
Why the Audit Was Done
Federal law permits the Commission to conduct audits and field investigations of any political committee that is required to file reports under the Federal Election Campaign Act (the Act). The Commission generally conducts such audits when a committee appears not to have met the threshold requirements for substantial compliance with the Act. The audit determines whether the committee complied with the limitations, prohibitions and disclosure requirements of the Act.

About the Campaign (p. 2)
Roraback for Congress is the principal campaign committee for Andrew Roraback, Republican candidate for the U.S. House of Representatives from the state of Connecticut, 5th District, and is headquartered in Torrington, Connecticut. For more information, see the Campaign Organization Chart, p. 2.

Financial Activity (p. 2)
- Receipts
  - Contributions from Individuals $1,276,713
  - Contributions from Political Committees $276,795
  - Loan from the Candidate $25,000
  Total Receipts $1,578,508

- Disbursements
  - Operating Expenditures $1,566,575
  - Contribution Refunds $350
  - Other Disbursements $7,529
  Total Disbursements $1,574,454

Commission Finding (p. 3)
Based upon a limited examination of the statements and reports filed, and the records presented by Roraback for Congress, no material non-compliance was discovered.

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1 52 U.S.C. §30111(b).
2 On September 1, 2014, the Federal Election Campaign Act of 1971, as amended ("the Act"), was transferred from Title 2 of the United States Code to the new Title 52 of the United States Code.
Final Audit Report of the Commission on Roraback for Congress

(October 26, 2011 - December 31, 2012)
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Part I
Background

Authority for Audit
This report is based on an audit of Roraback for Congress (RFC), undertaken by the Audit Division of the Federal Election Commission (the Commission) in accordance with the Federal Election Campaign Act of 1971, as amended (the Act). The Audit Division conducted the audit pursuant to 52 U.S.C. §30111(b) (formerly 2 U.S.C. §438(b)), which permits the Commission to conduct audits and field investigations of any political committee that is required to file a report under 52 U.S.C. §30104 (formerly 2 U.S.C. §434). Prior to conducting any audit under this subsection, the Commission must perform an internal review of reports filed by selected committees to determine if the reports filed by a particular committee meet the threshold requirements for substantial compliance with the Act. 52 U.S.C. §30111(b) (formerly 2 U.S.C. §438(b)).

Scope of Audit
Following Commission-approved procedures, the Audit staff evaluated various risk factors and as a result, this audit examined:
1. the receipt of excessive contributions and loans;
2. the receipt of contributions from prohibited sources;
3. the disclosure of contributions received;
4. the disclosure of individual contributors' occupation and name of employer;
5. the consistency between reported figures and bank records;
6. the completeness of records; and
7. other campaign operations necessary to the review.
Part II
Overview of Campaign

Campaign Organization

Important Dates

- Date of Registration: October 26, 2011

Headquarters

- Torrington, Connecticut

Bank Information

- Bank Depositories: One
- Bank Accounts: One (checking)

Treasurer

- Treasurer When Audit Was Conducted: Anna-Elysapeth McGuire
- Treasurer During Period Covered by Audit: Anna-Elysapeth McGuire

Management Information

- Attended Commission Campaign Finance Seminar: No
- WhoHandled Accounting and Recordkeeping Tasks: Paid Staff

Overview of Financial Activity
(Audited Amounts)

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Cash-on-hand @ October 26, 2011</td>
<td>$ 0</td>
</tr>
<tr>
<td>Receipts</td>
<td></td>
</tr>
<tr>
<td>o Contributions from Individuals</td>
<td>$ 1,276,713</td>
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<td>$ 1,574,454</td>
</tr>
<tr>
<td>Cash-on-hand @ December 31, 2012</td>
<td>$ 4,054</td>
</tr>
</tbody>
</table>
Part III
Commission Finding

Based upon a limited examination of the statements and reports filed, and the records presented by Roraback for Congress, no material non-compliance was discovered.