



FEDERAL ELECTION COMMISSION

1325 K STREET N.W.
WASHINGTON, D.C. 20463

THIS IS THE END OF MUR # 1382

Date Filmed 1/29/83 Camera No. --- 2

Cameraman CAB

FEDERAL ELECTION COMMISSION

Comment sheet
Routing slips

The above-described material was removed from this file pursuant to the following exemption provided in the Freedom of Information Act, 5 U.S.C. Section 552(b):

- | | |
|--|---|
| <input type="checkbox"/> (1) Classified Information | <input type="checkbox"/> (6) Personal privacy |
| <input type="checkbox"/> (2) Internal rules and practices | <input type="checkbox"/> (7) Investigatory files |
| <input type="checkbox"/> (3) Exempted by other statute | <input type="checkbox"/> (8) Banking Information |
| <input type="checkbox"/> (4) Trade secrets and commercial or financial information | <input type="checkbox"/> (9) Well Information (geographic or geophysical) |
| <input checked="" type="checkbox"/> (5) Internal Documents | |

Signed Deborah C. Caring
date 12-20-82

FEC 9-21-77

83040373401

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
)
The Committee to Elect)
Herbert Stephens to Congress)

MUR 1382

CERTIFICATION

I, Marjorie W. Emmons, Recording Secretary for the Federal Election Commission Executive Session on December 14, 1982, do hereby certify that the Commission decided by a vote of 5-1 to take no further action and close the file with respect to MUR 1382.

Commissioners Aikens, Elliott, Harris, McDonald, and McGarry voted affirmatively for the decision; Commissioner Reiche dissented.

Attest:

12/15/82

Date

Marjorie W. Emmons

Marjorie W. Emmons
Secretary of the Commission

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FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

MEMORANDUM TO: CHARLES N. STEELE
GENERAL COUNSEL

FROM: MARJORIE W. EMMONS/JODY C. RANSOM *JCR*

DATE: DECEMBER 8, 1982

SUBJECT: OBJECTION - MUR 1382 General Counsel's
Report signed December 3, 1982; Received
in OCS, 12-3-82, 4:16

The above-named document was circulated to the
Commission on December 6, 1982 at 11:00.

Commissioner Reiche submitted an objection at
10:44, December 8, 1982.

This matter will be placed on the agenda for the
executive session of Tuesday, December 14, 1982.

83040373403

December 3, 1982

MEMORANDUM TO: Marjorie Emmons
FROM: Steven Barndollar
SUBJECT: MUR 1382

Please have the attached General Counsel's Report distributed to the Commission on a 48 hour tally basis. Thank you.

Attachment

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82 DEC 3 P 4: 18

In the Matter of)
)
The Committee to Elect)
Herbert Stephens to Congress)

MUR 1382

GENERAL COUNSEL'S REPORT

I. BACKGROUND

On May 15, 1981 the Commission found reason to believe that the Committee to Elect Herbert Stephens to Congress violated 2 U.S.C. §§ 434(a) and 434(b). On March 23, 1982 the Commission determined to take no further action at that time, but rejected the recommendation of the Office of General Counsel to close the file in the matter.

The decision not to close the file at that time was so that we could wait until the November elections to see if Herbert Stephens would run again. The Reports Analysis Division has verified that Herbert Stephens has not run again. Therefore, the Office of General Counsel recommends that the Commission close the file.

II. RECOMMENDATION

Take no further action and close the file.

Charles N. Steele
General Counsel

December 3, 1982
Date

BY: *Kenneth A. Gross*
Kenneth A. Gross
Associate General Counsel

83040373405

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
)
The Committee to Elect)
Herbert Stephens to)
Congress)

MUR 1382

CERTIFICATION

I, Marjorie W. Emons, Recording Secretary for the Federal Election Commission's executive session on March 23, 1982, do hereby certify that the Commission decided by a vote of 4-0 to take no further action at this time, but not close the file in MUR 1382.

Commissioners Elliott, Harris, McDonald, and McGarry voted affirmatively for the decision. Commissioner Reiche abstained on the vote, and Commissioner Aikens was not present at the time of the vote.

Attest:

3/24/82

Date

Marjorie W. Emons

Marjorie W. Emons
Secretary of the Commission

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March 11, 1982

MEMORANDUM TO: Marjorie W. Emmons
FROM: Phyllis A. Kayson
SUBJECT: MUR 1382

Please have the attached General Counsel's Report distributed to the Commission on a 48 hour tally basis. Thank you.

Attachment

cc: Curry

83040373407

RECEIVED
OFFICE OF THE
SECRETARY

SENSITIVE

82 MAR 11 P 2: 47

In the Matter of)
The Committee to Elect)
Herbert Stephens to Congress)

MUR 1382

GENERAL COUNSEL'S REPORT

I. Background

On May 15, 1981 the Commission found reason to believe that the Committee to Elect Herbert Stephens to Congress violated 2 U.S.C. §§ 434(a) and 434(b). The Commission approved and authorized the sending of letters with a summary of the General Counsel's factual and legal analysis to Herbert Stephens (the candidate) and his wife Barbara Stephens, treasurer of the Committee. These letters were returned unopened to the Office of General Counsel on May 26, 1981 with postal markings indicating that the respondent had moved leaving no forwarding address. Attempts to locate a telephone number for respondent proved unsuccessful.

In light of \$100,016 of improperly itemized loans, the Commission on July 14, 1981, authorized the Office of General Counsel to contact the vendor in this matter in order to ascertain the current address of Barbara and Herbert Stephens and if necessary to hire a special process server to locate and serve respondent with the reason to believe letter and the General Counsel's factual and legal analysis.

83040373408

Contact was made with the vendor in this matter, Concepts Associates, Inc. Concepts Associates gave the address of Mr. and Mrs. Stephens as G-7554, South Saginaw Road, Grand Block, Michigan 48439. However, Concept Associates was not sure of this address because of their own difficulty in contacting the Stephens. Concepts Associates suggested that we contact Stephen Canello, of the Thomas Moher Law Firm, for further information since that firm was prosecuting Barbara Stephens on a nuisance charge.

The Office contacted the Thomas Moher law firm and talked with Tony Andary. Mr. Andary gave 1440 Briarcliff Road, Flint, Michigan 48504 as their last address. Mr. Andary was sure that they did not live at this address any longer. Mr. Andary also suggested other locations where they could be found, but the Stephens could not be located at these places either. Mr. Andary noted that the Stephens are very adept at evading personal service.

In addition the Office of General Counsel contacted the Secretary of State for a recent address of the Stephens. The Secretary of State had as its most recent address 2205 Wilkinson Avenue, Marquette, Michigan. This is the same (old) address used in our initial mailing cited above.

Since no current address could be obtained, on September 11, 1981, the Office of General Counsel secured in writing the services of a special process server. In the letter, the Office of General Counsel authorized Accurate Legal Services to spend a total of \$200 in locating and effecting personal service

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of the reason to believe letter and the General Counsel's factual and legal analysis on Barbara Stephens.

On November 16, 1981, the Office of General Counsel received a report from Accurate Legal Services (see Attachment I) regarding attempts to serve Barbara Stephens. The process server went to Stephens' residence at 1440 Briarcliff Road, Flint Michigan. The House is a mansion with private driveway, electric gate and guard dogs. The process server talked with Ms. Stephens' son who would not give any information on her whereabouts. According to the report the "son had been well briefed by his parents."

The process server went to Saginaw to locate the real estate office that employs Ms. Stephens. However, he could not locate the office and was given no help in tracking Ms. Stephens down other than her description. In addition the process server made numerous calls to Flint and Sault Sainte Marie to no avail.

A total of \$134.95 has been expended so far trying to serve Ms. Stephens (see Attachment I). In a telephone conversation prior to this report, Accurate Legal Services stated that more money would be needed to flesh out the few leads remaining. However, Accurate Legal Services stated that a better but more costly solution would be to stake out the residence. On January 5, 1982, the Office of General Counsel resent via first class mail the reason to believe letter and General Counsel's factual and legal analysis to Barbara Stephens' home address. The letter

33040373410

has not been returned raising the presumption that it was received by Ms. Stephens.

II. Legal Analysis

2 U.S.C. § 434(a) requires that committees file reports of receipts and expenditures with the Commission. Except for a report filed on July 12, 1980,^{1/} the Stephens Committee has failed to file such reports.^{2/} 2 U.S.C. § 434(b) and 11 C.F.R. § 104.3 require that reports filed with the Commission disclose the total amount of receipts and expenditures, along with information regarding loans. The July 12, 1980 report filed by the Stephens Committee does not contain this information.

Based on the foregoing the Commission on May 15, 1981, found reason to believe that the Stephens Committee violated §§ 434(a) and (b).

III. Recommendations

The Commission has made reasonable attempts to serve Ms. Stephens. The additional time and money needed to serve Ms. Stephens must be balanced against the high probability that

1. The July 12, 1980 report covered the financial activity of the Committee from September 6, 1978 through July 10, 1980.

2. The Stephens Committee was sent notices for failure to file: 1) April 10, July 10, and October 10, 1979 Quarterly Reports and 1979 Year-End Report; 2) 12 Day Pre-Primary 1980, October 15 Quarterly and 1980 Year Reports, and 3) the 1981 Mid-Year Report.

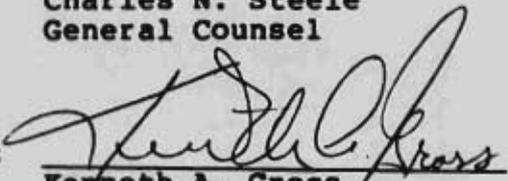
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such future attempts will prove unsuccessful. The most significant violation in this matter is \$100,000 in improperly itemized loans. However, these loans are probably candidate loans. The facts do not seem to justify further use of Commission resources. Therefore, the Office of General Counsel recommends that the Commission take no further action and close the file.

83040373412

March 11, 1982
Date

Charles N. Steele
General Counsel

BY: 
Kenneth A. Gross
Associate General Counsel

ATTACHMENT

Report from Accurate Legal Services (1 page)

RATE LEGAL SERVICES COMPANY, INC.

P.O. Box 1533
 Washington, D.C. 20013
 308 H Street, N.E.
 Washington, D.C. 20002 (202) 332-5728

11-16

INVOICE NO. 7224

cut # 5800 Curry
1382

FEDERAL ELECTION COMMISSION
 1325 K. Street, N.W.
 Washington, D.C. 20463

Attention: Mr. Charles N. Steele,
 General Counsel

Date: November 13, 1981

Attachment I

CASE NO.	FILE NO.	CHARGES
		Service of Envelope upon Barbara Stephens, Treasurer of the Committee to Elect Herbert Stephens to Congress.
		ATTEMPT OF SERVICE AND FOUR HOURS OF INVESTIGATIVE WORK AT \$30.00 PER HOUR... \$120.00
		TELEPHONE EXPENSE... 14.95
33040573410		As you have been informed, our process server in Michigan has not been able to serve Mrs. Stephens. The process server went to the address of 1440 Briarcliff Road, Flint, Mich. He found a mansion with a private driveway, electric gate and guard dogs. He went to the home and spoke with Mrs. Stephens son. Mrs. Stephens son would not give out any information as to the whereabouts of Mrs. Stephens. It appeared that Mrs. Stephens son had been well briefed by his parents.
		The process server then went to Saginaw to locate the real estate office that Mrs. Stephens works at. He could not locate the office. He spoke with all the leads supplied by your office. There was no help given in tracking down Mrs. Stephens other than her description.
		The process server made numerous telephone calls to Flint and Sue Salt Marie, but came up with nothing.
		In 1979 a process server did attempt to serve Mrs. Stephens but was unable to due to a gun being pulled on the process server.
		Enclosed please find the envelope for Mrs. Stephens. If you have any questions regarding this matter, please contact our office.
		Thank you, James P. Smith
		TOTAL: \$134.95

P.O. Box 1533
 Washington, D.C. 20013
 306 H Street, N.E.
 Washington, D.C. 20002

INVOICE NO. 7224

cut 5800

ACCURATE LEGAL SERVICES COMPANY, INC.

(202) 332-5726

Firm: FEDERAL ELECTION COMMISSION
 1325 K. Street, N.W.
 Washington, D.C. 20463

Attention: Mr. Charles N. Steele,
 General Counsel

Date: November 13, 1981

CASE NO.		FILE NO.	CHARGES
	Service of Envelope upon Barbara Stephens, Treasurer of the Committee to Elect Herbert Stephens to Congress.		
	ATTEMPT OF SERVICE AND FOUR HOURS OF INVESTIGATIVE WORK AT \$30.00 PER HOUR...		\$120.00
	TELEPHONE EXPENSE...		14.95
830405734	As you have been informed, our process server in Michigan has not been able to serve Mrs. Stephens. The process server went to the address of 1440 Briarcliff Road, Flint, Mich. He found a mansion with a private driveway, electric gate and guard dogs. He went to the home and spoke with Mrs. Stephens son. Mrs. Stephens son would not give out any information as to the whereabouts of Mrs. Stephens. It appeared that Mrs. Stephens son had been well briefed by his parents.		
	The process server then went to Saginaw to locate the real estate office that Mrs. Stephens works at. He could not locate the office. He spoke with all the leads supplied by your office. There was no help given in tracking down Mrs. Stephens other than her description.		
	The process server made numerous telephone calls to Flint and Sue Salt Marie, but came up with nothing.		
	In 1979 a process server did attempt to serve Mrs. Stephens but was unable to due to a gun being pulled on the process server.		
	Enclosed please find the envelope for Mrs. Stephens. If you have any questions regarding this matter, please contact our office.		
	Thank you, James P. Smith	TOTAL:	\$134.95



FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

MEMORANDUM TO: CHARLES STEELE

FROM: MARJORIE W. EMMONS *MW E*
JODY CUSTER *JC*

DATE: OCTOBER 16, 1981

SUBJECT: MUR 1382 - Comprehensive Investigative
Report #1, dated 10-6-81; Signed
10-14-81; Received in OCS 10-14-81, 3:38

The above-named document was circulated to the Commission on a 24 hour no-objection basis at 11:00, October 15, 1981.

There were no objections to the Comprehensive Investigative Report at the time of the deadline.

23040373415

October 14, 1981

MEMORANDUM TO: Marjorie W. Emmons
FROM: Phyllis A. Kayson
SUBJECT: MUR 1382

Please have the attached Comprehensive Investigative Report distributed to the Commission on a 24 hour no-objection basis. Thank you.

Attachment

cc: Curry

83040376416

SENSITIVE

BEFORE THE FEDERAL ELECTION COMMISSION

October 6, 1981

31 OCT 14 P 3: 38

In the Matter of)
The Committee to Elect)
Herbert Stephens to Congress)

MUR 1382

COMPREHENSIVE INVESTIGATIVE REPORT # 1

On May 15, 1981 the Commission found reason to believe that the Committee to Elect Herbert Stephens to Congress violated 2 U.S.C. §§ 434(a) and 434(b). The Commission approved and authorized the sending of letters with a summary of the General Counsel's factual and legal Analysis to Herbert Stephens (the candidate) and his wife Barbara Stephens, treasurer of the Committee. These letters were returned unopened to the Office of General Counsel on May 26, 1981 with postal markings indicating that the respondent had moved leaving no forwarding address. Attempts to locate a telephone number for respondent proved unsuccessful.

In light of \$100,016 of improperly itemized loans, the Commission on July 14, 1981, authorized the Office of General Counsel to contact the vendor in this matter in order to ascertain the current address of Barbara and Herbert Stephens and if necessary to hire a special process server to locate and serve respondent with the reason to believe letter and the General Counsel's factual and legal analysis.

Contact was made with the vendor in this matter Concepts Associates, Inc. Concepts Associates gave the address of

33040373417

Mr. and Mrs. Stephens as G-7554, South Saginaw Road, Grand Block, Michigan 48439. However, Concept Associates was not sure of this address because of their own difficulty in contacting the Stephens. Concepts Associates suggested that we contact Stephen Canello, of the Thomas Moher Law Firm, for further information since that firm was prosecuting Barbara Stephens on a nuisance charge.

The Office contacted the Thomas Moher law firm and talked with Tony Andary. Mr. Andary gave 1440 Briarcliff Road, Flint, Michigan 48504 as their last address. Mr. Andary was sure that they did not live at this address any longer. Mr. Andary also suggested two motels that are frequented by the Stephens. Mr. Andary noted that the Stephens are very adapt at evading personal service.

In addition the Office of General Counsel contacted the Secretary of State for a recent address of the Stephens. The Secretary of State had as its most recent address 2205 Wilkinson Avenue, Marquette, Michigan. This is the same (old) address used in our initial mailing cited above.

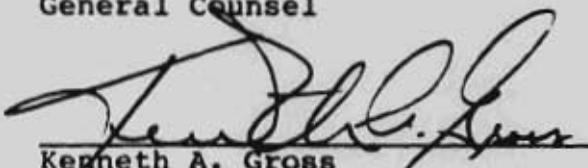
Since no current address could be obtained, on September 11, 1981, the Office of General Counsel secured in writing the services of a special process server. In the letter, the Office of General Counsel authorized Accurate Legal Services to spend a total of \$200 in locating and effecting personal service of the reason to believe letter and the General Counsel's factual and legal analysis on Barbara Stephens.

33040675418

On September 22, 1981, this office contacted Accurate Legal Services who informed us that they had received our request but that there was no report yet on service. They stated that they would keep us posted.

Oct. 14, 1981
Date

Charles N. Steele
General Counsel

BY: 
Kenneth A. Gross
Associate General Counsel

83040673419

REPORTS ANALYSIS REFERRAL UPDATE

*ORIGIN: Reports Analysis Division

DATE September 23, 1981

ANALYST Tracy Keefer

TO: Office of General Counsel
ATTENTION: Deborah Curry

TEAM CHIEF Peter Kell, Jr.

THROUGH: STAFF DIRECTOR RC

COMPLIANCE REVIEW Shawn Woodhead/
Peggy Sims BS

FROM: W ASSISTANT STAFF DIRECTOR FOR REPORTS ANALYSIS

MUR No. 1382

DATE OF ORIGINAL REFERRAL December 9, 1980

**PURPOSE: INFORMATIONAL

ATTACHMENTS

The committee failed to file the 1981 Mid-Year Report of Receipts and Expenditures which was due on July 31, 1981.

1

OUTCOME: (if applicable)

The committee was sent a mailgram on August 6, 1981 for failure to timely file the 1981 Mid-Year Report.

2

The name of the committee was published on August 17, 1981 for failure to timely file the 1981 Mid-Year Report.

3

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*Commission unit which initiated original Referral (e.g. AUDIT/RAD/OGC).
**INFORMATION, or RESULTS OF RAD ACTION, as appropriate.

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FEDERAL ELECTION COMMISSION
1981-1982

DATE 16SEP81

CANDIDATE INDEX OF SUPPORTING DOCUMENTS - (E)

PAGE 2

CANDIDATE/COMMITTEE/DOCUMENT	OFFICE SOUGHT/	PARTY	RECEIPTS		EXPENDITURES		COVERAGE DATES	# OF PAGES	MICROFILM LOCATION
			PRIMARY	GENERAL	PRIMARY	GENERAL			
STEPHENS, HERBERT MURRAY	HOUSE 11	DEMOCRATIC PARTY					1980 ELECTION	ID# H8M111056	
1. STATEMENT OF CANDIDATE									
2. CANDIDATE REPORTS OF RECEIPTS & EXPENDITURES									
3. PRINCIPAL CAMPAIGN COMMITTEE									
COMMITTEE TO ELECT HERBERT STEPHENS TO CONGRESS								ID# C00084012	HOUSE
4. AUTHORIZED COMMITTEES									

ATTACHMENT 1



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

SENATE AND HOUSE TEXT

It has come to the attention of the Federal Election Commission that you may have failed to file the 1981 Semi-Annual Report of Receipts and Expenditures as required by the Federal Election Campaign Act. Unless you recently registered prior notice was sent to you approximately 24 days ago advising you that your Semi-Annual Report was due on July 31, 1981.

You will be allowed four (4) business days from the date of this notice to file the Semi-Annual Report. The report should be filed with the Clerk of the House, Office of Records and Registration, 1036 Longworth HOB, Washington, D.C. 20515, or the Secretary of the Senate, Office of Public Records, 119 D Street, N.E., Washington, D.C. 20510, as appropriate. A copy of the report should also be filed with your Secretary of State or equivalent state officer.

If you have filed the complete report (including all appropriate schedules) timely by certified or registered mail, please notify us immediately, in writing, of the certified or registered number and the date that the report was sent.

The failure to file the complete report in a timely manner may result in publication, audit or legal enforcement action.

If you have any questions regarding this matter, please contact the Reports Analysis Division on our toll free number (800)424-9530. Our local number is (202)523-4172.

Sincerely,

John D. Gibson
Acting Assistant Staff Director
Reports Analysis Division

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FEDERAL ELECTION COMMISSION

ATTACHMENT 2



Press Office
1325 K Street, N.W., Washington, D.C. 20043
Phone: Local 823-4086 Toll Free 800-424-9830

FOR IMMEDIATE RELEASE
AUGUST 17, 1981

CONTACT: FRED HILAND
SHARON SNYDER

FEC CITES COMMITTEES FOR FAILING TO DISCLOSE CAMPAIGN INFORMATION

WASHINGTON — A total of 443 committees of U.S. House and Senate candidates have been cited by the Federal Election Commission for failing to file 1981 Semiannual disclosure reports. Reports from 359 House and 84 Senate campaigns had not been filed by close-of-business August 13, 1981.

The reports were due July 31, 1981. If sent certified or registered mail, they should have been postmarked by that date. Campaigns involved in last year's primary and general elections (or previous elections) should have disclosed financial activity for the first six months of 1981.

The Federal Election Campaign Act requires all authorized committees of candidates in U.S. House and Senate races to file reports on a semiannual basis in a non-election year. This includes committees with debts from past campaign years and newly registered campaign committees which are raising and spending money in 1981 for future races.

All committees are notified by the FEC of potential reporting requirements approximately one month in advance of each reporting date. Committees which fail to file timely reports are again notified after the due date that their reports have not been received. By law, only authorized committees of candidates are published.

Failure to file the required reports results in publication of committee names on a list of non-filers. Further FEC action against non-filers and late filers is decided on a case-by-case basis, depending on the amount of financial activity involved, the percent of vote received and/or the lateness of the report. The election law gives the Commission broad authority to initiate enforcement actions, including civil court enforcement and the imposition of civil penalties (\$5,000 for "any violation" and \$10,000 for any "knowing and willfull violation") for infractions of the law, including failure to file required disclosure reports.

-OVER-

83040373423

COMMITTEE TYPE DISTRICT (HOUSE)	COMMITTEE NAME	CANDIDATE	PARTY
MASSACHUSETTS			
SENATE	LAWSONE FIGHTING FOR U.S. SEN CHTE	LAWSONE, FREDERICK C	SEN
HOUSE			
03	SARA R. MARKS FOR CONG	MARKS, SARA R.	REP
04	CHTE TO RE-ELECT CONG ROBERT F. BRINMAN	BRINMAN, ROBERT F.	SEN
04	NORM WALKER FOR CONG CHTE	WALKER, NORMAN H	SEN
05	JAY GAFFNEY FOR CONG	GAFFNEY, JAMES J III	IND
05	MC LAUGHLIN FOR CONG CHTE	MC LAUGHLIN, MICHAEL E.	SEN
06	CHTE TO ELECT KENNETH S BELLEVUE	BELLEVUE, KENNETH S	SEN
06	BROWSON FOR CONG CHTE	BROWSON, MR. WILLIAM E.	REP
06	CHTE TO RE-ELECT CONG R. J. HARRINGTON	HARRINGTON, MICHAEL J.	SEN
06	CHTE TO ELECT JOHN W MCKEAN TO CONG	MCKEAN, JOHN W	SEN
07	CHTE TO ELECT ROBERT F. BOHMAN	BOHMAN, MR. ROBERT F.	SEN
08	BARNSTEAD FOR CONG	BARNSTEAD, WILLIAM A	REP
09	RE ELECT CONG JOE HAWLEY CHTE	HAWLEY, JOHN JOSEPH	REP
10	CHTE TO ELECT DAVID KELLEY	KELLEY, DAVID	SEN
10	CHTE TO ELECT JOHN J. MARINO TO CONG	MARINO, JOHN J.	SEN
11	JIM SHEETS FOR CONG CHTE	SHEETS, JAMES A.	SEN
RICHMOND			
SENATE	O'HARA FOR U.S. SEN CHTE	O'HARA, JAMES S.	SEN
HOUSE			
00	BRIAN HAMPTON FOR CONG	HAMPTON, BRIAN ARTHUR (AC2)	SEN
02	TROWBRIDGE FOR CONG	TROWBRIDGE, RONALD L	REP
06	COLLIN FOR CONG CHTE	COLLIN, MICHAEL H.	REP
07	CROWK FOR CONG	CROWK, SAUL H.	REP
08	BENTON FOR CONG CHTE	BENTON, EDWARD BRADY JR	AC9
11	CHTE TO ELECT THEODORE S. ALBERT TO CONG	ALBERT, THEODORE S.	SEN
11	CHTE TO ELECT HERBERT STEPHENS TO CONG	STEPHENS, HERBERT HURRAY	SEN
11	WATMAN FOR CONG CAMPAIGN CHTE	WATMAN, JOHN JR.	SEN
12	KIRK WALSH FOR CONG CHTE	WALSH, KIRK	REP
13	GOLSEN FOR CONG	GOLSEN, RICHARD A	REP
13	RICKMAN FOR CONG CHTE	RICKMAN, RAY	SEN
13	HENRY E. STALLING FOR U.S. CONG CHTE	STALLING, HENRY E JR	SEN
14	CAPUTO FOR CONG CHTE	CAPUTO, VICTOR D	REP
15	CAYSILL FOR CONG CHTE	CAYSILL, JAMES A	REP
MINNESOTA			
SENATE	CONNOLLY FOR U.S. SEN CHTE	CONNOLLY, JOHN STEVENS	DFL
	MONDALE VOLUNTEER CHTE	MONDALE, WALTER F.	SEN
	FOR SEN, BATTE'	BATTE', PHIL	DFL
	SHORT FOR U.S. SEN VOLUNTEER CHTE	SHORT, ROBERT EARL	DFL
HOUSE			
01	CARLSON FOR CONG CHTE 1974	CARLSON, LYNN WARREN	SEN
02	VOLUNTEER CHTE FOR CONSIDINE FOR CONG	CONSIDINE, JOHN F.	DFL
04	BERG FOR CONG VOLUNTEERS	BERG, JOHN R	REP
04	CONNOLLY FOR CONG	CONNOLLY, JOHN S	DFL
MISSISSIPPI			
SENATE	EVERS FOR U.S. SEN CHTE	EVERS, JAMES CHARLES	IND
	SEN. CLIFF FINCH FOR U.S. SEN	FINCH, CHARLES CLIFTON (CLIFF)	SEN
	CHTE TO ELECT R.C. "SONNY" TERFORD TO THE	TERFORD, RICHARD C. "SONNY"	SEN
HOUSE			
04	FRIENDS OF JAY BISHAROON	BISHAROON, JAMES S. (JAY)	SEN
04	MICHAEL T HERRIN CONG CAMPAIGN COM	HERRING, MICHAEL TEAGUE	SEN
04	KIRKSEY FOR CONG CHTE	KIRKSEY, HENRY J	SEN
04	MISSISSIPPIANS FOR BOB TUTTLE FOR CONG	TUTTLE, DOUGLAS A.	SEN
MISSOURI			
SENATE	SYNINGTON FOR SEN	SYNINGTON, JAMES G.	SEN
HOUSE			
01	CHTE TO ELECT BILL WHITE	WHITE, WILLIAM E	REP
02	FRANKE FOR CONG CHTE	FRANKE, WILLIAM E.	REP
05	WALTER FOR CONG CHTE	WALTER, STEVEN L.	REP
06	KING FOR CONG	KING, VERNON CORDELL	SEN
08	PAUL DIETRICH FOR CONG	DIETRICH, PAUL GEORGE	REP
08	BOB MEYER FOR CONG	MEYER, DONALD BRUCE	REP
09	TURNER FOR CONG CHTE	TURNER, JOHN WAYNE	REP
09	MELCH FOR CONG	MELCH, JERRY F.	SEN

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FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

May 19, 1981

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Barbara K. Stephens
Committee to Elect
Herbert Stephens
2205 Wilkenson
Marquette, MI 49855

Re: MUR 1382

NOV 16 P 2:03

Dear Ms. Stephens:

On May 15, 1981, the Federal Election Commission determined that there is reason to believe that your committee violated section 2 U.S.C. 434 of the Federal Election Campaign Act of 1971, as amended (the "Act") by failure to file timely reports and failure to file adequate reports. The General Counsel's factual and legal analysis, which formed a basis for the Commission's finding, is attached for your information.

