7, 1986.

Commissioners Elliott, Harris, Josefiak and McDonald voted affirmatively for this decision; Commissioners Aikens and McGarry did not vote.

Attest:

8-12-86
Date

Marjorie W. Emmons
Marjorie W. Emmons
Secretary of the Commission

Received in Office of Commission Secretary:	Fri.,	8-8-86,	9:45
Circulated on 48 hour tally basis:	Fri.,	8-8-86,	2:00
Deadline for vote:	Tues.,	8-12-86,	4:00

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BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
) MUR 2096
Jack C. Leahy)

86 AUG 8 A9:45

SENSITIVE

GENERAL COUNSEL'S REPORT

I. BACKGROUND

Attached is a conciliation agreement signed by counsel for Jack C. Leahy. The agreement contains no changes from that approved by the Commission. We have received a check for the civil penalty. We will send letters to all respondents in this case notifying them that the entire file has been closed.

II. RECOMMENDATION

1. The Office of General Counsel recommends accepting this agreement and closing the file.

2. Send attached letter.

Charles N. Steele
General Counsel

8/7/86
Date

BY: Lawrence M. Noble
Deputy General Counsel

Attachments
Proposed Conciliation Agreement
Copy of Civil Penalty Check
Letter to Respondent

GCC# 974

From the desk of

CARL FRANK

July 3, 1956

Mr Hagen:

Thank you for your courtesy and cooperation.

Sincerely

Carl Frank

1956 JUL 21 AM 8:04

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RECEIVED BY THE FEC
86 JUL 17 A10:16

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of	)	
	)	
Jack C. Leahy	)	MUR 2096
	)	

CONCILIATION AGREEMENT

86 JUL 21 A10:44

This matter was initiated by the Federal Election Commission (hereinafter "the Commission"), pursuant to information ascertained in the normal course of carrying out its supervisory responsibilities. The Commission found probable cause to believe that Jack C. Leahy ("Respondent") violated 2 U.S.C. § 441a(a)(1)(A) by making contributions in excess of limitations to the Rock for Senate Committee in 1984.

NOW, THEREFORE, the Commission and Respondent, having duly entered into conciliation pursuant to 2 U.S.C. § 437g(a)(4)(A)(i) do hereby agree as follows:

I. The Commission has jurisdiction over the Respondent and the subject matter of this proceeding.

II. Respondent has had a reasonable opportunity to demonstrate that no action should be taken in this matter.

III. Respondent enters voluntarily into this agreement with the Commission.

IV. The pertinent facts in this matter are as follows:

1. Respondent Jack C. Leahy is an individual contributor to the 1984 Rock for Senate Committee.
2. On October 18, 1983, March 13, 1984, and August 31, 1984, Respondent made contributions of $1,000, $1,000 and $200, respectively, to the Rock for Senate Committee.

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3. On May 13, 1985, the Rock for Senate Committee refunded $200 and on December 5, 1985, the Rock Committee refunded $1,000 to Respondent.

V. Respondent made contributions in excess of limitations in violation of 2 U.S.C. § 441a(a)(1)(A).

VI. Respondent will pay a civil penalty to the Treasurer of the United States in the amount of one hundred fifty dollars ($150), pursuant to 2 U.S.C. § 437g(a)(5)(A).

VII. The Commission, on request of anyone filing a complaint under 2 U.S.C. § 437g(a)(1) concerning the matters at issue herein or on its own motion, may review compliance with this agreement. If the Commission believes that this agreement or any requirement thereof has been violated, it may institute a civil action for relief in the United States District Court for the District of Columbia.

VIII. This agreement shall become effective as of the date that all parties hereto have executed same and the Commission has approved the entire agreement.

IX. Respondent shall have no more than thirty (30) days from the date this agreement becomes effective to comply with and implement the requirements contained in this agreement and to so notify the Commission.

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X. This Conciliation Agreement constitutes the entire agreement between the parties on the matters raised herein, and no other statement, promise, or agreement, either written or oral, made by either party or by agents of either party, that is not contained in this written agreement shall be valid.

FOR THE COMMISSION:

Charles N. Steele
General Counsel

BY: _____ 8/14/86
Lawrence M. Noble
Deputy General Counsel

Date

FOR THE RESPONDENT:

_____ June 26, 1986
Date

8 5 0 4 0 5 1 2 8 2 5

JACK C. LEAHY
120 DALE PL.
OLDSMAR, FLA. 33557

280

June 26 19 86

2-100/710

PAY TO THE ORDER OF Treasurer of the United States/ Federal Election Commission $ 150.00**

One-hundred fifty dollars and 00/100********** DOLLARS

MARINA BANK
CHICAGO, ILLINOIS 60601

MEMO

⑆071000091⑆ 314 694 4 0280

MEMORANDUM

GCC# 976

TO: Debra A. Reed

TO: Judy Smith

FROM: Judy Smith

FROM: Debra A. Reed

CHECK NO. 280 (a copy of which is attached) RELATING TO MUR 2096 (Hagan) AND NAME Jack C. Leahy WAS RECEIVED ON 7/21/86. PLEASE INDICATE THE ACCOUNT INTO WHICH IT SHOULD BE DEPOSITED:

/ ✓ / BUDGET CLEARING ACCOUNT (#95F3875.16)

/ / CIVIL PENALTIES ACCOUNT (#95-1099.160)

/ / OTHER

SIGNATURE Debra A. Reed DATE 7/22/86

AIO: 10 JUL 23

pelm

FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

August 14, 1986

Carl J. Frank, Esquire
1 North Dearborn Street
Suite 1100
Chicago, IL 60602

RE: MUR 2096
Jack C. Leahy

Dear Mr. Frank:

On August 12, 1986, the Commission accepted the conciliation agreement you signed and a civil penalty in settlement of a violation of 2 U.S.C. § 441a(a)(1)(A), a provision of the Federal Election Campaign Act of 1971, as amended. Accordingly, the file has been closed in this matter, and it will become a part of the public record within thirty days. Should you wish to submit any legal or factual materials to be placed on the public record in connection with this matter, please do so within 10 days. However, 2 U.S.C. § 437g(a)(4)(B) prohibits any information derived in connection with any conciliation attempt from becoming public without the written consent of the respondent and the Commission. Should you wish any such information to become part of the public record, please advise us in writing.

Enclosed you will find a fully executed copy of the final conciliation agreement for your files.

Sincerely,

Charles N. Steele
General Counsel

By: Lawrence M. Noble
Deputy General Counsel

Enclosure
Conciliation Agreement

8 6 0 4 0 5 1 2 8 3 2 7

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
)
Jack C. Leahy)

MUR 2096

CERTIFICATION

I, Mary W. Dove, recording secretary for the Federal Election Commission executive session of May 13, 1986, do hereby certify that the Commission decided by a vote of 6-0 to take the following actions in MUR 2096:

1. Find probable cause to believe that Jack C. Leahy violated 2 U.S.C. § 441a(a)(1)(A).

2. Approve the conciliation agreement as recommended in the General Counsel's signed report of May 5, 1986, subject to amendment by reducing the civil penalty to $150.

3. Approve the letter attached to the General Counsel's signed report of May 5, 1986.

Commissioners Aikens, Elliott, Harris, Josefiak, McDonald, and McGarry voted affirmatively for this decision.

Attest:

5-15-86
Date

Mary W. Dove
Mary W. Dove
Administrative Assistant

SENSITIVE

BEFORE THE FEDERAL ELECTION COMMISSION

EXECUTIVE SESSION

MAY 13 1986

In the Matter of	)	
	)	
Jack C. Leahy	)	MUR 2096
	)	
	)	

GENERAL COUNSEL'S REPORT

I. BACKGROUND

Respondent Jack C. Leahy violated 2 U.S.C. § 441a(a)(1)(A) by making contributions in excess of limitations to the Rock for Senate Committee during the 1984 primary election.

On October 18, 1983, March 13 and August 31, 1984, Respondent contributed $1000, $1000 and $200 respectively to the Rock for Senate Committee, $1200 in excess of limits. On May 13, 1985, the Rock for Senate Committee refunded $200 of the excessive portion.

On October 22, 1985, the Commission found reason to believe that a violation of 2 U.S.C. § 441a(a)(1)(A) occurred in this matter. On December 5, 1985, the Rock Committee refunded $1000 to Mr. Leahy. Mr. Leahy's response to the reason to believe notice stated that his excessive contributions were inadvertent because he "did not keep close enough track of the contributions." He stated that it "will not happen again in the future."

II. LEGAL ANALYSIS OF RESPONDENT'S BRIEF

Respondent states that only one solicitation and an acknowledgment of the October 18, 1983, contribution ($1,000) directly associated his contribution with the candidate's federal campaign. In these letters to Mr. Leahy, attached to the brief, Mr. Leahy is invited to be among 100 of the candidate's "close friends" at a function to support the U.S. Senate campaign. The

thank you acknowledgment, signed by the candidate, invites Mr. Leahy to attend another campaign function in January 1984, "as my friend and honored guest." No other documents are included which could verify whether the other two contributions were made in response to solicitations for the federal campaign.

The response brief states that Mr. Leahy was not aware of a problem with his contributions until he received refunds from the Rock for U.S. Senate Committee in May 1985 and again in December 1985. The second refund letter suggested that the same amount be contributed to the candidate's "state fund." The candidate is an Illinois State Senator.

The respondent's brief argues that the respondent "made contributions to the candidate in the good faith that his funds were, in each case, being applied in accordance with all relevant law and regulation . . . The application of the [second and third] contributions were made by representatives of the candidate without direction from the respondent."

In his further defense, the respondent states:

> The confusion of the federal candidacy and state office of the candidate, the ten (10) month time frame between contributions, and the failure of the candidate to identify the purpose of the solicitation for funds as addressing his federal candidacy or apprise the respondent of the application of funds made payable to other payees to such candidacy were beyond the control of the respondent.

Despite these arguments, it is evident that a violation of 2 U.S.C. § 441a occurred in this case in that the respondent made contributions which went to the candidate's federal campaign fund. The first contribution check ($1,000) was made to "Rock for U.S.

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Senate." The second contribution check ($1,000) was made payable to the "Citizens for Rock for Senate Campaign." Although there could be some confusion as to the federal or state senate nomenclature, this second contribution was made on March 13, 1984, before the candidate's March 20, 1984, U.S. Senate primary election date. It is disingenuous to argue that a respondent who was familiar enough to be called on as an early U.S. Senate supporter would be so confused about the candidate's status in the federal election campaign. Further, there is no documentory support for the argument that the two excessive contributions totaling $1200 were solicited without identifying the purpose.

Based on this analysis of the facts in this case, the Office of General Counsel is recommending a finding of probable cause to believe that Jack C. Leahy violated 2 U.S.C. § 441a(a)(1)(A) in this matter.

III. DISCUSSION OF CONCILIATION AND CIVIL PENALTY

RECOMMENDATION

1. Find probable cause to believe that Jack C. Leahy violated 2 U.S.C. § 441a(a)(1)(A).

2. Approve the attached conciliation agreement and letter to Respondent.

5 May 1986
Date

Charles N. Steele
General Counsel

Attachments

Proposed Conciliation Agreement
Letter to Respondent

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FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

May 22, 1986

Carl J. Frank, Esquire
1 North Dearborn Street
Suite 1100
Chicago, IL 60602

RE: MUR 2096
Jack C. Leahy

Dear Mr. Frank:

On May 13, 1986, the Commission determined that there is probable cause to believe your client committed a violation of 2 U.S.C. § 441a(a)(1)(A), a provision of the Federal Election Campaign Act of 1971, as amended, in connection with contributions to the Rock for U.S. Senate Committee.

The Commission has a duty to attempt to correct such violations for a period of thirty to ninety days by informal methods of conference, conciliation and persuasion. If we are unable to reach an agreement during this period, the Commission may institute civil suit in United States District Court and seek payment of a civil penalty.

We enclose a conciliation agreement that this office is prepared to recommend to the Commission in settlement of this matter. If you agree with the provisions of the enclosed agreement, please sign and return it, along with the civil penalty, to the Commission within ten days. I will then recommend that the Commission approve the agreement. Please make your check for the civil penalty payable to the U.S. Treasurer.

If you have any questions or suggestions for changes in the enclosed conciliation agreement, please contact Frances B. Hagan, the staff member assigned to this matter, at (202) 376-8200.

Sincerely,

Charles N. Steele
General Counsel

Enclosure
Conciliation Agreement

8 5 0 4 0 5 1 2 8 3 3

Before The Federal Election Commission

In The Matter of	)	
	)	MUR 2096
Jack Leahy	)	

RESPONDENT'S BRIEF

I. Statement Of Facts

Respondent, Jack C. Leahy, made contributions to the following funds on the dates and in the amounts stated as follows and as identified in Exhibits A, B and C attached hereto and made a part hereof:

October 18, 1983 "Rock For U.S. Senate"	$1,000.00
March 13, 1984 "Citizens For Rock For Senate Campaign"	$1,000.00
August 31, 1984 "Rock Campaign Fund"	$ 200.00

Of the foregoing contributions, the only solicitation and acknowledgment directly associating the contribution with the federal election campaign of Philip J. Rock was that identified in Exhibit A and additionally in Exhibit D which is also attached hereto and made a part hereof.

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Philip J. Rock was, at all relevant times, and is today, a member of the State Senate of Illinois, and, indeed, President of The State Senate. Further, he has enjoyed said office for many years prior to the election in question and during which enjoyment participated in various other candidacies at other than the federal level.

The first awareness by the respondent of the application of his contributions in any form inconsistent with federal or any other election laws was on May 13, 1985 by letter from the "Treasurer of the Rock for U.S. Senate Campaign", which was followed by additional communication to the same effect on December 6, 1985 which also suggested reapplication to the candidate's "State Fund" of the amount returned, as more specifically stated in Exhibit E which is attached hereto and made a part hereof.

Illinois Law does not limit contributions in dollar amounts.

II. Argument

The respondent made contributions to the candidate in the good faith belief that his funds were, in each case, being applied in accordance with all relevant law and regulation. This is clearly established by Exhibits A, B and C only one of which, Exhibit A, makes the payee "Rock for U.S. Senate" and is within the statutory amount. The application of the other contributions were made by representatives of the candidate without direction from the respondent.

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The confusion of the federal candidacy and state office of the candidate, the ten (10) month time frame between contributions, and the failure of the candidate to identify the purpose of the solicitation for funds as addressing his federal candidacy or apprise the respondent of the application of funds made payable to other payees to such candidacy were beyond the control of the respondent.

The statement of the respondent of December 9, 1985 was his expression of innocence in the matter under review and of future conduct only; and not a substantive admission.

The foregoing conclusively establishes the inability of the respondent to knowingly violate 2 U.S.C. Section 44a (a) (1) (A) or any other law or regulation.

Carl J. Frank
Attorney for Respondent

1 North Dearborn St.
Suite 1100
Chicago, IL 60602
(312) 917-0797

8 5 0 4 0 5 1 2 8 3 5

Mr. Jack C. Leahy
300 S. Ashland Avenue
Chicago, Illinois 60607

Dear Jack:

This is the beginning of a very exciting, and I am confident, successful year for Phil Rock's US Senate race.

Enthusiastically we are calling together some of his close friends (approximately 100) to attend a cocktail party in his honor.

Arnie Morton will host this party on October 24, 1983 at "Arnies Wicker Room" from 5:30 to 7:30 pm.

The cost is $1,000 per person. If you are unable to attend your contribution is still very much needed and appreciated.

Please make your [redacted] "Rock for US Senate" and return it to me as soon as possible. Federal law requires personal checks, no corporate checks can be accepted.

I'm looking forward to seeing you and "toasting" Phil's upcoming success.

Phil needs your support NOW!

Sincerely,

Edward J. Bock
President

CK 4885
10-18-83

A copy of our statement can be obtained from the Federal Election Commission, Washington DC 20005

EXHIBIT A

JACK C. LEAHY
300 S. ASHLAND
CHICAGO, ILL. 60607

5012

April 13 1984

2-100/710

⑈026⑆ 00 02-25-24 2 ⑈ 8223515 5⑈

PAY TO THE ORDER OF: Citizens for Reagan Fund Loan $ 7,000.00

Six Thousand and no/100 DOLLARS

MARINA BANK
CHICAGO, ILLINOIS 60611

Jack C. Leahy

⑆071000016⑆ ⑈13-01⑈ 5012 ⑈0000100000⑈

B 5 0 4 0 5 1 2 8 3 8

EXHIBIT B

JACK C. LEAHY
3007 N. ASHLAND
CHICAGO, ILL. 60607

5174

August 31 19 84

PAY TO THE ORDER OF Rock Campaign Fund $200.00

Two Hundred ********** DOLLARS

MARINA BANK
CHICAGO, ILLINOIS 60613

MEMO

Jack C. Leahy

⑆071000109⑆ ⑈31-823⑈ 5174 ⑈00000 20000⑈

EXHIBIT C

PHIL
ROCK
DEMOCRAT
FOR U.S. SENATE
SOLID
FOR ILLINOIS

153 West Wacker Drive
Chicago, Illinois 60601
312/346-7445

January, 1984

Mr. Jack C. Leahy
1240 N. Lake Shore Drive
Chicago, Illinois 60607

Dear Jack:

Please accept my sincerest thanks for your generous contribution of $1,000.00 to my campaign for the United States Senate.

The campaign effort is getting stronger each day and we are looking forward to victory in both the primary and general elections. Running for statewide federal office, as you know, is very expensive. I sincerely appreciate your financial support which has enabled me to wage the strong campaign necessary for victory on March 20th.

On January 24th, the Rock for U.S. Senate Campaign will host a reception in my honor. Your presence at this reception will insure its success. Jack, please attend as my friend and honored guest.

Very truly yours,

Phil

Philip J. Rock

PJR/km
Enclosure

EXHIBIT D

Authorized and paid for by the Rock for the U.S. Senate Committee. Daniel R. Fusco, Treasurer. A copy of our report can be obtained from the Federal Election Commission, Washington, D.C.

LAW OFFICES

ROCK, FUSCO, REYNOLDS & HENEGHAN
A PROFESSIONAL CORPORATION

29 SOUTH LA SALLE STREET
CHICAGO, ILLINOIS 60603

(312) 641-0850

PHILIP J. ROCK
DANIEL R. FUSCO
JOHN J. REYNOLDS
THOMAS J. HENEGHAN
MARK A. SPADORO

WILLIAM PAUL JONES
KATIE NEWSHAM
JOHN J. MORAN, JR.
MICHAEL J. MURRAY

December 6, 1985

Mr. Jack Leahy
Leahy & Assoc.
300 S. Ashland Ave.
Chicago, IL 60607

Dear Jack

As a result of an audit of our books and records, and in response to an inquiry from the Federal Election Commission, it has been determined that you contributed $2,200.00 to the Rock for U.S. Senate Campaign. Federal election law provides that an individual may not contribute to a candidate for Federal office in excess of $1000.00 per election.

Enclosed is our check in the amount of $1,000.00, which represents the amount of your contribution that has been determined to be in excess of the allowable limits. If you would contribute this same amount to Phil's state fund it would be greatly appreciated. Please make the check payable to Rock Campaign fund. I have enclosed an addressed envelope for your convenience.

Thank you again for your support and assistance in Phil's campaigns.

Very truly yours,

Daniel R. Fusco
Treasurer
Rock For U.S. Senate Campaign

OK. Dep.
12/13/85

EXHIBIT E.

CARL J. FRANK
Attorney and Counsellor at Law
Respond to:
1 North Dearborn St.
Suite 1100
Chicago, IL 60602

86 APR 8 P12:27

April 1, 1986

Federal Election Commission
Washington D.C. 20463
Attn: General Counsel

RE: MUR 2096
Jack C. Leahy

Dear Mr. Steele:

In accordance with relevant rules and regulations, enclosed is the respondent's brief in the captioned matter.

Very truly yours,

Carl J. Frank

CJF:pf

86 APR 8 P2:19

STATEMENT OF DESIGNATION OF COUNSEL

MUR 2096

NAME OF COUNSEL: Carl J. Frank

ADDRESS: 1 North Dearborn St.
Suite 1100
Chicago, IL 60602

TELEPHONE: (312) 917-0797

The above-named individual is hereby designated as my counsel and is authorized to receive any notifications and other communications from the Commission and to act on my behalf before the Commission.

March 25, 1986
Date

Jack C. Leahy
Signature

RESPONDENT'S NAME: Jack C. Leahy

ADDRESS: 300 S. Ashland Ave.
Chicago, IL 60607

HOME PHONE:

BUSINESS PHONE: (312) 243-1252

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BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of	)	
	)	
Jack Leahy	)	MUR 2096

GENERAL COUNSEL'S BRIEF

I. STATEMENT OF THE CASE

Respondent Jack C. Leahy violated 2 U.S.C. § 441a(a)(1)(A) by making contributions in excess of limitations to the Rock for Senate Committee during the 1984 primary election.

On March 14, and September 14, 1984, Respondent contributed $1,000 and $200 respectively to the Rock for Senate Committee. On May 13, 1985, the Rock for Senate Committee refunded $200 of the excessive portion.

On October 22, 1985, the Commission found reason to believe that a violation of 2 U.S.C. §441a(a)(1)(A) occurred in this matter. On December 5, 1985, the Rock Committee refunded $1000 to Mr. Leahy. Mr. Leahy's response to the reason to believe notice stated that his excessive contributions were inadvertent because he did not monitor his contributions closely enough. He stated that it "will not happen again in the future."

II. LEGAL ANALYSIS

2 U.S.C. § 441a(a)(1)(A) states that no person shall make contributions to an authorized political committee with respect to any federal election which, in the aggregate, exceed $1,000. By making excessive contributions to the Rock for Senate Committee in 1984, Jack C. Leahy is in violation of 2 U.S.C. § 441a(a)(1)(A).

III. GENERAL COUNSEL'S RECOMMENDATION

Find probable cause to believe that Jack Leahy violated 2 U.S.C. § 441a(a)(1)(A).

14 March 1986
Date

Charles N. Steele
General Counsel

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FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

March 17, 1986

Mr. Jack Leahy
300 South Ashland Avenue
Chicago, IL 60607

RE: MUR 2096
Jack C. Leahy

Dear Mr. Leahy:

Based on information ascertained in the normal course of carrying out its supervisory responsibilities, the Federal Election Commission, on October 22, 1985, found reason to believe that you had violated 2 U.S.C. § 441a(a)(1)(A), and instituted an investigation in this matter.

After considering all the evidence available to the Commission, the Office of the General Counsel is prepared to recommend that the Commission find probable cause to believe that a violation has occurred.

Submitted for your review is a brief stating the position of the General Counsel on the legal and factual issues of the case. Within fifteen days of your receipt of this notice, you may file with the Secretary of the Commission a brief (10 copies if possible) stating your position on the issues and replying to the brief of the General Counsel. (Three copies of such brief should also be forwarded to the Office of General Counsel, if possible.) The General Counsel's brief and any brief which you may submit will be considered by the Commission before proceeding to a vote of probable cause to believe a violation has occurred.

If you are unable to file a responsive brief within 15 days, you may submit a written request to the Commission for an extension of time in which to file a brief. The Commission will not grant any extensions beyond 20 days. All requests for extension of time must be submitted 5 days prior to the due date and must be in writing. Further good cause must be shown.

A find of probable cause to believe requires that the Office of General Counsel attempt for a period of not less than thirty, but not more than ninety, days to settle this matter through a conciliation agreement.

Should you have any questions, please contact Frances B. Hagan, the staff member assigned to handle this matter, at (202) 376-8200.

Sincerely,

Charles N. Steele
General Counsel

Enclosure
Brief

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BEFORE THE FEDERAL ELECTION COMMISSION

SENSITIVE

In the Matter of	)	
	)	
Jack C. Leahy	)	MUR 2096

'96 MAR 5 A10:39

GENERAL COUNSEL'S REPORT

The Office of General Counsel is prepared to close the investigation in this matter as to Jack C. Leahy, based on the assessment of the information currently available.

4 March 1996
Date

Charles N. Steele
General Counsel

2 6 0 4 0 5 1 2 3 4 8

GCC# 9155

JACK C. LEAHY
300 SOUTH ASHLAND AVE.
CHICAGO, ILLINOIS 60607

December 9, 1985

Mrs. Frances B. Hagan
Federal Election Commission
999 E Street, N. W.
Washington, DC 20463

Re: Jack C. Leahy
MUR 2096

Dear Mrs. Hagan:

I am writing in response to the Federal Election Commission letter dated November 25, 1985.

I did not purposefully violate the law with regard to the over-contribution of $200 given to the Senator Rock Campaign Fund. I did not keep close enough track of the contributions, something that will not happen again in the future.

Again, I apologize for this oversight and will make certain the error will not occur again.

Sincerely,

Jack Leahy

Jack C. Leahy

lo

10:58
4:00
4:00

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of	)	86 APR 29 A10:58
	)	
Democratic Party of Chicago and Cook County	)	MUR 2096
Howard W. Carroll, as treasurer	)	
	)	

SENSITIVE

GENERAL COUNSEL'S REPORT

BACKGROUND

Attached is a conciliation agreement which has been signed by the attorney for the Democratic Party of Chicago and Cook County. The attached agreement contains no changes from the agreement approved by the Commission. We have received a check for the civil penalty.

RECOMMENDATION

1. The Office of General Counsel recommends accepting this agreement and closing the file as it relates to the Democratic Party of Chicago and Cook County and Howard W. Carroll, as treasurer.

2. Send attached letter.

Charles N. Steele
General Counsel

April 25, 1986
Date

BY: Kenneth A. Gross
Kenneth A. Gross
Associate General Counsel

Attachments
Conciliation Agreement
Copy of Civil Penalty Check
Letter to Respondent

8 6 0 4 0 5 1 2 8 5 0

DEMOCRATIC PARTY OF CHICAGO
AND COOK COUNTY

2083

2-340/710

4/18 1986

PAY TO THE ORDER OF Federal Election Commission $ 1,000.00

REGISTERED 1000 DOLS 00 CTS DOLLARS

Amalgamated Trust & Savings Bank
CHICAGO, ILLINOIS 60603

FOR

⑈002083⑈ ⑆071003405⑆ ⑈132385100⑈

GCC#293

MEMORANDUM

TO: Debra A. Reed TO: Judy Smith

FROM: Judy Smith FROM: Debra A. Reed

CHECK NO. 2083 (a copy of which is attached) RELATING TO MUR 2096 AND NAME Democratic Party of Chicago and Cook Cty., Howard W. Carroll, Treasurer WAS RECEIVED ON 4/21/86. PLEASE INDICATE THE ACCOUNT INTO WHICH IT SHOULD BE DEPOSITED:

/✓/ BUDGET CLEARING ACCOUNT (#95F3875.16)

/ / CIVIL PENALTIES ACCOUNT (#95-1099.160)

/ / OTHER

SIGNATURE Debra A. Reed DATE 4/23/86

96 APR 23 A 9:54
FEDERAL ELECTION COMMISSION
GENERAL COUNSEL

FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

May 5, 1986

David A. Epstein, Esquire
55 E. Monroe Street
Suite 4444
Chicago, Illinois 60603

RE: MUR 2096
Democratic Party of Chicago and
Cook County
Howard W. Carroll, as treasurer

Dear Mr. Epstein:

On May 1 , 1986, the Commission accepted the conciliation agreement signed by you and a civil penalty in settlement of a violation of 2 U.S.C. § 441a(a)(1)(A), a provision of the Federal Election Campaign Act of 1971, as amended. Accordingly, the file has been closed in this matter as it pertains to your clients, and it will become a part of the public record within thirty days after this matter has been closed with respect to all other respondents involved. However, 2 U.S.C. § 437g(a)(4)(B) prohibits any information derived in connection with any conciliation attempt from becoming public without the written consent of the respondent and the Commission. Should you wish any such information to become part of the public record, please advise us in writing within 10 days.

The Commission reminds you that the confidentiality provisions of 2 U.S.C. §§ 437g(a)(4)(B) and 437g(a)(12)(A) remain in effect until the entire matter has been closed. The Commission will notify you when the entire file has been closed.

Enclosed you will find a fully executed copy of the final conciliation agreement for your files.

Sincerely,

Charles N. Steele
General Counsel

By: Kenneth A. Gross
Associate General Counsel

Enclosure
Conciliation Agreement

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of	)	
	)	
Democratic Party of Chicago and Cook County	)	MUR 2096
Howard W. Carroll, as treasurer	)	

CONCILIATION AGREEMENT

This matter was initiated by the Federal Election Commission (hereinafter "the Commission"), pursuant to information ascertained in the normal course of carrying out its supervisory responsibilities. The Commission found reason to believe that The Democratic Party of Chicago and Cook County and Howard W. Carroll, as treasurer, ("Respondents") violated 2 U.S.C. § 441a(a)(1)(A) by making an excessive contribution to the Rock for Senate Committee in 1984.

NOW, THEREFORE, the Commission and Respondents, having participated in informal methods of conciliation prior to a finding of probable cause to believe, do hereby agree as follows:

I. The Commission has jurisdiction over the Respondents and the subject matter of this proceeding.

II. Respondents have had a reasonable opportunity to demonstrate that no action should be taken in this matter.

III. Respondents enter voluntarily into this agreement with the Commission.

IV. The pertinent facts in this matter are as follows:

1. Respondent, Democratic Party of Chicago and Cook County, is a party organization not registered with the Commission.

2. Respondent Howard W. Carroll is treasurer of the respondent party organization.

3. On March 9, 1984, Respondents made a contribution of $5,000 to the federally-registered Rock for Senate Committee.

4. On September 12, 1984, the Rock for Senate Committee refunded the excessive portion ($4,000) of the contribution.

5. 2 U.S.C. §441a(a)(1)(A) states that no person shall make contributions to an authorized political committee with respect to any federal election which, in the aggregate, exceed $1,000. 2 U.S.C. § 431(11).

V. By making a contribution in excess of limitations, Respondents violated 2 U.S.C. § 441a(a)(1)(A).

VI. Respondents will pay a civil penalty to the Treasurer of the United States in the amount of one thousand dollars ($1,000), pursuant to 2 U.S.C. § 437g(a)(5)(A).

VII. The Commission, on request of anyone filing a complaint under 2 U.S.C. § 437g(a)(1) concerning the matters at issue herein or on its own motion, may review compliance with this agreement. If the Commission believes that this agreement or any requirement thereof has been violated, it may institute a civil action for relief in the United States District Court for the District of Columbia.

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VIII. This agreement shall become effective as of the date that all parties hereto have executed same and the Commission has approved the entire agreement.

IX. Respondent shall have no more than thirty (30) days from the date this agreement becomes effective to comply with and implement the requirements contained in this agreement and to so notify the Commission.

X. This Conciliation Agreement constitutes the entire agreement between the parties on the matters raised herein, and no other statement, promise, or agreement, either written or oral, made by either party or by agents of either party, that is not contained in this written agreement shall be valid.

FOR THE COMMISSION:

Charles N. Steele
General Counsel

BY: Kenneth A. Gross
Associate General Counsel

May 5, 1986
Date

FOR THE RESPONDENT:

David A. Epstein
Counsel

April 14, 1986
Date

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of	)	
	)	
Democratic Party of Chicago and Cook County	)	MUR 2096
Howard W. Carroll, as treasurer	)	

CERTIFICATION

I, Marjorie W. Emmons, recording secretary for the Federal Election Commission executive session of March 11, 1986, do hereby certify that the Commission decided by a vote of 6-0 to take the following actions in MUR 2096:

1. Enter into conciliation with the Democatic Party of Chicago and Cook County and Howard W. Carroll, as treasurer, before a finding of probable cause to believe.

2. Approve and send the proposed conciliation agreement and letter attached to the General Counsel's report dated March 5, 1986.

3. Take no further action against the Democratic Party of Chicago and Cook County and Howard W. Carroll, as treasurer, for violations of 2 U.S.C. §§ 433 and 434.

Commissioners Aikens, Elliott, Harris, Josefiak, McDonald, and McGarry voted affirmatively for the decision.

Attest:

3-12-86
Date

Marjorie W. Emmons
Marjorie W. Emmons
Secretary of the Commission

BEFORE THE FEDERAL ELECTION COMMISSION

SENSITIVE

In the Matter of	)	
	)	
Democratic Party of Chicago	)	MUR 2096
and Cook County	)	
Howard W. Carroll, as treasurer	)	
	)	

4:40

GENERAL COUNSEL'S REPORT

I. BACKGROUND

On March 9, 1984, the Democratic Party of Chicago and Cook County ("the Party") and Howard W. Carroll, as treasurer, made a contribution of $5,000 to the Rock for Senate Committee. The Party is an unregistered entity connected with the national Democratic Party. The Rock for Senate Committee is the 1984 principal campaign committee for U.S. Senate candidate Philip J. Rock of Illinois. On September 12, 1984, the Rock for Senate Committee refunded $4,000, the excessive portion of the contribution.

On October 22, 1985, the Commission found reason to believe that the Party violated 2 U.S.C. § 441a(a)(1)(A) by making an excessive contribution to the Rock campaign and also found violations of 2 U.S.C. §§ 433 and 434 for failing to register as a political committee and report receipts and disbursements.

II. LEGAL ANALYSIS

2 U.S.C. § 441a(a)(1)(A) states that no person (See 2 U.S.C. § 432(11)) shall make contributions to an authorized political committee with respect to any federal election which, in the aggregate, exceed $1,000.

2 U.S.C. §§ 433 and 434 require registration and reporting of receipts and expenditures by political committees.

Pursuant to 2 U.S.C. § 441a(a)(1)(A), an unregistered committee may not contribute more than $1,000 to a federal candidate's campaign. Contributions in excess of $1,000 trigger the registration and reporting requirements of 2 U.S.C. §§ 433 and 434. See 2 U.S.C § 431(4).

In a letter dated February 5, 1986, Counsel for the Party responded to the reason to believe notice and requested settlement of the issues through pre-probable cause conciliation. The response stated that the Party "as a matter of policy and practice," does not contribute to federal candidates, nor does it intend to do so in the future. The Party is active in state and local campaigns. According to the Party, it does not wish to become a "political committee" under the Act.

The Party explains that the $5000 contribution to the Rock for Senate Committee occurred inadvertently, that the "Party office secretary who made out the check, and the Party Chairman who signed it ... simply did not realize that the recipient committee was a federal political committee ..." The failure to realize that a federal campaign was involved was explained by noting that candidate Philip J. Rock has been, and is, an Illinois State Senator and President of the State Senate, known as Senator Rock. His State Senate committees have solicited funds from the Party. The Party asserted that at the time of the contribution, the principals involved did not recognize that the check was going to State Senator Rock's U.S. Senate committee.

The Party stated that as a result of this episode, it has instituted procedures whereby any campaign contribution is reviewed by Party attorneys before it is released.

It appears clear from the Party's response that the contribution to a federal committee was a one-time transaction and that the Party has taken steps to ensure against a recurrence. Therefore, in this particular case, we would not pursue the issues of 2 U.S.C. §§ 433 and 434 which would require the Party to register and file reports in order to disclose the single federal contribution. We recommend that the Commission take no further action concerning the violations of 2 U.S.C. §§ 433 and 434 and proceed to pre-probable cause conciliation for the violation of 2 U.S.C. § 441a(a)(1)(A).

III. DISCUSSION OF CONCILIATION AND CIVIL PENALTY

IV. RECOMMENDATION

1. Enter into conciliation with the Democratic Party of Chicago and Cook County and Howard W. Carroll, as treasurer, before a finding of probable cause to believe.

2. Approve the attached proposed conciliation agreement.

3. Approve the attached letter.

Charles N. Steele
General Counsel

March 5, 1986
Date

By: Kenneth A. Gross
Kenneth A. Gross
Associate General Counsel

Attachments
Proposed Conciliation Agreement
Letter to Respondent
Request from Respondent

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FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

SENSITIVE

86 MAR 7 P3:39

March 7, 1986

MEMORANDUM

TO: The Commission

FROM: Charles N. Steele
General Counsel

By: Kenneth A. Gross
Associate General Counsel

SUBJECT: Errata MUR 2096

In MUR 2096 concerning the Democratic Party of Chicago and Cook County which is on the Agenda for March 11, 1986, a recommendation was inadvertently omitted. A fourth recommendation should be added to read as follows:

4. Take no further action against the Democratic Party of Chicago and Cook County and Howard W. Carroll, as treasurer, for violations of 2 U.S.C. §§433 and 434.

86040612861

RECEIVED BY THE FEC
86 FEB 6 AM 11:46

DAVID A. EPSTEIN
ATTORNEY AT LAW

SUITE 4444
MID-CONTINENTAL PLAZA
55 EAST MONROE STREET
CHICAGO, ILLINOIS 60603
(312) 236-3600

86 FEB 6 P3:20

February 5, 1986

Frances B. Hagan, Esq.
Office of General Counsel
Federal Election Commission
Washington, D.C. 20463

RE: MUR No. 2096
Democratic Party of Chicago
and Cook County, Illinois

Dear Ms. Hagan:

The undersigned represents the respondent in this proceeding, as I informed you in our phone conversation last week. Enclosed please find a "statement of designation of counsel" signed by Edward R. Vrdolyak, Chairman of the Democratic Party of Chicago and Cook County.

On behalf of the Democratic Party of Chicago and Cook County (hereinafter the "Party"), I hereby request pre-probable cause conciliation in this matter.

In this connection, the Commission is respectfully requested to take into consideration the following:

1. As a matter of policy and practice, the Party does not contribute to candidates for federal offices. The Party does not now do so, does not desire or intend to do so in the future, and (with the inadvertent exception of the March, 1984 check to Philip J. Rock's U.S. Senate campaign) has not done so in the past.

2. At my direction, the Party office staff has reviewed the Party's financial records, including all cancelled checks, for the past six years and has verified that no other funds have been given or lent to any other federal candidates or their campaigns by the Party.

3. As a matter of policy and practice, the Party regularly provides financial support only to candidates for county and (state) judicial offices and to local candidates (e.g., for Mayor), that have been endorsed by the appropriate Democratic Party committee or nominated by a party primary election. Occaisonally, the Party

has contributed to statewide State candidates, and to statewide campaign committees for Democratic state legislative contests.

4. The Party is registered as a campaign committee under the Illinois Campaign Disclosure Act (Article 9 of The Election Code; Ill.Rev.Stat., Ch. 46, par. 9-1, et seq.) and keeps records and files the disclosures required thereunder with the Illinois State Board of Elections.

5. Nevertheless, the Party does not desire to register as a "political committee" under the Federal Election Campaign Act of 1971 (the "Act") because it does not wish to engage in support of candidates for federal office, and accordingly, has no reason to assume the additional accounting and reporting burdens that such support and concommitant registration would entail.

6. The $5,000.00 check to Philip J. Rock's 1984 U.S. Senate campaign fund was an accident. The Party office secretary who made out the check, and the Party Chairman who signed it (in the course of signing several other checks) simply did not realize that the recipient committee was a federal political committee or that a "federal" campaign was involved.

   The confusion, or perhaps more accurately, the lack of realization that a federal Senate election was involved, stems from the fact that Philip J. Rock was then, and had been for many years (as he is today), an Illinois State Senator and President of the State Senate. He is known as Senator Rock. For many years he has maintained a Rock (state) Senate campaign committee. In most recent election years, he has also had and soliced funds for a Democratic State Senate campaign committee. The fact that this contribution was going to his federal, rather than state, senate campaign committee was simply unrecognized.

7. As a result of this episode, the Party Chairman and the Party Treasurer have insituted the practice of having all campaign contributions reviewed, before the fact, by the Party attorneys. The office staff have been so instructed, and all expenditures other than regular office expenses and salaries are now reviewed routinely by one or more attorneys.

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We offer the above in mitigation, and earnestly solicit the Commission's consideration of these facts in its proposed disposition of this matter. If affidavits or other evidentiary support would in any way assist the Party's position, we will be happy to comply.

I would also like to advise you, for the Commission's records, that Howard W. Carroll, the current Party Treasurer, was first elected to that Party office in late March, 1984 after the subject contribution to the Rock campaign had been made. Under Illinois law, our Party must reorganize every two years after the general primary election, which is held on the third Tuesday in March.

I must also apologize for the delay in sending this request, which is my own fault and not in any way the fault of the Party. I have, unexpectedly, been involved in several contested election matters due to the calling of special elections for Chicago Aldermen and Party Committeeman by the U.S. District Court, as well as having had to conduct depositions and prepare for trial (set for today) in a large construction/mechanic's lien case, which generated day-and-night settlement negotiations for the past week. I have just been overwhelmed. I deeply regret any difficulties this may have caused you or the Commission, and trust it will not be held against my client.

Thank you for your courtesy and patience. Please contact me at your convenience and advise me as to the Commission's position in this matter, and the next procedural steps.

Very truly yours,

DAVID A. EPSTEIN
Counsel for the Democratic Party
of Cook County and Chicago

DAE/hs

STATEMENT OF DESIGNATION OF COUNSEL

MUR No. 2096

NAME OF COUNSEL: DAVID A. EPSTEIN

ADDRESS: 55 E. Monroe Street, Suite 4444
Chicago, Illinois 60603

TELEPHONE: (312) 236-3600

The above-named individual is hereby designated as my counsel and is authorized to receive any notifications and other communications from the Commission and to act on my behalf before the Commission.

January 28, 1986
Date

Signature

RESPONDENT'S NAME: DEMOCRATIC PARTY OF CHICAGO AND COOK COUNTY

ADDRESS: 134 N. LaSalle Street
Chicago, Illinois 60602

HOME PHONE:

BUSINESS PHONE: (312) 263-0575

3 5 0 4 0 5 1 2 3 6 5

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of	)	
	)	MUR 2096
Rock for Senate Committee	)	
Daniel R. Fusco, as treasurer	)	

CERTIFICATION

I, Marjorie W. Emmons, Secretary of the Federal Election Commission, do hereby certify that on February 20, 1986, the Commission decided by a vote of 5-0 to take the following actions in MUR 2096:

1. Accept the conciliation agreement attached to the General Counsel's Report signed February 14, 1986.

2. Close the file as it relates to the Rock for Senate Committee and Daniel R. Fusco, as treasurer.

3. Send the letter attached to the General Counsel's Report signed February 14, 1986.

Commissioners Aikens, Elliott, Josefiak, McDonald and McGarry voted affirmatively for this decision; Commissioner Harris did not cast a vote.

Attest:

2-20-86
Date

Marjorie W. Emmons
Marjorie W. Emmons
Secretary of the Commission

Received in Office of Commission Secretary:	Fri.,	2-14-86,	3:17
Circulated on 48 hour tally basis:	Tues.,	2-18-86,	11:00
Deadline for vote:	Thurs.,	2-20-86,	11:00

SENSITIVE

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)

Rock for Senate Committee)
Daniel R. Fusco, as treasurer)

MUR 2096

General Counsel's Report

Background

Attached is a conciliation agreement signed by Philip J. Rock, the Senate candidate whose Committee is the subject of this MUR.

Recommendation

1. The Office of General Counsel recommends accepting this agreement and closing the file as it relates to the Rock for Senate Committee and Daniel R. Fusco, as treasurer.

2. Send attached letter.

Charles N. Steele
General Counsel

February 14, 1986
Date

By: Kenneth A. Gross
Kenneth A. Gross
Associate General Counsel

Attachment
Conciliation Agreement
Civil Penalty Check Copy
Letter to Respondent

3 5 0 4 0 5 1 2 8 5 9

GCC # 9667

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of	)	
	)	
Rock for Senate Committee	)	MUR 2096
Daniel R. Fusco, as treasurer	)	

CONCILIATION AGREEMENT

This matter was initiated by the Federal Election Commission (hereinafter "the Commission"), pursuant to information ascertained in the normal course of carrying out its supervisory responsibilities. The Commission found reason to believe that the Rock for Senate Committee and Daniel R. Fusco, as treasurer, ("Respondents") violated 2 U.S.C. 441a(f) by accepting contributions in excess of limitations at 2 U.S.C. § 441a(a)(1)(A) and (2)(A).

NOW, THEREFORE, the Commission and Respondents, having participated in informal methods of conciliation prior to a finding of probable cause to believe, do hereby agree as follows:

I. The Commission has jurisdiction over the Respondents and the subject matter of this proceeding, and this agreement has the effect of an agreement entered pursuant to 2 U.S.C. § 437g(a)(4)(A)(i).

II. Respondents have had a reasonable opportunity to demonstrate that no action should be taken in this matter.

III. Respondents enter voluntarily into this agreement with the Commission.

IV. The pertinent facts in this matter are as follows:

1. Respondent, Rock for Senate Committee, was the principal campaign committee during the 1984 primary for Philip J. Rock, U.S. Senate candidate from Illinois.

'90 FEB 6 P4:48

2. Daniel R. Fusco is treasurer of the Respondent committee.

3. During the 1984 primary election, Respondents received contributions in excess of contribution limitations from 21 individuals, a partnership, a political action committee and an unregistered party committee. The excessive amount totaled $12,220.40.

4. Between September 12, 1984 and December 5, 1985, Respondents refunded the excessive portion of the contributions.

V. Respondents violated 2 U.S.C. 441a(f) by accepting excessive contributions violative of 2 U.S.C. 441a(a)(1)(A) and (2)(A). Respondents contend that the violation was not knowing and willful.

VI. Respondents will pay a civil penalty to the Treasurer of the United States in the amount of three thousand fifty-five dollars ($3,055), pursuant to 2 U.S.C. § 437g(a)(5)(A).

VII. Respondents agree that they shall not undertake any activity which is in violation of the Federal Election Campaign Act of 1971, as amended, 2 U.S.C. § 431, et seq.

VIII. The Commission, on request of anyone filing a complaint under 2 U.S.C. § 437g(a)(1) concerning the matters at issue herein or on its own motion, may review compliance with this agreement. If the Commission believes that this agreement or any requirement thereof has been violated, it may institute a civil action for relief in the United States District Court for the District of Columbia.

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IX. This agreement shall become effective as of the date that all parties hereto have executed same and the Commission has approved the entire agreement.

X. Respondents shall have no more than thirty (30) days from the date this agreement becomes effective to comply with and implement the requirements contained in this agreement and to so notify the Commission.

XI. This Conciliation Agreement constitutes the entire agreement between the parties on the matters raised herein, and no other statement, promise, or agreement, either written or oral, made by either party or by agents of either party, that is not contained in this written agreement shall be valid.

FOR THE COMMISSION:

Charles N. Steele
General Counsel

BY: _____ February 21, 1986
Kenneth A. Gross
Associate General Counsel

Date

FOR THE RESPONDENTS:

_____ Feb 5 1986
Date

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FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

February 21, 1986

Daniel R. Fusco, Treasurer
Rock for Senate Committee
Rock, Fusco, Reynolds and Heneghan
29 South LaSalle Street
Chicago, Illinois 60603

RE: MUR 2096
Rock for Senate Committee
Daniel R. Fusco, as treasurer

Dear Mr. Fusco:

On February 20 , 1986, the Commission accepted the conciliation agreement signed by the candidate and a civil penalty in settlement of a violation of 2 U.S.C. § 441a(f), a provision of the Federal Election Campaign Act of 1971, as amended. Accordingly, the file has been closed in this matter as it pertains to the Rock for Senate Committee and you, as treasurer, and it will become a part of the public record within thirty days after this matter has been closed with respect to all other respondents involved. However, 2 U.S.C. § 437g(a)(4)(B) prohibits any information derived in connection with any conciliation attempt from becoming public without the written consent of the respondent and the Commission. Should you wish any such information to become part of the public record, please advise us in writing within 10 days.

The Commission reminds you that the confidentiality provisions of 2 U.S.C. §§ 437g(a)(4)(B) and 437g(a)(12)(A) remain in effect until the entire matter has been closed. The Commission will notify you when the entire file has been closed.

Enclosed you will find a fully executed copy of the final conciliation agreement for your files.

Sincerely,

Charles N. Steele
General Counsel

By: Kenneth A. Gross
Associate General Counsel

Enclosure
Conciliation Agreement

8 5 0 4 0 5 1 2 3 7 2

ROCK CAMPAIGN FUND
29 S. LA SALLE ST., SUITE 830
CHICAGO, ILL. 60603

965

Feb 5 1986

70-142
719

PAY TO THE ORDER OF U.S. Treasury $ 3,055 00/100

three thousand and fifty five and No/100 DOLLARS

OAK PARK TRUST & SAVINGS BANK
VILLAGE MALL PLAZA
OAK PARK, ILLINOIS 60301

ROCK CAMPAIGN FUND

Philip J. Rock

FOR

⑈000965⑈ ⑆071901426⑆ 07005 8880⑈

Copy for

MEMORANDUM

TO: RETHA DIXON TO: JOAN HARRIS

FROM: JOAN HARRIS FROM: RETHA DIXON

CHECK NO. 965 (a copy of which is attached) RELATING TO MUR 2096 AND NAME Rock for Senate Committee Daniel R. Fusco, as treasurer WAS RECEIVED ON February 6, 1986. PLEASE INDICATE THE ACCOUNT INTO WHICH IT SHOULD BE DEPOSITED:

/ * / BUDGET CLEARING ACCOUNT (#95F3875.16)

/ / CIVIL PENALTIES ACCOUNT (#95-1099.160)

/ / OTHER _____

SIGNATURE Robyn M. Bishop DATE 2-6-86

FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

January 28, 1986

Daniel R. Fusco, Treasurer
Rock for Senate Committee
Rock, Fusco, Reynolds and Heneghan
29 South LaSalle Street
Chicago, Illinois 60603

RE: MUR 2096
Rock for Senate
Daniel R. Fusco, as treasurer

Dear Mr. Fusco:

On October 22, 1985, the Commission found reason to believe that the Rock for Senate Committee and you, as treasurer, violated 2 U.S.C. § 441a(f). At your request, the Commission determined on January 23, 1986, to enter into negotiations directed towards reaching a conciliation agreement in settlement of this matter prior to a finding of probable cause to believe.

Enclosed is a conciliation agreement that the Commission has approved in settlement of this matter. If you agree with the provisions of the enclosed agreement, please sign and return it, along with the civil penalty, to the Commission. In light of the fact that conciliation negotiations, prior to a finding of probable cause to believe, are limited to a maximum of 30 days, you should respond to this notification as soon as possible. If you have any questions or suggestions for changes in this agreement, or if you wish to arrange a meeting in connection with a mutually satisfactory conciliation agreement, please contact Frances B. Hagan, the staff member assigned to this matter, at (202) 376-8200.

Sincerely,

Charles N. Steele
General Counsel

By: Kenneth A. Gross
Associate General Counsel

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BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of	)	
	)	MUR 2096
Rock for Senate Committee	)	
Daniel R. Fusco, as treasurer	)	

CERTIFICATION

I, Marjorie W. Emmons, Secretary of the Federal Election Commission, do hereby certify that on January 23, 1986, the Commission decided by a vote of 6-0 to take the following actions in MUR 2096:

1. Enter into conciliation with the Rock for Senate Committee and Daniel R. Fusco, as treasurer, prior to a finding of probable cause to believe.

2. Approve the proposed conciliation agreement attached to the General Counsel's Report signed January 16, 1986.

3. Approve and send the letter attached to the General Counsel's Report signed January 16, 1986.

Commissioners Aikens, Elliott, Harris, Josefiak, McDonald and McGarry voted affirmatively for this decision.

Attest:

1-23-86
Date

Marjorie W. Emmons
Marjorie W. Emmons
Secretary of the Commission

Received in Office of Commission Secretary:	Tues.,	1-21-86,	10:59
Circulated on 48 hour tally basis:	Tues.,	1-21-86,	4:00
Deadline for vote:	Thurs.,	1-23-86,	4:00

BEFORE THE FEDERAL ELECTION COMMISSION SENSITIVE

In the Matter of)
)
Rock for Senate Committee) MUR 2096 86 JAN 21 A10:59
Daniel R. Fusco, as treasurer)

GENERAL COUNSEL'S REPORT

I. BACKGROUND

On October 22, 1985, the Commission found reason to believe that the Rock for Senate Committee ("the Rock Committee") and Daniel R. Fusco, as treasurer, violated 2 U.S.C. § 441a(f) by receipt of contributions in excess of limitations from 21 individuals, a partnership, a political action committee and an unregistered party committee.*/

During 1984, the Rock Committee received contributions in excess of contributor limitations as follows:

Contributor	Amount Received	Excessive Portion	Date Excessive Received	Amount/Date of Refund
1. Barkowicz, Ronald	$1,120.40	$ 120.40	9/10/84	$ 120.40 5/13/85
2. Cashion, John Bernard	1,500.00	500.00	9/21/84	500.00 5/13/85
3. Deporter, Donald	1,400.00	100.00 300.00	5/29/84 9/17/84	400.00 12/10/84
4. Duffy, Thomas J.	1,300.00	300.00	5/29/84	300.00 12/05/85
5. Embry, David D.	1,250.00	250.00	3/15/84	250.00 12/05/85
6. Flanagan, Thomas	1,125.00	125.00	3/12/84	125.00 12/05/85

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*/ Recommendations regarding the other two respondents in this case, individual Jack C. Leahy and the Democratic Party of Chicago and Cook County and Howard Carroll, as treasurer, will be discussed in separate reports or briefs.

Contributor	Amount Received	Excessive Portion	Date Excessive Received	Amount/Date of Refund
7. Garvey, Thomas J.	$1,300.00	$ 300.00	9/17/84	$ 300.00 5/13/85
8. Giglio, Frank	1,100.00	100.00	2/10/84	100.00 12/05/85
9. Gill, James R.	1,200.00	200.00	9/17/84	200.00 5/13/85
10. Gilligan, William J.	1,300.00	300.00	9/10/84	300.00 5/13/85
11. Glade, Robert B.	1,500.00	500.00	5/29/84	500.00 12/10/84
12. Henslee, Martin K.	2,000.00	1,000.00	9/14/84	1,000.00 5/13/85
13. Leahy, Jack C.	2,200.00	1,000.00 200.00	3/14/84 9/14/84	200.00 5/13/85 1,000.00 12/05/85
14. McDonald, John A.	1,300.00	300.00	9/17/84	300.00 5/13/85
15. Novy, Frederick G.	1,300.00	300.00	9/17/84	300.00 5/13/85
16. Patelski, Walter	1,150.00	150.00	9/14/84	150.00 5/13/85
17. Riffkind, Maxwell	1,200.00	200.00	9/17/84	200.00 5/13/85
18. Sherman, Leonard	1,300.00	300.00	9/17/84	300.00 5/13/85
19. Smith, William, Jr.	1,100.00	100.00	9/?/84?	100.00 5/13/85

Contributor	Amount Received	Excessive Portion	Date Excessive Received	Amount/Date of Refund
20. Sneeden, R. Jack	$1,750.00	$ 750.00	9/14/84	$ 750.00 5/13/85
21. Sullivan Brian P.	1,125.00	125.00	2/16/84	125.00 12/05/85
22. Spitzer, Addis Susman & Roskin (Partnership)	1,500.00	500.00	1/23/84	500.00 12/05/85
23. Sheet Metal Workers International Association Political Action League and Local #73	5,200.00	200.00	5/18/84	200.00 12/10/84
24. Democratic Party of Chicago and Cook County (Unregistered)	5,000.00	4,000.00	3/9/84	4,000.00 9/12/84
Total		$12,220.40		$12,220.40

During its review process, RAD notified the Rock Committee of apparent excessives totaling $10,820.40. Upon receipt of RAD's notices of apparent excessive contributions, the Rock Committee refunded the amounts in question. In preparation of its referral to the Office of the General Counsel, RAD identified additional contributions totaling $2,400 which appeared to be excessive. When notified of the excessives through the reason to believe finding notice, the Rock Committee refunded the remaining excessive portion.

II. Legal Analysis

2 U.S.C. § 441a(a)(1)(A) states that no person shall make contributions to an authorized political committee with respect to any federal election which, in the aggregate, exceed $1,000.

2 U.S.C. § 441a(a)(2)(A) limits to $5,000 per election contributions by multicandidate committees to authorized political committees.

2 U.S.C. § 441a(f) prohibits receipt of contributions violative of 2 U.S.C. § 441a(a)(1)(A) and (2)(A).

Through receipt of the excessive contributions described herein, the Rock Committee violated 2 U.S.C. § 441a(f).

III. Discussion of Conciliation and Civil Penalty

IV. Recommendation

1. Enter into conciliation with the Rock for Senate Committee and Daniel R. Fusco, as treasurer, prior to a finding of probable cause to believe.

2. Approve the attached proposed conciliation agreement.

3. Approve and send the attached letter.

Charles N. Steele
General Counsel

January 16, 1986
Date

BY: Kenneth A. Gross
Associate General Counsel

Attachments
Proposed Conciliation Agreement
Letter to Respondent
Request for Pre-Probable Cause Conciliation

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GCC#9210
85 DEC 16 A10:20

LAW OFFICES

ROCK, FUSCO, REYNOLDS & HENEGHAN
A PROFESSIONAL CORPORATION
29 SOUTH LA SALLE STREET
CHICAGO, ILLINOIS 60603

(312) 641-0850

PHILIP J. ROCK
DANIEL R. FUSCO
JOHN J. REYNOLDS
THOMAS J. HENEGHAN
MARK A. SPADORO

WILLIAM PAUL JONES
KATIE NEWSHAM
JOHN J. MORAN, JR.
MICHAEL J. MURRAY

85 DEC 16 P12:29
RECEIVED
SECRETARY
GENERAL COUNSEL

December 11, 1985

Federal Election Commission
1325 K Street, N.W.
Washington, D.C. 20463

Attention: Ms. Frances B. Hagan

Re: MUR 2096
Rock for Senate Committee

Dear Ms. Hagan:

Pursuant to your letter of November 25, 1985, and our telephone conference this date, I am requesting a pre-probable cause conciliation pursuant to 11 C.F.R. §111.18(d).

Enclosed are copies of the checks remitted to contributors who donated in excess of allowable limits. The acceptance of contributions which exceeded the allowable limits was done through inadvertence, as there was no intent to solicit or accept improper contributions. Unfortunately, our record keeping did not attain the proficiency required, mostly because of the enormous pressure during the last days of the campaign and then a change of personnel, whom we thought understood the procedure, but did not. Although our staff was instructed in the proper procedure, it broke down and, therefore, I must take the blame for not properly supervising.

Our committee is now in full compliance, and procedures and safeguards have been instituted to prevent these problems from reoccuring.

Please call after you have reviewed the enclosures.

Very truly yours,

DANIEL R. FUSCO
Treasurer
Rock for U.S. Senate

DRF:jb
Encl.

8 5 0 4 0 5 1 2 8 3 1

ROCK FOR U S SENATE

1519

Dec 5 19 75

2-340 110

PAY TO THE ORDER OF

$ 300.00

DOLLARS

Amalgamated Trust & Savings Bank

FOR

⑈015519⑈ ⑆071000405⑆ ⑈0635194600⑈

ROCK FOR U.S. SENATE
221 N. LA SALLE, SUITE 3517
CHICAGO, ILL. 60601

1524

Dec 5 1985

2-340/710

PAY TO THE ORDER OF Spitzer, Rodes, Sherman & Roskin $ 500.00

Five hundred & no/100 DOLLARS

Amalgamated Trust & Savings Bank (CHICAGO, ILLINOIS 60601)

FOR

⑈001524⑈ ⑆071003405⑆ ⑈063519100⑈

ROCK FOR U.S. SENATE
221 N. LA SALLE, SUITE 3517
CHICAGO, ILL. 60601

Dec 5 1985

2-340/710

PAY TO THE ORDER OF Brian P. Sullivan $ 125.00

One hundred & twenty-five & no/100 DOLLARS

Amalgamated Trust & Savings Bank (CHICAGO, ILLINOIS 60601)

FOR

⑈001523⑈ ⑆071003405⑆ ⑈063519100⑈

ROCK FOR U.S. SENATE
221 N. LA SALLE, SUITE 3517
CHICAGO, ILL. 60601

1530

Dec 5 1985

2-340/710

PAY TO THE ORDER OF Jack Leahy $ 1000.00

One thousand & no/100 DOLLARS

Amalgamated Trust & Savings Bank (CHICAGO, ILLINOIS 60601)

FOR

⑈001530⑈ ⑆071003405⑆ ⑈063519100⑈

ROCK FOR U.S. SENATE
221 N. LA SALLE, SUITE 3517
CHICAGO, ILL. 60601

1520

Dec 5 1985

2-340/710

PAY TO THE ORDER OF David Embry $ 250.00

Two hundred & fifty & no/100 DOLLARS

Amalgamated Trust & Savings Bank
CHICAGO, ILLINOIS 60603

FOR

⑈001520⑈ ⑆071003405⑆ ⑈063519100⑈

ROCK FOR U.S. SENATE
221 N. LA SALLE, SUITE 3517
CHICAGO, ILL. 60601

1521

Dec 5 1985

2-340/710

PAY TO THE ORDER OF Thomas D. Flanagan $ 125.00

One hundred & twenty-five & no/100 DOLLARS

Amalgamated Trust & Savings Bank
CHICAGO, ILLINOIS 60603

FOR

⑈001521⑈ ⑆071003405⑆ ⑈063519100⑈

ROCK FOR U.S. SENATE
221 N. LA SALLE, SUITE 3517
CHICAGO, ILL. 60601

1522

Dec 5 1985

2-340/710

PAY TO THE ORDER OF Hon. FRANK Giglio $ 100.00

One hundred & no/100 DOLLARS

Amalgamated Trust & Savings Bank
CHICAGO, ILLINOIS 60603

FOR

⑈001522⑈ ⑆071003405⑆ ⑈063519100⑈

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85 DEC 18 A8:14

Law Offices of

Hollobow and Taslitz

29 SOUTH LA SALLE STREET · CHICAGO, ILLINOIS 60603 · (312) 782-8444

LAWRENCE J. TASLITZ
WILLIAM L. SMITH, JR.
ALAN B. CASTATOR
DONALD F. HEMMESCH, JR.
RICHARD C. JOHNSON

IRVING E. HOLLOBOW (1905-1982)

FOUR MARKET SQUARE COURT
LAKE FOREST, ILLINOIS 60045
TELEPHONE (312) 234-1629

December 16, 1985

Federal Election Commission
Washington, D.C. 20463

Attn: Frances B. Hagan

Re: MUR 2096, William L. Smith, Jr.

Gentlemen:

Any violations of the Federal Election Campaign Act of 1971 were inadvertant. The Rock for Senate Committee threw a number of parties and the undersigned contributed and attended each one of those parties. Any overcontribution was not intended and if in fact, exceeded $1,000, was through the inadvertance of the undersigned.

Sincerely yours,

WILLIAM L. SMITH, JR.

WLS:sm

85 DEC 18 A9:51
GENERAL COUNSEL
RECEIVED

FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

November 25, 1985

Daniel R. Fusco, Treasurer
Rock for U.S. Senate Committee
29 South LaSalle Street, Suite 830
Chicago, Illinois 60603

RE: MUR 2096
Rock for Senate Committee
Daniel R. Fusco, as
treasurer

Dear Mr. Fusco:

On October 22 , 1985, the Federal Election Commission determined that there is reason to believe that the Rock for Senate Committee and you, as treasurer, violated 2 U.S.C. § 441a(f), a provision of the Federal Election Campaign Act of 1971, as amended ("the Act"). The General Counsel's factual and legal analysis, which formed a basis for the Commission's finding, is attached for your information.

Under the Act, you have an opportunity to demonstrate that no action should be taken against you and the committee. You may submit any factual or legal materials which you believe are relevant to the Commission's consideration of this matter. Please submit any such materials within fifteen days of your receipt of this letter.

In the absence of any additional information which demonstrates that no further action should be taken against your committee and you, as treasurer, the Commission may find probable cause to believe that a violation has occurred and proceed with conciliation.

If you are interested in pursuing pre-probable cause conciliation, you should so request in writing. See 11 C.F.R. § 111.18(d). Upon receipt of the request, the Office of General Counsel will make recommendations to the Commission either proposing an agreement in settlement of the matter or recommending declining that pre-probable cause conciliation be pursued. The Office of General Counsel may recommend that pre-probable cause conciliation not be entered into at this time so that it may complete its investigation of the matter. Further, requests for pre-probable cause conciliation after briefs on probable cause have been mailed to the respondent will not be entertained.

Requests for extensions of time will not be routinely granted. Requests must be made in writing at least five days prior to the due date of the response and specific good cause must be demonstrated. In addition, the Office of General Counsel is not authorized to give extensions beyond 20 days.

If you intend to be represented by counsel in this matter, please advise the Commission by completing the enclosed form stating the name, address and telephone number of such counsel, and a statement authorizing such counsel to receive any notifications and other communications from the Commission.

The investigation now being conducted will be confidential in accordance with 2 U.S.C. §§ 437g(a)(4)(B) and 437g(a)(12)(A), unless you notify the Commission in writing that you wish the investigation to be made public.

For your information, we have attached a brief description of the Commission's procedures for handling possible violations of the Act. If you have any questions, please contact Frances B. Hagan, the staff member assigned to this matter, at (202)523-4000.

Sincerely,

John Warren McGarry
Chairman

Enclosures
General Counsel's Factual and Legal Analysis
Procedures
Designation of Counsel Statement

cc: Philip J. Rock

FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

November 25, 1985

Treasurer
Democratic Party of Chicago and
Cook County
171 W. Randolph Street, Room 501
Chicago, Illinois 60601

RE: MUR 2096
Democratic Party of
Chicago and Cook
County and its
treasurer

Dear Sir or Madam:

On October 22, 1985, the Federal Election Commission determined that there is reason to believe that the Democratic Party of Chicago and Cook County and you, as treasurer, violated 2 U.S.C. §§ 441a(a)(1)(A), 433 and 434, provisions of the Federal Election Campaign Act of 1971, as amended ("the Act"). The General Counsel's factual and legal analysis, which formed a basis for the Commission's finding, is attached for your information.

Under the Act, you have an opportunity to demonstrate that no action should be taken against you and the committee. You may submit any factual or legal materials which you believe are relevant to the Commission's consideration of this matter. Please submit any such materials, within fifteen days of your receipt of this letter.

In the absence of any additional information which demonstrates that no further action should be taken against your committee and you, as treasurer, the Commission may find probable cause to believe that a violation has occurred and proceed with conciliation.

If you are interested in pursuing pre-probable cause conciliation, you should so request in writing. See 11 C.F.R. § 111.18(d). Upon receipt of the request, the Office of General Counsel will make recommendations to the Commission either proposing an agreement in settlement of the matter or recommending declining that pre-probable cause conciliation be

pursued. The Office of General Counsel may recommend that pre-probable cause conciliation not be entered into at this time so that it may complete its investigation of the matter. Further, requests for pre-probable conciliation after briefs on probable cause have been mailed to the respondent will not be entertained.

Requests for extensions of time will not be routinely granted. Requests must be made in writing at least five days prior to the due date of the response and specific good cause must be demonstrated. In addition, the Office of General Counsel is not authorized to give extensions beyond 20 days.

If you intend to be represented by counsel in this matter, please advise the Commission by completing the enclosed form stating the name, address and telephone number of each counsel, and a statement authorizing such counsel to receive any notifications and other communications from the Commission.

The investigation now being conducted will be confidential in accordance with 2 U.S.C. §§ 437g(a)(4)(B) and 437g(a)(12)(A), unless you notify the Commission in writing that you wish the investigation to be made public.

For your information, we have attached a brief description of the Commission's procedures for handling possible violations of the Act. If you have any questions, please contact Frances B. Hagan, the staff member attorney assigned to this matter, at (202) 523-4000.

Sincerely,

John Warren McGarry

John Warrren McGarry
Chairman

Enclosures
General Counsel's Factual and Legal Analysis
Procedures
Designation of Counsel Statement

FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

November 25, 1985

Mr. Jack C. Leahy
300 S. Ashland
Chicago, Illinois 60607

RE: MUR 2096
Jack C. Leahy

Dear Mr. Leahy:

On October 22, 1985, the Federal Election Commission determined that there is reason to believe you violated 2 U.S.C. § 441a(a)(1)(A), a provision of the Federal Election Campaign Act of 1971, as amended ("the Act"). The General Counsel's factual and legal analysis, which formed a basis for the Commission's finding, is attached for your information.

Under the Act, you have an opportunity to demonstrate that no action should be taken against you. You may submit any factual or legal materials which you believe are relevant to the Commission's consideration of this matter. Please submit any such materials within fifteen days of your receipt of this letter.