Under the Act, you have an opportunity to demonstrate that no action should be taken against you. Please submit any factual or legal materials which you believe are relevant to the Commission's consideration of this matter.

In the absence of any additional information which demonstrates that no further action should be taken against your committee, the Commission may find probable cause to believe that a violation has occurred and proceed with formal conciliation. Of course, this does not preclude the settlement of this matter through informal conciliation prior to a finding of probable cause to believe if you so desire.

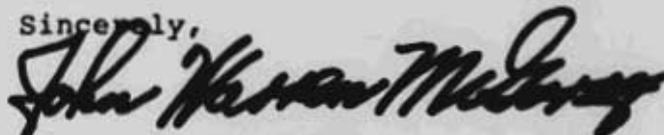
The investigation now being conducted will be confidential in accordance with 2 U.S.C. § 437g(a)(4)(B) and § 437g(a)(12)(A), unless you notify the Commission in writing that you wish the investigation to be made public.

33040573425

Barbara K. Stephens
Page Two

For your information, we have attached a brief description of the Commission's procedures for handling possible violations of the Act. If you have any questions, please contact Deborah Curry, the attorney assigned to this matter, at (202)523-4060.

Sincerely,



John Warren McGarry
Chairman

Enclosures

General Counsel's Factual and Legal Analysis
Procedures

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FEDERAL ELECTION COMMISSION

GENERAL COUNSEL'S FACTUAL AND LEGAL ANALYSIS

DATE: May 19, 1981

MUR 1382

RESPONDENT: Committee to Elect
Herbert Stephens

STAFF MEMBER & TEL. NO.
Deborah Curry
(202)523-4060

SOURCE OF MUR: I N T E R N A L L Y G E N E R A T E D

SUMMARY OF ALLEGATIONS

The Stephens Committee has failed to file reports of receipts and expenditures with the Commission in violation of 2 U.S.C. § 434(a). The Stephens Committee has also failed to adequately disclose financial information on its July 12, 1980 report in violation of 2 U.S.C. § 434(b).

FACTUAL BASIS AND LEGAL ANALYSIS

During 1979, the Commission found reason to believe and reasonable cause to believe that the Stephens Committee violated 2 U.S.C. § 434 for its failure to file April 10, July 10, and October 10 Quarterly reports of receipts and expenditures.^{1/} Mailgrams notifying the Committee of the Commission's findings were sent on the following dates:

<u>Report</u>	<u>RTB Notice</u>	<u>RCTB Notice</u>
1979 April 10 Quarterly	04/24/79	05/11/79
1979 July 10 Quarterly	07/25/79	05/15/79
1979 October 10 Quarterly	10/24/79	11/14/79

During 1980 and 1981 the Commission notified the Stephens Committee by mailgram that it had failed to file a 1979 Year End Report, a 12 Day Pre-Primary Report, an October 15 Quarterly

^{1/} In NF 224 the Commission determined to take no further action at that time with regard to the failure of the Stephens Committee to file its 1978 July 10 and October 10 Quarterly reports.

8 3 0 4 0 3 7 3 4 2 7

Report and a 1980 Year End Report.^{2/} Mailgrams were sent on the following dates:

<u>Report</u>	<u>Notice</u>
1979 Year End	03/14/80
12 Day Pre-Primary due July 24, 1980	05/25/80
October 15 Quarterly	10/21/80
1980 Year End	02/05/81

A Report of Receipts and Expenditures was filed by the Stephens Committee on July 12, 1980. That report covered the financial activity of the Committee from September 6, 1978 through July 10, 1980. After review of the report the Reports Analysis Division sent a request for additional information (RFAI) to the Committee on August 27, 1980. The RFAI was re-sent on October 6, 1980 because of an incorrect address. A second RFAI was sent by the Reports Analysis Division on October 24, 1980.

A review of the July 12, 1980 report indicates the following:

1. There are no figures entered on all the lines of Column B of the Summary Page and Detailed Summary Page.
2. Line 17 of the Detailed Summary Page showed \$18,304 in loans received by the Committee. However, there is no Schedule A to reflect this activity.
3. Schedule C disclosed several loans made to the Committee. However, they were not properly itemized to show the full name and mailing address of the endorser of the loans.
4. Schedule C discloses a loan to the Committee of \$16,833 by Concept Associates. However, the nature of the obligation is omitted.

2 U.S.C. § 434(a) requires that committees file reports of receipts and expenditures with the Commission. Except for the report filed on July 12, 1980, by the Stephens Committee, it has failed to file such reports. Therefore, it is recommended

^{2/} The Commission did not notify the Stephens Committee of its failure to file a 1980 April 10 Quarterly Report as the Commission's records did not show that the Committee had financial activity in excess of \$5,000 (See RAD Referral).

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DESCRIPTION OF PRELIMINARY PROCEDURES
FOR PROCESSING POSSIBLE VIOLATIONS DISCOVERED BY THE
FEDERAL ELECTION COMMISSION

Possible violations discovered during the normal course of the Commission's supervisory responsibilities shall be referred to the Enforcement Division of the Office of General Counsel where they are assigned a MUR (Matter Under Review) number, and assigned to a staff member.

Following review of the information which generated the MUR, a recommendation on how to proceed on the matter, which shall include preliminary legal and factual analysis, and any information compiled from materials available to the Commission shall be submitted to the Commission. This initial report shall recommend either: (a) that the Commission find reason to believe that a possible violation of the Federal Election Campaign Act (FECA) may have occurred or is about to occur and that the Commission conduct an investigation of the matter; or (b) that the Commission find no reason to believe that a possible violation of the FECA has occurred and that the Commission close the file on the matter.

Thereafter, if the Commission decides by an affirmative vote of four (4) Commissioners that there is reason to believe that a violation of the Federal Election Campaign Act (FECA) has been committed or is about to be committed, the Office of the General Counsel shall open an investigation into the matter. Upon notification of the Commission's finding(s), within 15 days a respondent(s) may submit any factual or legal materials relevant to the allegations. During the investigation, the Commission shall have the power to subpoena documents, to subpoena individuals to appear for depositions, and to order answers to interrogatories. The respondent(s) may be contacted more than once by the Commission in its investigation.

8 3 0 4 0 3 7 3 4 2 9

that the Commission find reason to believe that the Stephens Committee has violated 2 U.S.C. § 434(a).

2 U.S.C. § 434(b) and 11 C.F.R. § 104.3 require that reports filed with the Commission disclose the total amount of receipts and expenditures, along with information regarding loans. The July 12, 1980 report filed by the Stephens Committee does not contain this information. Therefore, the General Counsel recommends that the Commission find reason to believe that the Committee to Elect Herbert Stephens violated 2 U.S.C. §§ 434(a) and (b).

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If, during this period of investigation, the respondent(s) indicate a desire to enter into conciliation, the Office of General Counsel staff may begin the conciliation process prior to a finding of probable cause to believe a violation has been committed. Conciliation is an informal method of conference and persuasion to endeavor to correct or prevent a violation of the Federal Election Campaign Act (FECA). Most often, the result of conciliation is an agreement signed by the Commission and the respondent(s). The Conciliation Agreement must be adopted by four votes of the Commission before it becomes final. After signature by the Commission and the respondent(s), the Commission shall make public the Conciliation Agreement.

[If the investigation warrants], and no conciliation agreement is entered into prior to a probable cause to believe finding, the General Counsel must notify the respondent(s) of his intent to proceed to a vote on probable cause to believe that a violation of the Federal Election Campaign Act (FECA) has been committed or is about to be committed. Included with the notification to the respondent(s) shall be a brief setting forth the position of the General Counsel on the legal and factual issues of the case. Within 15 days of receipt of such brief, the respondent(s) may submit a brief posing the position of respondent(s) and replying to the brief of the General Counsel. Both briefs will then be filed with the Commission Secretary and will be considered by the Commission. Thereafter, if the Commission determines by an affirmative vote of four (4) Commissioners, that there is probable cause to believe that a violation of the FECA has been committed or is about to be committed conciliation must be undertaken for a period of at least 30 days but not more than 90 days. If the Commission is unable to correct or prevent any violation of the FECA through conciliation the Office of General Counsel may recommend that the Commission file a civil suit against the respondent(s) to enforce the Federal Election Campaign Act (FECA). Thereafter, the Commission may, upon an affirmative vote of four (4) Commissioners, institute civil action for relief in the District Court of the United States.

See 2 U.S.C. § 437g, 11 C.F.R. Part 111.

November 1980

83040373431

FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

POSTAGE AND FEES PAID



OFFICE OF THE
GENERAL COUNSEL

NOV 16 P 2: 03

Ms. Barbara Stephens

Business
For Private Use \$300

83040373

Services Company, Inc.

N. E.,
C. 20012



FEDERAL ELECTION COMMISSION

1325 K. Street, N.W.

Washington, D.C. 20461

Mr. Charles N. Steele,
General Counsel



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

September 11, 1981

James Smith
Accurate Legal Services
306 H Street, N.E.
Washington, D.C. 20002

Dear Sir:

Pursuant to our conversation on Wednesday, September 2, 1981, I am writing to request your assistance in perfecting service of the enclosed envelope upon Barbara Stephens, Treasurer of the Committee to Elect Herbert Stephens to Congress.

Attempts to serve Barbara Stephens by other means have proved unsuccessful and we believe it likely that she may try to avoid service by your office. We therefore request you to effect personal service upon her. We request that no more than a total of two hundred dollars (\$200) be spent on this enterprise.

The following information is provided to assist you in your efforts.

1. The name of Barbara Stephens husband is Herbert Stephens.
2. Last known address: 1440 Briarcliff Road, Flint, Michigan 48504.
3. Stephen Canello or Tony Andary, of the Thomas Moher Law Firm (906)632-3397, prosecuted Barbara Stephens and served her with papers unrelated to this case recently.
4. According to Tony Andary, Barbara Stephens was served where she worked at a Real Estate Office in Saginaw (name unknown).
5. Mr. Lee Edwards, (906)632-9411 Assistant Police Chief, a friend of Flint Sheriff's office may have information on the present whereabouts of Barbara Stephens. This name was given by Tony Andary.

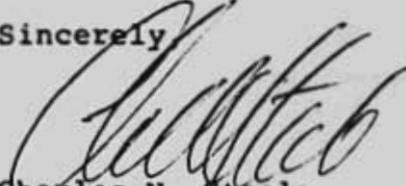
33040373434

James Smith
Page Two

6. Past motels used by Barbara Stephens are Farm Motel and Herb Stephens Inn (Pontiac or Lansing).

Thank you in advance for your assistance in this matter. If you have any questions please contact Deborah Curry, the attorney assigned to this matter, at (202)523-4529.

Sincerely



Charles N. Steele
General Counsel

Enclosure
Envelope

83040373435



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

May 19, 1981

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Barbara K. Stephens
Committee to Elect
Herbert Stephens
2205 Wilkenson
Marquette, MI 49855

Re: MUR 1382

Dear Ms. Stephens:

On May 15, 1981, the Federal Election Commission determined that there is reason to believe that your committee violated section 2 U.S.C. 434 of the Federal Election Campaign Act of 1971, as amended (the "Act") by failure to file timely reports and failure to file adequate reports. The General Counsel's factual and legal analysis, which formed a basis for the Commission's finding, is attached for your information.

Under the Act, you have an opportunity to demonstrate that no action should be taken against you. Please submit any factual or legal materials which you believe are relevant to the Commission's consideration of this matter.

In the absence of any additional information which demonstrates that no further action should be taken against your committee, the Commission may find probable cause to believe that a violation has occurred and proceed with formal conciliation. Of course, this does not preclude the settlement of this matter through informal conciliation prior to a finding of probable cause to believe if you so desire.

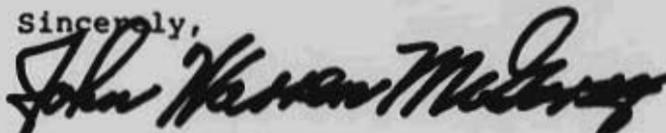
The investigation now being conducted will be confidential in accordance with 2 U.S.C. § 437g(a)(4)(B) and § 437g(a)(12)(A), unless you notify the Commission in writing that you wish the investigation to be made public.

83040373436

Barbara K. Stephens
Page Two

For your information, we have attached a brief description of the Commission's procedures for handling possible violations of the Act. If you have any questions, please contact Deborah Curry, the attorney assigned to this matter, at (202)523-4060.

Sincerely,



John Warren McGarry
Chairman

Enclosures

General Counsel's Factual and Legal Analysis
Procedures

83040573437

FEDERAL ELECTION COMMISSION

GENERAL COUNSEL'S FACTUAL AND LEGAL ANALYSIS

DATE: May 19, 1981

MUR 1382

RESPONDENT: Committee to Elect
Herbert Stephens

STAFF MEMBER & TEL. NO.
Deborah Curry
(202)523-4060

SOURCE OF MUR: INTERNALLY GENERATED

SUMMARY OF ALLEGATIONS

The Stephens Committee has failed to file reports of receipts and expenditures with the Commission in violation of 2 U.S.C. § 434(a). The Stephens Committee has also failed to adequately disclose financial information on its July 12, 1980 report in violation of 2 U.S.C. § 434(b).

FACTUAL BASIS AND LEGAL ANALYSIS

During 1979, the Commission found reason to believe and reasonable cause to believe that the Stephens Committee violated 2 U.S.C. § 434 for its failure to file April 10, July 10, and October 10 Quarterly reports of receipts and expenditures.^{1/} Mailgrams notifying the Committee of the Commission's findings were sent on the following dates:

<u>Report</u>	<u>RTB Notice</u>	<u>RCTB Notice</u>
1979 April 10 Quarterly	04/24/79	05/11/79
1979 July 10 Quarterly	07/25/79	05/15/79
1979 October 10 Quarterly	10/24/79	11/14/79

During 1980 and 1981 the Commission notified the Stephens Committee by mailgram that it had failed to file a 1979 Year End Report, a 12 Day Pre-Primary Report, an October 15 Quarterly

^{1/} In NF 224 the Commission determined to take no further action at that time with regard to the failure of the Stephens Committee to file its 1978 July 10 and October 10 Quarterly reports.

83040373438

Report and a 1980 Year End Report.^{2/} Mailgrams were sent on the following dates:

<u>Report</u>	<u>Notice</u>
1979 Year End	03/14/80
12 Day Pre-Primary due July 24, 1980	05/25/80
October 15 Quarterly	10/21/80
1980 Year End	02/05/81

A Report of Receipts and Expenditures was filed by the Stephens Committee on July 12, 1980. That report covered the financial activity of the Committee from September 6, 1978 through July 10, 1980. After review of the report the Reports Analysis Division sent a request for additional information (RFAI) to the Committee on August 27, 1980. The RFAI was re-sent on October 6, 1980 because of an incorrect address. A second RFAI was sent by the Reports Analysis Division on October 24, 1980.

A review of the July 12, 1980 report indicates the following:

1. There are no figures entered on all the lines of Column B of the Summary Page and Detailed Summary Page.
2. Line 17 of the Detailed Summary Page showed \$18,304 in loans received by the Committee. However, there is no Schedule A to reflect this activity.
3. Schedule C disclosed several loans made to the Committee. However, they were not properly itemized to show the full name and mailing address of the endorser of the loans.
4. Schedule C discloses a loan to the Committee of \$16,833 by Concept Associates. However, the nature of the obligation is omitted.

2 U.S.C. § 434(a) requires that committees file reports of receipts and expenditures with the Commission. Except for the report filed on July 12, 1980, by the Stephens Committee, it has failed to file such reports. Therefore, it is recommended

^{2/} The Commission did not notify the Stephens Committee of its failure to file a 1980 April 10 Quarterly Report as the Commission's records did not show that the Committee had financial activity in excess of \$5,000 (See RAD Referral).

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that the Commission find reason to believe that the Stephens Committee has violated 2 U.S.C. § 434(a).

2 U.S.C. § 434(b) and 11 C.F.R. § 104.3 require that reports filed with the Commission disclose the total amount of receipts and expenditures, along with information regarding loans. The July 12, 1980 report filed by the Stephens Committee does not contain this information. Therefore, the General Counsel recommends that the Commission find reason to believe that the Committee to Elect Herbert Stephens violated 2 U.S.C. §§ 434(a) and (b).

83040373410

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
)
Committee to Elect Herbert)
Stephens to Congress)

MUR 1382

CERTIFICATION

I, Marjorie W. Emons, Recording Secretary for the Federal Election Commission's Executive Session on July 14, 1981, do hereby certify that the Commission decided by a vote of 6-0 to authorize the Office of General Counsel to act as follows in MUR 1382:

1. Contact the vendor named in this matter in order to ascertain the current address of Barbara Stephens and Herbert Stephens in order to serve them with the Commission's reason to believe letters and the General Counsel's factual and legal analysis.
2. Hire a special process server to locate and serve Barbara Stevens and Herbert Stephens with the Commission's reason to believe letters and the General Counsel's factual and legal analysis if this cannot be accomplished as described in action number 1 above.

Attest:

7-16-81

Date

Marjorie W. Emons

Marjorie W. Emons
Secretary of the Commission

83040373441



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

MEMORANDUM TO: CHARLES STEELE *MWE*
FROM: MARJORIE W. EMMONS/JODY CUSTER *ja*
OFFICE OF THE SECRETARY TO THE COMMISSION
DATE: JULY 2, 1981
SUBJECT: ADDITIONAL OBJECTION - MUR 1382 General Counsel's
Report, dated 6-25-81; Received in OCS, 6-29-81,
3:20

You were notified previously of an objection by
Commissioner Tiernan.

Commissioner Aikens submitted an additional objection
at 11:05, July 2, 1981.

This matter will be discussed in executive session
on Tuesday, July 14, 1981.

83040373442



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

MEMORANDUM TO: CHARLES STEELE
FROM: MARJORIE W. EMMONS./JODY CUSTER *jc*
DATE: JULY 1, 1981
SUBJECT: OBJECTION - MUR 1382 General Counsel's Report
dated June 25, 1981; Received in OCS, 6-29-81,
3:20

The above-named document was circulated on a 48
hour vote basis at 11:00, June 30, 1981.

Commissioner Tiernan submitted an objection at 5:30,
June 30, 1981.

This matter will be placed on the Executive Session
Agenda for Tuesday, July 14, 1981.

33040373443

June 29, 1981

MEMORANDUM TO: Marjorie W. Emmons
FROM: Phyllis A. Kayson
SUBJECT: MUR 1382

Please have the attached General Counsel's Report distributed to the Commission on a 48 hour tally basis. Thank you.

Attachment

cc: Curry

8304057344

SENSITIVE

BEFORE THE FEDERAL ELECTION COMMISSION

June 25, 1981

81 JUN 29 P 3: 20

RECEIVED
OFFICE OF THE
CLERK OF THE
COMMISSION

①

In the Matter of)
)
Committee to Elect Herbert)
Stephens to Congress)

MUR 1382

GENERAL COUNSEL'S REPORT

I. Background/Previous Commission Action

After review by the Reports Analysis Division, this matter was referred to the Office of General Counsel because of the failure of the Committee to Elect Herbert Stephens to Congress (hereinafter "Stephens Committee"): 1) to file reports of receipts and expenditures in violation of 2 U.S.C. § 434(a) and 2) to adequately disclose financial information on its July 12, 1980, report in violation of 2 U.S.C. § 434(b).

On May 15, 1981, the Commission found reason to believe that the Committee to Elect Herbert Stephens to Congress violated 2 U.S.C. §§ 434(a) and 434(b). The Commission approved and authorized the sending of letters with a summary of the General Counsel's Factual and Legal Analysis. On May 19, 1981, the above mentioned materials were sent to the treasurer of the Stephens Committee, Barbara Stephens, and to the candidate Herbert Stephens.

On May 26, 1981 both letters were returned unopened to the Office of General Counsel. The postal markings indicate that they were returned as both addressees had moved leaving no forwarding address. On June 4, 1981, a staff member of the Office

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of General Counsel called information (telephone) for Marquette, Michigan to see if a new telephone listing could be obtained. Information (telephone) stated that there were no telephone listing for Barbara or Herbert Stephens in Marquette, Michigan. The telephone operator also stated that they did not have an unlisted telephone number.

In view of the fact that there is \$100,016 of improperly itemized loans, further attempts should be made to locate the respondents. Therefore, the Office of General Counsel recommends that a special process server be hired in an attempt to locate and serve respondents with the Commission's reason to believe letters and the General Counsel's factual and legal analysis.

II. Recommendation

The Office of General Counsel recommends that the Commission hire a special process server to locate and serve Barbara Stephens and Herbert Stephens with the Commission's reason to believe letters and the General Counsel's factual and legal analysis.

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29 June 1981
Date



Charles N. Steele
General Counsel

9/1/81

Memo

To: File - NUR 1382

FROM: Debby Curry

Do not resend

letters

9-10-81

letter to Barbara Stephens
will be resent via a
special process server

83040373447

To: Docket
From: J. Curry

Re: MUR 1382

Resend RTB notification
by regular mail to

Barbara Stephens
1440 Briarcliff Road
Flint, Michigan 48504

resent 1/5/82

83040573418

ELECTION COMMISSION
WASHINGTON, D.C. 20463

Official Business

Penalty for Private Use \$300

POSTAGE AND FEES PAID



Herbert Stephens
Committee to Elect
Herbert Stephens
2205 Wilkerson
Marquette, MI



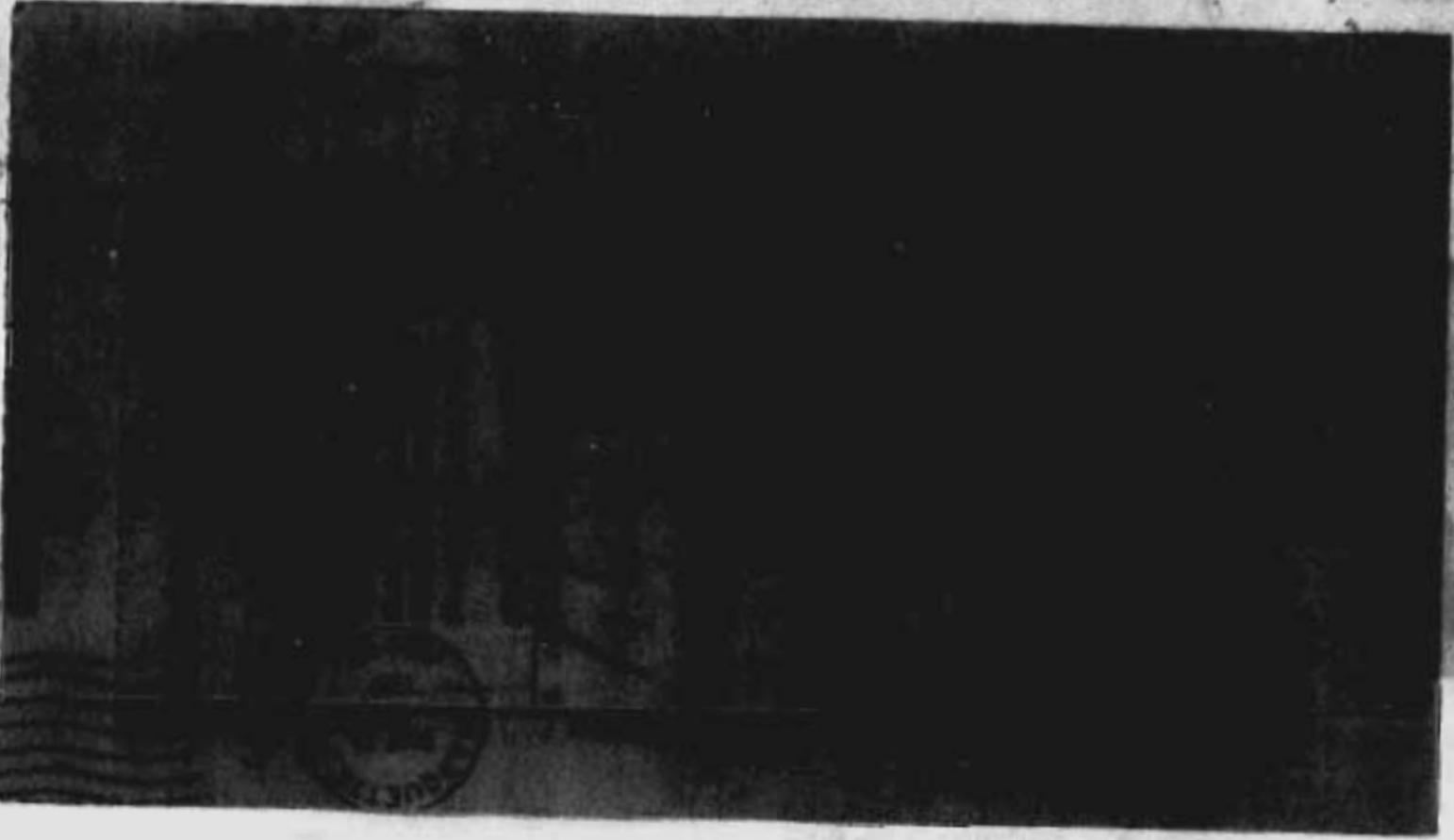
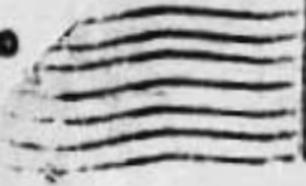
DELIVERY ATTEMPTED
DATE _____

MOVED-LEFT NO ADDRESS

CERTIFIED

438219

830/40373450



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

Official Business
Penalty for Private Use \$300

833040373451

POSTAGE AND FEES PAID



RETURNED
TO
SENDER
MOVED-LEFT NO ADDRESS
49855

Barbara K. Stephens
Committee to Elect
Herbert Stephens
2205 Wilkenson
Marquette, MI

DELIVERY ATTEMPTED

DATE _____

MOVED-LEFT NO ADDRESS
49855

RETURNED
TO
SENDER
MOVED-LEFT NO ADDRESS
49855

CERTIFIED

438218

6304037432

ARQUETTE
MAY 22 1964



572

1. The following section is required (check one)

- Return to sender and date addressed.....
- Return to sender, date and address of delivery.....
- RESTRICTED DELIVERY
- Return to whom and date addressed.....
- RESTRICTED DELIVERY
- Return to whom, date, and address of delivery &.....