In the absence of any additional information which demonstrates that no further action should be taken against you, the Commission may find probable cause to believe that a violation has occurred and proceed with conciliation.

If you are interested in pursuing pre-probable cause conciliation, you should so request in writing. See 11 C.F.R. § 111.18(d). Upon receipt of the request, the Office of General Counsel will make recommendations to the Commission either proposing an agreement in settlement of the matter or recommending declining that pre-probable cause conciliation be pursued. The Office of General Counsel may recommend that pre-probable cause conciliation not be entered into at this time so that it may complete its investigation of the matter. Further, requests for pre-probable cause conciliation will not be entertained after briefs on probable cause have been mailed to the respondent.

Requests for extensions of time will not be routinely granted. Requests must be made in writing at least five days

prior to the due date of the response and specific good cause must be demonstrated. In addition, the Office of General Counsel is not authorized to give extensions beyond 20 days.

If you intend to be represented by counsel in this matter, please advise the Commission by completing the enclosed form stating the name, address and telephone number of each counsel, and a statement authorizing such counsel to receive any notifications and other communications from the Commission.

The investigation now being conducted will be confidential in accordance with 2 U.S.C. §§ 437g(a)(4)(B) and 437g(a)(12)(A), unless you notify the Commission in writing that you wish the investigation to be made public.

For your information, we have attached a brief description of the Commission's procedures for handling possible violations of the Act. If you have any questions, please contact Frances B. Hagan, the staff member attorney assigned to this matter, at (202) 523-4000.

Sincerely,

John Warren McGarry
Chairman

Enclosures
General Counsel's Factual and Legal Analysis
Procedures
Designation of Counsel Statement

FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

November 25, 1985

Maxwell Riffkind
2700 Division Street
Melrose Park, IL 60160

RE: MUR 2096
Maxwell Riffkind

Dear Mr. Riffkind:

On October 22, 1985, the Commission found reason to believe that you had violated 2 U.S.C. § 441a(a)(1)(A), a provision of the Federal Election Campaign Act of 1971, as amended ("the Act") in connection with the above referenced Matter Under Review. However, after considering the circumstances of this matter, the Commission determined to take no further action and on the same day, closed its file as it pertains to you. The General Counsel's Factual and Legal analysis which formed a basis for the Commission's finding is attached for your information.

The file will be made part of the public record within 30 days after this matter has been closed with respect to all other respondents involved. Should you wish to submit any materials to appear on the public record, please do so within 10 days of your receipt of this letter.

The confidentiality provisions of 2 U.S.C. §§ 437g(a)(4)(B) and 437g(a)(12)(A) remain in effect until the entire matter is closed. The Commission will notify you when the entire file has been closed.

The Commission reminds you that contributions in excess of limitations nevertheless appears to be a violation of 2 U.S.C. § 441a(a)(1)(A). You should take immediate steps to insure that this activity does not occur in the future.

If you have any questions, please direct them to Frances B. Hagan, the staff member assigned to this matter, at (202) 523-4000.

Sincerely,

John Warren McGarry
Chairman

FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

November 25, 1985

John A. McDonald
1718 Del 'Agier Drive
Glenview, IL 60025

RE: MUR 2096
John A. McDonald

Dear Mr. McDonald:

On October 22, 1985, the Commission found reason to believe that you had violated 2 U.S.C. § 441a(a)(1)(A), a provision of the Federal Election Campaign Act of 1971, as amended ("the Act") in connection with the above referenced Matter Under Review. However, after considering the circumstances of this matter, the Commission determined to take no further action and on the same day, closed its file as it pertains to you. The General Counsel's Factual and Legal analysis which formed a basis for the Commission's finding is attached for your information.

The file will be made part of the public record within 30 days after this matter has been closed with respect to all other respondents involved. Should you wish to submit any materials to appear on the public record, please do so within 10 days of your receipt of this letter.

The confidentiality provisions of 2 U.S.C. §§ 437g(a)(4)(B) and 437g(a)(12)(A) remain in effect until the entire matter is closed. The Commission will notify you when the entire file has been closed.

The Commission reminds you that contributions in excess of limitations nevertheless appears to be a violation of 2 U.S.C. § 441a(a)(1)(A). You should take immediate steps to insure that this activity does not occur in the future.

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If you have any questions, please direct them to Frances B. Hagan, the staff member assigned to this matter, at (202) 523-4000.

Sincerely,

John Warren McGarry

John Warren McGarry
Chairman

FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

November 25, 1985

Cecil D. Clay, Treasurer
Sheet Metal Workers International Association
Political Action League
1750 New York Avenue, N.W.
Washington, D.C. 20006

RE: MUR 2096
Sheet Metal Workers International Association Political Action League
Cecil D. Clay, as treasurer

Dear Mr. Clay:

On October 22, 1985, the Commission found reason to believe that the Sheet Metal Workers International Association Political Action League and you, as treasurer, violated 2 U.S.C. § 441a(a)(2)(A), a provision of the Federal Election Campaign Act of 1971, as amended ("the Act"), in connection with the above referenced Matter Under Review. However, after considering the circumstances of this matter, the Commission determined to take no further action and on the same day closed its file as it pertains to you and your committee. The General Counsel's Factual and Legal analysis which formed a basis for the Commission's finding is attached for your information.

The file will be made part of the public record within 30 days after this matter has been closed with respect to all other respondents involved. Should you wish to submit any materials to appear on the public record, please do so within 10 days of your receipt of this matter.

The confidentiality provisions of 2 U.S.C. §§ 437g(a)(4)(B) and 437g(a)(12)(A) remain in effect until the entire matter is closed. The Commission will notify you when the entire file has been closed.

The Commission reminds you that making contributions in excess of $5,000 by a multi-candidate committee nevertheless appears to be a violation of 2 U.S.C. § 441a(a)(2)(A). You should take immediate steps to insure that this activity does not occur in the future.

If you have any questions, please direct them to Frances B. Hagan, the staff member assigned to this matter, at (202) 523-4000.

Sincerely,

John Warren McGarry
Chairman

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FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

November 25, 1985

Donald Deporter
Regional Vice President
Hyatt Regency Chicago
151 E. Wacker Drive
Chicago, IL 60601

RE: MUR 2096
Donald Deporter

Dear Mr. Deporter:

On October 22, 1985, the Commission found reason to believe that you had violated 2 U.S.C. § 441a(a)(1)(A), a provision of the Federal Election Campaign Act of 1971, as amended ("the Act") in connection with the above referenced Matter Under Review. However, after considering the circumstances of this matter, the Commission determined to take no further action and on the same day, closed its file as it pertains to you. The General Counsel's Factual and Legal analysis which formed a basis for the Commission's finding is attached for your information.

The file will be made part of the public record within 30 days after this matter has been closed with respect to all other respondents involved. Should you wish to submit any materials to appear on the public record, please do so within 10 days of your receipt of this letter.

The confidentiality provisions of 2 U.S.C. §§ 437g(a)(4)(B) and 437g(a)(12)(A) remain in effect until the entire matter is closed. The Commission will notify you when the entire file has been closed.

The Commission reminds you that contributions in excess of limitations nevertheless appears to be a violation of 2 U.S.C. § 441a(a)(1)(A). You should take immediate steps to insure that this activity does not occur in the future.

If you have any questions, please direct them to Frances B. Hagan, the staff member assigned to this matter, at (202) 523-4000.

Sincerely,

John Warren McGarry

John Warren McGarry
Chairman

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FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

November 25, 1985

Spitzer, Addis, Susman
and Roskin
101 W. Monroe
Chicago, IL 60603

RE: MUR 2096
Spitzer, Addis, Susman
and Roskin

Dear Sir or Madam:

On October 22, 1985, the Commission found reason to believe that your firm had violated 2 U.S.C. § 441a(a)(1)(A), a provision of the Federal Election Campaign Act of 1971, as amended ("the Act") in connection with the above referenced Matter Under Review. However, after considering the circumstances of this matter, the Commission determined to take no further action and on the same day, closed its file as it pertains to your firm. The General Counsel's Factual and Legal Analysis which formed a basis for the Commission's finding is attached for your information.

The file will be made part of the public record within 30 days after this matter has been closed with respect to all other respondents involved. Should you wish to submit any materials to appear on the public record, please do so within 10 days of your receipt of this letter.

The confidentiality provisions of 2 U.S.C. §§ 437g(a)(4)(B) and 437g(a)(12)(A) remain in effect until the entire matter is closed. The Commission will notify you when the entire file has been closed.

The Commission reminds you that contributions in excess of limitations nevertheless appears to be a violation of 2 U.S.C. § 441a(a)(1)(A). You should take immediate steps to insure that this activity does not occur in the future.

If you have any questions, please direct them to Frances B. Hagan, the staff member assigned to this matter, at (202) 523-4000.

Sincerely,

John Warren McGarry

John Warren McGarry
Chairman

FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

November 25, 1985

Brian P. Sullivan
1005 Bonnie Brae
River Forest, IL 60305

RE: MUR 2096
Brian P. Sullivan

Dear Mr. Sullivan:

On October 22, 1985, the Commission found reason to believe that you had violated 2 U.S.C. § 441a(a)(1)(A), a provision of the Federal Election Campaign Act of 1971, as amended ("the Act") in connection with the above referenced Matter Under Review. However, after considering the circumstances of this matter, the Commission determined to take no further action and on the same day, closed its file as it pertains to you. The General Counsel's Factual and Legal analysis which formed a basis for the Commission's finding is attached for your information.

The file will be made part of the public record within 30 days after this matter has been closed with respect to all other respondents involved. Should you wish to submit any materials to appear on the public record, please do so within 10 days of your receipt of this letter.

The confidentiality provisions of 2 U.S.C. §§ 437g(a)(4)(B) and 437g(a)(12)(A) remain in effect until the entire matter is closed. The Commission will notify you when the entire file has been closed.

The Commission reminds you that contributions in excess of limitations nevertheless appears to be a violation of 2 U.S.C. § 441a(a)(1)(A). You should take immediate steps to insure that this activity does not occur in the future.

If you have any questions, please direct them to Frances B. Hagan, the staff member assigned to this matter, at (202) 523-4000.

Sincerely,

John Warren McGarry

John Warren McGarry
Chairman

FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

November 25, 1985

Frederick G. Novy
111 W. Washington
Chicago, IL 60646

RE: MUR 2096
Frederick G. Novy

Dear Mr. Novy:

On October 22, 1985, the Commission found reason to believe that you had violated 2 U.S.C. § 441a(a)(1)(A), a provision of the Federal Election Campaign Act of 1971, as amended ("the Act") in connection with the above referenced Matter Under Review. However, after considering the circumstances of this matter, the Commission determined to take no further action and on the same day, closed its file as it pertains to you. The General Counsel's Factual and Legal analysis which formed a basis for the Commission's finding is attached for your information.

The file will be made part of the public record within 30 days after this matter has been closed with respect to all other respondents involved. Should you wish to submit any materials to appear on the public record, please do so within 10 days of your receipt of this letter.

The confidentiality provisions of 2 U.S.C. §§ 437g(a)(4)(B) and 437g(a)(12)(A) remain in effect until the entire matter is closed. The Commission will notify you when the entire file has been closed.

The Commission reminds you that contributions in excess of limitations nevertheless appears to be a violation of 2 U.S.C. § 441a(a)(1)(A). You should take immediate steps to insure that this activity does not occur in the future.

If you have any questions, please direct them to Frances B. Hagan, the staff member assigned to this matter, at (202) 523-4000.

Sincerely,

John Warren McGarry
Chairman

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FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

November 25, 1985

Walter Patelski
6500 W. Cortland
Chicago, IL 60635

RE: MUR 2096
Walter Patelski

Dear Mr. Patelski:

On October 22, 1985, the Commission found reason to believe that you had violated 2 U.S.C. § 441a(a)(1)(A), a provision of the Federal Election Campaign Act of 1971, as amended ("the Act") in connection with the above referenced Matter Under Review. However, after considering the circumstances of this matter, the Commission determined to take no further action and on the same day, closed its file as it pertains to you. The General Counsel's Factual and Legal analysis which formed a basis for the Commission's finding is attached for your information.

The file will be made part of the public record within 30 days after this matter has been closed with respect to all other respondents involved. Should you wish to submit any materials to appear on the public record, please do so within 10 days of your receipt of this letter.

The confidentiality provisions of 2 U.S.C. §§ 437g(a)(4)(B) and 437g(a)(12)(A) remain in effect until the entire matter is closed. The Commission will notify you when the entire file has been closed.

The Commission reminds you that contributions in excess of limitations nevertheless appears to be a violation of 2 U.S.C. § 441a(a)(1)(A). You should take immediate steps to insure that this activity does not occur in the future.

If you have any questions, please direct them to Frances B. Hagan, the staff member assigned to this matter, at (202) 523-4000.

Sincerely,

John Warren McGarry
Chairman

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FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

November 25, 1985

Leonard Sherman
222 W. Adams
Chicago, IL 60606

RE: MUR 2096
Leonard Sherman

Dear Mr. Sherman:

On October 22, 1985, the Commission found reason to believe that you had violated 2 U.S.C. § 441a(a)(1)(A), a provision of the Federal Election Campaign Act of 1971, as amended ("the Act") in connection with the above referenced Matter Under Review. However, after considering the circumstances of this matter, the Commission determined to take no further action and on the same day, closed its file as it pertains to you. The General Counsel's Factual and Legal analysis which formed a basis for the Commission's finding is attached for your information.

The file will be made part of the public record within 30 days after this matter has been closed with respect to all other respondents involved. Should you wish to submit any materials to appear on the public record, please do so within 10 days of your receipt of this letter.

The confidentiality provisions of 2 U.S.C. §§ 437g(a)(4)(B) and 437g(a)(12)(A) remain in effect until the entire matter is closed. The Commission will notify you when the entire file has been closed.

The Commission reminds you that contributions in excess of limitations nevertheless appears to be a violation of 2 U.S.C. § 441a(a)(1)(A). You should take immediate steps to insure that this activity does not occur in the future.

If you have any questions, please direct them to Frances B. Hagan, the staff member assigned to this matter, at (202) 523-4000.

Sincerely,

John Warren McGarry

John Warren McGarry
Chairman

8504051429

FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

November 25, 1985

William Smith, Jr.
29 S. LaSalle
Chicago, IL 60603

RE: MUR 2096
William Smith, Jr.

Dear Mr. Smith:

On October 22, 1985, the Commission found reason to believe that you had violated 2 U.S.C. § 441a(a)(1)(A), a provision of the Federal Election Campaign Act of 1971, as amended ("the Act") in connection with the above referenced Matter Under Review. However, after considering the circumstances of this matter, the Commission determined to take no further action and on the same day, closed its file as it pertains to you. The General Counsel's Factual and Legal analysis which formed a basis for the Commission's finding is attached for your information.

The file will be made part of the public record within 30 days after this matter has been closed with respect to all other respondents involved. Should you wish to submit any materials to appear on the public record, please do so within 10 days of your receipt of this letter.

The confidentiality provisions of 2 U.S.C. §§ 437g(a)(4)(B) and 437g(a)(12)(A) remain in effect until the entire matter is closed. The Commission will notify you when the entire file has been closed.

The Commission reminds you that contributions in excess of limitations nevertheless appears to be a violation of 2 U.S.C. § 441a(a)(1)(A). You should take immediate steps to insure that this activity does not occur in the future.

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If you have any questions, please direct them to Frances B. Hagan, the staff member assigned to this matter, at (202) 523-4000.

Sincerely,

John Warren McGarry
Chairman

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FEDERAL ELECTION COMMISSION
WASHINGTON, D C 20463

November 25, 1985

R. Jack Sneeden
520 Santa Rosa Street
DesPlaines, IL 60018

RE: MUR 2096
R. Jack Sneeden

Dear Mr. Sneeden:

On October 22, 1985, the Commission found reason to believe that you had violated 2 U.S.C. § 441a(a)(1)(A), a provision of the Federal Election Campaign Act of 1971, as amended ("the Act") in connection with the above referenced Matter Under Review. However, after considering the circumstances of this matter, the Commission determined to take no further action and on the same day, closed its file as it pertains to you. The General Counsel's Factual and Legal analysis which formed a basis for the Commission's finding is attached for your information.

The file will be made part of the public record within 30 days after this matter has been closed with respect to all other respondents involved. Should you wish to submit any materials to appear on the public record, please do so within 10 days of your receipt of this letter.

The confidentiality provisions of 2 U.S.C. §§ 437g(a)(4)(B) and 437g(a)(12)(A) remain in effect until the entire matter is closed. The Commission will notify you when the entire file has been closed.

The Commission reminds you that contributions in excess of limitations nevertheless appears to be a violation of 2 U.S.C. § 441a(a)(1)(A). You should take immediate steps to insure that this activity does not occur in the future.

If you have any questions, please direct them to Frances B. Hagan, the staff member assigned to this matter, at (202) 523-4000.

Sincerely,

John Warren McGarry

John Warren McGarry
Chairman

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FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

November 25, 1985

David D. Embry
Route 1
Greenville, IL 62246

RE: MUR 2096
David D. Embry

Dear Mr. Embry:

On October 22, 1985, the Commission found reason to believe that you had violated 2 U.S.C. § 441a(a)(1)(A), a provision of the Federal Election Campaign Act of 1971, as amended ("the Act") in connection with the above referenced Matter Under Review. However, after considering the circumstances of this matter, the Commission determined to take no further action and on the same day, closed its file as it pertains to you. The General Counsel's Factual and Legal analysis which formed a basis for the Commission's finding is attached for your information.

The file will be made part of the public record within 30 days after this matter has been closed with respect to all other respondents involved. Should you wish to submit any materials to appear on the public record, please do so within 10 days of your receipt of this letter.

The confidentiality provisions of 2 U.S.C. §§ 437g(a)(4)(B) and 437g(a)(12)(A) remain in effect until the entire matter is closed. The Commission will notify you when the entire file has been closed.

The Commission reminds you that contributions in excess of limitations nevertheless appears to be a violation of 2 U.S.C. § 441a(a)(1)(A). You should take immediate steps to insure that this activity does not occur in the future.

If you have any questions, please direct them to Frances B. Hagan, the staff member assigned to this matter, at (202) 523-4000.

Sincerely,

John Warren McGarry
Chairman

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FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

November 25, 1985

Ronald F. Bartkowicz
2135 N. 78th Avenue
Elmwood Park, IL 60635

RE: MUR 2096
Ronald F. Bartkowicz

Dear Mr. Bartkowicz:

On October 22, 1985, the Commission found reason to believe that you had violated 2 U.S.C. § 441a(a)(1)(A), a provision of the Federal Election Campaign Act of 1971, as amended ("the Act") in connection with the above referenced Matter Under Review. However, after considering the circumstances of this matter, the Commission determined to take no further action and on the same day, closed its file as it pertains to you. The General Counsel's Factual and Legal analysis which formed a basis for the Commission's finding is attached for your information.

The file will be made part of the public record within 30 days after this matter has been closed with respect to all other respondents involved. Should you wish to submit any materials to appear on the public record, please do so within 10 days of your receipt of this letter.

The confidentiality provisions of 2 U.S.C. §§ 437g(a)(4)(B) and 437g(a)(12)(A) remain in effect until the entire matter is closed. The Commission will notify you when the entire file has been closed.

The Commission reminds you that contributions in excess of limitations nevertheless appears to be a violation of 2 U.S.C. § 441a(a)(1)(A). You should take immediate steps to insure that this activity does not occur in the future.

If you have any questions, please direct them to Frances B. Hagan, the staff member assigned to this matter, at (202) 523-4000.

Sincerely,

John Warren McGarry

John Warren McGarry
Chairman

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FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

November 25, 1985

Thomas J. Duffy
10045 Bell
Chicago, IL 60643

RE: MUR 2096
Thomas J. Duffy

Dear Mr. Duffy:

On October 22, 1985, the Commission found reason to believe that you had violated 2 U.S.C. § 441a(a)(1)(A), a provision of the Federal Election Campaign Act of 1971, as amended ("the Act") in connection with the above referenced Matter Under Review. However, after considering the circumstances of this matter, the Commission determined to take no further action and on the same day, closed its file as it pertains to you. The General Counsel's Factual and Legal analysis which formed a basis for the Commission's finding is attached for your information.

The file will be made part of the public record within 30 days after this matter has been closed with respect to all other respondents involved. Should you wish to submit any materials to appear on the public record, please do so within 10 days of your receipt of this letter.

The confidentiality provisions of 2 U.S.C. §§ 437g(a)(4)(B) and 437g(a)(12)(A) remain in effect until the entire matter is closed. The Commission will notify you when the entire file has been closed.

The Commission reminds you that contributions in excess of limitations nevertheless appears to be a violation of 2 U.S.C. § 441a(a)(1)(A). You should take immediate steps to insure that this activity does not occur in the future.

If you have any questions, please direct them to Frances B. Hagan, the staff member assigned to this matter, at (202) 523-4000.

Sincerely,

John Warren McGarry

John Warren McGarry
Chairman

8504051429 19

FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

November 25, 1985

John Bernard Cashion
1020 Mount Pleasant Road
Winnetka, IL 60093

RE: MUR 2096
John Bernard Cashion

Dear Mr. Cashion:

On October 22, 1985, the Commission found reason to believe that you had violated 2 U.S.C. § 441a(a)(1)(A), a provision of the Federal Election Campaign Act of 1971, as amended ("the Act") in connection with the above referenced Matter Under Review. However, after considering the circumstances of this matter, the Commission determined to take no further action and on the same day, closed its file as it pertains to you. The General Counsel's Factual and Legal analysis which formed a basis for the Commission's finding is attached for your information.

The file will be made part of the public record within 30 days after this matter has been closed with respect to all other respondents involved. Should you wish to submit any materials to appear on the public record, please do so within 10 days of your receipt of this letter.

The confidentiality provisions of 2 U.S.C. §§ 437g(a)(4)(B) and 437g(a)(12)(A) remain in effect until the entire matter is closed. The Commission will notify you when the entire file has been closed.

The Commission reminds you that contributions in excess of limitations nevertheless appears to be a violation of 2 U.S.C. § 441a(a)(1)(A). You should take immediate steps to insure that this activity does not occur in the future.

If you have any questions, please direct them to Frances B. Hagan, the staff member assigned to this matter, at (202) 523-4000.

Sincerely,

John Warren McGarry
Chairman

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FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

November 25, 1985

Martin K. Henslee
1344 Sanview Lane
Winnetka, IL 60093

RE: MUR 2096
Martin K. Henslee

Dear Mr. Henslee:

On October 22, 1985, the Commission found reason to believe that you had violated 2 U.S.C. § 441a(a)(1)(A), a provision of the Federal Election Campaign Act of 1971, as amended ("the Act") in connection with the above referenced Matter Under Review. However, after considering the circumstances of this matter, the Commission determined to take no further action and on the same day, closed its file as it pertains to you. The General Counsel's Factual and Legal analysis which formed a basis for the Commission's finding is attached for your information.

The file will be made part of the public record within 30 days after this matter has been closed with respect to all other respondents involved. Should you wish to submit any materials to appear on the public record, please do so within 10 days of your receipt of this letter.

The confidentiality provisions of 2 U.S.C. §§ 437g(a)(4)(B) and 437g(a)(12)(A) remain in effect until the entire matter is closed. The Commission will notify you when the entire file has been closed.

The Commission reminds you that contributions in excess of limitations nevertheless appears to be a violation of 2 U.S.C. § 441a(a)(1)(A). You should take immediate steps to insure that this activity does not occur in the future.

If you have any questions, please direct them to Frances B. Hagan, the staff member assigned to this matter, at (202) 523-4000.

Sincerely,

John Warren McGarry
Chairman

8 5 0 4 0 5 1 4 9 9 2 5

FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

November 25, 1985

Thomas D. Flanagan
1258 Lake Shore Drive
Chicago, IL 60610

RE: MUR 2096
Thomas D. Flanagan

Dear Mr. Flanagan:

On October 22, 1985, the Commission found reason to believe that you had violated 2 U.S.C. § 441a(a)(1)(A), a provision of the Federal Election Campaign Act of 1971, as amended ("the Act") in connection with the above referenced Matter Under Review. However, after considering the circumstances of this matter, the Commission determined to take no further action and on the same day, closed its file as it pertains to you. The General Counsel's Factual and Legal analysis which formed a basis for the Commission's finding is attached for your information.

The file will be made part of the public record within 30 days after this matter has been closed with respect to all other respondents involved. Should you wish to submit any materials to appear on the public record, please do so within 10 days of your receipt of this letter.

The confidentiality provisions of 2 U.S.C. §§ 437g(a)(4)(B) and 437g(a)(12)(A) remain in effect until the entire matter is closed. The Commission will notify you when the entire file has been closed.

The Commission reminds you that contributions in excess of limitations nevertheless appears to be a violation of 2 U.S.C. § 441a(a)(1)(A). You should take immediate steps to insure that this activity does not occur in the future.

If you have any questions, please direct them to Frances B. Hagan, the staff member assigned to this matter, at (202) 523-4000.

Sincerely,

John Warren McGarry
Chairman

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FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

November 25, 1985

William J. Gilligan
3400 N. Adams Road
Oak Brook, IL 60521

RE: MUR 2096
William J. Gilligan

Dear Mr. Gilligan:

On October 22, 1985, the Commission found reason to believe that you had violated 2 U.S.C. § 441a(a)(1)(A), a provision of the Federal Election Campaign Act of 1971, as amended ("the Act") in connection with the above referenced Matter Under Review. However, after considering the circumstances of this matter, the Commission determined to take no further action and on the same day, closed its file as it pertains to you. The General Counsel's Factual and Legal analysis which formed a basis for the Commission's finding is attached for your information.

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The confidentiality provisions of 2 U.S.C. §§ 437g(a)(4)(B) and 437g(a)(12)(A) remain in effect until the entire matter is closed. The Commission will notify you when the entire file has been closed.

The Commission reminds you that contributions in excess of limitations nevertheless appears to be a violation of 2 U.S.C. § 441a(a)(1)(A). You should take immediate steps to insure that this activity does not occur in the future.

If you have any questions, please direct them to Frances B. Hagan, the staff member assigned to this matter, at (202) 523-4000.

Sincerely,

John Warren McGarry

John Warren McGarry
Chairman

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FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

November 25, 1985

James R. Gill
9444 Avers Avenue
Evanston, IL 60204

RE: MUR 2096
James R. Gill

Dear Mr. Gill:

On October 22, 1985, the Commission found reason to believe that you had violated 2 U.S.C. § 441a(a)(1)(A), a provision of the Federal Election Campaign Act of 1971, as amended ("the Act") in connection with the above referenced Matter Under Review. However, after considering the circumstances of this matter, the Commission determined to take no further action and on the same day, closed its file as it pertains to you. The General Counsel's Factual and Legal analysis which formed a basis for the Commission's finding is attached for your information.

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If you have any questions, please direct them to Frances B. Hagan, the staff member assigned to this matter, at (202) 523-4000.

Sincerely,

John Warren McGarry

John Warren McGarry
Chairman

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FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

November 25, 1985

Robert B. Glade
211 W. Water Street
Kankakee, IL 60901

RE: MUR 2096
Robert B. Glade

Dear Mr. Glade:

On October 22, 1985, the Commission found reason to believe that you had violated 2 U.S.C. § 441a(a)(1)(A), a provision of the Federal Election Campaign Act of 1971, as amended ("the Act") in connection with the above referenced Matter Under Review. However, after considering the circumstances of this matter, the Commission determined to take no further action and on the same day, closed its file as it pertains to you. The General Counsel's Factual and Legal analysis which formed a basis for the Commission's finding is attached for your information.

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The confidentiality provisions of 2 U.S.C. §§ 437g(a)(4)(B) and 437g(a)(12)(A) remain in effect until the entire matter is closed. The Commission will notify you when the entire file has been closed.

The Commission reminds you that contributions in excess of limitations nevertheless appears to be a violation of 2 U.S.C. § 441a(a)(1)(A). You should take immediate steps to insure that this activity does not occur in the future.

If you have any questions, please direct them to Frances B. Hagan, the staff member assigned to this matter, at (202) 523-4000.

Sincerely,

John Warren McGarry

John Warren McGarry
Chairman

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FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

November 25, 1985

Frank Giglio
1326 Mackinaw Avenue
Calumet City, IL 60409

RE: MUR 2096
Frank Giglio

Dear Mr. Giglio:

On October 22, 1985, the Commission found reason to believe that you had violated 2 U.S.C. § 441a(a)(1)(A), a provision of the Federal Election Campaign Act of 1971, as amended ("the Act") in connection with the above referenced Matter Under Review. However, after considering the circumstances of this matter, the Commission determined to take no further action and on the same day, closed its file as it pertains to you. The General Counsel's Factual and Legal analysis which formed a basis for the Commission's finding is attached for your information.

The file will be made part of the public record within 30 days after this matter has been closed with respect to all other respondents involved. Should you wish to submit any materials to appear on the public record, please do so within 10 days of your receipt of this letter.

The confidentiality provisions of 2 U.S.C. §§ 437g(a)(4)(B) and 437g(a)(12)(A) remain in effect until the entire matter is closed. The Commission will notify you when the entire file has been closed.

The Commission reminds you that contributions in excess of limitations nevertheless appears to be a violation of 2 U.S.C. § 441a(a)(1)(A). You should take immediate steps to insure that this activity does not occur in the future.

If you have any questions, please direct them to Frances B. Hagan, the staff member assigned to this matter, at (202) 523-4000.

Sincerely,

John Warren McGarry

John Warren McGarry
Chairman

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FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

November 25, 1985

Thomas J. Garvey
101 Briarwood Lane
Oak Brook, IL 60521

RE: MUR 2096
Thomas J. Garvey

Dear Mr. Garvey:

On October 22, 1985, the Commission found reason to believe that you had violated 2 U.S.C. § 441a(a)(1)(A), a provision of the Federal Election Campaign Act of 1971, as amended ("the Act") in connection with the above referenced Matter Under Review. However, after considering the circumstances of this matter, the Commission determined to take no further action and on the same day, closed its file as it pertains to you. The General Counsel's Factual and Legal analysis which formed a basis for the Commission's finding is attached for your information.

The file will be made part of the public record within 30 days after this matter has been closed with respect to all other respondents involved. Should you wish to submit any materials to appear on the public record, please do so within 10 days of your receipt of this letter.

The confidentiality provisions of 2 U.S.C. §§ 437g(a)(4)(B) and 437g(a)(12)(A) remain in effect until the entire matter is closed. The Commission will notify you when the entire file has been closed.

The Commission reminds you that contributions in excess of limitations nevertheless appears to be a violation of 2 U.S.C. § 441a(a)(1)(A). You should take immediate steps to insure that this activity does not occur in the future.

If you have any questions, please direct them to Frances B. Hagan, the staff member assigned to this matter, at (202) 523-4000.

Sincerely,

John Warren McGarry

John Warren McGarry
Chairman

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BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of	)	
	)	
Rock for U.S. Senate and	)	RAD Referral 85L-37
Daniel R. Fusco, as treasurer,	)	
et al.	)	

CERTIFICATION

I, Marjorie W. Emmons, recording secretary for the Federal Election Commission executive session of October 22, 19885, do hereby certify that the Commission decided by a vote of 6-0 to take the following actions in the above-captioned matter:

1. Open a MUR.

2. Find reason to believe that the Rock for Senate Committee and Daniel R. Fusco, as treasurer, violated 2 U.S.C. § 441a(f).

3. Find reason to believe, but take no further action against the following persons for violations of 2 U.S.C. § 441a(a)(1)(A):

   a) Ronald Bartkowicz
   b) John Bernard Cashion
   c) Donald Deporter
   d) Thomas J. Duffy
   e) David D. Embry
   f) Thomas D. Flanagan
   g) Thomas J. Garvey
   h) Frank Giglio
   i) James R. Gill

(continued)

j) William J. Gilligan
k) Robert B. Glade
l) Martin K. Henslee
m) John A. McDonald
n) Frederick G. Novy
o) Walter Patelski
p) Maxwell Riffkind
q) Leonard Sherman
r) William Smith, Jr.
s) Jack R. Sneeden
t) Brian P. Sullivan
u) Spitzer, Addis, Susman & Roskin

4. Find reason to believe that Jack C. Leahy violated 2 U.S.C. § 441a(a)(1)(A).

5. Find reason to believe against the Democratic Party of Chicago and Cook County and its treasurer for violations of 2 U.S.C. §§ 433, 434, and 441a(a)(1)(A).

6. Find reason to believe and take no further action against the Sheet Metal Workers Association Political Action League and Local #73 and Cecil D. Clay, as treasurer, regarding the violation of 2 U.S.C. § 441a(a)(2)(A).

7. Close the file with respect to the respondents on which it was agreed to take no further action.

8. Approve the letters and legal and factual analyses attached to the OGC report dated October 15, 1985, subject to amendment to conform to the decisions noted above.

Commissioners Aikens, Elliott, Harris, Josefiak, McDonald, and McGarry voted affirmatively for the decision.

Attest:

10-24-85
Date

Marjorie W. Emmons
Marjorie W. Emmons
Secretary of the Commission

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SENSITIVE

EXECUTIVE SESSION

Submitted Late

OCT 22 1985

FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

October 21, 1985

MEMORANDUM

TO: The Commission

FROM: Charles N. Steele
General Counsel

BY: Kenneth A. Gross
Associate General Counsel

SUBJECT: Late Submission Regarding RAD Referral 85L-37

Based on the Commission's discussion at the meeting of October 17, 1985, the attached document provides further information concerning RAD-Referral 85L-37.

FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

October 21, 1985

MEMORANDUM

TO: The Commission

FROM: Charles N. Steele
General Counsel

BY: Kenneth A. Gross KAG (197)
Associate General Counsel

SUBJECT: First General Counsel's Report RAD Referral 85L-37
Executive Session - October 22, 1985

For the Commission's information set forth below are the names of one individual and an unregistered party committee which have contributed to the Rock for Senate Committee in an aggregate amount that is more than double the applicable contribution limitation of $1,000 per election:

Jack C. Leahy (See Page 3)

Aggregate Primary Contributions: $2,200
Excessive Portion: $1,200
Amount Refunded (5-13-85): $200

Democratic Party of Chicago (See Page 4)
and Cook County

Aggregate Primary Contributions: $5,000
Excessive Portion: $4,000
Amount Refunded (9-12-84): $4,000

The multicandidate committee involved in this matter did not make contributions of more than $1,000 in excess of the $5,000 contribution limitation.