POSTMASTER FOR FEES

2. ADDRESS ADDRESSEE TO

Bankmark Supply

3. ARTIST'S RETURN TO

ADDRESS NO. RETURN NO.

810034

4. ADDRESS RETURN TO

ADDRESS NO. RETURN NO.





FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

May 19, 1981

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Barbara K. Stephens
Committee to Elect
Herbert Stephens
2205 Wilkenson
Marquette, MI 49855

Re: MUR 1382

Dear Ms. Stephens:

On May 15, 1981, the Federal Election Commission determined that there is reason to believe that your committee violated section 2 U.S.C. 434 of the Federal Election Campaign Act of 1971, as amended (the "Act") by failure to file timely reports and failure to file adequate reports. The General Counsel's factual and legal analysis, which formed a basis for the Commission's finding, is attached for your information.

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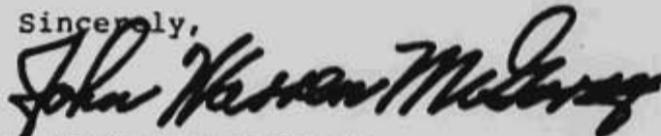
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8 3 0 4 0 3 7 3 4 5 5

Barbara K. Stephens
Page Two

For your information, we have attached a brief description of the Commission's procedures for handling possible violations of the Act. If you have any questions, please contact Deborah Curry, the attorney assigned to this matter, at (202)523-4060.

Sincerely,



John Warren McGarry
Chairman

Enclosures

General Counsel's Factual and Legal Analysis
Procedures

83040373454

FEDERAL ELECTION COMMISSION

GENERAL COUNSEL'S FACTUAL AND LEGAL ANALYSIS

DATE: May 19, 1981

MUR 1382

RESPONDENT: Committee to Elect
Herbert Stephens

STAFF MEMBER & TEL. NO.
Deborah Curry
(202)523-4060

SOURCE OF MUR: I N T E R N A L L Y G E N E R A T E D

SUMMARY OF ALLEGATIONS

The Stephens Committee has failed to file reports of receipts and expenditures with the Commission in violation of 2 U.S.C. § 434(a). The Stephens Committee has also failed to adequately disclose financial information on its July 12, 1980 report in violation of 2 U.S.C. § 434(b).

FACTUAL BASIS AND LEGAL ANALYSIS

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^{1/} In NF 224 the Commission determined to take no further action at that time with regard to the failure of the Stephens Committee to file its 1978 July 10 and October 10 Quarterly reports.

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Report and a 1980 Year End Report.^{2/} Mailgrams were sent on the following dates:

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2 U.S.C. § 434(a) requires that committees file reports of receipts and expenditures with the Commission. Except for the report filed on July 12, 1980, by the Stephens Committee, it has failed to file such reports. Therefore, it is recommended

^{2/} The Commission did not notify the Stephens Committee of its failure to file a 1980 April 10 Quarterly Report as the Commission's records did not show that the Committee had financial activity in excess of \$5,000 (See RAD Referral).

83040373456

that the Commission find reason to believe that the Stephens Committee has violated 2 U.S.C. § 434(a).

2 U.S.C. § 434(b) and 11 C.F.R. § 104.3 require that reports filed with the Commission disclose the total amount of receipts and expenditures, along with information regarding loans. The July 12, 1980 report filed by the Stephens Committee does not contain this information. Therefore, the General Counsel recommends that the Commission find reason to believe that the Committee to Elect Herbert Stephens violated 2 U.S.C. §§ 434(a) and (b).

83040373457



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

May 19, 1981

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Herbert Stephens
Committee to Elect
Herbert Stephens
2205 Wilkenson
Marquette, MI 49855

Re: MUR 1382

Dear Mr. Stephens:

This is to advise you that on May 15, 1981, the Federal Election Commission found reason to believe that your committee, the Committee to Elect Herbert Stephens has violated 2 U.S.C. § 434, a provision of the FECA of 1971, as amended by failure to file timely reports and failure to file adequate reports.

While the Committee Treasurer is responsible for the filing of reports we believe that you, as the candidate, should be made aware of this development. A copy of our letter to your Committee Treasurer is enclosed.

Under 2 U.S.C. § 437g(a)(4)(B) and § 437g(a)(12)(A) this matter will remain confidential unless the Committee notifies the Commission in writing that it wishes the investigation to be made public.

If you have any questions, please contact Deborah Curry, the attorney assigned to this matter at (202)523-4060. We have numbered this matter MUR 1382.

Sincerely,

Charles N. Steele
General Counsel

Enclosure
Letter to Committee Treasurer

8 3 0 4 0 3 7 3 4 5 8

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Herbert Stephens
Committee to Elect
Herbert Stephens
2205 Wilkenson
Marquette, MI 49855

Re: MUR 1382

D.C.

Dear Mr. Stephens:

This is to advise you that on May 15, 1981, the Federal Election Commission found reason to believe that your committee, the Committee to Elect Herbert Stephens has violated 2 U.S.C. § 434, a provision of the FECA of 1971, as amended by failure to file timely reports and failure to file adequate reports.

While the Committee Treasurer is responsible for the filing of reports we believe that you, as the candidate, should be made aware of this development. A copy of our letter to your Committee Treasurer is enclosed.

Under 2 U.S.C. § 437g(a)(4)(F) and § 437g(a)(12)(A) this matter will remain confidential unless the Committee notifies the Commission in writing that it wishes the investigation to be made public.

If you have any questions, please contact Deborah Curry, the attorney assigned to this matter at (202)523-4060. We have numbered this matter MUR 1382.

Sincerely,

Charles N. Steele
General Counsel

Enclosure
Letter to Committee Treasurer

63040573459

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
)
Committee to Elect Herbert Stephens) MUR 1382

CERTIFICATION

I, Marjorie W. Emmons, Secretary of the Federal Election Commission, do hereby certify that on May 15, 1981 the Commission decided by a vote of 5-0 to take the following actions regarding MUR 1382:

1. Find REASON TO BELIEVE that the Committee to Elect Herbert Stephens violated 2 U.S.C. § 434(a).
2. Find REASON TO BELIEVE that the Committee to Elect Herbert Stephens violated 2 U.S.C. § 434(b).
3. Approve and authorize the sending of the letters with a summary of the General Counsel's Factual and Legal Analysis, attachments to the First General Counsel's Report dated May 12, 1981, to the Committee to Elect Herbert Stephens.

Commissioners Aikens, Harris, Reiche, Thomson and Tiernan voted affirmatively in this matter; Commissioner McGarry did not cast a vote.

Attest:

5/15/81
Date

Marjorie W. Emmons
Marjorie W. Emmons
Secretary of the Commission

Received in Office of the Commission Secretary: 5-12-81, 4:59
Circulated on 48 hour vote basis: 5-13-81, 11:00

83040373460

May 12, 1981

MEMORANDUM TO: Marjorie W. Emmons

FROM: Elissa T. Garr

SUBJECT: MUR 1382

Please have the attached First GC Report distributed to the Commission on a 48 hour tally basis. Thank you.

33040573451

①

RECEIVED
OFFICE OF THE
COMMISSIONER SECRETARY

FEDERAL ELECTION COMMISSION
1325 K Street, N.W.
Washington, D.C. 20463

81 MAY 12 P 4: 59

FIRST GENERAL COUNSEL'S REPORT

SENSITIVE

DATE AND TIME OF TRANSMITTAL
BY OGC TO THE COMMISSION 5-12-81

MUR 1382
DATE COMPLAINT RECEIVED
BY OGC:
STAFF MEMBER:
Deborah Curry

SOURCE OF MUR: I N T E R N A L L Y G E N E R A T E D

RESPONDENT'S NAME: Committee to Elect Herbert Stephens

RELEVANT STATUTE: 2 U.S.C. § 434

INTERNAL REPORTS CHECKED: None

FEDERAL AGENCIES CHECKED: None

GENERATION OF MATTER

This matter was generated by the Reports Analysis Division after a failure of the Committee to Elect Herbert Stephens (hereinafter "Stephens Committee"), to file reports of receipts and expenditures with the Commission and after a review of a July 12, 1980 report filed by the Stephens Committee. The matter was referred to the Office of General Counsel on December 16, 1980 and updated by a referral of March 31, 1981.

SUMMARY OF ALLEGATIONS

The Stephens Committee has failed to file reports of receipts and expenditures with the Commission in violation of 2 U.S.C. § 434(a). The Stephens Committee has also failed to adequately disclose financial information on its July 12, 1980 report in violation of 2 U.S.C. § 434(b).

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2

FACTUAL AND LEGAL ANALYSIS

During 1979, the Commission found reason to believe and reasonable cause to believe that the Stephens Committee violated 2 U.S.C. § 434 for its failure to file April 10, July 10, and October 10 Quarterly reports of receipts and expenditures.^{1/} Mailgrams notifying the Committee of the Commission's findings were sent on the following dates:

<u>Report</u>	<u>RTB Notice</u>	<u>RCTB Notice</u>
1979 April 10 Quarterly	04/24/79	05/11/79
1979 July 10 Quarterly	07/25/79	05/15/79
1979 October 10 Quarterly	10/24/79	11/14/79

During 1980 and 1981 the Commission notified the Stephens Committee by mailgram that it had failed to file a 1979 Year End Report, a 12 Day Pre-Primary Report, an October 15 Quarterly Report and a 1980 Year End Report.^{2/} Mailgrams were sent on the following dates:

<u>Report</u>	<u>Notice</u>
1979 Year End	03/14/80
12 Day Pre-Primary due July 24, 1980	05/25/80
October 15 Quarterly	10/21/80
1980 Year End	02/05/81

A Report of Receipts and Expenditures was filed by the Stephens Committee on July 12, 1980. That report covered the financial activity of the Committee from September 6, 1978 through July 10, 1980. After review of the report the Reports Analysis Division

^{1/} In NF 224 the Commission determined to take no further action at that time with regard to the failure of the Stephens Committee to file its 1978 July 10 and October 10 Quarterly reports.

^{2/} The Commission did not notify the Stephens Committee of its failure to file a 1980 April 10 Quarterly Report as the Commission's records did not show that the Committee had financial activity in excess of \$5,000 (See RAD Referral).

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sent a request for additional information (RAFI) to the Committee on August 27, 1980. The RAFI was re-sent on October 6, 1980 because of an incorrect address. A second RAFI was sent by the Reports Analysis Division on October 24, 1980.

A review of the July 12, 1980 report indicates the following:

1. There are no figures entered on all the lines of Column B of the Summary Page and Detailed Summary Page.

2. Line 17 of the Detailed Summary Page showed \$18,304 in loans received by the Committee. However, there is no Schedule A to reflect this activity.

3. Schedule C disclosed several loans made to the Committee. However, they were not properly itemized to show the full name and mailing address of the endorser of the loans.

4. Schedule C discloses a loan to the Committee of \$16,833 by Concept Associates. However, the nature of the obligation is omitted.

2 U.S.C. § 434(a) requires that committees file reports of receipts and expenditures with the Commission. Except for the report filed on July 12, 1980, by the Stephens Committee, it has failed to file such reports. Therefore, it is recommended that the Commission find reason to believe that the Stephens Committee has violated 2 U.S.C. § 434(a).

2 U.S.C. § 434(b) and 11 C.F.R. § 104.3 require that reports filed with the Commission disclose the total amount of receipts and expenditures, along with information regarding loans. The July 12, 1980 report filed by the Stephens Committee does not contain this information.

RECOMMENDATIONS

1. Find reason to believe that the Committee to Elect Herbert Stephens violated 2 U.S.C. § 434(a).

2. Find reason to believe that the Committee to Elect Herbert Stephens violated 2 U.S.C. § 434(b).

3. Approve and authorize the sending of the attached letters with a summary of the General Counsel's Factual and Legal Analysis to the Committee to Elect Herbert Stephens.

Attachments

- 1. RAD Referral Materials
- 2. Proposed Letters (2)
- 3. General Counsel's Factual and Legal Analysis (1)

3 3 0 4 0 3 7 3 4 5 6

REPORTS ANALYSIS REFERRAL UPDATE

*ORIGIN: RAD

Attachment 1

DATE MARCH 31, 1981

ANALYST TRACY KEEFER

TO: OFFICE OF GENERAL COUNSEL
ATTENTION: JUDY THEDFORD

TEAM CHIEF PETER KELL

THROUGH: STAFF DIRECTOR [Signature]

COMPLIANCE REVIEW JIM ALLEN

FROM: ASSISTANT STAFF DIRECTOR FOR REPORTS ANALYSIS [Signature for J.G.]

MUR No. 1332

DATE OF ORIGINAL REFERRAL 12/16/80

COMMITTEE TO ELECT HERBERT STEPHENS TO CONGRESS

**PURPOSE: NON-FILER INFORMATION:

ATTACHMENT(S)

2/05/81 MAILGRAM SENT FOR FAILURE TO FILE THE JANUARY 31 YEAR END REPORT 3
2/19/81 PUBLISHED FOR FAILURE TO FILE THE JANUARY 31 YEAR END REPORT 4

OUTSTANDING DEBTS AS OF 7/10/80: 2

CANDIDATE LOANS: \$100,016
VENDOR DEBTS: 16,833

OUTCOME: (if applicable)

ALLEGATION(S):
FAILURE TO FILE THE
1980 JANUARY 31 YEAR
END REPORT

CITE: 2 U.S.C. 434(a) 1

8 3 0 4 0 3 7 3 4 6 5

*Commission unit which initiated original Referral (e.g. AUDIT/RAD/OGC).
**INFORMATION, or RESULTS OF RAD ACTION, as appropriate.

3

B X 0 4 0 3 7 3 A 5 5

ATTACHMENT: 1

FEDERAL ELECTION COMMISSION

1979-1980

DATE 25MAR81

CANDIDATE INDEX OF SUPPORTING DOCUMENTS -- (E)

PAGE 3

CANDIDATE/COMMITTEE/DOCUMENT	OFFICE SOUGHT/	PARTY	RECEIPTS		EXPENDITURES		COVERAGE DATES	# OF PAGES	MICROFILM LOCATION
			PRIMARY	GENERAL	PRIMARY	GENERAL			
STEPHENS, HERBERT MURRAY	HOUSE 11	DEMOCRATIC PARTY					1980 ELECTION	104	HOMI11056
1. STATEMENT OF CANDIDATE									
2. CANDIDATE REPORTS OF RECEIPTS & EXPENDITURES									
3. PRINCIPAL CAMPAIGN COMMITTEE									
COMMITTEE TO ELECT HERBERT STEPHENS TO CONGRESS									
1980 APRIL QUARTERLY			18,304		19,396		IDA 000004012 HOUSE		
REQUEST FOR ADDITIONAL INFORMATION							ASEP70 -10.JUL.00	5	HOHSE/177/1613
REQUEST FOR ADDITIONAL INFORMATION							SEP70 -10.JUL.00	2	HOFECC/164/2841
REQUEST FOR ADDITIONAL INFORMATION 2ND							SEP70 -10.JUL.00	1	HOFECC/166/2693
							SEP70 -10.JUL.00	2	HOFECC/173/1642
TOTAL			18,304	0	19,396	0		10	TOTAL PAGES
4. AUTHORIZED COMMITTEES									

8 3 0 4 0 5 7 3 4 5 7

CD00B4012 COMMITTEE TO ELECT HERBERT STEPHENS TO CONGRESS

7

N 80/07/12 01 78/09/06-80/07/10 P 00018304 00019396 5 80011791613 3

RQ 2 80/08/27 02 78/09/06-80/07/10
RQ 2 80/10/06 02 78/09/06-80/07/10
RQ 3 80/10/24 02 78/09/06-80/07/10

2 80031642841
1 80031662693
2 80031731642

FILER ID OR (END TO EXIT) END

EXIT

8 3 0 4 0 3 7 3 4 5 8

ATTACHMENT: 2

8304057

FEC FORM 3
REVISED 10
January, 1978
Federal Election Commission
1325 K Street, N.W.
Washington, D.C. 20463

**REPORT OF RECEIPTS AND EXPENDITURES
FOR A CANDIDATE OR COMMITTEE
SUPPORTING CANDIDATE(S) FOR
NOMINATION OR ELECTION TO FEDERAL OFFICE**
(Except for Candidates or Committees Receiving Federal Matching Funds)

072699

Note: Committees authorized by a candidate to receive contributions and make expenditures in connection with a campaign shall maintain separate records with respect to each election.

Name of Candidate or Committee (in full) The Committee To Elect Herbert Stephens P.O. No. 6-5649 INITIATIVES
To Congress
Address (number and street) 2205 W. Kirtland 3 Residence
Marguette, MI 49855 Office Sought, State & Title of Applicant
City, State and ZIP Code Check if address is different than previously reported Year of Election 1980

4 Type of Report (check appropriate boxes)
 April 10 Quarterly Report
 July 10 Quarterly Report
 October 10 Quarterly Report
 January 31 Annual Report
 Monthly Report (month) _____ on _____ (date) in the State of _____
 Tenth day report preceding _____ (primary, general or convention) election (which report)
 Termination Report
 Amendment for _____
 Thirtieth day report following _____ (primary, general or convention) election (which report)
This is a report for Primary Election General Election Primary and General Other (special, runoff, etc.)

SUMMARY OF RECEIPTS AND EXPENDITURES
(Figures may be rounded to nearest dollar.)

5 Covering Period	Column A This Period	Column B Calendar Year-To-Date
<u>9/6/78</u> Through <u>7/6/80</u>		
6 Cash on hand January 1, 19		\$
7 Cash on hand at beginning of reporting period	\$ <u>4,214.58</u>	
8 Total receipts (from line 19):	\$ <u>18,304.82</u>	\$
(a) Subtotal (Add lines 7 and 8 for Column A and lines 6 and 8 for Column B)	\$ <u>22,519.40</u>	\$
9 Total expenditures (from line 25)	\$ <u>19,396.39</u>	\$
10 Cash on hand at close of reporting period (Subtract line 9 from line 8a)	\$ <u>3,123.01</u>	\$
11 Value of contributed items on hand to be liquidated (Attach itemized list)	\$	
12 Debts and obligations owed to the Committee/Candidate (itemize all on Schedule C)	\$	
13 Debts and obligations owed by the Committee/Candidate (itemize all on Schedule C)	\$ <u>116,849.89</u>	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.
7/6/80 (Date) Herbert Stephens (Typed Name of Treasurer or Candidate) [Signature] (Signature of Treasurer or Candidate)

Note: Submission of false, erroneous, or inaccurate information may subject the person signing this Report to the penalties of 2 U.S.C. Section 437a or Section 441 (see reverse side of form.)

For further information, contact: Federal Election Commission, 1325 K Street, N.W., Washington, D.C. 20463 or call 800/424-9530. Approved by GAO B-187620-80526 Expires 3-31-81

All previous versions of FEC FORM 3 are obsolete and should no longer be used.

Any information reported herein may not be copied for sale or use by any person for purposes of soliciting contributions or for any commercial purpose.

SCHEDULE D
REVISED
January, 1978
Federal Election Commission
1275 K Street, N.W.
Washington, D.C. 20463

DEBTS AND OBLIGATIONS
Supporting Line Numbers 12 and 13
of FEC FORM 3

Page 2 of 2
Line Number

(File Separately Schedule for each of related lines)

(Indicate Primary or General Election for each Entry)

Name of Candidate and Committee in Full				
Committee To Elect Herb Haskett				
Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
Committee To Elect Herb Haskett				
Stephan To Congress	1-15-78	1000		7400
2205 Wilkinson Ave	2-1-78	5000		5000
Princeton, NJ 08552	2-1-78	5000		5000
<input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other	2-1-78	2000		2400

NATURE OF OBLIGATION (Details of Debt)
Loans to Committee To Elect Herb Haskett
Stephan To Congress

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
Com. To Elect Herb Haskett				
Stephan To Congress	5-15-78	19,000	0	19,000
2205 Wilkinson Ave	6-22-78	10,000	0	10,100
Princeton, NJ 08552	7-10-78	1,000	0	11,200
<input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other	7-15-78	5,000	0	16,000

NATURE OF OBLIGATION (Details of Debt)
Loans to Committee To Elect Herb Haskett
Stephan To Congress

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
Com. To Elect Herb Haskett				
Stephan To Congress	7-2-78	2700.00	0	2400.00
2205 Wilkinson Ave	8-11-78	872.46	0	3172.46
Princeton, NJ 08552	5-15-80	1500.00	0	3150.00
<input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other				

NATURE OF OBLIGATION (Details of Debt)
Loans to Committee To Elect Herb Haskett
Stephan To Congress

SUBTOTALS (this period this page optional)	\$ 8221.74	\$	\$ 8221.74
TOTAL (this period (last page this line number only))	\$	\$	\$

Carry outstanding balance only to appropriate line of summary.

13001791615

SCHEDULE C
REVISED
 January, 1978
 Federal Election Commission
 1225 K Street, N.W.
 Washington, D.C. 20463

DEBTS AND OBLIGATIONS

Supporting Line Numbers 12 and 13
 of FEC FORM 3

2-2

(Indicate Primary or General Election for each Entry.)

Name of Candidate and Committee in Full				
<i>Committee To Elect Herbert Stephens To Congress</i>				
Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of Reporting Period
<i>Committee To Elect Herbert Stephens To Congress 205 Wilkinson Ave Madagascar, MI 49855</i>	<i>5/15/78</i>	<i>\$ 5,000.00</i>	<i>0</i>	<i>\$ 5,000.00</i>
<input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other				
NATURE OF OBLIGATION (Details of Debt)				
<i>Loans To Committee To Elect Herbert Stephens To Congress</i>				
Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of Reporting Period
<i>Concept Associates Inc. 430 C Street, N.E. Washington, DC 20002</i>	<i>8/1/78</i>	<i>10,332.15</i>	<i>0</i>	<i>10,332.15</i>
<input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other				
NATURE OF OBLIGATION (Details of Debt)				
Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of Reporting Period
<input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other				
NATURE OF OBLIGATION (Details of Debt)				
SUBTOTALS (this period this page (optional))		<i>\$ 37,664.15</i>	<i>\$ 0</i>	<i>\$ 37,664.15</i>
TOTAL (this period (last page this line number only))		<i>\$</i>	<i>\$</i>	<i>\$</i>
Carry outstanding balance only to appropriate line of summary				

13001701317

7 2004047317

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ATTACHMENT: 3

ATTACHMENT: 4

83040575475

FEDERAL ELECTION COMMISSION

15
Free Office
1325 K Street, N.W., Washington, D.C. 20463
Phone: Local 823-4065 Toll Free 800-434-6130



FOR IMMEDIATE RELEASE
FEBRUARY 19, 1981

CONTACT: FRED ELLAND
SANDY BOSTER

FEC CITES COMMITTEES FOR FAILING TO DISCLOSE CAMPAIGN INFORMATION

WASHINGTON — A total of 419 committees of U.S. House, Senate and Presidential candidates have been cited by the Federal Election Commission for failing to file 1980 year-end disclosure reports. Reports from 377 House, 77 Senate and 5 Presidential campaigns had not been filed by close-of-business Thursday, February 12.

The reports were due January 31, 1981. If sent certified or registered mail, they should have been postmarked by that date. Campaigns involved in last year's primary and general elections should have disclosed financial activity for either the last month or last quarter of 1980. Committees of candidates who ran for office in elections prior to 1980 were required to file reports covering the last six months of 1980. All committees should have reported year-to-date figures for the entire calendar year.

In addition to end-of-year non-filers, the FEC is publishing a list of 52 candidates' committees for failure to file the 30-day post-general election report. Those reports were due December 4, 1980. A separate list of these committees follows the state listing of year-end non-filers.

The Federal Election Campaign Act requires all committees supporting candidates in U.S. House, Senate and Presidential races to file reports on a quarterly basis in an election year. Additional reports must be filed prior to primary elections and before and after the November general elections. Committees with debts from past campaign years must file semi-annual reports.

All committees are notified by the FEC of potential reporting requirements approximately one month in advance of each reporting date. Committees which fail to file timely reports are again notified after the due date that their reports have not been received. By law, only authorized committees of candidates are published.

In non-election years, authorized committees of House and Senate candidates must file semi-annual reports. This does not apply to committees of candidates involved in special off-year elections, or to PAC's or party-related committees. The next required report for candidates' committees will be due July 31, 1981, covering the first six months of this year.

Failure to file the required reports results in publication of committee names on a list of non-filers. Further FEC action against non-filers and late filers is decided on a case-by-case basis, depending on the amount of financial activity involved, the percent of votes received and/or the lateness of the report. The election law gives the Commission broad authority to initiate enforcement actions, including civil court enforcement and the imposition of civil penalties (\$5,000 for "any violation" and \$10,000 for any "knowing and willful violation") for infractions of the law, including failure to file required disclosure reports.

040375476

83040573477

STATE	COMMITTEE TYPE	DISTRICT (HOUSE)	COMMITTEE NAME	CANDIDATE	PART		
MISSOURI	HOUSE	06	COULD FOR CONG DATE	COULON, MICHAEL W.	REP		
		08	DEWITT FOR CONG DATE	DEWITT, EDWARD DONALD J.	REP		
		10	ROGER TELLES FOR CONG DATE	TELLES, ROGER FRANK	REP		
		11	* DEWITT FOR SENATE	DEWITT, DANIEL TERENCE	REP		
		11	DATE TO ELECT WILFRED STEPHENS TO CONG	STEPHENS, WILFRED INFRAJ	REP		
		11	WATKINS FOR CONG CAMPAIGN DATE	WATKINS, JOHN J.	REP		
		12	LARRY LISA FOR CONG DATE	LISA, LARRY	REP		
		12	KERR WALSH FOR CONG DATE	WALSH, KERR	REP		
		13	KECKMAN FOR CONG DATE	KECKMAN, BOB	REP		
		13	HENRY E. STALLING FOR U.S. CONG DATE	STALLING, HENRY E. JR.	REP		
		14	CAPUTO FOR CONG DATE	CAPUTO, VICTOR C.	REP		
		17	JOSEPH LEVIN FOR CONG DATE	LEVIN, JOSEPH	REP		
		MINNESOTA	SENATE		CONNOLLY FOR U.S. SEN DATE	CONNOLLY, JOHN STEVEN	REP
					BRIAN COYLE FOR SEN VOLUNTEER DATE	COYLE, BRIAN JOHN	REP
					RONALD VOLUNTEER DATE FOR SEN. RATTE	RONALD, WALTER F.	REP
					RATTE, PHIL	REP	
		MINNESOTA	HOUSE	01	CARLSON FOR CONG DATE 1974	CARLSON, LYNN MARVIN	REP
01	SIKORSKI FOR CONG			SIKORSKI, BERNALD (BERT)	REP		
02	FRIEDS FOR HAROLD J BERGGUST			BERGGUST, HAROLD J.	REP		
04	CONNOLLY FOR CONG			CONNOLLY, JOHN S.	REP		
06	ANDERSON FOR CONG VOLUNTEER DATE			ANDERSON, JAMES R.	REP		
06	* SUFFORD FOR CONG VOLUNTEER DATE			JOHNSON, J. RUFUS	REP		
07	SULLIVAN VOLUNTEER DATE			SULLIVAN, RICHARD J.	REP		
MISSISSIPPI	SENATE		SEN. CLIFF FINCH FOR U.S. SEN DATE TO ELECT R.C. "SONNY" TEFORD TO THE	FINCH, CHARLES CLIFFTON (CLIFF)	SEN		
			TEFORD, RICHARD C. "SONNY"	SEN			
MISSISSIPPI	HOUSE	04	BRITT SIMILETARY FOR CONG CAMPAIGN	SIMILETARY, BETTY MARION	SEN		
MISSOURI	SENATE		* SYMINGTON FOR SEN	SYMINGTON, JAMES A.	SEN		
		01	WALTER FOR CONG DATE	WALTER, STEVEN L.	REP		
		04	* BROWNFIELD FOR CONG 1974	BROWNFIELD, CHARLES S.	SEN		
		08	* WENDELL BAILEY FOR CONG	BAILEY, WENDELL	REP		
		08	PAUL DIETRICH FOR CONG	DIETRICH, PAUL GEORGE	REP		
		08	DON MEYER FOR CONG	MEYER, DONALD DONALD	REP		
		09	WELCH FOR CONG	WELCH, JERRY F.	SEN		
		MONTANA	SENATE		LARRY WILLIAMS FOR U.S. SEN	WILLIAMS, LARRY EDWARD	REP
				01	HARRIS FOR CONG DATE	HARRIS, BEAURE P.	REP
01	WALLACE FOR CONG			WALLACE, MARCEL S. (BOB)	REP		
02	JAMES A. "JIM" HOWARD FOR CONG			HOWARD, JAMES HELEN	SEN		
NEBRASKA	SENATE		* BYAS DATE	BYAS, NESS	SEN		
		01	* BYAS FOR CONG IN 1973 DATE	BYAS, NESS	REP		
		02	ALLEN JONES FOR CONG	JONES, ALLEN EDWIN	REP		
NEVADA	SENATE		DATE TO ELECT MARY SELACK	SELACK, MARY L.	SEN		
NEW HAMPSHIRE	SENATE		ROBERTS FOR U S SEN DATE	ROBERTS, DECADE S JR.	REP		
		01	COLEMAN FOR CONG	COLEMAN, MARSHALL S.	REP		
NEW JERSEY	HOUSE	02	DATE TO ELECT JIM BIRDS TO CONG	BIRDS, JAMES H.	REP		
		05	FARR FOR CONG	FARR, JOHN T.	REP		

REPORTS ANALYSIS REFERRAL SHEET

U # 830 11

DATE December 16, 1980

ANALYST Tracy Keefer *TAK* *KAG* *(M)*

TO: OGC

TEAM CHIEF Peter Kell, Jr. *PK*

THROUGH: STAFF DIRECTOR *PK*

COMPLIANCE REVIEW Peggy Sims *PS* / Jim Allen *JA*

FROM: ASSISTANT STAFF DIRECTOR FOR REPORTS ANALYSIS

B.L. - *D-836*

6 CANDIDATE/COMMITTEE: Committee to Elect Herbert Stephens to Congress

TREASURER: Barbara K. Stephens

7 ADDRESS: 2205 Wilkinson
Marquette, MI 49855

4 AFFILIATE(S):
N/A

3 ALLEGATION(S): Failure to properly itemize loans. Failure to file reports in a timely manner. CITE: 2 U.S.C. 434(a) and 434(b)

ATTACHMENT(S)
#2

0 DATE INITIATED: 8/18/80

4 MANNER IN WHICH REVIEW WAS INITIATED:

- Normal Review
- Other:
- Special Project:

ATTACHMENT
#1

8 REPORTS: All reports within the dates listed below have received initial basic review. For all reports reviewed, see Attachment 1.

PERIOD COVERED FROM 9/6/78 TO 9/30/80 *7/10*

TOTAL RECEIPTS \$ 18304 TOTAL EXPENDITURES \$ 19396

CASH ON HAND \$ 3123 DEBTS \$ 116349

HISTORY:

RESULTS OF REVIEW: ATTACHMENT

COMMUNICATIONS WITH CANDIDATE/COMMITTEE:

ATTACHMENT

Several unsuccessful attempts were made to contact the committee.

REASON(S) FOR REFERRAL:

ATTACHMENT

Failure to respond to RFAI and amount of loan meet threshold for referral to OGC.

Financial activity (including outstanding debts) meets threshold for non-filer referral to OGC

OTHER PENDING ACTIONS INITIATED BY RAD:

ATTACHMENT

N/A

OTHER RELEVANT INFORMATION:

ATTACHMENT 21

Hebert Stephens was a 1980 Candidate but no Q1 Mailgram was sent because FEC records at that time did not show activity in excess of \$5000. (See attachment for percent of vote received by Stephens.)

PREVIOUS OGC/AUDIT REFERRALS FROM RAD:

Committee was previously referred for failure to file the 1978 July 10 and October 10 Reports (NF 224). No further action was taken.

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RESULTS OF REVIEWATTACHMENTS

83040373490

RTB Mailgram sent for Q1 on 4/24/79	#3
RCTB Mailgram sent for Q1 on 5/11/79	#4
Commission approved publication for Q1 on 6/11/79	#5
RTB Mailgram sent for Q2 on 7/25/79	#6
RCTB Mailgram sent for Q2 on 8/15/79	#7
Commission approved publication for Q2 On 9/18/79	#8
RTB Mailgram sent for Q3 on 10/24/79	#9
RCTB Mailgram sent for Q3 on 11/14/79	#10
Commission approved publication for Q3 on 12/19/79	#11
Mailgram sent for 1979 YE on 3/14/80	#12
Commission approved publication for YE on 4/18/80	#13
Committee filed a report of financial activity covering 9/6/78 through 7/10/80 on 7/12/80	# 1b
Mailgram sent for 12P on 7/25/80	#14
Commission approved publication for 12P on 8/1/80	#15
RFAI sent for Q2 on 8/27/80	#16
RFAI re-sent for Q2 (because of incorrect address) on 10/6/80	#17
Mailgram sent for 1980 Q3 on 10/21/80	#18
Second letter RFAI sent for Q2 on 10/24/80	#19
Commission approved publication for Q3 on 10/31/80	#20

83040373481

ATTACHMENT 1

69/a

FEDERAL ELECTION COMMISSION
1978-1980

DATE 15/DEC/80

CANDIDATE INDEX OF SUPPORTING DOCUMENTS - (E)

PAGE 1

CANDIDATE/COMMITTEE/DOCUMENT	OFFICE SOUGHT/	PARTY	RECEIPTS		EXPENDITURES		COVERAGE DATES	# OF PAGES	MICROFILM LOCATION
			PRIMARY	GENERAL	PRIMARY	GENERAL			
STEPHENS, HERBERT MURRAY	HOUSE 11	DEMOCRATIC PARTY			MINICAP		1980 ELECTION	04	HH611053
1. STATEMENT OF CANDIDATE									
2. CANDIDATE REPORTS OF RECEIPTS & EXPENDITURES									
3. PRINCIPAL CAMPAIGN COMMITTEE									
COMMITTEE TO ELECT HERBERT STEPHENS TO CONGRESS							101 00004012		
1980 APRIL QUARTERLY			10,304		19,596		6SEP78 -10JUL80	5	HH61/179/1613
REQUEST FOR ADDITIONAL INFORMATION							6SEP78 -10JUL80	2	HH61/164/2841
REQUEST FOR ADDITIONAL INFORMATION							6SEP78 -10JUL80	1	HH61/166/2693
REQUEST FOR ADDITIONAL INFORMATION 2ND							6SEP78 -10JUL80	2	HH61/173/1642
TOTAL			10,304	0	19,596	0		10	TOTAL PAGES
4. AUTHORIZED COMMITTEES									
5. OTHER SUPPORTING DOCUMENTS									
6. 431C/ INDEPENDENT				CONTRIBUTIONS TO		EXPENDITURES ON BEHALF OF			
7. 431E/ DELEGATE				INDEPENDENT CONTRIBUTIONS		INDEPENDENT EXPENDITURES			
8. COMMUNICATIONS				DELEGATE CONTRIBUTIONS		DELEGATE EXPENDITURES			
9. UNAUTHORIZED SINGLE CANDIDATE COMMITTEES				CONTRIBUTIONS TO		EXPENDITURES ON BEHALF OF			

8 3 0 4 0 5 7 3 4 8 2

FEDERAL ELECTION COMMISSION
FEC767 (BATCH FEC67) (79-80)

C00084012 COMMITTEE TO ELECT HERBERT STEPHENS TO CONGRESS

3 N 80/07/12 Q1 78/09/06-80/07/10 P 00018304 00019396 5 80011791613 3
RQ 2 80/08/27 Q2 78/09/06-80/07/10 2 80031642841
RQ 2 80/10/06 Q2 78/09/06-80/07/10 1 80031662693
RQ 3 80/10/24 Q2 78/09/06-80/07/10 2 80031731642

8 3 0 4 0 3 7 3 4 8 3

92

ATTACHMENT 2

8 3 0 4 0 3 7 3 4 3 4

FEC FORM 3
REVISED
January, 1978
Federal Election Commission
1325 K Street, N.W.
Washington, D.C. 20463

REPORT OF RECEIPTS AND EXPENDITURES
FOR A CANDIDATE OR COMMITTEE
SUPPORTING CANDIDATE(S) FOR
NOMINATION OR ELECTION TO FEDERAL OFFICE

072697

(Except for Candidates or Committees Receiving Federal Matching Funds)

Note: Committees authorized by a candidate to receive contributions and make expenditures in connection with more than one election must maintain separate records with respect to each election.

Name of Candidate or Committee (in full) The Committee To Elect Herbert Stephens P.O. No. C-5679
To Congress
Address (number and street) 2205 Wilburton
Marquette, MI 49855
City, State and ZIP Code Check if address is different than previously reported
Year of Election 1980

4 Type of Report (check appropriate boxes)
 April 10 Quarterly Report
 July 10 Quarterly Report on _____ in the State of _____
 October 10 Quarterly Report
 January 31 Annual Report
 Monthly Report _____ on _____ in the State of _____
 Tenth day report preceding _____ election (primary, general or convention)
 Thirtieth day report following _____ election (primary, general or convention)
 Termination Report
 Amendment for: _____ (which report)
This is a report for Primary Election General Election Primary and General Other (special, runoff, etc.)

SUMMARY OF RECEIPTS AND EXPENDITURES
(Figures may be rounded to nearest dollar.)

5 Covering Period	Column A This Period	Column B Calendar Year-To-Date
<u>9/6/78</u> Through <u>7/16/80</u>		
6 Cash on hand January 1, 19		\$
7 Cash on hand at beginning of reporting period	\$ <u>4,214.58</u>	
8 Total receipts (from line 19)	\$ <u>18,304.82</u>	\$
(a) Subtotal (Add lines 7 and 8 for Column A and lines 6 and 8 for Column B)	\$ <u>22,519.40</u>	\$
9 Total expenditures (from line 25)	\$ <u>19,396.39</u>	\$
10 Cash on hand at close of reporting period (Subtract line 9 from line 8a)	\$ <u>3,123.01</u>	\$
11 Value of contributed items on hand to be liquidated (Attach itemized list)	\$	\$
12 Debts and obligations owed to the Committee/Candidate (itemize all on Schedule C)	\$	
13 Debts and obligations owed by the Committee/Candidate (itemize all on Schedule C)	\$ <u>116,849.89</u>	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.
7/6/80 (Date) Herbert M. Stephens (Typed Name of Treasurer or Candidate) Herbert M. Stephens (Signature of Treasurer or Candidate)

Note: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. Section 437g, or Section 441 (see reverse side of form).

For further information, contact: Federal Election Commission, 1325 K Street, N.W., Washington, D.C. 20463 or call 800/424-9530. Approved by GAO, B-187620 (R05061), Expires 3-31-81.

All previous versions of FEC FORM 3 are obsolete and should no longer be used.

Any information reported herein may not be copied for sale or use by any person for purposes of soliciting contributions or for any commercial purpose.

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DETAILED SUMMARY OF RECEIPTS
(Page 2, FEC Form 278e)

NAME OF CANDIDATE OR COMMITTEE		REPORT COVERING THE PERIOD FROM: TO:	
RECEIPTS		Column A This Period	Column B Calendar Year-To-Date
14. Contributions from individuals (including contributions in-kind)			
(a) Itemized (use Schedule A)		\$	\$
(b) Unitemized		\$	\$
(c) Sales and collections included above List by event on memo Schedule D (B)		\$	\$
(d) Subtotal of contributions from individuals		\$	\$
15. Transfers from Political Committees			
(a) Funds from affiliated/authorized committee (itemize on Schedule A regardless of amount)		\$	\$
(b) Funds from other committees (itemize on Schedule A regardless of amount)		\$	\$
(c) Contributions in-kind from political committees (itemize on Schedule A regardless of amount)		\$	\$
(d) Subtotal of transfers in and contributions in-kind from political committees		\$	\$
16. Other Income:			
(a) Itemized (use Schedule A)		\$	\$
(b) Unitemized		\$	\$
(c) Subtotal of other income		\$	\$
17. Loans and Loan Repayments Received:			
(a) Itemized (use Schedule A)		\$ 18,307.82	\$
(b) Unitemized		\$	\$
(c) Subtotal of loans and loan repayments received		\$	\$
18. Refunds, Rebates, Returns of Deposits:			
(a) Itemized (use Schedule A)		\$	\$
(b) Unitemized		\$	\$
(c) Subtotal of refunds, rebates, returns of deposits		\$	\$
19. Total Receipts		\$ 18,307.82	\$
EXPENDITURES			
20. Operating Expenditures:			
(a) Itemized (use Schedule B)		\$ 18,864.39	\$
(b) Unitemized		\$ 532.00	\$
(c) Subtotal of operating expenditures		\$ 19,396.39	\$
21. Loans, Loan Repayments, and Contribution Refunds Made:			
(a) Itemized (use Schedule B)		\$	\$
(b) Unitemized		\$	\$
(c) Subtotal of loans and loan repayments made and contribution refunds		\$	\$
22. Transfers Out to Political Committees:			
(a) To affiliated/authorized committee (itemize on Schedule B regardless of amount)		\$	\$
(b) To other committees (itemize on Schedule B regardless of amount)		\$	\$
(c) Contributions in-kind to other committees (itemize on Schedule B regardless of amount)		\$	\$
(d) Subtotal of transfers out		\$	\$
23. Independent Expenditures (use Schedule E)		\$	\$
24. Coordinated Expenditures Made by Political Committees (2 U.S.C. 441(d)) (itemize on Schedule F)		\$	\$
25. Total Expenditures		\$ 19,396.39	\$
RECEIPTS AND EXPENDITURES, NET OF TRANSFERS TO AND FROM AFFILIATED COMMITTEES			
26. Total Receipts (from line 19)		\$	\$
27. Transfers In (from line 15(a))		\$	\$
28. Net Receipts (Subtract line 27 from line 26)		\$	\$
29. Total Expenditures (from line 25)		\$	\$
30. Transfers Out (from line 22(a))		\$	\$
31. Net Expenditures (Subtract line 30 from line 29)		\$	\$

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ITEMIZED EXPENDITURES
 (Operating, Transfers Out, Contributions in Kind,
 Loans, Loan Repayments and Refunds Made)
 Supporting Lines 20a, 21a, and 22a, 22b, and 32a
 of FEC FORM 3

(Use Separate Schedules for
 each numbered line)

278

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Name of Candidate or Committee in Full			
Committee To Elect James Earl James Earl			
Full Name, Mailing Address and ZIP Code	Particulars of Expenditure	Date (month, day, year)	Amount of each expenditure this period
Slides printing 1502 Baldwin Ave Pontiac, Mi 48055	Printing Expenditure for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other	12/1/78	533.00
Full Name, Mailing Address and ZIP Code	Particulars of Expenditure	Date (month, day, year)	Amount of each expenditure this period
Mich Bell 4075 Bay Road Saginaw, Mi 48663	Phone bill Expenditure for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other	12/1/78	627.77
Full Name, Mailing Address and ZIP Code	Particulars of Expenditure	Date (month, day, year)	Amount of each expenditure this period
824 Valley I 75 at M-89 824 City, Mi	Pay off bill Expenditure for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other	5/15/80	8304.82
Full Name, Mailing Address and ZIP Code	Particulars of Expenditure	Date (month, day, year)	Amount of each expenditure this period
Union printing 1300 Saginaw Flint, Mi 48504	Printing Expenditure for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other	7/2/80	550.00
Full Name, Mailing Address and ZIP Code	Particulars of Expenditure	Date (month, day, year)	Amount of each expenditure this period
Profit Signs Pasadena Flint, Mi 48504	Magnetic Signs Expenditure for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other	7/2/80	98.80
Full Name, Mailing Address and ZIP Code	Particulars of Expenditure	Date (month, day, year)	Amount of each expenditure this period
WJML Petoskey, Mi	Radio Advertising Expenditure for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other	7/2/80	200.00
Full Name, Mailing Address and ZIP Code	Particulars of Expenditure	Date (month, day, year)	Amount of each expenditure this period
WDBN DCLT Ave ES 20762, Mi	Radio Advertising Expenditure for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other	7/2/80	60.00
Full Name, Mailing Address and ZIP Code	Particulars of Expenditure	Date (month, day, year)	Amount of each expenditure this period
Civil Fed 29201 Telegraph Rd Southfield, Mi	Pay off bill Expenditure for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other	5/15/80	8500.00
SUBTOTAL of expenditures this page (optional).....			\$ 18,864.39
TOTAL this period (last page this line number only).....			\$

DEBTS AND OBLIGATIONS

Page 1 of 2 for

Supporting Line Numbers 12 and 13 of FEC FORM 3

Line Number

(Use Separate Schedules for each numbered line)

(Indicate Primary or General Election for each Entry)

1978 Election Commission
1100 K Street, N.W.
Washington, D.C. 20463

Name of Candidate and Committee in Full
Committee To Elect Herbert Stephens To Congress

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
<i>Committee To Elect Herbert Stephens To Congress 2205 Wilkinton Ave Marquette, Mi 49855</i>	<i>5/1/78</i>	<i>7777</i>	<i>0</i>	<i>7777</i>
	<i>12/78</i>	<i>5000</i>	<i>0</i>	<i>5000</i>
	<i>12/78</i>	<i>5000</i>	<i>0</i>	<i>5000</i>
	<i>2/1/78</i>	<i>9400</i>	<i>0</i>	<i>9400</i>

Primary General Other

NATURE OF OBLIGATION (Details of Debt):
Loans To Committee To Elect Herbert Stephens To Congress

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
<i>Com. To Elect Herbert Stephens To Congress 2205 Wilkinton Ave Marquette, Mi 49855</i>	<i>5-15-78</i>	<i>14,800</i>	<i>0</i>	<i>14,800</i>
	<i>6-22-78</i>	<i>10,100</i>	<i>0</i>	<i>10,100</i>
	<i>7-10-78</i>	<i>1,320</i>	<i>0</i>	<i>1,320</i>
	<i>7-19-78</i>	<i>16,000</i>	<i>0</i>	<i>16,000</i>

Primary General Other

NATURE OF OBLIGATION (Details of Debt):
Loans To Committee To Elect Herbert Stephens To Congress

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
<i>Com. To Elect Herbert Stephens To Congress 2205 Wilkinton Ave Marquette, Mi 49855</i>	<i>7-2-78</i>	<i>2720.28</i>	<i>0</i>	<i>2720.28</i>
	<i>8-11-78</i>	<i>8172.46</i>	<i>0</i>	<i>8172.46</i>
	<i>5-15-80</i>	<i>1500.00</i>	<i>0</i>	<i>1500.00</i>

Primary General Other

NATURE OF OBLIGATION (Details of Debt):
Loans To Committee To Elect Herbert Stephens To Congress

SUBTOTALS this period this page (optional).....	\$ 83211.74	\$	\$83211.74
TOTAL this period (last page this line number only).....	\$	\$	\$

Carry outstanding balance only to appropriate line of summary.

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1978
Election Commission
25 K Street, N.W.
Washington, D.C. 20463

DEBTS AND OBLIGATIONS

Supporting Line Numbers 12 and 13
of FEC FORM 3

Page 2 of 2 for

Line Number _____

(Use Separate Schedules for
each numbered line)

(Indicate Primary or General Election for each Entry)

Name of Candidate and Committee in Full				
<i>Committee To Elect Herbert Stephens To Congress</i>				
Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
<i>Committee To Elect Herbert Stephens To Congress 2505 Wilkinson Ave Madisonville, Mi 49855</i>	<i>5/15/80</i>	<i>5,345.00</i>	<i>0</i>	<i>5,345.00</i>
<input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other	<i>5/15/80</i>	<i>5,500.00</i>	<i>0</i>	<i>5,500.00</i>
NATURE OF OBLIGATION (Details of Debt): <i>Loans To Committee To Elect Herbert Stephens To Congress</i>				
Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
<i>Concept Associated Inc. 430 C Street, N.E. Washington, DC 20002</i>	<i>8/1/78</i>	<i>12,333.33</i>	<i>0</i>	<i>12,333.33</i>
<input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other	<i>8/1/78</i>	<i>4,500.00</i>	<i>0</i>	<i>4,500.00</i>
NATURE OF OBLIGATION (Details of Debt):				
Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
		\$	\$	\$
NATURE OF OBLIGATION (Details of Debt):				
SUBTOTALS this period this page (optional)		<i>5,33,638.15</i>	<i>0</i>	<i>5,33,638.15</i>
TOTAL this period (last page this line number only)		\$	\$	\$
Carry outstanding balance only to appropriate line of summary.				

7
6
5
4
3
2
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8

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ATTACHMENT 3

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ATTACHMENT 4

(b)(7)

ATTACHMENT 5

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FEDERAL ELECTION COMMISSION



1325 K Street, N.W., Washington, D.C. 20463

FOR IMMEDIATE RELEASE
JUNE 12, 1979

CONTACT: FRED EILAND
SHARON SNYDER
(202) 523-4063

FEC PUBLISHES LIST OF APRIL 10 1ST QUARTER REPORT "NON-FILERS"

WASHINGTON -

The Federal Election Commission today published the names of 409 Federal candidates, their principal campaign committees, and other political committees from 46 states, the District of Columbia, and the Virgin Islands, which failed to file 1st quarter 1979 campaign finance reports, covering activity from January 1, 1979 thru March 31, 1979.

In election years, quarterly financial reports are due on April 10, July 10, October 10, and January 31, following the close of the immediately preceding calendar quarter in which a candidate or political committee received contributions in excess of \$1,000. Exemptions from filing quarterly reports are granted if a political committee or candidate notifies the Commission on FEC Form 3a or by letter stating that neither receipts nor expenditures exceeded \$1,000 in the quarter.

NOTE: The list of 1979 April 10 Non-Filers is on page 2.

The Federal Election Campaign Act of 1971, as amended in 1974 and 1976, states that "It shall be the duty of the Commission to...prepare and publish from time to time special reports listing those candidates...for whom...reports were not filed as so required."

In addition to publishing the names of non-filers, the FEC has the authority to undertake further enforcement action under the statute, including civil court enforcement and imposition of civil penalties (\$5,000 for "any violation" and \$10,000 for a "knowing and willful violation.")

Under FEC procedures, three notices are sent to the candidates and committees reminding them of their reporting obligations, and urging compliance. If a report is not filed following these notices, the names of all "non-filers" are made public.

"Prior notice" is the first reminder, sent on a routine basis prior to the filing date, to all candidates and political committees registered with the Commission. This notice simply reminds candidates and committees of their required reports, and the appropriate filing dates.