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SENSITIVE

FEDERAL ELECTION COMMISSION
1325 K Street, N.W.
Washington, D. C. 20463

FIRST GENERAL COUNSEL'S REPORT

DATE AND TIME OF TRANSMITTAL BY OCG TO THE COMMISSION

MUR #
STAFF MEMBER
Frances B. Hagan

SOURCE OF MUR: I N T E R N A L L Y G E N E R A T E D

RESPONDENTS' NAMES:

Rock for U.S. Senate
Daniel R. Fusco, as treasurer
Ronald Bartkowicz
John Bernard Cashion
Donald Deporter
Thomas J. Duffy
David D. Embry
Thomas D. Flanagan
Thomas J. Garvey
Frank Giglio
James R. Gill
William J. Gilligan
Robert B. Glade
Martin K. Henslee
Jack C. Leahy
John A. McDonald
Frederick G. Novy
Walter Patelski
Maxwell Riffkind
Leonard Sherman
William Smith, Jr.
Jack R. Sneeden
Brian P. Sullivan
Spitzer, Addis, Susman, Roskin (partnership)
Democratic Party of Chicago and Cook County
Sheet Metal Workers International Association Political Action League and its Local 73

RELEVANT STATUTE:

2 U.S.C. § 441a(a)(1)(A) and (2)(A)
2 U.S.C. § 441a(f)
2 U.S.C. § 433
2 U.S.C. § 434
2 U.S.C. § 431(4)

INTERNAL REPORTS CHECKED: RAD Referral 85L - 37

FEDERAL AGENCIES CHECKED: None

85 OCT 16 A10:54

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GENERATION OF MATTER

This matter was referred to the Office of General Counsel by the Reports Analysis Division pursuant to the RAD Review and Referral Procedures for Authorized Committees.

SUMMARY OF ALLEGATIONS

The Rock for Senate Committee ("the Rock Committee") and Daniel R. Fusco, as treasurer, violated 2 U.S.C. § 441a(f) by receipt of contributions in excess of limitations from 21 individuals, a partnership, a political action committee and an unregistered party committee. In addition, 21 individuals, a partnership and the unregistered party committee violated 2 U.S.C. § 441a(a)(1)(A) by making excessive contributions to the Rock Committee during the 1984 primary election.[1] Finally, the unregistered party committee violated 2 U.S.C. §§ 433 and 434 for failure to register and report as a political committee.

FACTUAL AND LEGAL ANALYSIS

During 1984, the Rock Committee received contributions in excess of contributor limitations as follows:

---

[1] Candidate Rock lost in the primary election. His campaign debt at 12-31-84 was reported as $135,585. Cash on hand on that date was reported as $7,269.

Contributor	Amount Received	Excessive Portion	Date Excessive Received	Amount/Date of Refund
1. Barkowicz, Ronald	$1,120.40	$ 120.40	9/10/84	$ 120.40 5/13/85
2. Cashion, John Bernard	1,500.00	500.00	9/21/84	500.00 5/13/85
3. Deporter, Donald	1,400.00	100.00 300.00	5/29/84 9/17/84	400.00 12/10/84
4. Duffy, Thomas J.	$1,300.00	300.00	5/29/84	0
5. Embry, David D.	1,250.00	250.00	3/15/84	0
6. Flanagan, Thomas	1,125.00	125.00	3/12/84	0
7. Garvey, Thomas J.	1,300.00	300.00	9/17/84	300.00 5/13/85
8. Giglio, Frank	1,100.00	100.00	2/10/84	0
9. Gill, James R.	1,200.00	200.00	9/17/84	200.00 5/13/85
10. Gilligan, William J.	1,300.00	300.00	9/10/84	300.00 5/13/85
11. Glade, Robert B.	1,500.00	500.00	5/29/84	500.00 12/10/84
12. Henslee, Martin K.	2,000.00	1,000.00	9/14/84	1,000.00 5/13/85
13. Leahy, Jack C.	2,200.00	1,000.00 200.00	3/14/84 9/14/84	200.00 5/13/85
14. McDonald, John A.	1,300.00	300.00	9/17/84	300.00 5/13/85
15. Novy, Frederick G.	1,300.00	300.00	9/17/84	300.00 5/13/85
16. Patelski, Walter	1,150.00	150.00	9/14/84	150.00 5/13/85

Contributor	Amount Received	Excessive Portion	Date Excessive Received	Amount/Date of Refund
17. Riffkind, Maxwell	1,200.00	200.00	9/17/84	200.00 5/13/85
18. Sherman, Leonard	1,300.00	300.00	9/17/84	300.00 5/13/85
19. Smith, William, Jr.	1,100.00	100.00	9/?/84?	100.00 5/13/85
20. Sneeden, R. Jack	1,750.00	750.00	9/14/84	750.00 5/13/85
21. Sullivan, Brian P.	1,125.00	125.00	2/16/84	0
22. Spitzer, Addis, Susman & Roskin (Partnership)	1,500.00	500.00	1/23/84	0
23. Sheet Metal Workers International Association Political Action League and Local #73	5,200.00	200.00	5/18/84	200.00 12/10/84
24. Democratic Party of Chicago and Cook County (Unregistered)	5,000.00	4,000.00	3/9/84	4,000.00 9/12/84
	Total	$12,220.40		$9,820.40

RAD notified the Rock Committee of apparent excessives totaling $10,820.40.2/ Upon receipt of RAD's notices of apparent excessive contributions, the Rock Committee refunded the amounts in question. In preparation of its referral to the Office of the General Counsel, RAD identified additional contributions totaling $2,400 which appear to be excessive. The Rock Committee has not been notified of these excessives and the monies remain unrefunded. To summarize, our review indicates that the Rock Committee received excessives totaling $12,220.40 ($9,820.40 + $2,400), made refunds of $9,820.40, leaving $2,400 unrefunded.

2 U.S.C. § 441a(a)(1)(A) states that no person shall make contributions to an authorized political committee with respect to any federal election which, in the aggregate, exceed $1,000.

2 U.S.C. § 441a(a)(2)(A) limits to $5,000 per election contributions by multicandidate committees to authorized political committees.

2 U.S.C. § 441a(f) prohibits receipt of contributions violative of 2 U.S.C. § 441a(a)(1)(A) and (2)(A).

2/ RAD's list of contributors included a contribution of $1,000 from Thomas M. Tully, individually and a contribution of $1,000 from his state campaign committee, Citizens for Thomas M. Tully. RAD referred this contribution as an excessive of $1,000, and on August 19, 1985, the Rock Committee refunded the state committee's contribution.

In AO 1984-34, the Commission decided that contributions from a federal candidate's committee would not be considered personal funds of the candidate and that each could make contributions subject to separate, rather than combined, contribution limitations.

If this analysis is applied to this situation, no excessive would result. Thus, we have not included the Tully contributions on this list.

Through receipt of the excessive contributions described herein, the Rock Committee violated 2 U.S.C. § 441a(f). The Office of General Counsel is recommending a finding of reason to believe that the violation occurred.

Furthermore, by making excessive contributions to the Rock Committee, the 21 individual contributors, the partnership and the unregistered local party committee and the registered PAL violated 2 U.S.C. § 441a(a)(1)(A) or (2)(A). Based on the Commission's recent action in MUR 2068 (Dymally for Congress), this Office is recommending that the Commission find reason to believe and take no further action concerning these violations. In addition, we are recommending reason to believe, but take no further action against the Democratic Party of Chicago and Cook County for violations of 2 U.S.C. §§ 433 and 434 (for failure to register and report as a political committee) as it has not met RAD's referral criteria. As to the registered Sheet Metal Workers Association Political Action League and its Local #73, we also recommend reason to believe and no further action for a violation of 2 U.S.C. § 441a as it has not met the criteria for referral by RAD.

RECOMMENDATION

1. Open a MUR.

2. Find reason to believe that the Rock for Senate Committee and Daniel R. Fusco, as treasurer, violated 2 U.S.C. § 441a(f).

3. Find reason to believe, but take no further action against the following persons for violations of 2 U.S.C. § 441a(a)(1)(A):

a) Ronald Bartkowicz
b) John Bernard Cashion
c) Donald Deporter
d) Thomas J. Duffy
e) David D. Embry
f) Thomas D. Flanagan
g) Thomas J. Garvey
h) Frank Giglio
i) James R. Gill
j) William J. Gilligan
k) Robert B. Glade
l) Martin K. Henslee
m) Jack C. Leahy
n) John A. McDonald
o) Frederick G. Novy
p) Walter Patelski
q) Maxwell Riffkind
r. Leonard Sherman
s) William Smith, Jr.
t) Jack R. Sneeden
u) Brian P. Sullivan
v) Spitzer, Addis, Susman & Roskin

4. Find reason to believe and take no further action against the Democratic Party of Chicago and Cook County and its treasurer for violations of:

a) 2 U.S.C. § 433;
b) 2 U.S.C. § 434;
c) 2 U.S.C. § 441a(a)(1)(A)

5. Find reason to believe and take no further action against the Sheet Metal Workers Association Political Action League and Local #73 and Cecil D. Clay, as treasurer, regarding the violation of 2 U.S.C. § 441a(a)(2)(A).

6. Close the file as to respondents in Numbers 3, 4, and 5 above.

7. Approve attached letters and legal and factual analyses.

Charles N. Steele
General Counsel

October 15, 1985
Date

BY: Kenneth A. Gross
Associate General Counsel

Attachments:
Proposed Letters
Referral Materials

FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

REPORTS ANALYSIS REFERRAL

TO

OFFICE OF GENERAL COUNSEL

DATE: August 19, 1985

ANALYST: Peter Kell, Jr.
for Gregory Swanson

I. COMMITTEE: Rock for U.S. Senate
(C00171009)
Daniel R. Fusco, Treasurer
29 South LaSalle Street, Suite 830
Chicago, IL 60603

II. RELEVANT STATUTE: 2 U.S.C. §441a(f)

III. BACKGROUND:

Receipt of Apparent Excessive Contributions
- 2 U.S.C. §441a(f)

Rock for U.S. Senate ("the Committee") disclosed the receipt of apparent excessive contributions totalling $13,220.40 in 1984. The excessive amounts were for the primary election and were received from twenty-two (22) individuals, one (1) partnership, one (1) multi-candidate committee, and one (1) unregistered committee. These contributions were disclosed in the 1984 12 Day Pre-Primary, 1984 April Quarterly, 1984 July Quarterly, and 1984 October Quarterly Reports. A summary of the excessive contributions received, the notices sent, and responses received by the Commission is presented below. For more specific details, please refer to Charts A and B. Chart A is an alphabetical listing of individuals, the multi-candidate committee and the unregistered committee apparently contributing in excess of the limitations of which the Committee has been notified during the normal review of reports. Chart B is an alphabetical listing of individuals and the partnership apparently contributing in excess of the limitations of which the Committee has not been notified as these were identified in the review of all reports during preparation of this referral.

Possible Violations Discovered During Initial Review

A review of the Committee's 1984 April Quarterly Report disclosed the receipt of an apparent excessive contribution totalling $4,000 from the Democratic Party of Chicago and Cook County, an unregistered committee.

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A Request for Additional Information ("RFAI") was sent for the 1984 April Quarterly Report on August 21, 1984 (Attachment 2). The RFAI noted the violation and requested a refund of the amount in excess of the $1,000 per election limitation. In addition, the letter noted the possibility of further Commission action concerning the acceptance of excessive contributions.

The Committee responded in a letter, received September 4, 1984, advising the Commission that it had received the RFAI and was reviewing the questions raised (Attachment 3). A Second Notice was sent September 13, 1984 because of the Committee's inadequate response (Attachment 4).

The Committee responded in a letter, received September 17, 1984, which indicated that it had ascertained that the Democratic Party of Cook County was "not an authorized federal political action committee." The letter also noted that $4,000 was refunded to the contributor and included a photocopy of the check (Attachment 5). The 1984 October Quarterly Report disclosed the refund made.

A review of the Committee's 1984 July Quarterly Report disclosed the receipt of apparent excessive contributions totalling $800: $600 from two (2) individuals and $200 from Sheet Metal Workers International Association Political Action League (C00007542)[1/], a multi-candidate committee. An Informational Notice ("IN") was sent for the 1984 July Quarterly Report on November 23, 1984 (Attachment 6). The IN noted the violations and the possibility of further Commission action regarding the acceptance of excessive contributions.

The Committee responded in a letter, received December 17, 1984, stating that prior to the receipt of the Commission's letter, the Committee was completing an audit of its receipts and disbursements and, as a result, had discovered several errors including the excessive contributions questioned. In addition, the Committee's letter indicated that the excessive contributions were refunded on December 11, 1984 and included photocopies of the refund checks and transmittal letters (Attachment 7). The 1984 Year End Report disclosed the refunds made.

[1/] Please note, the excessive amount for this committee is the result of a $200 contribution from an affiliate, Sheet Metal Workers' International Association - Local 73. (See page 29 frame E of Chart A back-up).

A review of the Committee's 1984 October Quarterly Report disclosed the receipt of apparent excessive contributions totalling $6,020.40 from sixteen (16) individuals. An RFAI was sent for the 1984 October Quarterly Report on April 30, 1985 (Attachment 8). The RFAI noted the violations and requested refunds of the amounts in excess of the $1,000 per election limitation. In addition, the letter noted the possibility of further Commission action concerning the acceptance of excessive contributions.

The Committee responded in a letter, received May 16, 1985, that acknowledged the receipt of the excessive contributions from all contributors questioned except Thomas M. Tully/Citizens for Thomas M. Tully (Attachment 9). The Committee indicated that the contributions "were correctly accepted, since they are separate entities, each allowed up to $1,000 in contributions." The Committee's response included copies of the transmittal letters and refund checks, all of which were made May 13, 1985.[2/]

The Reports Analysis Division ("RAD") analyst phoned Mr. Daniel Fusco, Committee treasurer, August 1, 1985 concerning the contributions from Mr. Thomas Tully and his state campaign committee, Citizens for Thomas M. Tully. The RAD analyst explained that for purposes of the contribution limitations, the candidate and his campaign committee are considered the same and combined, may only contribute up to $1,000 per election. Mr. Fusco indicated that he understood and would make the refund the following week and would notify the Commission of the action taken including a photocopy of the refund check (Attachment 11). To date, there has been no response.

Possible Violations Discovered During Referral Preparation

The final review of reports filed by the Committee prior to this referral disclosed the receipt of additional apparent excessive contributions for 1984 totalling $2,400: $1,900 from six (6) individuals and $500 from a partnership (Spitzer, Addis, Susman, and Roskin). The chart below shows the total additional excessive amount for each report.

1984 12 Day Pre-Primary	-	$ 725
1984 April Quarterly	-	1,375
1984 July Quarterly	-	300

2/ A Second Notice was sent May 23, 1985 for failure to respond as RAD was unaware of the Committee's response (Attachment 10).

The Committee has not been notified of these apparent excessive contributions which have never been refunded.

IV. OTHER PENDING MATTERS INITIATED BY RAD:

None.

CANDIDATE/COMMITTEE/DOCUMENT	OFFICE SOUGHT/	PARTY	RECEIPTS PRIMARY	RECEIPTS GENERAL	DISBURSEMENTS PRIMARY	DISBURSEMENTS GENERAL	COVERAGE DATES	# OF PAGES	MICROFILM LOCATION
							TYPE OF FILER		
ROCK, PHILIP J	SENATE	DEMOCRATIC PARTY				ILLINOIS	1984 ELECTION	ID# S4IL00073	
1. STATEMENT OF CANDIDATE									
1983 STATEMENT OF CANDIDATE							11OCT83	2	83SEN/006/3653
2. CANDIDATE REPORTS OF RECEIPTS & EXPENDITURES									
3. PRINCIPAL CAMPAIGN COMMITTEE									
ROCK FOR U S SENATE							ID# C00171009 SENATE		
1983 STATEMENT OF ORGANIZATION							11OCT83	3	83SEN/006/3655
YEAR-END			231,610		223,855		1JAN83 -31DEC83	42	84SEN/005/1510
YEAR-END		- AMENDMENT	233,110		225,355		1JAN83 -31DEC83	6	84SEN/005/1552
YEAR-END		- AMENDMENT	-		-		1JAN83 -31DEC83	3	84SEN/016/3681
1984 48 HOUR CONTRIBUTION NOTICE							7MAR84	3	84SEN/006/1367
48 HOUR CONTRIBUTION NOTICE							12MAR84	3	84SEN/006/1927
48 HOUR CONTRIBUTION NOTICE							16MAR84	3	84SEN/006/2490
48 HOUR CONTRIBUTION NOTICE							20MAR84	3	84SEN/006/2867
48 HOUR CONTRIBUTION NOTICE							20MAR84	3	84SEN/006/2864
PRE-PRIMARY			208,316		217,578		1JAN84 -29FEB84	60	84SEN/006/1722
PRE-PRIMARY		- AMENDMENT	201,850		215,938		1JAN84 -29FEB84	12	84SEN/006/2904
PRE-PRIMARY		- AMENDMENT	201,850		215,938		31JAN84 -29FEB84	13	84SEN/016/3662
REQUEST FOR ADDITIONAL INFORMATION							1JAN84 -29FEB84	3	84FEC/325/1044
APRIL QUARTERLY			124,273		116,610		1MAR84 -31MAR84	44	84SEN/009/0102
APRIL QUARTERLY		- AMENDMENT	-		-		1MAR84 -31MAR84	3	84SEN/016/3675
APRIL QUARTERLY		- AMENDMENT	-		-		1MAR84 -31MAR84	3	84SEN/017/1566
APRIL QUARTERLY		- AMENDMENT	-		-		1MAR84 -31MAR84	4	84SEN/017/3187
APRIL QUARTERLY		- AMENDMENT	124,273		116,610		3MAR84 -31MAR84	10	84SEN/018/0089
REQUEST FOR ADDITIONAL INFORMATION							1MAR84 -31MAR84	3	84FEC/326/1869
REQUEST FOR ADDITIONAL INFORMATION 2ND							1MAR84 -31MAR84	4	84FEC/328/1487
JULY QUARTERLY			46,347		47,056		1APR84 -30JUN84	35	84SEN/012/4298
JULY QUARTERLY		- AMENDMENT	-		-		1APR84 -30JUN84	3	84SEN/016/3678
JULY QUARTERLY		- AMENDMENT	-		-		1APR84 -30JUN84	7	84SEN/030/0585
JULY QUARTERLY		- AMENDMENT	46,347		47,056		1APR84 -30JUN84	9	85SEN/001/0286
1'ST LETTER INFORMATIONAL NOTICE							1APR84 -30JUN84	4	84FEC/349/2421
OCTOBER QUARTERLY			57,344		44,584		1JUL84 -30SEP84	37	84SEN/023/1308
OCTOBER QUARTERLY		- AMENDMENT	-		-		1JUL84 -30SEP84	5	85SEN/001/0295
OCTOBER QUARTERLY		- AMENDMENT	57,344		44,584		1JUL84 -30SEP84	3	85SEN/002/1270
OCTOBER QUARTERLY		- AMENDMENT	-		-		1JUL84 -30SEP84	42	85SEN/004/1553
REQUEST FOR ADDITIONAL INFORMATION							1JUL84 -30SEP84	31	85FEC/372/2330
REQUEST FOR ADDITIONAL INFORMATION 2ND							1JUL84 -30SEP84	32	85FEC/374/3139
YEAR-END			13,508		19,620		1OCT84 -31DEC84	17	85SEN/002/1273
TOTAL			676,432	0	669,163	0		453	TOTAL PAGES

4. AUTHORIZED COMMITTEES
4B. TRANSFERS IN FROM JOINT FUNDRAISING COMMITTEES

All reports have been reviewed.
Ending cash-on-hand as of December 31, 1984: $7,269
Outstanding debts owed by the committee as of December 31, 1984: $135,585
Outstanding debts owed to the committee as of December 31, 1984: $ 0

ATTACHMENT 1
(Page 1 of 2)

CANDIDATE/COMMITTEE/DOCUMENT	OFFICE SOUGHT/	PARTY	RECEIPTS PRIMARY	RECEIPTS GENERAL	DISBURSEMENTS PRIMARY	DISBURSEMENTS GENERAL	COVERAGE DATES / TYPE OF FILER	# OF PAGES	MICROFILM LOCATION
ROCK, PHILIP J	SENATE	DEMOCRATIC PARTY			ILLINOIS		1984 ELECTION	ID# S4IL00073	
1. STATEMENT OF CANDIDATE									
2. CANDIDATE REPORTS OF RECEIPTS & EXPENDITURES									
3. PRINCIPAL CAMPAIGN COMMITTEE									
ROCK FOR U S SENATE							ID# C00171009 SENATE		
1985 MID-YEAR REPORT			59,948		53,104		1JAN85 -30JUN85	42	85SEN/008/0739
TOTAL			59,948	0	53,104	0		42	TOTAL PAGES
4. AUTHORIZED COMMITTEES									
4B. TRANSFERS IN FROM JOINT FUNDRAISING COMMITTEES									

No reports have been reviewed.
Ending cash-on-hand as of June 30, 1985: $14,112
Outstanding debts owed by the committee as of June 30, 1985: $103,631
Outstanding debts owed to the committee as of June 30, 1985: $ 0

FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. [illegible]

[illegible]

Daniel R. Fusco, Treasurer
Rock for U.S. Senate
29 South LaSalle Street
Room 830
Chicago, IL 60603

Identification Number: C00171009

Reference: April Quarterly Report (3/1/84-3/31/84)

Dear Mr. Fusco:

This letter is prompted by the Commission's preliminary review of the report(s) referenced above. The review raised questions concerning certain information contained in the report(s). An itemization follows:

-Schedule A of your report (pertinent portion attached) discloses a contribution(s) which appears to exceed the limits set forth in the Act. An individual or a political committee other than a multicandidate committee may not make contributions to a candidate for Federal office in excess of $1,000 per election. If you have received a contribution(s) which exceeds the limits, the Commission recommends that you refund to the donor(s) the amount in excess of $1,000. The Commission should be notified in writing if a refund is necessary. In addition, any refund should appear on Line 20a of the Detailed Summary Page of your next report. (2 U.S.C. 441a(a) and (f))

The term "contribution" includes any gift, subscription, loan, advance, or deposit of money or anything of value made by any person for the purpose of influencing any election for Federal office.

If the contribution(s) in question was incorrectly reported and/or you have additional information regarding the contributor(s), you may wish to submit documentation for the public record. Please amend your report with the clarifying information.

Although the Commission may take further legal steps concerning the acceptance of an excessive contribution(s), prompt action by you to refund the excessive amount will be taken into consideration.

9 4 0 3 3 1 6 1 9 6 9

On the Detailed Summary Page, Line 11(a) Column A gives the unitemized receipts [illegible] $[illegible].16. The total of all entries itemized on Schedule A for Line 11(a) equals $63,077.95. These totals should be the same. Please explain the discrepancy and amend your report(s) accordingly. (11 CFR [illegible].3(a))

An amendment to your original report(s) correcting the above problem(s) should be filed with the Secretary of the Senate, 232 Hart Senate Office Building, Washington, DC 20510 within fifteen (15) days of the date of this letter. If you need assistance, please feel free to contact me on our toll-free number, (800) 424-9530. My local number is (202) 523-4040.

Sincerely,

Gregory Swanson

Gregory Swanson
Reports Analyst
Reports Analysis Division

A40336161870

ATTACHMENT 3

RECEIVED THE FEC

84 SEP 4 PM 2:08

LAW OFFICES

ROCK, FUSCO, REYNOLDS & HENEGHAN

A PROFESSIONAL CORPORATION

20 SOUTH LA SALLE STREET

CHICAGO, ILLINOIS 60603

312 641 0350

PHILIP J. ROCK
DANIEL R. FUSCO
JOHN J. REYNOLDS
THOMAS J. HENEGHAN
MARK A. SPAPORO

WILLIAM PAUL JONES
KATIE NEWGHAN
JOHN J. MORAN, JR.
MICHAEL J. MURRAY
EDWARD T. HANLEY, JR.

August 29, 1984

Federal Election Commission
Washington, D.C. 20463

Attention: Gregory Swanson
Reports Analyst
Reports Analysis Division

Re: I.D. #C00171009
April Quarterly Report
(3/1/84-3/31/84)

Dear Mr. Swanson:

I am in receipt of your letter dated August 21, 1984 in regard to the April Quarterly Report and the questions raised therein. This is to advise you that we are reviewing the questions raised in your letter and will have an answer for you in the near future.

Thank you for your inquiry.

Very truly yours,

DANIEL R. FUSCO
Treasurer
Rock for U.S. Senate

DRF:jb

9 0 4 0 2 0 1 7 1 5 6 6

ATTACHMENT 4

FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

RQ-3

September 13, 1984

Daniel R. Fusco, Treasurer
Rock for U.S. Senate
29 South LaSalle Street
Room 830
Chicago, IL 60603

Identification Number: C00171009

Reference: April Quarterly Report (3/1/84-3/31/84)

Dear Mr. Fusco:

On August 21, 1984 you were notified that a review of the above-referenced report(s) raised questions as to specific contributions and/or expenditures, and the reporting of certain information required by the Federal Election Campaign Act.

The Commission is in receipt of your letter dated August 29, 1984. Please be advised that if the information requested by the Commission is not received within fifteen (15) days from the date of this notice, the Commission may choose to initiate audit or legal enforcement.

If you should have any questions related to this matter, please contact Gregory Swanson on our toll-free number (800) 424-9530 or our local number (202) 523-4048.

Sincerely,

John D. Gibson

John D. Gibson
Assistant Staff Director
Reports Analysis Division

8 4 0 3 3 2 8 1 4 8 7 9 5 0

ATTACHMENT 5
(Page 1 of 2)

84 SEP 17 A8:41

LAW OFFICES
ROCK, FUSCO, REYNOLDS & HENEGHAN
A PROFESSIONAL CORPORATION
20 SOUTH LA SALLE STREET
CHICAGO, ILLINOIS 60603
(312) 641 0550

PHILIP J. ROCK
DANIEL R. FUSCO
JOHN J. REYNOLDS
THOMAS J. HENEGHAN
MARK A. BRADFORD

WILLIAM PAUL JONES
RAYIE NEWMAN
JOHN J. MORAN, JR.
MICHAEL J. MURRAY
EDWARD T. HANLEY, JR.

September 13, 1984

Federal Election Commission
Washington, D.C. 20463

Attention: Mr. Gregory Swanson
Reports Analyst
Reports Analysis Division

Re: I.D. #C00171009
April Quarterly Report (3/1/84-3/31/84)

Dear Mr. Swanson:

This letter is a partial response to the Commission's preliminary review of our April Quarterly Report (3/1/84 - 3/31/84) dated August 28, 1984. Upon receipt of your inquiry, my office ascertained that the Democratic Party of Cook County was not an authorized federal political action committee. Therefore, we immediately returned the sum of Four Thousand ($4,000.00) Dollars to this contributor. A copy of our check is enclosed.

The remaining questions raised in your letter dated August 28, 1984 will be answered under a separate letter.

Thank you for bringing this discrepancy to our attention.

Very truly yours,

DANIEL R. FUSCO
Treasurer
Rock for U.S. Senate

DRF:jb
Encl.

84 SEP 17 A8:41

[redacted]

$650

Sept 12 19 84

Democratic Party of Cook County

Six Hundred Fifty

DOLLARS

[redacted]

⑈003439⑈ ⑆071000340⑆ ⑈035197009⑈

UNCLASSIFIED

ATTACHMENT 9
(Page 1 of 2)

IL DEM C1515

LAW OFFICES
ROCK, FUSCO, REYNOLDS & HENEGHAN
A PROFESSIONAL CORPORATION
20 SOUTH LA SALLE STREET
CHICAGO, ILLINOIS 60603
(312) 641-6000

PHILIP J. ROCK
DANIEL R. FUSCO
JOHN J. REYNOLDS
THOMAS J. HENEGHAN
MARK A. SOMMERS

WILLIAM PAUL JONES
KATIE HENEGHAN
JOHN J. HORAN, JR.
MICHAEL J. MURRAY

85 MAY 13 A 8:50

May 13, 1985

Federal Election Commission
Washington, D.C. 20463

Attention: Gregory Swanson
Reports Analyst
Reports Analysis Division

RE: IDENTIFICATION NUMBER
C00171009

Dear Mr. Swanson:

In response to your letter dated April 30, 1985, I am enclosing copies of letters and checks remitted to each of the individual contributors which it appears may have exceeded the maximum contribution allowable.

The refund to Donald J. DeFerter was as a result of an internal audit. The refunded amount is accurate and the contributions were correctly reported.

The contributions from Thomas Tully and Citizens for Tully were correctly accepted, since they are separate entities, each allowed up to $1,000.00 in contributions. However, we incorrectly reported an accumulated or aggregate as $2,000.00 for Citizens for Tully.

An amended report is being prepared for filing with the Clerk of the House of Representatives.

Very truly yours,

DANIEL R. FUSCO
Treasurer
Rock for U.S. Senate

DRF:jb

85020041855

FEC
85 MAY 16 AM 8:58

LAW OFFICES
ROCK, FUSCO, REYNOLDS & HENEGHAN
A PROFESSIONAL CORPORATION
33 SOUTH LA SALLE STREET
CHICAGO ILLINOIS 60603
312 641 0550

PHILIP J. ROCK
DANIEL R. FUSCO
JOHN J. REYNOLDS
THOMAS J. HENEGHAN
MARK A. SPADORO

WILLIAM PAUL JONES
KATIE NEWMAN
JOHN J. MORAN, JR.
MICHAEL J. MURRAY

May 13, 1985

Mr. John Bernard Cashion
33 North LaSalle Street
Chicago, Illinois 60602

RE: Rock Campaign Contributions

Dear John:

As a result of an audit of our books and records, and in response to an inquiry from the Federal Election Commission, it has been determined that you contributed $1,500.00 to the Rock for U.S. Senate Campaign. Federal law provides that an individual may not contribute to a candidate for Federal office in excess of $1,000.00 per election.

Enclosed is our check in the amount of $500.00, which represents the amount of your contribution that has been determined to be in excess of the allowable limits.

Thank you again for all your help and assistance in Phil's campaign.

Very truly yours,

DANIEL R. FUSCO
Treasurer
Rock for U.S. Senate Campaign

DRF:jlh
Enclosure

85020041354

FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

NOV 23 1984

RD-5

Daniel R. Fusco, Treasurer
Rock for U.S. Senate
29 South LaSalle Street
Chicago, IL 60603

Identification Number: C00171009

Reference: July Quarterly Report (4/1/84-6/30/84)

Dear Mr. Fusco:

This letter is prompted by the Commission's preliminary review of the report(s) referenced above. The review raised questions concerning certain information contained in the report(s). An itemization follows:

-Schedule A's of your report (pertinent portions attached) disclose contributions which appear to exceed the limits set forth in the Act. An individual may not make contributions to a candidate for Federal office in excess of $1,000 per election and a multicandidate committee may not make a contribution in excess of $5,000 per election. If you have received excessive contributions, the Commission recommends that you refund to the donors the excessive amounts. The Commission should be notified in writing if a refund is necessary. In addition, any refund should appear on Line 20(a) or 20(c), as appropriate, of the Detailed Summary Page of your next report. (2 U.S.C. §441(a) and (f))

The term "contribution" includes any gift, subscription, loan, advance, or deposit of money or anything of value made by any person for the purpose of influencing any election for Federal office.

If the contribution(s) in question was incorrectly reported and/or you have additional information regarding the contributor(s), you may wish to submit documentation for the public record. Please amend your report with the clarifying information.

Although the Commission may take further legal steps concerning the acceptance of an excessive contribution(s), prompt action by you to refund the excessive amount will be taken into consideration.

9403319242 1

Any amendment or clarification should be filed with the [illegible] of the Senate, 232 Hart Senate Office Building, [illegible] be [illegible]. If you need assistance, please feel free [illegible] me on our toll-free number, (800) 424-9530. My local number is (202) 523-4046.

Sincerely,

Gregory Swanson

Gregory Swanson
Reports Analyst
Reports Analysis Division

R40331924222

K 83267
IL Dem C1585

ATTACHMENT 7
(Page 1 of 5)

FEC

DEC 17 A9:47

LAW OFFICES

ROCK, FUSCO, REYNOLDS & MENGOMAN

A PROFESSIONAL CORPORATION

70 SOUTH [illegible] STREET

CHICAGO, ILLINOIS 60603

HAND

312 [illegible] 6000

DANIEL R. FUSCO
JOHN J. REYNOLDS
THOMAS J. MENGOMAN
MARK A. BRADFORD

WILLIAM PAUL JONES
DAVID MENGOMAN
JOHN J. MENGOMAN, JR.
MICHAEL J. MURRAY
EDWARD T. HANLEY, JR.

December 11, 1984

Federal Election Commission
Washington, D.C. 20463

Attention: Gregory Swanson
Reports Analyst
Reports Analysis Division

Re: Identification No. C00171009
Reference: July Quarterly Report
(4/1/84-6/30/84)

Dear Mr. Swanson:

Prior to receipt of your letter dated November 23, 1983, my office was completing an audit of our receipts and disbursements and, as a result thereof, have discovered several errors which we are now rectifying. Included in those errors were the contributions you noted as possibly being in excess of acceptable limits.

Please note that we have this date remitted the excess contributions to the donors as evidenced by a copy of our letters and checks attached hereto.

Thank you for bringing this matter to our attention.

Very truly yours,

DANIEL R. FUSCO
Treasurer
Rock for U.S. Senate

DRF:jb
Encls.

LAW OFFICES

ROCK, FUSCO, REYNOLDS & MENEGHAN

A PROFESSIONAL CORPORATION

[illegible] SOUTH LA SALLE STREET

CHICAGO, ILLINOIS [illegible]

[illegible]

December 11, 1984

Mr. Timothy Burke
Sheet Metal Workers' International
Association/Local 73
205 West Wacker Drive
Chicago, Illinois 60606

RE: ROCK CAMPAIGN CONTRIBUTIONS

Dear Tim:

As a result of an audit of our books and records, and in response to an inquiry from the Federal Election Commission, it has been determined that your Local 73 and the International jointly contributed $5,200.00 to the Rock for U.S. Senate Campaign. Federal law provides that a multi-candidate committee may not contribute in excess of $5,000.00 per election.