The second notice is sent only to candidates and committees whose reports have not been received by or shortly after the filing date, and states that this "apparent failure...gives the Commission 'reason to believe' that the candidate or committee is in violation of the reporting requirements."

The third notice is sent only to candidates and committees whose reports are not filed in response to the second notice, and states that "continued failure to file has given the Commission 'reasonable cause to believe'" that they are in violation and that "failure to file the report may result in the publication of (the candidate's or committee's) name on a list of non-filers and referral of your name to our Office of General Counsel which may result in civil penalties."

When a candidate or committee continues to fail to file after receiving the three notices, the Commission publishes the names of the candidate and/or his committee on a list of "non-filers."

83040373495

FEDERALISTS CANDIDATES & COMMITTEES FAILING TO FILE APRIL 10 1ST QUARTER REPORTS

STATE	DISTRICT	NAME OF CANDIDATE/COMMITTEE
Maine	House - 01	Marcotte for Congress Committee (Guy Marcotte - D)
	House - 01	Citizens for Reaves (Bruce Reaves - D)
	House - 02	Cooney to Congress (Leighton Cooney - D)
Maryland	House - 03	John A. Pica for Congress (D)
	House - 03	Workers Party Campaign Committee, Baltimore MD (Vedder) (Alden Vedder - Unk.)
	House - 06	Melvin Perkins (R)
	House - 06	Friends of Dan Rupli (D)
	House - 07	Committee to Elect Hanania-Freeman (Debra Hanania-Freeman - U.S. Labor Party)
	Senate Party	Bradley for Senate Committee (Bruce Bradley - Ind.) Baltimore City Democratic Central Committee
	Massachusetts	House - 05
House - 05		Jay Gaffney for Congress (Ind.)
House - 06		Committee to Elect John W. McKean to Congress (D)
House - 07		Jack Laff for Congress
House - 07		James J. Murphy Candidate for Congress (Ind.)
House - 09		David Freund (SWP)
House - 11		Jim Sheets for Congress Committee (D)
House - 11		Joseph M. Tierney for Congress Committee (D)
Senate		Committee to Elect Kathleen Sullivan Alioto (D)
House		Workers Party 9th Dist. Mass. Campaign Com.
Non-Party		Lincoln Union
Michigan	House - 03	Committee to Elect Keller (K. Martin Keller - U.S. Labor Party)
	House - 03	Tim Walter for U.S. Congress Committee (Amor. Indep.)
	House - 04	Committee to Elect Kwicinski (Mark Kwicinski - U.S. Labor Party)
	House - 05	Committee to Elect Meyer (Steven Meyer - U.S. Labor Party)
	House - 08	Denton for Congress Committee (Edward Denton - R)
	House - 09	Committee to Elect Powell (Joy Powell - U.S. Labor Party)
	House - 11	Committee to Elect Verna Lawrence (D)
	House - 11	Committee to Elect Herbert Stephens to Congress (D)
	House - 11	Watanen for Congress Campaign Committee (John Watanen, Jr. -D)
	House - 13	James E. Attia (D)
	House - 13	James E. Attia (Committee)
	House - 13	Rickman for Congress Committee (Ray Rickman - D)
	House - 13	Henry E. Stallings for U.S. Congress Committee (D)
	House - 15	Aldi G. Fuhrman (AIP)
	House - 17	Fitzpatrick for Congress (Robert E. Fitzpatrick - Unk.)
	House - 17	Bector M. McGregor (AIP)
	House - 17	Committee to Elect Harry V. Teachout to Congress (D)
	House - 18	Committee to Elect Bob Salloun (R)
	Senate	Austin for Senate (Richard Austin - D)
	Senate	Erwin for Senate Committee (Bette Erwin - Lib.)
Senate Party	Harry R. Payne II for U.S. Senate Committee (D) 13th Congressional District Republican Committee	
Party	Detroit Young Republicans	
Party	Newaygo County Republican Committee	
Non-Party	Americans for Democratic Action (ADA)--Progressive Victory Fund	
Non-Party	Skilled and Professional Political Action Committee	

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ATTACHMENT 6

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ATTACHMENT 7

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FEDERAL ELECTION COMMISSION 491329 K ST. NW WASHINGTON DC 20463

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190001000020000200 YOU HAVE BEEN NOTIFIED BY CERTIFIED MAIL FROM THE FEDERAL ELECTION COMMISSION THAT YOU MAY HAVE FAILED TO FILE THE JULY 10 REPORT OF RECEIPTS AND EXPENDITURES AS REQUIRED BY THE FEDERAL ELECTION CAMPAIGN ACT. THIS REPORT WAS DUE ON JULY 10, 1979. AS OF THIS DATE, NO RESPONSE HAS BEEN RECEIVED FROM YOU. YOUR CONTINUED FAILURE TO FILE THE ABOVE MENTIONED REPORT GIVES THE

COMMISSION REASONABLE CAUSE TO BELIEVE THAT YOU ARE IN VIOLATION OF 2 U.S.C. 434. YOU HAVE THIRTY (30) DAYS FROM THE DATE OF YOUR RECEIPT OF THIS NOTICE TO CONCILIATE THIS MATTER WITH THE COMMISSION. FAILURE TO FILE THIS REPORT MAY RESULT IN THE PUBLICATION OF YOUR NAME ON A LIST OF NON-FILERS AND REFERRAL OF YOUR NAME TO OUR OFFICE OF GENERAL COUNSEL WHICH MAY RESULT IN CIVIL PENALTIES. IN THE EVENT THAT YOU HAVE ALREADY FILED YOUR REPORT, PLEASE CONTACT OUR REPORTS ANALYSIS DIVISION ON THE TOLL FREE NUMBER (800) 424-9530.

BY MAIL

ROBERT D. TIERNAN

CHAIRMAN

100004000050000200RETURN RECEIPT REQUESTED

83040373501

ATTACHMENT 8

FEDERAL ELECTION COMMISSION

37



FOR IMMEDIATE RELEASE
SEPTEMBER 18, 1979

CONTACT: FRED EILAND
SHARON SNYDER

FEC PUBLISHES LIST OF JULY 10 2ND QUARTER REPORT "NON-FILERS"

WASHINGTON -- The Federal Election Commission today published the names of 376 Federal candidates, their principal campaign committees, and other political committees from 46 states, the District of Columbia and abroad, which failed to file 2nd quarter 1979 campaign finance reports, covering activity from April 1, 1979 thru June 30, 1979.

In non-election years, candidates and their committees must file quarterly reports (due on April 10, July 10, October 10, and January 31) for each calendar quarter in which receipts and expenditures exceed \$5,000. Non-candidate political committees must file quarterly reports for each calendar quarter in which either receipts or expenditures exceed \$1,000. Exemptions from filing quarterly reports are granted if a candidate or political committee notifies the Commission on FEC Form 3a or by letter stating that receipt and/or expenditure activity fell below the required reporting threshold.

NOTE: The list of 1979 July 10 Non-Filers is on page 2.

The Federal Election Campaign Act of 1971, as amended in 1974 and 1976, states that "It shall be the duty of the Commission to...prepare and publish from time to time special reports listing those candidates...for whom...reports were not filed as so required."

In addition to publishing the names of non-filers, the FEC has the authority to undertake further enforcement action under the statute, including civil court enforcement and imposition of civil penalties (\$5,000 for "any violation" and \$10,000 for a "knowing and willful violation.")

Under FEC procedures, three notices are sent to the candidates and committees reminding them of their reporting obligations, and urging compliance. If a report is not filed following these notices, the names of all "non-filers" are made public.

"Prior notice" is the first reminder, sent on a routine basis prior to the filing date, to all candidates and political committees registered with the Commission. This notice simply reminds candidates and committees of their required reports, and the appropriate filing dates.

The second notice is sent only to candidates and committees whose reports have not been received by or shortly after the filing date, and states that this "apparent failure...gives the Commission 'reason to believe' that the candidate or committee is in violation of the reporting requirements."

The third notice is sent only to candidates and committees whose reports are not filed in response to the second notice, and states that "continued failure to file has given the Commission 'reasonable cause to believe' that they are in violation and that 'failure to file the report may result in the publication of (the candidate's or committee's) name on a list of non-filers and referral of your name to our Office of General Counsel which may result in civil penalties."

When a candidate or committee continues to fail to file after receiving the three notices, the Commission publishes the names of the candidate and/or his committee on a list of "non-filers."

-more-

100-408785-2

STATE DISTRICT NAME OF CANDIDATE/COMMITTEE

Massachusetts

✓ House - 07 Jack Leff for Congress
 ✓ House - 07 James J. Murphy Candidate for Congress (Ind.)
 ✓ House - 09 David Freund (SWP)
 ✓ House - 11 Jim Sheets for Congress Committee (D)
 ✓ House - 11 Joseph M. Tierney for Congress Committee (D)
 ✓ Senate Committee to Elect Kathleen Sullivan Alioto (D)
 ✓ Non-Party Lincoln Union
 ✓ House Workers Party 9th Dist. Mass. Campaign Cmte.

Michigan

✓ House - 03 Committee to Elect Keller (K. Martin Keller - U.S. Labor Party)
 ✓ House - 03 Tim Walter for U.S. Congress Committee (AIP)
 ✓ House - 04 Committee to Elect Kwicinski (Mark Kwicinski - U.S. Labor Party)
 ✓ House - 05 Committee to Elect Meyer (Steven Meyer - U.S. Labor Party)
 ✓ House - 08 Denton for Congress Committee (Edward Denton, Jr. - R)
 ✓ House - 09 Committee to Elect Powell (Joy Powell - U.S. Labor Party)
 ✓ House - 11 Committee to Elect Verna Lawrence (D)
 ✓ House - 11 Committee to Elect Herbert Stephens to Congress (D)
 ✓ House - 12 Watanen for Congress Campaign Committee (John Watanen, Jr. - D)
 ✓ House - 13 Rickman for Congress Committee (Ray Rickman - D)
 ✓ House - 13 Henry E. Stallings for U.S. Congress Committee
 ✓ House - 13 William G. Tinsley (D)
 ✓ House - 15 Friends of William G. Tinsley
 ✓ House - 15 Aldi C. Fuhrman (ALP)
 ✓ House - 17 Fitzpatrick for Congress (Robert E. Fitzpatrick)
 ✓ House - 17 Committee to Elect Anthony J. Romeo (D)
 ✓ House - 17 Anthony C. Romeo, Jr. (R)
 ✓ House - 17 Committee to Elect Anthony Romeo, Jr.
 ✓ House - 17 Committee to Elect Harry V. Teachout to Congress (D)
 ✓ House - 18 Committee to Elect Bob Salloum (R)
 ✓ Senate Austin for Senate (Richard H. Austin - D)
 ✓ Senate Erwin for Senate Committee (Bette Erwin - Lib.)
 ✓ Senate People Sup. Evan Karabetsos for Sen. (D)
 ✓ Senate Harry R. Payne II for U.S. Senate Committee (D)
 Party 13th Congressional District Republican Committee
 Party Detroit Young Republicans
 Party Beaubien County Republican Committee
 Party Manistee County Republican Committee
 Non-Party Americans for Democratic Action (ADA)-Progressive Victory Fund
 Non-Party Builders Political Action Committee of Michigan (B-PAC)

Minnesota

Ind. Exp. Minnesotans for Honesty in Politics
 Ind. Exp. Minnesotans for Kennedy
 House - 01 David A. Drummond (R)
 House - 02 David Drummond for U.S. Congress Committee
 House - 02 Volunteer Committee for Considine for Congress (John F. Considine - DFL)
 House - 05 Mike Till for Congress Volunteer Committee (R)
 Senate Sharon Anderson for U.S. Sen. Vol. Comm. (DFL)
 Senate Minn. Workers Party Campaign Comm. (Brust) (Jean T. Brust -SWP)
 Senate Dick Bullock (DFL)
 Senate Emil L. Moses (DFL)
 Senate For Senator, Ratté (Phil Ratté - D)
 Party 40th DFL Senatorial District

Mississippi

House - 01 Committee to Elect Eugene Carter (Ind.)
 House - 01 Friends of Eugene Carter (Ind.)
 House - 03 Cleveland for Congress (Dorothy Cleveland - R)
 House - 04 Friends of Jay Disharoon (D)
 House - 04 Evan Doss for Congressman (Evan Doss, Jr. - Ind.)
 House - 04 Carolyn Mitchell (PCC for Bob Mitchell - D)
 Senate Charles Sullivan for Senator Committee (D)
 Senate Committee to Elect R.C. "Sonny" Tedford to the U.S. Senate (D)
 Party Democratic Party of the State of Mississippi Campaign Committee

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ATTACHMENT 9

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ATTACHMENT 10

83040373506

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ATTACHMENT 11

FEDERAL ELECTION COMMISSION



FOR IMMEDIATE RELEASE
DECEMBER 19, 1979

CONTACT: FRED EILAND
SHARON SNYDER

FEC PUBLISHES LIST OF OCTOBER 10 3RD QUARTER REPORT "NON-FILERS"

WASHINGTON - The Federal Election Commission today published the names of 304 Federal candidates, their principal campaign committees, and other political committees from 43 states, the District of Columbia and abroad, which failed to file 3rd quarter 1979 campaign finance reports, covering activity from July 1, 1979 thru September 30, 1979.

In non-election years, candidates and their committees must file quarterly reports (due on April 10, July 10, October 10, and January 31) for each calendar quarter in which receipts and expenditures exceed \$5,000. Non-candidate political committees must file quarterly reports for each calendar quarter in which either receipts or expenditures exceed \$1,000. Exemptions from filing quarterly reports are granted if a candidate or political committee notifies the Commission on FEC Form 3a or by letter stating that receipt and/or expenditure activity fell below the required reporting threshold.

NOTE: The list of 1979 October 10 Non-Filers is on page 2.

The Federal Election Campaign Act of 1971, as amended in 1974 and 1976, states that "It shall be the duty of the Commission to...prepare and publish from time to time special reports listing those candidates...for whom...reports were not filed as so required."

In addition to publishing the names of non-filers, the FEC has the authority to undertake further enforcement action under the statute, including civil court enforcement and imposition of civil penalties (\$5,000 for "any violation" and \$10,000 for a "knowing and willful violation.")

Under FEC procedures, three notices are sent to the candidates and committees reminding them of their reporting obligations, and urging compliance. If a report is not filed following these notices, the names of all "non-filers" are made public.

"First notice" is the first reminder, sent on a routine basis prior to the filing date, to all candidates and political committees registered with the Commission. This notice simply reminds candidates and committees of their required reports, and the appropriate filing dates.

The second notice is sent only to candidates and committees whose reports have not been received by or shortly after the filing date, and states that this "apparent failure...gives the Commission 'reason to believe' that the candidate or committee is in violation of the reporting requirements."

The third notice is sent only to candidates and committees whose reports are not filed in response to the second notice, and states that "continued failure to file has given the Commission 'reasonable cause to believe' that they are in violation and that 'failure to file the report may result in the publication of (the candidate's or committee's) name on a list of non-filers and referral of your case to our Office of General Counsel which may result in civil penalties."

When a candidate or committee continues to fail to file after receiving the three notices, the Commission publishes the names of the candidate and/or his committee on a list of "non-filers."

-308-

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STATE	DISTRICT	NAME OF CANDIDATE/COMMITTEE
Iowa	House - 01	Douglas for Congress Campaign (William Douglas - Socialist Party U.S.A.)
	Senate	Baker for Senate (Gerald Baker - D)
Kansas	Senate	Boman for Senate (Ben Boman - CRV)
	Senate	Preboth for U.S. Senate (Roland Preboth - D)
	Party	Kansas Democratic State Committee
Louisiana	House	Help, Inc. (Krasnoff)
Maine	House - 01	Marcotte for Congress Committee (Guy Marcotte - D)
	House - 01	Citizens for Reeves (Bruce Reeves - D)
	House - 02	Cooney to Congress (Leighton Cooney - D)
Maryland	House - 02	Friends of Ron Meroney (Ind.)
	House - 03	John A. Pica for Congress (D)
	House - 03	Workers Party Campaign Committee, Baltimore MD (Vedder) (Alden Vedder - SWP)
	House - 06	Friends of Dan Rupli (D)
	Party	Baltimore City Democratic Central Committee
	House - 06	Malvin Perkins (R)
Massachusetts	House - 03	Citizens for Charles K. MacLeod (R)
	House - 05	Jay Gaffney for Congress (Ind.)
	House - 05	McLaughlin for Congress Committee (Michael McLaughlin - D)
	House - 06	Committee to Elect John W. McKean to Congress (D)
	House - 07	James J. Murphy Candidate for Congress (Ind.)
	House - 11	Jim Sheets for Congress Committee (D)
	House - 11	Joseph M. Tierney for Congress Committee (D)
	Senate	Committee to Elect Kathleen Sullivan Alioto (D)
	Non-Party	Lincoln Union
	Michigan	House - 03
House - 05		Tin Walter for U.S. Congress Committee (AIP)
House - 04		Committee to Elect Kwicinski (Mark Kwicinski - U.S. Labor Party)
House - 05		Committee to Elect Meyer (Steven Meyer - U.S. Labor Party)
House - 08		Denton for Congress Committee (Edward Denton, Jr. - R)
House - 09		Committee to Elect Powell (Joy Powell - U.S. Labor Party)
House - 11		Committee to Elect Verna Lawrence (D)
House - 11		Committee to Elect Herbert Stephens to Congress (D)
House - 11		Watanen for Congress Campaign Committee (John Watanen, Jr. - D)
House - 13		Henry E. Stallings for U.S. Congress Committee (D)
House - 13		William G. Tinsley (D)
House - 13		Friends of William G. Tinsley
House - 17		Fitzpatrick for Congress (Robert E. Fitzpatrick)
House - 17		Committee to Elect Harry V. Teachout to Congress (D)
House - 18		Committee to Elect Bob Salloun (R)
Senate		Austin for Senate (Richard Austin - D)
Senate		Erwin for Senate Committee (Bette Erwin - Lib.)
Senate		People Sup. Evan Karabetsos for Sen. (D)
Senate		Harry R. Payne II for U.S. Senate Committee (D)
Party		13th Congressional District Republican Committee
Party	Macedon County Republican Committee	
Party	Newago County Republican Committee	
Party	Washtenaw County Republican Committee	
Non-Party	Political Action Committee for Design Professionals in Michigan	

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ATTACHMENT 12

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ATTACHMENT 13

FEDERAL ELECTION COMMISSION



FOR IMMEDIATE RELEASE
APRIL 23, 1980

CONTACT: FRED ELLAND
SHARON SNYDER

FEC PUBLISHES LIST OF JANUARY 31 YEAR-END REPORT "NON-FILERS"

WASHINGTON -- The Federal Election Commission today published the names of candidate committees which failed to file a 1979 Year-End Report of Receipts and Expenditures, as required by the Federal Election Campaign Act. The report, due on January 31, 1980, was required of all political committees supporting candidates for Federal office. It covered activity for the fourth calendar quarter of 1979 and summarized activity for the entire year.

The published list contains only the names of principal campaign committees of House, Senate or Presidential candidates. Other types of political committees having federal election activity are not included.

The Commission notified the committees of their filing obligation in December, prior to the reporting date. Then, on March 14, another notice was sent to those committees which still had not filed, and they were advised that they had 30 days to respond before their names would be published.

The following lists those committees which have failed to meet the filing requirements:

-note-

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<u>STATE</u> (District)	<u>COMMITTEE NAME</u>	<u>CANDIDATE'S PARTY</u>
<u>Massachusetts</u>		
House - 10	Committee to Elect David Kelley	Democrat
House - 10	Committee to Elect John J. Marino to Congress	Democrat
House - 11	Jim Sheets for Congress Committee	Democrat
House - 11	Joseph M. Tierney for Congress Committee	Democrat
Senate	Committee to Elect Kathleen Sullivan Alioto	Democrat
<u>Michigan</u>		
House	Trowbridge for Congress	
House - 03	Tim Walter for U.S. Congress Committee	American Independent Party
House - 06	Conlin for Congress Committee (Michael Conlin)	Republican
House - 07	Sabin for Congress Committee (Jimmy Sabin)	Unknown
House - 08	Denton for Congress Committee (Edward B. Denton, Jr.)	Republican
House - 11	Committee to Elect Verna Lawrence	Democrat
House - 11	Committee to Elect Herbert Stephens to Congress	Democrat
House - 11	Watanen for Congress Campaign Committee (John Watanen)	Democrat
House - 12	Friends of Kirby Holmes	Republican
House - 13	Rickman for Congress Committee (Ray Rickman)	Democrat
House - 13	Henry E. Stallings for U.S. Congress Cate.	Democrat
House - 13	Friends of William G. Tinsley	Democrat
House - 17	Committee to Elect Anthony J. Romeo	Democrat
House - 18	Committee to Elect Bob Salloun	Republican
House - 19	Collier for Congress Committee (Batty Collier)	Democrat
Senate	Austin for Senate (Richard Austin)	Democrat
Senate	Erwin for Senate Committee (Bette Erwin)	Libertarian
Senate	People Sup. Evan Karabetsos for Sen.	Democrat
Senate	Harry R. Payne II for U.S. Senate Comm.	Democrat
Senate	Rosenbaum for Senate (Paul Rosenbaum)	Democrat
<u>Minnesota</u>		
Senate	Connolly for U.S. Senate Committee (John Connolly)	Democrat Farm Labor Party
Senate	For Senator, Ratté (Phil Ratté)	Democrat
Senate	Mondale Volunteer Committee (Walter F. Mondale)	Democrat
Senate	Sharon Anderson for U.S. Sen. Vol. Comm.	Democrat Farm Labor Party
<u>Mississippi</u>		
House - 01	Committee to Elect Eugene Carter	Independent
House - 03	Cleveland for Congress (Dorothy Cleveland)	Republican
House - 04	Friends of Jay Disharoon	Democrat
House - 04	Carolyn Mitchell (PCC for Bob Mitchell)	Democrat
House - 04	Stennis for Congress Committee (John Stennis)	Democrat
House - 04	Mississippians for Doug Tuttle for Cong.	Democrat
Senate	Evers for U.S. Senate Committee (James Evers)	Independent
Senate	Gov. Cliff Finch for United States Senate	Democrat
Senate	Kirksey for Senator Committee (Henry Kirksey)	Independent
Senate	Committee to Elect R.C. "Sonny" Tedford to the U.S. Senate	Democrat
<u>Missouri</u>		
House - 01	Committee to Elect Helen Getleman	Democrat
House - 01	Shelton for Congress Campaign Committee (Barry Shelton)	Democrat
House - 01	Citizens for Bill White	Republican

(Missouri continued on page 7)

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ATTACHMENT 14

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FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

63
MAILGRAM TEXT FOR
12 DAY PRE-PRIMARY
FOR GA,KS,MI, & MO

TRANSMITTED 7/25/80

It has come to the attention of the Federal Election Commission that you may have failed to file the 12 Day Pre-Primary Election Report of Receipts and Expenditures, as required by the Federal Election Campaign Act, as amended. Unless you recently registered, prior notification was sent to you approximately 24 days ago advising you that your 12 Day Pre-Primary Election Report was due on July 24, 1980.

You will be allowed four (4) business days from the date of this notice to file the 12 Day Pre-Primary Election Report. The report should be filed with the Clerk of the House, Office of Records and Registration, 1036 Longworth House Office Building, Washington, D.C. 20515, or the Secretary of the Senate, Office of Public Redords, 119 D Street, N.E., Washington, D.C. 20510, as appropriate. A copy of your report should also be filed with the appropriate Secretary of State or equivalent state officer.

If you have filed the complete report (including all appropriate schedules) timely by certified or registered mail, please notify us immediately, in writing, of the certified or registered number and the date that the report was sent.

The failure to file the complete report in a timely manner may result in publication, legal enforcement or audit action.

If you have any questions regarding this matter, please contact the Reports Analysis Division on the toll free number (800)424-9530. Our local number is (202)523-4172.

Sincerely,

Thomas J. Haselhorst
Assistant Staff Director
Reports Analysis Division

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ATTACHMENT 15

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COMMISSION

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FOR IMMEDIATE RELEASE
AUGUST 1, 1980

CONTACT: FRED EILAND
SHARON SNYDER

WASHINGTON -- Four states will be holding primary elections next Tuesday, August 5, to select candidates for U.S. House and Senate seats. They include Georgia, Kansas, Michigan and Missouri.

Under federal election law, committees supporting candidates in those races must file reports of their financial activity 12 days before the primaries.

As of 5:00 p.m., July 31, reports from the following committees had not been filed:

GEORGIA

House-07 Bade for Congress Committee (Jack Bade) Democrat

MICHIGAN

House-11 Committee to Elect Herbert Stephens to Congress Democrat
House-15 Committee to Re-Elect Congressman William D. Ford Democrat

MISSOURI

House-09 Turner for Congress Committee (John Wayne Turner) Republican

The reports were to include financial activity occurring between July 1 and July 16. If sent certified or registered mail, the reports should have been postmarked by July 21. For all others, the due date was July 24.

Some individuals and their committees have no obligation to file reports under election law, even though their names may appear on state primary ballots. If an individual and his or her campaign committee raise or spend less than \$5,000, the individual is not considered a "candidate" and neither the individual nor the committee is required to register.

The Commission notified the committees of all candidates on the ballots of their potential filing requirements on June 30. Those committees which did not file on the due date were notified that their reports had not been received and that their names would be published for failure to file if they did not respond within 4 business days.

The Commission publishes only the names of those committees which have been designated by candidates as their principal campaign committees. Under Amendments to the Federal Election Campaign Act, effective January 8, 1980, candidates no longer are required to file personal reports of campaign receipts and expenditures. All such information is reported by authorized committees of the candidates.

Other political committees supporting House and Senate candidates in primary elections (those which are not authorized units of a candidate's campaign) also are required to file pre-primary election reports, unless they report monthly. Those committee names are not published by the FEC.

The next report due for candidates from these four states will be the third quarterly report - on October 15. The report will cover activity from July 17 through September 30.

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(MS) (PA) (TV) (CA) (CT) (GA) (IL)
(NJ) (MI) (IN) (OH)

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ATTACHMENT 16

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FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

67

August 27, 1980

Barbara K. Stephens, Treasurer
Committee to Elect Herbert
Stephens to Congress
1021 Parnell St.
Sault Ste. Marie, MI 49783

Identification No: C00084012

Reference: July 15 Quarterly Report (9/6/78 - 7/10/80)

Dear Ms. Stephens:

This letter is prompted by the Commission's preliminary review of your July Quarterly Report. The review raised questions as to specific contributions and/or expenditures, and the reporting of certain information required by the Federal Election Campaign Act. An itemization of these areas follows:

-There are no figures entered on all the lines of Column B of the Summary Page and Detailed Summary Page.

On Line 17 of the Detailed Summary Page your report shows \$18,304 in loans received by your committee. Please provide a Schedule A to reflect this activity.

Your Schedule C discloses several loans made to the committee. Please amend Schedule C to show the full name and mailing address of the endorser of these loans.

An amendment to your original report correcting the above problems should be filed with the Clerk of the House of Representatives, 1036 Longworth House Office Building, Washington, DC 20515, within fifteen (15) days of the date of this letter. If you need assistance, please

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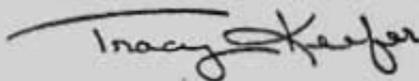
Barbara K. Stephens
Committee to Elect Herbert
Stephens to Congress

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(68)

feel free to contact me on our toll free number, (800) 424-9530. My
local number is (202) 523-4172.

Sincerely,



Tracy Keefer
Reports Analyst
Reports Analysis Division

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ATTACHMENT 17

83040373523



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

October 6, 1980

Barbara K. Stephens, Treasurer
Committee to Elect Herbert
Stephens to Congress
2205 Wilkinson
Marquette, MI 49855

Identification No: C00084012

Reference: July 15 Quarterly Report (9/6/78 - 7/10/80)

Dear Ms. Stephens:

This letter is prompted by the Commission's preliminary review of your July Quarterly Report. The review raised questions as to specific contributions and/or expenditures, and the reporting of certain information required by the Federal Election Campaign Act. An itemization of these areas follows:

-There are no figures entered on all the lines of Column B of the Summary Page and the Detailed Summary Page.

On Line 17 of the Detailed Summary Page your report shows \$18,304 in loans received by your committee. Please provide a Schedule A to reflect this activity.

Your Schedule C discloses several loans made to the committee. Please amend Schedule C to show the full name and mailing address of the endorser of these loans.

An amendment to your original report correcting the above problems should be filed with the Clerk of the House of Representatives, 1036 Longworth House Office Building, Washington, DC 20515, within fifteen (15) days of the date of this letter. If you need assistance, please feel free to contact me on our toll free number, (800) 424-9530. My local number is (202) 523-4172.

Sincerely,

Tracy Keefer
Reports Analyst
Reports Analysis Division

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ATTACHMENT 18

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ATTACHMENT 19



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

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October 24, 1980

Barbara K. Stephens, Treasurer
Committee to Elect Herbert
Stephens to Congress
2205 Wilkinson
Marquette, MI 49855

Identification No: C00084012

Reference: July 15 Report (9/6/~~80~~⁷⁸ - 7/10/80)

Dear Ms. Stephens:

This letter is to inform you that as of this date, the Commission has not received your response to our request for additional information, dated October 6, 1980. That notice requested information essential to full public disclosure of your Federal election financial activity and to ensure compliance with provisions of the Federal Election Campaign Act (the Act). A copy of our original request is enclosed.

If no response is received within fifteen (15) days from the date of this notice, the Commission may choose to initiate audit or legal enforcement action.

If you should have any questions related to this matter, please contact Theresa Keefer on our toll-free number (800)424-9530 or our local number (202)523-4172.

Sincerely,

Thomas J. Haselhorst
Assistant Staff Director
Reports Analysis Division

Enclosure

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ATTACHMENT 20

FEDERAL ELECTION COMMISSION

76



Press Office
1325 K Street, N.W., Washington, D.C. 20483
Phone: Local 523-4065 Toll Free 800-424-9530

FOR IMMEDIATE RELEASE
OCTOBER 31, 1980

CONTACT: FRED EILAND
SHARON RYDER

FEC PUBLISHES 3RD QUARTER NON-FILERS

WASHINGTON — The Federal Election Commission published today a list of committees authorized by 1980 Senate and House candidates which failed to file the required third quarter financial disclosure reports.

The reports were due October 15th, covering activity from July 1, 1980, (or date of registration, or the close of books of the last report filed if after July 1, 1980,) through September 30, 1980. Committees were notified of their filing requirements in advance of the due date and those which failed to file were again notified October 21st. By close of business October 28, some committees had still not filed.

The 1979 Amendments to the Federal Election Campaign Act specify that the Commission publish only those committees authorized by candidates to raise and spend money on their behalf. Therefore, this list does NOT include other types of political committees which may have been required to file.

In addition, individuals register as candidates only after they have raised or spent in excess of \$5,000. Prior to reaching that threshold, no registration or reporting is required. Therefore, some individuals whose names appear on state ballots may have no filing obligations.

Further Commission action against non-filers and late filers will be decided on a case-by-case basis, depending on the amount of financial activity involved, the percent of vote received and/or the lateness of the report. The Federal Election Campaign Act gives the Commission broad authority to initiate enforcement actions, including civil court enforcement and the imposition of civil penalties (\$5,000 for "any violation" and \$10,000 for any "knowing and willfull" violation) for infractions of the law, including failure to file required disclosure reports.

The following lists the non-filers by state:

-over-

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COMMITTEE TYPE DISTRICT (HOUSE)	COMMITTEE NAME	CANDIDATE	PARTY
NECHIGAN			
HOUSE			
10	SMITH FOR CONG DATE	SMITH, WILLIAM ELBERN	REP
11	DATE TO ELECT HERBERT STEPHENS TO CONG	STEPHENS, HERBERT MURRAY	DEM
13	CLEVELAND FOR CONG DATE	CLEVELAND, CLYDE	DEM
13	HENRY E. STALLINGS FOR U.S. CONG DATE	STALLINGS, HENRY E JR	DEM
MINNESOTA			
HOUSE			
08	DOUGHERTY VOLUNTEER DATE	DOUGHERTY, THOMAS E	DEM
MISSISSIPPI			
HOUSE			
04	KIRKSEY FOR CONG DATE	KIRKSEY, HENRY J	DEM
MISSOURI			
HOUSE			
08	DON MEYER FOR CONG	MEYER, DONALD DRAKE	REP
09	TURNER FOR CONG DATE	TURNER, JOHN WAYNE	REP
MONTANA			
HOUSE			
01	MORRIS FOR CONG DATE	MORRIS, SUZANNE P	REP
NEBRASKA			
HOUSE			
02	ALLEN JONES FOR CONG	JONES, ALLEN EDWIN	REP
NEW HAMPSHIRE			
SENATE			
	LARRY BRADY FOR SEN	BRADY, LAWRENCE J	REP
	ROBERTS FOR U S SEN DATE	ROBERTS, GEORGE B JR	REP
NEW JERSEY			
HOUSE			
03	DATE TO ELECT ALEXIS S AMOND	AMOND, ALEXIS S	DEM
06	MACHAL FOR CONG DATE	MACHAL, MALCOLM BRUCE	DEM
09	CIT DATE HELSTOSKI FOR CONG	HELSTOSKI, HENRY	DEM
10	DATE TO ELECT GOLDEN E JOHNSON	JOHNSON, GOLDEN E	DEM
10	PATKE FOR CONG DATE	PATKE, DONALD A	DEM
11	INDEP DATE TO ELECT ROBERT G. TRUGMAN	TRUGMAN, ROBERT G	REP
NEW YORK			
SENATE			
	CIT TO ELECT JIM EAGAN UNITED STATE SEN	EAGAN, JAMES E	REP
HOUSE			
17	TOM STOKES FOR CONG DATE	STOKES, THOMAS	DEM
32	GARY L KIDWELSON FOR CONG DATE	KIDWELSON, GARY L	DEM
NORTH CAROLINA			
HOUSE			
01	HOLLONELL FOR CONG DATE	HOLLONELL, JOSEPH BERTRAM JR (JOE)	DEM
04	REGGIEY GARDEN FOR CONG DATE	GARDEN, REGGIEY E.	DEM
OHIO			
HOUSE			
22	RUTH KILLER FOR CONG DATE	KILLER, RUTH	REP
OKLAHOMA			
SENATE			
	OKLAHOMANS FOR HOWARD DATE	HOWARD, BENE CLAUDE	DEM
	THORNE STALLINGS FOR US SEN DATE	STALLINGS, GILBERT THORNE	REP
	JOHN ZINK FOR U S SEN DATE	ZINK, JOHN	REP
HOUSE			
04	WILL WHITE FOR CONG	WHITE, WILLARD HENRY JR	REP
OREGON			
SENATE			
	BRENDA JOSE FOR US SEN DATE	JOSE, BRENDA A.	REP
PENNSYLVANIA			
SENATE			
	ELLIOTT FOR SEN DATE	ELLIOTT, FRANK B	IND

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ATTACHMENT 21

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CANDIDATES TO BE INCLUDED IN THE 'FEC REPORTS ON FINANCIAL ACTIVITY'

STATE: MICHIGAN

RACE: HOUSE

	I/C/O	PTY	SPECIAL ELEC		PRIMARY ELEC		RUNOFF ELEC		GENERAL ELEC	
			#S/W/L	PCT	#S/W/L	PCT	#S/W/L	PCT	#S/W/L	PCT
HBMI11015		DEM	---	0	1 LOSER	30	---	0	---	0
HBMI11031		REP	---	0	1 WINNER	100	---	0	1 WINNER	66
HOMI11012		DEM	---	0	1 WINNER	59	---	0	1 LOSER	34
HOMI11020		ATP	---	0	---	0	---	0	1 LOSER	1
HBMI11056		DEM	---	0	1 LOSER	51	---	0	---	0

STATE HOUSE TOTAL: 5

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FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

Attachment 2
1 of 2

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Herbert Stephens
Committee to Elect
Herbert Stephens
2205 Wilkenson
Marquette, MI 49855

Re: MUR 1382

Dear Mr. Stephens:

This is to advise you that on April , 1981, the Federal Election Commission found reason to believe that your committee, the Committee to Elect Herbert Stephens has violated 2 U.S.C. § 434, a provision of the FECA of 1971, as amended by failure to file timely reports and failure to file adequate reports.

While the Committee Treasurer is responsible for the filing of reports we believe that you, as the candidate, should be made aware of this development. A copy of our letter to your Committee Treasurer is enclosed.

Under 2 U.S.C. § 437g(a)(4)(B) and § 437g(a)(12)(A) this matter will remain confidential unless the Committee notifies the Commission in writing that it wishes the investigation to be made public.

If you have any questions, please contact Deborah Curry, the attorney assigned to this matter at (202)523-4060. We have numbered this matter MUR 1382.

Sincerely,

Charles N. Steele
General Counsel

Enclosure
Letter to Committee Treasurer

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FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Attachment 2
2 of 2

Barbara K. Stephens
Committee to Elect
Herbert Stephens
2205 Wilkenson
Marquette, MI 49855

Re: MUR 1382

Dear Ms. Stephens:

On April , 1981, the Federal Election Commission determined that there is reason to believe that your committee violated section 2 U.S.C. 434 of the Federal Election Campaign Act of 1971, as amended (the "Act") by failure to file timely reports and failure to file adequate reports. The General Counsel's factual and legal analysis, which formed a basis for the Commission's finding, is attached for your information.

Under the Act, you have an opportunity to demonstrate that no action should be taken against you. Please submit any factual or legal materials which you believe are relevant to the Commission's consideration of this matter.

In the absence of any additional information which demonstrates that no further action should be taken against your committee, the Commission may find probable cause to believe that a violation has occurred and proceed with formal conciliation. Of course, this does not preclude the settlement of this matter through informal conciliation prior to a finding of probable cause to believe if you so desire.

The investigation now being conducted will be confidential in accordance with 2 U.S.C. § 437g(a)(4)(B) and § 437g(a)(12)(A), unless you notify the Commission in writing that you wish the investigation to be made public.

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Barbara K. Stephens
Page Two

For your information, we have attached a brief description of the Commission's procedures for handling possible violations of the Act. If you have any questions, please contact Deborah Curry, the attorney assigned to this matter, at (202)523-4060.

Sincerely,

Enclosures

General Counsel's Factual and Legal Analysis
Procedures

8304037336

FEDERAL ELECTION COMMISSION

GENERAL COUNSEL'S FACTUAL AND LEGAL ANALYSIS

Attachment 3

DATE:

MUR 1382

RESPONDENT: Committee to Elect
Herbert Stephens

STAFF MEMBER & TEL. NO.
Deborah Curry
(202)523-4060

SOURCE OF MUR: I N T E R N A L L Y G E N E R A T E D

SUMMARY OF ALLEGATIONS

The Stephens Committee has failed to file reports of receipts and expenditures with the Commission in violation of 2 U.S.C. § 434(a). The Stephens Committee has also failed to adequately disclose financial information on its July 12, 1980 report in violation of 2 U.S.C. § 434(b).

FACTUAL BASIS AND LEGAL ANALYSIS

During 1979, the Commission found reason to believe and reasonable cause to believe that the Stephens Committee violated 2 U.S.C. § 434 for its failure to file April 10, July 10, and October 10 Quarterly reports of receipts and expenditures. 1/ Mailgrams notifying the Committee of the Commission's findings were sent on the following dates:

<u>Report</u>	<u>RTB Notice</u>	<u>RCTB Notice</u>
1979 April 10 Quarterly	04/24/79	05/11/79
1979 July 10 Quarterly	07/25/79	05/15/79
1979 October 10 Quarterly	10/24/79	11/14/79

During 1980 and 1981 the Commission notified the Stephens Committee by mailgram that it had failed to file a 1979 Year End Report, a 12 Day Pre-Primary Report, an October 15 Quarterly

1/ In NF 224 the Commission determined to take no further action at that time with regard to the failure of the Stephens Committee to file its 1978 July 10 and October 10 Quarterly reports.

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Report and a 1980 Year End Report.^{2/} Mailgrams were sent on the following dates:

<u>Report</u>	<u>Notice</u>
1979 Year End	03/14/80
12 Day Pre-Primary due July 24, 1980	05/25/80
October 15 Quarterly	10/21/80
1980 Year End	02/05/81

A Report of Receipts and Expenditures was filed by the Stephens Committee on July 12, 1980. That report covered the financial activity of the Committee from September 6, 1978 through July 10, 1980. After review of the report the Reports Analysis Division sent a request for additional information (RFAI) to the Committee on August 27, 1980. The RFAI was re-sent on October 6, 1980 because of an incorrect address. A second RFAI was sent by the Reports Analysis Division on October 24, 1980.

A review of the July 12, 1980 report indicates the following:

1. There are no figures entered on all the lines of Column B of the Summary Page and Detailed Summary Page.
2. Line 17 of the Detailed Summary Page showed \$18,304 in loans received by the Committee. However, there is no Schedule A to reflect this activity.
3. Schuedule C disclosed several loans made to the Committee. However, they were not properly itemized to show the full name and mailing address of the endorser of the loans.
4. Schedule C discloses a loan to the Committee of \$16,833 by Concept Associates. However, the nature of the obligation is omitted.

2 U.S.C. § 434(a) requires that committees file reports of receipts and expenditures with the Commission. Except for the report filed on July 12, 1980, by the Stephens Committee, it has failed to file such reports. Therefore, it is recommended

^{2/} The Commission did not notify the Stephens Committee of its failure to file a 1980 April 10 Quarterly Report as the Commission's records did not show that the Committee had financial activity in excess of \$5,000 (See RAD Referral).

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that the Commission find reason to believe that the Stephens Committee has violated 2 U.S.C. § 434(a).

2 U.S.C. § 434(b) and 11 C.F.R. § 104.3 require that reports filed with the Commission disclose the total amount of receipts and expenditures, along with information regarding loans. The July 12, 1980 report filed by the Stephens Committee does not contain this information. Therefore, the General Counsel recommends that the Commission find reason to believe that the Committee to Elect Herbert Stephens violated 2 U.S.C. §§ 434(a) and (b).

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REPORTS ANALYSIS REFERRAL UPDATE

***ORIGIN:** RAD

DATE MARCH 31, 1981

ANALYST TRACY KEEFER

TO: OFFICE OF GENERAL COUNSEL
ATTENTION: JUDY THEDFORD

TEAM CHIEF PETER KELL *PK*

THROUGH: STAFF DIRECTOR *JK*

COMPLIANCE REVIEW JIM ALLEN *JA*

FROM: ASSISTANT STAFF DIRECTOR FOR REPORTS ANALYSIS *Off. for J.G.*

MUR No. 1382

DATE OF ORIGINAL REFERRAL 12/16/80

COMMITTEE TO ELECT HERBERT STEPHENS TO CONGRESS

****PURPOSE:** NON-FILER INFORMATION:

ATTACHMENT(S)

2/05/81	MAILGRAM SENT FOR FAILURE TO FILE THE JANUARY 31 YEAR END REPORT	3
2/19/81	PUBLISHED FOR FAILURE TO FILE THE JANUARY 31 YEAR END REPORT	4

OUTSTANDING DEBTS AS OF 7/10/80:	2
----------------------------------	---

CANDIDATE LOANS:	\$100,016
VENDOR DEBTS:	16,833

OUTCOME: (if applicable)

ALLEGATION(S):
FAILURE TO FILE THE
1980 JANUARY 31 YEAR
END REPORT

CITE: 2 U.S.C. 434(a) 1

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*Commission unit which initiated original Referral (e.g. AUDIT/RAD/OGC).
**INFORMATION, or RESULTS OF RAD ACTION, as appropriate.

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ATTACHMENT: 1

CD0084012 COMMITTEE TO ELECT HERBERT STEPHENS TO CONGRESS

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3 N 80/07/12 Q1 78/09/06-80/07/10 P 00018304 00019396

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RQ 2 80/08/27 Q2 78/09/06-80/07/10
RQ 2 80/10/06 Q2 78/09/06-80/07/10
RQ 3 80/10/24 Q2 78/09/06-80/07/10

2 80031642841
1 80031662693
2 80031731642

FILER ID OR (END TO EXIT) END

EXIT

830403735A4

ATTACHMENT: 2

JUL 9 - 1980

REGULAR MAIL

FEC FORM 3
REVISED
January, 1979
Federal Election Commission
1325 K Street, N.W.
Washington, D.C. 20463

REPORT OF RECEIPTS AND EXPENDITURES
FOR A CANDIDATE OR COMMITTEE
SUPPORTING CANDIDATE(S) FOR
NOMINATION OR ELECTION TO FEDERAL OFFICE

(Except for Candidates or Committees Receiving Federal Matching Funds)

072699

Note: Committees authorized by a candidate to receive contributions and make expenditures in connection with may make this election form and file separate records with respect to each election.

1 The Committee To Elect Robert Stephens No. C-5679
Name of Candidate or Committee (in full) To Congress
2205 Wilkinson
Address (number and street) Marquette, MI 49855
City, State and ZIP Code Check if address is different than previously reported
Year of Election 1980

4 Type of Report (check appropriate boxes)
 April 10 Quarterly Report
 July 10 Quarterly Report on _____ in the State of _____
 October 10 Quarterly Report
 January 31 Annual Report
 Monthly Report (month) _____ on _____ in the State of _____
 Tenth day report preceding _____ election (primary, general or convention)
 Termination Report
 Amendment for _____
 Thirtieth day report following _____ election (primary, general or convention) (which report)
This is a report for Primary Election General Election Primary and General Other (special, runoff, etc.)

SUMMARY OF RECEIPTS AND EXPENDITURES
(Figures may be rounded to nearest dollar.)

5 Covering Period	Column A This Period	Column B Calendar Year-To-Date
<u>9/6/78 Through 7/16/80</u>		
6 Cash on hand January 1, 19		\$
7 Cash on hand at beginning of reporting period	\$ <u>4,214.58</u>	
8 Total receipts (from line 19)	\$ <u>18,304.82</u>	\$
(a) Subtotal (Add lines 7 and 8 for Column A and lines 6 and 8 for Column B)	\$ <u>22,519.40</u>	\$
9 Total expenditures (from line 25)	\$ <u>19,396.39</u>	\$
10 Cash on hand at close of reporting period (Subtract line 9 from line 8a)	\$ <u>3,123.01</u>	\$
11 Value of contributed items on hand to be liquidated (Attach itemized list)	\$	\$
12 Debts and obligations owed to the Committee/Candidate (itemize all on Schedule C)	\$	\$
13 Debts and obligations owed by the Committee/Candidate (itemize all on Schedule C)	\$ <u>116,849.89</u>	\$

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.
7/6/80 (Date) Robert M. Stephens (Typed Name of Treasurer or Candidate) [Signature] (Signature of Treasurer or Candidate)

Note: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. Section 437g or Section 441; see reverse side of form.

For further information, contact:

Federal Election Commission
1325 K Street, N.W.
Washington, D.C. 20463

or call 800/424-9530

Approved by G.A.C.
8/18/80 - 4217
E-11-80-20

All previous versions of FEC FORM 3 are obsolete and should no longer be used.

Any information reported herein may not be copied for sale or use by any person for purposes of soliciting contributions or for any commercial purpose.

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DEBTS AND OBLIGATIONS
 Supporting Line Numbers 12 and 13
 of FEC FORM 3

Page L of 2 of
 Line Number _____
 (Use Separate Schedule for each numbered line)

(Indicate Primary or General Election for each Entry)

Name of Candidate and Committee in Full				
<i>Committee To Elect Herbert Stephens To Congress</i>				
Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
<i>Committee To Elect Herbert Stephens To Congress 2205 Wilkinson Ave Marquette, MI 49855</i>	<i>1/78</i>	<i>1000</i>	<i>0</i>	<i>9400</i>
<i>2/78</i>	<i>1000</i>	<i>0</i>	<i>5000</i>	<i>5000</i>
<i>3/78</i>	<i>1000</i>	<i>0</i>	<i>5000</i>	<i>5000</i>
<i>21/78</i>	<i>2000</i>	<i>0</i>	<i>0</i>	<i>9400</i>
<input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other				
NATURE OF OBLIGATION (Details of Debt)				
<i>Loans To Committee To Elect Herbert Stephens To Congress</i>				
Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
<i>Com. To Elect Herbert Stephens To Congress 2205 Wilkinson Ave Marquette, MI 49855</i>	<i>5-15-78</i>	<i>14,800</i>	<i>0</i>	<i>14,800</i>
	<i>6-22-78</i>	<i>10,000</i>	<i>0</i>	<i>10,100</i>
	<i>7-10-78</i>	<i>1,000</i>	<i>0</i>	<i>1,300</i>
	<i>7-19-78</i>	<i>16,000</i>	<i>0</i>	<i>16,000</i>
<input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other				
NATURE OF OBLIGATION (Details of Debt)				
<i>Loans To Committee To Elect Herbert Stephens To Congress</i>				
Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
<i>Com. To Elect Herbert Stephens To Congress 2205 Wilkinson Ave Marquette, MI 49855</i>	<i>7-X-78</i>	<i>2700.00</i>	<i>0</i>	<i>2700.00</i>
	<i>8-11-78</i>	<i>8172.46</i>	<i>0</i>	<i>8172.46</i>
	<i>5-15-80</i>	<i>51500.00</i>	<i>0</i>	<i>51500.00</i>
<input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other				
NATURE OF OBLIGATION (Details of Debt)				
<i>Loans To Committee To Elect Herbert Stephens To Congress</i>				
SUBTOTALS this period this page (optional)		\$ <i>82211.74</i>	\$	\$
TOTAL this period (last page this line number only)		\$	\$	\$
Carry outstanding balance only to appropriate line of summary				

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DEBTS AND OBLIGATIONS
 Supporting Line Numbers 12 and 13
 of FEC FORM 3

Page **2** of **2**
 Line Number
 Has Separate Schedule for each reporting line?

(Indicate Primary or General Election for each entry)

Name of Candidate and Committee in Full				
Committee To Elect Herbert Simpson to Congress				
Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
Committee To Elect Herbert Simpson To Congress 2505 Wilkinton Ave Marquette, MI 49855	5/15/78	\$ 812.00	0	\$ 812.00
<input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other				
NATURE OF OBLIGATION (Details of Debt)				
Loan To Committee To elect Herbert Simpson To Congress				
Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
Concept Associates Inc. 420 C Street, N.E. Washington, DC 20002	8/1/78	12,332.00	0	12,332
<input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other				
NATURE OF OBLIGATION (Details of Debt)				
Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
		\$	\$	\$
<input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other				
NATURE OF OBLIGATION (Details of Debt)				
SUBTOTALS (this period this page optional)		\$ 33,644.15	\$ 0	\$ 33,643
TOTAL (this period (last page this line number only))		\$	\$	\$
<small>Cells in this section only to be completed if applicable</small>				

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ATTACHMENT: 3

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ATTACHMENT: 4

FEDERAL ELECTION COMMISSION

Free Office
1325 K Street, N.W., Washington, D.C. 20463
Phone: Local 833-4085 Toll Free 800-434-8130



FOR IMMEDIATE RELEASE
FEBRUARY 19, 1981

CONTACT: FRED KILAND
SEARCH BODER

FEC CITES COMMITTEES FOR FAILING TO DISCLOSE CAMPAIGN INFORMATION

WASHINGTON — A total of 419 committees of U.S. House, Senate and Presidential candidates have been cited by the Federal Election Commission for failing to file 1980 year-end disclosure reports. Reports from 337 House, 77 Senate and 5 Presidential campaigns had not been filed by close-of-business Thursday, February 12.

The reports were due January 31, 1981. If sent certified or registered mail, they should have been postmarked by that date. Campaigns involved in last year's primary and general elections should have disclosed financial activity for either the last month or last quarter of 1980. Committees of candidates who ran for office in elections prior to 1980 were required to file reports covering the last six months of 1980. All committees should have reported year-to-date figures for the entire calendar year.

In addition to end-of-year non-filers, the FEC is publishing a list of 82 candidates' committees for failure to file the 30-day post-general election report. These reports were due December 4, 1980. A separate list of these committees follows the state listing of year-end non-filers.

The Federal Election Campaign Act requires all committees supporting candidates in U.S. House, Senate and Presidential races to file reports on a quarterly basis in an election year. Additional reports must be filed prior to primary elections and before and after the November general elections. Committees with debts from past campaign years must file semi-annual reports.

All committees are notified by the FEC of potential reporting requirements approximately one month in advance of each reporting date. Committees which fail to file timely reports are again notified after the due date that their reports have not been received. By law, only authorized committees of candidates are published.