Enclosed is our check in the amount of $200.00, which represents the amount of your contribution that has been determined to be in excess of the allowable limits.

Thank you again for all your help and assistance in Phil's campaign.

Very truly yours,

MICHAEL R. FUSCO
Treasurer
Rock for U.S. Senate Campaign

MRF:jb

Encl.

LAW OFFICES
ROCK, FUSCO, REYNOLDS & HENEGHAN
A PROFESSIONAL CORPORATION
50 SOUTH LA SALLE STREET
CHICAGO, ILLINOIS 60603
[illegible]

[illegible]

December 11, 1984

Mr. Robert B. Glade
211 West Water Street
Kankakee, Illinois 60901

RE: [illegible]

Dear Bob:

As a result of an audit of our books and records, and in response to an inquiry from the Federal Election Commission, it has been determined that you contributed $1,300.00 to the Rock for U.S. Senate Campaign. Federal law provides that an individual may not contribute to a candidate for Federal office in excess of $1,000.00 per election.

Enclosed is our check in the amount of $300.00, which represents the amount of your contribution that has been determined to be in-excess of the allowable limits.

Thank you again for all your help and assistance in Phil's campaign.

Very truly yours,

DANIEL R. FUSCO
Treasurer
Rock for U.S. Senate Campaign

DRF:jb
Enclosure

LAW OFFICES

ROCH, FUSCO, REYNOLDS & HENEGHAN

A PROFESSIONAL CORPORATION

[illegible] SOUTH LA SALLE STREET

CHICAGO, ILLINOIS [illegible]

[illegible]

[illegible]

December 11, 1984

Mr. Donald J. De Porter
Hyatt Hotels Corp.
151 East Wacker Drive
Chicago, Illinois 60601

RE: ROCH CAMPAIGN CONTRIBUTIONS

Dear Don:

As a result of an audit of our books and records, and in response to an inquiry from the Federal Election Commission, it has been determined that you contributed $1,400.00 to the Roch for U.S. Senate Campaign. Federal law provides that an individual may not contribute to a candidate for Federal office in excess of $1,000.00 per election.

Enclosed is our check in the amount of $400.00, which represents the amount of your contribution that has been determined to be in excess of the allowable limits.

Thank you again for all your help and assistance in Phil's campaign.

Very truly yours,

DANIEL R. FUSCO
Treasurer
Roch for U.S. Senate Campaign

DRF:jb
Enclosure

[redacted]

ROCK FOR U.S. SENATE
201 N. LA SALLE, SUITE 2017
CHICAGO, ILL. 60601

1474

Dec 11 1984

PAY TO THE ORDER OF Sheet Metal Workers Local No 73 $200.00

Two hundred & no/100 DOLLARS

Authorized Signature

For

⑈001474⑈ ⑆071000340⑆ ⑈0635191 00⑈

ROCK FOR U.S. SENATE
201 N. LA SALLE, SUITE 2017
CHICAGO, ILL. 60601

1473

Dec 11 1984

PAY TO THE ORDER OF Robert B. Glade $500.00

Five hundred & no/100 DOLLARS

Authorized Signature

For

⑈001473⑈ ⑆071000340⑆ ⑈0635191 00⑈

ROCK FOR U.S. SENATE
201 N. LA SALLE, SUITE 2017
CHICAGO, ILL. 60601

1472

Dec 11 1984

PAY TO THE ORDER OF Donald J. DeLoache $500.00

Five hundred & no/100 DOLLARS

Authorized Signature

For

⑈001472⑈ ⑆071000340⑆ ⑈0635191 00⑈

FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

APR 30 1985

RQ-2

Daniel R. Fusco, Treasurer
Rock for U.S. Senate
29 South LaSalle Street
Room 830
Chicago, IL 60603

Identification Number: C00171009

Reference: October Quarterly Report (7/1/84-9/30/84)

Dear Mr. Fusco:

This letter is prompted by the Commission's preliminary review of the report(s) referenced above. The review raised questions concerning certain information contained in the report(s). An itemization follows:

-Schedule A of your report (pertinent portions attached) disclose contributions which appear to exceed the limits set forth in the Act. An individual or a political committee other than a multicandidate committee may not make contributions to a candidate for Federal office in excess of $1,000 per election. If you have received a contributions which exceed the limits, the Commission recommends that you refund to the donor(s) the amount in excess of $1,000. The Commission should be notified in writing if a refund is necessary. In addition, any refund should appear on Line 20 of the Detailed Summary Page of your next report. (2 U.S.C. 441a(a) and (f))

The term "contribution" includes any gift, subscription, loan, advance, or deposit of money or anything of value made by any person for the purpose of influencing any election for Federal office.

The Commission notes your refund of the excessive contributions from Donald J. DePorter. However, if the contributions in question were incorrectly reported and/or you have additional information regarding the contributors, you may wish to submit documentation for the public record. Please amend your report with the clarifying information.

Although the Commission may take further legal steps concerning the acceptance of excessive contributions, prompt action by you to refund the excessive amount will be taken into consideration.

9 3 0 3 3 7 2 2 3 3 0

An amendment to your original report(s) correcting the above problem(s) should be filed with the Clerk of the House of Representatives, 1036 Longworth House Office Building, Washington, DC 20515 within fifteen (15) days of the date of this letter. If you need assistance, please feel free to contact me on our toll-free number, (800) 424-9530. My local number is (202) 523-4048.

Sincerely,

Gregory Swanson

Gregory Swanson
Reports Analyst
Reports Analysis Division

93033722331

FEC MAIL SECT. OF FEC

85 MAY 16 A9:58

LAW OFFICES
ROCK, FUSCO, REYNOLDS & HENEGHAN
A PROFESSIONAL CORPORATION
20 NORTH LA SALLE STREET
CHICAGO ILLINOIS 60602
312 60 1000

PHILIP J. ROCK
DANIEL R. FUSCO
JOHN J. REYNOLDS
THOMAS J. HENEGHAN
MARK A. SPADORO

WILLIAM PAUL JONES
KATIE NEWMAN
JOHN J. MORAN, JR.
MICHAEL J. MURRAY

May 13, 1985

Mr. James R. Gill
9444 Avers Avenue
Evanston, Illinois 60204

RE: Rock Campaign Contributions

Dear Jim:

As a result of an audit of our books and records, and in response to an inquiry from the Federal Election Commission, it has been determined that you contributed $1,200.00 to the Rock for U.S. Senate Campaign. Federal law provides that an individual may not contribute to a candidate for Federal office in excess of $1,000.00 per election.

Enclosed is our check in the amount of $200.00, which represents the amount of your contribution that has been determined to be in excess of the allowable limits.

Thank you again for all your help and assistance in Phil's campaign.

Very truly yours,

DANIEL R. FUSCO
Treasurer
Rock for U.S. Senate Campaign

DRF:jlh
Enclosure

85020041556

85 MAY 15 A8:

LAW OFFICES

ROCK, FUSCO, REYNOLDS & HENEGHAN

A PROFESSIONAL CORPORATION

20 SOUTH LA SALLE STREET

CHICAGO, ILLINOIS 60603

312 641-0600

PHILIP J. ROCK
DANIEL R. FUSCO
JOHN J. REYNOLDS
THOMAS J. HENEGHAN
MARK A. SPAGNOLO

WILLIAM PAUL JONES
KATIE HENEGHAN
JOHN J. MORAN JR.
MICHAEL J. MURRAY

May 13, 1985

Mr. Len Sherman
222 West Adams
Chicago, Illinois 60606

RE: Rock Campaign Contributions

Dear Len:

As a result of an audit of our books and records, and in response to an inquiry from the Federal Election Commission, it has been determined that you contributed $1,300.00 to the Rock for U.S. Senate Campaign. Federal law provides that an individual may not contribute to a candidate for Federal office in excess of $1,000.00 per election.

Enclosed is our check in the amount of $300.00, which represents the amount of your contribution that has been determined to be in excess of the allowable limits.

Thank you again for all your help and assistance in Phil's campaign.

Very truly yours,

DANIEL R. FUSCO
Treasurer
Rock for U.S. Senate Campaign

DRF:jlh
Enclosure

0 5 0 2 0 0 4 1 5 5 1

RECEIVED AT THE FEC
85 MAY 16 AM 8:30

LAW OFFICES
ROCK, FUSCO, REYNOLDS & HENEGHAN
A PROFESSIONAL CORPORATION
20 SOUTH LA SALLE STREET
CHICAGO, ILLINOIS 60603
312 641-1550

PHILIP J. ROCK
DANIEL R. FUSCO
JOHN J. REYNOLDS
THOMAS J. HENEGHAN
MARK A. SPADORO

WILLIAM PAUL JONES
KATIE NEWMAN
JOHN J. MORAN, JR.
MICHAEL J. MURRAY

May 13, 1985

R. Jack Sneeden
520 Santa Rose Drive
Des Plaines, Illinois 60018

RE: Rock Campaign Contributions

Dear Jack:

As a result of an audit of our books and records, and in response to an inquiry from the Federal Election Commission, it has been determined that you contributed $1,750.00 to the Rock for U.S. Senate Campaign. Federal law provides that an individual may not contribute to a candidate for Federal office in excess of $1,000.00 per election.

Enclosed is our check in the amount of $750.00, which represents the amount of your contribution that has been determined to be in excess of the allowable limits.

Thank you again for all your help and assistance in Phil's campaign.

Very truly yours,

DANIEL R. FUSCO
Treasurer
Rock for U.S. Senate Campaign

DRF:jlh
Enclosure

85020041561

85 MAY 15 AM 9:

LAW OFFICES

ROCK, FUSCO, REYNOLDS & HENEGHAN

A PROFESSIONAL CORPORATION

29 SOUTH LA SALLE STREET

CHICAGO, ILLINOIS 60603

PHONE

PHILIP J. ROCK
DANIEL R. FUSCO
JOHN J. REYNOLDS
THOMAS J. HENEGHAN
MARK A. SPAGNOLO

WILLIAM PAUL JONES
KATIE NEWGHAN
JOHN J. MORAN, JR.
MICHAEL J. MURRAY

May 13, 1985

Mr. William Smith, Jr.
29 South LaSalle Street
Chicago, Illinois 60603

RE: Rock Campaign Contributions

Dear Bill:

As a result of an audit of our books and records, and in response to an inquiry from the Federal Election Commission, it has been determined that you contributed $1,100.00 to the Rock for U.S. Senate Campaign. Federal law provides that an individual may not contribute to a candidate for Federal office in excess of $1,000.00 per election.

Enclosed is our check in the amount of $100.00, which represents the amount of your contribution that has been determined to be in excess of the allowable limits.

Thank you again for all your help and assistance in Phil's campaign.

Very truly yours,

DANIEL R. FUSCO
Treasurer
Rock for U.S. Senate Campaign

DRF:jlh
Enclosure

85020041156

RECEIVED AT THE FEC
85 MAY 13 A9:58

LAW OFFICES
ROCK, FUSCO, REYNOLDS & HENEGHAN
A PROFESSIONAL CORPORATION
20 SOUTH LA SALLE STREET
CHICAGO, ILLINOIS 60603
312-641-3090

PHILIP J. ROCK
DANIEL R. FUSCO
JOHN J. REYNOLDS
THOMAS J. HENEGHAN
MARK A. BRADDOCK

WILLIAM PAUL JONES
KATIE NEWMAN
JOHN J. HORAN, JR.
MICHAEL J. MURRAY

May 13, 1985

Mr. Maxwell Riffking
2700 Division Street
Melrose Park, Illinois 60160

RE: Rock Campaign Contributions

Dear Maxwell:

As a result of an audit of our books and records, and in response to an inquiry from the Federal Election Commission, it has been determined that you contributed $1,200.00 to the Rock for U.S. Senate Campaign. Federal law provides that an individual may not contribute to a candidate for Federal office in excess of $1,000.00 per election.

Enclosed is our check in the amount of $200.00, which represents the amount of your contribution that has been determined to be in excess of the allowable limits.

Thank you again for all your help and assistance in Phil's campaign.

Very truly yours,

DANIEL R. FUSCO
Treasurer
Rock for U.S. Senate Campaign

DRF:jlh
Enclosure

85030041156

FEC
85 MAY 15 A9:59

LAW OFFICES
ROCK, FUSCO, REYNOLDS & HENEGHAN
A PROFESSIONAL CORPORATION
70 SOUTH LA SALLE STREET
CHICAGO, ILLINOIS 60603
312 641-1850

PHILIP J. ROCK
DANIEL R. FUSCO
JOHN J. REYNOLDS
THOMAS J. HENEGHAN
MARK A. SPADORO

WILLIAM PAUL JONES
KATIE NEWSHAN
JOHN J. MORAN, JR.
MICHAEL J. MURRAY

May 13, 1985

Mr. Walter Patelski
6500 West Cortland
Chicago, Illinois 60635

RE: Rock Campaign Contributions

Dear Walter:

As a result of an audit of our books and records, and in response to an inquiry from the Federal Election Commission, it has been determined that you contributed $1,150.00 to the Rock for U.S. Senate Campaign. Federal law provides that an individual may not contribute to a candidate for Federal office in excess of $1,000.00 per election.

Enclosed is our check in the amount of $150.00, which represents the amount of your contribution that has been determined to be in excess of the allowable limits.

Thank you again for all your help and assistance in Phil's campaign.

Very truly yours,

DANIEL R. FUSCO
Treasurer
Rock for U.S. Senate Campaign

DRF:jlh
Enclosure

8 5 0 0 0 4 1 5 6

85 MAY 15 AM 10:50

LAW OFFICES

ROCK, FUSCO, REYNOLDS & HENEGHAN
A PROFESSIONAL CORPORATION
20 NORTH LA SALLE STREET
CHICAGO ILLINOIS 60603
312 60 [illegible]

PHILIP J ROCK
DANIEL R FUSCO
JOHN J REYNOLDS
THOMAS J HENEGHAN
MARK A SPADORO

WILLIAM PAUL JONES
KATIE NEWMAN
JOHN J MORAN, JR
MICHAEL J MURRAY

May 13, 1985

Mr. Fredric G. Novy
111 West Washington
Chicago, Illinois 60602

RE: Rock Campaign Contributions

Dear Ric:

As a result of an audit of our books and records, and in response to an inquiry from the Federal Election Commission, it has been determined that you contributed $1,300.00 to the Rock for U.S. Senate Campaign. Federal law provides that an individual may not contribute to a candidate for Federal office in excess of $1,000.00 per election.

Enclosed is our check in the amount of $300.00, which represents the amount of your contribution that has been determined to be in excess of the allowable limits.

Thank you again for all your help and assistance in Phil's campaign.

Very truly yours,

DANIEL R. FUSCO
Treasurer
Rock for U.S. Senate Campaign

DRF:jlh
Enclosure

8520041156

FEC
85 MAY 16 A9:50

LAW OFFICES
ROCK, FUSCO, REYNOLDS & HENEGHAN
A PROFESSIONAL CORPORATION
20 SOUTH LA SALLE STREET
CHICAGO, ILLINOIS 60603
312-641-0850

PHILIP J. ROCK
DANIEL R. FUSCO
JOHN J. REYNOLDS
THOMAS J. HENEGHAN
MARK S. BRADFORD

WILLIAM PAUL JONES
KATIE HENEGHAN
JOHN J. MORAN, JR.
MICHAEL J. MURRAY

May 13, 1985

Mr. John A. McDonald
141 West Jackson
Chicago, Illinois 60604

RE: Rock Campaign Contributions

Dear Jack:

As a result of an audit of our books and records, and in response to an inquiry from the Federal Election Commission, it has been determined that you contributed $1,300.00 to the Rock for U.S. Senate Campaign. Federal law provides that an individual may not contribute to a candidate for Federal office in excess of $1,000.00 per election.

Enclosed is our check in the amount of $300.00, which represents the amount of your contribution that has been determined to be in excess of the allowable limits.

Thank you again for all your help and assistance in Phil's campaign.

Very truly yours,

DANIEL R. FUSCO
Treasurer
Rock for U.S. Senate Campaign

DRF:jlh
Enclosure

85020041571

85 MAY 15 A9:00

LAW OFFICES

ROCK, FUSCO, REYNOLDS & HENEGHAN
A PROFESSIONAL CORPORATION
20 SOUTH LA SALLE STREET
CHICAGO, ILLINOIS 60603
312 641 3850

PHILIP J. ROCK
DANIEL R. FUSCO
JOHN J. REYNOLDS
THOMAS J. HENEGHAN
MARK A. SPADORO

WILLIAM PAUL JONES
KATIE NEWSHAN
JOHN J. MORAN, JR.
MICHAEL J. MURRAY

May 13, 1985

Mr. Jack C. Leahy
300 South Ashland
Chicago, Illinois 60607

RE: Rock Campaign Contributions

Dear Jack:

As a result of an audit of our books and records, and in response to an inquiry from the Federal Election Commission, it has been determined that you contributed $1,200.00 to the Rock for U.S. Senate Campaign. Federal law provides that an individual may not contribute to a candidate for Federal office in excess of $1,000.00 per election.

Enclosed is our check in the amount of $200.00, which represents the amount of your contribution that has been determined to be in excess of the allowable limits.

Thank you again for all your help and assistance in Phil's campaign.

Very truly yours,

DANIEL R. FUSCO
Treasurer
Rock for U.S. Senate Campaign

DRF:jlh
Enclosure

85020941570

LAW OFFICES
ROCK, FUSCO, REYNOLDS & HENEGHAN
A PROFESSIONAL CORPORATION
20 SOUTH LA SALLE STREET
CHICAGO, ILLINOIS 60603
312 641 0850

PHILIP J. ROCK
DANIEL R. FUSCO
JOHN J. REYNOLDS
THOMAS J. HENEGHAN
MARK A. SPADORO

WILLIAM PAUL JONES
KATIE NEWSHAN
JOHN J. MORAN, JR.
MICHAEL J. MURRAY

May 13, 1985

Mr. Martin K. Henslee
30 North LaSalle Street
Chicago, Illinois 60602

RE: Rock Campaign Contributions

Dear Marty:

As a result of an audit of our books and records, and in response to an inquiry from the Federal Election Commission, it has been determined that you contributed $2,000.00 to the Rock for U.S. Senate Campaign. Federal law provides that an individual may not contribute to a candidate for Federal office in excess of $1,000.00 per election.

Enclosed is our check in the amount of $1,000.00, which represents the amount of your contribution that has been determined to be in excess of the allowable limits.

Thank you again for all your help and assistance in Phil's campaign.

Very truly yours,

DANIEL R. FUSCO
Treasurer
Rock for U.S. Senate Campaign

DRF:jlh
Enclosure

8 5 0 2 0 0 4 1 5 7

RECEIVED BY THE FEC
85 MAY 16 AM 9:00

LAW OFFICES
ROCK, FUSCO, REYNOLDS & HENEGHAN
A PROFESSIONAL CORPORATION
30 SOUTH LA SALLE STREET
CHICAGO, ILLINOIS 60603
(312) 641-0300

PHILIP J. ROCK
DANIEL R. FUSCO
JOHN J. REYNOLDS
THOMAS J. HENEGHAN
MARK A. BRADDOCK

WILLIAM PAUL JONES
KATIE HENEGHAN
JOHN J. HORAN, JR.
MICHAEL J. MURRAY

May 13, 1985

Mr. William Gilligan
North Adams Road
Oak Brook, Illinois 60521

RE: Rock Campaign Contributions

Dear Bill:

As a result of an audit of our books and records, and in response to an inquiry from the Federal Election Commission, it has been determined that you contributed $1,300.00 to the Rock for U.S. Senate Campaign. Federal law provides that an individual may not contribute to a candidate for Federal office in excess of $1,000.00 per election.

Enclosed is our check in the amount of $300.00, which represents the amount of your contribution that has been determined to be in excess of the allowable limits.

Thank you again for all your help and assistance in Phil's campaign.

Very truly yours,

DANIEL R. FUSCO
Treasurer
Rock for U.S. Senate Campaign

DRF:jlh
Enclosure

85020041157

LAW OFFICES

ROCK, FUSCO, REYNOLDS & HENEGHAN

A PROFESSIONAL CORPORATION

20 SOUTH LA SALLE STREET

CHICAGO, ILLINOIS 60603

312 641 0050

PHILIP J. ROCK
DANIEL R. FUSCO
JOHN J. REYNOLDS
THOMAS J. HENEGHAN
MARK A. BRADFORD

WILLIAM PAUL JONES
KATIE NEWMAN
JOHN J. HORAN, JR.
MICHAEL J. MURRAY

May 13, 1985

Mr. Thomas J. Garvey
101 Briarwood Circle
Oak Brook, Illinois 60521

RE: Rock Campaign Contributions

Dear Tom:

As a result of an audit of our books and records, and in response to an inquiry from the Federal Election Commission, it has been determined that you contributed $1,300.00 to the Rock for U.S. Senate Campaign. Federal law provides that an individual may not contribute to a candidate for Federal office in excess of $1,000.00 per election.

Enclosed is our check in the amount of $300.00, which represents the amount of your contribution that has been determined to be in excess of the allowable limits.

Thank you again for all your help and assistance in Phil's campaign.

Very truly yours,

DANIEL R. FUSCO
Treasurer
Rock for U.S. Senate Campaign

DRF:jlh
Enclosure

85 0 0 0 4 1 5 8

LAW OFFICES

ROCK, FUSCO, REYNOLDS & HENEGHAN
A PROFESSIONAL CORPORATION
20 SOUTH LA SALLE STREET
CHICAGO, ILLINOIS 60603
(312) 641 0880

PHILIP J. ROCK
DANIEL R. FUSCO
JOHN J. REYNOLDS
THOMAS J. HENEGHAN
MARK A. BRAGORO

WILLIAM PAUL JONES
RAYIE NEWMAN
JOHN J. MORAN, JR.
MICHAEL J. MURRAY

May 13, 1985

Mr. Ronald Bartkowicz
2135 North 78th Avenue
Elmwood Park, Illinois 60635

RE: Rock Campaign Contributions

Dear Ron:

As a result of an audit of our books and records, and in response to an inquiry from the Federal Election Commission, it has been determined that you contributed $1,120.40 to the Rock for U.S. Senate Campaign. Federal law provides that an individual may not contribute to a candidate for Federal office in excess of $1,000.00 per election.

Enclosed is our check in the amount of $120.40, which represents the amount of your contribution that has been determined to be in excess of the allowable limits.

Thank you again for all your help and assistance in Phil's campaign.

Very truly yours,

DANIEL R. FUSCO
Treasurer
Rock for U.S. Senate Campaign

DRF:jlh
Enclosure

85020041580

ROCK FOR U.S. SENATE
221 N. LA SALLE, SUITE 3517
CHICAGO, ILL. 60601

1490

May 13 1985

Pay to the Order of: Jerritt Stitle

$ 15.00

Dollars

Amalgamated Trust & Savings Bank
CHICAGO

⑈001490⑈ ⑆071003405⑆ ⑈063519800⑈

ROCK FOR U.S. SENATE
221 N. LA SALLE, SUITE 3517
CHICAGO, ILL. 60601

1491

May 13 1985

Pay to the Order of: Ric Ivy

$ 300.00

Three hundred & no/100

Dollars

Amalgamated Trust & Savings Bank
CHICAGO

⑈001491⑈ ⑆071003405⑆ ⑈063519800⑈

ROCK FOR U.S. SENATE
221 N. LA SALLE, SUITE 3517
CHICAGO, ILL. 60601

1492

May 13 1985

Pay to the Order of: Jack McDonald

$ 300.00

Three hundred & no/100

Dollars

Amalgamated Trust & Savings Bank
CHICAGO

⑈001492⑈ ⑆071003405⑆ ⑈063519800⑈

ROCK FOR U.S. SENATE
221 N. LA SALLE, SUITE 3517
CHICAGO, ILL. 60601

1493

May 13 1973

Pay to the Order of Jack Lucky

Five hundred & dollars

$ 500.00

DOLLARS

Authorized Signature

⑈001493⑈ ⑆071000340⑆ ⑈063519600⑈

ROCK FOR U.S. SENATE
221 N. LA SALLE, SUITE 3517
CHICAGO, ILL. 60601

1494

May 13 1973

Pay to the Order of Dinsten Windle

Three hundred & dollars

$ 300.00

DOLLARS

Authorized Signature

⑈001494⑈ ⑆071000340⑆ ⑈063519600⑈

ROCK FOR U.S. SENATE
221 N. LA SALLE, SUITE 3517
CHICAGO, ILL. 60601

1495

May 13 1973

Pay to the Order of Jon Hall

Four hundred & dollars

$ 400.00

DOLLARS

Authorized Signature

ROCK FOR U.S. SENATE
221 N. LA SALLE, SUITE 3517
CHICAGO, ILL. 60601

1496

May 13 1985

Pay to the Order of William Hellinger $ 300.00

Three hundred & no/100 Dollars

Authorized Signature

CHASE MANHATTAN BANK

For

⑈001496⑈ ⑆071000340⑆ ⑈063519100⑈

ROCK FOR U.S. SENATE
221 N. LA SALLE, SUITE 3517
CHICAGO, ILL. 60601

1497

May 13 1985

Pay to the Order of Tom Harvey $ 300.00

Three hundred & no/100 Dollars

Authorized Signature

CHASE MANHATTAN BANK

For

⑈001497⑈ ⑆071000340⑆ ⑈063519100⑈

ROCK FOR U.S. SENATE
221 N. LA SALLE, SUITE 3517
CHICAGO, ILL. 60601

1498

May 13 1985

Pay to the Order of Ron Bittenwei $ 120.00

One hundred twenty & 40/100 Dollars

Authorized Signature

CHASE MANHATTAN BANK

For

⑈001498⑈ ⑆071000340⑆ ⑈063519100⑈

ROCK FOR U.S. SENATE
221 N. LA SALLE, SUITE 3517
CHICAGO, ILL. 60601

1499

May 13 '85

Pay to the order of John Cochran $500.00

Five hundred & no/100 DOLLARS

Approved [redacted]

Fund

Carol Moseley

⑈001499⑈ ⑆071000340⑆ ⑈063519800⑈

ROCK FOR U.S. SENATE
221 N. LA SALLE, SUITE 3517
CHICAGO, ILL. 60601

1500

May 13 '85

Pay to the order of Lin Shimer $300.00

Three hundred & no/100 DOLLARS

Approved [redacted]

Fund

Carol Moseley

⑈001500⑈ ⑆071000340⑆ ⑈063519800⑈

ROCK FOR U.S. SENATE
221 N. LA SALLE, SUITE 3517
CHICAGO, ILL. 60601

1487

May 13 1985

Pay to the Order of Jack Drucker — $ 75.00

Seventy five & 00/100 — DOLLARS

Authorized Signature

⑈001487⑈ ⑆071000340⑆ ⑈063519600⑈

ROCK FOR U.S. SENATE
221 N. LA SALLE, SUITE 3517
CHICAGO, ILL. 60601

1488

May 13 1985

Pay to the Order of William Scott — $ 100.00

One hundred & 00/100 — DOLLARS

Authorized Signature

⑈001488⑈ ⑆071000340⑆ ⑈063519600⑈

ROCK FOR U.S. SENATE
221 N. LA SALLE, SUITE 3517
CHICAGO, ILL. 60601

1489

May 13 1985

Pay to the Order of Maxwell Rafshoon — $ 200.00

Two hundred & 00/100 — DOLLARS

Authorized Signature

⑈001489⑈ ⑆071000340⑆ ⑈063519600⑈

[illegible] Report

[illegible]

CONTRIBUTIONS FROM PERSONS OTHER THAN POLITICAL COMMITTEES [illegible]

[illegible]

[illegible] U.S. SENATE C00171009

Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date Received (mo., year)	Amount of Each Receipt This Period
Edward Cradovski 3040 N. Linder Avenue Chicago, Illinois 60641	Winston & Strawn Attorney	9-14-84	150.00
Primary: [illegible] Primary: [illegible] General: [illegible] Other (specify): [illegible]	Aggregate Year-to-Date: $ 250.00		
Charles F. DeBruler P.O. Box 700 Libertyville, Illinois 60048	DeBruler Co. Vice-President	9-17-84	300.00
Primary: [illegible] Primary: [illegible] General: [illegible] Other (specify): [illegible]	Aggregate Year-to-Date: $ 425.00		
William C. DeBruler P.O. Box 709 Libertyville, Illinois 60048	DeBruler Co. President	9-17-84	300.00
Primary: [illegible] Primary: [illegible] General: [illegible] Other (specify): [illegible]	Aggregate Year-to-Date: $ 425.00		
Donald J. DePorter 151 E. Wacker Dr. Chicago, Illinois 60601	Hyatt Hotels Reg. Vice-Pres.	9-17-84	300.00
Primary: [illegible] Primary: [illegible] General: [illegible] Other (specify): [illegible]	Aggregate Year-to-Date: $ 1400.00		
Citizens For Cornelius F. Dore 3427 Vollmer Flossmoor, Illinois 60422	Circuit Court of Cook County Judge	9-21-84	300.00
Primary: [illegible] Primary: [illegible] General: [illegible] Other (specify): [illegible]	Aggregate Year-to-Date: $ 550.00		
William B. Dring 540 Fair Oaks Oak Park, Illinois 60302	Bauhs & Dring Architect	9-14-84	300.00
Primary: [illegible] Primary: [illegible] General: [illegible] Other (specify): [illegible]	Aggregate Year-to-Date: $ 400.00		
Citizens For Jim Edgar 200 S. 2nd Street Springfield, Illinois 62701	State of Illinois Secretary of State	9-14-84	300.00
Primary: [illegible] Primary: [illegible] General: [illegible] Other (specify): [illegible]	Aggregate Year-to-Date: $ [illegible]		
[illegible]			
[illegible]			

OK

July Quarterly Report

SCHEDULE A — ITEMIZED RECEIPTS

CONTRIBUTIONS FROM OTHER THAN POLITICAL COMMITTEES

Any information requested from such Reports or Statements may not be used or used by any person for the purpose of obtaining contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

Name of Committee: PACE FOR U.S. SENATE C00171009

Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date Received (Mo., year)	Amount of Each Receipt This Period
Donald J. DePorter 151 E. Wacker Dr. Chicago, Illinois 60601 Receipt for: ☒ Primary ☐ General ☐ Other (specify)	Hyatt Regency Chgo Regional Vice-Pres. Aggregate Year-to-Date-$1100.00	3-29-84	100.00
Ruth E. Donnewald Box 37 Breese, Illinois 62230 Receipt for: ☒ Primary ☐ General ☐ Other (specify)	Homemaker Aggregate Year-to-Date-$400.00	3-18-84	300.00
Thomas J. Duffy 10045 Bell Chicago, Illinois 60643 Receipt for: ☒ Primary ☐ General ☐ Other (specify)	Duffy & Jordan Attorney Aggregate Year-to-Date-$500.00	3-29-84	300.00
Nancy L. Fritsche R.R. 2/Box 24A Sorento, Illinois 62086 Receipt for: ☒ Primary ☐ General ☐ Other (specify)	State of Illinois Secretary Aggregate Year-to-Date-$210.00	3-29-84	100.00
Robert B. Glade 211 W. Water St. Kankakee, Illinois 60901 Receipt for: ☒ Primary ☐ General ☐ Other (specify)	Glade Plumbing & Heating Co. President Aggregate Year-to-Date-$1500.00	3-29-84	500.00
John H. Graves 1635 W. Forest Decatur, Illinois 62522 Receipt for: ☒ Primary ☐ General ☐ Other (specify)	Graves & Graves Attorney Aggregate Year-to-Date-$300.00	3-29-84	100.00
Albert Green 6161 N. Keeley Chicago, Illinois 60646 Receipt for: ☒ Primary ☐ General ☐ Other (specify)	Circuit Court of Cook County Judge Aggregate Year-to-Date-$125.00	3-29-84	125.00
SUBTOTAL of Receipts This Page (Optional)			1225.00
TOTAL This Period (or year-to-date)			

B502U4IAYU

12 Day Pre Primary Report

SCHEDULE A — ITEMIZED RECEIPTS

CONTRIBUTIONS BY PERSONS OTHER THAN POLITICAL COMMITTEES

Name of Committee (in full): ROCK FOR U. S. SENATE FFC # COM 11009

Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (mo., day, year)	Amount of Each Receipt This Period
Democratic Party of Oak Park 306 Madison St. Oak Park, IL 60302		2-21-84	$1,000.00
Primary / General	Aggregate Year-to-Date $ 1,000.00		
Barbara H. Dennis 641 Judson Ave. Evanston, IL 60202	IL St. Senate 2705 W. Howard St. Chicago, IL 60645 Legislative Ass't	1-23-84 2-21-84	$250.00 $100.00
Primary / General	Aggregate Year-to-Date $ 450.00		
John D. Davis 1739 N. Mohawk Chicago, IL 60614		2-28-84	$250.00
Primary / General	Aggregate Year-to-Date $ 250.00		
Deauville Health Care Center 7445 N. Sheridan Attn: Mark Segal Chicago, IL	Deauville Health Care 7445 N. Sheridan Chicago, IL Administrator	1-26-84	$250.00
Primary / General	Aggregate Year-to-Date $ 250.00		
Donald J. DePorter	Hyatt Regency Chgo. 151 E. Wacker Dr. Chicago, IL 60601 Regional Vice-Pres.	2-6-84	$1,000.00
Primary / General	Aggregate Year-to-Date $ 1,000.00		
Joseph N. DiNatale 144 Scottswood Rd. Riverside, IL	DiNatale & Montemurro 6551 W. North Ave. Oak Park, IL 60302 Attorney	1-19-84	$500.00 NEC
Primary / General	Aggregate Year-to-Date $ 500.00		
DiNatale & Montemurro 6551 W. North Ave. Oak Park, IL 60302	Law Firm	1-19-84	$1,000.00
Primary / General	Aggregate Year-to-Date $ 1,000.00		
SUBTOTAL of Receipts This Page (optional)			$3850.00
TOTAL This Period (last page this line number only)			

8502004159

SCHEDULE B — ITEMIZED DISBURSEMENTS

1984 Year End Report

REFUNDS OF CONTRIBUTIONS/INDIVIDUALS

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

Name of Committee (in Full): Stock For U.S. Senate C00171089

Full Name, Mailing Address and ZIP Code	Purpose of Disbursement / Disbursement for: Primary, General, Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period
A. Donald J. DeFortier, 151 E. Wacker Dr., Chicago, Illinois 60601	Refund/Exceeds limit	12/18/84	$400.00
B. Robert B. Glade, 211 W. Water St., Kankakee, Illinois 60901	Refund/exceeds limit	12/18/84	500.00
C. Joseph Clair, 120 E. 4th Street, Cincinnati, Ohio 45202	Non-sufficient funds	12/15/84	300.00
D.			
E.			
F.			
G.			
H.			
I.			
SUBTOTAL of Disbursements This Page (optional)			
TOTAL This Period (last page this line number only)			$1200.00

RG

850204159 20

ATTACHMENT 10

FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

RQ-3

May 23, 1985

Daniel R. Fusco, Treasurer
Rock for U.S. Senate
29 South LaSalle Street
Room 830
Chicago, IL 60603

Identification Number: C00171009

Reference: October Quarterly Report (7/1/84-9/30/84)

Dear Mr. Fusco:

This letter is to inform you that as of May 22, 1985, the Commission has not received your response to our request for additional information, dated April 30, 1985. That notice requested information essential to full public disclosure of your Federal election financial activity and to ensure compliance with provisions of the Federal Election Campaign Act (the Act). A copy of our original request is enclosed.