In non-election years, authorized committees of House and Senate candidates must file semi-annual reports. This does not apply to committees of candidates involved in special off-year elections, or to PAC's or party-related committees. The next required report for candidates' committees will be due July 31, 1981, covering the first six months of this year.

Failure to file the required reports results in publication of committee names on a list of non-filers. Further FEC action against non-filers and late filers is decided on a case-by-case basis, depending on the amount of financial activity involved, the percent of vote received and/or the lateness of the report. The election law gives the Commission broad authority to initiate enforcement actions, including civil court enforcement and the imposition of civil penalties (\$5,000 for "any violation" and \$10,000 for any "knowing and willful violation") for infractions of the law, including failure to file required disclosure reports.

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STATE	COMMITTEE TYPE	DISTRICT (HOUSE)	COMMITTEE NAME	CANDIDATE	PART		
MISSISSIPPI	HOUSE	06	COULIN FOR CONG DITE	COULIN, GEORGE P.	REP		
		08	BOYTON FOR CONG DITE	BOYTON, EDGAR (DART) B	REP		
		10	ROBER TELLS FOR CONG DITE	TELLE, ROBER FRUCE	REP		
		11	* SECURITY FOR JETON	SECURITY, DANIEL TERENCE	REP		
		11	DITE TO ELECT HERBERT STEPHENS TO CONG	STEPHENS, HERBERT HUBERT	REP		
		11	WATSON FOR CONG CAMPAIGN DITE	WATSON, JOHN J.	REP		
		12	LARRY LISA FOR CONG DITE	LISA, LARRY	REP		
		12	KERN WALSH FOR CONG DITE	WALSH, KERN	REP		
		13	KIDMAN FOR CONG DITE	KIDMAN, RAY	REP		
		13	HENRY E. STALLING FOR U.S. CONG DITE	STALLING, HENRY E. JR	REP		
		14	CAPUTO FOR CONG DITE	CAPUTO, JETON C	REP		
		17	JOSEPH LEVIN FOR CONG DITE	LEVIN, JOSEPH	REP		
		MINNESOTA	SENATE		CONNOLLY FOR U.S. SEN DITE	CONNOLLY, JOHN STEVEN	SEN
					BRIAN COYLE FOR SEN VOLUNTEER DITE	COYLE, BRIAN JOHN	SEN
					HERBIE VOLUNTEER DITE FOR SEN. MATTE	HERBIE, WALTER F. MATTE, PAUL	SEN
			HOUSE	01	CARLSON FOR CONG DITE 1974	CARLSON, LYNN MARYN	REP
				01	STIGORSKI FOR CONG	STIGORSKI, BERNARD (BERT)	REP
02	FRIDEN FOR HAROLD J BENDRUST			BENDRUST, HAROLD J	REP		
04	CONNOLLY FOR CONG			CONNOLLY, JOHN S	REP		
06	ANDERSON FOR CONG VOLUNTEER DITE	ANDERSON, JAMES R.	REP				
06	* BAFFORD FOR CONG VOLUNTEER DITE	BAFFORD, J RUFUS	REP				
07	BELTNER VOLUNTEER DITE	BELTNER, RICHARD J.	REP				
MISSISSIPPI	SENATE		SEN. CLIFF FIRCH FOR U.S. SEN DITE TO ELECT R.C. "BOBBY" TERFORD TO THE	FIRCH, CHARLES CLIFTON (CLIFF) TERFORD, EDWARD C. "BOBBY"	SEN		
			SENATE				
	04	SENATE SECRETARY FOR CONG CAMPAIGN	SECRETARY, BETT BARNUM	SEN			
MISSOURI	HOUSE		* SYKINGTON FOR SEN	SYKINGTON, JAMES A.	SEN		
		02	WALTER FOR CONG DITE	WALTER, STEVEN L.	REP		
		04	* BROOKFIELD FOR CONG 1974	BROOKFIELD, CHARLES S.	REP		
		08	* WENDELL BAILEY FOR CONG	BAILEY, WENDELL	REP		
		08	PAUL BIESTRICH FOR CONG	BIESTRICH, PAUL GEORGE	REP		
		08	BOB MEYER FOR CONG	MEYER, DONALD BOBBY	REP		
		09	WELCH FOR CONG	WELCH, JERRY F.	REP		
MONTANA	SENATE		LARRY KILLIAN FOR U.S. SEN	KILLIAN, LARRY RICHARD	SEN		
		01	MORRIS FOR CONG DITE	MORRIS, BEAUNE P	REP		
		01	WALLACE FOR CONG	WALLACE, MARCO E. (BOB)	REP		
		02	JAMES A. "JIM" HOWARD FOR CONG	HOWARD, JAMES ALLEN	SEN		
NEBRASKA	SENATE		* STAB DITE	STAB, NESS	SEN		
		01	* STAB FOR CONG IN 1973 DITE.	STAB, NESS	SEN		
		02	ALLEN JONES FOR CONG	JONES, ALLEN EDWIN	REP		
NEVADA	SENATE		DITE TO ELECT MARY BLACK	BLACK, MARY L	SEN		
NEW HAMPSHIRE	SENATE		ROBERTS FOR U S SEN DITE	ROBERTS, BEAUNE B JR	SEN		
		01	CONLEIN FOR CONG	CONLEIN, MARSHALL W	REP		
NEW JERSEY	HOUSE	02	DITE TO ELECT JIM BIRDS TO CONG	BIRDS, JAMES A.	REP		
		03	FARTY FOR CONG	FARTY, JOHN T.	REP		

REPORTS ANALYSIS REFERRAL SHEET

DATE December 16, 1980 ANALYST Tracy Keefer TAK
 TO: OGC TEAM CHIEF Peter Kell, Jr. PKJ
 THROUGH: STAFF DIRECTOR [Signature] COMPLIANCE REVIEW Peggy Sims/ Jim Allen
 FROM: ASSISTANT STAFF DIRECTOR FOR REPORTS ANALYSIS B.L.

CANDIDATE/COMMITTEE: Committee to Elect Herbert Stephens to Congress
 TREASURER: Barbara K. Stephens
 ADDRESS: 2205 Wilkinson
 Marquette, MI 49855

AFFILIATE(S):
 N/A

ALLEGATION(S): Failure to properly itemize loans. Failure to file reports in a timely manner. CITE: 2 U.S.C. 434(a) and 434(b) ATTACHMENT(S)
 #2

DATE INITIATED:
 8/18/80

MANNER IN WHICH REVIEW WAS INITIATED:

Normal Review Other:
 Special Project:

ATTACHMENT
 #1

REPORTS: All reports within the dates listed below have received initial basic review. For all reports reviewed, see Attachment 1.

PERIOD COVERED FROM 9/6/78 TO 9/30/80

TOTAL RECEIPTS \$ 18304 TOTAL EXPENDITURES \$ 19396

CASH ON HAND \$ 3123 DEBTS \$ 116849

HISTORY:

RESULTS OF REVIEW: ATTACHMENT

COMMUNICATIONS WITH CANDIDATE/COMMITTEE:**ATTACHMENT**

Several unsuccessful attempts were made to contact the committee.

REASON(S) FOR REFERRAL:**ATTACHMENT**

Failure to respond to RFAI and amount of loan meet threshold
~~for referral to OGC.~~

Financial activity (including outstanding debts) meets threshold
 for non-filer referral to OGC

OTHER PENDING ACTIONS INITIATED BY RAD:**ATTACHMENT**

N/A

OTHER RELEVANT INFORMATION:**ATTACHMENT**

Hebert Stephens was a 1980 Candidate but no Q1 Mailgram was sent because FEC records at that time did not show activity in excess of \$5000.
 (See attachment for percent of vote received by Stephens.)

21

PREVIOUS OGC/AUDIT REFERRALS FROM RAD:

Committee was previously referred for failure to file the 1978 July 10 and October 10 Reports (NF 224). No further action was taken.

RESULTS OF REVIEWATTACHMENTS

RTB Mailgram sent for Q1 on 4/24/79	#3
RCTB Mailgram sent for Q1 on 5/11/79	#4
Commission approved publication for Q1 on 6/11/79	#5
RTB Mailgram sent for Q2 on 7/25/79	#6
RCTB Mailgram sent for Q2 on 8/15/79	#7
Commission approved publication for Q2 On 9/18/79	#8
RTB Mailgram sent for Q3 on 10/24/79	#9
RCTB Mailgram sent for Q3 on 11/14/79	#10
Commission approved publication for Q3 on 12/19/79	#11
Mailgram sent for 1979 YE on 3/14/80	#12
Commission approved publication for YE on 4/18/80	#13
Committee filed a report of financial activity covering 9/6/78 through 7/10/80 on 7/12/80	# 1b
Mailgram sent for 12P on 7/25/80	#14
Commission approved publication for 12P on 8/1/80	#15
RFAI sent for Q2 on 8/27/80	#16
RFAI re-sent for Q2 (because of incorrect address) on 10/6/80	#17
Mailgram sent for 1980 Q3 on 10/21/80	#18
Second letter RFAI sent for Q2 on 10/24/80	#19
Commission approved publication for Q3 on 10/31/80	#20

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ATTACHMENT 1

C00084012 COMMITTEE TO ELECT HERBERT STEPHENS TO CONGRESS

1b
3 N 80/07/12 Q1 78/09/06-80/07/10 P 00018304 00019396 5 00011791613 3
RO 2 80/08/27 Q2 78/09/06-80/07/10 2 00031642041
RO 2 80/10/06 Q2 78/09/06-80/07/10 1 00031662693
RO 3 80/10/24 Q2 78/09/06-80/07/10 2 00031731642

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ATTACHMENT 2

DETAILED SUMMARY OF RECEIPTS AND EXPENDITURES
 Page 2, P.C.C. #

NAME OF CANDIDATE OR COMMITTEE	THE PERIOD	
	From	To
RECEIPTS		
16. Contributions from individuals including contributions in-kind: (a) Itemized (see Schedule A)	0	
(b) Unitemized	0	
(c) Sales and collections included above List by amount on memo Schedule D of _____	0	
(d) Subtotal of contributions from individuals	0	
17. Transfers from Political Committees: (a) Funds from affiliated/authorized committee (name on Schedule A regardless of amount)	0	
(b) Funds from other committees (name on Schedule A regardless of amount)	0	
(c) Contributions in-kind from political committees (name on Schedule A regardless of amount)	0	
(d) Subtotal of transfers in and contributions in-kind from political committees	0	
18. Other Income: (a) Itemized (see Schedule A)	0	
(b) Unitemized	0	
(c) Subtotal of other income	0	
19. Loans and Loan Repayments Received: (a) Itemized (see Schedule A)	0	
(b) Unitemized	18,304.82	
(c) Subtotal of loans and loan repayments received	0	
20. Refunds, Returns, Returns of Deposits: (a) Itemized (see Schedule A)	0	
(b) Unitemized	0	
(c) Subtotal of refunds, returns, returns of deposits	0	
21. Total Receipts	18,304.82	0
EXPENDITURES		
22. Operating Expenditures: (a) Itemized (see Schedule B)	0	
(b) Unitemized	18,862.77	
(c) Subtotal of operating expenditures	532.00	
23. Loans, Loan Repayments, and Contribution Refunds Made: (a) Itemized (see Schedule B)	0	
(b) Unitemized	19,394.79	
(c) Subtotal of loans and loan repayments made and contribution refunds	0	
24. Transfers Out to Political Committees: (a) To affiliated/authorized committee (name on Schedule B regardless of amount)	0	
(b) To other committees (name on Schedule B regardless of amount)	0	
(c) Contributions in-kind to other committees (name on Schedule B regardless of amount)	0	
(d) Subtotal of transfers out	0	
25. Independent Expenditures (see Schedule E)	0	
26. Coordinated Expenditures Made by Political Committee (2 U.S.C. 4312(d)) (name on Schedule F)	0	
27. Total Expenditures	19,396.79	0
NET RECEIPTS AND EXPENDITURES, NET OF TRANSFERS TO AND FROM AFFILIATED COMMITTEES		
28. Total Receipts from line 21	0	
29. Transfer in from line 26(a)	0	
30. Net Receipts (Subtotal line 27 from line 28)	0	
31. Total Expenditures from line 27	0	
32. Transfer Out from line 26(b)	0	
33. Net Expenditures (Subtotal line 29 from line 31)	0	

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DEBTS AND OBLIGATIONS

Supporting Line Numbers 12 and 13
of FEC FORM 3

(Use Separate Schedules for
each numbered line)

(Indicate Primary or General Election for each Entry)

Name of Candidate and Committee in Full				
<i>Committee To Elect Herbert Stephens To Congress</i>				
Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
<i>Committee To Elect Herbert Stephens To Congress 2505 Wilkinson Ave Hollywood, Md. 49855</i>	<i>5/15/80</i>	<i>5,378.33</i>	<i>0</i>	<i>8307.83</i>
<input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other	<i>5/15/80</i>	<i>2500.00</i>	<i>0</i>	<i>8500.00</i>
NATURE OF OBLIGATION (Details of Debt): <i>Loans To Committee To Elect Herbert Stephens To Congress</i>				
Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
<i>Concept Associates Inc. 420 C Street, N.E. Washington, DC. 20002</i>	<i>8/1/78</i>	<i>13,332.33</i>	<i>0</i>	<i>13,332.33</i>
<input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other	<i>8/1/78</i>	<i>4,500.00</i>	<i>0</i>	<i>4,500.00</i>
NATURE OF OBLIGATION (Details of Debt):				
Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
		\$	\$	\$
<input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other				
NATURE OF OBLIGATION (Details of Debt):				
SUBTOTALS this period this page (optional)		<i>\$33,634.15</i>	<i>0</i>	<i>\$33,634.15</i>
TOTAL this period (last page this line number only)		\$	\$	\$
Carry outstanding balance only to appropriate line of summary.				

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ATTACHMENT 3

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ATTACHMENT 4

8 3 0 4 0 3 7 3 5 6 7

ATTACHMENT 5

NEWS FROM...

FEDERAL ELECTION COMMISSION



1325 K Street, N.W., Washington, D.C. 20463

FOR IMMEDIATE RELEASE
JUNE 12, 1979

CONTACT: FRED EILAND
SHARON SHYDER
(202) 523-4065

FEC PUBLISHES LIST OF APRIL 10 1ST QUARTER REPORT "NON-FILERS"

WASHINGTON -

The Federal Election Commission today published the names of 409 Federal candidates, their principal campaign committees, and other political committees from 46 states, the District of Columbia, and the Virgin Islands, which failed to file 1st quarter 1979 campaign finance reports, covering activity from January 1, 1979 thru March 31, 1979.

In election years, quarterly financial reports are due on April 10, July 10, October 10, and January 31, following the close of the immediately preceding calendar quarter in which a candidate or political committee received contributions in excess of \$1,000. Exemptions from filing quarterly reports are granted if a political committee or candidate notifies the Commission on FEC Form 3a or by letter stating that neither receipts nor expenditures exceeded \$1,000 in the quarter.

NOTE: The list of 1979 April 10 Non-Filers is on page 2.

The Federal Election Campaign Act of 1971, as amended in 1974 and 1976, states that "It shall be the duty of the Commission to...prepare and publish from time to time special reports listing those candidates...for whom...reports were not filed as so required."

In addition to publishing the names of non-filers, the FEC has the authority to undertake further enforcement action under the statute, including civil court enforcement and imposition of civil penalties (\$5,000 for "any violation" and \$10,000 for a "knowing and willful violation.")

Under FEC procedures, three notices are sent to the candidates and committees reminding them of their reporting obligations, and urging compliance. If a report is not filed following these notices, the names of all "non-filers" are made public.

"Prior notice" is the first reminder, sent on a routine basis prior to the filing date, to all candidates and political committees registered with the Commission. This notice simply reminds candidates and committees of their required reports, and the appropriate filing dates.

The second notice is sent only to candidates and committees whose reports have not been received by or shortly after the filing date, and states that this "apparent failure...gives the Commission 'reason to believe' that the candidate or committee is in violation of the reporting requirements."

The third notice is sent only to candidates and committees whose reports are not filed in response to the second notice, and states that "continued failure to file has given the Commission 'reasonable cause to believe' that they are in violation and that 'failure to file the report may result in the publication of (the candidate's or committee's) name on a list of non-filers and referral of your name to our Office of General Counsel which may result in civil penalties."

When a candidate or committee continues to fail to file after receiving the three notices, the Commission publishes the names of the candidate and/or his committee on a list of "non-filers."

-more-

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FEC LISTS CANDIDATES & COMMITTEES FAILING TO FILE APRIL 10 1ST QUARTER REPORTS

STATE	DISTRICT	NAME OF CANDIDATE/COMMITTEE
Maine	House - 01	Marcotte for Congress Committee (Guy Marcotte - D)
	House - 01	Citizens for Reeves (Bruce Reeves - D)
	House - 02	Cooney to Congress (Leighton Cooney - D)
Maryland	House - 03	John A. Fica for Congress (D)
	House - 03	Workers Party Campaign Committee, Baltimore MD (Vedder) (Alden Vedder - Unk.)
	House - 06	Malvin Perkins (R)
	House - 06	Friends of Dan Rupli (D)
	House - 07	Committee to Elect Hanania-Freeman (Debra Hanania-Freeman - U.S. Labor Party)
	Senate Party	Bradley for Senate Committee (Bruce Bradley - Ind.) Baltimore City Democratic Central Committee
	Massachusetts	House - 05
House - 05		Jay Gaffney for Congress (Ind.)
House - 06		Committee to Elect John W. McKean to Congress (D)
House - 07		Jack Laff for Congress
House - 07		James J. Murphy Candidate for Congress (Ind.)
House - 09		David Freund (SWP)
House - 11		Jin Sheets for Congress Committee (D)
House - 11		Joseph M. Tierney for Congress Committee (D)
Senate		Committee to Elect Kathleen Sullivan Alioto (D)
House		Workers Party 9th Dist. Mass. Campaign Cmte.
Non-Party		Lincoln Union
Michigan	House - 03	Committee to Elect Keller (K. Martin Keller - U.S. Labor Party)
	House - 03	Tia Walter for U.S. Congress Committee (Amer. Indep.)
	House - 04	Committee to Elect Kricinski (Mark Kricinski - U.S. Labor Party)
	House - 05	Committee to Elect Mayer (Steven Meyer - U.S. Labor Party)
	House - 08	Denton for Congress Committee (Edward Denton - R)
	House - 09	Committee to Elect Powell (Joy Powell - U.S. Labor Party)
	House - 11	Committee to Elect Verna Lawrence (D)
	House - 11	Committee to Elect Herbert Stephens to Congress (D)
	House - 11	Watanen for Congress Campaign Committee (John Watanen, Jr. -D)
	House - 13	James E. Attia (D)
	House - 13	James E. Attia (Committee)
	House - 13	Rickman for Congress Committee (Ray Rickman - D)
	House - 13	Henry E. Stallings for U.S. Congress Committee (D)
	House - 15	Aldi C. Fuhrman (AIP)
	House - 17	Fitzpatrick for Congress (Robert E. Fitzpatrick - Unk.)
	House - 17	Hector M. McGregor (AIP)
	House - 17	Committee to Elect Harry V. Teachout to Congress (D)
	House - 18	Committee to Elect Bob Salloum (R)
	Senate	Austin for Senate (Richard Austin - D)
	Senate	Ervin for Senate Committee (Bette Ervin - Lib.)
Senate Party	Harry R. Payne II for U.S. Senate Committee (D) 13th Congressional District Republican Committee	
Party	Detroit Young Republicans	
Party	Newaygo County Republican Committee	
Non-Party	Americans for Democratic Action (ADA)--Progressive Victory Fund	
Non-Party	Skilled and Professional Political Action Committee	

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ATTACHMENT 6

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ATTACHMENT 7

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ATTACHMENT 8

FEDERAL ELECTION COMMISSION

Press Office
1325 K Street, N.W., Washington, D.C. 20463
Phone: Toll Free 800 424 9530, Local 523-4065



FOR IMMEDIATE RELEASE
SEPTEMBER 18, 1979

CONTACT: FRED EILAND
SHARON SHYDER

FEC PUBLISHES LIST OF JULY 10 2ND QUARTER REPORT "NON-FILERS"

WASHINGTON -- The Federal Election Commission today published the names of 376 Federal candidates, their principal campaign committees, and other political committees from 46 states, the District of Columbia and abroad, which failed to file 2nd quarter 1979 campaign finance reports, covering activity from April 1, 1979 thru June 30, 1979.

In non-election years, candidates and their committees must file quarterly reports (due on April 10, July 10, October 10, and January 31) for each calendar quarter in which receipts and expenditures exceed \$5,000. Non-candidate political committees must file quarterly reports for each calendar quarter in which either receipts or expenditures exceed \$1,000. Exemptions from filing quarterly reports are granted if a candidate or political committee notifies the Commission on FEC Form 3a or by letter stating that receipt and/or expenditure activity fell below the required reporting threshold.

NOTE: The list of 1979 July 10 Non-Filers is on page 2.

The Federal Election Campaign Act of 1971, as amended in 1974 and 1976, states that "It shall be the duty of the Commission to...prepare and publish from time to time special reports listing those candidates...for whom...reports were not filed as so required."

In addition to publishing the names of non-filers, the FEC has the authority to undertake further enforcement action under the statute, including civil court enforcement and imposition of civil penalties (\$5,000 for "any violation" and \$10,000 for a "knowing and willful violation.")

Under FEC procedures, three notices are sent to the candidates and committees reminding them of their reporting obligations, and urging compliance. If a report is not filed following these notices, the names of all "non-filers" are made public.

"Prior notice" is the first reminder, sent on a routine basis prior to the filing date, to all candidates and political committees registered with the Commission. This notice simply reminds candidates and committees of their required reports, and the appropriate filing dates.

The second notice is sent only to candidates and committees whose reports have not been received by or shortly after the filing date, and states that this "apparent failure...gives the Commission 'reason to believe' that the candidate or committee is in violation of the reporting requirements."

The third notice is sent only to candidates and committees whose reports are not filed in response to the second notice, and states that "continued failure to file has given the Commission 'reasonable cause to believe' that they are in violation and that 'failure to file the report may result in the publication of (the candidate's or committee's) name on a list of non-filers and referral of your name to our Office of General Counsel which may result in civil penalties."

When a candidate or committee continues to fail to file after receiving the three notices, the Commission publishes the names of the candidate and/or his committee on a list of "non-filers."

-more-

FEC LISTS CANDIDATES & COMMITTEES FAILING TO FILE JULY 10 2ND QUARTER REP.

STATE	DISTRICT	NAME OF CANDIDATE/COMMITTEE
Massachusetts	House - 07	Jack Leff for Congress
	House - 07	James J. Murphy Candidate for Congress (Ind.)
	House - 09	David Freund (SWP)
	House - 11	Jim Sheets for Congress Committee (D)
	House - 11	Joseph M. Tierney for Congress Committee (D)
	Senate	Committee to Elect Kathleen Sullivan Alioto (D)
	Non-Party	Lincoln Union
	House	Workers Party 9th Dist. Mass. Campaign Cmte.
Michigan	House - 03	Committee to Elect Kaller (K. Martin Kaller - U.S. Labor Party)
	House - 03	Tim Walter for U.S. Congress Committee (AIP)
	House - 04	Committee to Elect Kvicinski (Mark Kvicinski - U.S. Labor Party)
	House - 05	Committee to Elect Meyer (Steven Meyer - U.S. Labor Party)
	House - 08	Denton for Congress Committee (Edward Denton, Jr. - R)
	House - 09	Committee to Elect Powell (Joy Powell - U.S. Labor Party)
	House - 11	Committee to Elect Verna Lawrence (D)
	House - 11	Committee to Elect Herbert Stephens to Congress (D)
	House - 11	Watanen for Congress Campaign Committee (John Watanen, Jr. - D)
	House - 13	Rickman for Congress Committee (Ray Rickman - D)
	House - 13	Henry E. Stallings for U.S. Congress Committee
	House - 13	William G. Tinsley (D)
		Friends of William G. Tinsley
	House - 15	Aldi C. Fuhrman (AIP)
	House - 17	Fitzpatrick for Congress (Robert E. Fitzpatrick)
	House - 17	Committee to Elect Anthony J. Romeo (D)
	House - 17	Anthony C. Romeo, Jr. (R)
		Committee to Elect Anthony Romeo, Jr.
	House - 17	Committee to Elect Harry V. Teachout to Congress (D)
	House - 18	Committee to Elect Bob Salloum (R)
	Senate	Austin for Senate (Richard H. Austin - D)
	Senate	Erwin for Senate Committee (Bette Erwin - Lib.)
	Senate	People Sup. Evan Karabetsos for Sen. (D)
Senate Party	Harry R. Payne II for U.S. Senate Committee (D)	
Party	13th Congressional District Republican Committee	
Party	Detroit Young Republicans	
Party	Houghton County Republican Committee	
Party	Manistee County Republican Committee	
Non-Party	Americans for Democratic Action (ADA)-Progressive Victory Fund	
Non-Party	Builders Political Action Committee of Michigan (B-PAC)	
Minnesota	Ind. Exp.	Minnesotans for Honesty in Politics
	Ind. Exp.	Minnesotans for Kennedy
	House - 01	David A. Drummond (R)
		David Drummond for U.S. Congress Committee
	House - 02	Volunteer Committee for Considine for Congress (John F. Considine - DFL)
	House - 05	Mike Till for Congress Volunteer Committee (R)
	Senate	Sharon Anderson for U.S. Sen. Vol. Comm. (DFL)
	Senate	Minn. Workers Party Campaign Comm. (Brust) (Jean T. Brust -SWP)
	Senate	Dick Bullock (DFL)
	Senate	Emil L. Moses (DFL)
Senate	For Senator, Ratté (Phil Ratté - D)	
Party	40th DFL Senatorial District	
Mississippi	House - 01	Committee to Elect Eugene Carter (Ind.)
	House - 01	Friends of Eugene Carter (Ind.)
	House - 03	Cleveland for Congress (Dorothy Cleveland - R)
	House - 04	Friends of Jay Disharoon (D)
	House - 04	Evan Doss for Congressman (Evan Doss, Jr. - Ind.)
	House - 04	Carolyn Mitchell (PCC for Bob Mitchell - D)
	Senate	Charles Sullivan for Senator Committee (D)
	Senate Party	Committee to Elect R.C. "Sonny" Tedford to the U.S. Senate (D)
	Democratic Party of the State of Mississippi Campaign Committee	

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ATTACHMENT 9

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IT HAS COME TO THE ATTENTION OF THE FEDERAL ELECTION COMMISSION THAT YOU MAY HAVE FAILED TO FILE THE OCTOBER 15 QUARTERLY REPORT OF RECEIPTS AND EXPENDITURES, AS REQUIRED BY THE FEDERAL ELECTION CAMPAIGN ACT, UNLESS YOU WERE RECENTLY REGISTERED, WITH NOTIFICATION WAS SENT TO YOU APPROXIMATELY 30 DAYS AGO ADVISING YOU THAT YOUR THIRD QUARTERLY REPORT WAS DUE ON OCTOBER 15. THE REPORT MUST DISCLOSE YOUR RECEIPTS AND EXPENDITURES FROM JULY 1, 1979 THROUGH THE CLOSING DATE OF YOUR LAST REPORT OR FROM THE DATE ON WHICH YOU BECAME A CANDIDATE OR ORGANIZED AS A COMMITTEE THROUGH SEPTEMBER 30, 1979. YOUR APPARENT FAILURE TO FILE THIS REPORT GIVES THE COMMISSION REASONABLE OPPORTUNITY TO DEMONSTRATE WHY NO ACTION SHOULD BE TAKEN AGAINST YOU. IF YOU HAVE NOT FILED THE REQUIRED REPORT, YOU SHOULD FILE IT WITHIN FIFTEEN (15) DAYS FROM THE DATE OF THIS NOTICE WITH THE SECRETARY OF THE SENATE, OFFICE OF PUBLIC AFFAIRS, 1030 LONGWORTH BUILDING, WASHINGTON, D.C. 20515, OR THE FEDERAL ELECTION COMMISSION, 1325 K STREET, N.W., WASHINGTON, D.C. 20463. AS APPROPRIATE, A COPY OF THE REPORT SHOULD ALSO BE FILED WITH YOUR SECRETARY OF STATE OR EQUIVALENT STATE OFFICER. INFORMATION ON THE FULL CHECK NUMBER (800) 424-9530. SINCERELY,
 CHAIRMAN

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ATTACHMENT 10

ATTACHMENT 11

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FEDERAL ELECTION COMMISSION

Press Office
1325 K Street, N.W., Washington, D.C. 20463
Phone: Toll Free 800-424-9530, Local 523-4061



FOR IMMEDIATE RELEASE
DECEMBER 19, 1979

CONTACT: FRED EILAND
SHARON SNYDER

FEC PUBLISHES LIST OF OCTOBER 10 3RD QUARTER REPORT "NON-FILERS"

WASHINGTON - The Federal Election Commission today published the names of 304 Federal candidates, their principal campaign committees, and other political committees from 43 states, the District of Columbia and abroad, which failed to file 3rd quarter 1979 campaign finance reports, covering activity from July 1, 1979 thru September 30, 1979.

In non-election years, candidates and their committees must file quarterly reports (due on April 10, July 10, October 10, and January 31) for each calendar quarter in which receipts and expenditures exceed \$5,000. Non-candidate political committees must file quarterly reports for each calendar quarter in which either receipts or expenditures exceed \$1,000. Exemptions from filing quarterly reports are granted if a candidate or political committee notifies the Commission on FEC Form 3a or by letter stating that receipt and/or expenditure activity fell below the required reporting threshold.

NOTE: The list of 1979 October 10 Non-Filers is on page 2.

The Federal Election Campaign Act of 1971, as amended in 1974 and 1976, states that "It shall be the duty of the Commission to...prepare and publish from time to time special reports listing those candidates...for whom...reports were not filed as so required."

In addition to publishing the names of non-filers, the FEC has the authority to undertake further enforcement action under the statute, including civil court enforcement and imposition of civil penalties (\$5,000 for "any violation" and \$10,000 for a "knowing and willful violation.")

Under FEC procedures, three notices are sent to the candidates and committees reminding them of their reporting obligations, and urging compliance. If a report is not filed following these notices, the names of all "non-filers" are made public.

"Prior notice" is the first reminder, sent on a routine basis prior to the filing date, to all candidates and political committees registered with the Commission. This notice simply reminds candidates and committees of their required reports, and the appropriate filing dates.

The second notice is sent only to candidates and committees whose reports have not been received by or shortly after the filing date, and states that this "apparent failure...gives the Commission 'reason to believe' that the candidate or committee is in violation of the reporting requirements."

The third notice is sent only to candidates and committees whose reports are not filed in response to the second notice, and states that "continued failure to file has given the Commission 'reasonable cause to believe' that they are in violation and that 'failure to file the report may result in the publication of (the candidate's or committee's) name on a list of non-filers and referral of your name to our Office of General Counsel which may result in civil penalties."

When a candidate or committee continues to fail to file after receiving the three notices, the Commission publishes the names of the candidate and/or his committee on a list of "non-filers."

-note-

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FEDERALISTS CANDIDATES & COMMITTEES FAILING TO FILE OCTOBER 10 3RD QUARTER REPORTS

STATE	DISTRICT	NAME OF CANDIDATE/COMMITTEE
Iowa	House - 01	Douglas for Congress Campaign (William Douglas - Socialist Party U.S.A.)
	Senate	Baker for Senate (Gerald Baker - D)
Kansas	Senate	Boman for Senate (Ben Boman - CRV)
	Senate	Preboth for U.S. Senate (Roland Preboth - D)
	Party	Kansas Democratic State Committee
Louisiana	House	Help, Inc. (Krasnoff)
Maine	House - 01	Marcotte for Congress Committee (Guy Marcotte - D)
	House - 01	Citizens for Reeves (Bruce Reeves - D)
	House - 02	Cooney to Congress (Leighton Cooney - D)
Maryland	House - 02	Friends of Ron Meroney (Ind.)
	House - 03	John A. Pica for Congress (D)
	House - 03	Workers Party Campaign Committee, Baltimore MD (Vedder) (Alden Vedder - SWP)
	House - 06	Friends of Dan Rupli (D)
	Party	Baltimore City Democratic Central Committee
Massachusetts	House - 06	Melvin Perkins (R)
	House - 03	Citizens for Charles K. MacLeod (R)
	House - 05	Jay Gaffney for Congress (Ind.)
	House - 05	McLaughlin for Congress Committee (Michael McLaughlin - D)
	House - 06	Committee to Elect John W. McKean to Congress (D)
	House - 07	James J. Murphy Candidate for Congress (Ind.)
	House - 11	Jim Sheets for Congress Committee (D)
	House - 11	Joseph M. Tierney for Congress Committee (D)
	Senate	Committee to Elect Kathleen Sullivan Aliopo (D)
	Non-Party	Lincoln Union
Michigan	House - 03	Committee to Elect Keller (K. Martin Keller - U.S. Labor Party)
	House - 03	Tim Walter for U.S. Congress Committee (AIP)
	House - 04	Committee to Elect Kvicinski (Mark Kvicinski - U.S. Labor Party)
	House - 05	Committee to Elect Meyer (Steven Meyer - U.S. Labor Party)
	House - 08	Denton for Congress Committee (Edward Denton, Jr. - R)
	House - 09	Committee to Elect Powell (Joy Powell - U.S. Labor Party)
	House - 11	Committee to Elect Verna Lawrence (D)
	House - 11	Committee to Elect Herbert Stephens to Congress (D)
	House - 11	Watanen for Congress Campaign Committee (John Watanen, Jr. - D)
	House - 13	Henry E. Stallings for U.S. Congress Committee (D)
	House - 13	William G. Tinsley (D)
	House - 13	Friends of William G. Tinsley
	House - 17	Fitzpatrick for Congress (Robert E. Fitzpatrick)
	House - 17	Committee to Elect Harry V. Teachout to Congress (D)
	House - 18	Committee to Elect Bob Salloum (R)
	Senate	Austin for Senate (Richard Austin - D)
	Senate	Erwin for Senate Committee (Bette Erwin - Lib.)
Senate	People Sup. Evan Karabetsos for Sen. (D)	
Senate	Harry R. Payne II for U.S. Senate Committee (D)	
Party	13th Congressional District Republican Committee	
Party	Macomb County Republican Committee	
Party	Newaygo County Republican Committee	
Party	Saginaw County Republican Committee	
Non-Party	Political Action Committee for Design Professionals in Michigan	

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ATTACHMENT 12

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ATTACHMENT 13

FEDERAL ELECTION COMMISSION

Press Office
1325 K Street, N.W., Washington, D.C. 20047
Phone: Toll Free 800 424 9530, Local 523 4067



FOR IMMEDIATE RELEASE
APRIL 23, 1980

CONTACT: FRED EILAND
SHARON SMYDER

FEC PUBLISHES LIST OF JANUARY 31 YEAR-END REPORT "NON-FILERS"

WASHINGTON -- The Federal Election Commission today published the names of candidate committees which failed to file a 1979 Year-End Report of Receipts and Expenditures, as required by the Federal Election Campaign Act. The report, due on January 31, 1980, was required of all political committees supporting candidates for Federal office. It covered activity for the fourth calendar quarter of 1979 and summarized activity for the entire year.

The published list contains only the names of principal campaign committees of House, Senate or Presidential candidates. Other types of political committees having federal election activity are not included.

The Commission notified the committees of their filing obligation in December, prior to the reporting date. Then, on March 14, another notice was sent to those committees which still had not filed, and they were advised that they had 30 days to respond before their names would be published.

The following lists those committees which have failed to meet the filing requirements:

-more-

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<u>STATE</u> (District)	<u>COMMITTEE NAME</u>	<u>CANDIDATE'S PARTY</u>
<u>Massachusetts</u>		
House - 10	Committee to Elect David Kelley	Democrat
House - 10	Committee to Elect John J. Marino to Congress	Democrat
House - 11	Jim Sheets for Congress Committee	Democrat
House - 11	Joseph M. Tierney for Congress Committee	Democrat
Senate	Committee to Elect Kathleen Sullivan Alioto	Democrat
<u>Michigan</u>		
House	Trowbridge for Congress	
House - 03	Tim Walter for U.S. Congress Committee	American Independent Party
House - 06	Conlin for Congress Committee (Michael Conlin)	Republican
House - 07	Sabin for Congress Committee (Jimmy Sabin)	Unknown
House - 08	Denton for Congress Committee (Edward B. Denton, Jr.)	Republican
House - 11	Committee to Elect Verna Lawrence	Democrat
House - 11	Committee to Elect Herbert Stephens to Congress	Democrat
House - 11	Watanen for Congress Campaign Committee (John Watanen)	Democrat
House - 12	Friends of Kirby Holmes	Republican
House - 13	Rickman for Congress Committee (Ray Rickman)	Democrat
House - 13	Henry E. Stallings for U.S. Congress Cate.	Democrat
House - 13	Friends of William G. Tinsley	Democrat
House - 17	Committee to Elect Anthony J. Romeo	Democrat
House - 18	Committee to Elect Bob Salloum	Republican
House - 19	Collier for Congress Committee (Betty Collier)	Democrat
Senate	Austin for Senate (Richard Austin)	Democrat
Senate	Ervin for Senate Committee (Bette Ervin)	Libertarian
Senate	People Sup. Evan Karabetzos for Sen.	Democrat
Senate	Harry R. Payne II for U.S. Senate Comm.	Democrat
Senate	Rosenbaum for Senate (Paul Rosenbaum)	Democrat
<u>Minnesota</u>		
Senate	Connolly for U.S. Senate Committee (John Connolly)	Democrat Farm Labor Party
Senate	For Senator, Ratté (Phil Ratté)	Democrat
Senate	Mondale Volunteer Committee (Walter F. Mondale)	Democrat
Senate	Sharon Anderson for U.S. Sen. Vol. Comm.	Democrat Farm Labor Party
<u>Mississippi</u>		
House - 01	Committee to Elect Eugene Carter	Independent
House - 03	Cleveland for Congress (Dorothy Cleveland)	Republican
House - 04	Friends of Jay Disharoon	Democrat
House - 04	Carolyn Mitchell (PCC for Bob Mitchell)	Democrat
House - 04	Stennis for Congress Committee (John Stennis)	Democrat
House - 04	Mississippians for Doug Tuttle for Cong.	Democrat
Senate	Evers for U.S. Senate Committee (James Evers)	Independent
Senate	Gov. Cliff Finch for United States Senate	Democrat
Senate	Kirksey for Senator Committee (Henry Kirksey)	Independent
Senate	Committee to Elect R.C. "Sonny" Tedford to the U.S. Senate	Democrat
<u>Missouri</u>		
House - 01	Committee to Elect Helen Gerleman	Democrat
House - 01	Shelton for Congress Campaign Committee (Barry Shelton)	Democrat
House - 01	Citizens for Bill White	Republican

(Missouri continued on page 7)

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ATTACHMENT 14



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

MAILGRAM TEXT FOR
12 DAY PRE-PRIMARY
FOR GA,KS,MI, & MO

TRANSMITTED 7/25/80

It has come to the attention of the Federal Election Commission that you may have failed to file the 12 Day Pre-Primary Election Report of Receipts and Expenditures, as required by the Federal Election Campaign Act, as amended. Unless you recently registered, prior notification was sent to you approximately 24 days ago advising you that your 12 Day Pre-Primary Election Report was due on July 24, 1980.

You will be allowed four (4) business days from the date of this notice to file the 12 Day Pre-Primary Election Report. The report should be filed with the Clerk of the House, Office of Records and Registration, 1036 Longworth House Office Building, Washington, D.C. 20515, or the Secretary of the Senate, Office of Public Records, 119 D Street, N.E., Washington, D.C. 20510, as appropriate. A copy of your report should also be filed with the appropriate Secretary of State or equivalent state officer.

If you have filed the complete report (including all appropriate schedules) timely by certified or registered mail, please notify us immediately, in writing, of the certified or registered number and the date that the report was sent.

The failure to file the complete report in a timely manner may result in publication, legal enforcement or audit action.

If you have any questions regarding this matter, please contact the Reports Analysis Division on the toll free number (800)424-9530. Our local number is (202)523-4172.

Sincerely,

Thomas J. Haselhorst
Assistant Staff Director
Reports Analysis Division

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ATTACHMENT 15

COMMISSION

Press Office
1325 K Street, N.W., Washington, D.C. 20005
Phone: Toll Free 800 474 9530, Local 523 4065



FOR IMMEDIATE RELEASE
AUGUST 1, 1980

CONTACT: FRED EILAND
SHARON SNYDER

WASHINGTON -- Four states will be holding primary elections next Tuesday, August 5, to select candidates for U.S. House and Senate seats. They include Georgia, Kansas, Michigan and Missouri.

Under federal election law, committees supporting candidates in those races must file reports of their financial activity 12 days before the primaries.

As of 5:00 p.m., July 31, reports from the following committees had not been filed:

GEORGIA

House-07 Bade for Congress Committee (Jack Bade) Democrat

MICHIGAN

House-11 Committee to Elect Herbert Stephens to Congress Democrat

House-15 Committee to Re-Elect Congressman William D. Ford Democrat

MISSOURI

House-09 Turner for Congress Committee (John Wayne Turner) Republican

The reports were to include financial activity occurring between July 1 and July 16. If sent certified or registered mail, the reports should have been postmarked by July 21. For all others, the due date was July 24.

Some individuals and their committees have no obligation to file reports under election law, even though their names may appear on state primary ballots. If an individual and his or her campaign committee raise or spend less than \$5,000, the individual is not considered a "candidate" and neither the individual nor the committee is required to register.

The Commission notified the committees of all candidates on the ballots of their potential filing requirements on June 30. Those committees which did not file on the due date were notified that their reports had not been received and that their names would be published for failure to file if they did not respond within 4 business days.

The Commission publishes only the names of those committees which have been designated by candidates as their principal campaign committees. Under Amendments to the Federal Election Campaign Act, effective January 8, 1980, candidates no longer are required to file personal reports of campaign receipts and expenditures. All such information is reported by authorized committees of the candidates.

Other political committees supporting House and Senate candidates in primary elections (those which are not authorized units of a candidate's campaign) also are required to file pre-primary election reports, unless they report monthly. Those committee names are not published by the FEC.

The next report due for candidates from these four states will be the third quarterly report - on October 15. The report will cover activity from July 17 through September 30.

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GA

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ATTACHMENT 16

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FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

August 27, 1980

Barbara K. Stephens, Treasurer
Committee to Elect Herbert
Stephens to Congress
1021 Parnell St.
Sault Ste. Marie, MI 49783

Identification No: C00084012

Reference: July 15 Quarterly Report (9/6/78 - 7/10/80)

Dear Ms. Stephens:

This letter is prompted by the Commission's preliminary review of your July Quarterly Report. The review raised questions as to specific contributions and/or expenditures, and the reporting of certain information required by the Federal Election Campaign Act. An itemization of these areas follows:

-There are no figures entered on all the lines of Column B of the Summary Page and Detailed Summary Page.

On Line 17 of the Detailed Summary Page your report shows \$18,304 in loans received by your committee. Please provide a Schedule A to reflect this activity.

Your Schedule C discloses several loans made to the committee. Please amend Schedule C to show the full name and mailing address of the endorser of these loans.

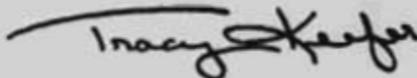
An amendment to your original report correcting the above problems should be filed with the Clerk of the House of Representatives, 1036 Longworth House Office Building, Washington, DC 20515, within fifteen (15) days of the date of this letter. If you need assistance, please

Barbara K. Stephens
Committee to Elect Herbert
Stephens to Congress

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feel free to contact me on our toll free number, (800) 424-9530. My
local number is (202) 523-4172.

Sincerely,



Tracy Keeper
Reports Analyst
Reports Analysis Division

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ATTACHMENT 17



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

October 6, 1980

Barbara K. Stephens, Treasurer
Committee to Elect Herbert
Stephens to Congress
2205 Wilkinson
Marquette, MI 49855

Identification No: C00084012

Reference: July 15 Quarterly Report (9/6/78 - 7/10/80)

Dear Ms. Stephens:

This letter is prompted by the Commission's preliminary review of your July Quarterly Report. The review raised questions as to specific contributions and/or expenditures, and the reporting of certain information required by the Federal Election Campaign Act. An itemization of these areas follows:

-There are no figures entered on all the lines of Column B of the Summary Page and the Detailed Summary Page.

On Line 17 of the Detailed Summary Page your report shows \$18,304 in loans received by your committee. Please provide a Schedule A to reflect this activity.

Your Schedule C discloses several loans made to the committee. Please amend Schedule C to show the full name and mailing address of the endorser of these loans.

An amendment to your original report correcting the above problems should be filed with the Clerk of the House of Representatives, 1036 Longworth House Office Building, Washington, DC 20515, within fifteen (15) days of the date of this letter. If you need assistance, please feel free to contact me on our toll free number, (800) 424-9530. My local number is (202) 523-4172.

Sincerely,

Tracy Keefer
Reports Analyst
Reports Analysis Division

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ATTACHMENT 18

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ATTACHMENT 19



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

October 24, 1980

Barbara K. Stephens, Treasurer
Committee to Elect Herbert
Stephens to Congress
2205 Wilkinson
Marquette, MI 49855

Identification No: C00084012

Reference: July 15 Report (9/6/⁷⁸~~80~~ - 7/10/80)

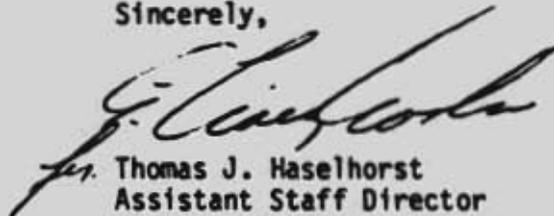
Dear Ms. Stephens:

This letter is to inform you that as of this date, the Commission has not received your response to our request for additional information, dated October 6, 1980. That notice requested information essential to full public disclosure of your Federal election financial activity and to ensure compliance with provisions of the Federal Election Campaign Act (the Act). A copy of our original request is enclosed.

If no response is received within fifteen (15) days from the date of this notice, the Commission may choose to initiate audit or legal enforcement action.

If you should have any questions related to this matter, please contact Theresa Keefer on our toll-free number (800)424-9530 or our local number (202)523-4172.

Sincerely,



Thomas J. Haselhorst
Assistant Staff Director
Reports Analysis Division

Enclosure

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ATTACHMENT 20

FEDERAL ELECTION COMMISSION

Press Office
1325 K Street, N.W., Washington, D.C. 20463
Phone: Local 623-4066 Toll Free 800-424-8630



FOR IMMEDIATE RELEASE
OCTOBER 31, 1980

CONTACT: FRED KILAND
SHARON BRIDGER

FEC PUBLISHES 3RD QUARTER NON-FILERS

WASHINGTON — The Federal Election Commission published today a list of committees authorized by 1980 Senate and House candidates which failed to file the required third quarter financial disclosure reports.

The reports were due October 15th, covering activity from July 1, 1980, (or date of registration, or the close of books of the last report filed if after July 1, 1980,) through September 30, 1980. Committees were notified of their filing requirements in advance of the due date and those which failed to file were again notified October 21st. By close of business October 28; some committees had still not filed.

The 1979 Amendments to the Federal Election Campaign Act specify that the Commission publish only those committees authorized by candidates to raise and spend money on their behalf. Therefore, this list does NOT include other types of political committees which may have been required to file.

In addition, individuals register as candidates only after they have raised or spent in excess of \$5,000. Prior to reaching that threshold, no registration or reporting is required. Therefore, some individuals whose names appear on state ballots may have no filing obligations.

Further Commission action against non-filers and late filers will be decided on a case-by-case basis, depending on the amount of financial activity involved, the percent of vote received and/or the lateness of the report. The Federal Election Campaign Act gives the Commission broad authority to initiate enforcement actions, including civil court enforcement and the imposition of civil penalties (\$5,000 for "any violation" and \$10,000 for any "knowing and willfull" violation) for infractions of the law, including failure to file required disclosure reports.

The following lists the non-filers by state:

-OVER-

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COMMITTEE TYPE DISTRICT (HOUSE)	COMMITTEE NAME	CANDIDATE	PARTY
KENTUCKY			
HOUSE			
10	SMITH FOR CONG CITE	SMITH, WILLIAM ELSON	REP
11	CITE TO ELECT HERBERT STEPHENS TO CONG	STEPHENS, HERBERT MURRAY	SEN
13	CLEVELAND FOR CONG CITE	CLEVELAND, CLYDE	SEN
13	HENRY E. STALLINGS FOR U.S. CONG CITE	STALLINGS, HENRY E JR	SEN
KENTUCKY			
HOUSE			
00	BOURNERTY VOLUNTEER CITE	BOURNERTY, THOMAS E	SEN
KENTUCKY			
HOUSE			
04	KIRKSEY FOR CONG CITE	KIRKSEY, HENRY J	SEN
KENTUCKY			
HOUSE			
00	SEN MEYER FOR CONG	MEYER, DONALD BRUCE	REP
09	TURNER FOR CONG CITE	TURNER, JOHN WAYNE	REP
KENTUCKY			
HOUSE			
01	MORRIS FOR CONG CITE	MORRIS, SUZANNE P	REP
KENTUCKY			
HOUSE			
02	ALLEN JAMES FOR CONG	JAMES, ALLEN EDWIN	REP
KENTUCKY			
SENATE			
	LARRY BRADY FOR SEN	BRADY, LAWRENCE J	REP
	ROBERTS FOR U S SEN CITE	ROBERTS, GEORGE D JR	REP
KENTUCKY			
HOUSE			
04	CITE TO ELECT ALBINE S ANTHON	ANTHON, ALBINE S	SEN
06	RICHARD FOR CONG CITE	RICHARD, WILCOLM BRUCE	SEN
09	CIT CITE HELSTONKI FOR CONG	HELSTONKI, WFRY	SEN
10	CITE TO ELECT BILLY E JOHNSON	JOHNSON, BILLY E	SEN
10	PAYNE FOR CONG CITE	PAYNE, DONALD H	SEN
11	TRUBMAN CITE TO ELECT ROBERT G. TRUBMAN	TRUBMAN, ROBERT G	REP
KENTUCKY			
SENATE			
	CIT TO ELECT JIM EAGAN UNITED STATE SEN	EAGAN, JAMES E	REP
HOUSE			
17	TOM STOKES FOR CONG CITE	STOKES, THOMAS	SEN
32	GARY L RICHOLSON FOR CONG CITE	RICHOLSON, GARY L	SEN
KENTUCKY			
HOUSE			
01	HOLLOWELL FOR CONG CITE	HOLLOWELL, JOSEPH BERTHON JR (JOE)	SEN
04	GEORFFREY GARDEN FOR CONG CITE	GARDEN, GEORFFREY E.	SEN
KENTUCKY			
HOUSE			
22	RUTH KELLER FOR CONG CITE	KELLER, RUTH	REP
KENTUCKY			
SENATE			
	ORLANDOWNS FOR HOWARD CITE	HOWARD, BENE CLAIRE	SEN
	THORNE STALLINGS FOR US SEN CITE	STALLINGS, SILBERT THORNE	REP
	JOHN ZIEM FOR U S SEN CITE	ZIEM, JOHN	REP
HOUSE			
04	WELL WHITE FOR CONG	WHITE, WELLMAR HENRY JR	REP
KENTUCKY			
SENATE			
	BRENDA JOSE FOR US SEN CITE	JOSE, BRENDA R.	REP
KENTUCKY			
SENATE			
	ELLIOTT FOR SEN CITE	ELLIOTT, FRANK G	IND

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ATTACHMENT 21

CANDIDATES TO BE INCLUDED IN THE 'FEC REPORTS ON FINANCIAL ACTIVITY'

8 3 0 4 0 3 7 3 6 3 6

STATE: MICHIGAN

RACE: HOUSE

	I/C/O	PTY	SPECIAL ELEC		PRIMARY ELEC		RUNOFF ELEC		GENERAL ELEC	
			\$50M/L	PCT	\$50M/L	PCT	\$50M/L	PCT	\$50M/L	PCT
HBMI11015		DEM	----	0	1 LOSER	30	----	0	----	0
HBMI11031		REP	----	0	1 WINNER	100	----	0	1 WINNER	66
HOMI11012		DEM	----	0	1 WINNER	59	----	0	1 LOSER	34
HOMI11020		ATP	----	0	----	0	----	0	1 LOSER	1
HBMI11056		DEM	----	0	1 LOSER	31	----	0	----	0

STATE HOUSE TOTAL: 5



FEDERAL ELECTION COMMISSION

1125 K STREET N.W.
WASHINGTON, D.C. 20461

THIS IS THE END OF MUR # 1382

Date Filmed 1/28/83 Camera No. --- 2

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