If no response is received within fifteen (15) days from the date of this notice, the Commission may choose to initiate audit or legal enforcement action.

If you should have any questions related to this matter, please contact Gregory Swanson on our toll-free number (800) 424-9530 or our local number (202) 523-4048.

Sincerely,

John D. Gibson

John D. Gibson
Assistant Staff Director
Reports Analysis Division

Enclosure

ATTACHMENT 11

MEMORANDUM FOR THE FILES: Telecon

TO: Daniel Fusco, Treasurer (312)641-0850

FROM: Gregory Swanson, Analyst

DATE: August 1, 1985

COMMITTEE: Rock for U.S. Senate - IL/C00171009

SUBJECT: Apparent Excessive Contribution

I phoned Mr. Fusco to provide him with clarification concerning his treatment of the contributions from Mr. Thomas Tully and Citizens for Thomas M. Tully. Mr. Fusco, in his letter dated May 13, 1985, described the two contributors as separate entities which could each contribute up to $1,000.

I explained that for purposes of contribution limitations, the candidate and the candidate's campaign committee are considered the same and combined, may only contribute up to $1,000 per election. I referred to Commission Advisory Opinion 1976-5 and read to Mr. Fusco the footnote that explicitly describes the situation.

He said he believed me and would make the refund the following week and would notify the Commission at that time of the action taken including a photocopy of the refund check.

Chart A

The attached chart is an alphabetical listing of seventeen (17) individuals and one (1) multi-candidate apparently contributing in excess of the per election limitations. Chart A includes only those excessive contributions of which the Committee has been notified.

9 5 0 0 4 1 2 0 0 4

CHART A

APPARENT EXCESSIVE CONTRIBUTIONS RECEIVED BY ROCK FOR U.S. SENATE

Contributors	Total Contributed for Primary Election	Pages & Frames	Apparent Excessive Amount - Date	Amount Refunded - Date	Pages & Frames
Bartkowitz, Ronald	$1,120.40	1-B, 14-C, 31-A	$ 120.40 - 9/10/84	$ 120.40 - 5/13/85	45-C
Cashion, John Bernard	1,500.00 1/	27-F, 32-D	500.00 - 9/21/84	500.00 - 5/13/85	46-D
Deporter, Donald	1,400.00	15-E, 28-A, 33-D	100.00 - 5/29/84 300.00 - 9/17/84	400.00 - 12/10/84	43-A
Garvey, Thomas J.	1,300.00	3-E, 34-D	300.00 - 9/17/84	300.00 - 5/13/85	45-B
Gill, James R.	1,200.00 2/	4-B, 35-B	200.00 - 9/17/84	200.00 - 5/13/85	45-F
Gilligan, William J.	1,300.00	4-C, 35-C	300.00 - 9/10/84	300.00 - 5/13/85	45-A
Glade, Robert B.	1,500.00	17-E, 28-E	500.00 - 5/29/84	500.00 - 12/10/84	43-B
Henslee, Martin K.	2,000.00	5-E, 36-C	1,000.00 - 9/14/84	1,000.00 - 5/13/85	45-E
Leahy, Jack C.	2,200.00	6-C, 25-B, 37-G	200.00 3/- 9/14/84	200.00 - 5/13/85	45-D
McDonald, John A.	1,300.00	7-C, 38-A	300.00 - 9/17/84	300.00 - 5/13/85	45-I

1/ This total includes $100 in unitemized contributions reflected in the reported aggregate year-to-date total for this individual.

2/ This total includes $125 in unitemized contributions reflected in the reported aggregate year-to-date total for this individual.

3/ Refer to Chart B for specific information concerning the additional $1,000 in excessive contributions of which the Committee has not been notified.

9 0 0 7 1 9 0 4 0 9 8

CHART A

APPARENT EXCESSIVE CONTRIBUTIONS RECEIVED BY ROCK FOR U.S. SENATE

Contributors	Total Contributed for Primary Election	Pages & Frames	Apparent Excessive Amount - Date	Amount Refunded - Date	Pages & Frames
Novy, Frederick G.	1,300.00	8-A, 38-G	300.00 - 9/17/84	300.00 - 5/13/85	45-H
Patelski, Walter	1,150.00	9-A, 39-C	150.00 - 9/14/84	150.00 - 5/13/85	45-G
Riffkind, Maxwell	1,200.00	9-F, 40-D	200.00 - 9/17/84	200.00 - 5/13/85	46-C
Sherman, Leonard (Len)	1,300.00 4/	18-G, 41-C	300.00 - 9/17/84	300.00 - 5/13/85	46-E
Smith, William Jr.	1,100.00	19-E, 41-F	100.00 - 9/__/__ 5/	100.00 - 5/13/85	46-B
Sneeden, R. Jack	1,750.00	10-G, 41-G	750.00 - 9/14/84	750.00 - 5/13/85	46-A
Tully, Thomas M. (Citizens for Thomas M. Tully)	2,000.00	12-G, 42-A	1,000.00 - 9/21/84	0.00	
Sheet Metal Workers International Association Political Action League (C00007542)	5,200.00	13-A, 22-E, 29-E	200.00 - 5/18/84	200.00 - 12/10/84	44-A
Democratic Party of Chicago and Cook County (Unregistered)	5,000.00	26-A	4,000.00 - 3/9/84	4,000.00 - 9/12/84	30-A
TOTAL			10,820.40		

4/ This total includes $100 in unitemized contributions reflected in the reported aggregate year-to-date total for this individual.

5/ The date of receipt is incomplete as reported by the Committee.

Chart B

The attached chart is an alphabetical listing of six (6) individuals and one (1) partnership apparently contributing in excess of the $1,000 per election limitation. Chart B includes only those excessive contributions of which the Committee has not been notified.

3 5 0 4 0 5 1 2 0 0 7

CHART B

APPARENT EXCESSIVE CONTRIBUTIONS RECEIVED BY ROCK FOR U.S. SENATE

Contributors	Total Contributed for Primary Election	Pages & Frames	Apparent Excessive Amount - Date	Amount Refunded - Date	Pages & Frames
Duffy, Thomas J.	$1,300.00 6/	2-B, 28-C	$ 300.00 - 5/29/84	$ 0	
Embry, David D.	1,250.00	16-G, 23-C	250.00 - 3/15/84	0	
Flanagan, Thomas D.	1,125.00 7/	24-B	125.00 - 3/12/84	0	
Giglio, Frank	1,100.00 8/	17-D	100.00 - 2/10/84	0	
Leahy, Jack C.	2,200.00	6-C, 25-B, 37-G	1,000.00 9/ - 3/14/84	0	
Spitzer, Addis, Susman & Roskin (Partnership)	1,500.00	11-A, 20-A	500.00 - 1/23/84	0	
Sullivan, Brian P.	1,125.00 10/	21-A	125.00 - 2/16/84	0	
TOTAL			$2,400.00		

6/ This total includes $200 in unitemized contributions reflected in the reported aggregate year-to-date total for this individual.

7/ This total includes $125 in unitemized contributions reflected in the reported aggregate year-to-date total for this individual.

8/ This total includes $100 in unitemized contributions reflected in the reported aggregate year-to-date total for this individual.

9/ Refer to Chart A for specific information concerning the $200 in excessive contributions of which the Committee was notified.

10/ This total includes $125 in unitemized contributions reflected in the reported aggregate year-to-date total for this individual.

8 0 0 2 1 5 0 4 0 5 8

Rock for U.S. Senate

Supporting Documentation For The Charts

1983 Year End Report	Pages 1-13
1984 12 Day Pre-Primary Report	Pages 14-22
1984 April Quarterly Report	Pages 23-26
1984 July Quarterly Report	Pages 27-29
1984 October Quarterly Report	Pages 30-42
1984 Year End Report	Pages 43-44
1985 Mid Year Report	Pages 45-46

1983 YEAR END REPORT

SCHEDULE A

ITEMIZED RECEIPTS

LINE NUMBER 11(a)
(Use separate schedule(s) for each category of the Detailed Summary Page)

CONTRIBUTIONS FROM PERSONS OTHER THAN POLITICAL COMMITTEES

Any information copied from such Reports or Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committees.

Name of Committee (in Full): ROCK FOR U. S. SENATE FEC #C00171009

Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt This Period
A. Anesi, Ozman & Lewin 188 W. Randolph St. Chicago, IL 60601 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Employer: Occupation: Law firm Aggregate Year-to-Date-$ 500.00	11-23-83	500.00
B. Ronald F. Bartkowicz 2135 N. 78th Av. Elmwood Park, IL 60635 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Employer: Chicago Transit Authority Occupation: Attorney Aggregate Year-to-Date-$ 500.00	11-04-83	500.00
C. Daniel L. Baskes Box I-60/Grinnel College - Box 805 Grinnel, Iowa 50112-0805 Receipt For: ☒ Primary ☐ General ☐ Other (specify)	Employer: Occupation: Student Aggregate Year-to-Date-$ 1000.00	12-01-83	1000.00
D. Ella R. Baskes 1220 Park Av. Highland Park, IL 60035 Receipt For: ☒ Primary ☐ General ☐ Other (specify)	Employer: Occupation: Homemaker Aggregate Year-to-Date-$ 1000.00	12-01-83	1000.00
E. Julie Baskes 1213 Crofton Highland Park, IL 60035 Receipt For: ☒ Primary ☐ General ☐ Other (specify)	Employer: Occupation: Student Aggregate Year-to-Date-$ 1000.00	12-01-83	1000.00
F. Laura M. Baskes 353 E. 83rd St., Apt. 15F New York, NY 10028 Receipt For: ☒ Primary ☐ General ☐ Other (specify)	Employer: Cable Vision Program Enterprises Occupation: Programmer Aggregate Year-to-Date-$ 1000.00	12-01-83	1000.00
G. Roger S. Baskes 1213 Crofton Highland Park, IL 60035 Receipt For: ☒ Primary ☐ General ☐ Other (specify)	Employer: Self-employed Occupation: Financial Consultant Aggregate Year-to-Date-$ 1000.00	12-01-83	1000.00
SUBTOTAL of Receipts This Page (optional)			6000.00
TOTAL This Period (last page this line number only)			

DES

SCHEDULE A **ITEMIZED RECEIPTS** (Use separate schedule(s) for each category of the Detailed Summary Page)

CONTRIBUTIONS FROM PERSONS OTHER THAN POLITICAL COMMITTEES

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Name of Committee (in Full): ROCK FOR U. S. SENATE FEC #C00171009

Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
A. Daniel J. Downes 4426 N. Richmond Chicago, IL 60625 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Henslee, Monek & Henslee Occupation: Attorney Aggregate Year-to-Date-$ 1000.00	10-12-83	1000.00
B. Thomas J.Duffy 10045 Bell Chicago, IL 60643 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Duffy & Jordan Occupation: Attorney Aggregate Year-to-Date-$ 800.00	11-07-83	500.00 300.00
C. Thomas J. Eyerman 1046 N. Grove Oak Park, IL 60302 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Skidmore, Owings & Merrill Occupation: Partner Aggregate Year-to-Date-$ 250.00	12-01-83	250.00
D. Gary Fears 6 Barbara Court Granite City, IL 62040 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Self-employed Occupation: Contractor Aggregate Year-to-Date-$ 500.00	11-07-83	500.00
E. Kevin M. Forde 5716 Lawn Western Springs, IL 60558 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Self-employed Occupation: Attorney Aggregate Year-to-Date-$ 250.00	10-25-83	250.00
F. John J. Fournier 151 N. Elmwood Oak Park, IL 60302 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Creative Automation Occupation: President Aggregate Year-to-Date-$ 1000.00	10-14-83	1000.00
G. Ronald P. Fox 131 Elmwood Terr. Elmhurst, IL 60126 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	R. P. Fox & Assoc., Inc. Occupation: Real Estate Manager Aggregate Year-to-Date-$ 1000.00	11-18-83	1000.00
SUBTOTAL of Receipts This Page (optional)			4800.00
TOTAL This Period (last page this line number only)			

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SCHEDULE A

ITEMIZED RECEIPTS

(Use a separate schedule for each category of the Detailed Summary Page)

CONTRIBUTIONS FROM PERSONS OTHER THAN POLITICAL COMMITTEES

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Name of Committee (in Full): ROCK FOR U. S. SENATE FEC #C00171009

Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
A. Daniel Fusco 1356 Greenwood Wilmette, IL 60091 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Rock, Fusco, Reynolds & Henaghan Occupation: Attorney Aggregate Year-to-Date-$ 1000.00	08-28-83	1000.00
B. Joseph Fusco 1136 Greenleaf Av Wilmette, IL 60091 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Occupation: Retired Aggregate Year-to-Date-$ 1000.00	09-21-83	1000.00
C. Daniel A. Gallagher 6710 N. Edgebrook Terr. Chicago, IL 60646 Receipt For: ☒ Primary ☐ General ☐ Other (specify)	Cook County State's Attorney Office Occupation: Attorney Aggregate Year-to-Date-$ 250.00	11-23-83	250.00
D. Norman Garfinkel 1040 W. Erie St. Oak Park, IL 60302 Receipt For: ☒ Primary ☐ General ☐ Other (specify)	Sears Pharmacy Occupation: Pharmacist Aggregate Year-to-Date-$ 1000.00	10-28-83 11-07-83 12-28-83	500.00 120.00 (In kind) 380.00
E. Thomas J. Garvey 101 Briarwood Ln. Oak Brook, IL 60521 Receipt For: ☒ Primary ☐ General ☐ Other (specify)	Garvey & Novy Occupation: Attorney Aggregate Year-to-Date-$ 1000.00	10-12-83	1000.00
F. Judith Gazaway 332 S. Wisconsin Oak Park, IL 60302 Receipt For: ☒ Primary ☐ General ☐ Other (specify)	Rehabilitation Systems of Illinois Occupation: Office Manager Aggregate Year-to-Date-$ 250.00	11-23-83	250.00
G. Thomas F. Geselbracht 244 Clinton Oak Park, IL 60302 Receipt For: ☒ Primary ☐ General ☐ Other (specify)	Rudnick & Wolfe Occupation: Attorney Aggregate Year-to-Date-$ 250.00	11-23-83	250.00
SUBTOTAL of Receipts This Page (optional)			4750.00
TOTAL This Period (last page this line number only)			

DES

SCHEDULE A

ITEMIZED RECEIPTS

SCHEDULE NUMBER 11(a)
(Use separate schedule for each category of the Detailed Summary Page)

CONTRIBUTIONS FROM PERSONS OTHER THAN POLITICAL COMMITTEES

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Name of Committee (in Full): ROCK FOR U. S. SENATE FEC #C00171009

Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
A. Peter E. Gidwitz 70 E. Cedar Chicago, IL 60611 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Burnham Realty President Aggregate Year-to-Date-$ 1000.00	12-28-83	1000.00
B. James R. Gill 9444 Avers Av. Evanston, IL 60204 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Gill & Biersborn Insurance Agent Aggregate Year-to-Date-$ 1000.00	10-12-83	1000.00
C. William Gilligan North Adams Rd. Oak Brook, IL 60521 Receipt For: ☒ Primary ☐ General ☐ Other (specify)	Hinsdale Orthopedic Associates Surgeon Aggregate Year-to-Date-$ 1000.00	10-28-83	1000.00
D. Robert E. Goldberg 6461 N. Longmeadow Lincolnwood, IL 60646 Receipt For: ☒ Primary ☐ General ☐ Other (specify)	Board of Trade Self-employed Aggregate Year-to-Date-$ 1000.00	10-25-83	1000.00
E. Suzanne C. Goodman 1501 N. State Pkwy. Chicago, IL 60610 Receipt For: ☒ Primary ☐ General ☐ Other (specify)	Homemaker Aggregate Year-to-Date-$ 500.00	11-04-83	500.00
F. Gorham, Metge, Bowman & Hourigan 33 N. LaSalle St. Chicago, IL 60602 Receipt For: ☒ Primary ☐ General ☐ Other (specify)	Law Firm Aggregate Year-to-Date-$ 500.00	11-23-83	500.00
G. William J. Harte 510 N. Grove Av. Oak Park, IL 60302 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	William J. Harte, Ltd. Attorney Aggregate Year-to-Date-$ 1000.00	11-23-83	1000.00
SUBTOTAL of Receipts This Page (optional)			6000.00
TOTAL This Period (last page this line number only)			

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SCHEDULE A **ITEMIZED RECEIPTS**

CONTRIBUTIONS FROM PERSONS OTHER THAN POLITICAL COMMITTEES

category of the Detailed Summary Page)

Any information copied from such Reports or Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

Name of Committee (in Full): ROCK FOR U. S. SENATE FEC #C00171009

Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
A. John D. Hayes 1310 Richie Ct. Chicago, IL 60611	John D. Hayes & Assoc., Ltd.	10-28-83	1000.00
Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Occupation: Attorney Aggregate Year-to-Date-$ 1000.00		
B. Robert W. Hedger 1013 N. East Av. Oak Park, IL 60302	Self-employed	12-09-83	250.00
Receipt For: ☒ Primary ☐ General ☐ Other (specify)	Occupation: Physician Aggregate Year-to-Date-$ 250.00		
C. Mary F. Hegarty 7766 W. North Shore Av. Chicago, IL 60631	Self-employed	12-28-83	375.00
Receipt For: ☒ Primary ☐ General ☐ Other (specify)	Occupation: Attorney Aggregate Year-to-Date-$ 375.00		
D. Hugh Heneghan 3902 Miller Dr. Glenview, IL 60025	Heneghan Wrecking & Excavation	10-12-83	1000.00
Receipt For: ☒ Primary ☐ General ☐ Other (specify)	Occupation: Owner Aggregate Year-to-Date-$ 1000.00		
E. Martin K. Henslee 1344 Sanview Ln. Winnetka, IL 60093	Henslee, Monek & Henslee	10-12-83	1000.00
Receipt For: ☒ Primary ☐ General ☐ Other (specify)	Occupation: Attorney Aggregate Year-to-Date-$ 1000.00		
F. Eugene P. Heytow 209 E. Lake Shore Dr. Chicago, IL 60611	Amalgamated Bank	12-09-83	1000.00
Receipt For: ☒ Primary ☐ General ☐ Other (specify)	Occupation: Chairman of the Board Aggregate Year-to-Date-$ 1000.00		
G. Philip A. Hickman 635 Fair Oaks Oak Park, IL 60302	Renaissance Management	11-23-83	250.00
Receipt For: ☒ Primary ☐ General ☐ Other (specify)	Occupation: President Aggregate Year-to-Date-$ 250.00		
SUBTOTAL of Receipts This Page (optional)			4875.00
TOTAL This Period (last page this line number only)			

DES

SCHEDULE A

ITEMIZED RECEIPTS

CONTRIBUTIONS FROM PERSONS OTHER THAN POLITICAL COMMITTEES

... each category of the Detailed Summary Page)

Any information copied from such Reports or Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee

Name of Committee (in Full): ROCK FOR U. S. SENATE FEC #C00171009

Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation / Aggregate Year-to-Date-$	Date (month, day, year)	Amount of Each Receipt This Period
A. Kay Kyle 2608 Coyle Av. Chicago,, IL 60645 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Name of Employer: Occupation: Homemaker Aggregate Year-to-Date-$ 500.00	10-28-83	500.00
B. William R. Langley 1507 Keystone River Forest, IL 60305 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Name of Employer: Oak Park Trust & Savings Bank Occupation: President Aggregate Year-to-Date-$ 1000.00	10-25-83	1000.00
C. Jack C. Leahy 1240 N. Lake Shore Dr. Chicago, IL 60605 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Name of Employer: Leahy Associates Occupation: Insurance Broker Aggregate Year-to-Date-$ 1000.00	10-28-83	1000.00
D. Milton A. Levenfeld 866 Stonegate Rd. Highland Park, IL 60035 Receipt For: ☒ Primary ☐ General ☐ Other (specify)	Name of Employer: Levenfeld, Eisenberg, Janqu, Glassberg, Genson & Lippitz Occupation: Attorney Aggregate Year-to-Date-$ 250.00	12-09-83	250.00
E. Stuart Litwin 353 E. 83rd St., Apt. 15F New York, N.Y. 10028 Receipt For: ☒ Primary ☐ General ☐ Other (specify)	Name of Employer: Gorthom Distributors, Inc. Occupation: Executive Aggregate Year-to-Date-$ 1000.00	12-01-83	1000.00
F. Mary S. Loughran 619 N. Oak Park Av. Oak Park, IL 60302 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Name of Employer: Occupation: Homemaker Aggregate Year-to-Date-$ 250.00	11-23-83	250.00
G. Joseph L. Luby 2343 Bryant Evanston, IL 60201 Receipt For: ☒ Primary ☐ General ☐ Other (specify)	Name of Employer: Self-employed Occupation: Attorney Aggregate Year-to-Date-$ 1000.00	09-02-83	1000.00
SUBTOTAL of Receipts This Page (optional)			5000.00
TOTAL This Period (last page this line number only)			

SCHEDULE A **ITEMIZED RECEIPTS**

CONTRIBUTIONS FROM PERSONS OTHER THAN POLITICAL COMMITTEES

Category of the Detailed Summary Page)

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Name of Committee (in Full): ROCK FOR U. S. SENATE FEC #C00171009

Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
A. Owen F. Moriarty 2018 E. Lillian Ln. Arlington Heights, IL 60004 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Name of Employer: Century 21-Grabill Real Estate Occupation: Sales Associate Aggregate Year-to-Date-$ 1000.00	12-28-83	1000.00
B. John C. McCarthy 2630 N. Orchard Chicago, IL 60614 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Name of Employer: Continental Air Transport Occupation: Executive Vice President Aggregate Year-to-Date-$ 1000.00	12-28-83	1000.00
C. J. A. McDonald 1718 Del'Agier Dr. Glenview, IL 60025 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Name of Employer: Chicago Board of Trade Occupation: Self-employed Aggregate Year-to-Date-$ 1000.00	10-25-83	1000.00
D. Marshal I. McMahon 660 Garland Winnetka, IL 60093 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Name of Employer: Burke, Griffin, Chomicz & Wenke Occupation: Attorney Aggregate Year-to-Date-$ 1000.00	11-04-83	1000.00
E. Michael B. Nash 1835 Lincoln Park West Chicago, IL 60614 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Name of Employer: Self-employed Occupation: Attorney Aggregate Year-to-Date-$ 1000.00	10-12-83	1000.00
F. Michael F. Newsham 2011 N. 74th Ct. Elmwood Park, IL 60635 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Name of Employer: O'Conner Partnerships Occupation: Trader Aggregate Year-to-Date-$ 250.00	11-18-83	250.00
G. John D. Norcross 1415 N. Dearborn, #27C Chicago, IL 60610 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Name of Employer: Crane & Norcross Occupation: Attorney Aggregate Year-to-Date-$ 250.00	10-25-83	250.00
SUBTOTAL of Receipts This Page (optional)			5500.00
TOTAL This Period (last page this line number only)			

SCHEDULE A **ITEMIZED RECEIPTS**

(CONTRIBUTIONS FROM PERSONS OTHER THAN POLITICAL COMMITTEES)

(Category of the Detailed Summary Page)

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Name of Committee (in Full): ROCK FOR U. S. SENATE FEC #C00171009

Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
A. Fredric G. Novy 6546 N. Tahoma Chicago, IL 60646	Garvey & Novy, Ltd. Attorney	10-12-83	1000.00
Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Aggregate Year-to-Date—$ 1000.00		
B. James R. O'Brien 865 N. LaSalle St. Chicago, IL 60610	O'Brien Enterprises President	11-23-83	500.00
Receipt For ☒ Primary ☐ General ☐ Other (specify):	Aggregate Year-to-Date—$ 500.00		
C. Robert A. O'Connell 6 Hickory Ln. Oak Brook, IL 60521	Chicago Board of Trade Self-employed	10-25-83	1000.00
Receipt For: ☒ Primary ☐ General ☐ Other (specify)	Aggregate Year-to-Date—$ 1000.00		
D. William J. O'Connor 734 Keystone River Forest, IL 60305	Source, Inc. Executive Vice President	12-28-83	250.00
Receipt For ☒ Primary ☐ General ☐ Other (specify)	Aggregate Year-to-Date—$ 250.00		
E. James L. O'Keefe 1420 Sheridan Rd. Wilmette, IL 60091	O'Keefe, Ashenden & Lyons Attorney	10-28-83	500.00
Receipt For ☒ Primary ☐ General ☐ Other (specify)	Aggregate Year-to-Date—$ 500.00		
F. James M. O'Shaughnessy 913 Kennebec Ln. Naperville, IL 60540	Self-employed Attorney	10-28-83	750.00
Receipt For ☒ Primary ☐ General ☐ Other (specify):	Aggregate Year-to-Date—$ 750.00		
G. Monroe B. Passis 1850 Oak St. Northfield, IL 60093	Transworld Exhibits Convention Manager	12-14-83	500.00
Receipt For ☒ Primary ☐ General ☐ Other (specify)	Aggregate Year-to-Date—$ 500.00		
SUBTOTAL of Receipts This Page (optional)			4500.00
TOTAL This Period (last page this line number only)			

SCHEDULE A — ITEMIZED RECEIPTS

CONTRIBUTIONS FROM PERSONS OTHER THAN POLITICAL COMMITTEES

Use one schedule for each category of the Detailed Summary Page

Any information copied from such Reports or Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

Name of Committee (in Full): ROCK FOR U. S. SENATE ITEM #C00171009

Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
A. Walter Patelski 2209 Post Rd. Northbrook, IL 60062 Receipt For: ☒ Primary ☐ General ☐ Other (specify)	Maurice Sporting Goods Vice President-Finance Aggregate Year-to-Date-$ 1000.00	10-28-83	1000.00
B. Shephard P. Pollack 346 N. Mountain Av. Upper Montclair, NJ 07043 Receipt For: ☒ Primary ☐ General ☐ Other (specify)	Philip Morris, USA President Aggregate Year-to-Date-$ 500.00	11-23-83	500.00
C. Richard J. Prendergast 1366 N. Dearborn Chicago, IL 60610 Receipt For: ☒ Primary ☐ General ☐ Other (specify)	Richard J. Prendergast, Ltd. Attorney Aggregate Year-to-Date-$ 1000.00	11-23-83	1000.00
D. Michael R. Quinlan 720 Midwest Club Oak Brook, IL 60521 Receipt For: ☒ Primary ☐ General ☐ Other (specify)	McDonald's Corp. President Aggregate Year-to-Date-$ 250.00	12-28-83	250.00
E. Clifford H. Raber 1112 Macy Ln. Naperville, IL 60540 Receipt For: ☒ Primary ☐ General ☐ Other (specify)	McDonald's Corp. Vice President Aggregate Year-to-Date-$ 250.00	12-19-83	250.00
F. Maxwell Riffkind 7141 N. Kedzie Av. Chicago, IL 60645 Receipt For: ☒ Primary ☐ General ☐ Other (specify)	Wanzer Corp. Administrative Assistant Aggregate Year-to-Date-$ 1000.00	10-25-83	1000.00
G. Leonard M. Ring 6 Royal Vale Dr. Ginger Creek, IL 60521 Receipt For: ☒ Primary ☐ General ☐ Other (specify)	Leonard M. Ring & Assoc. Attorney Aggregate Year-to-Date-$ 1000.00	12-28-83	1000.00
SUBTOTAL of Receipts This Page (optional)			5000.00
TOTAL This Period (last page this line number only)			

SCHEDULE A

ITEMIZED RECEIPTS

(Use separate schedule(s) for each category of the Detailed Summary Page)

CONTRIBUTIONS FROM PERSONS OTHER THAN POLITICAL COMMITTEES

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Name of Committee (in Full): ROCK FOR U. S. SENATE — FEC # C00171009

Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
A. Brian O.Shannon 2075 W. Hopkins Pl. Chicago, IL 60609 Receipt For: ■ Primary ☐ General ☐ Other (specify):	Berkshire Foods, Inc. President Aggregate Year-to-Date—$ 1000.00	12-14-83	1000.00
B. Gerald W. Shea 141 Herrick Rd. Riverside, IL 60546 Receipt For: ■ Primary ☐ General ☐ Other (specify):	Shea, Rogal & Assoc., Ltd. Attorney Aggregate Year-to-Date—$ 1000.00	10-28-83	1000.00
C. Shorewood Village Apartments 302 Park Dr. Palatine, IL 60067 Receipt For: ■ Primary ☐ General ☐ Other (specify):	Owner Aggregate Year-to-Date—$ 1000.00	12-12-83	1000.00
D. Thomas J. Origer 302 Park Dr. Palatine, IL 60067 Receipt For: ■ Primary ☐ General ☐ Other (specify):	Shorewood Village Apartments Owner Aggregate Year-to-Date—$ 1000.00	12-12-83	1000.00 (MEMO)
E. Mitchell Silverstein 666 N. Lake Shore Dr. Chicago, IL 60611 Receipt For: ■ Primary ☐ General ☐ Other (specify):	Retired Aggregate Year-to-Date—$ 300.00	12-28-83	300.00
F. Vincent A. Simone 1419 Keystone River Forest, IL 60305 Receipt For: ■ Primary ☐ General ☐ Other (specify):	Vincent A. Simone, DDS Dentist Aggregate Year-to-Date—$ 350.00	11-18-83	350.00
G. R. Jack Sneeden 515 S. Willie Mount Prospect, IL 60056 Receipt For: ■ Primary ☐ General ☐ Other (specify):	Banner Service Corp. Executive Aggregate Year-to-Date—$ 1000.00	10-12-83	1000.00
SUBTOTAL of Receipts This Page (optional)			4650.00
TOTAL This Period (last page this line number only)			

Page 18 of 20 Pages
Line Number 11(a)
(Use one schedule for each category of the Detailed Summary Page)

SCHEDULE A **ITEMIZED RECEIPTS**

CONTRIBUTIONS FROM PERSONS OTHER THAN POLITICAL COMMITTEES

Any information copied from such Reports or Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committees.

Name of Committee (in Full): ROCK FOR U. S. SENATE

Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt This Period
A. Spitzer, Addis, Susman & Roskin 100 W Monroe St. - Suite 1310 Chicago, IL 60605 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Spitzer, Addis, Susman & Roskin Law Firm Partner Aggregate Year-to-Date-$ 1000.00	12-09-83	1000.00
B. David Addis 843 Bluff Glencoe, IL 60022 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Spitzer, Addis, Susman & Roskin Attorney Aggregate Year-to-Date-$ 250.00	12-09-83	250.00 (MEMO)
C. Robert Krull 3800 N. Alta Vista Tr. Chicago, IL 60613 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Spitzer, Addis, Susman & Roskin Attorney Aggregate Year-to-Date-$ 250.00	12-09-83	250.00 (MEMO)
D. Lawrence Roskin 729 Ringland River Woods, IL 60015 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Spitzer, Addis, Susman & Roskin Attorney Aggregate Year-to-Date-$ 250.00	12-09-83	250.00 (MEMO)
E. Michael Susman 155 N. Harbor Dr. Chicago, IL 60601 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Spitzer, Addis, Susman & Roskin Attorney Aggregate Year-to-Date-$ 250.00	12-09-83	250.00 (MEMO)
F. Ralph Steinbarth 1401 Burr Ridge Dr. Burr Ridge, IL 60521 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	La Preferida President Aggregate Year-to-Date-$ 1000.00	10-12-83	1000.00
G. John Stevens 1605 E. Central Rd. #321-C Arlington Heights, IL 60005 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Union Camp Corp. National Accounts Manager Aggregate Year-to-Date-$ 1000.00	10-12-83	1000.00
SUBTOTAL of Receipts This Page (optional)			3000.00
TOTAL This Period (last page this line number only)			

SCHEDULE A **ITEMIZED RECEIPTS**

CONTRIBUTIONS FROM PERSONS OTHER THAN POLITICAL COMMITTEES

SCHEDULE A Line Number 11(a)
(Use separate schedule(s) for each category of the Detailed Summary Page)

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Name of Committee (in Full): ROCK FOR U. S. SENATE FEC # [illegible]71009

Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation / Aggregate Year-to-Date-$	Date (month, day, year)	Amount of Each Receipt this Period
A. Joseph J. Stevens 4049 Suffield Ct. Skokie, IL 60076 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Burke, Griffin, Chomsky & Wienke Occupation: Attorney Aggregate Year-to-Date-$ 500.00	10-28-83	500.00
B. Monroe Sullivan 531 Fair Oaks Oak Park, IL 60302 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Trainco Occupation: Self-employed Aggregate Year-to-Date-$ 500.00	11-18-83	500.00
C. Terry Sullivan 238 Concord Ln. Chicago, IL 60648 Receipt For: ☒ Primary ☐ General ☐ Other (specify)	Terry Sullivan, Ltd. Occupation: Attorney Aggregate Year-to-Date-$ 500.00	10-28-83	500.00
D. James C.Summerfelt 1509 Forest River Forest, IL 60305 Receipt For: ☒ Primary ☐ General ☐ Other (specify)	Rehabilitation Systems of Illinois, Ltd. Occupation: Operations Director Aggregate Year-to-Date-$ 500.00	12-09-83	500.00
E. Charles R. Swibel 300 N. State St., #1115 Chicago, IL 60610 Receipt For: ☒ Primary ☐ General ☐ Other (specify)	Marina Management Co. Occupation: Self-employed Aggregate Year-to-Date-$ 1000.00	09-16-83	1000.00
F. Renee Thaler 4120 Miller Dr. Glenview, IL 60025 Receipt For: ☒ Primary ☐ General ☐ Other (specify)	Occupation: Homemaker Aggregate Year-to-Date-$ 500.00	12-14-83	500.00
G. Thomas M. Tully 1330 W. Diversey Pkwy. Chicago, IL 60657 Receipt For: ☒ Primary ☐ General ☐ Other (specify)	Tully, Roddy & Weinstein Occupation: Attorney Aggregate Year-to-Date-$ 1000.00	11-18-83	1000.00
SUBTOTAL of Receipts This Page (optional)			4500.00
TOTAL This Period (last page this line number only)			

SCHEDULE A **ITEMIZED RECEIPTS** (Use separate schedules for each category of the Detailed Summary Page)

CONTRIBUTIONS FROM OTHER POLITICAL COMMITTEES

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Name of Committee (in Full): ROCK FOR U. S. SENATE FEC #C00171009

Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
A. Sheet Metal Workers International Assn. 1750 New York Av, NW Washington, D.C. 20006		12-09-83	1000.00
Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Aggregate Year-to-Date—$ 1000.00		
B. United States Tobacco Institute 100 W. Putnam Av Greenwich, CT 06830		11-23-83	500.00
Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Aggregate Year-to-Date—$ 500.00		
C. International Union of United Automobile Workers of America 8000 E. Jefferson Detroit, MI 48214		12-14-83	1000.00
Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Aggregate Year-to-Date—$ 1000.00		
D. Full Name, Mailing Address and ZIP Code			
Receipt For ☐ Primary ☐ General ☐ Other (specify)	Aggregate Year-to-Date—$		
E. Full Name, Mailing Address and ZIP Code			
Receipt For ☐ Primary ☐ General ☐ Other (specify)	Aggregate Year-to-Date—$		
F. Full Name, Mailing Address and ZIP Code			
Receipt For ☐ Primary ☐ General ☐ Other (specify)	Aggregate Year-to-Date—$		
G. Full Name, Mailing Address and ZIP Code			
Receipt For ☐ Primary ☐ General ☐ Other (specify)	Aggregate Year-to-Date—$		

SUBTOTAL of Receipts This Page (optional)	2500.00
TOTAL This Period (last page this line number only)	12,500.00

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SCHEDULE A **ITEMIZED RECEI**

category of the Detailed Summary Page)

Contributions by persons other than political committees

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Name of Committee (in Full)
Rock for U. S. Senate Committee FEC # C00173009

Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
A. James F. Ashenden, Jr. 184 Sheridan Road Hubbard Woods, IL 60093 Receipt For: ☒ Primary ☐ General ☐ Other (specify)	Name of Employer: O'Keefe, Ashenden, Lyons & Ward Occupation: Attorney Aggregate Year-to-Date-$ 500.00	1 23 '84	$ 500.00
B. Norman J. Barry 1448 N. Lake Shore Dr. Chicago, Ill. 60610 Receipt For: ☒ Primary ☐ General ☐ Other (specify)	Name of Employer: Rothschild, Barry, & Meyers Occupation: Attorney Aggregate Year-to-Date-$ 1000.00	01 23 '84	1000.00
C. Ronald Bartkowicz 2135 N. 78th Avenue Elmwood Park, Ill. 60635 Receipt For: ☒ Primary ☐ General ☐ Other (specify)	Name of Employer: Chicago Transit Authority Occupation: Attorney Aggregate Year-to-Date-$ 1000.00	01/26/84	500.00
D. Gershon Bassman 6253 N. Central Park Chicago, Ill. 60659 Receipt For: ☒ Primary ☐ General ☐ Other (specify)	Name of Employer: Signature Corp. Occupation: owner Aggregate Year-to-Date-$ 500.00	01/26/84	500.00
E. Mrs. Sally Bedeker Rt. 4 Woodland Acres Ottawa, Illinois 61350 Receipt For: ☒ Primary ☐ General ☐ Other (specify)	Name of Employer: Occupation: housewife Aggregate Year-to-Date-$ 250.00	02/10/84	250.00
F. Shael Bellows 5110 No. Drake Ave. Chicago, Ill. Receipt For: ☒ Primary ☐ General ☐ Other (specify)	Name of Employer: First Health Care Association Occupation: President Aggregate Year-to-Date-$ 1000.00	02/14/84	1000.00
G. Berman, Fagel, Haber, Maragos & Abrams 140 S. Dearborn St. Ste. 1400 Chicago, IL 60603 Receipt For: ☒ Primary ☐ General ☐ Other (specify)	Name of Employer: Partnership Occupation: Aggregate Year-to-Date-$ 1000.00	01/26 '84	1000.00
SUBTOTAL of Receipts This Page (optional)			$4,750.00
TOTAL This Period (last page this line number only)			

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LINE NUMBER 11a
(Use separate schedule(s) for each category of the Detailed Summary Page)

SCHEDULE A **ITEMIZED RECEIPTS**

CONTRIBUTIONS BY PERSONS OTHER THAN POLITICAL COMMITTEES

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Name of Committee (in Full)
ROCK FOR U. S. SENATE FEC # C00[illegible]1009

Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt This Period
A. Democratic Party of Oak Park 306 Madison St. Oak Park, IL 60302 Receipt For: X Primary ☐ General ☐ Other (specify)	Name of Employer: Occupation: Aggregate Year-to-Date-$ 1,000.00	1-21-84	$1,000.00
B. Barbara H. Dennis 641 Judson Ave. Evanston, IL 60202 Receipt For: X Primary ☐ General ☐ Other (specify)	Name of Employer: IL St. Senate 2705 W. Howard St. Chicago, IL 60645 Occupation: Legislative Ass't Aggregate Year-to-Date-$ 400.00	1-23-84 2-21-84	$250.00 $100.00
C. John D. Davis 1739 N. Mohawk Chicago, IL 60614 Receipt For: X Primary ☐ General ☐ Other (specify)	Name of Employer: Occupation: Aggregate Year-to-Date-$ 250.00	2-28-84	$250.00
D. Deauville Health Care Center 7445 N. Sheridan Attn: Mark Segal Chicago, IL Receipt For: X Primary ☐ General ☐ Other (specify)	Name of Employer: Deauville Health Care 7445 N. Sheridan Chicago, IL Occupation: Administrator Aggregate Year-to-Date-$ 250.00	1-26-84	$250.00
E. Donald J. DePorter Receipt For: X Primary ☐ General ☐ Other (specify)	Name of Employer: Hyatt Regency Chgo. 151 E. Wacker Dr. Chicago, IL 60601 Occupation: Regional Vice-Pres. Aggregate Year-to-Date-$ 1,000.00	2-6-84	$1,000.00
F. Joseph N. DiNatale 144 Scottswood Rd. Riverside, IL Receipt For: X Primary ☐ General ☐ Other (specify)	Name of Employer: DiNatale & Montemurro 6551 W. North Ave. Oak Park, IL 60302 Occupation: Attorney Aggregate Year-to-Date-$ 500.00	1-19-84	$500.00 MEMO
G. DiNatale & Montemurro 6551 W. North Ave. Oak Park, IL 60302 Receipt For: X Primary ☐ General ☐ Other (specify)	Name of Employer: Occupation: Law Firm Aggregate Year-to-Date-$ 1,000.00	1-19-84	$1,000.00
SUBTOTAL of Receipts This Page (optional)			$3850.00
TOTAL This Period (last page this line number only)			

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SCHEDULE A **ITEMIZED RECEIPTS** (Use separate schedule(s) for each category of the Detailed Summary Page)

CONTRIBUTIONS BY PERSONS OTHER THAN POLITICAL COMMITTEES

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Name of Committee (in Full): ROCK FOR U. S. SENATE FEC # C00117009

Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
A. Diplomat Health Care Center 9125 S. Pulaski Rd. Evergreen Park, Il 60642 Receipt For: [X] Primary [ ] General [ ] Other (specify)	Occupation Aggregate Year-to-Date-$ 500.00	1-26-84	$500.00
B. Ruth Donnewald 340N. 11th St. Breese, IL 62230 Receipt For: [X] Primary [ ] General [ ] Other (specify)	Name of Employer Occupation Aggregate Year-to-Date-$ 500.00	2-24-84	$500.00
C. Raymond Donnewald 115 Harting Rd. PO Box X Centralia, Il 62801 Receipt For: [X] Primary [ ] General [ ] Other (specify)	Name of Employer Occupation Aggregate Year-to-Date-$ 300.00	2-24-84	$300.00
D. James A. Dupree 7208 Western Ave. Chevy Chase, MC 20815 Receipt For: [X] Primary [ ] General [ ] Other (specify)	Name of Employer: Ford Motor Co. 815 Conn. Ave. NW Washington, DC 20006 Occupation Aggregate Year-to-Date-$ 250.00	2-10-84	$250.00
E. Dr. Gerald R. Dusza 630 N. Euclid St. Oak Park, Il 60302 Receipt For: [X] Primary [ ] General [ ] Other (specify)	Name of Employer: c/o Gerald R. Dusza 6855 North Ave. Oak Park, IL Occupation: Doctor Aggregate Year-to-Date-$ 500.00	2-11-84	$500.00
F. Robert J. Egan 6200 N. Meredith Ave. Chicago, IL 60646 Receipt For: [X] Primary [ ] General [ ] Other (specify)	Name of Employer: Robert J. Egan One N. LaSalle Chicago, IL Occupation: State Senator Aggregate Year-to-Date-$ 250.00	2-1-84	$250.00
G. David Embry Rt. 1 Greenville, IL 62246 Receipt For: [X] Primary [ ] General [ ] Other (specify)	Name of Employer: Collinsville Mac 3673 Illinois Hwy.111 Granite City, IL Occupation Aggregate Year-to-Date-$ 250.00	1-26-84	$250.00
SUBTOTAL of Receipts This Page (optional)			$2550.00
TOTAL This Period (last page this line number only)			

D23

Page 11 of 32 for
LINE NUMBER 11
(Use separate schedule(s) for each category of the Detailed Summary Page)

SCHEDULE A **ITEMIZED RECEIPTS**

CONTRIBUTIONS BY PERSONS OTHER THAN POLITICAL COMMITTEES

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Name of Committee (in Full)
ROCK FOR U.S. SENATE COMMITTEE FEC #C00171009

Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt This Period
A. Daniel A. Gallagher 6710 N. Edgebrook Terrace Chicago, Illinois 60646 Receipt For: ☒ Primary ☐ General ☐ Other (specify)	Cook County State's Attorney's Office Chicago, Illinois Occupation: Attorney Aggregate Year-to-Date-$ 1000.00	2/8/84	$ 750.00
B. John Galvin 2950 West 83rd Street Chicago, Illinois 60652 Receipt For: ☒ Primary ☐ General ☐ Other (specify)	Name of Employer: City of Chicago Chicago, Illinois Occupation: City of Chicago Aggregate Year-to-Date-$ 250.00	1/5/84	$ 250.00
C. Judith Gazaway 332 South Wisconsin Oak Park, Illinois 60302 Receipt For: ☒ Primary ☐ General ☐ Other (specify)	Name of Employer: Rehab. Systems of Illinois Oak Park, Illinois Occupation: Adm. Manager Aggregate Year-to-Date-$ 500.00	1/24/84	$ 250.00
D. Frank Giglio 1326 Mackinow Avenue Calumet City, Illinois 60409 Receipt For: ☒ Primary ☐ General ☐ Other (specify)	Name of Employer: Stratton Building Springfield, Illino's Occupation: State Rep. Aggregate Year-to-Date-$ 1,100.00	2/10/84	$1,000.00
E. Robert B. Glade 211 W. Water Street Kankakee, Illinois 60901 Receipt For: ☒ Primary ☐ General ☐ Other (specify)	Name of Employer: Glade Plumbing & Heating Occupation: Plumbing & Htg. Contr. Aggregate Year-to-Date-$ 1000.00	2/10/84	$1,000.00
F. Terrence J. Goggin 612 Lathrop Avenue River Forest, Illinois 60305 Receipt For: ☒ Primary ☐ General ☐ Other (specify)	Name of Employer: Chn. Besser 20 N. Clark Street Chicago, Ill. 60602 Occupation: Attorney Aggregate Year-to-Date-$ 250.00	2/1/84	$ 250.00
G. Alan S. Golboro 232 East Walton Chicago, Illinois 60611 Receipt For: ☒ Primary ☐ General ☐ Other (specify)	Name of Employer: Tishman Mid. Management 120 So. Riverside Dr. Chicago, Illinois Occupation: President Aggregate Year-to-Date-$ 1000.00	1/16/84	$1,000.00

SUBTOTAL of Receipts This Page (optional) $4,500.00

TOTAL This Period (last page this line number only)

9 4 0 2 0 0 6 1 7 3 4

Page 22 of 32 for
LINE NUMBER 11a
(Use separate schedule for each category of the Detailed Summary Page)

SCHEDULE A **ITEMIZED RECEIPTS**

CONTRIBUTIONS BY PERSONS OTHER THAN POLITICAL COMMITTEES

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Name of Committee (in Full): ROCK FOR U.S. SENATE FEC #C00171009

Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
A. Lawrence C. Roskin 720 Ringland Riverwoods, Il 60015 Receipt For: ☒ Primary ☐ General ☐ Other (specify)	Spitzer, Addis, Susman & Roskin Chicago, IL 60606 Occupation: Attorney, Partner Aggregate Year-to-Date-$ 500.00	1-23-84	$250.00 memo
B. Kenneth C. Royall, Jr. 64 Beverly Dr. Durham, NC 27707 Receipt For: ☒ Primary ☐ General ☐ Other (specify)	Style Craft Inter. Box 8766 Durham, NC 27707 Occupation: President Aggregate Year-to-Date-$ 250.00	2-10-84	$250.00
C. Patrick J. Ruddy 1714 N. Neva Ave. Chicago, IL 60635 Receipt For: ☒ Primary ☐ General ☐ Other (specify)	St. of Illinois 134 N. LaSalle Occupation: Aggregate Year-to-Date-$ 300.00	1-24-84	$300.00
D. Joseph G. Salerno 7410 W. North Ave. Elmwood Park, IL 60635 Receipt For: ☒ Primary ☐ General ☐ Other (specify)	Rosario D. Salerno's & Sons Funeral Home Chicago, IL 60639 Occupation: Owner Aggregate Year-to-Date-$ 1,000.00	2-10-84	$1,000.00
E. Jack Schnell 2424 W. Chase Chicago, IL Receipt For: ☒ Primary ☐ General ☐ Other (specify)	Name of Employer: Occupation: Aggregate Year-to-Date-$ 250.00	2-1-84	$250.00
F. Sheridan Health Care Center 2534 Elim Ave. Zion, IL 60099 Receipt For: ☒ Primary ☐ General ☐ Other (specify)	Name of Employer: Occupation: Aggregate Year-to-Date-$ 500.00	1-26-84	500.00
G. Leonard A. Sherman 1660 N. LaSalle Chicago, IL 60614 Receipt For: ☒ Primary ☐ General ☐ Other (specify)	IL Council of Hosp. 222 W. Adams Chicago, IL 60606 Occupation: Attorney Aggregate Year-to-Date-$ 1,000.00	1-9-84	$900.00
SUBTOTAL of Receipts This Page (optional)			$3,200.00
TOTAL This Period (last page this line number only)			

Page 40 of 42 for LINE NUMBER 11a (Use separate schedule(s) for each category of the Detailed Summary Page)

SCHEDULE A **ITEMIZED RECEIPTS**

CONTRIBUTIONS BY PERSONS OTHER THAN POLITICAL COMMITTEES

Any information copied from such Reports or Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

Name of Committee (in Full): ROCK FOR U. S. SENATE FEC # C00171009

Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
A. Leon Shlofrock 4838 Old Orchard Rd. Skokie, IL 60077 Receipt For: X Primary ☐ General ☐ Other (specify)	Betcare 3525 W. Peterson Chicago, IL 60659 Occupation: Owner Aggregate Year-to-Date-$ 500.00	1-26-84	$500.00
B. Melvin Siegel 5731 N. Drake Chicago, IL 60659 Receipt For: X Primary ☐ General ☐ Other (specify)	Name of Employer: Miranda Manor 8333 Golf Niles, IL 60648 Occupation: Aggregate Year-to-Date-$ 1,000.00	1-26-84	$1,000.00
C. Norton Siegel 45 Lakewood Highland Park, Il 60035 Receipt For: X Primary ☐ General ☐ Other (specify)	Name of Employer: Seigel, Denzerg, Vanasco, Shak, Moses Schock-Chgo., IL Occupation: Attorney Aggregate Year-to-Date-$ 1,000.00	2-10-84	$1,000.00
D. James E. Smith 5214 Farrington Rd. Bethesda, MD 20816 Receipt For: X Primary ☐ General ☐ Other (specify)	Name of Employer: Charles E. Walker 1730 Pennsylvania Washington, DC 20006 Occupation: President Aggregate Year-to-Date-$ 250.00	2-10-84	$250.00
E. Wm. Smith Jr. 237 Bloomingbank Riverside, IL Receipt For: X Primary ☐ General ☐ Other (specify)	Name of Employer: Self 9 S. LaSalle Chicago, IL Occupation: Attorney Aggregate Year-to-Date-$ 500.00	2-22-84	$500.00
F. John P. Smyth 1150 N. River Rd. Des Plaines, IL Receipt For: X Primary ☐ General ☐ Other (specify)	Name of Employer: Maryville Academy Occupation: Aggregate Year-to-Date-$ 1,000.00	2-20-84	$1,000.00
G. Bernard J. Spatz 3620 W. 116th St. Chicago, IL Receipt For: X Primary ☐ General ☐ Other (specify)	Name of Employer: Glazers Union 10330 W. Roosevelt Westchester, IL Occupation: President Aggregate Year-to-Date-$ 500.00	1-17-84	$500.00

SUBTOTAL of Receipts This Page (optional): $4,750.00

TOTAL This Period (last page this line number only)

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SCHEDULE A **ITEMIZED RECEIPTS**

LINE NUMBER 11a
(Use separate schedule(s) for each category of the Detailed Summary Page)

CONTRIBUTIONS BY PERSONS OTHER THAN POLITICAL COMMITTEES

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Name of Committee (in Full): ROCK FOR U. S. SENATE FEC # C00171009

Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt This Period
A. Spitzer, Addis, Susman, & Roskin 100 W. Monroe Chicago, IL 60603 Receipt For: X Primary ☐ General ☐ Other (specify)	Name of Employer: Occupation: Law Partnership Aggregate Year-to-Date-$ 1,500.00	1-23-84	$500.00
B. Richard Lee Stavins 238 Willow Ave. Deerfield, IL 60015 Receipt For: X Primary ☐ General ☐ Other (specify)	Name of Employer: Greenblatt, Yasim Stavins Chicago, IL Occupation: Attorney, Partner Aggregate Year-to-Date-$ 333.34	1-24-84	$333.34
C. Lawrence J. Stein Receipt For: X Primary ☐ General ☐ Other (specify)	Name of Employer: Occupation: Aggregate Year-to-Date-$ 1,000.00	2-27-84	$1,000.00
D. Margaret A. Stevens 4049 Suffield Ct Skokie, IL 60076 Receipt For: X Primary ☐ General ☐ Other (specify)	Name of Employer: Occupation: Aggregate Year-to-Date-$ 225.00	2-20-84	$225.00
E. Stickney Township Reg. Demo. Campaign Org-6000 W. 79th St. Burbank, IL 60459 Receipt For: X Primary ☐ General ☐ Other (specify)	Name of Employer: Occupation: Aggregate Year-to-Date-$ 250.00	2-14-84	$250.00
F. Larry Suffredin, Simon & Spitalli 2431 Pioneer Rd. Evanston, IL Receipt For: X Primary ☐ General ☐ Other (specify)	Name of Employer: Simon & Spitalli 69 W. Washington Chicago, IL Occupation: Attorney Aggregate Year-to-Date-$ 250.00	2-1-84	$250.00
G. Bernard J. Sullivan 2805 W. 85th Pl. Chicago, IL 60652 Receipt For: X Primary ☐ General ☐ Other (specify)	Name of Employer: Bansley & Kiener 300 W. Washington Chicago, IL 60606 Occupation: Partner Aggregate Year-to-Date-$ 375.00	1-23-84	$375.00

SUBTOTAL of Receipts This Page (optional) $2,933.34

TOTAL This Period (last page this line number only)

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SCHEDULE A — ITEMIZED RECEI... — category of the Detailed Summary Page)

CONTRIBUTIONS BY PERSONS OTHER THAN POLITICAL COMMITTEES

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Name of Committee (in Full): ROCK FOR U. S. SENATE FEC # C00171009

Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
A. Brian P. Sullivan 1005 Bonnie Brae River Forest, IL 60305 Receipt For: X Primary ☐ General ☐ Other (specify)	Moseley-Halliarten Estabook & Weeden Inc. Occupation: Sr. Vice Pres. Aggregate Year-to-Date-$ 1,125	2-16-84	$1,000.00
B. Monroe Sullivan 531 Fair Oaks Oak Park, IL Receipt For: X Primary ☐ General ☐ Other (specify)	Tranco 407 S. Dearborn Chicago, IL 60605 Occupation: Aggregate Year-to-Date-$ 500.00	2-1-84	$500.00
C. James C. Summerfelt 1509 Forest Ave. River Forest, IL 60305 Receipt For: X Primary ☐ General ☐ Other (specify)	Rehab.Systems of IL 1010 W. Lake Oak Park, IL 60301 Occupation: Dir. of Operations Aggregate Year-to-Date-$ 1,000.00	1-24-84	$500.00
D. Paul E. Suplizio 2948 University Terr. Washington, DC 20016 Receipt For: X Primary ☐ General ☐ Other (specify)	Paul Suplizio Assoc. 2948 University Washington, DC Occupation: President Aggregate Year-to-Date-$ 250.00	2-10-84	$250.00
E. Howard J. Swibel 1219 McDaniels Highland Park, IL 60035 Receipt For: X Primary ☐ General ☐ Other (specify)	Arnstein, Gluck, Lehr, Barron & Milligan Occupation: Attorney Aggregate Year-to-Date-$ 250.00	2-6-84	$250.00
F. David Tarin Receipt For: X Primary ☐ General ☐ Other (specify)	Name of Employer Occupation Aggregate Year-to-Date-$ 1,000.00	2-27-84	$1,000.00
G. Renee/Joseph Thaler 4120 Miller Glenview, IL Receipt For: X Primary ☐ General ☐ Other (specify)	Name of Employer Occupation Aggregate Year-to-Date-$ 750.00	2-1-84	$250.00
SUBTOTAL of Receipts This Page (optional)			$3,750.00
TOTAL This Period (last page this line number only)			

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Page 6 of 7 pgs.
LINE NUMBER 11C
(Use separate schedule(s) for each category of the Detailed Summary Page)

SCHEDULE A

ITEMIZED RECEIPTS

CONTRIBUTIONS FROM OTHER POLITICAL COMMITTEES

Any information copied from such Reports or Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee

Name of Committee (in Full): ROCK FOR U.S. SENATE COMMITTEE — FEC # C00171009

Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
A. Political Fund Railway Carmen 329 W. Madison Chicago, IL 60606 Receipt For: ☒ Primary ☐ General ☐ Other (specify)	Aggregate Year-to-Date-$ 250.00	1/84	$ 250.00
B. AFSME - Qualified Contributions Comm. 1625 L Street, N.W. Washington, D.C. 20036 Receipt For: ☒ Primary ☐ General ☐ Other (specify)	Aggregate Year-to-Date-$ 5000.00	11/7/83 1/26/84	$2000.00 $3000.00
C. Retail Political Action Committee 1616 H Street N.W. Washington, D.C. 20006 Receipt For: ☒ Primary ☐ General ☐ Other (specify)	Aggregate Year-to-Date-$ 200.00	1/24/84	$ 200.00
D. SEPAC Spiegel Executive PAC 1515 W. 22nd St. Oak Brook, IL 60521 Receipt For: ☒ Primary ☐ General ☐ Other (specify)	Aggregate Year-to-Date-$ 500.00	2/24/84	$ 500.00
E. Sheet Metal Workers' International Association Political Action League 1750 New York Ave. NW Washington, D.C. 20006 Receipt For: ☒ Primary ☐ General ☐ Other (specify)	BES (Duplicate Entry - Aggregate Year-to-Date-$ 5000.00)	12/9/83 1/9/84	$1000.00 $4000.00 BES
F. Springfield Marine Bank - PAC East Old State Capitol Plaza Springfield, IL 62701 Receipt For: ☒ Primary ☐ General ☐ Other (specify)	Aggregate Year-to-Date-$ 300.00	2/2/84	$ 300.00
G. Full Name, Mailing Address and ZIP Code Receipt For: ☐ Primary ☐ General ☐ Other (specify)	Name of Employer / Occupation / Aggregate Year-to-Date-$	Date (month, day, year)	Amount of Each Receipt This Period
SUBTOTAL of Receipts This Page (optional)			$11,250.00
TOTAL This Period (last page this line number only)			

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1984 APRIL QUARTERLY REPORT

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Page 4 of 20 for
LINE NUMBER 11 (c)
(Use separate schedule(s) for each category of the Detailed Summary Page)

SCHEDULE A

ITEMIZED RECEIPTS

CONTRIBUTIONS FROM OTHER THAN POLITICAL COMMITTEES

Any information copied from such Reports or Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

Name of Committee (in Full)
ROCK FOR U. S. SENATE C00171000

Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
A. Citizens For Dore 3427 Vollmer Flossmoor, IL 60422 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Occupation Aggregate Year-to-Date-$ 250.00	3/12/84	$ 250.00
B. Paul F. Elward 1532 W. Chase Chicago, IL 60626 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Name of Employer: Richard J. Daley Ctr. Chicago, IL 60602 Occupation: Judge, Circuit Court Aggregate Year-to-Date-$ 300.00	3/09/84	300.00
C. David D. Embry Rt. 1 Greenville, IL 60246 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Name of Employer: Collinsville Mac Inc. 3673 Illinois Hwy. 111 Granite City, IL 62040 Occupation: Self-Employed Aggregate Year-to-Date-$ 1,250.00	3/15/84	1,000.00
D. Joe A. English 5713 W. Rice St. Chicago, IL 60644 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Occupation: Realtor Aggregate Year-to-Date-$ 356.50	3/19/84 3/15/84 3/05/84 3 / 84	100.00 100.00 100.00 (in kind) 6.50
E. Judith Erwin 813 Lake St. Oak Park, IL 60301 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Name of Employer: Rock for U.S. Senate 153 W. Wacker Chicago, IL 60601 Occupation: Press Secretary Aggregate Year-to-Date-$	3/08/84 3/08/84 3/05/84	(in kind) 65.00 (in kind) 24.00 250.00
F. A. Fagan 1615 E. Central Arlington Hts., IL 60006 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Name of Employer: Clark Products 1850 Arthur Elk Grove Village, IL 60007 Occupation: Sales Rep. Aggregate Year-to-Date-$ 1,000.00	3/05/84	1,000.00
G. Eileen Farrell 1025 Randolph Oak Park, IL 60302 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Name of Employer Occupation: Homemaker Aggregate Year-to-Date-$ 250.00	3/01/84	250.00

SUBTOTAL of Receipts This Page (optional) $3,445.50

TOTAL This Period (last page this line number only) . . . . . .

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Page 3 of 20 pages
LINE NUMBER 11 (c)
(Line Number and Letter for this category of the Detailed Summary Page)

SCHEDULE A — ITEMIZED RECEIPTS

CONTRIBUTIONS FROM OTHER THAN POLITICAL COMMITTEES

Any information copied from such Reports or Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

Name of Committee (in Full): ROCK FOR U. S. SENATE C00171009

Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period	Receipt For
A. John Finnerty, 5948 W. Cortland St., Chicago, IL 60639	Park District, McFetteridge Dr., Chicago, IL / Painter	3/06/84 3/06/84	$ 200.00 75.00	☒ Primary ☐ General ☐ Other (specify):
	Aggregate Year-to-Date-$ 275.00			
B. Thomas D. Flanagan, 1258 Lake Shore Dr., Chicago, IL 60610	69 W. Washington, 69 W. Washington / Attorney	3/12/84	1,000.00	☒ Primary ☐ General ☐ Other (specify)
	Aggregate Year-to-Date-$ 1,125.00			
C. Bill Foreman, 1920 S. 14th St., Springfield, IL 62703	Silver Images Photo., 310 S. State, Springfield, IL 62704 / Photographer	3/05/84 3/20/84	100.00 (in kind) 805.00	☒ Primary ☐ General ☐ Other (specify)
	Aggregate Year-to-Date-$ 905.00			
D. Jean Foran, 6156 Knox, Chicago, IL 60646	Commonwealth Edison, 1 First Natl. Plaza, Chicago, IL / Public Relations Dir.	3/12/84	250.00	☒ Primary ☐ General ☐ Other (specify)
	Aggregate Year-to-Date-$ 250.00			
E. Forty-First Ward Reg.Dem.Org., 4405 Milwaukee Ave., Chicago, IL 60630	Name of Employer / Occupation	3/09/84	250.00	☒ Primary ☐ General ☐ Other (specify)
	Aggregate Year-to-Date-$ 250.00			
F. Forty-First Ward Reg.Dem.Org., 6204 N. Milwaukee, Chicago, IL 60646	Name of Employer / Occupation	3/12/84	250.00	☒ Primary ☐ General ☐ Other (specify)
	Aggregate Year-to-Date-$ 250.00			
G. Herbert H. Franks, P.O. Box 5, Marengo, IL 60152	Franks & Schmitt, P.O. Box 5, Marengo, IL 60152 / Attorney	3/05/84	500.00	☒ Primary ☐ General ☐ Other (specify):
	Aggregate Year-to-Date-$ 500.00			

SUBTOTAL of Receipts This Page (optional) $3,430.00

TOTAL This Period (last page gets this number only) .....

page 9 of 20 for LINE NUMBER 11 (c)
(Use separate schedule(s) for each category of the Detailed Summary Page)

SCHEDULE A

ITEMIZED RECEIPTS

CONTRIBUTIONS FROM OTHER THAN POLITICAL COMMITTEES

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Name of Committee (in Full): ROCK FOR U. S. SENATE C00171009

Full Name, Mailing Address and ZIP Code	Name of Employer	Occupation	Date (month, day, year)	Amount of Each Receipt this Period	Receipt For
Lakings Prof. Center 2604 E. Dempster - Suite 404 Des Plaines, IL 60016			3/12/84	$ 500.00	☒ Primary ☐ General ☐ Other (specify):
		Aggregate Year-to-Date-$ 500.00			
Mr. Leahy 1240 N. Lake Shore Dr. Chicago, IL 60605			3/14/84	1,000.00	☒ Primary ☐ General ☐ Other (specify):
		Aggregate Year-to-Date-$ 1,000.00			
Thaddeus S. Lechowicz 5117 W. Drummond Pl. Chicago, IL 60639			3/05/84	250.00	☒ Primary ☐ General ☐ Other (specify):
		Aggregate Year-to-Date-$ 250.00			
Leyden Township Reg. Demo.Org. 7510 W. Grand Elmwood Park, IL 60635			3/12/84	1,000.00	☒ Primary ☐ General ☐ Other (specify):
		Aggregate Year-to-Date-$ 1,000.00			
Lindenwood Plaza Matteson, IL			3/12/84	500.00	☒ Primary ☐ General ☐ Other (specify):
		Aggregate Year-to-Date-$ 500.00			
Christine Long 325 N. Oak Park Ave. Oak Park, IL 60302	Collins for U.S. Cong. 55 E. Jackson Chicago, IL 60604	Campaign Mgr.	3/01/84	5.00	☒ Primary ☐ General ☐ Other (specify):
		Aggregate Year-to-Date-$ 505.00			
Robert J. Lovell 1191 Holly Court Bradley, IL 60915			3/09/84	500.00	☒ Primary ☐ General ☐ Other (specify):
		Aggregate Year-to-Date-$ 500.00			

SUBTOTAL of Receipts This Page (optional) $3,755.00

TOTAL This Period (last page this line number only) . . . . . .

SCHEDULE A

ITEMIZED RECEIPTS

CONTRIBUTIONS FROM POLITICAL PARTY COMMITTEES

Name of Committee (in Full)
ROCK FOR U. S. SENATE C00171009

A. Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt This Period
Democratic Party of Chicago and Cook County 171 W. Randolph St., Rm. 501 Chicago, IL 60601		3/9/84	$5,000.00
Receipt For: X Primary, Y General, Z Other (specify)	Aggregate Year-to-Date-$ 5,000.00		
B. Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt This Period
Receipt For: Y Primary, Z General, X Other (specify)	Aggregate Year-to-Date-$		
C. Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt This Period
Receipt For: Y Primary, Z General, X Other (specify)	Aggregate Year-to-Date-$		
D. Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt This Period
Receipt For: Y Primary, Z General, X Other (specify)	Aggregate Year-to-Date-$		
E. Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt This Period
Receipt For: Y Primary, Z General, X Other (specify)	Aggregate Year-to-Date-$		
F. Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt This Period
Receipt For: Y Primary, Z General, X Other (specify)	Aggregate Year-to-Date-$		
G. Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt This Period
Receipt For: X Primary, Y General, Z Other (specify)			

Subtotals of Receipts This Page (optional) .....

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1984 JULY QUARTERLY REPORT

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SCHEDULE A — ITEMIZED RECEIPTS

(Use separate schedule for each category of the Detailed Summary Page)

CONTRIBUTIONS FROM OTHER THAN POLITICAL COMMITTEES

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Name of Committee (In Full): ROCK FOR U.S. SENATE C00171009

Full Name, Mailing Address and ZIP Code	Receipt For	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt This Period
A. Philip M. Adams 2021 Croydon Springfield, Illinois 62703	☒ Primary ☐ General ☐ Other (specify):	Occupation: Attorney Aggregate Year-to-Date-$ 300.00	5-29-84	100.00
B. Tobias G. Barry P.O. Box 368-304 Central Avenue Ladd, Illinois 61329	☒ Primary ☐ General ☐ Other (specify):	Occupation: Judge Aggregate Year-to-Date-$ 325.00	5-29-84	125.00
C. Jerry Bender 913 N. Main Street Rockford, Illinois 61103	☒ Primary ☐ General ☐ Other (specify):	Occupation: Retired Aggregate Year-to-Date-$ 270.00	5-21-84	100.00
D. Arthur L. Berman 2701 W. Sherwin Chicago, Illinois 60645	☒ Primary ☐ General ☐ Other (specify):	Occupation: Attorney Aggregate Year-to-Date-$ 276.92	5-29-84	200.00
E. Stuart H. Brody 847 N. Grove Oak PArk, Illinois 60302	☒ Primary ☐ General ☐ Other (specify):	Occupation: Attorney Aggregate Year-to-Date-$ 700.00	5-21-84	500.00
F. John Bernard Cashion 1020 Mount Pleasant Rd. Winnetka, Illinois 60093	☒ Primary ☐ General ☐ Other (specify):	Name of Employer: Self-employed Occupation: Attorney Aggregate Year-to-Date-$ 900.00	4-17-84	900.00
G. Howard W. Carroll 2929 N. Albian Chicago, Illinois 60659	☒ Primary ☐ General ☐ Other (specify):	Name of Employer: State of Illinois Occupation: State Senator Aggregate Year-to-Date-$ 750.00	5-29-84	500.00 (Memo)

SUBTOTAL of Receipts This Page (options): 1925.00

TOTAL This Period (last page this line number only)

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SCHEDULE A

ITEMIZED RECEIPTS

(Use separate schedule(s) for each category of the Detailed Summary Page)

CONTRIBUTIONS FROM OTHER THAN POLITICAL COMMITTEES

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Name of Committee (in Full): ROCK FOR U.S. SENATE C00171009

Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
A. Donald J. DePorter 151 E. Wacker Dr. Chicago, Illinois 60601 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Hyatt Regency Chgo Occupation: Regional Vice-Pres. Aggregate Year-to-Date-$1100.00	5-29-84	100.00
B. Ruth E. Donnewald Box 57 Breeze, Illinois 62230 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Name of Employer: Occupation: Homemaker Aggregate Year-to-Date-$800.00	5-18-84	300.00
C. Thomas J. Duffy 10045 Bell Chicago, Illinois 60643 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Duffy & Jordan Occupation: Attorney Aggregate Year-to-Date-$500.00	5-29-84	300.00
D. Nancy L. Fritzche R.R. 2/Box 24A Sorento, Illinois 62086 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	State of Illinois Occupation: Secretary Aggregate Year-to-Date-$210.00	5-29-84	100.00
E. Robert B. Glade 211 W. Water St. Kankakee, Illinois 60901 Receipt For: ☒ Primary ☐ General ☐ Other (specify)	Glade Plumbing & Heating Co. Occupation: President Aggregate Year-to-Date-$ 1500.00	5-29-84	500.00
F. John H. Graves 1635 W. Forest Decatur, Illinois 62522 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Graves & Graves Occupation: Attorney Aggregate Year-to-Date-$300.00	5-29-84	100.00
G. Albert Green 6161 N. Moody Chicago, Illinois 60646 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Name of Employer: Circuit Court of Cook County Occupation: Judge Aggregate Year-to-Date-$ 325.00	5-29-84	125.00

SUBTOTAL of Receipts This Page (optional) 1525.00

TOTAL This Period (first page this line number only) . . . . . .

SCHEDULE A — ITEMIZED RECEIPTS

(Use separate schedule(s) for each category of the Detailed Summary Page)

CONTRIBUTIONS FROM OTHER COMMITTEES

Any information copied from such Reports or Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committees.

Name of Committee (in Full): ROCK FOR U.S. SENATE C00171009

Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt This Period
A. Manufacturers Political Action Com. 175 W. Jackson Blvd. Chicago, Illinois 60604 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Aggregate Year-to-Date-$ 200.00	5-29-84	200.00
B. Mechanical Industry Political Com. 3 West Old State Capitol Plaza Springfield, Illinois 62701 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Aggregate Year-to-Date-$ 500.00	5-18-84	500.00
C. Northern Illinois Gas Company Employee PAC P.O. Box 190 Aurora, Illinois 60507 Receipt For: ☒ Primary ☐ General ☐ Other (specify)	Aggregate Year-to-Date-$ 500.00	5-29-84	500.00
D. Petroleum Political Educ. Com. of Illinois P.O. Box 3662 Springfield, Illinois 62708 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Aggregate Year-to-Date-$ 100.00	5-29-84	100.00
E. Sheet Metal Workers' Intern'l Ass. Local 73 205 W. Wacker Dr. Chicago, Illinois 60606 Receipt For: ☒ Primary ☐ General ☐ Other (specify)	Aggregate Year-to-Date-$ 5200.00	5-18-84	200.00
F. State Nurses Active in Politics in Illinois 810 Myers Blgd. Receipt For: ☒ Primary ☐ General ☐ Other (specify)	Aggregate Year-to-Date-$ 200.00	5-29-84	200.00
G. Teamsters Joint Council #65 2873 N. Dirksen Pkwy Springfield, Illinois 62702 Receipt For: ☒ Primary ☐ General ☐ Other (specify)	Aggregate Year-to-Date-$ 200.00	5-21-84	200.00

SUBTOTAL of Receipts This Page (optional): 1900.00

TOTAL This Period (last page this line number only)

1984 OCTOBER QUARTERLY REPORT

Page ___ of ___ for
LINE NUMBER 28(a)
(Use separate schedule(s) for each category of the Detailed Summary Page)

SCHEDULE B

ITEMIZED DISBURSEMENTS

REFUNDS TO INDIVIDUALS OTHER THAN POLITICAL COMMITTEES

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committees.

Name of Committee (in Full)

ROCK FOR U. S. SENATE c00171009

Full Name, Mailing Address and ZIP Code	Purpose of Disbursement / Disbursement for:	Date (month, day, year)	Amount of Each Disbursement This Period
A. Democratic Party of Cook County Bismark Hotel, 5th Floor Randolph at LaSalle Street Chicago, IL	Refund Disbursement for: ☒ Primary ☐ General ☐ Other (specify):	09/12/84	$4000.00
B. WISCO 6145 N. Sheridan Road Chicago, IL 60660	Refund Disbursement for: ☒ Primary ☐ General ☐ Other (specify):	09/19/84	$250.00
C. Joseph A. Clair 621 Emhring Way Cincinnati, Ohio 45202	Refund Disbursement for: ☐ Primary ☐ General ☐ Other (specify):	09/17/84	$300.00
D.	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period
E.	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period
F.	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period
G.	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period
H.	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period
I.	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period
SUBTOTAL of Disbursements This Page (optional)			
TOTAL This Period (last page this line number only)			$4550.00

LINE NUMBER 11a
(Use one page schedule(s) for each category of the Detailed Summary Page)

SCHEDULE A — ITEMIZED RECEIPTS

CONTRIBUTIONS FROM PERSONS OTHER THAN POLITICAL COMMITTEES

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Name of Committee (in Full): ROCK FOR U.S. SENATE C00171009

Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
A. Ronald Bartkowicz 2135 N. 78th Avenue Elmwood Park, Illinois 60635 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Chgo Transit Authority Occupation: Attorney Aggregate Year-to-Date-$ 1,120.40	9-10-84	120.40
B. Albert H. Baugher 6300 N. Sheridan Road Chicago, Illinois 60660 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Chicago Corporation Occupation: Public Finance Analyst Aggregate Year-to-Date-$ 300.00	9-14-84	300.00
C. Pamela Belter 7 Royal Court Lincolnshire, Illinois 60015 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	n/e Occupation: Aggregate Year-to-Date-$ 550.00	9-17-84	300.00
D. Arthur L. Berman 2701 W. Sherman Chicago, Illinois 60645 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Berman Fagelhaber Maragos & Abrahms & ~~State of Illinois~~ Occupation: St. Senator & Atty Aggregate Year-to-Date-$ 551.92	9-21-84	150.00
E. Michael A. Bilandic 33 N. LaSalle Chicago, Illinois 60602 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Bilandic Neistein Richman Hauslinger Occupation: Attorney Aggregate Year-to-Date-$ 625.00	9-14-84 9-21-84	150.00 150.00
F. Philomena Boulanger 207 N. Elmwood Oak Park, Illinois 60302 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Lincoln Park Zoo Soc. Occupation: Dir. of Development Aggregate Year-to-Date-$ 435.00	9-14-84	220.00
G. Thomas E. Brannigan 69 West Washington Chicago, Illinois 60602 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Flanagan Bilton & Brannigan Occupation: Attorney Aggregate Year-to-Date-$ 500.00	9-17-84	300.00
SUBTOTAL of Receipts This Page (optional)			
TOTAL This Period (last page this line number only)			

SCHEDULE A — ITEMIZED RECEIPTS

(Use separate schedule(s) for each category of the Detailed Summary Page)

CONTRIBUTIONS FROM PERSONS OTHER THAN POLITICAL COMMITTEES

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Name of Committee (in Full): ROCK FOR U.S. SENATE C00171009

Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
A. Bernard B. Brody 9139 Redvale Chicago, Illinois 60076 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Self-Employeed Occupation: Attorney Aggregate Year-to-Date—$ 275.00	9-17-84	150.00
B. William M. Brogan 10301 Laramie Ave Oak Lawn, Ill 60453 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Name of Employer: Ill. Labor Relations Board Occupation: Chairman Aggregate Year-to-Date—$ 525.00	9-10-84	150.00
C. Clayton F. Brown 709 Glendale Ln. Mt. Prospect, Illinois 60056 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Name of Employer: Clayton Brown & Assoc Occupation: President Aggregate Year-to-Date—$ 1000.00	8-6-84	1000.00
D. John Bernard Cashion 33 N. LaSalle St. Chicago, Illinois 60602 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Name of Employer: Self-Employeed Occupation: Attorney Aggregate Year-to-Date—$ 1500.00	9-21-84	500.00
E. Cassiday, Schlade & Gloor 333 W. Wacker Dr. Chicago, Illinois 60606 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Name of Employer: Occupation: Aggregate Year-to-Date—$ 800.00	9-21-84	300.00
F. Joseph A. Clair 120 E. 4th Street Cincinatti, Ohio 45202 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Name of Employer: H.E.R.E. Int'l Union Occupation: Admin. Asst. Aggregate Year-to-Date—$ 300.00	9-17-84	300.00
G. Rosalie Clark 1420 N. Lake Shore dr. Chicago, Illinois 60610 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Name of Employer: n/e Occupation: Aggregate Year-to-Date—$ 300.00	9-14-84	150.00
SUBTOTAL of Receipts This Page (optional)			
TOTAL This Period (last page this line number only)			

SCHEDULE A — ITEMIZED RECEIPTS

CONTRIBUTIONS FROM PERSONS OTHER THAN POLITICAL COMMITTEES

Any information [illegible] such Receipts for Statewide [illegible] may not be [illegible] for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

Name of Committee (in Full): ROCK FOR U.S. SENATE C00171009

Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
A. Edward Czadowski 3840 N. Linder Avenue Chicago, Illinois 60641 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Winston & Strawn Occupation: Attorney Aggregate Year-to-Date-$ 250.00	9-14-84	150.00
B. Charles F. DeBruler P.O. Box 789 Libertyville, Illinois 60048 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Name of Employer: DeBruler Co. Occupation: Vice-President Aggregate Year-to-Date-$ 425.00	9-17-84	300.00
C. William C. DeBruler P.O. Box 789 Libertyville, Illinois 60048 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Name of Employer: DeBruler Co. Occupation: President Aggregate Year-to-Date-$ 425.00	9-17-84	300.00
D. Donald J. DePorter 151 E. Wacker Dr. Chicago, Illinois 60601 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Name of Employer: Hyatt Hotels Occupation: Reg. Vice-Pres. Aggregate Year-to-Date-$ 1400.00	9-17-84	300.00
E. Citizens For Cornelius F. Dore 3427 Vollmer Flossmoor, Illinois 60422 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Name of Employer: Circuit Court of Cook County Occupation: Judge Aggregate Year-to-Date-$ 550.00	9-21-84	300.00
F. William B. Dring 540 Fair Oaks Oak Park, Illinois 60302 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Name of Employer: Bauhs & Dring Occupation: Architect Aggregate Year-to-Date-$ 400.00	9-14-84	300.00
G. Citizens For Jim Edgar 200 S. 2nd Street Springfield, Illinois 62701 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Name of Employer: State of Illinois Occupation: Secretary of State Aggregate Year-to-Date-$ 300.00	9-14-84	300.00

SUBTOTAL of Receipts This Page (optional) . . . . . .

TOTAL This Period (last page this line number only) . . . . . .

SCHEDULE A — ITEMIZED RECEIPT

CONTRIBUTIONS FROM PERSONS OTHER THAN POLITICAL COMMITTEES (Summary Page)

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Name of Committee (in Full): ROCK FOR U.S. SENATE C00171009

Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
A. Kevin M. Forde 5716 Lawn Western Springs, Illinois 60558 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Kevin Forde Ltd. President Aggregate Year-to-Date-$ 750.00	9-21-84	300.00
B. Dorothy Fusco 1356 Greenwood Wilmette, Illinois 60091 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	n/e Occupation Aggregate Year-to-Date-$ 351.00	9-14-84	300.00
C. Lucille A. Fusco 1136 Greenleaf Wilmette, Illinois 60091 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	n/e Occupation Aggregate Year-to-Date-$ 300.00	9-14-84	300.00
D. Thomas J. Garvey 101 Briarwood Circle Oak Brook, Illinois 60521 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Garvey & Novy Occupation: Attorney Aggregate Year-to-Date-$ 1300.00	9-17-84	300.00
E. Judith Gazaway 300 N. Harvey Oak Park, Illinois 60302 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Rehabilitation Systems of Ill., Ltd. Occupation: Administrative Mgr. Aggregate Year-to-Date-$ 750.00	9-10-84	250.00
F. John J. Gearen 812 S. Kenilworth Oak Park, Illinois 60304 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Self-Employeed Occupation: Realtor Aggregate Year-to-Date-$ 350.00	9-10-84	100.00
G. Gus Giannis 6840 Riverside Dr. Berwyn, Illinois Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Self-Employeed Occupation: Attorney Aggregate Year-to-Date-$ 500.00	9-17-84	300.00
SUBTOTAL of Receipts This Page (optional)			
TOTAL This Period (last page this line number only)			

SCHEDULE A — ITEMIZED RECEIPTS — [illegible] each category of the Period Summary Page)

CONTRIBUTIONS FROM PERSONS OTHER THAN POLITICAL COMMITTEES

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Name of Committee (in Full): ROCK FOR U.S. SENATE C00171009

Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
A. Robert G. Gibson 300 N. State Pkwy Chicago, Illinois 60610 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Illinois State AFL-CIO President Aggregate Year-to-Date-$ 300.00	9-17-84	300.00
B. James R. Gill 9444 N. Avers Ave. Evanston, Illinois 60203 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Gill & Biersborn Co. Insurance agent Aggregate Year-to-Date-$ 1200.00	9-17-84	75.00
C. William J. Gilligan 3400 N. Adams Rd. Oak Brook, Illinois 60521 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Hinsdale Orthopaedics Physcian Aggregate Year-to-Date-$ 1300.00	9-10-84	300.00
D. Ann Mary Graber 819 N. Oak PArk Avenue Oak Park, Illinois 60302 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Cook Cty Dept. Health Clerk-Medical Div Aggregate Year-to-Date-$525.00	9-10-84 9-14-84	100.00 150.00
E. Eugene L. Griffin 180 N. LaSalle Chicago, Illinois 60601 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Arvey Hodes Costello & Burman Attorney Aggregate Year-to-Date-$275.00	9-21-84	150.00
F. Jean Golden 333 W. Wacker Dr. Chicago, Illinois 60606 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Cassiday Schlade & Glecor Attorney Aggregate Year-to-Date-$ 600.00	9-21-84	300.00 (Memo)
G. Alfred Gutman 561 Sunset Lane Glencoe, Illinois 60022 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Name of Employer Occupation Aggregate Year-to-Date-$300.00	9-14-84	300.00
SUBTOTAL of Receipts This Page (optional)			
TOTAL This Period (last page this line number only)			

SCHEDULE A ITEMIZED RECEIPTS

Category of the Detailed Summary Page)

CONTRIBUTIONS FROM PERSONS OTHER THAN POLITICAL COMMITTEES

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Name of Committee (in Full): ROCK FOR U.S. SENATE C00171009

Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
A. Jack Guthman 230. E. Delaware Chicago, Illinois 60611 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Sidley & Austin Occupation: Attorney Partner Aggregate Year-to-Date-$ 750.00	9-17-84	300.00
B. Meron J. Hauslinger 33 N. LaSalle Chicago, Illinois 60602 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Name of Employer: Bilandic Neinstein Richman Hauslinger & Young Occupation: Attorney Aggregate Year-to-Date-$ 350.00	9-22-84	150.00
C. Martin K. Henslee 30 N. LaSalle Chicago, Illinois 60602 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Name of Employer: Henslee Moneke Henslee Occupation: Attorney Aggregate Year-to-Date-$ 2000.00	9-14-84	1000.00
D. Charles Hickman 527 Monarch Ln Addison, Illinois 60101 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Name of Employer: Retired Occupation: Aggregate Year-to-Date-$ 550.00	9-10-84	250.00
E. Al Hofeld 33 N. Dearborn st. Chicago, Illinois 60602 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Name of Employer: Self-Employeed Occupation: Attorney Aggregate Year-to-Date-$500.00	9-17-84	150.00
F. Earl E. Houston 1308 Grace St Chicago, Illinois 60613 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Name of Employer: Oak Leyden Dev. Serv. Occupation: Director - Counselor Services Aggregate Year-to-Date-$ 300.00	9-21-84	100.00
G. Theodore Jacobs 834 N. Harvey Oak PArk, Illinois 60302 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Name of Employer: State of Illinois Occupation: Appeals Advisor I Aggregate Year-to-Date-$ 255.00	9-10-84	35.00
SUBTOTAL of Receipts This Page (optional)			
TOTAL This Period (last page this line number only)			

SCHEDULE A — **ITEMIZED RECEIPTS** — (Use a separate schedule for each category of the Detailed Summary Page)

CONTRIBUTIONS FROM PERSONS OTHER THAN POLITICAL COMMITTEES

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Name of Committee (in Full): ROCK FOR U.S. SENATE C00171009

Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
A. Joyce Political Fund P.O. Box 80 Bradley, Illinois 60915 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Occupation Aggregate Year-to-Date-$700.00	9-10-84	500.00
B. Philip Kayman 1034 N. Marion Oak Park, Illinois 60302 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Name of Employer: Friedman & Koven Occupation: Attorney Aggregate Year-to-Date-$ 625.00	9-17-84	150.00
C. Richard S. Kozak 8536 W. Crain Niles, Illinois 60648 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Name of Employer Occupation Aggregate Year-to-Date-$ 260.00	9-10-84	35.00
D. Robert J. Krull 3800 N. Alta Vista Chicago, Illinois 60613 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Name of Employer: Spitzer Addis Susman Roskin Occupation: Attorney/Partner Aggregate Year-to-Date-$800.00	9-17-84	300.00
E. Kay Kyle 2608 W. Coyle Chicago, Illinois 60645 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Name of Employer: n/e Occupation Aggregate Year-to-Date-$900.00	9-21-84	400.00
F. Pamela Langley 1507 Keystone Ave River Forest, Illinois 60305 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Name of Employer: n/e Occupation Aggregate Year-to-Date-$300.00	9-14-84	300.00
G. Jack C. Leahy 300 S. Ashland Chicago, Illinois 60607 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Name of Employer: Leahy & Assoc. Occupation: President Aggregate Year-to-Date-$1200.00	9-14-84	200.00

SUBTOTAL of Receipts This Page (optional) . . . . . .

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SCHEDULE A — **ITEMIZED RECEIPTS**

(Use separate schedule(s) for each category of the Detailed Summary Page)

CONTRIBUTIONS FROM PERSONS OTHER THAN POLITICAL COMMITTEES

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Name of Committee (in Full): ROCK FOR U.S. SENATE C00171009

Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
A. John A. McDonald 141 W. Jackson Chicago, Illinois 60603 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Chicago Board of Trade Occupation: Broker Aggregate Year-to-Date-$ 1300.00	9-17-84	300.00
B. Thomas J. McDonnell 2306 N. 75th Avenue Elmwood Park, Illinois 60635 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Self-Employeed Occupation: Attorney Aggregate Year-to-Date-$ 420.00	9-10-84 9-14-84 9-21-84	70.00 150.00 150.00
C. Thomas A. McGloon 1360 Lake Shore Dr. Chicago, Illinois 60610 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Illinois Appellate Cook County, Court Occupation: Judge Aggregate Year-to-Date-$ 400.00	9-17-84	300.00
D. Paul Neal, Jr. 155 Harbor Drive Chicago, Illinois 60601 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Public Affairs Marketing Occupation: President Aggregate Year-to-Date-$ 350.00	9-21-84	150.00
E. Bernard S. Neistein 33 N. LaSalle Chicago, Illinois 60602 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Bilandic Neistein & Richman Occupation: Aggregate Year-to-Date-$ 350.00	9-22-84	150.00
F. Katie Newsham 29 S. LaSalle Chicago, Illinois 60603 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Rock Fusco Heneghan & Reynolds Occupation: Attorney Aggregate Year-to-Date-$ 660.00	9-14-84 9-14-84	35.00 150.00
G. Frederick G. Novy 111 W. Washington Chicago, Illinois 60602 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Garvey & Novy Occupation: Attorney Aggregate Year-to-Date-$ 1300.00	9-17-84	300.00

SUBTOTAL of Receipts This Page (optional) .....

TOTAL This Period (last page this line number only) .....

SCHEDULE A

ITEMIZED RECEIPTS

(Use separate schedule(s) for each category of the Detailed Summary Page)

CONTRIBUTIONS FROM PERSONS OTHER THAN POLITICAL COMMITTEES

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Name of Committee (in Full)
ROCK FOR U.S. SENATE C00171009

Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
Lucy Nunes 1550 Lake Shore Drive Chicago, Illinois 60610 Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Self-Employed Occupation Aggregate Year-to-Date-$ 275.00	9-14-84	150.00
Wallace J. O'Brien 6645 N. Keota Chicago, Illinois 60646 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	retired Occupation Aggregate Year-to-Date-$450.00	9-14-84	200.00
Walter Patelski 6500 W. Cortland Chicago, Illinois 60635 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Maurice Sporting Goods Occupation President Aggregate Year-to-Date-$ 1150.00	9-14-84	150.00
K.J. Phelan 1103 Westgate St. Oak Park, Illinois 60301 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Reliable Credit Co. Occupation President Aggregate Year-to-Date-$650.00	9-14-84	150.00
Poch, Leo 5332 W. Windsor Chicago, Illinois 60630 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Name of Employer Occupation Aggregate Year-to-Date-$ 275.00	9-10-84	150.00
Sheldon K. Rachman, Ltd. 29 South LaSalle St. Chicago, Illinois 60603 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Self-Employed Occupation Attorney Aggregate Year-to-Date-$ 400.00	9-17-84	300.00
David Rallo 205 W. Randolph Chicago, Illinois 60606 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Name of Employer Rallo & Temper Occupation Attorney Aggregate Year-to-Date-$ 400.00	9-14-84	300.00

TOTAL of Receipts This Page (optional) . . . . . .

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SCHEDULE A — ITEMIZED RECEIPTS — (Use separate schedule(s) for each category of the Detailed Summary Page)

CONTRIBUTIONS FROM PERSONS OTHER THAN POLITICAL COMMITTEES

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Name of Committee (in full): ROCK FOR U.S. SENATE C00171009

Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
A. William A. Redmond 250. Tioga Bensenville, Illinois 60106 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Self-Employed Occupation: Attorney Aggregate Year-to-Date-$ 850.00	9-10-84	150.00
B. Reuben & Proctor 19 S. LaSalle Chicago, Illinois 60603 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Name of Employer: Occupation: Aggregate Year-to-Date-$300.00	9-17-84	300.00
C. Irvin F. Richman 33 N. LaSalle Chicgo, Illinois 60602 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Name of Employer: Bilandic Neinstein Occupation: Aggregate Year-to-Date-$350.00	9-21-84	150.00
D. Maxwell Riffkind 2700 Division St. Melrose Park, Illinois 60160 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Name of Employer: Wanzer Dairies Occupation: Vice President Aggregate Year-to-Date-$ 1200.00	9-17-84	200.00
E. Lawrence Roskin 100 W. Monroe St Chicago, Illinois 60603 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Name of Employer: Spitzer Addis Susman & Roskin Occupation: Aggregate Year-to-Date-$ 800.00	9-21-84	300.00
F. Ciro Rossini 739 S. Clark Chicago, Illinois 60605 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Name of Employer: Palmer Printing Occupation: President Aggregate Year-to-Date-$ 250.00	9-17-84	150.00
G. Rostenkowski For Congress 1349 N. Noble St. Chicago, Illinois 60622 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Name of Employer: Occupation: Aggregate Year-to-Date-$ 300.00	9-21-84	300.00
SUBTOTAL of Receipts This Page (optional)			
TOTAL This Period (last page this line number only)			

SCHEDULE A — ITEMIZED RECEIPTS — (Use separate schedule(s) for each category of the Detailed Summary Page)

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Name of Committee (in Full): ROCK FOR U.S. SENATE C00171009

Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
A. Bruce Sagen 5959 S. Harlem Chicago, Illinois 60638 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Occupation Aggregate Year-to-Date-$ 500.00	9-17-84	500.00
B. John E. Sheehan 36 Kennedy Blvd Elk Grove, Illinois 60007 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Name of Employer Occupation Aggregate Year-to-Date-$ 375.00	9-10-84	70.00
C. Len Sherman 222 W. Adams Chicago, Illinois 60606 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Name of Employer: Ill. Pharmts. Assoc. Occupation: Director of Gov't Affairs Aggregate Year-to-Date-$ 1300.00	9-17-84	300.00
D. David J. Shields 15 Overlook Dr. Golf, Illinois 60029 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Name of Employer: Circuit Court of Cook County Occupation: Judge Aggregate Year-to-Date-$ 575.00	9-21-84	450.00
E. Francis J. Smith Box 638 Manteno, Illinois 60950 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Name of Employer: Smith Ins. Occupation: Partner Aggregate Year-to-Date-$ 350.00	9-14-84	100.00
F. William Smith, Jr. 29 S. LaSalle Chicago, Illinois 60603 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Name of Employer: Hollobow & Taslitz Occupation: Attorney Aggregate Year-to-Date-$ 1100.00	9-	600.00
G. R. Jack Sneeden 520 Santa Rosa Sr. DesPlaines, Illinois 60018 Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Name of Employer: Banner Service Corp. Occupation: Chairman of Board Aggregate Year-to-Date-$ 1750.00	9-14-84	750.00

SUBTOTAL of Receipts This Page (optional) . . . . . .

TOTAL This Period (last page this line number only) . . . . . .

SCHEDULE A ITEMIZED RECEIPTS

(Use only one of the Date or Amount Pages)

CONTRIBUTIONS FROM PERSONS OTHER THAN POLITICAL COMMITTEES

Any information copied from such Reports or Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

Name of Committee (in Full)
ROCK FOR U.S. SENATE C00171009

Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
A. Citizens For Tully, Thomas M. 77 W. Washington Chicago, Illinois 60602 Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Self-Employed Occupation: Attorney Aggregate Year-to-Date-$ 200.00	9-21-84	1000.00
B. Full Name, Mailing Address and ZIP Code Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Name of Employer Occupation Aggregate Year-to-Date-$	Date (month, day, year)	Amount of Each Receipt This Period
C. Full Name, Mailing Address and ZIP Code Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Name of Employer Occupation Aggregate Year-to-Date-$	Date (month, day, year)	Amount of Each Receipt This Period
D. Full Name, Mailing Address and ZIP Code Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Name of Employer Occupation Aggregate Year-to-Date-$	Date (month, day, year)	Amount of Each Receipt This Period
E. Full Name, Mailing Address and ZIP Code Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Name of Employer Occupation Aggregate Year-to-Date-$	Date (month, day, year)	Amount of Each Receipt This Period
F. Full Name, Mailing Address and ZIP Code Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Name of Employer Occupation Aggregate Year-to-Date-$	Date (month, day, year)	Amount of Each Receipt This Period
G. Full Name, Mailing Address and ZIP Code Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Name of Employer Occupation Aggregate Year-to-Date-$	Date (month, day, year)	Amount of Each Receipt This Period
SUBTOTAL of Receipts This Page (optional)			
TOTAL This Period (last page this line number only)			33,890.40

1984 YEAR END REPORT

SCHEDULE B

ITEMIZED DISBURSE

(Category of the Detailed Summary Page)

REFUNDS OF CONTRIBUTIONS/INDIVIDUALS

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee

Name of Committee (in Full)

Rock For U.S. Senate C00171009

A. Full Name, Mailing Address and ZIP Code Donald J. DePorter 151 E. Wacker Dr. Chicago, Illinois 60601	Purpose of Disbursement Refund/Exceeds limit Disbursement for: ☒ Primary ☐ General ☐ Other (specify):	Date (month, day, year) 12/10/84	Amount of Each Disbursement This Period $400.00
B. Full Name, Mailing Address and ZIP Code Robert B. Glade 211 W. Water St. Kankakee, Illinois 60901	Purpose of Disbursement Refund/exceeds limit Disbursement for: ☒ Primary ☐ General ☐ Other (specify):	Date (month, day, year) 12/10/84	Amount of Each Disbursement This Period 500.00
C. Full Name, Mailing Address and ZIP Code Joseph Clair 120 E. 4th Street Cincinatti, Ohio 45202	Purpose of Disbursement Non-sufficient funds Disbursement for: ☒ Primary ☐ General ☐ Other (specify):	Date (month, day, year) 12/15/84	Amount of Each Disbursement This Period 300.00
D. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period
E. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period
F. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period
G. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period
H. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period
I. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period
SUBTOTAL of Disbursements This Page (optional)			
TOTAL This Period (last page this line number only)			$1200.00

SCHEDULE B

ITEMIZED DISBURSEMENTS

(Summary Page)

REFUNDS OF CONTRIBUTIONS/OTHER POLITICAL COMMITTEES

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Name of Committee (in Full)

Rock For U.S. Senate C00171009

A. Full Name, Mailing Address and ZIP Code Sheet Metal Worker's Intn'l Assoc. 205 W. Wacker Dr. Chicago, Illinois 60606	Purpose of Disbursement Refunds/Exceeds Limit Disbursement for: ☒ Primary ☐ General ☐ Other (specify):	Date (month, day, year) 12/10/84	Amount of Each Disbursement This Period $200.00
B. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period
C. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period
D. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period
E. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period
F. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period
G. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period
H. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period
I. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period
SUBTOTAL of Disbursements This Page (optional)			
TOTAL This Period (last page this line number only)			$200.00

1985 MID-YEAR REPORT

Summary Page)

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Name of Committee (in Full)

Rock for U. S. Senate C00171009

Full Name, Mailing Address and ZIP Code	Purpose of Disbursement / Disbursement for: ☒ Primary ☐ General ☐ Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period
A. William J. Gilligan 3400 N. Adams Road Oak Brook, IL 60521	Refund	5/13/85	$ 300.00
B. Thomas J. Garvey 101 Brianwood Circle Oak Brook, IL 60521	Refund	5/13/85	$ 300.00
C. Ronald Bartkowicz 2135 N. 78th Avenue Elmwood Park, IL 60635	Refund	5/13/85	$ 120.40
D. Jack C. Leahy 300 S. Ashland Chicago, IL 60607	Refund	5/13/85	$ 200.00
E. Martin K. Henslee 30 N. LaSalle Street Chicago, IL 60602	Refund	5/13/85	$1000.00
F. James R. Gill 9444 Avers Ave.	Refund	5/13/85	$ 200.00
G. Walter Patelski 6500 W. Cortland	Refund	5/13/85	$ 150.00
H. Frederick G. Novy 111 W. Washington Chicago, IL 60602	Refund	5/13/85	$ 300.00
I. John A. McDonald 141 W. Jackson Chicago, IL 60603	Refund	5/13/85	$ 300.00
SUBTOTAL of Disbursements (this page (optional)			$2870.40
TOTAL This Period (last page this line number only)			

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SCHEDULE B **ITEMIZED DISBURS**

Summary Page

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Name of Committee (In Full)

Rock for U. S. Senate C00171009

A. Full Name, Mailing Address and ZIP Code R. Jack Sneeden 520 Santa Rosa Sr. Des Plaines, IL 60018	Purpose of Disbursement Refund Disbursement for: ☒ Primary ☐ General ☐ Other (specify):	Date (month, day, year) 5/13/85	Amount of Each Disbursement This Period $ 750.00
B. Full Name, Mailing Address and ZIP Code William Smith, Jr. 29 S. LaSalle Street Chicago, IL 60603	Purpose of Disbursement Refund Disbursement for: ☒ Primary ☐ General ☐ Other (specify):	Date (month, day, year) 5/13/85	Amount of Each Disbursement This Period $ 100.00
C. Full Name, Mailing Address and ZIP Code Maxwell Riffkind 2700 Division Street Melrose Park, IL 60160	Purpose of Disbursement Refund Disbursement for: ☒ Primary ☐ General ☐ Other (specify):	Date (month, day, year) 5/13/85	Amount of Each Disbursement This Period $ 200.00
D. Full Name, Mailing Address and ZIP Code John B. Cashion 33 N. LaSalle Street Chicago, IL 60602	Purpose of Disbursement Refund Disbursement for: ☒ Primary ☐ General ☐ Other (specify):	Date (month, day, year) 5/13/85	Amount of Each Disbursement This Period $ 500.00
E. Full Name, Mailing Address and ZIP Code Len Sherman 222 W. Adams Chicago, IL 60606	Purpose of Disbursement Refund Disbursement for: ☒ Primary ☐ General ☐ Other (specify):	Date (month, day, year) 5/13/85	Amount of Each Disbursement This Period $ 300.00
F. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period
G. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period
H. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period
I. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period
SUBTOTAL of Disbursements This Page (optional)			$1850.00
TOTAL This Period (last page only enter line number only)			$4720.40

FEDERAL ELECTION COMMISSION
1325 K STREET N.W.
WASHINGTON, D.C. 20463

THIS IS THE BEGINNING OF MUR # 2096

Date Filmed 9/15/86 Camera No. --- 2

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